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# Altered T-cell subset repertoire affects treatment outcome of patients with myelofibrosis

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#### ABSTRACT

henotypic characterization of T cells in myelofibrosis is intriguing because of increased inflammation, markedly elevated pro-inflammatory cytokines, and altered distribution of T-cell subsets. Constitutive activation of Janus kinase-2 (JAK2) in the majority of patients with myelofibrosis contributes to the expression of the programmed cell death protein-1 (PD1) and T-cell exhaustion. We wondered whether T-cell activation affects treatment outcome of patients with myelofibrosis and sought to determine whether the JAK1/2 inhibitor ruxolitinib affects the activation of T-cell subsets. T cells from 47 myelofibrosis patients were analyzed and the percentages of either helper (CD4<sup>+</sup>) or cytotoxic (CD8<sup>+</sup>) naïve, central memory, effector memory, or effector T cells; and fractions of PD1-expressing cells in each subset were assessed. Higher numbers of T cells co-expressing CD4/PD1 and CD8/PD1 were found in myelofibrosis patients than in healthy controls (n=28), and the T cells were significantly skewed toward an effector phenotype in both CD4<sup>+</sup> and CD8<sup>+</sup> subsets, consistent with a shift from a quiescent to an activated state. Over the course of ruxolitinib treatment, the distribution of aberrant T-cell subsets significantly reversed towards resting cell phenotypes. CD4<sup>+</sup> and CD8<sup>+</sup> subsets at baseline correlated with monocyte and platelet counts, and their PD1+ fractions correlated with leukocyte counts and spleen size. Low numbers of PD1<sup>+</sup>/CD4<sup>+</sup> and PD1<sup>+</sup>/CD8<sup>+</sup> cells were associated with complete resolution of palpable splenomegaly and improved survival rate, suggesting that low levels of exhausted T cells confer a favorable response to ruxolitinib treatment.

#### Introduction

Primary or secondary myelofibrosis (MF) is characterized by a significant immune deregulation.<sup>1,2</sup> In the vast majority of patients with MF, Janus kinase (JAK)-2 is constitutively activated.<sup>3</sup> As a result, MF neoplastic cells produce high levels of inflammatory cytokines and pentraxins that contribute to the induction of progressive bone marrow (BM) fibrosis, debilitating constitutional symptoms, and poor prognosis in MF patients.<sup>4,5</sup> Cytokines, such as interleukin (IL)-1, IL-6 and IL-8, modulate T-cell activation and immune function through the activation of JAK2 and its downstream signal transducer and activator of transcription (STAT) pathways.<sup>6,7</sup> The JAK1/2 inhibitor ruxolitinib alleviates constitutional symptoms in MF patients, primarily by profound suppression of inflammation.<sup>8</sup> Although it is known that JAK-STAT signaling modifies T-helper cell activity and inflammatory responses and JAK1/2 inhibition impairs the cytotoxic function of T cells *in vitro*,<sup>9,1</sup> the effects of aberrant JAK2 signaling and its modulation of T cells in patients with MF remain elusive.

A few recent studies showed increased T-cell response to neo-antigens in patients with myeloproliferative neoplasms.<sup>12-15</sup> However, persistent tumor-induced activation prompts T cells to enter a dysfunctional state, referred to as T-

cell exhaustion.<sup>16</sup> Programmed cell death protein 1 (PD1) is an essential T-cell checkpoint receptor that is overexpressed as T cells undergo persistent activation, thus providing a pathway to control T-cell response.<sup>17</sup> In several neoplasms, PD1 was found to play a critical role in regulating T-cell function.<sup>18</sup> Recent studies showed that myeloid cells from subjects with myeloproliferative neoplasms express high levels of PD1 ligand 1 (PDL1),<sup>19,20</sup> and that the overexpression of PDL1 is induced by constitutively activated JAK2.19 Elevated levels of PDL1 on tumor cells frequently correlate with increased JAK2 activity,<sup>21</sup> and high PDL1 expression confers sensitivity to anti-PD1 therapy.<sup>22</sup> Conversely, decreased PDL1 levels were detected in rare solid tumors harboring loss-of-function JAK2 mutations, and have been associated with poor outcomes of PD1/PDL1 blockade therapy.<sup>23</sup>

Because JAK2 is constitutively activated in MF, we sought to determine whether the T-cell activation status is altered in MF patients and whether and how it is affected by JAK inhibitor therapy. We also sought to elucidate the relationship between T-cell activation and exhaustion given the implications of this association in treating MF patients, developing immune-harnessing MF strategies, and rationally guiding clinical trials. To achieve these goals, we systematically analyzed the activation status of peripheral T-cell subsets of patients with MF at baseline and over the course of treatment with ruxolitinib. Furthermore, we tested the association of PD1-co-expressing helper (CD4<sup>+</sup>) and cytotoxic (CD8<sup>+</sup>) T-cell subpopulations with disease progression and assessed the effect of PD1<sup>+</sup> T-cell fractions on the clinical outcome of MF patients.

#### Methods

#### Specimen assessed in this study

We obtained corresponding BM and peripheral blood (PB) specimens from 47 patients with MF who were enrolled in a phase I/II clinical trial of ruxolitinib at the University of Texas MD Anderson Cancer Center (MDACC) (ClinicalTrials.gov identifier, NCT00509899) between June 2007 and April 2015.24 Specimens were collected prior to treatment and for up to 7 years after treatment, once Institutional Review Board (IRB)approved informed consent had been obtained. The patients were diagnosed with primary MF, post-essential thrombocythemia MF or post-polycythemia vera MF; the diagnoses were established in accordance with the 2008 World Health Organization classification.<sup>25</sup> The patients did not receive antineoplastic medications for at least 14 days before starting treatment. Ruxolitinib was administered orally (10-25 mg twice a day or 50-200 mg once a day) according to the clinical trial protocol, which was designed to assess the efficacy and safety of ruxolitinib. For control studies, PB specimens were obtained from 28 healthy individuals (13 males and 15 females) agematched with MF patients. The clinical and laboratory research was conducted in accordance with the Declaration of Helsinki and approved by the MDACC IRB1 committee (protocol identities LAB01-473 and LAB05-0321).

#### Cell fractionation and immunostaining

To detect and quantify cell surface proteins, we performed multiparameter flow cytometry analyses of low-density cells that were previously stored in dimethylsulfoxide. Briefly, BM and PB specimens were collected into Vacutainer tubes contain-



Figure 1. T-cell subset gating strategy. Representative dot plots demonstrating the flow cytometry gating strategy that was used to analyze individual T-cell subsets. (A) First, leukocytes were isolated based on CD45 (PE-CF594) positivity and side scatter area (SSC-A). (B) Singlets were then gated using forward scatter area (FSC-A) and height (FSC-H). (C) Within the singlet leukocyte population, lymphocytes were defined based on FSC-A and SSC-A parameters. (D) Subsequently, T cells were separated from B cells and natural killer (NK) cells based on positivity for CD3 (APC) and HLA-DR (PerCP-Cy5.5). (E) Gamma delta ( $\gamma/\delta$ ) T cells were excluded from further analysis using T-cell receptor gamma delta (TCR $\gamma/\delta$ ; PE-Cy7) and SSC-A. (F) Alpha beta ( $\alpha/\beta$ ) T cells were further gated to differentiate between CD4<sup>+</sup> and CD8<sup>+</sup> T cells based on CD4 (V500) and CD8 (APC-H7). (G) Naïve (T.), central memory (T...), effector memory (T...) and effector T cells (T...) of CD4/CD8 subsets were obtained using quadrant gates based on CD45R0 (FITC) and CD62L (BV421). (H) Cells positive for programmed cell death 1 (PD1) were obtained using density plots for PD1 (PE) with the corresponding isotype control as reference. Red dots depict T cells within each of the previously defined gates; only the CD4<sup>+</sup> subset is displayed in (G) and (H).

ing sodium heparin (BD, Franklin Lakes, NJ, USA). Low-density cells were fractionated using Ficoll-Histopaque 1077 (Sigma-Aldrich, St. Louis, MO, USA), washed in phosphate-buffered saline (Invitrogen, Carlsbad, CA, USA), spun down, dissolved in 10% dimethylsulfoxide solution (Sigma-Aldrich, St. Louis, MO, USA) supplemented with fetal bovine serum (Invitrogen, Carlsbad, CA, USA), and frozen in liquid nitrogen. Prior to flow cytometry analysis, cells were thawed, washed and re-suspended in fetal bovine serum. After trypan blue viability assessment, live cells (10°) were incubated with the appropriate antibodies or their corresponding isotype controls, and their cell surface protein expression was assessed using the Gallios multichannel flow cytometer (Beckman Coulter, Brea, CA, USA). The antibodies used and their isotype controls are listed in *Online Supplementary Table S1*.

#### Flow cytometry analysis of T cells

A universal gating strategy was applied to identify individual T-cell subsets. Singlet lymphocytes in the CD45<sup>+</sup> cell population were identified based on size and lack of granularity (Figure 1A-C). Subsequently, T cells were gated by using anti-CD3 and anti-HLA-DR antibodies and further subdivided using anti-TCR  $\gamma/\delta$  antibodies (Figure 1D and E). The CD4<sup>+</sup> and CD8<sup>+</sup> subpopulations of the  $\alpha/\beta$ <sup>+</sup> T cells were further separated into naïve (T<sub>\*</sub>), central memory (T<sub>\*</sub>), effector (T<sub>\*</sub>), and effector memory (T<sub>\*</sub>) subsets, using anti-CD62L and anti-CD45RO antibodies (Figure 1F and G). The percent of PD1<sup>+</sup> T cells was assessed in each subset (Figure 1H). All flow cytometry data were analyzed using FlowJo software v10.5 (Treestar, San Carlos, CA, USA).

#### Statistical analyses

The Student *t*-test was used to assess whether T-cell subsets of normal individuals were significantly different from those of patients with MF. A paired *t*-test was used to determine whether ruxolitinib treatment significantly affected T-cell subset distribution. A linear mixed-effects model with repeated measures was developed to determine whether there were differences in T-cell subsets at sequential time-points. In order to correct for clinical response or progression over time, several model specifications that included clinical variables obtained at the time of sample collection were compared using mean Akaike and Bayesian information criteria and R-squared values, and the best performing model was selected for use in the longitudinal analyses. Significance of overall change in time and each predictor were assessed using the Kenward-Roger adjusted F-test. Correlations between continuous clinical variables and T-cell subsets were assessed using the Pearson coefficient and between-group differences were calculated using the Welch *t*-test. The percentage of each T-cell subset was dichotomized into high and low groups using the optimal cutoff value of maximally selected rank statistics. The patients' overall survival was estimated by the Kaplan-Meier method and a log-rank test was used to compare the survival probabilities. A univariate Cox proportional hazard regression model was fitted to assess the association between clinical variables and overall survival. To assess the predictive value of T-cell subsets, a multivariate Cox proportional hazard model was applied, adjusted for the clinical variables that were found to be significant in the univariate analyses. The Wald test was used to assess the significance of each covariate in Cox models. Statistical analysis was performed using Stata/SE v15.1 (Stata Corp, College Station, TX, USA) and R v3.6.3 (R Foundation for Statistical Computing, Vienna, Austria) statistical software with tidyverse v1.3.0, lme4 v1.1-23, pbkrtest v0.4-8.6, and survival v3.1-8 packages. Graphs were created using GraphPad Prism v7.03 (GraphPad Software, La Jolla, CA, USA) and R packages

corrplot v0.84, ggplot2 v3.3.0, ggpubr v0.2.5, ggeffects v0.14.3, and survminer v0.4.6.

#### **Data sharing statement**

De-identified original data are available from the corresponding author (*zestrov@mdanderson.org*).

#### Results

#### Clinical features of myelofibrosis patients and outcome of ruxolitinib treatment

To evaluate the distribution and characteristics of T-cell subsets in patients with MF, we analyzed PB and BM specimens of 47 MF patients (27 with PMF, 13 with post-polycythemia vera MF, and 7 with post-essential thrombocythemia MF) and 28 agematched healthy controls (*Online Supplementary Table S2*). The median daily dose of ruxolitinib was 50 mg (range, 20-200 mg) at the start of the clinical trial. The dose was reduced because of anemia and/or thrombocytopenia in seven patients. The median duration of treatment was 38.9 months. Among the patients who had their specimens analyzed in this study, two discontinued treatment because of myelosuppression and four because of transformation to acute myeloid leukemia. Infection (pneumonia and/or sepsis) was the cause of death in five of the 16 (31.3%) patients who died while on trial.

#### Analysis of myelofibrosis patients' T-cell subsets

The percentages of CD4<sup>+</sup> and CD8<sup>+</sup> cells and their  $T_{N}$ ,  $T_{CM}$ ,  $T_{H}$ , and  $T_{\mbox{\tiny BM}}$  subsets were assessed in BM or PB specimens from 41 MF patients and 28 healthy individuals. Because analyses of T-cell subsets using PB (n=35) and BM (n=16) specimens from the same MF patients revealed similar results (Online Supplementary Figure S3), we have not presented the data separately. Whereas CD4<sup>+</sup> and CD8<sup>+</sup> cell distributions in MF patients were not different from those in healthy individuals (Figure 2Ai and Bi), marked differences were found in both CD4-derived (Figure 2Aii and Bii) and CD8-derived (Figure 2Aiii and Biii) T-cell subsets. We detected a 2.93-fold and a 3.45-fold (P<0.001 for both) reduction in the number of T<sub>N</sub> cells, and a 3.45-fold and a 4.03-fold (P<0.001 for both) reduction in T<sub>ex</sub>cells within the CD4<sup>+</sup> and CD8<sup>+</sup> cell subsets, respectively, in MF-derived T cells as compared to normal controls. Conversely, we detected an increase in the number of  $T_{\mbox{\tiny IF}}$  cells within both  $CD4^{\mbox{\tiny +}}$  and  $CD8^{\mbox{\tiny +}}$  cell subsets (mean fold changes, 2.75 and 1.86, respectively; P<0.001 for both), and in the number of  $T_{\text{\tiny EM}}$  cells within the CD4<sup>+</sup> cell fraction (mean fold change, 1.51; P=0.005) but not within the CD8<sup>+</sup> cell fraction. Whereas CD4+ and CD8+ resting subsets (T $_{\scriptscriptstyle \rm N}$  and T $_{\scriptscriptstyle \rm CM})$  in MF patients correlated significantly and positively with one another, two effector subsets ( $T_{\text{\tiny IM}}$  and  $T_{\text{\tiny IM}}$ ) exhibited negative correlation between both one another and the resting subsets (Figure 2C), indicating that one effector population prevails within each patient's CD4 or CD8 subset. Overall, the increase in effector T-cell phenotype suggests that in patients with MFT cells shift from a quiescent to an activated state. Compared to CD4<sup>+</sup>, MF CD8<sup>+</sup> T cells shift more towards a terminally activated state, suggesting a predominant effector-mediated cytotoxic response in MF.

#### Long-term effects of ruxolitinib treatment on T-cell subsets

Because treatment with ruxolitinib reduces plasma levels of cytokines and chemokines and significantly reduces spleen size in most MF patients,<sup>24,26,27</sup> we sought to assess the effect of ruxolitinib treatment on the distribution of T-cell subsets. Analysis of the corresponding PB or BM specimens obtained from 25 MF patients before and during ruxolitinib treatment demonstrated an overall shift towards a CD8<sup>+</sup> phenotype over the course of





Figure 2. Distribution of T-cell subsets in myelofibrosis. T-cell subsets from patients with myelofibrosis (MF; n=41) and healthy controls (CTRL; n=28) were analyzed by flow cytometry. (A) Representative dot plots from a single MF patient and CTRL are depicted. Minimal difference was observed in CD4<sup>+</sup> and CD8<sup>+</sup> differentiation subsets within the total T cells (i). In contrast, a significant shift in distribution towards effector populations was seen among activation subsets within CD4<sup>+</sup> (ii) and CD8<sup>+</sup> (iii) T-cell populations. Whereas the numbers of naïve (T<sub>s</sub>) and central memory T cells (T\_{\tiny CM}) were reduced, effector memory (T\_{\tiny CM}) and effector T cells  $(T_{\mbox{\tiny eff}})$  were significantly increased, apart from CD8<sup>+</sup>  $T_{\rm av}$  cells. (B) Quantification of differentiation subsets (i), and CD4<sup>+</sup> (ii) and CD8<sup>+</sup> (iii) activation subsets from MF patients (red) and CTRL (gray). (C) Correlation matrix showing significant colorcoded relationships (lower half), Pearson coefficients (r), and P-values (upper half) between each pair of Tcell subsets. Numbers in dot plots denote percent of cells per gate. Bars represent means with standard deviation. The Student t-test was used to compare the two groups. The P-values in the correlation matrix were adjusted by the Benjamini-Hochberg method. P<0.05 was considered statistically significant. PB, peripheral blood; n.s., not significant.

time (Figure 3). As shown in a representative patient after 2 years of treatment (Figure 3Ai) and in all patients over the mean treatment period (Figure Bi), the percent of CD4<sup>+</sup> cells decreased, whereas the percent of CD8<sup>+</sup> cells significantly increased (mean differences -5.1% *vs.* 13.9%, *P*=0.042 and *P*=0.025, respectively). Within the CD4<sup>+</sup> cell subsets (Figure 3Aii and Bii), we observed a 2-fold increase in the percent of T<sub>s</sub> and T<sub>cst</sub> cells (mean fold changes 2.05 and 2.26, respectively; *P*<0.001 for both), and 1.2-

fold (*P*=0.01) and 1.48-fold (*P*<0.001) decreases in the percent of T<sub>im</sub> and T<sub>im</sub> cells, respectively, during ruxolitinib treatment. Comparable effects were observed within the CD8<sup>+</sup> cell subsets (Figure 3Aiii and Biii): the percent of T<sub>im</sub> and T<sub>cm</sub> cells increased by 2.22-fold and 1.64-fold (*P*=0.002 and *P*=0.03, respectively), the percent of CD8<sup>+</sup> T<sub>im</sub> cells decreased by 1.21-fold (*P*=0.001), whereas the percent of CD8<sup>+</sup> T<sub>im</sub> cells remained unaffected by ruxolitinib treatment.



Figure 3. Effects of ruxolitinib treatment on T-cell subsets of patients with myelofibrosis. T-cell subsets from patients with myelofibrosis (MF) (n=25) were analyzed before and over the course of treatment with ruxolitinib. (A) Representative dot plots from a MF patient at treatment baseline (Bsl) and after 2 years of treatment (Ruxo). Naïve (T<sub>s</sub>) and central memory T cells  $(T_{col})$  were increased, whereas effector memory  $(T_{\text{\tiny EM}})$  and effector T cells (T\_{\mbox{\tiny CP}}) were reduced. Only CD4  $^{+}$  T\_{\mbox{\tiny EM}} cells did not change significantly with treatment as compared to baseline. (B) Quantification of CD4/CD8 differentiation subsets (i) and activation subsets (ii-iii) at treatment baseline (red) and during the overall follow-up period (light blue). Follow-up values were calculated as a mean of all the analyzed timepoints over the course of treatment for each patient. (C) Longitudinal analysis of T-cell subsets over 6 years of ruxolitinib treatment. The figures shows the mean percentages (green) and linear predictions (dark blue) based on linear mixed-effects model with repeated measures in differentiation (i) and activation subsets (ii-iii). Numbers in dot plots denote the percent of cells per gate. A paired t-test was used to compare the two groups. In the longitudinal plots error bars denote the standard error, P-values represent the statistical significance of change from baseline over time, and asterisks indicate the significance of change in each year of treatment. Linear mixed models were corrected for spleen size, grade of bone marrow fibrosis, and JAK2<sup>V617F</sup> allele burden. The Pvalues were computed using the Kenward-Roger adjusted F-test. P values <0.05 were considered statistically significant. \*P<0.05; \*\*P<0.01: \*\*\*P<0.001. PB: peripheral blood; BM: bone marrow; n.s.: not significant.





Figure 4. PD1-expressing fractions of T-cell subsets in myelofibrosis. The fractions of PD1+ cells were assessed within differentiation and activation T-cell subsets from patients with myelofibrosis (MF; n=41) and healthy controls (CTRL; n=28). (A) Representative flow cytometry dot plots from a MF patient and a CTRL are depicted. PD1 $^{+}$  T-cell fractions were increased across both CD4/CD8 differentiation subsets (i) and all CD4<sup>+</sup> (ii) and CD8<sup>+</sup> (iii) activation subsets, except CD4<sup>+</sup> naïve T cells (T<sub>s</sub>). (B) Quantification of PD1<sup>+</sup> cell fractions in CD4<sup>+</sup> and CD8<sup>+</sup> T cells (i) and activation subsets within the CD4-derived (ii) and the CD8derived (iii) subsets in MF patients and CTRL subjects. (C) Correlation matrix showing significant colorcoded relationships (lower half), Pearson coefficients (r), and P-values (upper half) between each pair of PD1<sup>+</sup> T-cell subsets. Violet dots depict PD1<sup>+</sup> cells; numbers in dot plots denote percent of cells per gate. Bars represent means with standard deviation. The Student t-test was used to compare the two groups. The *P*-values in the correlation matrix were adjusted by the Benjamini-Hochberg method. *P* values <0.05 were considered statistically significant. Ts: naïve T cells; T<sub>a</sub>: central memory T cells; T<sub>a</sub>: effector memory T cells; T.,:: effector T cells; BM: bone marrow; PB, peripheral blood; n.s.: not significant.



To investigate dynamic changes in T-cell surface marker expression over the course of ruxolitinib treatment, consecutive cell surface marker profiles were analyzed using mixed linear models with repeated measures. To account for the progressive nature of MF, our final model also included spleen size, grade of BM fibrosis, and  $IAK2^{V617F}$  allele burden (Online Supplementary Tables S3 and S4). After correcting for these variables, we observed no significant change in CD4<sup>+</sup> and CD8<sup>+</sup> T cells over the course of ruxolitinib treatment (Figure 3Ci), suggesting that the increase in cytotoxic T cells that we observed over the whole treatment period is a result of disease progression rather than an effect of JAK inhibition. In contrast, after correction we still observed time-dependent shifts from effector to resting Tcell subsets (Figure 3Cii-iii), confirming our hypothesis that long-term ruxolitinib treatment mitigates T-cell overactivation. Whereas significant  $T_{N}$  and  $T_{CM}$  cell increases were observed in the second, third and fifth years of therapy, in both CD4<sup>+</sup> and CD8<sup>+</sup> subsets  $T_{\text{\tiny EF}}$  cells consistently decreased over the same period. Similar changes were also observed in the fourth year of treatment, although they reached statistical significance only in the  $T_{\mbox{\tiny CM}}$  and CD4+  $T_{\mbox{\tiny EFF}}$  subsets. Remarkably, both  $T_{\mbox{\tiny EM}}$  subsets showed no significant change during treatment, except for the CD8<sup>+</sup> subset during the fifth year of therapy, suggesting that long-term ruxolitinib treatment prevents terminal activation of T cells in MF, but has little effect on the effector memory arm of T-cell activation.

To determine whether baseline distributions of T-cell differentiation and activation subsets affect the overall survival, datasets were further analyzed using the Kaplan-Meier method and no significant differences were found (*Online Supplementary Figure S1*). In summary, these data suggest that ruxolitinib treatment shifts the activation state of T-cell subsets from terminal effector towards resting phenotype in a timedependent manner.

# PD1-expressing fractions within the T-cell subsets of myelofibrosis patients

Because it was recently reported that MF myeloid cells express high levels of PDL1,19 we sought to evaluate PD1expressing fractions within T-cell subsets of MF patients. The proportion of cells co-expressing PD1 in CD4<sup>+</sup> and CD8<sup>+</sup> T cells of MF patients (n=35) was higher by 55.9% (P=0.028) and 86.8% (P=0.001), respectively, compared to T cells of healthy controls (n=28) (Figure 4Ai and Bi). Specifically, PD1<sup>+</sup> fractions were increased within both CD4+ and CD8+  $T_{\mbox{\tiny CM}},\,T_{\mbox{\tiny FF}}$  , and  $T_{\mbox{\tiny EM}}$  cells (mean fold-changes, 1.49, 2.97, and 3.05 in CD4<sup>+</sup> cells; 1.77, 2.64, and 2.83 in CD8<sup>+</sup> cells, respectively; P=0.013 in CD4<sup>+</sup> T<sub>inf</sub>, P<0.001 in the rest), and within CD8<sup>+</sup> T<sub>N</sub> cells (mean foldchange, 1.74; P=0.007) (Figure 4Aii-iii and Bii-iii). Importantly, most PD1<sup>+</sup> fractions correlated positively between one another (Figure 4C) while no significant correlation was observed with any of the T-cell subsets, suggesting that PD1<sup>+</sup> cells are prevalent among MF T cells irrespective of their differentiation or activation state. In addition, we analyzed how ruxolitinib affects PD1<sup>+</sup> CD4/CD8 and activation subsets over the whole follow-up period and in each year of treatment, corrected for the parameters of disease progression (spleen size, BM fibrosis grade, and  $JAK2^{V617F}$ allele burden). Overall, no significant differences were observed in PD1<sup>+</sup> fractions over the course of ruxolitinib treatment (Online Supplementary Figure S2).

## Association between T-cell subsets, PD1<sup>+</sup> fractions, and disease progression

Because MF is a progressive myeloproliferative neoplasm,<sup>20</sup> and T cells are known to interact with clonal neoplastic cells,<sup>29</sup> we analyzed the correlation between T-cell subsets and PB cell counts of untreated MF patients (n=41). We found that the number of CD8<sup>+</sup>

cells correlated positively with monocyte counts and negatively with platelet counts (r=0.317 and r=-.335; *P*=0.043 and *P*=0.032, respectively); however, CD4<sup>+</sup> cell subsets had a negative and positive correlation with monocyte and platelet counts (r=-0.371 and r=0.375, respectively; *P*=0.017 and *P*=0.016, respectively) (Figure 5Ai). Given that both monocytosis and thrombocytopenia are associated with disease progression,<sup>30</sup> it is likely that CD8<sup>+</sup> cells expand with disease propagation in untreated MF patients. Conversely, increased PD1 levels of both CD4<sup>+</sup> and CD8<sup>+</sup> cells correlated with total leukocyte counts (r=0.628 and r=0.547, respectively; *P*<0.001 for both) and palpable spleen size (r=0.435 and r=0.465; *P*=0.005 and *P*=0.002, respectively Figure 5Aii), suggesting that the increase in PD1<sup>+</sup> T-cell fractions, typically associated with T-cell exhaustion, correlates with disease progression, regardless of PD1 distribution across those T-cell subsets.

To investigate the effect of disease progression on subset levels at baseline and following treatment with ruxolitinib, we stratified patients based on spleen size, BM fibrosis grade, and  $J\!AK\!2^{V\!617F}$ allele burden, and compared their total,  $T_{\text{\tiny IM}}$  and PD1<sup>+</sup> subsets, using healthy controls as a reference (Figure 5B). Although we found a 29.5% larger CD8<sup>+</sup> T-cell population in MF patients with a palpable spleen larges than 20 cm at treatment baseline (n=11), this effect did not reach statistical significance (P=0.087). Interestingly, however, we also found 17.1% fewer CD4<sup>+</sup> cells in this group of patients than in the control group (P=0.027). Moreover, MF patients with advanced-stage disease prior to treatment did not exhibit the significant repolarization of CD4/CD8 populations over time shown by patients with early-stage disease, further indicating that CD8 predominance is not a ruxolitinib effect but a result of disease progression. MF patients with splenomegaly greater than 20 cm had 1.4-fold larger baseline  $CD4^+ T_{IM}$  subsets and  $CD4^+ PD1^+$  fractions (*P*=0.045 and *P*=0.029, respectively) compared to patients with smaller spleens. Of note, both PD1<sup>+</sup> CD4<sup>+</sup> and CD8<sup>+</sup> subsets of these patients were significantly higher than normal (P=0.003 and P=0.013, respectively), similar to patients with MF-3 grade fibrosis (P=0.042 and P<0.001, respectively) and patients with mutant *JAK2* allele burden above 50% (P=0.038 and P=0.002, respectively). Overall, the CD8<sup>+</sup>  $T_{\text{\tiny IM}}$ subset showed little difference based on the analyzed parameters of disease progression, supporting the idea that CD8<sup>+</sup> resting cells in MF rapidly transit to T-effectors as they become activated. Remarkably, patients with high mutant JAK2 allele burden had significantly lower numbers of CD8<sup>+</sup>  $T_{\text{EM}}$  (P=0.022).

# Association between T-cell subsets, PD1<sup>+</sup> fractions, and clinical response to ruxolitinib

Because a reduction in spleen size is typically associated with a good response to ruxolitinib and favorable treatment outcome,<sup>24,26,27</sup> we tested the association between pretreatment T-cell subsets and spleen size 6 months into therapy. We found that complete resolution of palpable splenomegaly was associated with an increased percent of CD4<sup>+</sup> cells and a decreased percent of CD8<sup>+</sup> cells (mean differences 14.4% and -23%; *P*=0.038 and *P*=0.049, respectively) (Figure 6A). Furthermore, complete resolution of palpable splenomegaly was associated with a low percent of PD1<sup>+</sup> fractions in both CD4<sup>+</sup> and CD8<sup>+</sup> cell subsets (mean differences, -30.7% and -31.7%; *P*=0.012 and *P*=0.036, respectively) (Figure 6B), suggesting that MF patients with low levels of exhausted (PD1<sup>+</sup>) T cells likely respond favorably to ruxolitinib treatment.

# Effect of PD1<sup>+</sup> T-cell fractions on survival rates of myelofibrosis patients

Data from 41 MF patients were further analyzed using the Kaplan-Meier method (34 patients [82.9%] had died) to determine whether the distribution of PD1<sup>+</sup> T-cell fractions affects



# Figure 5. Association between myelofibrosis T-cell subsets and PD1<sup>+</sup> fractions with disease progression. Correlations between T-cell subsets and PD1<sup>+</sup> fractions with peripheral blood cell counts and spleen size were assessed at treatment baseline. Pre- and on-treatment T cells were also compared based on selected parameters of disease progression/reduction. (A) Correlation of CD4<sup>+</sup> and CD8<sup>+</sup> T-cell subsets with absolute monocyte and platelet counts (i), and correlation of PD1<sup>+</sup>/CD4<sup>+</sup> and PD1<sup>+</sup>/CD8<sup>+</sup> T-cell percent with absolute leukocyte counts and spleen size (ii). (B) Comparison of CD4<sup>+</sup> and CD8<sup>+</sup> total T cells, effector memory T cell (T<sub>m</sub>) subsets, and PD1<sup>+</sup> fractions based on the baseline spleen size, bone marrow (BM) fibrosis grade, and JA2<sup>V61/F</sup> allele burden. Data are shown for healthy control (CTRL; gray), baseline (Bs]; red), and ruxolitinib-treated (Ruxo; light blue) groups. Follow-up values were calculated as a mean of all the analyzed time- points over the course of treatment. Spleen size was defined by physical examination (the measured distance of palpable spleen edge from the left costal margin in the left midclavicular line) with the cutoff value of 20 cm. BM fibrosis grade was assessed in accordance with European consensus criteria. Mutant JAK2 allele burden was quantified using quantitative polymerase chain reaction analysis and dichotomized using the cutoff value of 50%. Regression lines are shown in blue; gray shaded areas denote 95% confidence intervals. Dots with error bars represent means with standard deviation. The Pearson coefficient was used to determine the degree of correlation. A Welch or paired *t*-test was used to compare the two groups. *P* values <0.05 were considered statistically significant. \**P*<0.05; \*\**P*<0.001; \*\*\**P*<0.001. n.s.: not significant.



Figure 6. Association of myelofibrosis T-cell subsets and PD1<sup>+</sup> fractions with clinical response to ruxolitinib treatment. (A, B) Comparison of T-cell subset (A) and PD1<sup>+</sup> fraction (B) distribution based on spleen response of patients with myelofibrosis 6 months into ruxolitinib treatment. Spleen response was classified as either complete resolution (CR; n=9) or persistent splenomegaly (PS; n=29). CR was defined as no palpable splenomegaly after 6 months of treatment in patients with  $\geq$ 5 cm of palpable spleen at treatment baseline. *P* values <0.05 were considered statistically significant. \**P*<0.01; \*\**P*<0.01.

the outcome of ruxolitinib treatment. Using the log-rank test, we found that an increase of  $CD4^+/PD1^+$  cells above 3.31% or of  $CD8^+/PD1^+$  cells above 6.12% was associated with a poor overall survival (*P*=0.014 and *P*=0.003, respectively) (Figure 7Ai). However, significant differences in survival were maintained only across  $CD8^+$ , and not  $CD4^+$ ,  $T_{sy}$ ,  $T_{csy}$ ,  $T_{csy}$ , and  $T_{sy}$  cells (*P*=0.002, *P*=0.013, *P*=0.009, and *P*=0.007, respectively) (Figure

7Aii-iii), suggesting that increased PD1<sup>+</sup> T-cell fractions, typically associated with T-cell exhaustion, are a better predictor of outcome within the cytotoxic T-cell subset. A univariate Cox proportional hazard regression analysis of the clinical variables revealed that disease subtype (primary MF vs. secondary MF), BM fibrosis grade (MF-3 vs. MF-1/2), transformation to acute myeloid leukemia, or transfusion dependence were significant



Figure 7. Association of baseline PD1<sup>+</sup> T-cell fractions with the clinical outcome of ruxolitinib-treated patients with myelofibrosis. Associations between the survival of patients with myelofibrosis (MF) and percent of PD1<sup>+</sup> T cells in differentiation and activation subsets at the treatment baseline were analyzed using the Kaplan-Meier method and Cox models. (A) Kaplan-Meier survival analysis based on percent of PD1<sup>+</sup> cells in CD4/CD8 differentiation subsets (i), and in CD4<sup>+</sup> (ii) and CD8<sup>+</sup> (iii) activation subsets. (B) Results of multivariate analysis of survival using high versus low PD1<sup>+</sup>/CD4<sup>+</sup> and PD1<sup>+</sup>/CD8<sup>+</sup> baseline T-cell subsets are shown. Cutoff values for dichotomization of each subset into high and low groups were determined using the maximally selected rank statistic. *P*-values for differences in overall survival were calculated using the log-rank test. Each multivariate model also included disease subtype (primary [PMF] vs. secondary MF (PPV/PET MF]), grade of bone marrow fibrosis (MF-3 vs. MF-1/2), transformation to acute myeloid leukemia (AML), and transfusion dependence as confounding predictors. Rhombi depict the hazard ratio (HR) of each predictor, and lines represent 95% confidence intervals (Cl). *P* values <0.05 were considered statistically significant. n.s.: not significant.

confounding factors for overall survival in this cohort of patients. We therefore performed a multivariate analysis of survival after adjusting the model for these four predictors (Figure 7B). This analysis confirmed that PD1-overexpressing fractions of CD8<sup>+</sup> cells, but not CD4<sup>+</sup> cells, before initiation of ruxolitinib treatment, independently predicted overall survival (hazard ratio: 2.48; P=0.03). Taken together, our results demonstrated that increased PD1<sup>+</sup>/CD8<sup>+</sup> T-cell subsets were significantly associated with a high risk of death in ruxolitinib-treated MF patients.

#### **Discussion**

In the current study, we found that T-cell subsets of patients with MF shifted from a quiescent to an activated state and that treatment with ruxolitinib reduced the activation of both helper CD4 and cytotoxic CD8 T cells in a time-dependent manner (Figure 8). The activation pattern of CD8<sup>+</sup> T cells was significantly similar to that of polycythemia vera,<sup>31</sup> including decreased T<sub>s</sub> and T<sub>cs</sub>, unaltered T<sub>ss</sub> and increased T<sub>s</sub> subsets. However, in MF, CD4<sup>+</sup>

cells were also considerably skewed toward an effector cell phenotype, unlike polycythemia vera. Whereas CD8+ cells are activated by major histocompatibility complex (MHC) type I molecules, priming of CD4<sup>+</sup> T cells is restricted to MHC class II on predominantly monocyte-derived antigen-presenting cells. Because MHC expression is induced by activated JAK2,<sup>32</sup> our data point toward a predominant role of neoplastic monocytes in aberrant T-cell responses in MF. Furthermore, circulating monocyte-derived dendritic cells from patients with MF were extremely efficient in antigen uptake as compared to dendritic cells from healthy individuals, despite their reduced numbers and function.<sup>33</sup> In comparison, T cells of acute myeloid leukemia patients at diagnosis are predominantly CD8<sup>+</sup>,<sup>34,35</sup> whereas their activation seems to differ minimally from normal cells in both CD4<sup>+</sup> and CD8<sup>+</sup> subsets.<sup>36,37</sup> Overall, the activation status of T-cell subsets in patients with MF is consistent with an ongoing antineoplastic immune response, characteristic of the "T-cell inflamed" immune signature.38

Over the last decade, broad clinical experience has been acquired in treating MF patients with ruxolitinib. Overall, decreased rates of infections and spleen reduction with ruxoli-



Figure 8. Schematic representation of the circulating T-cell subset repertoire in patients with myelofibrosis at baseline and after treatment with ruxolitinib. The left panel summarizes flow cytometry data from 47 patients with myelofibrosis (MF) analyzed in this study. Prior to treatment, T cells are skewed towards effector subsets (middle) and PD1-expressing T cells are increased (bottom) compared to those in 28 age-matched normal donors. In addition, disease progression shifts T-cell subsets or subsets (Charles CD8<sup>+</sup> phenotypes (top). Ruxolitinib treatment reverts the resting:effector T-cell ratio to normal (middle), but has little effect on CD4/CD8 subsets or percentage of PD1<sup>+</sup> cells. The right panel summarizes correlations of differentiation subsets (top) and PD1<sup>+</sup> fractions (bottom) with the clinical parameters at treatment baseline (dashed line). Increases in CD8/PD1-coexpressing subsets are associated with a lack of spleen response. In addition, a CD8-predominant T-cell repertoire is found in patients with monocytosis and low platelet counts, whereas abundance of PD1-overexpressing CD8<sup>+</sup> T cells is predictive of poor overall survival.

tinib treatment have been associated with improved infectionfree survival.<sup>39</sup> However, while neoplastic myeloid cells are thought to be the main target of JAK inhibitors, ruxolitinib also exerts a potent effect on non-malignant immune cells. The present study is the first to demonstrate that long-term treatment with ruxolitinib repolarizes activated T cells in MF patients. This finding is in agreement with previous *in vitro* studies demonstrating decreased cytokine production in T cells from ruxolitinibtreated MF patients,<sup>11</sup> and decreased activation, proliferation and function of T cells from normal individuals.<sup>9</sup> In addition, our observation of decreased CD4<sup>+</sup> cell subsets as a result of ruxolitinib therapy confirms the findings of a previous study that showed JAK inhibitor-induced decreases in the number and function of helper T cells.<sup>10</sup> Similar suppressive effects of ruxolitinib have been observed in NK cells from MF patients.<sup>40</sup>

Importantly, we observed increased PD1<sup>+</sup> fractions among all assessed subsets, indicating that T cells are functionally exhausted in MF. These findings are in agreement with a recent study that showed increased PDL1 expression on myeloid cells from patients with JAK2-mutated myeloproliferative neoplasms.<sup>19</sup> Our findings also corroborate PD1 expression patterns previously reported in circulating CD4<sup>+</sup> and CD8<sup>+</sup> cells of patients with MF.<sup>20</sup> In the aforementioned study, however, lack of any T-cellspecific markers in the gating strategy employed makes the reported results difficult to interpret. In our study, we specifically analyzed CD45<sup>+</sup>/CD3<sup>+</sup>/ $\alpha\beta^+$  T cells and assessed PD1-expressing cell fractions across the different activation subsets. T-cell exhaustion is typically manifested by a progressive defect in production of interferon-y, IL-2, and tumor necrosis factor; T cells incapable of releasing these cytokines have been implicated in promoting the differentiation of monocytes into fibrocytes.<sup>41</sup> It remains to be established how T-cell dysfunction affects the population of neoplastic fibrocytes, which induce BM fibrosis in MF.42 Conversely, there was no significant difference in the expression of either PD1 in T cells or PDL1 in blasts of patients with newly diagnosed acute myeloid leukemia, chronic myelomonocytic leukemia or myelodysplastic syndromes,<sup>37,43,44</sup> suggesting that the neoplastic clone in MF exerts stronger immunogenicity with a significantly dysfunctional capacity as compared with other myeloid mallignancies.

In our cohort of MF patients, monocytosis and thrombocythopenia were associated with a predominantly CD8<sup>+</sup> T-cell phenotype. In addition, high levels of CD8<sup>+</sup> cells and increased PD1<sup>+</sup> fractions within the CD8 compartment correlated with disease progression and poor outcome. Although, we did not observe that ruxolitinib altered the percentage of PD1<sup>+</sup> T cells, the survival of ruxolitinib-treated patients with low PD1 levels was significantly improved, suggesting that T-cell dysfunction is associated with a poor response to treatment with ruxolitinib. Remarkably, like in MF, in acute lymphoblastic leukemia, a disease in which the JAK-STAT pathway is often constitutively activated,<sup>45-47</sup> low numbers of PD1<sup>+</sup> T cells predicted an improved treatment outcome. Because the spleen is a T-cell reservoir, and spleen size correlated with PD1<sup>+</sup> fractions, whereas a low CD8<sup>+</sup>/PD1<sup>+</sup> T-cell percent correlated with a favorable response to ruxolitinib treatment, it is likely that T-cell exhaustion plays a role in the pathogenesis of MF and the response to JAK-inhibitor treatment.

Collectively, our data suggest that both cytotoxic and helper T cells in MF are overly activated and harbor increased PD1<sup>+</sup> fractions. Long-term JAK inhibition reverses terminal T-cell overactivation; nonetheless, high levels of PD1-expressing CD8<sup>+</sup> T cells result in poor survival. A further in-depth analysis of the innate immune system, including the heterogeneous T-cell populations and their interaction with the MF neoplastic myeloid cells, is warranted.

#### Disclosures

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#### **Contributions**

IV analyzed and interpreted data, performed the statistical analyses, created the figures, and wrote the manuscript; SP analyzed and interpreted data; SP and TM carried out the experiments; GMNG performed the statistical analyses; SV directed the project, supervised the study, and treated the patients included in the study; and ZE conceived, designed and supervised the study, interpreted data, and wrote the manuscript. All authors provided critical feedback and helped to develop the final manuscript.

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