



## Case report

## ProGRP as early predictive marker of non-small-cell lung cancer to small-cell lung cancer transformation after EGFR-TKI treatment

Yasuhiro Kato<sup>a,\*</sup>, Yosuke Tanaka<sup>a</sup>, Mitsunori Hino<sup>a</sup>, Akihiko Gemma<sup>b</sup><sup>a</sup> Respiratory Disease Center, Chiba Hokusoh Hospital, Nippon Medical School, Chiba, Japan<sup>b</sup> Department of Pulmonary Medicine and Oncology, Graduate School of Medicine, Nippon Medical School, Tokyo, Japan

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## ABSTRACT

We report a case of non-small-cell lung cancer (NSCLC) to small-cell lung cancer (SCLC) transformation after epidermal growth factor receptor tyrosine kinase inhibitor (EGFR-TKI) treatment. The patient was a man who diagnosed with EGFR-mutant advanced NSCLC. After he was introduced afatinib, his tumor had been reduced by the treatment. However, plasma pro-gastrin-releasing peptide (ProGRP) became higher with disease progression, and SCLC was detected at the second biopsy. It is suggested that elevation of plasma ProGRP level before EGFR-TKI therapy is useful for predicting EGFR-mutant NSCLC to SCLC transformation.

## 1. Introduction

Recently, epidermal growth factor receptor tyrosine kinase inhibitor (EGFR-TKI) has a good antitumor effect in non-small-cell lung cancer (NSCLC) patients with common epidermal growth factor receptor mutation [1]. However, in many cases, their disease acquire resistance to EGFR-TKI treatment in approximately 1 year [2]. Some resistance mechanisms were reported in previous report. The presence of T790M mutation as the secondary mutation is the most common resistance mechanism of EGFR-TKI treatment [3]. Amplification of MET, or HER2 is known as a major mechanism of resistance of EGFR-TKI treatment by bypass signaling activation [4–6]. Meanwhile, NSCLC to small-cell lung carcinoma (SCLC) transformation has been reported as another mechanism [7–9]. Here, we report that we succeeded in early prediction of NSCLC to SCLC transformation after EGFR-TKI treatment by monitoring plasma pro-gastrin-releasing peptide (ProGRP).

## 2. Case report

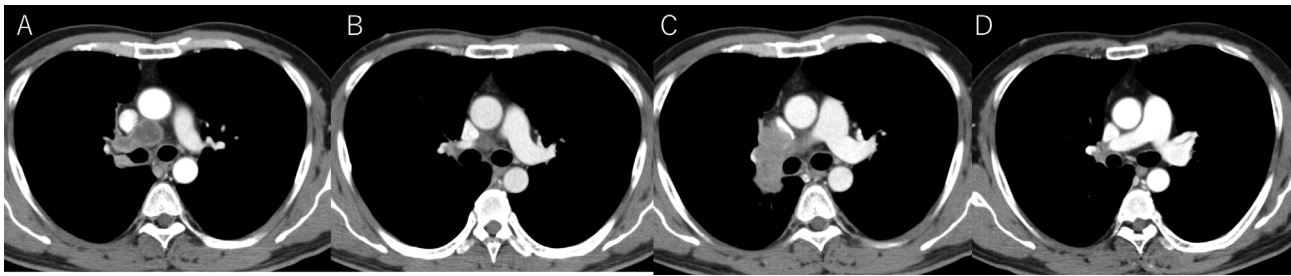
A 47-year-old male heavy smoker (30 pack-years) presented to our hospital because of hemoptysis. Right hilar invasive lesion and multiple mediastinal and cervical lymphadenopathy revealed by Computed tomography (Fig. 1A). Serum carcinoembryonic antigen (CEA) level were elevated higher than the reference values (40.5 ng/mL) and mild elevation of ProGRP (103 pg/ml) were shown at the same timing [10,11]. Transbronchial lung biopsy was performed to primary lesion for diagnosis by transbronchoscopy. This biopsy sample showed tubular

type of adenocarcinoma, diffusely positive for thyroid transcription factor-1 and focally positive for cytokeratin 5/6 by immunohistochemistry. On the contrary, CD56, synaptophysin, and chromogranin A which known for neuroendocrine marker were negative at biopsy sample (Fig. 2A, B, C). The biopsy sample was genotyped, and EGFR exon 19 deletion (E746\_A750del) was detected by polymerase chain reaction invader method. After the patient received head MRI and FDG PET/CT, multiple brain and bone metastasis was revealed and he was diagnosed with stage IV lung adenocarcinoma (cT3N2M1b, LYM, OSS, BRA).

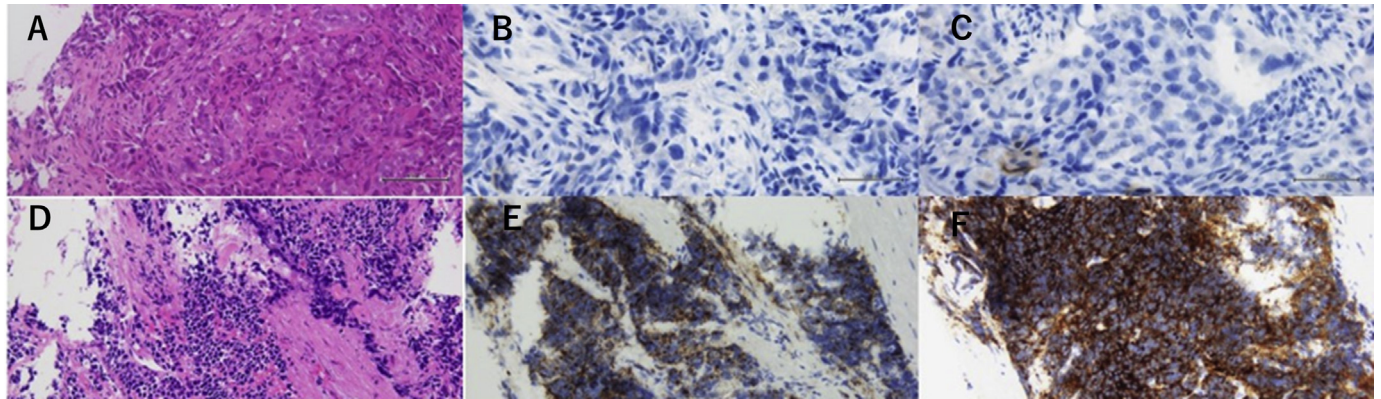
Afatinib (40mg once daily) was introduced to our case as EGFR-TKI in November X years. His best tumor response was assessed partial response (Fig. 1B), and both tumor markers were reduced after treatment started (Fig. 3). CEA and ProGRP had followed once a month and Chest CT of whole body conducted once eight weeks for following treatment effect. Plasma ProGRP level was elevated (639 pg/mL) 22 months later from afatinib started. Recurrence of primary lesion was revealed in chest CT without recurrence of mediastinal lymph nodes, although serum CEA level stayed in the normal range (Fig. 1C). We suspected tumor relapse due to SCLC transformation from adenocarcinoma and transbronchial lung biopsy was performed for diagnosis at the same primary lesion in first biopsy. Malignant small cells with high nuclear cytoplasmic ratio were observed in the biopsy sample, and synaptophysin and chromogranin A were positive in the cells (Fig. 2D, E, F). The biopsy sample were genotyped by polymerase chain reaction invader method and EGFR exon 19 deletion (E746\_A750del) was detected again and Threonine790Methionine (T790M) was not detected.

\* Corresponding author.

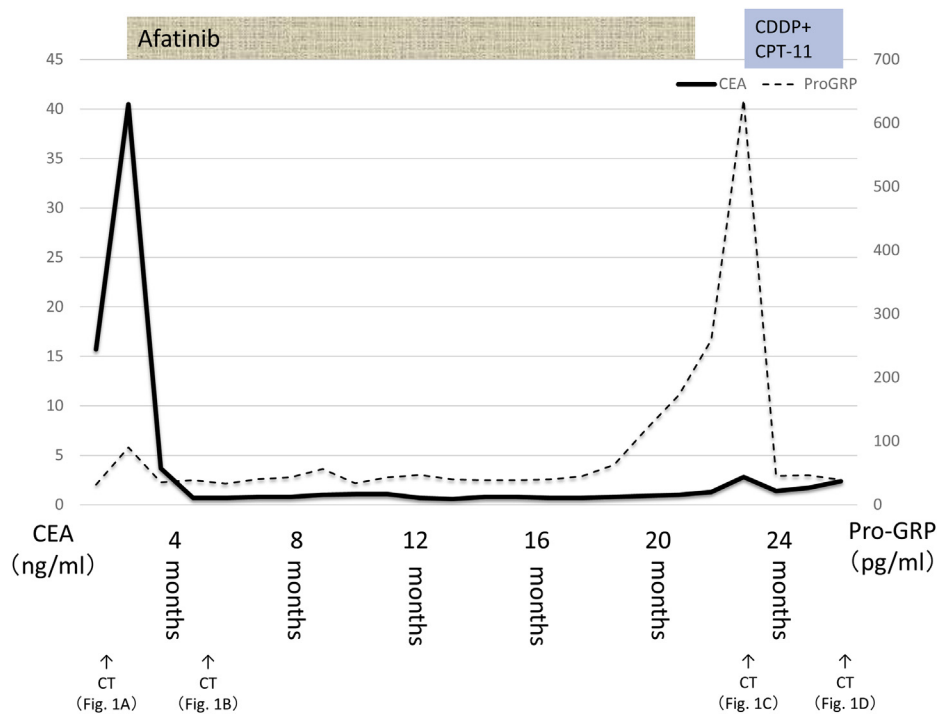
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**Fig. 1.** Chest CT of primary lesion and mediastinal lymph node findings. A: Pretreatment primary tumor. B: Primary tumor after four months from EGFR-TKI treatment started. C: Regrowth primary tumor after EGFR-TKI treatment. D: Primary tumor after two months from chemotherapy (CDDP+CPT-11) started.



**Fig. 2.** Pathological findings at biopsy specimen. A: Pretreatment tumor (Hematoxylin and eosin stain) B: Pretreatment tumor (Synaptophysin) C: Pretreatment tumor (Chromogranin A) D: Rebiopsy tumor after EGFR-TKI treatment (Hematoxylin and eosin stain) E: Rebiopsy tumor after EGFR-TKI treatment (Synaptophysin) F: Rebiopsy tumor after EGFR-TKI treatment (Chromogranin A).



**Fig. 3.** Clinical course.

He was introduced standard chemotherapy (cisplatin and irinotecan) for advanced small cell lung cancer treatment in Japan. After 3 months, chest CT revealed tumor reduction (cisplatin and irinotecan) for SCLC [12]. Best response of standard chemotherapy was assessed partial response (Fig. 1D).

### 3. Discussion

NSCLC to SCLC transformation after EGFR-TKI treatment is rare. Previous case series have revealed the frequency of transformation to SCLC as resistance to EGFR-TKI to be 5%–14% [6], and the usefulness

of ProGRP and NSE for detecting NSCLC to SCLC transformation has been reported [13]. However, no study has reported success with detected NSCLC to SCLC transformation by monitoring plasma ProGRP level for EGFR-TKI treatment. We succeeded in early prediction of NSCLC transformation to SCLC by monitoring plasma ProGRP level.

Plasma ProGRP level is useful for diagnosing SCLC, with a sensitivity of 64.9% and specificity of 96.5% [14]. Kudo et al. reported that high plasma ProGRP level is useful to expect neuroendocrine differentiation components of NSCLC [15]. Previous case series reported that 2%–10% of de novo NSCLC combined SCLC [16,17]. These reports suggest that the SCLC component became dominant at the NSCLC in our case after EGFR-TKI treatment and mild elevation of plasma ProGRP of a patient in our study before initiated on afatinib may reflect neuroendocrine component of NSCLC. Our study may suggest that plasma ProGRP elevation is presented in patients with NSCLC before initiation of EGFR-TKIs. Plasma ProGRP level before EGFR-TKIs therapy may be useful to expect NSCLC to transformation. However, first biopsy sample were not presented neuroendocrine component. We considered tumor heterogeneity and small sample size affected on this result.

It is debatable argument whether plasma ProGRP was useful or not into consideration of cost-effectiveness. Generally, when tumors recurrence with EGFR mutation was shown while first or second generation EGFR-TKIs therapy, rebiopsy was conducted for decision of treatment sequence. Because the AURA3 trial showed that osimertinib is superior to platinum doublet chemotherapy in patients with T790M-positive NSCLC with acquired resistance to first- or second-generation EGFR-TKIs, osimertinib is considered as first treatment option for patients with T790M-positive NSCLC [18]. Therefore, SCLC transformation from NSCLC were detected by pathological examine of rebiopsy sample after tumors recurrence without monitoring ProGRP. However, past research reported success rate of rebiopsy were only 79.5% [19]. Moreover, SCLC is most aggressive diseases in lung cancer [20]. Therefore, we believed plasma ProGRP is useful for detecting SCLC transformation from NSCLC with EGFR mutation if plasma ProGRP elevation before first EGFR-TKIs therapy was presented like our case.

There are some limitations in our article. Plasma ProGRP level has physiological validation in human body. For example, renal dysfunction causes a false positive of high plasma ProGRP level for diagnosing SCLC [13]. Therefore, our case's plasma ProGRP level before EGFR-TKI treatment should be pointed false positive before especially EGFR-TKI treatment. However, we considered our case's plasma ProGRP level was unlikely to be false positive for two reasons. One reason, he had been estimated glomerular filtration rate was usually about 60 ml/min/1.73 m<sup>2</sup> under EGFR-TKI treatment. Other reason, our case's plasma ProGRP level was evaluated mild elevation twice before EGFR-TKI treatment. Therefore, there is the possibility of the second primary cancer as small cell lung cancer after afatinib started. However, we believe our case presented NSCLC transformation to SCLC transformation because of recurrence of primary lesion at the same site. This is a single case report. Therefore, integration of similar cases will be needed.

#### 4. Conclusion

Plasma ProGRP elevation in NSCLC with EGFR mutation may reflect SCLC components of NSCLC before EGFR-TKIs therapy. Plasma ProGRP level should be considered an early predictive marker of EGFR-mutant NSCLC to SCLC transformation.

#### Conflicts of interest

All authors declare no conflicts of interest associated with this manuscript.

#### Disclosure statements

The authors have no conflict of interest to declare. Appropriate written informed consent was obtained for publication of this case report and the accompanying images.

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