

# Effect of ramucirumab plus paclitaxel in advanced gastric cancer according to the status of programmed cell death-ligand 1 (PD-L1) expression

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**Background:** Ramucirumab, an anti-vascular endothelial growth factor receptor (VEGFR) monoclonal antibody (mAb), inhibits angiogenesis and reduces tumor activity. Programmed cell death-ligand 1 (PD-L1) might act upon VEGFR2 to induce cancer cell angiogenesis and metastasis. Herein, we investigated the efficacy of combining ramucirumab and paclitaxel according to the status of PD-L1 expression in patients with advanced gastric cancer (AGC).

**Methods:** This analysis included AGC patients who received ramucirumab plus paclitaxel as 2<sup>nd</sup> line therapy between December 1, 2018, and February 28, 2022, at Samsung Medical Center. All patient data analyses included an evaluation of PD-L1 expression using the combined positive score (CPS). We analyzed the efficacy and the survival of patients according to their PD-L1 expression.

**Results:** We included 117 patients in this analysis, and 80 patients (68.4%) had a PD-L1 CPS of one or more, 37 (31.6%) had five or more, and 19 (16.2%) had ten or more scores. Progression-free survival (PFS) and overall survival (OS) did not differ significantly between patients with a PD-L1 CPS of less than one and one or more {PD-L1 <1% vs. PD-L1  $\geq$ 1%; PFS: median 3.6 months [95% confidence interval (CI): 2.4–4.8 months] vs. median 4.1 months (95% CI: 3.5–4.7 months), P=0.93; PD-L1 <1% vs. PD-L1  $\geq$ 1%; OS: median 7.0 months (95% CI: 5.4–8.6 months) vs. median 8.1 months (95% CI: 6.4–9.8 months), P=0.32}. PFS and OS did not differ significantly between patients with a PD-L1 CPS of less than 5 and 5 or more [PD-L1 <5% vs. PD-L1  $\geq$ 5%; PFS: 3.9 months (95% CI: 3.3–4.5 months) vs. 4.4 months (95% CI: 3.0–5.8 months), P=0.57; OS: 7.4 months (95% CI: 6.5–8.3 months) vs. 10.0 months (95% CI: 1.1–18.9 months), P=0.07]. Interestingly, with a PD-L1 CPS cutoff of 10, PFS and OS did differ significantly [PD-L1 <10% vs. PD-L1  $\geq$ 10%; PFS: 3.8 months (95% CI: 3.3–4.3 months) vs. 5.7 months (95% CI: 4.1–7.3 months), P=0.05; OS: 7.2 months (95% CI: 6.5–7.9 months) vs. 18.9 months (95% CI: 6.5–31.3 months), P=0.04].

**Conclusions:** No biomarkers have been established to predict survival times after ramucirumab plus paclitaxel treatment. This analysis suggests that a PD-L1 CPS cutoff of 10 might be novel a biomarker to predict the survival of AGC patients treated with ramucirumab and paclitaxel.

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Keywords: Ramucirumab; paclitaxel; advanced gastric cancer (AGC); programmed cell death-ligand 1 (PD-L1)

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Introduction

Gastric cancer (GC) is the fifth most common cancer worldwide and the third leading cause of cancer-related death (1). The incidence of GC is highest in East Asian countries, including Korea, Japan, and China. In Korea, GC is the third most common cancer and the fourth leading cause of cancer-related death (2). Currently, the platinum plus fluoropyrimidine doublet combination is used as the first-line treatment (3), and ramucirumab plus paclitaxel is used as the second-line treatment in metastatic advanced GC (AGC) (4-6). Ramucirumab is a recombinant human IgG1-neutralizing monoclonal antibody (mAb) specific for the ectodomain of vascular endothelial growth factor receptor (VEGFR)2. Ramucirumab has been approved for use as monotherapy or in combination with paclitaxel for the treatment of patients with previously treated AGC (7). However, in clinical trials, ramucirumab in combination with paclitaxel demonstrated efficacy in only 28% of metastatic GC patients (5). No biomarker is available to predict the tumor response to these treatments.

Immunotherapy, which has emerged as a novel anticancer therapy, has become a strategy for treating various types of

#### Highlight box

#### Key findings

 When conducting a comparative analysis among gastric cancer patients receiving ramucirumab plus paclitaxel, it was observed that individuals with a programmed cell death-ligand 1 (PD-L1) combined positive score (CPS) score of 10 or higher exhibited superior survival outcomes compared to those with lower scores.

#### What is known and what is new?

- No biomarker is available to predict the tumor response to ramucirumab plus paclitaxel in advanced gastric cancer (AGC) patients.
- Vascular endothelial growth factor receptor inhibition might be more effective in tumors with high PD-L1 expression.

#### What is the implication, and what should change now?

 PD-L1 CPS cutoff of 10 might be a novel biomarker to predict survival following ramucirumab plus paclitaxel in AGC patients. solid cancers, and many such therapies have already been approved and used, including for AGC. In the CheckMate 649 trial, combining nivolumab with chemotherapy offered overall survival (OS) and progression-free survival (PFS) superior to chemotherapy alone (8). Immunotherapy mainly targets programmed cell death-ligand 1 (PD-L1) or programmed cell death protein 1 (PD-1) because they regulate the immune activity of tumors. PD-L1 expression is regulated by various pathways, and tumors with low PD-L1 expression generally have less T cell infiltration than tumors with high PD-L1 expression (9,10). Ramucirumab, an anti-VEGFR mAb, inhibits angiogenesis and reduces tumor activity. It also causes hypoxia to increase the activity of effector T cells (11-13). PD-L1 might act upon VEGFR2 to induce cancer cell angiogenesis and metastasis (14).

In this work, we investigated the efficacy of combining ramucirumab with paclitaxel according to the status of PD-L1 expression in patients with AGC. We present this article in accordance with the REMARK reporting checklist (available at https://jgo.amegroups.com/article/view/10.21037/jgo-23-418/rc).

#### **Methods**

# Patients

We conducted an analysis on 117 out of 543 patients who received the combination of ramucirumab plus paclitaxel without undergoing clinical trials and had undergone PD-L1 testing (Figure 1). We analyzed retrospectively these 117 AGC patients who received second-line ramucirumab plus paclitaxel and were also tested for PD-L1 expression at Samsung Medical Center, Korea, between December 1, 2018, and February 28, 2022. The following clinicopathologic characteristics were collected for all 117 patients: age, sex, tumor site, initial disease status, pathology, chemotherapy, and survival. This study was conducted in accordance with the ethical principles of the Declaration of Helsinki (as revised in 2013) and the Korea Good Clinical Practice guidelines. This study was approved by the Institutional Review Board at Samsung Medical Center (IRB No. 2022-12-078), and individual



Figure 1 Flowchart of the study. PD-L1, programmed cell deathligand 1.

consent for this analysis was waived. Patients in the database were identified by patient number only, with personally identifiable information kept confidential according to the IRB protocol.

# Tumor samples

Samples for analysis were collected from solid tumors and used to make formalin-fixed paraffin-embedded materials. They were collected as biopsies at diagnosis, surgical specimens, and repeat biopsies at the time of disease progression. The obtained tumor samples were not all collected at the same times. However, they were all collected prior to the start of second-line ramucirumab plus paclitaxel therapy.

# Immunobistochemistry (IHC) of PD-L1

Tissue sections were freshly sliced into 4-µm sections, then affixed onto Fisherbrand Superfrost Plus Microscope Slides (Thermo Fisher, Waltham, Massachusetts, USA) and subjected to drying at 60 °C for one hour. IHC staining was conducted using a Dako Autostainer Link 48 system (Agilent Technologies, Santa Clara, California, USA) employing the Dako PD-L1 22C3 PharmDx kit (Agilent Technologies) in conjunction with the EnVision FLEX visualization system. Subsequently, the specimens were counterstained with hematoxylin following the manufacturer's instructions. The quantification of PD-L1 protein expression was performed utilizing the combined positive score (CPS), which was calculated as the ratio of PD-L1-stained cells (including tumor cells, lymphocytes, and macrophages) to the total count of viable tumor cells, multiplied by 100.

# Outcomes and statistical analysis

Descriptive statistics are reported as proportions and medians. Data are presented as the number (%) for categorical variables. Response categories were assessed according to response evaluation criteria in solid tumors (RECIST) 1.1 by computed tomography. The primary outcome was PFS, defined as the time from the start of ramucirumab plus paclitaxel until the date of disease progression or death from disease not unexpected events. The secondary outcomes were OS; defined as the time from the start of ramucirumab plus paclitaxel until death from any cause, objective response rate; defined as the proportion of patients who had a best response of complete response or partial response (PR). Survival analyses between pairs of subgroups were performed using the Kaplan-Meier method, and hazard ratios between pairs of subgroups were analyzed using Cox-proportional hazard models. All P values were two-sided, and statistical significance was set at P<0.05. Statistical analysis was performed using IBM SPSS Statistics 25 (Armonk, NY, USA).

# **Results**

#### Patient characteristics

We conducted an analysis on 117 out of 543 patients who received the combination of ramucirumab plus paclitaxel without undergoing clinical trials and had undergone PD-L1 testing (Figure 1). Table 1 presents patients' clinical characteristics, including the expression of PD-L1. We analyzed data for 117 patients, whose median age was 55 years. Among the patients, 68 (58%) were male, and 49 (42%) were female. As the primary tumor location, stomach body was the most common, found in 65 (56%) patients, and poorly differentiated stomach cancer was the most frequent histologic grade, found in 89 (76%) patients. Ninety-two patients (79%) received capecitabine plus oxaliplatin as first-line chemotherapy. Eighty patients (68%) had a PD-L1 CPS of one or more, 37 patients (32%) had a PD-L1 CPS of five or more, and 19 patients (16%) had a PD-L1 CPS of ten or more.

# *Tumor response to ramucirumab and paclitaxel based on PD-L1 expression status*

The tumor response to ramucirumab plus paclitaxel was as follows: PR in 17 patients (15%), stable disease (SD) in 56 patients (48%), and progressive disease (PD) in 28 patients

Table 1 Baseline characteristics

Variables		Duchus		
	0 (N=37)	1–9 (N=61)	≥10 (N=19)	P value
Median age [range]	50 [28–76]	57 [28–78]	61 [31–79]	
Male	15 [41]	36 [59]	17 [89]	
Primary tumor location				0.57
Cardia	3 [8]	6 [10]	3 [16]	
Body	23 [62]	35 [57]	7 [37]	
Antrum	6 [16]	13 [21]	7 [37]	
Site unspecified	5 [14]	7 [11]	2 [10]	
Histologic grade				0.34
Well-differentiated	0	0	0	
Moderately differentiated	4 [11]	14 [23]	6 [32]	
Poorly differentiated	31 [84]	45 [74]	13 [68]	
Unknown	2 [5]	2 [3]	0	
ECOG performance status				0.76
0–1	37 [100]	59 [97]	19 [100]	
≥2	0	2 [3]	0	
Gastrectomy				0.90
Previous gastrectomy	13 [35]	15 [25]	7 [37]	
No surgery (de novo stage 4)	24 [65]	46 [75]	12 [63]	
Metastasis site				
Liver	6 [16]	15 [25]	6 [32]	0.79
Peritoneal seeding	29 [78]	49 [80]	12 [63]	0.66
Malignant ascites	21 [57]	28 [46]	4 [21]	0.61
HER2 status				0.69
Positive	2 [5]	7 [11]	3 [16]	
Negative	34 [92]	52 [85]	16 [84]	
Unknown	1 [3]	2 [3]	0	
MSI status				>0.99
MSI-high	0	0	0	
MSS	37 [100]	61 [100]	19 [100]	
TMB status				0.14
TMB-High	2 [5]	8 [13]	0	
TMB-Low	35[95]	53 [87]	19 [100]	

Table 1 (continued)

		Durahar			
variables —	0 (N=37)	1–9 (N=61)	≥10 (N=19)	P value	
Previous chemotherapy				0.95	
XELOX	26 [70]	41 [67]	15 [79]		
FOLFOX	3 [8]	5 [8]	1 [5]		
SP	3 [8]	2 [3]	1 [5]		
ХРН	2 [5]	5 [8]	2 [11]		
XELOX + nivolumab	0	1 [2]	0		
XELOX + pembrolizumab	2 [5]	4 [7]	0		
XELOX + pembrolizumab + trastuzumab	1 [3]	1 [2]	0		
UK	0	2 [3]	0		

 Table 1 (continued)

Data are presented as n [%] unless otherwise specified. <sup>†</sup>, PD-L1 expression was evaluated using various IHC platforms and the 22C3 antibody and calculated as a CPS. PD-L1, programmed death ligand-1; ECOG, Eastern Cooperative Oncology Group; MSI, microsatellite instability; MSS, microsatellite stable; TMB, tumor mutation burden; XELOX, capecitabine plus oxaliplatin; FOLFOX, 5-fluorouracil plus leucovorin plus oxaliplatin; SP, TS-1 plus cisplatin; XPH, trastuzumab plus capecitabine plus cisplatin; IHC, immunohistochemistry; CPS, combined positive score.

Table 2 Tumor response according to PD-L1 expression level

Parameters	Patients responded, n (%)	Patients didn't respond, n (%)	P value <sup>‡</sup>
PD-L1 CPS $<1^{\dagger}$	5 (16.1)	26 (83.9)	>0.99
PD-L1 CPS ≥1	12 (17.1)	58 (82.9)	
PD-L1 CPS <5	10 (14.5)	59 (85.5)	0.40
PD-L1 CPS ≥5	7 (21.9)	25 (78.1)	
PD-L1 CPS <10	11 (13.3)	72 (86.7)	0.08
PD-L1 CPS ≥10	6 (33.3)	12 (66.7)	

Patients responded means a person who has received more than a partial response. Patients didn't respond means a person who achieved a response for stable disease or progressive disease. <sup>†</sup>, PD-L1 expression was evaluated using various IHC platforms and the 22C3 antibody and calculated as a CPS. <sup>‡</sup>, Fisher's exact test was used to calculate P values. PD-L1, programmed cell death-ligand 1; CPS, combined positive score; IHC, immunohistochemistry.

(24%) (*Table 2*). We re-analyzed the tumor response to ramucirumab and paclitaxel using PD-L1 CPS cutoff values of one, five, and ten. Among the 80 patients with a PD-L1 CPS value of one or more, 12 (15%) had a PR, 39 (49%) had SD, and 19 (24%) had PD. Among the 32 patients with a PD-L1 CPS of five or more, 7 (19%) had a PR, 16 (43%) had SD, and 9 (24%) had PD (P=0.40). Among the 18 patients with a PD-L1 CPS of ten or more, 6 (32%) had a PR, 9 (47%) had SD, and 3 (16%) had PD (*Table 2*).

# Survival outcomes based on PD-L1 expression status

We analyzed the survival times following ramucirumab plus paclitaxel treatment based on the PD-L1 CPS. Using a cutoff value of 1, the median OS of patients with a PD-L1 CPS of 0 and one or more was 7.0 and 8.1 months, respectively (P=0.32), and the median PFS was 3.6 and 4.1 months, respectively (P=0.93) (*Table 3, Figure 2A,2B*).

At a cutoff value of 5, OS and PFS did not differ

There is a regression nee survival and overall survival according to TD. Dr expression							
Parameters Patients n [%]	Dationto	PFS			OS		
	n [%]	Median months [95% Cl]	P value <sup>†</sup>	Hazard ratio [95% Cl]	Median months [95% Cl]	P value <sup>†</sup>	Hazard ratio [95% CI]
PD-L1 CPS <1	37 [32]	3.60 [2.38–4.82]	0.93	0.98 [0.63–1.52]	7.00 [5.44–8.56]	0.32	1.3 [0.78–2.08]
PD-L1 CPS ≥1	80 [68]	4.10 [3.49–4.71]			8.10 [6.37–9.83]		
PD-L1 CPS <5	80 [68]	3.90 [3.30–4.50]	0.57	1.14 [0.63–1.52]	7.40 [6.5–8.3]	0.07	1.61 [0.10–2.78]
PD-L1 CPS ≥5	37 [32]	4.40 [2.97–5.83]			10.00 [1.1–18.9]		
PD-L1 CPS <1	0 98 [84]	3.80 [3.31–4.29]	0.05	1.72 [0.98–3.03]	7.20 [6.50–7.90]	0.04	2.00 [1.02-4.00]
PD-L1 CPS ≥1	0 19 [16]	5.70 [4.13–7.27]			18.90 [6.46–31.34]		

Table 3 Progression-free survival and overall survival according to PD-L1 expression

<sup>†</sup>, Kaplan-Meier methods and Cox-proportional hazards models were used to calculate P values and hazard ratios, respectively. PD-L1, programmed death ligand-1; PFS, progression-free survival; OS, overall survival; CI, confidence interval; CPS, combined positive score.



Figure 2 Survival outcomes of PD-L1 CPS cutoff 1. (A) Kaplan-Meier curve of overall survival. (B) Kaplan-Meier curve of progression-free survival. HR, hazard ratio; CI, confidence interval; OS, overall survival; PD-L1, programmed cell death-ligand 1; CPS, combined positive score; PFS, progression-free survival; mo, month.

significantly between patients with a PD-L1 CPS of less than five and five or more (P=0.07 and P=0.57, respectively) (*Table 3, Figure 3A,3B*).

However, at a cutoff value of 10, the median OS of patients with a PD-L1 CPS of less than 10 and ten or more was 7.2 and 18.9 months, respectively (P=0.04), and the median PFS was 3.8 and 5.7 months, respectively (P=0.05). Thus, a significant difference in survival outcomes following treatment with ramucirumab plus paclitaxel was observed at a PD-L1 CPS cutoff value of 10 (*Table 3, Figure 4A,4B*).

# Discussion

In this study, we analyzed the tumor response and survival outcomes following the use of ramucirumab plus paclitaxel as a second-line therapy in AGC using various PD-L1 CPS cutoff values. We analyzed the response rate by PD-L1 CPS cutoff values using cross-tabulation. When the cutoff value was a PD-L1 CPS of 1, the P value was 0.32; when the cutoff value was a PD-L1 CPS of 5, the P value was 0.07, and when the cutoff value was a PD-L1 CPS of 10, the P value was 0.04 in OS analysis. The survival outcomes



Figure 3 Survival outcomes of PD-L1 CPS cutoff 5. (A) Kaplan-Meier curve of overall survival. (B) Kaplan-Meier curve of progression-free survival. HR, hazard ratio; CI, confidence interval; OS, overall survival; PD-L1, programmed cell death-ligand 1; CPS, combined positive score; PFS, progression-free survival; mo, month.



Figure 4 Survival outcomes of PD-L1 CPS cutoff 10. (A) Kaplan-Meier curve of overall survival. (B) Kaplan-Meier curve of progression-free survival. HR, hazard ratio; CI, confidence interval; OS, overall survival; PD-L1, programmed cell death-ligand 1; CPS, combined positive score; PFS, progression-free survival; mo, month.

(OS and PFS) differed significantly between patients with a PD-L1 CPS of less than ten and ten or more. Although the survival outcomes did not differ statistically at cutoff values of 1 and 5, the survival outcomes showed a trend of increasing as the cutoff values increased. Moreover, when assessing the survival outcomes of patients who underwent immune-checkpoint inhibitor (ICI) therapy (Figure S1) or cytotoxic chemotherapy (Figure S2) subsequent to secondline administration of ramucirumab plus paclitaxel, no significant disparity was observed between the two cohorts when categorized by a PD-L1 cutoff of 10. This implies that the PD-L1 CPS cutoff value of 10 might delineate a

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Table 4 Post-treatment after ramucirumab plus paclitaxel

Variables	PD	Divolue		
Valiables	0 (N=37)	1–9 (N=61)	≥10 (N=19)	F value
Post-treatment after ramucirumab plus paclitaxel				0.17
Include immune-checkpoint inhibitor <sup>†</sup>	7 [19]	18 [30]	4 [21]	
Only cytotoxic chemotherapy <sup>‡</sup>	6 [16]	18 [30]	5 [26]	
Other treatments <sup>1</sup>	1 [3]	2 [3]	3 [16]	
No more chemotherapy	22 [59]	22 [36]	7 [37]	
Unknown	1 [3]	1 [2]	0	

<sup>†</sup>, immune-checkpoint inhibitor includes pembrolizumab and nivolumab. <sup>‡</sup>, cytotoxic chemotherapy includes FOLFIRI, EP and irinotecan monotherapy. <sup>1</sup>, other treatments include study chemo, monoclonal antibody, ADC and other targeted agents. PD-L1, programmed death ligand-1; FOLFIRI, 5-FU, leucovorin, irinotecan; EP, etoposide, cisplatin; ADC, antibody-drug conjugate.

more distinctive demarcation among patients subjected to the ramucirumab plus paclitaxel. These findings suggest that a PD-L1 CPS cutoff of 10 might be a novel biomarker to predict the survival of patients who receive ramucirumab plus paclitaxel to treat AGC.

What makes these findings special is the absence of biomarkers for anti-angiogenic agents. Vascular endothelial growth factor A (15) and tumor microvessel density (16) were expected to predict the response to antiangiogenic agents, but they did not provide significant results. Ramucirumab, an anti-VEGFR mAb, inhibits angiogenesis and causes hypoxia to increase the activity of effector T cells (12). Therefore, if a tumor cell has high PD-L1 expression, a large effect from effector T cells is expected (13). Furthermore, PD-L1 might act upon VEGFR2 to induce cancer cell angiogenesis and metastasis (14). Therefore, VEGFR2 inhibition might be more effective in tumors with high PD-L1 expression. Also, VEGF drives immunosuppression in the tumor microenvironment (TME) by inducing vascular abnormalities, suppressing antigen presentation and immune effector cells, or augmenting the immune suppressive activity of regulatory T cells, myeloid-derived suppressor cells, and tumor-associated macrophages (11). Thus, VEGFR inhibitors improve immunosuppression in the TME (14,17) and this theoretical concept allowed us to predict that a combination of an anti-angiogenic agent and an ICI would be effective.

In our study, 4 patients with a PD-L1 CPS of 10 or more received an ICI after treatment with ramucirumab plus paclitaxel, and 3 of those 4 patients discontinued the drug after a few months due to disease progression. However, one patient maintained a PR for more than 2 years of continuous ICI treatment (*Table 4*, Figure S1A). And, it was apparent that patients who demonstrated a response lasting beyond a span of 2 years showed a notably elevated PD-L1 CPS score of 80.

Our study has several limitations. First, it was small and retrospective, so our results should be confirmed in a prospective study. Second, only Asian patients with AGC were analyzed in this study, limiting its generalizability because of differences in molecular profiles and clinical features between Western and Eastern patients with AGC. Third, PD-L1 was scored using IHC samples taken before the start of first-line therapy. The status of PD-L1 expression might have been changed by the effect of the first-line therapy. Our finding of a link between the PD-L1 CPS and the efficacy of ramucirumab plus paclitaxel should thus be interpreted with caution. Lastly, it is important to note that the PD-L1 CPS diagnostic tool is 22C3. Since the PD-L1 CPS score has not been validated for each IHC method, there is a possibility that the approval criteria for nivolumab plus XELOX may differ when relying on the PD-L1 IHC 28-8 pharmDx assay (Dako, Santa Clara, CA, USA) (8), which is currently in use. Specifically, the 28-8 assay is known to have a PD-L1 cutoff value higher than that of 22C3 (1), thus necessitating additional studies to address this discrepancy.

# Conclusions

In conclusion, no biomarker has been established to predict survival following ramucirumab plus paclitaxel treatment for AGC. This analysis suggests that a PD-L1 CPS cutoff of 10 might be a novel biomarker to predict survival following ramucirumab and paclitaxel treatment for AGC.

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# Footnote

*Reporting Checklist:* The authors have completed the REMARK reporting checklist. Available at https://jgo.amegroups.com/article/view/10.21037/jgo-23-418/rc

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*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at https://jgo.amegroups.com/article/view/10.21037/jgo-23-418/coif). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the ethical principles of the Declaration of Helsinki (as revised in 2013) and the Korea Good Clinical Practice guidelines. This study was approved by the Institutional Review Board at Samsung Medical Center (IRB No. 2022-12-078), and individual consent for this analysis was waived.

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