

Journal of International Medical Research 49(3) 1–13 © The Author(s) 2021 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/0300060521994926 journals.sagepub.com/home/imr



Role of circulating tumor cells in diagnosis of lung cancer: a systematic review and meta-analysis

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Abstract

Objective: We systematically reviewed the literature relating to the diagnostic accuracy of circulating tumor cells (CTCs) for the clinical determination of lung cancer.

Methods: This meta-analysis aimed to evaluate the diagnostic accuracy of CTCs for the clinical determination of lung cancer. The PubMed, Embase, Cochrane Library, and Web of Science databases were searched for relevant studies up to 31 May 2020. The numbers of patients with true positive, false positive, false negative, and true negative results were extracted from each individual study. Pooled sensitivity, specificity, and area under the curve values were calculated with 95% confidence intervals (CI).

Results: Twenty-one studies with 3997 subjects met the inclusion criteria. The overall diagnostic accuracy was assessed. The pooled sensitivity and specificity were 0.72 (95%CI: 0.65–0.79) and 0.96 (95%CI: 0.91–0.98), respectively, and the pooled positive and negative likelihood ratios were 16.86 (95%CI: 7.65–37.12) and 0.29 (95%CI: 0.23–0.37), respectively. The combined diagnostic odds ratio was 58.12 (95%CI: 24.82–136.09).

Conclusion: This meta-analysis indicated that CTCs had good diagnostic value for detecting lung cancer.

Keywords

Lung cancer, circulating tumor cell, diagnosis, meta-analysis, biomarker, sensitivity, specificity

Date received: 23 October 2020; accepted: 21 January 2021

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Introduction

Lung cancer remains one of the most common malignancies worldwide. An estimated 228,820 people in the United States were diagnosed with lung cancer in 2020, accounting for 135,700 deaths in the same year.¹ Despite growing experience in the diagnosis and treatment of lung cancer, the outcome may still be unsatisfactory because most patients are diagnosed with advanced-stage disease, primarily as a result of the lack of effective methods for its early diagnosis.^{2,3}

Low-dose spiral computed tomography (LDCT) is currently considered a promising method for lung cancer screening.4,5 In 2011, the National Lung Screening Trial in the USA demonstrated that LDCT was four times more sensitive than X-ray imaging for detecting lung cancers.^{6,7} However, early-stage tumor lesions are usually small, leading to an increased false-positivity rate of LDCT. Histopathology is the ultimate gold standard for lung cancer diagnosis; however, it can be subjective and is limited by the experience of the pathologists. Tumor markers, such as carcinoembryonic antigen (CEA), fragments of cytokeratin-19 (CYFRA21-1), neuron-specific enolase (NSE), and squamous cell carcinoma antigen (SCC) can also be used for the diagnosis of lung cancer⁸; however, the nonspecificity of the test results mean that using a single tumor marker for lung cancer diagnosis is generally unreliable.^{8,9}

Cancer cells metastasize through the bloodstream, either as single migratory circulating tumor cells (CTCs), multicellular tumor aggregates such as circulating tumor microemboli, or as multicellular groupings (CTC clusters). Examination of CTCs can improve our understanding of the mechanism of metastasis, and allow monitoring of tumor prognosis.^{10,11} CTCs have also demonstrated value as a potential novel diagnostic and prognostic tumor marker for various cancers, including lung cancer.^{12,13} Current capture and separation methods for CTCs can be divided broadly into biophysical and biochemical methods, based on their physical properties, such as cell size, density, and shape, and on the expression of tumor cell surface proteins, such as epithelial cell adhesion molecule (EpCAM), respectively.^{14,15} However, the diagnostic parameters used have varied among previous studies. The current meta-analysis thus aimed to systematically evaluate the diagnostic value of CTCs in lung cancer.

Methods

The present meta-analysis was conducted according to the Preferred Reporting Items for Systematic reviews and Meta-Analyses guidelines (PRISMA). The study was not registered with PROSPERO, but future studies will be registered accordingly.

Literature search

We conducted a systematic literature search of the PubMed. Web of Science. Cochrane Library, and Embase databases using the main search terms "circulating tumor cell", "CTC", "circulating cancer cells", "lung neoplasms", "lung cancer", "lung carcinoma", "NSCLC", "SCLC", "sensitivity and specificity", and "accuracy". All articles published up to 31 May 2020 were searched independently by two authors (QT Zhao and H Zhang). The reference lists of relevant studies were hand-searched to identify additional potential articles of interest. The requirement for ethical approval was waived because this was a meta-analysis of previously published studies.

Inclusion and exclusion criteria

The inclusion criteria were as follows: diagnosis test study design; patients diagnosed with lung cancer by pathology; index test CTCs in blood circulation; and 2×2 contingency table with sufficient data. Studies were excluded if they met one of the following criteria: case reports, editorials, reviews, letter, editorial articles, single-arm trials, and abstracts; duplicate studies; and overlapping or insufficient data for statistical analysis. All the studies were screened for inclusion by two independent investigators (Z Yuan and HE Wang). Discrepancies between the investigators were resolved by discussion and consensus. If a consensus could not be reached, disagreements were resolved by a third investigator (QT Zhao). Study selection is summarized in Figure 1.

Quality assessment

The qualities of the included studies were assessed using the Quality Assessment of Diagnostic Accuracy Studies-2 (QUADAS-2) diagnostic criteria. QUADAS-2 comprises four domains: patient selection, index test, reference standard, and flow and timing. The quality assessment was conducted independently by two investigators.

Data extraction

Information extracted from each article included first author, country of origin, publication year, sample size, mean age, sex, and cut-off value of CTCs in each



Figure 1. Flow chart of search and selection of eligible studies.

study. Absolute numbers of true and false positives and true and false negatives were also extracted.

Statistical analysis

The meta-analysis was performed using software (version 10.0: Stata Stata Corporation, College Station, TX, USA) and Review Manager (RevMan, version 5.3. Copenhagen, Denmark; The Cochrane Collaboration, 2014). The pooled sensitivity, pooled specificity, positive-likelihood negative-likelihood ratio ratio (PLR). (NLR), diagnostic odds ratio (DOR), corresponding 95% confidence interval (CI), and the confidence and prediction contours in summary receiver operating characteristic (SROC) curves were generated using a bivariate mixed-effects binary regression modeling framework. Cochran's Q and χ^2 were used to determine heterogeneity caused by other factors, and a value of $I^2 > 50\%$ was defined as heterogeneity. A *P* value <0.05 was considered statistically significant. Subgroup analysis was used to analyze the source of the heterogeneity. Heterogeneity analysis of sensitivity and specificity was presented separately. Sensitivity analysis was conducted to determine if the assumptions had a major impact on the results of the review. We also used Fagan's nomogram to analyze the clinical value. Publication bias was assessed by Deeks' funnel plot asymmetry.

Results

Search results

The flow chart of the literature search is shown in Figure 1. The included studies were published from 2000 to 2014. Twenty-one studies^{13,16–35} with 3997 subjects, including 2714 lung cancer patients, were recruited in this meta-analysis. The remaining 1283 individuals belonged to

the control groups, comprising healthy vol-unteers in 15 studies^{13,16–19,21,23–25,27,28,30–33} and patients with benign lung disease in 11 studies.^{16,18,19,22,27,29,30,32–35} Zhou et al.³⁵ and Chen et al.18 included two cohorts and reported the sensitivities and specificities separately, referred to as Zhou QJ1 and Zhou OJ2, and Chen X1 and Chen X2, respectively (Table 1). The characteristics of the included studies are displayed in Table 1. Among the 21 trials published from 2009 to 2020, 17 studies enrolled patients from East Asian countries/areas (one from Japan and the remaining 16 from People's Republic of China), two studies were performed in the USA, one in Italy and one in France. Immunohistochemistry and immunofluorescence staining were used in 13 studies^{13,19,21,22,24–29,31,33,34} and polymerase chain reaction (PCR) identification methods were used in eight studies.^{16–} 18,23,30,32,35,36 The count data for primary studies, including true positive (TP), false positive (FP), false negative (FN), and true negative (TN) results were extracted and presented in Table 1.

Diagnostic accuracy analysis

The pooled diagnostic performance of CTCs for detecting lung cancer showed a sensitivity of 0.72 (95%CI: 0.65–0.79) (Figure 2a) and specificity of 0.96 (95% CI: 0.91–0.98) (Figure 2b). The SROC for CTCs had an area under the curve (AUC) of 0.91 (95%CI: 0.88–0.93) (Figure 3). The combined DOR was 58.12 (95%CI: 24.82–136.09), and the combined PLR and NLR were 16.86 (95%CI: 7.65–37.12) and 0.29 (95%CI: 0.23–0.37), respectively.

Fagan's nomogram analysis showed that the post-test probability was increased to 81%, with a pooled PLR of 17 and a fixed pre-test probability of 20%. Conversely, the post-test probability was decreased to 7% with a combined NLR of 0.29 (Figure 4).

Study cohort	Year	Study region	Ethnicity	Patients/ controls	Age, years (median, range)	Cancer type	Control group	ΔNT	Cut-off	Method	Sensitivity	Specificity
Duan et al. ²¹	2020	China	Asian	34/20	59 (30–81)	NSCLC	Healthy	_	_	FISH	43.18	100
He et al. ²⁵	2020	China	Asian	24/72	59.4 (25–85)	NSCLC	Healthy	<u> </u>	_	FISH	62.5	001
Li et al. ²⁷	2019	China	Asian	174/90	58.5	Ľ	Healthy/BLD	> -	2/3.2 mL	FISH	68.39	001
Gu et al. ²⁴	2020	China	Asian	140/140	65.5	NSCLC	Healthy	Ξ	NR	FISH	67.1	80
Zhang et al. ³³	2019	China	Asian	91/20	6 9±5.9	Ľ	Healthy/BLD	>I–II	_	FISH	61.5	001
Manjunath et al. ¹³	2019	America	Caucasian	29/20	56.55±12.04	NSCLC	Healthy	> -	21	FISH	41.4	001
Zhou et al. ³⁵ QJI	2019	China	Asian	197/63	64.26 (36–84)	Ľ	BLD	>	8.3 U/3 mL	PCR	78.6	78.4
Zhou et al. ³⁵ QJ2	2019	China	Asian	93/29	61.4	Ŋ	BLD	> -	8.3 U/3 mL	PCR	82.7	68.8
Qian et al. ²⁸	2018	China	Asian	200/50	NR	Ŋ	Healthy	> -	3.5/mL	FISH	86	98
Chen et al. ¹⁷	2018	China	Asian	41/10	59.34±11.72	NSCLC	Healthy	NR	1/2 ml	PCR	0.732	001
Gao et al. ²³	2018	China	Asian	143/42	z	Ľ	Healthy	> -	2.25/mL	PCR	72	97.6
Ye et al. ³¹	2019	China	Asian	85/30	61 (44–71)	Ľ	Healthy	NR	I/7.5 ml	FISH	92.9	001
Xue et al. ³⁰	2018	China	Asian	72/26	56 (32–77)	NSCLC	Healthy/BLD	> -	8.7 U/3 mL	PCR	81.94	73.08
Zhong et al. ³⁴	2018	China	Asian	241/61	NR	Ŋ	BLD	> -	I.5	FISH	90.5	86.9
Fiorelli et al. ²²	2015	Italy	Caucasian	60/17	62.3 (32–87)	NSCLC	BLD	>	25	НC	89	001
Chen et al. ¹⁸ XI	2015	China	Asian	236/141	71 (48–91)	NSCLC	Healthy/BLD	> -	8.93 U/3 mL	PCR	72.46	88.65
Chen et al. ¹⁸ X2	2015	China	Asian	237/142	58	NSCLC	Healthy/BLD	> -	8.93 U/3 mL	PCR	76.37	82.39
Chen et al. ¹⁹	2014	China	Asian	50/42	63 (33–82)	Ľ	Healthy/BLD	≣⊥	2/3.2 mL	FISH	84	97.6
Yu et al. ³²	2013	China	Asian	153/113	60 (21–81)	NSCLC	Healthy/BLD	> -	8.64 U	PCR	73.2	84.I
Lou et al. ¹⁶	2013	China	Asian	33/44	57 (27–79)	NSCLC	Healthy/BLD	≣⊥	8.5 U	PCR	81.8	93.2
Devriese et al. ²⁰	2012	America	Caucasian	46/46	59 (33–82)	NSCLC	Healthy	NI−dIII	_	PCR	46	93
Hofman et al. ²⁶	2011	France	Caucasian	210/40	59 (23–83)	NSCLC	Healthy	> -	2	HC	39	001
Tanaka et al. ²⁹	2009	Japan	Asian	125/25	61 (43–88)	Ľ	BLD	≥ -	_	FISH	30.4	88
BLD, benign lung dise polymerase chain rea	ase; NR ction.	, not repor	ted; NSCLC,	non-small c	ell lung cancer; LC	c lung cance	er; IHC, immuno	histocher	nistry; FISH, flu	orescence	in situ hybridi	ation; PCR,

Zhao et al.

Table 1. Main characteristics of studies included in the meta-analysis.



Figure 2. (a) Forest plots showing the sensitivity of CTCs in the diagnosis of lung cancer. (b) Forest plots showing the specificity of CTCs in the diagnosis of lung cancer.

CTC, circulating tumor cell; CI, confidence interval; df, degrees of freedom.



Figure 3. Summary receiver operating characteristic curve of CTCs in the diagnosis of lung cancer. CTC, circulating tumor cell; SROC, summary receiver operating characteristic.

Heterogeneity and subgroup analyses

The forest plot of the pooled main diagnostic measures (Figure 2a and 2b) showed significant heterogeneity for the diagnosis of lung cancer (I^2 for sensitivity 94.37%, P < 0.05; I^2 for specificity 81.21%, P < 0.05). Spearman's correlation coefficient was 0.22, demonstrating that the heterogeneity was not caused by the threshold effect.

We investigated the source of the heterogeneity by subgroup analysis stratified according to features including sample size $(\geq 100 \text{ versus } < 100)$, race (Caucasian versus Asian), detection method (PCR versus fluorescence *in situ* hybridization (FISH)), and tumor stage (early, late, and I–IV) (Table 2).

Sensitivity analysis

Sensitivity analysis identified two outliers (Figure 5). Exclusion of these studies decreased the I^2 for heterogeneity in



Figure 4. Fagan's nomogram plot analysis to evaluate the clinical utility of CTCs for the detection of lung cancer. CTC, circulating tumor cell; LR, likelihood ratio.

relation to sensitivity (from 94.37% to 92.26%) and specificity (from 81.21% to 81.32%). The overall results showed only minimal changes. Combined with goodness of fit and bivariate normality analyses, we confirmed the robustness of this meta-analysis.

Quality assessment and publication bias

The qualities of the included studies were assessed using QUADAS-2 criteria (Figure 6). Publication bias was assessed by Deeks' funnel plot asymmetry test.³⁶ The funnel plots showed no asymmetry (Figure 7) and the slope value was non-significant, indicating no publication bias.

Discussion

CTCs are tumor cells with specific biomarkers circulating in the peripheral blood system. CTCs can be detected in blood samples from most patients with various solid tumors, but rarely in samples from healthy individuals.^{37,38} The use of CTCs as a diagnostic and prognostic tool in lung cancer patients is gradually increasing in routine clinical practice, in light of its noninvasive nature and easy repeatability. However, the detection of CTCs is technically challenging, with as few as one CTC per $10^6 - 10^7$ peripheral blood mononuclear cells in patients with solid tumors.37,39 Various new CTC assay methodologies have been developed in recent years, including immunocytochemistry, reverse transcription (RT)-PCR, and the CellSearch System, each of which has certain advantages and disadvantages.^{11,15,37} RT-PCR enables the immunomagnetic enrichment of tumor cells from the peripheral blood of cancer patients and the subsequent RT-PCR expression analysis of the CEA, cytokeratin (CK)20, CK19, and guanylate cyclase-C genes, allowing the detection of tumor cells.¹⁵ The CellSearch system can isolate CTCs using anti-EpCAM ferromagnetic microbeads, but lacks 100% sensitivity.¹¹

Previous meta-analyses have assessed the diagnostic value of CTCs for lung cancer. In 2019, Lyu et al.³⁷ studied the diagnostic values of CTCs and circulating tumor DNA (ctDNA) for detecting epidermal growth factor receptor (EGFR), K-Ras (KRAS), and anaplastic lymphoma kinase (ALK) gene mutations in lung cancer. The results showed that ctDNA and CTC2 had equivalent diagnostic abilities for detecting EGFR and its subtypes, and excellent performances for detecting KRAS and ALK mutations in lung cancer. A recent metaanalysis by Ye et al.⁴⁰ found that CTCs had a high diagnostic value for lung cancer; however, the analysis only included eight studies with relatively few cases, and the results of the trials in a pooled analysis were therefore not robust. Furthermore, the authors did not perform subgroup analyses

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Variable	Sensitivity (95% CI)	1	Specificity (95% CI)	1	PLR (95% CI)	NLR (95% CI)	DOR (95% CI)
Subgroup analyses Subgroup 1							
Asian	0.75 (0.68–0.81)	92.52	0.94 (0.88–0.97)	81.26	13.49 (6.27–29.01)	0.26 (0.20-0.34)	51.17 (21.81–120.07)
Caucasian	0.56 (0.32-0.77)	94.42	0.99 (0.67–1.00)	64.67	58.66 (1.10–3119.96)	0.45 (0.25-0.78)	131 (2.00–8708.18)
Subgroup 2							
Sample size \geq 100	0.73 (0.63–0.81)	96.05	0.94 (0.86–0.97)	81.10	11.42 (5.00–26.07)	0.29 (0.21–0.40)	39.99 (15.51–103.09)
Sample size <100	0.70 (0.58–0.81)	84.66	0.98 (0.90–1.00)	81.19	34.13 (6.88–169.37)	0.30 (0.21–0.44)	113.25 (22.00–583.08)
Subgroup 3							
NSCLC	0.68 (0.57–0.77)	93.10	0.95 (0.86–0.98)	79.22	13.34 (4.71–37.75)	0.34 (0.26–0.46)	38.98 (13.62–111.56)
Lung cancer	0.76 (0.65–0.84)	95.15	0.96 (0.88–0.99)	83.36	18.83 (6.23–56.91)	0.25 (0.17-0.37)	74.29 (21.54–256.19)
Subgroup 4							
Healthy	0.66 (0.53–0.73)	94.42	0.99 (0.93–1.00)	87.52	60.39 (9.46–385.37)	0.34 (0.23–0.50)	177 (25.17–1246.67)
Healthy/benign	0.73 (0.69–0.77)	58.11	0.94 (0.83–0.98)	78.49	11.41 (4.08–31.90)	0.29 (0.26–0.32)	39.76 (14.17–111.60)
lung disease							
Benign lung disease	0.78 (0.57–0.91)	97.90	0.84 (0.74–0.91)	61.99	4.83 (2.77–8.44)	0.26 (0.12-0.57)	18.42 (5.75–59.00)
Subgroup 5							
Early stage	0.70 (0.59–0.80)	77.36	0.98 (0.83–1.00)	88.72	29.32 (3.74–229.90)	0.30 (0.21–0.43)	96.62 (12.01–777.58)
Late stage	0.56 (0.48–0.65)	68.00	0.96 (0.87–0.99)	55.10	8.48 (2.96–24.27)	0.48 (0.32-0.71)	18.29 (3.97–84.46)
	0.73 (0.63–0.81)	95.99	0.93 (0.85–0.97)	79.37	10.84 (4.91–23.95)	0.29 (0.21–0.41)	37.11 (15.75–87–46)
Subgroup 6							
PCR	0.74 (0.69–0.79)	70.13	0.86 (0.80–0.91)	65.39	5.47 (3.82–7.82)	0.30 (0.26–0.34)	18.34 (13.16–25.59)
FISH	0.71 (0.57–0.82)	95.48	0.99 (0.93–1.00)	86.92	52.90 (9.07–308.72)	0.30 (0.19–0.46)	179.29 (27.60–1166.02)
Cl, confidence interval; D polymerase chain reactior	OR, diagnostic odds ratic i; PLR, positive likelihood	o; FISH, flu I ratio.	uorescence <i>in situ</i> hybridi	zation; NI	.R, negative likelihood ratic	o; NSCLC, non-small	cell lung cancer; PCR,

Table 2. Subgroup analyses.



Figure 5. Sensitivity analysis: graphical depiction of residual-based goodness of fit (a), bivariate normality (b), and influence and outlier detection analyses (c and d, respectively).

because of the limited number of eligible studies. Another meta-analysis of four full studies and one abstract analyzed the diagnostic accuracy of ligand-targeted polymerase chain reaction (LT-PCR) for the detection of folate-positive CTCs in lung cancer.41 The pooled sensitivity and LT-PCR for specificity of detecting folate-positive CTCs were 0.77 (95%CI: 0.75-0.79) and 0.87 (95%CI: 0.85-0.89), respectively, with a SROC AUC of 0.84. The results of the current meta-analysis, which included 2714 subjects with lung cancer from 21 diagnostic accuracy studies, demonstrated a high clinical utility of CTCs for the diagnosis of lung cancer, with a pooled sensitivity of 0.72 (95%CI: 0.65-0.79) specificity of 0.96 (95%CI: 0.91-0.98), and corresponding PLR of 16.86 (95%CI: 7.65-37.12) and NLR of 0.29 (95%CI: 0.23–0.37). These results indicated that the overall accuracy of CTCs for lung cancer detection was relatively good. The pooled DOR was 58.12 (95%CI: 24.82-136.09) and the AUC was 0.91 (95%CI: 0.88-0.93). The high DOR suggested a strong discrimination ability for lung cancer. The SROC AUC was 91%, suggesting a high diagnostic value. CTCs had a better diagnostic performance than traditional serum-based biomarkers with sensitivities for CEA, CYFRA 21-1, SCC, and NSE of 47.5%, 47.5%, 49%, and 39.7%, respectively, for diagnosing lung cancer.⁸ CTCs were thus more sensitive and specific conventional serum biomarkers. than Subgroup analyses indicated high diagnostic accuracy in each subgroup, suggesting that CTCs might be a potential biomarker for discriminating between lung cancer patients and controls.

Heterogeneity was a potential problem when interpreting the results. We therefore further evaluated the source of the



Figure 6. Presentation of QUADAS-2 results.

heterogeneity by conducting subgroup and sensitivity analyses in terms of parameters including detection methods, race, and tumor stage. High heterogeneity was observed for the overall accuracy of CTCs for the diagnosis of lung cancer. Because the included studies used different cut-off values, we used Spearman's correlation coefficient to analyze the threshold effect, and showed that the heterogeneity did not



Figure 7. Deeks' funnel plot for detecting publication bias. ESS, effective sample size.

exhibit a threshold effect, suggesting that the heterogeneity may result from other factors, including age distribution and the CTC detection system. For example, the measured CTC values showed good reproducibility among different detection systems, including CellSearch[®] (Silicon Biosystem, Menarini, CvttelTM Italy). (Cyttel Biosciences. Jiangsu, China), CytoploRare[®] (GenoSaber Biotech, Shanghai, China), CellCollector® (GILUPI GmbH, Potsdam, Germany), TelomeScan[®] (Oncolys BioPharma Inc., Tokyo, Japan), ISET (INSERM U 370, Paris, France). and MCA (Tokyo University, Tokyo, Japan).

This study also had some limitations. First, some bias may have been introduced by only including studies published in English, and by the poor quality of several studies. Second, we mainly studied Asian and Caucasian populations, and other ethnic groups were absent from the included studies. Third, we failed to identify the main source of the high heterogeneity because of the limited data provided. Fourth, this meta-analysis was based on published studies, and failure to acquire unpublished data is generally associated with an overestimation of the true effect, resulting in publication bias. Fifth, the cut-off values differed among studies, which may also have led to imbalance. Finally, 18 of the 21 included studies were performed in China, and a more comprehensive analysis would require more studies from other countries.

Conclusions

This meta-analysis suggested that CTCs could act as promising biomarkers, with high sensitivity, specificity, and AUC for the diagnosis of lung cancer. Further high-quality prospective studies are needed to clarify the accuracy of CTCs as diagnostic indicators for lung cancer.

Declaration of conflicting interest

The authors declare that there is no conflict of interest.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship,

and/or publication of this article: This study was supported by the Hebei Provincial Key Medical Research Project (No. 20180160).

Data sharing statement

No additional data are available.

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