

Stroke Incidence and Death in Atrial Fibrillation Patients Newly Treated with Direct Oral Anticoagulants

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Purpose: The objectives were to analyse the determinants of stroke incidence and mortality as a competing event in AF patients newly treated with DOAC and to assess the impact of non-adherence to DOAC treatment.

Methods: It is a population-based retrospective cohort study using the French national healthcare data system. AF patients aged >20 years were affiliated to the general health insurance scheme (66% of the French population) and newly treated with DOAC between 2012 and 2015 were included and followed for 2 years.

Results: Overall 76,795 AF patients were newly treated with DOAC in 2015. Stroke incidence reached 10.1 (95% CI: 9.6–10.6) per 1000 person-year and death 39.7 (95% CI: 38.6–40.8) as a competitive risk. Female sex was associated with a lower risk of death but not of stroke. Non-adherence to DOAC treatment increased the risk of both stroke (42%) and death (38%). Acute coronary syndrome was associated with an increased risk of stroke alone, whereas heart failure decompensation, social deprivation, and haemorrhage were associated with an increased risk of death alone.

Conclusion: Both stroke and death risks remain non-negligible in AF patients treated with DOAC. Non-adherence was associated with an increased risk of stroke and death.

Keywords: atrial fibrillation, stroke, death, adherence, direct oral anticoagulants

Introduction

Atrial fibrillation (AF) has become a major public health concern with increasing incidence and prevalence due to ageing population, the increased prevalence of several vascular risk factors, and a better survival from cardiovascular disease in younger individuals. AF is also associated with a high risk of stroke and death. The majority of AF patients are now treated with oral anticoagulants (OAC) to prevent stroke or systemic embolism; according to data from the GARFIELD-AF, 71.1% were treated as such in 2014–2015.¹ Determinants of stroke in AF patients treated with OAC are rarely described, although they are well established in AF patients not using anticoagulants with several risk stratification scores. These scores are highly useful to identify patients who would otherwise benefit from anticoagulation therapy, but they are not used to detect stroke risk after anticoagulation initiation. Therefore, a better understanding of stroke incidence in AF patients treated with OAC is needed. In particular, the effectiveness of OAC treatment still depends on treatment adherence, even if OAC efficacy has been demonstrated in several trials.² Several studies have pointed out the low adherence to OAC treatment, and

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a decrease in adherence over time.² This observation was made for both vitamin K antagonists (VKA) and direct oral anticoagulants (DOAC).³ A recent meta-analysis estimated an only 66% good adherence to DOACs in AF patients and pointed out the increased risk of thromboembolism in non-adherent patients.³ The better adherence or persistence to DOAC compared to VKA is still being discussed, as studies have yet to find any differences,⁴ including in France.⁵ Furthermore, several studies have shown that non-adherence to VKA therapy was associated with an increased risk of stroke,⁶ similarly to DOAC.^{3,7} Nevertheless, very few studies have reported the association between non-adherence to DOAC and the incidence of thrombo-embolic events, and almost none after more than 1-year of follow-up.

Limited real-life epidemiological data have evaluated the impact of OAC treatment adherence as well as the determinants of stroke incidence in AF patients treated with OAC. The objectives of this study were therefore to estimate the impact of non-adherence to DOAC treatment on stroke incidence and mortality as competing events in AF patients newly treated with DOAC and to identify the determinants of these outcomes.

Methods

Data Source

We used data from the French national healthcare data system (Système national des données de santé – SNDS) to identify patients newly treated with DOAC for AF indication.⁸ The SNDS comprehensively collects individual outpatient data, as well as healthcare prescriptions and procedures reimbursed by the French national health insurance, but it does not provide any clinical data concerning the results of medical visits, prescriptions, or examinations.⁸ Nevertheless, it includes information on the presence of long-term chronic diseases (LTD) eligible for 100% reimbursement of healthcare expenditure after a request by the patient's general practitioner. All this information is linked to the national hospital discharge database including data concerning public and private hospital stays (short-stay hospitals and rehabilitation services).⁸

Study Population

AF patients newly treated with DOAC in France were selected using an algorithm described elsewhere and using both in-patient and out-patient data.⁹ We restricted

our analyses to patients aged over 20 years, residing in metropolitan France (ie, not in the French overseas territories of Reunion Island, French Guiana, Martinique, Guadeloupe, and Mayotte). Finally, the study population was restricted to patients affiliated to the general health insurance scheme (ie, 66% of the French population), as the date of death could not be exhaustively reported for the other insurance schemes.

Follow-Up and Outcomes

The inclusion date corresponded to the date following the period covered by the first DOAC reimbursement. Patients were followed for two calendar years or until the occurrence of one of the following events: death (based on vital status), hospitalization for stroke (ICD-10 codes I60-I64 as primary diagnosis), or another censoring event such as switch to VKA, any hospitalization for systemic embolism (SE), transient ischemic attack, or haemorrhage (see [Supplementary Table I](#) for the ICD-10 codes). The main outcome was the first hospitalization for stroke using death as a competing event. In sensitivity analyses, we also studied ischemic stroke and all strokes/SE as main outcomes (see [Supplementary Table II](#)). Secondary analyses with Major Adverse Cardiovascular Events (MACE), including all-cause death, stroke, systemic embolism and myocardial infarction (MI) as outcomes were also performed (see [Supplementary Table II](#)).

At the time of inclusion, we recorded the following data: age; sex; hospitalization or long-term disease status (LTD) within the 2 previous calendar years for stroke, heart failure, acute coronary syndrome, haemorrhage, or acute kidney disease; and medication reimbursement for antihypertensive drugs, antidiabetics, lipid-lowering drugs, and oral antiplatelet agents in the previous years (if at least 3 reimbursements in the year preceding inclusion). Furthermore, each patient included in the study was attributed a social deprivation index score according to their area of residence, an index developed.¹⁰ CHA₂DS₂-VASc score was computed for complementary analyses (see [Supplementary Table III](#)).

Adherence Definition

Adherence was defined as the number of days covered by the DOAC treatment. We recorded all DOAC reimbursements until the end of follow-up. The number of days covered by the DOAC treatment was calculated by the number of boxes dispensed multiplied by the number of pills per box and divided by the number of pills to be taken daily according to DOAC treatment. The dosage of the first DOAC reimbursement was also recorded. If the

patients were hospitalized during follow-up, including in rehabilitation services, the number of days spent in hospital was considered to cover the DOAC treatment with in-hospital pills. Finally, the number of days covered did not go past the end of the follow-up. Patients were considered adherent if the number of DOAC pills dispensed covered more than 80% of the follow-up days, or in other words, the percentage of days covered (PDC) >80% as used in many previous studies.³ Sensitivity analyses were performed using higher PDC thresholds.

Statistical Analysis

We calculated the crude incidence rates for stroke and death when it preceded stroke. Kaplan–Meier curves were computed. We studied the association between several patients' characteristics and time to stroke. For this purpose, we used Fine & Gray regression models with stroke as main outcome and taking into account death without stroke as a competing event.¹¹ We calculated subdistribution hazard ratio (SHR) for stroke. SHR can be interpreted as the risk of a factor on the incidence of stroke and death without stroke. Then, we specified cause-specific Cox regression models to compute cause-specific hazard ratios (CHR) for stroke and death, respectively. In these models, the estimation of the hazard function is computed for one outcome only, the other competing cause being censored. Reporting both SHR for stroke, CHR for stroke and CHR for death allowed to better understand the effect of each covariate on stroke risk. For both Fine & Gray and Cox models, proportional hazard assumptions were verified.

Multivariate analyses took into account: age, sex, social deprivation index of the city of residence, year of DOAC initiation, adherence to DOAC, dosage of the first DOAC delivered, source of AF identification, AF-related medical procedures, comorbidities in the 2 years preceding inclusion (stroke, heart failure, acute coronary syndrome, haemorrhage, acute kidney disease), treatments of the vascular risk (antihypertensive, lipid-lowering, antidiabetic and antiplatelet drugs).

SAS (version 7.11, SAS Institute Inc., Cary, NC, USA) and R software (version 3.4.3.) were used for statistical analyses.

Results

In 2015, 76,795 patients were included in the study. Median age was 75 years, with 62% of patients aged between 65 and 84 years (Table 1). Women represented 46.0% of patients, and they had a higher median age than

men (78 vs 72 years). Overall, 21.0% of patients were in the highest quintile of social deprivation compared to 19.1% in the lowest quintile. History of stroke was reported in 6.4% of patients and history of heart failure decompensation in 12.9% of patients. Treatments for hypertension, diabetes, and hyperlipidaemia were observed in 73.4%, 18.9%, and 40.5% of patients, respectively. Women, who were generally older, had higher rates of history of stroke, heart failure, and antihypertensive treatment compared to men, but they were less likely to have acute coronary syndrome and diabetes or take lipid-lowering drugs.

Adherence to DOAC treatment reached 66.6% of patients in the 2015 cohort during the follow-up period. Adherent patients were older, more socially deprived, and had more history of stroke (7.9% vs 3.4%) and heart failure (15.3% vs 12.2%) compared to non-adherent patients (Table 1). They also were more frequently treated for arterial hypertension (78.3% vs 64.1%), diabetes (20.8% vs 15.6%), and hyperlipidaemia (45.0% vs 31.8%). Adherence was significantly higher in women (68.9%) than in men (64.7%). Furthermore, women were less likely to receive standard DOAC doses (51.3% vs 67.1% in men).

Over a median follow-up of 700 days for the 2015 cohort, the incidence of stroke was 10.1 (95% CI: 9.6–10.6) per 1000 person-year, being 7.2 (95% CI: 6.8–7.7) for ischemic stroke and 13.2 (95% CI: 12.6–13.9) for all strokes/SE. The incidence of death before stroke was 39.7 (95% CI: 38.6–40.8) per 1000 person-year in 2015. Crude incidences of stroke and death, respectively, were lower in adherent patients compared to non-adherent patients, as shown in the Kaplan–Meier curves in Figure 1 (A (stroke or death), B (stroke), C (death)) and Table 1.

Results from multivariate analyses are shown in Table 2. Non-adherence to DOAC treatment was associated with a substantial increase in the risk of stroke (SHR 1.42, 95% CI: 1.33–1.51) and death (CHR 1.38, 95% CI: 1.33–1.43) after adjustments. Patients receiving a low dose of OAC at initiation were also at a higher risk of stroke (SHR 1.10, 95% CI: 1.02–1.18) and death (CHR 1.31, 95% CI: 1.26–1.36) compared to those initiating OAC at the standard dose. Stroke and death risks increased in AF patients who were identified by hospitalization with AF, an AF-specific medical procedure, or an AF long-term disease status compared to outpatients, as well as in patients with a history of stroke in the 2 previous years (SHR 2.60, 95% CI: 2.38–2.84 and CHR 1.10, 95% CI:

Table 1 Characteristics of the Study Population and Incidence of Stroke and Death in 2015 (N=76,795)

	Men (N=41,429)	Women (N=35,366)	Chi2 p-value for Sex	Total (N=76,795)	Adherent (PDC>80%) N=51,167	Non-Adherent (PDC≤80%) N=25,628	Chi 2 p-value for Adherence
Median age, years	72	78	<0.0001	75	76	72	<0.0001
Age group, %							
20–64	27.2	13.4	<0.0001	20.8	15.0	31.8	<0.0001
65–74	31.8	24.6		28.5	29.8	25.4	
75–84	30.1	37.9		33.7	37.2	26.8	
≥85	11.0	24.1		17.0	18.0	16.0	
Women, %	–	–	–	46.0	47.7	42.8	<0.0001
Social deprivation, %							
• Quintile 1 (least deprived)	19.8	18.4	<0.0001	19.1	18.2	20.8	<0.0001
• Quintile 2	20.0	19.6		19.8	19.5	20.4	
• Quintile 3	19.8	19.9		19.8	19.9	19.7	
• Quintile 4	20.1	20.3		20.2	20.6	19.4	
• Quintile 5 (most deprived)	20.3	21.9		21.0	21.8	19.7	
Direct AF identification^a, %	49.7	54.4	<0.0001	51.9	54.6	47.7	<0.0001
AF-related medical procedure, %	3.8	2.2	<0.0001	3.1	2.5	4.2	<0.0001
Comorbidities in the 2 years preceding inclusion							
• Stroke	5.8	7.2	<0.0001	6.4	7.9	3.4	<0.0001
• Heart failure	12.3	13.6	<0.0001	12.9	15.3	12.2	0.235
• Acute coronary syndrome	3.3	2.2	<0.0001	2.8	2.9	3.1	0.081
• Haemorrhage	1.4	1.1	0.001	1.3	1.3	1.7	0.983
• Acute kidney disease	0.2	0.3	0.018	0.2	0.2	0.3	
Medication reimbursement (at least 3) in the year preceding inclusion							
• Antihypertensive	71.3	75.8	<0.0001	73.4	78.3	64.1	<0.0001
• Antidiabetic	21.1	16.3	<0.0001	18.9	20.8	15.6	<0.0001
• Lipid-lowering	43.7	36.8	<0.0001	40.5	45.0	31.8	<0.0001
• Antiplatelets	47.1	39.8	<0.0001	43.8	47.6	36.7	<0.0001
DOAC adherence (PDC>80%) Only one DOAC delivery, %	64.7	68.9	<0.0001	66.6	100	0	–
	7.5	7.8	<0.0001	7.7	0	22.9	<0.0001

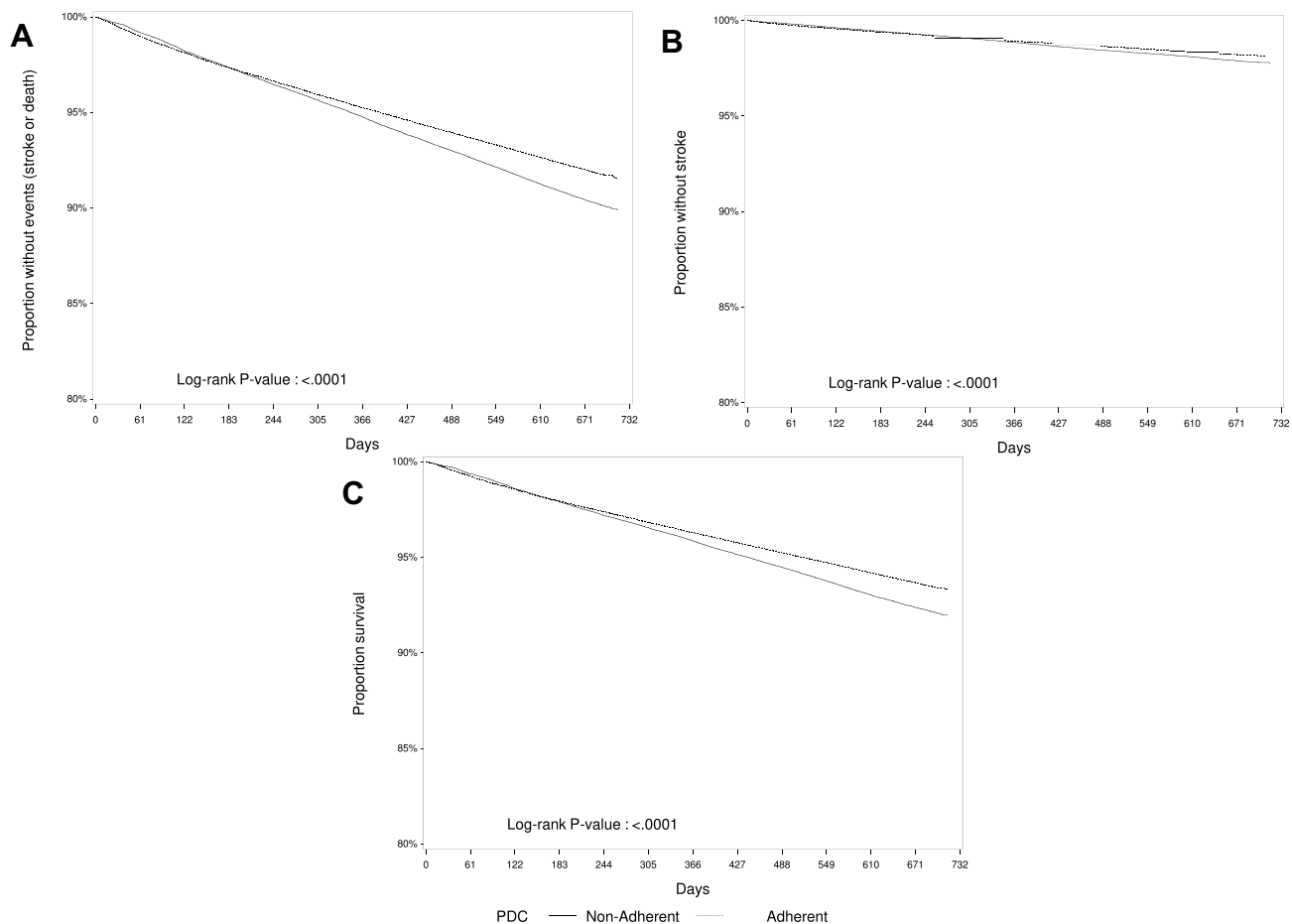


Figure 1 Kaplan–Meier curves for **(A)** all events of interest (stroke and death), **(B)** stroke, and **(C)** death. **Abbreviation:** PDC, percentage of days covered by direct oral anticoagulant treatment.

Italian RENO study.¹³ In our study, the proportion of adherence increased between 2012 (60%, data not shown) and 2015 (67%), concomitantly with a decrease in low-dose DOAC therapy at DOAC initiation, which is encouraging. However, patients were followed for almost 2 years, whereas DOAC therapy is a lifelong treatment; adherence impact should therefore be studied over a longer period.

Sex was not associated with stroke incidence after all adjustments in our study and in sensitivity analyses with either ischemic stroke or all strokes/SE as outcomes (see [Supplementary Table II](#)). Likewise, in PREFER-AF, sex was not mentioned as a stroke predictor in anticoagulated patients.¹⁴ A meta-analysis showed that women were at a higher risk of stroke in AF treated with VKA but not those treated with DOAC.¹⁵ Several recent studies including both anticoagulated and non-anticoagulated patients did not show an excess risk of stroke in women after adjustment.¹⁶ The lower risk of death (all causes) in

women observed in our study was also found in a previous study.¹⁷

A history of heart failure decompensation was strongly associated with death in our study, as shown previously in the literature among general AF patients, including in a few studies examining AF patients treated with DOAC.¹⁸ No significant association was found between heart failure and stroke in our study. Another study did not detect heart failure as an independent predictor of stroke in AF patients treated with OAC (VKA or DOAC),¹⁹ whereas PREFER-AF found heart failure to be an independent risk of stroke. No other trials or cohorts to date have investigated the role of heart failure in the AF population treated with OAC. Our finding is therefore interesting, as heart failure is not shown to be an independent risk factor of stroke in AF patients receiving DOAC. This could be explained by the fact that AF patients with heart failure are at a higher risk of stroke, because of left ventricular thrombus formation²⁰ and

Table 2 Subdistribution Hazard Ratios (SHR) for Stroke from Fine and Gray Models[†] and Cause-Specific Hazard Ratios (CHR) for Stroke and Death from Cox Models[‡], 2012–2015 (N=238,261)

	Univariate Analyses			Multivariate Analyses		
	SHR for Stroke [95% CI]	CHR for Stroke [95% CI]	CHR for Death [95% CI]	SHR for Stroke [95% CI]	CHR for Stroke [95% CI]	CHR for Death [95% CI]
Women vs men	1.20 [1.13–1.28]	1.20 [1.13–1.28]	1.04 [1.01–1.07]	0.95 [0.90–1.02]	0.94 [0.88–1.00]	0.68 [0.66–0.70]
Social deprivation quintile with quintile 1 (least deprived) as reference						
• Quintile 2	0.96 [0.87–1.06]	0.97 [0.88–1.06]	1.04 [0.99–1.10]	0.99 [0.90–1.09]	1.00 [0.90–1.10]	1.09 [1.03–1.14]
• Quintile 3	0.97 [0.88–1.07]	0.98 [0.89–1.07]	1.08 [1.03–1.14]	1.01 [0.92–1.11]	1.02 [0.92–1.12]	1.13 [1.07–1.19]
• Quintile 4	1.00 [0.91–1.10]	1.00 [0.91–1.10]	1.07 [1.02–1.13]	1.03 [0.93–1.13]	1.03 [0.94–1.14]	1.11 [1.06–1.17]
• Quintile 5 (most deprived)	1.01 [0.92–1.12]	1.02 [0.93–1.12]	1.17 [1.11–1.23]	1.03 [0.94–1.14]	1.04 [0.95–1.14]	1.18 [1.12–1.24]
Direct AF identification	1.15 [1.08–1.22]	1.16 [1.10–1.24]	1.31 [1.27–1.35]	1.12 [1.05–1.19]	1.13 [1.06–1.20]	1.18 [1.14–1.22]
AF-related medical procedure	0.49 [0.38–0.63]	0.49 [0.38–0.63]	0.68 [0.61–0.76]	0.62 [0.48–0.80]	0.61 [0.47–0.78]	0.77 [0.69–0.86]
Comorbidities in the 2 years preceding inclusion						
• Stroke	2.80 [2.57–3.05]	2.82 [2.60–3.07]	1.24 [1.17–1.32]	2.60 [2.38–2.84]	2.61 [2.39–2.85]	1.10 [1.04–1.17]
• Heart failure	1.22 [1.12–1.33]	1.30 [1.19–1.41]	2.97 [2.86–3.07]	1.03 [0.94–1.13]	1.09 [0.99–1.20]	2.09 [2.01–2.18]
• Acute coronary syndrome	1.30 [1.10–1.54]	1.32 [1.11–1.56]	1.26 [1.16–1.38]	1.23 [1.03–1.46]	1.23 [1.03–1.46]	0.99 [0.90–1.08]
• Haemorrhage	1.27 [0.99–1.63]	1.32 [1.03–1.71]	2.14 [1.93–2.38]	0.89 [0.69–1.15]	0.92 [0.71–1.18]	1.53 [1.37–1.70]
• Acute kidney disease	1.71 [0.99–2.94]	1.78 [1.03–3.06]	2.00 [1.53–2.61]	1.29 [0.75–2.23]	1.33 [0.77–2.29]	1.13 [0.86–1.48]
Medication reimbursement (at least 3) in the year preceding inclusion						
• Antihypertensive	1.21 [1.12–1.30]	1.22 [1.13–1.32]	1.37 [1.32–1.42]	1.04 [0.96–1.12]	1.04 [0.96–1.13]	1.09 [1.04–1.14]
• Antidiabetic	1.08 [1.02–1.15]	1.08 [1.01–1.15]	0.93 [0.90–0.96]	1.21 [1.12–1.31]	1.23 [1.14–1.33]	1.40 [1.35–1.45]
• Lipid-lowering	1.38 [1.30–1.46]	1.40 [1.32–1.49]	1.64 [1.59–1.70]	0.95 [0.88–1.01]	0.93 [0.87–1.00]	0.80 [0.77–0.82]
• Antiplatelets	1.18 [1.11–1.25]	1.18 [1.11–1.26]	1.20 [1.16–1.24]	1.14 [1.06–1.21]	1.15 [1.07–1.23]	1.25 [1.20–1.29]
DOAC non-adherence (PDC≤80%) vs adherence	1.21 [1.12–1.30]	1.22 [1.13–1.32]	1.37 [1.32–1.42]	1.42 [1.33–1.51]	1.44 [1.35–1.53]	1.38 [1.33–1.43]
Dosage* of the first DOAC (standard dose as reference)						
Low dose	1.67 [1.57–1.77]	1.72 [1.62–1.83]	2.63 [2.55–2.73]	1.10 [1.02–1.18]	1.10 [1.03–1.18]	1.31 [1.26–1.36]

Notes: [†]Multivariate models adjusted for age, year of DOAC initiation, and all covariates. [‡]For Dabigatran, rivaroxaban, and apixaban, the standard dosages were 150mg, 20mg, and 5mg, respectively. In bold: hazard ratios statistically different from 1 (p<0.05).

Abbreviations: AF, atrial fibrillation; CI, confidence interval; DOAC, direct oral anticoagulant; PDC, percentage of days covered.

general prothrombotic state,²¹ which might be prevented under DOAC. Evidence is nevertheless lacking, and the underlying mechanism remains unclear. However, we found that history of heart failure was associated with an increased risk of stroke in patients aged under 65 years (SHR 1.51, 95% CI 1.12–2.03; [Supplementary Table IV](#)), which could be explained by the different pathogenesis of stroke in younger AF patients with heart failure.

Recent hospitalization for stroke, acute coronary syndrome, antiplatelet drugs, and diabetes treatment were all associated with a significantly higher risk of stroke in our study, thus highlighting the fact that these conditions remain independent risk factors of stroke in AF patients treated with DOAC as for general AF patients.²²

We found similar rates of stroke compared to registries of AF patients treated with DOAC in the US^{13,23,24} or the PREFER-AF study based on “real-world” AF patients treated with DOAC across Europe.¹⁴ However, lower rates of stroke were observed in a Japanese multicentre registry.²⁵ Many studies provide outcome rates in AF patients, but they include both anticoagulated and non-anticoagulated AF patients (GARFIELD-AF, EORP-AF),^{18,26} AF patients anticoagulated with DOAC and VKA, or hospitalized AF patients alone.²⁷ Although the stroke risk is reduced in AF patients treated with DOAC compared to untreated patients,²⁸ AF still remains a risk factor for stroke and death.²⁹

Although our study is based on a large population of unselected AF patients from all over France, several limitations should be noted. Firstly, a few risk factors and clinical characteristics such as tobacco smoking, obesity, and AF type were not available in the databases. Secondly, the reasons for non-adherence were not mentioned. However, according to a previous study, the majority of treatment discontinuation or non-adherence is due to patient decision/will and not because of a contraindication or new conditions leading to treatment termination.³⁰ Regardless of the reasons, non-adherence to OAC therapy puts patients at a higher risk of stroke and death according to our results. Therefore, it is to carefully consider the indications for stopping DOAC treatment. We limited our study to AF patients treated with DOAC, as adherence to VKA drugs is difficult to determine using administrative claims databases, since these drugs are breakable. Finally, we did not have access to the mechanisms leading to stroke. Some risk factors could therefore be related to non-cardioembolic stroke and thus unrelated

to AF. In the RENO study, 30% of strokes occurring in AF and treated with OAC were non-cardioembolic in origin.¹³ Finally, what we observed in France might not be generalized to other populations.

Conclusion

Among AF patients newly treated with DOAC, both stroke and death risks remain non-negligible. Several risk factors identified in AF patients were still associated with these outcomes in our population of AF patients treated with DOAC, whereas others like acute decompensation for heart failure were not independent stroke risk factors. We also showed an important excess risk of stroke and death in non-adherent patients. Adherence issues therefore need to be addressed, as they represent a challenge to the benefits of DOAC and, more generally, OAC in AF patients.

Data Sharing Statement

As a governmental agency, we had full access to the data and the right to publish the resulting study. Data cannot be shared as access to the SNDS data has to be approved by the French Data Protection Authority. Only French governmental agencies have access to SNDS for now.

Ethics Approval

As a health research institute, the French Public Health Agency has permanent access to the SNDS database approved by decree and by the French Data Protection Authority. According to French governmental regulations, the examination of the study protocol by the National Ethics Committee was not required.

Consent to Participate

Not applicable as patient and public were not involved in our research.

Consent for Publication

Not applicable as patient and public were not involved in our research.

Author Contributions

AG have drafted and written the article. AG, EC, CG, and VO contributed to the conception, study design, execution, acquisition of data, analysis and interpretation, and substantially revised and critically reviewed the article. AV, PT and YB contributed to the interpretation, substantially revised and critically reviewed the article. All authors have

agreed on the journal to which article will be submitted and on the present submission. All authors have reviewed and agreed on all versions of the article, and agreed to take responsibility and be accountable for the contents of the article.

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