**Original Research** 

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Hemoglobin and Clinical Outcomes in Hemodialysis: An

Rationale & Objective: Anemia management in patients treated with maintenance dialysis remains a challenge. We sought to update information in this area by evaluating the association between hemoglobin and various outcome and utilization measures using data-rich Medicare sources.

Study Design: Observational cohort study using data from the Consolidated Renal Operations in a Web-enabled Network and Medicare claims.

Setting & Participants: We studied 371,250 prevalent patients treated with hemodialysis, covering 3,326,072 patient-months in 2019.

Exposure: Monthly patient hemoglobin concentrations.

Outcomes: We examined several outcomes, including mortality, all-cause hospitalization, causespecific hospitalization, and emergency department utilization in the month following the exposure measurement.

Analytical Approach: For each monthly observation period, we calculated unadjusted and adjusted (for demographics and comorbid condition) hazard ratios using Cox regression.

**Results:** The hemoglobin concentration was <10.5 g/dL for 40% of observations. We found an inverse association between mortality and

he majority of patients with advanced kidney disease have some degree of anemia. Patients with kidney failure treated by dialysis also face higher mortality and morbidity risks and lower quality of life than the general population. A widely held hypothesis holds that anemia accounts for some of the excess health risks faced by patients with kidney failure treated by dialysis and that correction of anemia could ameliorate some of the excess risk. These hypotheses have been extensively tested over the past 30 years but not fully resolved.<sup>1,2</sup>

The Medicare Improvements for Patients and Providers Act of 2008 directed establishment of the End-Stage Renal Disease Quality Incentive Program (ESRD QIP), including a specific provision for measurement of anemia management that reflects the labeling approved by the Food and Drug Administration.<sup>3</sup> The ESRD QIP has employed several measures of anemia-related performance.<sup>4</sup> The Centers for Medicare & Medicaid Services (CMS) requires dialysis facilities to provide anemia management data to support the ESRD QIP anemia measures. The clinical and claims data used by ESRD QIP represents a valuable research resource. We took advantage of this rich data resource to describe

(HR, 2.53; 95% Cl, 2.45-2.61; P < 0.0001, reference = 10.5-11 g/dL) to 11-11.5 g/dL (HR, 0.92; 95% CI, 0.89-0.96; P < 0.0001). Mortality risk started to increase at hemoglobin levels >11.5 g/dL. All-cause hospitalization, causespecific hospitalization (including cardiovascular, infection, and several subcategories including coronavirus disease 2019 hospitalization), and emergency department utilization were inversely associated with hemoglobin concentration, with risk reduction stabilizing at hemoglobin levels of approximately 11.5-12 g/dL and higher.

hemoglobin measured over a range from <9 g/dL

Limitations: As with prior observational studies, the observed associations are not necessarily causal.

Conclusions: In a large US hemodialysis population, there were better clinical outcomes at higher hemoglobin concentrations over short exposure and followup periods, consistent with other observational studies that generally used longer exposure and follow-up times. Mortality risk increased at hemoglobin concentrations >11.5 g/dL, consistent with findings from erythropoiesis-stimulating agent clinical trials. The apparently beneficial short-term effects associated with higher hemoglobin concentrations suggest that hemoglobin measurements capture unmeasured elements of patient risk.

> the association between hemoglobin concentration and important patient-relevant outcomes of mortality, hospitalization, and emergency department (ED) utilization.

### **METHODS**

The study was conducted under a monitoring and evaluation contract with the CMS. The CMS Privacy Board approved the use of CMS data (CONT-2016-50530), and the study was granted human subjects exempt status by the Ethical and Independent Services Institutional Review Board (E&I ID#20013).

The main exposure variable was hemoglobin concentration as reported via Consolidated Renal Operations in a Web-enabled Network by dialysis facilities for all patients treated with maintenance dialysis at the end of each month. We used monthly hemoglobin levels recorded from December 2018 through November 2019. We measured outcomes in the month following each hemoglobin measurement. The analysis was restricted to hemodialysis patients covered by the traditional Medicare Part A and B fee-for-service

Analysis of US Medicare Data From 2018 to 2020



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### PLAIN-LANGUAGE SUMMARY

Anemia is characterized by a lower-than-normal concentration of hemoglobin in the blood, which compromises delivery of oxygen to body organs and tissues. Most patients with kidney disease requiring dialysis exhibit some degree of anemia. Anemia has been thought to be related to poor outcomes in this patient population. We used a large Medicare database to study the relationship between hemoglobin concentration and several important patient outcomes, including death, hospitalization, and emergency department visit rates. In general, we found that death, hospitalization, and emergency department visit rates were lower in patients with higher hemoglobin concentrations. Our findings, along with other published studies, suggest that anemia is a sensitive marker of patient risk but not necessarily the cause of poor outcomes.

program who had a 12-month claim history before the hemoglobin measurement.

The outcome measures were mortality, hospitalization, and ED utilization in 2019 (except as otherwise noted). We ascertained patient death based on Medicare reporting mechanisms, including enrollment records, ESRD Death Notification Form (CMS-Form 2746) and the Consolidated Renal Operations in a Web-enabled Network. We derived hospitalization and ED utilization from claims submitted on behalf of beneficiaries covered by the traditional Medicare fee-for-service program. We also evaluated cardiovascular- and infection-specific hospitalization as defined by relevant International Classification of Diseases, Tenth Revision diagnosis codes. Within the cardiovascular category, we evaluated hospitalizations related to congestive heart failure, acute myocardial infarction, cardiac dysrhythmia, and cardiac arrest. Within the infection category, we evaluated hospitalizations related to septicemia, dialysis access, and coronavirus disease 2019. We used hemoglobin and hospitalization data from 2020 for the coronavirus disease 2019 analysis only.

We analyzed outcomes as time-to-event using the Cox proportional hazards model, using a robust sandwich covariance matrix estimate to account for within-patient correlation. The hospitalization and ED outcomes were censored for mortality. For hospitalization and ED visits, we used the first event in the follow-up window after the hemoglobin measurement. Patients were potentially eligible for evaluation for multiple months. We used a basic set of adjustment factors including age (categorical and continuous), sex, race, ethnicity, diabetes as cause of kidney failure, and time receiving dialysis. We created a separate set of models that adjusted for 23 comorbid conditions (see Table 1)<sup>5</sup> in addition to basic patient characteristics. The comorbid conditions were derived from diagnosis codes in Medicare claims in the year before each monthly hemoglobin measurement. Diagnosis codes were mapped to Agency for Healthcare Research and Quality condition codes using the approach used for the All-Cause Hospital Readmission Reduction Program.<sup>5</sup> The statistical models expressed mortality, hospitalization, and ED risk as hazard ratios and were displayed graphically using a log-spaced scale.

### RESULTS

Patient characteristics are summarized in Table 1 for 371,250 patients who accounted for 3,326,072 patient-months. The prevalence of most comorbid conditions was higher among patients with low hemoglobin levels.

Fig 1 shows the hemoglobin concentration distribution across months. The modal hemoglobin was in the 10.5-11 g/dL category, which was used as the reference group in statistical models. Hemoglobin measurements include erythropoiesis-stimulating agent (ESA) users (approximately 74% of patients) and ESA nonusers.

Fig 2 shows the association between mortality and hemoglobin concentration. The all-cause mortality risk was lower with each step increase in the hemoglobin concentration range from <9 to 11-11.5 g/dL. Above a hemoglobin concentration of 11.5 g/dL, the mortality risk was progressively higher at each hemoglobin category. The adjustment for comorbid condition attenuated a portion of the mortality risk in the lower 4 hemoglobin categories but had minimal impact on hemoglobin concentration >10 g/dL. Findings were similar when analyzed by ESA use status except the upward movement of mortality at higher hemoglobin concentrations was not found among ESA nonusers. Table S1 shows hazard ratios, confidence limits and P values at each hemoglobin category for mortality and the other outcome measures.

The association between hospitalization risk and hemoglobin appears in Fig 3. The all-cause hospitalization rate was progressively lower at each hemoglobin category from <9 to 11-11.5 g/dL, after which the risk was stable (left panel). As with mortality, adjustment for comorbid condition attenuated the magnitude of the association at the lower hemoglobin concentration ranges. The comorbid condition-adjusted hospitalization rates for cardiovascular and infection-related conditions showed a similar inverse association with hemoglobin, plateauing at approximately 11.5 g/dL (right panel). Unlike mortality, hospitalization risk did not increase at higher hemoglobin concentrations. The comorbid condition adjustment attenuated the cause-specific hospitalization risk as it did for all-cause risk.

Similar associations with hemoglobin concentration were found for specific categories of cardiovascular hospitalization, including congestive heart failure, acute myocardial infarction, arrhythmia, and cardiac arrest (Fig 4). Fig 5 shows the association for several categories of infectionrelated hospitalization, including sepsis, dialysis access,

### Table 1. Patient Characteristics by Hemoglobin Category for Medicare FFS Patients

	Hemoglobin Category (g/dL)								
	<9	9-<9.5	9.5-<10	10-<10.5	10.5-<11	11-<11.5	11.5-<12	12-<13	≥13
Unique Patients	41,812	26,952	37,770	57,120	65,138	56,611	36,117	32,574	17,156
Patient-Months	276,479	203,623	318,150	523,946	631,444	552,019	345,841	307,939	166,631
Age, y									
Mean (SD)	64.4	64.4	64.2	64.1	64.3	64.2	63.7	62.5	59.2
	(14.3)	(14.1)	(14.1)	(13.9)	(13.8)	(13.7)	(13.8)	(13.9)	(13.3)
18-44	4,373	2,590	3,680	5,356	5,865	5,068	3,418	3,508	2,405
	(10.5%)	(9.6%)	(9.7%)	(9.4%)	(9.0%)	(9.0%)	(9.5%)	(10.8%)	(14.0%)
45-64	13,986	9,423	13,785	21,577	24,637	21,649	14,183	13,578	8,491
	(33.5%)	(35.0%)	(36.5%)	(37.8%)	(37.8%)	(38.2%)	(39.3%)	(41.7%)	(49.5%)
65-74	12,816	8,166	11,048	16,411	18,888	16,442	10,299	8,900	4,022
	(30.7%)	(30.3%)	(29.3%)	(28.7%)	(29.0%)	(29.0%)	(28.5%)	(27.3%)	(23.4%)
75+	10,637	6,773	9,257	13,776	15,748	13,452	8,217	6,588	2,238
	(25.4%)	(25.1%)	(24.5%)	(24.1%)	(24.2%)	(23.8%)	(22.8%)	(20.2%)	(13.0%)
Sex: F	19,439	12,567	17,681	26,411	29,411	24,485	14,995	11,953	4,312
	(46.5%)	(46.6%)	(46.8%)	(46.2%)	(45.2%)	(43.3%)	(41.5%)	(36.7%)	(25.1%)
Race	( ) ) ) )	( ) ) ) )	(		( ) )	( ) ) ) )		(******	(
White	23,923	16,106	22,529	33,697	38,363	33,716	21,841	19,888	10,713
	(57.2%)	(59.8%)	(59.7%)	(59%)	(58.9%)	(59.6%)	(60.5%)	(61.1%)	(62.4%)
Black	15,302	9,092	12,641	19,385	22,009	18,891	11,902	10,550	5,394
	(36.6%)	(33.7%)	(33.5%)	(33.9%)	(33.8%)	(33.4%)	(33%)	(32.4%)	(31.4%)
Asian	1,575	1,128	1,615	2,370	2,776	2,342	1,366	1,126	491
	(3.8%)	(4.2%)	(4.3%)	(4.2%)	(4.3%)	(4.1%)	(3.8%)	(3.5%)	(2.9%)
Pacific Islander	456	292	450	750	930	805	422	459	252
	(1.1%)	(1.1%)	(1.2%)	(1.3%)	(1.4%)	(1.4%)	(1.2%)	(1.4%)	(1.5%)
American Indian and	419	289	447	773	871	691	510	447	262
Alaska Native	(1%)	(1.1%)	(1.2%)	(1.4%)	(1.3%)	(1.2%)	(1.4%)	(1.4%)	(1.5%)
Other/unknown/	137	45	88	145	189	166	76	104	44
multiple	(0.3%)	(0.2%)	(0.2%)	(0.3%)	(0.3%)	(0.3%)	(0.2%)	(0.3%)	(0.3%)
Ethnicity: Hispanic	5,028	3,569	5,398	8,753	10,085	8,659	5,462	4,871	2,631
	(12%)	(13.2%)	(14.3%)	(15.3%)	(15.5%)	(15.3%)	(15.1%)	(15%)	(15.3%)
<b>Diabetes</b> as cause of kidney failure	18,799	12,762	18,069	27,400	31,188	26,831	16,763	14,388	6,689
	(45.0%)	(47.4%)	(47.8%)	(48.0%)	(48.0%)	(47.4%)	(46.4%)	(44.2%)	(39.0%)
Time with kidney failure	. ,						. ,	, ,	. ,
<1 y	16,085	7,779	8,514	10,180	9,888	8,803	5,840	5,243	1,943
	(38.5%)	(28.9%)	(22.5%)	(17.8%)	(15.2%)	(15.6%)	(16.2%)	(16.1%)	(11.3%)
1-2 у	8,510	6,301	9,776	16,101	19,287	16,931	10,798	9,483	4,197
	(20.4%)	(23.4%)	(25.9%)	(28.2%)	(29.6%)	(29.9%)	(29.9%)	(29.1%)	(24.5%)
З-4 у	6,188	4,699	7,087	11,407	13,283	11,262	6,918	5,979	3,148
	(14.8%)	(17.4%)	(18.8%)	(20%)	(20.4%)	(19.9%)	(19.2%)	(18.4%)	(18.4%)
4+ y	11,029	8,173	12,393	19,432	22,680	19,615	12,561	11,869	7,868
	(26.4%)	(30.3%)	(32.8%)	(34%)	(34.8%)	(34.7%)	(34.8%)	(36.4%)	(45.9%)
Comorbid conditions	. ,	. ,	. ,			. ,		. ,	. ,
Arrhythmia	21,748	12,923	16,924	24,212	27,026	23,404	15,037	13,369	6,524
	(52%)	(48%)	(44.8%)	(42.4%)	(41.5%)	(41.3%)	(41.6%)	(41%)	(38%)
Arthritis	4,404	2,625	3,311	4,665	5,098	4,202	2,698	2,421	1,081
	(10.5%)	(9.7%)	(8.8%)	(8.2%)	(7.8%)	(7.4%)	(7.5%)	(7.4%)	(6.3%)
CHF	26,755	16,285	21,473	30,771	34,026	29,110	18,459	16,179	7,682
	(64%)	(60.4%)	(56.9%)	(53.9%)	(52.2%)	(51.4%)	(51.1%)	(49.7%)	(44.8%)
COPD	13,178	7,770	9,870	13,641	15,040	12,975	8,352	7,545	3,535
	(31.5%)	(28.8%)	(26.1%)	(23.9%)	(23.1%)	(22.9%)	(23.1%)	(23.2%)	(20.6%)
Coronary, cerebral, peripheral atherosclerosis	34,820	22,000	30,263	44,864	50,845	44,244	28,148	25,187	12,916
	(83.3%)	(81.6%)	(80.1%)	(78.5%)	(78.1%)	(78.2%)	(77.9%)	(77.3%)	(75.3%)
Liver cirrhosis	3,556	1,718	2,154	2,895	3,006	2,502	1,526	1,425	650
	(8.5%)	(6.4%)	(5.7%)	(5.1%)	(4.6%)	(4.4%)	(4.2%)	(4.4%)	(3.8%)
Coagulation defect	12,233	6,650	8,475	11,570	12,369	10,603	6,668	6,018	3,291
	(29.3%)	(24.7%)	(22.4%)	(20.3%)	(19%)	(18.7%)	(18.5%)	(18.5%)	(19.2%)
Drug/alcohol	3,120	1,590	2,021	2,678	2,803	2,411	1,542	1,560	790
	(7.5%)	(5.9%)	(5.4%)	(4.7%)	(4.3%)	(4.3%)	(4.3%)	(4.8%)	(4.6%)

(Continued)

	Hemoglobin Category (g/dL)								
	<9	9-<9.5	9.5-<10	10-<10.5	10.5-<11	11-<11.5	11.5-<12	12-<13	≥13
Gastrointestinal	14,690	7,845	9,485	12,668	13,657	11,532	7,373	6,631	3,117
	(35.1%)	(29.1%)	(25.1%)	(22.2%)	(21%)	(20.4%)	(20.4%)	(20.4%)	(18.2%)
Hip fracture	1,389	779	967	1,353	1,432	1,232	792	662	274
	(3.3%)	(2.9%)	(2.6%)	(2.4%)	(2.2%)	(2.2%)	(2.2%)	(2%)	(1.6%)
Lung disease	2,829	1,611	1,924	2,617	2,767	2,483	1,611	1,349	642
	(6.8%)	(6%)	(5.1%)	(4.6%)	(4.3%)	(4.4%)	(4.5%)	(4.1%)	(3.7%)
Malnutrition	9,689	5,103	6,548	9,341	10,316	8,811	5,962	5,171	2,390
	(23.2%)	(18.9%)	(17.3%)	(16.4%)	(15.8%)	(15.6%)	(16.5%)	(15.9%)	(13.9%)
Metastatic cancer	1,484	674	649	833	870	721	409	335	154
	(3.6%)	(2.5%)	(1.7%)	(1.5%)	(1.3%)	(1.3%)	(1.1%)	(1%)	(0.9%)
Other cancers	9,732	5,565	7,083	10,051	11,085	9,432	5,983	5,198	2,468
	(23.3%)	(20.7%)	(18.8%)	(17.6%)	(17%)	(16.7%)	(16.6%)	(16%)	(14.4%)
Other infectious disease	27,452	16,375	21,829	31,124	34,416	29,706	18,743	16,461	7,898
	(65.7%)	(60.8%)	(57.8%)	(54.5%)	(52.8%)	(52.5%)	(51.9%)	(50.5%)	(46%)
Paralysis	9,484	5,403	7,058	9,851	10,907	9,449	6,189	5,435	2,685
	(22.7%)	(20.1%)	(18.7%)	(17.3%)	(16.7%)	(16.7%)	(17.1%)	(16.7%)	(15.7%)
Psychiatric	18,701	11,190	14,808	20,799	22,685	19,527	12,584	11,274	5,556
	(44.7%)	(41.5%)	(39.2%)	(36.4%)	(34.8%)	(34.5%)	(34.8%)	(34.6%)	(32.4%)
Respiratory failure	2,026	869	977	1,150	1,207	983	609	571	250
	(4.9%)	(3.2%)	(2.6%)	(2%)	(1.9%)	(1.7%)	(1.7%)	(1.8%)	(1.5%)
Seizure disorder	4,933	2,635	3,399	4,715	4,947	4,184	2,600	2,296	1,177
	(11.8%)	(9.8%)	(9%)	(8.3%)	(7.6%)	(7.4%)	(7.2%)	(7.1%)	(6.9%)
Sepsis	12,109	6,354	7,599	9,977	10,912	9,380	6,100	5,526	2,647
	(29%)	(23.6%)	(20.1%)	(17.5%)	(16.8%)	(16.6%)	(16.9%)	(17%)	(15.4%)
Severe cancer	3,312	1,591	1,876	2,459	2,581	2,121	1,296	1,108	475
	(7.9%)	(5.9%)	(5%)	(4.3%)	(4%)	(3.8%)	(3.6%)	(3.4%)	(2.8%)
Shock	17,437	9,514	11,826	15,844	16,991	14,547	9,240	7,943	3,602
	(41.7%)	(35.3%)	(31.3%)	(27.7%)	(26.1%)	(25.7%)	(25.6%)	(24.4%)	(21%)
Skin ulcer	10,872	6,024	7,718	10,839	11,696	10,203	6,489	5,943	3,037
	(26%)	(22.4%)	(20.4%)	(19%)	(18%)	(18%)	(18%)	(18.2%)	(17.7%)

Table 1 (Cont'd). Patient Characteristics by Hemoglobin Category for Medicare FFS	fable 1 (	(Cont'd). Patient	Characteristics by	/ Hemoalobin	Category	for Medicare FFS	Patients
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*Note:* Cells show patient count and percent in parentheses unless otherwise indicated. Abbreviations: CHF, congestive heart failure; COPD, chronic obstructive pulmonary disease; FFS, fee-for-service.

and coronavirus disease 2019. The risk profile was similar for the infection-related categories.

The association between ED utilization risk and hemoglobin appears in Fig 6. Risk was lower at progressively higher hemoglobin concentrations (left panel). The comorbid condition adjustment attenuated the risk at lower hemoglobin concentrations. Similar patterns were seen for ED episodes associated with hospitalization and those not associated with hospitalization (right panel).

### DISCUSSION

We found a strong, consistent association between hemoglobin concentration and the 1-month risk of multiple patient outcome and utilization measures, including mortality, all-cause hospitalization, multiple cause-specific hospitalizations, and ED utilization. These findings add to the body of observational studies with similar findings.<sup>6-14</sup> Compared with most prior reports, this study captures a more recent era of anemia management characterized by bundled payments for anemia medications, refinements to clinical practice guidelines,<sup>15</sup> and the Food and Drug Administration-approved drug label for ESAs.<sup>16</sup> Furthermore, the proportion of patients receiving dialysis maintained with a hemoglobin concentration <10 g/dL has increased dramatically since 2006.<sup>17</sup> In addition, our data source included a large number of patients and observation periods relative to most prior studies. The large sample size allows estimates of risk magnitude over a wide range of hemoglobin concentrations. We were also able to include a wide variety of outcome and utilization measures. Also, we used relatively short exposure and follow-up periods (1 month) in alignment with the original data collection process. Our findings contribute to the ongoing discussion about hemoglobin targets and the differing findings from observational studies compared with clinical trials.

The study outcomes of mortality, hospitalization, and ED utilization were affected by the comorbid condition adjustment (Figs 2, 3, and 6). In all cases, the risk profile was attenuated but not eliminated at the lower hemoglobin concentrations, generally <10 g/dL. The comorbid condition adjustment did not alter the risk profile at higher hemoglobin concentrations. The findings suggest that patients who present with lower hemoglobin concentrations have poorer health status, independent of the hemoglobin concentration. A low hemoglobin concentration is a marker of

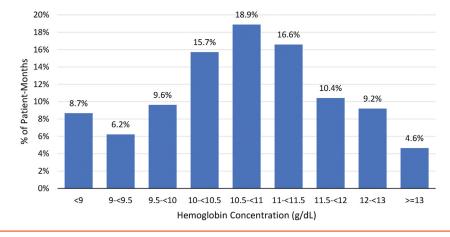
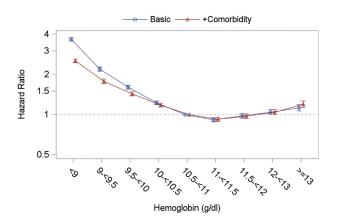


Figure 1. Distribution of monthly hemoglobin levels.

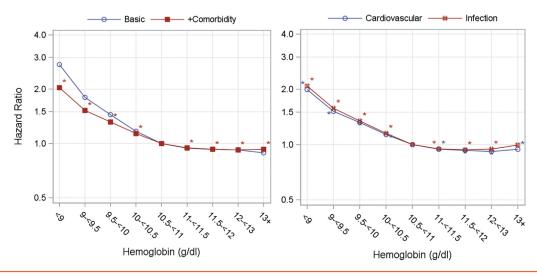
compromised health status as well as a potential mediator. The finding that multiple outcome measures demonstrate a similar inverse association with hemoglobin suggests an important role for patient health status at lower hemoglobin concentrations. Comorbid conditions were more prevalent for the lower hemoglobin categories (Table 1). Our comorbid condition adjustment derives from claims-based diagnosis and does not necessarily capture all aspects of patient risk, including severity of illness. We cannot exclude the possibility that more complete or accurate comorbid condition adjustment would further attenuate the observed associations. The effect of hemoglobin on human health is generally considered to be a chronic effect manifest over years of exposure and follow-up. The finding of strong associations between hemoglobin and various clinical outcomes over short exposure and follow-up periods suggests that hemoglobin may be capturing unmeasured markers of patient risk.

Mortality risk was inversely associated with hemoglobin from the lowest observed level (<9 g/dL) through levels of 11-11.5 g/dL (Fig 2). At hemoglobin levels above 11.5 g/dL, we observed a directional change in the association toward higher mortality that was statistically significant above 12 g/dL. This finding is consistent with several clinical trials of ESAs that compared outcomes in control patients with average hemoglobin concentrations of 10.3-11.6 g/dL with intervention patients treated with average hemoglobin concentrations of 12.5-13 g/dL.<sup>18-21</sup> The high hemoglobin intervention groups did not realize the expected improvements in survival or quality of life and, rather, experienced excess adverse outcomes, including mortality and stroke. The finding is also consistent with the Kidney Disease: Improving Global Outcomes (KDIGO) anemia management guideline, which recommends that ESAs not be used to maintain hemoglobin concentration >11.5 g/dL in adult patients.<sup>15</sup> Our finding also aligns with the Food and Drug Administration-directed drug label for use of ESAs in adult patients receiving dialysis, which advises dose reduction or interruption as the hemoglobin level approaches or exceeds 11 g/dL.<sup>16</sup>

In the territory of hemoglobin concentrations <11.5 g/dL, our analysis is supportive of current clinical practice. The clinical trials conducted in support of agents that stimulate erythropoiesis demonstrated hematologic efficacy but did not examine mortality and other clinical outcomes in this hemoglobin range.<sup>22</sup> In the absence of ESA treatment, most patients receiving dialysis have a hemoglobin concentration <9 g/dL. The KDIGO anemia guideline asserts that treatment of hemoglobin concentrations <9 g/dL is associated with improved quality of life and transfusion avoidance.<sup>15</sup> However, uncertainty remains in the hemoglobin range of approximately 9-11 g/dL. The distribution of actual hemoglobin measurements shows that approximately 40% of patients have hemoglobin concentrations <10.5 g/dL and >31% of patients have a hemoglobin concentration between 9 and 10.5 g/dL (Fig 2). In the absence of clinical trial results that focus on hemoglobin levels below approximately 11 g/ dL, the available clinical practice guidelines offer a decision framework. The Food and Drug Administration-approved drug label states that ESA treatment should be initiated in adult patients receiving dialysis when the hemoglobin level is <10 g/dL.<sup>16</sup> The drug label does not specify a lower-range



**Figure 2.** Mortality risk vs hemoglobin adjusted for basic patient characteristics and, additionally, for comorbid conditions. Reference hemoglobin, 10.5-11 g/dL.



**Figure 3.** Hospitalization risk vs hemoglobin adjusted for basic patient characteristics and, additionally, for comorbid conditions (left panel). Cardiovascular and infectious hospitalization risk vs hemoglobin adjusted for basic patient characteristics and comorbid conditions (right panel). Reference hemoglobin, 10.5-11 g/dL.

hemoglobin target. The KDIGO anemia guideline for adult patients receiving dialysis recommends starting ESA therapy when the hemoglobin is between 9.0 and 10.0 g/dL to avoid having the hemoglobin concentration are <9.0 g/dL.<sup>15</sup> Most of the patients in the observed hemoglobin distribution (Fig 1) are within the hemoglobin targets set in the KDIGO anemia management guidelines.

In summary, we used the national Medicare data set to produce a detailed analysis of the association between hemoglobin and several outcomes, including mortality, hospitalization, and ED visits among patients receiving dialysis. We found that mortality risk declined with increasing hemoglobin levels up to 11.5 g/dL, above which mortality started to increase. We found that hospitalization and ED utilization risk declined with increasing hemoglobin levels up to approximately 12 g/dL, above

**Figure 4.** Specific causes of cardiovascular hospitalization risk vs hemoglobin adjusted for basic patient characteristics and comorbid conditions. Symbols: \* P < 0.0001; † P < 0.001; ‡ P < 0.05compared with reference hemoglobin of 10.5-11 g/dL. CHF, congestive heart failure, MI, myocardial infarction.

which risk stabilized. The observed risks were reduced after adjustment for measured patient comorbid condition based on claims diagnoses. Adjustment for case-mix severity could further attenuate the risk profile, and the lack of severity information constitutes a study limitation. These findings generally support current guidelines and clinical practice related to anemia management.

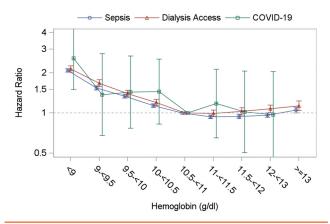
### SUPPLEMENTARY MATERIAL

#### Supplementary File (PDF)

Table S1: Patient Outcomes by Hemoglobin Category.

### **ARTICLE INFORMATION**

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**Figure 5.** Specific causes of infection-related hospitalization risk vs hemoglobin adjusted for basic patient characteristics and comorbid conditions. Reference hemoglobin, 10.5-11 g/dL. COVID-19, coronavirus disease 2019.

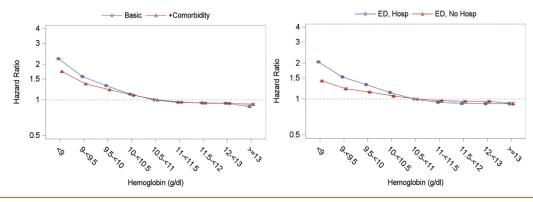


Figure 6. ED utilization risk vs hemoglobin adjusted for basic patient characteristics and, additionally, for comorbid conditions (left panel). ED utilization risk with and without subsequent hospitalization vs hemoglobin adjusted for basic patient characteristics and comorbid conditions (right panel). Reference hemoglobin, 10.5-11 g/dL. ED, emergency department.

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