

1 **Covariance predicts conserved protein residue interactions important to the emergence and**
2 **continued evolution of SARS-CoV-2 as a human pathogen**

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6 **Abstract**

7 SARS-CoV-2 is one of three recognized coronaviruses (CoVs) that have caused epidemics or pandemics in
8 the 21st century and that likely emerged from animal reservoirs. Differences in nucleotide and protein
9 sequence composition within related β -coronaviruses are often used to better understand CoV evolution,
10 host adaptation, and their emergence as human pathogens. Here we report the comprehensive analysis of
11 amino acid residue changes that have occurred in lineage B β -coronaviruses that show covariance with each
12 other. This analysis revealed patterns of covariance within conserved viral proteins that potentially define
13 conserved interactions within and between core proteins encoded by SARS-CoV-2 related β -coronaviruses.
14 We identified not only individual pairs but also networks of amino acid residues that exhibited statistically
15 high frequencies of covariance with each other using an independent pair model followed by a tandem
16 model approach. Using 149 different CoV genomes that vary in their relatedness, we identified networks
17 of unique combinations of alleles that can be incrementally traced genome by genome within different
18 phylogenic lineages. Remarkably, covariant residues and their respective regions most abundantly
19 represented are implicated in the emergence of SARS-CoV-2 are also enriched in dominant SARS-CoV-2
20 variants.

21 **Introduction**

22 The prior emergence of SARS-CoV and MERS-CoV as human pathogens is attributed to zoonotic
23 viruses that transferred from bats to civets and camels, respectively, while SARS-CoV-2 is most similar to
24 viruses isolated from both bats and pangolins ¹⁻⁶. The ~30kb genome size of all SARS-related CoVs renders
25 sequence alignment and pairwise distance methods effective for phylogenic studies and determining genetic
26 events that correlate with their adaption to the human host. While nucleic acid sequence-based phylogenies
27 are informative, they clearly have limitations as not all single nucleotide polymorphisms are equal. For
28 example, single-strand RNA viruses possess significant base-pairing in regions of their genomes that can
29 result in different fitness costs even for synonymous mutations because higher-ordered RNA structures and
30 non-coding regions can impact replication, transcription, and recognition by the host immune system ^{7,8}.

31 Nucleotide polymorphisms also distinctly influence encoded amino acid (AA) residues depending on their
32 position in a codon and thus further protein expression is often correlated with codon frequency or cognate
33 tRNA abundance⁹. Similarly, codon usage has been studied in the context of mutational pressure and
34 natural selection¹⁰⁻¹³. For example, the presence of repeating rare codons in the SARS-CoV-2 Spike protein
35 corresponding to the furin cleavage site (FCS) has been explored as circumstantial evidence for genetic
36 manipulation¹⁴. Mutational pressure rather than translational selection is however reported in other work
37 to be one dominant factor in the observed codon usage in other human RNA viruses^{15,16}. In RNA viruses,
38 the host immune system can impose additional selective pressure on genomic nucleotide content; thus the
39 high frequency of A- and U-ending codons and underrepresentation of CpG dinucleotides in RNA viruses
40 including CoVs is attributed primarily to cytosine deamination and the pressure exerted by innate immune
41 mechanisms¹⁷⁻¹⁹. Comparative analysis of SARS-CoV-2 to closely related CoVs suggests that C-to-U
42 conversion played a significant role in the evolution of the SARS-CoV-2²⁰. In sum, codon usage, nucleotide
43 sequences, and the AA content may affect virus adaptation with the latter being most relevant to protein
44 folding, stability, function, and adaptive immune recognition in the host.

45 Many previous and ongoing efforts to study human CoV virus-host interactions are focused on AA
46 residues and domains within the quaternary and tertiary structure of the Spike trimer protein. For SARS-
47 CoV, the stepwise adaption from the ancestral bat CoV to variants that infect civet, human, and even
48 laboratory mice is well-understood and can be traced to the domains in the Spike protein that confer
49 specificity to the host, especially in the context of residues within the receptor-binding domain (RBD)^{21,22,3}.
50 An ancestor to SARS-CoV-2 is yet to be established with certainty, but both residues within the Spike RBD
51 that interact with the host receptor angiotensin-converting enzyme 2 (ACE-2), and a unique furin cleavage
52 site are believed to have contributed to its adaption to the human host and its enhanced transmission²³⁻²⁵.
53 RATG13, one of the closest bat CoV relatives to SARS-CoV-2 that shares ~96% nucleotide identity²⁶, is
54 measured to have a reduced affinity for human ACE-2 when both are compared and this is in part conferred
55 by residues in Spike²⁷. However, molecular evidence for ACE-2 affinity being the primary determinant in
56 host specificity for CoVs is also confounding. SARS-CoV and SARS-CoV-2 viruses that infect human cell
57 lines via the ACE-2 receptor are found to vary in their ability to infect bat cell lines suggesting that the host
58 range of β -coronaviruses is not only specified by Spike RBD-ACE-2 interactions^{24,28}.

59 Evidence for the selective adaptability and the plasticity of Spike protein domains has been
60 documented by the existence of single and multiple mutations that have been temporally enriched in newly
61 dominant variant lineages during the ongoing pandemic. For example, the Spike D164G allele, a stand-
62 alone defining mutation of the dominant SARS-CoV-2 A2a clade that emerged early in the pandemic, has
63 been demonstrated to increase viral fitness and infectivity, possibly by influencing proteolytic processing,

64 incorporation of Spike protein in the virion, and conformational states of the Spike protein^{29,30}. Subsequent
65 dominant emerging variants within this clade notably possess additional mutations in Spike and other genes.
66 Moreover, a broad and diverse collection of variant mutations are represented independently in other
67 distinct lineages^{31,32}. In Spike, some of these mutations are attributed to immunity evasion, host-receptor
68 interactions, and Spike structure and conformational dynamics³³. Distinct attributes or roles of each of
69 these mutations are yet to be entirely elucidated and the actual extent of the contribution of any allele may
70 be intricate. Importantly, it is not yet known what is the contribution of each of these single mutations in
71 the context of other mutations and in general, the effects of mutations in many proteins other than Spike
72 have been less studied or are completely unknown.

73 Here we report the results of an investigation that sought to use the evolutionary history of
74 sarbecoviruses to identify the most-conserved interactions between AA residues in key proteins encoded
75 by CoV viruses most related to SARS-CoV and SARS-CoV-2. Specifically, we identified all covariant AA
76 pairs and also larger correlated tandem model-based networks (clusters) of AA residues that exhibited
77 statistically high frequencies of covariance with each other. We examined conserved covariance between
78 protein sequences to uncover new insights into CoV evolution through the identification of apparent inter
79 and intra-protein interactions. We propose that these covariant interactions of residues are important for
80 virus evolution and may drive adaption to other hosts and influence transmission and pathogenicity by
81 emergent variant viruses.

82 **Results**

83

84 **Estimated phylogeny of β -coronaviruses with completed genomes**

85 We first estimated the extent of the evolutionary relatedness of 169 β -coronaviruses using whole-
86 genome nucleotide sequences (**Figure 1B**). We postulated covariant amino acid (AA) residues play diverse
87 roles in viral protein structure, interactions, and functions or instead may be a consequence of mutational
88 accumulation and drift that is not biologically relevant to viral protein function. Phylogeny and relatedness
89 of genomes are recognized to bias observed apparent coupling of AA mutations and influence covariation
90³⁴⁻³⁸. By generating a phylogenetic tree to assist in identifying such phylogenetic effects, AA variability at
91 covariant residues can also be traced using tree topology and even branch length and therefore analyzed in
92 the context of evolution^{38,39}.

93 We aligned the deposited nucleotide (nt) sequences of 169 unique lineage β -coronaviruses between
94 the initiation codon of *Nsp1* of the 16 polyprotein-encoding gene *Orflab* through to the termination codon
95 of the *N* gene that encodes the nucleocapsid protein (**Genome Accession numbers listed in Supplemental**

96 **File S1**). The 30,553 nt gapped alignment of these strains spans all core and accessory genes except for the
97 hypothetical gene *ORF10* downstream of *N*. *ORF10* is not predicted to be conserved in all represented
98 CoVs in this group and is not essential for SARS-CoV-2 *in vitro* or *in vivo* ⁴⁰. In their entirety, CoVs
99 represented in our analysis were isolated from bat (147), Civet (10), Pangolin (6), and human (6). SARS-
100 CoV is notably represented as both civet and human isolates and SARS-CoV-2 is represented by an initial
101 reference sequence isolated in Wuhan during December 2019.

102 The topology and structure of the maximum likelihood (ML) tree (Figure 1B) generated by our
103 alignment is largely consistent with published work using aligned variable regions of the genome ^{26,41-45}.
104 Though certain genomic regions are predicted to be prone to recombination events that can lead to
105 mosaicism during the evolution in CoVs ⁴⁶⁻⁴⁹, we did not alter our phylogenetic analysis based on the
106 exclusion or inclusion of any single core gene or gene region, apart from hypothetical gene *ORF10*. In an
107 unrooted tree, distinct lineages are apparent; several CoVs are most related to SARS-CoV, a set of
108 sublineages of CoVs more closely related to SARS-CoV-2, and a third distinct group of β -coronaviruses
109 more closely related to HKU-3. Only a small subset of viruses in this analysis are predicted to be most
110 closely related to SARS-CoV and SARS-CoV-2 relative to all other CoVs represented in this phylogeny.
111 How these viruses differ from those more distantly related in the context of amino acid residue covariance
112 was one aim of the comparative analysis presented here.

113 The relatedness of SARS-CoV-2 to certain bat and pangolin CoVs supports the emergence of this
114 virus from a zoonotic reservoir. Using our nucleotide alignment-based tree, we identify RATG13 and other
115 more recently identified bat CoVs from Laos to be most closely related to SARS-CoV-2, designated as
116 Group 1. Clusters of other pangolin and bat CoVs, some nearly clonal, comprise the next tier of related
117 assemblages designated as Group 2 and 3, respectively. A small number of other CoVs, designated Groups 4
118 and 5, are less closely related to those in Groups 1-3 CoVs but also distinct from other SARS-CoV and
119 HKU-3-related viruses in our generated phylogeny. All CoVs in groups 1-5 are more likely to share a
120 common ancestor with SARS-CoV-2 and we propose mutational and/or recombination events and also
121 selective processes have generated the observed diversity within this subclade (**Figure 2A and 2B**).

122 **Alignment of conserved proteins in β -coronaviruses**

123 We selected 149 CoVs represented in our phylogenetic reconstruction based on the availability of
124 annotated proteins and aligned the amino acids of core proteins using identified open reading framed
125 (ORFs) common to all genomes. This includes the conserved CoV polyprotein genes called *ORF1a* and
126 *ORF1b* which together encodes at least 16 smaller non-structural proteins (nsps) when processed by a viral

127 protease. Others include genes for *S* (Spike), the two viroporins *ORF3a* and *E* (envelope), *M* (membrane),
128 and *N* (nucleocapsid). Our goal was to align the AA sequences of these proteins to identity covariant pairs
129 and residues within and between core non-structural and structural viral proteins. The alignment resulted in
130 a 9458 AA consensus sequence with only 1.5% of sites being gaps with low coverage and 2% with residue
131 conservation of less than 80%.

132 When the AA sequences for each protein are aligned and compared, the degree of conservation
133 varies between each protein and also within individual and discrete protein domains. Genes that encode
134 nonstructural proteins (nsp)s with roles in RNA metabolism and genome replication (i.e., nsp12-14) are
135 among the most highly conserved in AA identity. Others that encode nsp2, nsp3, and nsp4 are highly
136 variable. The NTD and RBD of Spike show the most significant variability in both residue identity and
137 length. In contrast, the sequence of much of the CTD of the S1 and the entire S2 subunit of Spike is highly
138 conserved. The channel-forming E (envelope) viroporin protein is one of the most highly conserved proteins
139 in contrast to the viroporin ORF3a that exhibits high variability in its NTD and other CTD subdomains. We
140 propose that this is evidence of evolutionary pressure on residues in CoV proteins and that certain protein
141 subdomains may be under higher selective pressure than others.

142 In addition to greater AA sequence variability in some genes, individual residues and continuous
143 sections of AA are either uniquely present or absent in a portion of CoVs. One key aim of our study was to
144 identify and evaluate the covariance of all residues in our selected proteins among these 149 CoVs without
145 bias. We predicted that his analysis might reveal the existence of critical amino acid residue conservation
146 or changes that would possibly correlate with changes in the virus-host range or biological properties. In
147 this regard, SARS-CoV-2 is recognized to possess unique sequences not present in other closely related
148 CoVs including those that define and enhance a furin cleavage site (FCS) of the Spike protein ^{25,50}. After
149 binding to the ACE-2 receptor, cleavage of S by furin and or other proteases is critical to conformational
150 changes that allow viral envelope-host membrane fusion and subsequent viral RNA entry into the host
151 cytosol ²⁵. Other changes in S are less understood. For example, certain residues in the NTD of SARS-
152 CoV-2 Spike are present in other unrelated CoVs but are notably absent in the corresponding regions of
153 SARS-CoV ⁵¹. Conversely, there are residues in many CoVs with no positional equivalent in SARS-CoV-
154 2. To address gaps in the alignment, we have indicated such residues with a “Z” designation to
155 accommodate possible covariance between residues and such deletion occurrences.

156

157

158 **Extracted networks of covariant residues are informative about evolutionary relationships in CoVs**

159 Covariance is a quantitative measurement of how often the identity of one AA is correlated to the
160 identity of another AA or AAs in either the same protein or in a completely different protein⁵²⁻⁵⁴. Because
161 covariant AA residues change in concert with each other, they can define critical AA-AA residue
162 interactions within a protein or in homologous or heterologous protein-protein pairs or instead indicate
163 phylogenetic relatedness based on their co-existence^{37,38}. These putative residue interactions may provide
164 new insights into the evolution and relatedness of the CoV family of viruses⁵⁵. To survey the frequency of
165 covariance among a reference collection CoVs, we identified correlative pairs and also assembled groups
166 of three or more (here designated as ‘clusters’) of covarying amino acid residues using a correlating tandem
167 model⁵⁶. These clusters are not typically generated using other typical pairwise algorithms tailored for
168 determining protein structure or docking interfaces. We chose the FastCoV approach for its distinct quality
169 in identifying larger networks of putative compensatory mutations generated by selection and adaptation
170 which seems well-suited for studying the emergence of viruses similar to SARS-CoV and SAR-CoV-2⁵⁶.
171 This differs from DCA-based and other covariance approaches used to predict co-evolving residue
172 interactions that may use corrected and weighted correlative data that can also be coupled with other various
173 predictive secondary structure motifs to assist in protein structure and interaction predictions⁵⁷. Our
174 approach simply provides a raw covariance purity and percentage score for pairs and larger networks of
175 covariant residues with no goal for structure-based predictions. We selected a purity threshold (0.7) based
176 on our small sampling size and extracted 973,649 unique pairs and 741 clusters. In this preliminary analysis,
177 we identified a collection of gaps and also unique sequences selectively conserved in some CoVs and we
178 concluded that such deletions or insertions, like residues, may also covary with AA sequences in proteins.
179 Deletions were temporarily substituted as rare alternate amino acids in the alignment and covariance was
180 analyzed to reveal putative covariance between all residues and also deletions. This expanded the total
181 number of unique correlating residue pairs (1,089,836) and clusters (769) (**Supplemental File S2**). We
182 identified many deletions that correlated with AA residues and also other deletions.

183 All CoVs genomes, clusters, and residues were graphed using a force mapping algorithm
184 (**Supplementary File S7**, shown in **Figure 1A**). This interactive graph facilitates the extraction of clusters
185 and respective residues and deletions uniquely present to different groups and subsets of CoVs.
186 Remarkably, the spatial organization of graphed CoVs is highly consistent with our phylogenic estimate
187 based on nucleotide alignment (**Figure 1B**). CoVs most closely related to SARS-CoV, SARS-CoV-2, and
188 HKU-3 are spatially positioned close to one another in each group solely based on shared covariant residues.
189 Other CoVs that vary regarding relatedness are distributed in between these three indicated groups. Because

190 some covariant pairs and clusters are entirely inclusive to single groupings or instead shared between certain
191 CoVs, we conclude that covariant residues can be enriched through a common evolutionary history such as
192 ancestry or can be selected by adaption to a specific host(s).

193 To provide information about the phylogenic distribution of any cluster that may be due to ancestry,
194 an average taxonomic distribution score (ATDS) was calculated for each cluster based on the number of
195 CoVs present in a given cluster and their average distribution based on branch lengths estimated in the ML
196 tree (**Supplemental File S3**). Though this score is relative and also determined by the relatedness of all
197 CoVs in the phylogenic reconstructions, clusters and their respective alleles with a larger ATDS are more
198 broadly represented in the evolutionary record within the scope of these 149 β -coronaviruses analyzed. A
199 small ATDS value indicates these covariant residues in a given cluster are restricted to CoVs that are very
200 similar or almost identical. We predict this class of clusters may be biologically informative about covariant
201 residues specifically enriched in SARS-CoV and SARS-CoV-2 and their respective relatives. Conversely,
202 clusters with large ATDS values are those clusters with residues that are present in more evolutionary
203 disjunctively distributed single or groups of CoVs. These may be the result of divergent or independent
204 selective events or are instead conserved covariant residues that have persisted during the evolution of CoVs
205 and are possibly ancestral or even essential to the lineage of these viruses.

206 Of the 1,089,836 unique pairs with varying degrees of residue identity at each two positions, we
207 identified 522,336 correlative AA residue pair positions and also calculated the number of unique amino
208 acid identities that can exist for each position in the pair (tabulated in **Supplemental File S2**). This degree
209 of residue representation of each pair varied between a minimum of two (481,024) and a maximum of seven
210 (2). Only ~8% (41,312) of all pairs are represented by three or more unique residue identities and this
211 representation drops significantly stepwise for each unique identity between three and seven. We
212 hypothesized that the increased number of independent residue pairs represented at any two correlative
213 positions in the evolutionary record increases the probability that there is a true interacting relationship
214 between such residues.

215 The position of every covariant residue in the alignment was mapped to the respective residue
216 position in SARS-CoV-2. For an overwhelming majority of residues that show high conservation and are
217 present among the 149 CoVs, this translational numbering assignment based on the sequence alignments is
218 straightforward. For residues in less conserved regions such as those that exist as gaps or insertions in some
219 CoVs including SARS-CoV-2, this created residues positions that are represented by gaps for sites missing
220 in SARS-CoV-2 and duplicate numbering. For example, several CoVs possess between two and eight

221 additional residues between the aligned numbered positions of AA 7 and 8 of SARS-CoV-2 Spike. If any
222 of these residues are covariant with other residues or gaps, the use of SARS-CoV-2 residue numbering
223 necessitates either no assignment or the number of the residue that flanks the missing residues in SARS-
224 CoV-2 to preserve the information position of gapped-residue covariance. We chose the latter to indicate
225 the position, thus some residues may appear to be duplicated or even covariant with themselves when
226 SARS-CoV-2 numbering is employed. We have provided tables that indicates these positions to identify
227 such occurrences (**Supplemental File S2**).

228 The presence of more variable covariant residues in other proteins varies significantly. For pairs
229 with at least five independent identities (319), nearly half (154) of these are located in the Spike protein.
230 Various structures of Spike trimer are elucidated and well-studied due to the roles in receptor recognition,
231 cell entry, and interactions with monoclonal antibodies^{23,58-61}. Using available high-resolution PDB
232 structures, we screened for predicted interacting residues and then referenced our identified covariant pairs
233 to establish a correlation between the number of unique identities in pairs. As observed in the alignment,
234 Spike sequences and high order structures vary between CoVs, and we adjusted scoring both for directly
235 interacting residues and those directly adjacent by one residue position (**Supplemental File S5**). Residue
236 pairs with increased representation are more likely to interact or be in close proximity to one another in the
237 Spike trimer protein (~24%) than those represented by only two identities (~5%). This provided confidence
238 that residues with increased representation are more likely to have direct interactions with their identified
239 cognate pairs.

240 **AA covariance in the CoVs closely related to SARS-CoV-2 is enriched in Spike**

241 We examined the identity and distribution of covariant residues within the lineages of CoVs most
242 closely related to SARS-CoV-2 identified in this work designated as Groups 1-5 (**Figure 2A**). We reasoned
243 that the selective pressure imposed on the AA identity of truly covariant residues should be different than
244 for all other residues. Thus the collection of putative covariant AAs in SARS-CoV-2 and other CoVs that
245 share a common ancestor provides a new perspective about the evolutionary relationships between these
246 viruses.

247 Group 5 exhibits the most numerous and distributed covariant residues identified in distinct clusters
248 (**Figure 2C**). This is not surprising based on the apparent evolutionary divergence within these CoVs when
249 compared to Groups 1-4 (**Figure 2B**). Both Group 2, which is entirely represented by Pangolin CoVs, and
250 Group 3, all bat CoVs, similarly exhibit a greater number of covariant residues when compared to Group
251 1, also likely due to differences in the overall relatedness of CoVs. The CoVs represented in Group 1 exhibit

252 high conservation and some members are nearly clonal. For these, nearly all covariant residues in this group
253 are restricted to Spike and ORF3a, except for two residues in Nsp3 (AA 149 and 175) and a single residue
254 in Nsp15 (115).

255 Because CoVs in Groups 1-3 are most closely related to SARS-CoV-2 based on their nucleotide
256 identity, we focused on these and examined covariant residues in Spike (**Figures 3A-C**). Residues in Spike
257 are recognized to be among the most relevant in the emergence and persistence of SARS-CoV-2 and also
258 implicated in host adaption in both SARS-CoV and SARS-CoV-2^{43,62}. We vetted residues in Groups 1-3
259 because we hypothesized these covariant alleles might be important for selection, adaptation, and viral
260 fitness for the most closely SARS-CoV-2-related viruses in human, pangolin, and bat hosts. Furthermore,
261 we identified covariant residues co-present in two or in all three of these groups. By definition, the AA
262 identity of individual covariant residues is not highly conserved in CoVs, but instead, their conserved
263 identity varies with other residues. Thus any covariant pair or cluster of residues may indicate a direct or
264 indirect conserved interaction between AAs important during the adaption of a CoV. We find a common
265 subset of conserved covariant residues between both bat and pangolin CoVs with those closely related to
266 SARS-CoV-2 in Group 1. These may indicate specific interactions between residues and residue identities
267 especially relevant to the biology of SARS-CoV-2 and related CoVs.

268 A majority of the Spike-specific covariant residues common to clusters in Groups 1-3 are located
269 within discrete domains primarily in the S1 domain (**Figures 3B and 3C**). These regions are in the NTD
270 (AA 67-112, 137-155, and 239-271), RBD (AA 439-508 & 529-589), and CTD of the S1 subunit (AA 632-
271 640), and also at the FCS within the S1/S2 subunit boundary (AA 675-690). The NTD, RBD, and FCS are
272 also notably enriched in both mutations and deletions identified in dominant variants of SARS-CoV-2. For
273 example, the deletions and flanking mutations at AA positions 69-70, 142-145, 156-157, and 241-253 found
274 in SARS-CoV-2 dominant variants align well with enriched covariant residues, including deletions, in these
275 three groups. In regions of very sparse covariance such as AA 529-590, two variant mutations 547
276 (Omicron) and 570 (Alpha) also align with covariant residues in Group 1. Conversely, other mutations in
277 current SARS-CoV-2 variants do not align with these enriched covariant residues. True covariant residues
278 require additional compensatory changes at other residue positions and we expect a portion of these residues
279 to be less mutable than other noncovariant residues with low conservation.

280 **Clinical and pan covariant residues are similarly represented**

281 The resolution and extent of our pan-CoV covariance analysis are in part defined by the number of
282 distinct genomes and also their relatedness. Roles for all identified covariant residues in all proteins cannot

283 be readily ascertained, but this generated data may be further validated as more SARS-CoV-2 protein
284 structures become available. Because of the vast scope and magnitude of SARS-CoV-2 infections during
285 the ongoing pandemic and availability of whole genomes sequenced, we supposed covariant residues may
286 also be apparent in the millions of sampled clinical strains over 18 months. Genes enriched residues with
287 covariant relationships that appear to be co-present in both the pan and clinical covariant analysis are more
288 likely to be of special interest to SARS-CoV-2 biology. We extracted and stringently selected whole-
289 genome sequences that were nearly or entirely complete to avoid artifacts that may bias our analysis and
290 then compared the positions of covariant residues of 252,102 randomly selected sequences deposited
291 between December 2019 and August 2021 (**Supplemental File S4**).

292 Due to the near clonality of SARS-CoV-2 sequences, we were unsurprised to find only 1.2% of the
293 total covariant residues when compared to those identified in pan-CoV analysis. 13,041 uniquely
294 represented pairs of AA residues can be reduced to 6,137 correlative pairs for all proteins. As observed in
295 the pan-analysis, the distribution is exceedingly skewed toward several genes encoding proteins including
296 Spike. When the distribution of every single residue identified in both the pan and clinical analysis is
297 compared gene-by-gene, regardless of the positions of correlative partner, we discovered the contributed
298 representation for each encoded protein follows a similar trend (**Figure 4A and 4B**). Genes encoding nsp5
299 (3CL-pro), nsp7-nsp16, Envelope, and Membrane proteins are sparse in coverage of co-identified single
300 residues. In contrast, covariant residues are most abundant in frequency in genes encoding nsp1-3, Spike,
301 and ORF3a. Remarkably, in either category, there are proteins and specific regions of proteins similarly
302 enriched in the distribution of covariant residues for both analyses. When the co-occurrence for each residue
303 is quantified for the entire protein, the observed overlap between clinical and pan covariance is found to be
304 statistically significant for nsp2-4, nsp13, nsp16, Spike, and nucleocapsid. For proteins with overlap
305 measured to be above the threshold of significance, such as nsp1, envelope, and ORF3a, this graphing
306 allows us to observe both similar patterns and frequencies of covariant residues across the protein.
307 Conversely, for nsp6, nsp10, and membrane proteins we see no significant similarities in residue
308 distribution or patterns.

309 **Mapping of identified conserved pairs in both analyses**

310 We accounted for the distribution of intra- and inter-protein residue pairs identified in both analyses
311 (**Figure 5A**). We reasoned these pairs are more informative about conserved residue interactions than the
312 distribution of single residues mapped per protein. As with single residues shown in **Figure 4**, the
313 distribution of linked residue pairs is not uniform and the density varies significantly by protein and within

314 protein regions. The majority of linked pairs are mapped to genes encoding nsp1, nsp2, nsp3, Spike, ORF3a,
315 and nucleocapsid. For genes encoding nsp4 and nsp16, the occurrence is sparse or absent in residue pair
316 representation. Of 538 total pairs (**Supplemental File S6**), 90% (485) are represented by residues within
317 the same protein (**Figure 5B**), are frequently proximal or adjacent to each other by position, and are not
318 random in distribution. We expect this bias as most interacting residues should be present within the same
319 protein. The remaining 10% (53) intra-protein pairs are similarly clustered and nonrandom in their
320 positional enrichment (**Figure 5C**). Intra-protein residues in nsp3, Spike, and nucleocapsid are linked with
321 the most diverse partner proteins (**Figure 5F**). In contrast, nsp12, nsp13, nsp15, nsp16, and envelope
322 possess only one or two intraprotein pairs.

323 **Evidence for interactions within and between Spike and ORF3a linked to viral emergence and** 324 **adaption**

325 The enrichment of residue pairs in the subdomains of Spike and ORF3a were of special interest
326 (**Figure 5D**). First, the distribution and abundance of these residues are similar to the covariant residues we
327 identified within the CoVs most related to SARS-CoV-2 (**Figure 2C**). Furthermore, of these 224 residues,
328 40 are identified in the 88 Spike and ORF3a residues as 31 pairs present in dominant variants circulating
329 including Omicron. When 31 residue pairs are mapped to Spike and ORF3a, most links are enriched
330 between the NTD and RBD of Spike with two notable links between the Spike NTD and AA 26 in the NTD
331 of ORF3a (**Figure 5E**).

332 We find evidence that subsets of these 31 residue pairs likely interact directly or are positioned
333 proximal to one other within particular regions of the Spike protein (**Figure 6A-E**). In a solved quaternary
334 structure of the Spike trimer PDB (7JJI.PDB), NTD residue 20 is adjacent to its covariant pair residue 138
335 in Spike Cryo-EM reconstruction (**Figure 6D**). Moreover, residues 17 and 21 interact directly with 138
336 Residues between 138 through 157 include identified covariant residues in this work that are notably
337 deletions and/or mutated in dominant variants. Similarly, covariant residues 241 through 252 are also
338 frequently deleted and/or mutated and these directly interact with 138-157. Residues 248-250 are identified
339 in our work to covariant with residue 75. With residue 75, deletions and mutations between residues 65 and
340 82 are also among the most abundant identified in dominant circulating variants. Residues 212 and 215
341 reside in yet another covariant hotspot and have covariant pairings with residues 142 and 241/242,
342 respectively. Curiously, based on structure, AA residues 212-215 have no apparent direct interactions with
343 138-157, 241-252, or 67-75. All of these mutation and deletion hotspots in Spike NTD have generated
344 much interest regarding their roles as superantigens and the escape from neutralizing antibodies (more

345 below). The stand-alone identification of these in both pan and clinical covariance analyses and co-
346 occurrence in dominant circulating variants indicate these are often under significant selective pressure to
347 either mutate or become absent by consequence of in-frame deletion, often in the context of distal residues.

348 Spike protein remarkably accounts for 58% of residues in our identified 538 coincident pairs and
349 also is recognized to possess the majority of mutations in dominant variants. We examined all 538 residue
350 pairs and then cross-listed the occurrence of each residue in dominant variants to identify those in all
351 proteins. 119 (30%) of 394 total represented residues are found in 15 dominant variants including the two
352 current Omicron sublineages³¹. When both residue pairs are present in a dominant variant (49), we find
353 76% of these are remarkably present together in the same variant lineage (**Figure 7**). This observation is
354 suggestive of covariance pressure operative in the emergence of variants during the ongoing pandemic.

355 **Discussion**

356 For both nucleotide and amino acid identity-based approaches, the sequence conservation in either
357 complete or partial regions of CoV genomes continues to be applied to understand the relatedness between
358 β -coronaviruses and SARS-CoV-2. This extends to the emergence of SARS-CoV-2 as a human pathogen
359 responsible for a global pandemic and its continued adaptation. In this work, we examined the conservation
360 of correlative covariant pairs and even clusters of amino acids that appear to change in concert with one
361 another across the entire genome. We acknowledge apparent covariant mutations can also be a simple
362 consequence of spontaneously emerged mutations and other common concurrent mutations at less
363 conserved sites. Conversely, these could be a result of spontaneous mutations that imposes a selection for
364 one or even more compensatory mutations at other sites to maintain or even increase viral fitness. Both
365 instances are certain to be present in this dataset. An applied hypergeometric probability distribution
366 predicts the overlap of 538 covariant pairs between the pan and clinical datasets is exceedingly significant
367 (**Figure 7**). We acknowledge that the conservation and essentiality of amino acid residues vary significantly
368 in CoVs based on the scope of evolutionary relatedness. This should also influence the probability of true
369 coupling because not all residues are equally conserved or mutable. We propose future efforts should
370 examine such covariance in the context of residue mutability. Recent efforts that applied DCA to predict
371 epistatic interactions in the context of SARS-CoV-2 residue mutability concluded coupling also played a
372 minor role in emerging mutations in variants. The authors surmised that a restricted number of unique
373 genomes and a broad scope of evolutionary divergence among all coronaviruses also limited the analysis
374 performance for epistasis-mutation comparisons in SARS-CoV-2 proteins⁶³. We chose to limit our pan-
375 analysis to only lineage B β -coronaviruses for our work based on this very principle.

376 We find evidence of true covariance in this work when we compare the total number of independent
377 changes present in each pair with a known structure. In Spike, the probability of either a direct or possible
378 indirect interaction by one flanking residue increased from 5% to 24% when the minimum number of unique
379 AA changes in any given residue pair of two identities were compared to those with five. Furthermore, for
380 covariant residues with increased independent residue representation in the pan covariance analysis, these
381 were also more likely to be identified in the clinical covariance analysis. Notably, when residues identified
382 in both analyses are compared, 90% are found within the same gene and enriched in certain genes with
383 important virus-host interactions such as Spike. This observation is consistent with an expected model
384 where intraprotein covariance is predicted to be more abundant than that for interprotein, including proteins
385 that form homotrimers such as Spike.

386 A benefit of comparing residues from both covariant analyses is demonstrated by their co-presence
387 and enrichment in dominant circulating variants. Mutations and deletion-enriched hotspots in the Spike
388 NTD described in this work have been recently identified and studied as antigenic regions responsible for
389 antibody escape^{64,51,65-68}, but not yet investigated comprehensively in the context of pan-covariance to our
390 knowledge. Notably, many NTD covariant hotspots are also deletions identified in SARS-CoV Spike
391 protein when aligned to SARS-CoV-2⁵¹. Though clinical SARS-CoV-2 covariance data should by
392 definition reveal co-present residues common to variants, the independence occurrence of these in the Pan-
393 CoV covariance is intriguing. We note these clinical sequences were collected through August 2021, over
394 three months prior to the first emergence of Omicron, and yet we identify some Omicron-specific residues
395 and pairs in this work. We propose all covariant residues identified in Spike and other conserved CoV
396 proteins in this work serve as one reference for possible future single and multiple mutations that might
397 arise in dominant variants. These could inform about epitopes and antigenic regions that are possibly
398 vulnerable to enriched mutations also in part due to covariance such as specific regions in the Spike NTD.
399 Furthermore, these may reveal key residues that contribute to yet undiscovered interactions between viral
400 proteins of SARS-CoV-2 including Spike and ORF3a as discussed in an earlier description of our initial
401 results⁵⁵.

402 **Methods**

403 **Genome and protein sequence acquisition and alignment**

404 Nucleotide and protein sequences for the 169 individual CoVs and 252,102 clinical samples were
405 downloaded from available NCBI and GISAID public databases^{32,69,70}. All genomes and accession numbers
406 are provided in **Supplemental Table S1**. The GISAID sequences have been provided from various sources

407 and published work and these source data are acknowledged in **Supplemental Table S4**. Nucleotide
408 sequences of 169 CoVs were aligned using the MAFFT iterative consistency-based setting (G-INS-i) and
409 we used nucleotides that spanned the aligned start codon of SARS-CoV-2 *Orfla* gene through the stop
410 codon of the *N* gene ⁷¹. Protein sequences for NSP1 through NSP16, Spike, ORF3a, E, M, and N of 149
411 CoVs were concatenated and then aligned using the MAFFT iterative consistency-based setting (G-INS-
412 i)⁷¹. For clinical samples, we initially selected a total of 882,364 sequences isolated, sequenced, and
413 deposited in GISAID between December 2019 and August 21, 2021 based on their near completeness
414 (>95%) of sequence coverage for all proteins used in pan-CoV amino acid covariance. This facilitated the
415 inclusion and identification of sequences with small deletions and rare insertions. Due to computational
416 limits, two independent sets of 126,051 randomly selected sequences of the 882,364 were aligned using
417 MAFFT using respective references of each alignment to maintain identical length (List provided in
418 **Supplemental Table S4**) ⁷¹. We expect some deletions and insertions are due to sequencing and assembly
419 errors but only co-varying deletions should become apparent during covariance analysis. The clinical
420 alignment spans both known and predicted genes between *nsp1* and *Orf9c*, but only covariance between
421 the proteins also studied in pan covariance set are analyzed and compared in this work.

422 **Phylogeny and ATDS calculation**

423 We inferred phylogeny by reconstructing a maximum likelihood (ML) tree with IQTree after first
424 testing and comparing 286 DNA models by creating initial parsimony trees scored according to Bayesian
425 information criterion (BIC) using IQTree ModelFinder ⁷². We then applied the best fit DNA model which
426 is a general time reversible model using empirical base frequencies allowing for the FreeRate heterogeneity
427 model across sites GTR+F+R6 (invariable site plus discrete Gamma model) with 1000 replicates using
428 bootstrap resampling analysis ⁷². This tree file is available in **Supplemental File**. Bootstrap resampling
429 analysis was completed using 1000 replicates. Bootstrap values and branch lengths are indicated in an
430 unrooted tree shown as a circular phylogram. Branch lengths shorter than 0.0368 are shown as having length
431 0.0368.

432 For all genomes that belong to each cluster, the sum of branch lengths between every possible pair was
433 extracted from the tree file and averaged to calculate the Average Taxonomic Distribution Score (ATDS).
434 This relative score is provided as additional metadata in the Gephi Force mapping file.

435 **Covariance analysis and force mapping**

436 Pairwise and multiple residue covariance and scores were calculated using FastCov ⁵⁶. Alignment
437 files for both pan and clinical CoVs were substituted to provide a “W” in place of absent/deleted residues.

438 Using the known position of true “W”, residues, all “W” deletions were replaced as “Z” to indicate absence
439 following analysis. We set a purity score (0.7) for stringency cutoffs in both the pan-CoV and clinical-CoV
440 sequence alignment. A raw table of predicted covariant pairs is provided as **Supplemental Table S2**. This
441 allowed the binning of clusters and respective strains for Force Mapping in Gephi using the Multigravity
442 ForceAtlas 2 setting and comparison of covariant residues based on clusters and strains⁷³. All clusters and
443 residues and their respective occurrence in CoVs for both analyses are tabulated in **Supplemental Table**
444 **S3**. Genomes, clusters, residues were mapped in Gephi using the MultiGravity ForceAtlas 2 algorithm.⁷³
445 This data is provided in **Supplemental File S6** for interactive application using Gephi Software.

446 **Prediction of interacting residues and mapping of residues in Spike trimer structure**

447 The Arpeggio program was used to calculate inter and intramolecular interactions between residues
448 in the 7JJI.PDB file (**Supplemental Table S5**)^{59,74}. To accommodate minor sequence and structure
449 variability between Spike proteins in the pan-CoV analysis, the position of any two residues identified to
450 interact in SARS-CoV-2 was extended by one flanking position both amino and carboxyl to each residue
451 when calculating possible interactions for all 149 CoVs. Residues in Spike were mapped onto the PDB
452 structure for Spike (7JJI.pdb) using PyMol (v.2.3.4)^{58,75,76}.

453 **Cross-referencing residues present in dominant variants**

454 Mutations identified in previous and dominant circulating variants of clinical interest were extracted from
455 data compiled by CoVariants.org and enabled by GISAID^{31,32,77}. The WHO label for each variant is used
456 for reference.

457 **Statistics**

458 Hypergeometric probability was applied in R using the abundance and distribution of single residues in
459 each analyzed gene in the pan and clinical covariance datasets. Residue identity by position was
460 approximated for the pan covariance and then numbered by position in SARS-CoV-2. For comparative
461 analyses of covariant pairs identified in both analyses across all genes, residue identity by position was
462 approximated for the pan covariance and then numbered by position in SARS-CoV-2. The total number of
463 unique residues identified as covariant in each independent analyses and the total pairs co-present (overlap)
464 was examined as above by applying a hypergeometric probability formula.

465 **Plotting**

466 Circular graphing of key collections of residues was graphically plotted using Circos⁷⁸.

467

468 **Declarations**

469

470 **Ethics approval and consent to participate**

471 This study includes sequence and metadata of 252,102 CoV virus strains from a publically available
472 database (GISAID) and though patient age and sex has been approved to be publically available in this
473 database, only the locations and date of virus isolation are noted in this work. No IRB approval is needed
474 for this data or and the all acknowledged sources and authors for every sequence in this source data
475 tabulated from the public GISAID are provided in **Supplemental Table S4**.

476 **Availability of data and materials**

477 All data was generated using publically deposited and available genome and protein sequences and the
478 identity and accession numbers are provided. All generated and analyzed raw output data for which this
479 study is based is provided in this published article and can be referenced in the tabulated supplemental
480 spreadsheet files.

481 **Competing interests**

482 We declare there are no financial or non-financial competing interests with the published work.

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485 **Authors' contributions**

486 WPR completed all data analysis and contributed to the biological interpretation of data, discussion, and
487 conclusions. JJM contributed to the biological interpretation of data, discussion, and conclusions.

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490 hospitals responsible for obtaining the virus specimens and the laboratories where genetic sequence data
491 were generated and shared via the GISAID Initiative (sources provided in **Supplemental File S4**).

492 **Abbreviations**

493 AA: Amino acid

494 ATDS: Average Taxonomic Distribution Score.

495 CoV: Coronavirus

496 CTD: Carboxy-terminal domain
497 FCS: Furin Cleavage Site
498 FP: Fusion Peptide
499 GISAID : Global Initiative on Sharing All Influenza Data
500 NCBI: National Center for Biotechnology Information
501 nsp: Nonstructural protein
502 NTD: Amino-terminal domain
503 PCA: Principal Component Analysis
504 RBD: Receptor binding domain
505 WHO: World Health Organization

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Main Figure Legend

Figure 1. Force mapping graph cluster based on amino acid residue covariance and nucleotide alignment-based ML tree phylogeny both reveal similar relationships. (A) Gephi force mapping graph (Multiforce ForceAtlas 2) showing 149 CoVs based on all predicted clusters of covariant residues. The respective host for each CoV is indicated by color and the average taxonomic distribution score (ATDS) for each cluster is indicated by cluster circle size. All CoV, clusters, and residues can be accessed and extracted from the interactive Gephi file and supplemental tables. CoVs most closely related to SARS-CoV, SARS-CoV-2, and HKU3 based on phylogeny are circles and labeled. (B) Overview of ML tree of 169 CoVs that spans nts of genes *ORF1a/b* through *N* (Nucleocapsid). CoVs are colored by host. SARS-CoV, SARS-CoV-2, and HKU3-related CoVs are circled to match groups in Figure 1A.

Figure 2. The distribution of covariant residues in CoVs most closely related to SARS-CoV-2. (A) the identification and CoVs in Groups 1-5 in a subset of the maximum likelihood tree showing branch length and bootstrap values. (B) Position of tree subset in entire maximum likelihood tree (from Figure 1B). (C) Distribution of covariant residues in core genes for Groups 1-5 based on clusters specific to each group. Each group is indicated by color and the position of every residue is colored.

Figure 3. The distribution of Spike covariant residues found in CoVs Groups 1-3. (A) the number of unique and shared residues between Groups 1, 2, and 3. (B) Covariant residue-enriched of NTD, RBD, and FCS that are shown in detail in (C). (C) Aligned AA sequences of covariant residue-enriched regions of Spike with representatives from Group 1, Group 2, and Group 3. Covariant sequences that overlap between these are boxed and those common to two groups colored as yellow and those to all three purple. Residues identified in the clinical covariant analysis are indicated (+). Residues present in dominant circulating variants are indicated (*). Residues deleted in these regions when these groups are compared are shown in red.

Figure 4. Comparing individual covariant residues in clinical and pan analyses in conserved genes. (A and B) Outline of comparative analysis. (C) Trace showing the position and frequency of both Clinical (orange) and Pan (blue) identified covariant residues in each gene. The length of the SARS-CoV-2 gene is labeled and the number of covariant residues in each is indicated with the number overlapping in a Venn diagram. The size of each Venn circle is proportional to the percentage of residues identified in each gene., The significance and P-value calculated for each gene based on length of the gene, number of covariant residues in each, and overlap is shown.

Figure 5. Mapping covariant pairs common to both clinical and pan analyses. (A) Diagram showing the concept of conserved residue pair. (B) Distribution of intra-gene covariant pairs. Links are colored by the gene. (C) Distribution of inter-gene covariant pairs. (D) Distribution of Spike and ORF3a inter- and intra-gene covariant pairs. Domains and boundaries of ORF3a (NTD) and Spike (NTD, RBD, and FCS) are shown. (E) Distribution of Spike and ORF3a covariant pairs from (D) that are also present in dominant circulating variants. (F) Network graph showing the number of covariant residues represented in each gene (size of circle) and the occurrence with the number of inter-gene covariant residues between each gene (value indicated for each linkage).

Figure 6. Mapping of Spike NTD covariant residues and deletion identified in clinical and pan analyses and also found in dominant circulating SARS-CoV-2 variants. (A-C) The top, side, and bottom PDB structure (7JJI.PDB) of the Spike homotrimer shows the position of these residues. Residues are colored by position in the NTD. (D) Labeled regions and residue numbers of regions are indicated. Amino acids structures are shown for highlighted residues. (E) The sequences of highlighted residues are shown. Residues predicted to directly interact at the molecular level in the PBD are linked by dotted lines.

Covariant residues found in both Pan and Clinical covariant analyses and dominant SARS-CoV-2 variants are colored red.

Figure 7. Flow chart showing the accounting of identified pan and clinical covariant residues and overlap. 14 dominant variants used in comparative analyses and respective residues found in each are shown in parenthesis. WHO label for each variant is used for reference. The gene by gene distribution of the 538 co-present pairs in genes is graphed. The abundance and overlap of pairs and single residues identified in the 14 dominant lineages are graphed. Hypergeometric probability of common residues is shown and representation value indicates the overlap value divided by the number of expected pairs to overlap if all covariant pairs were equally probable.

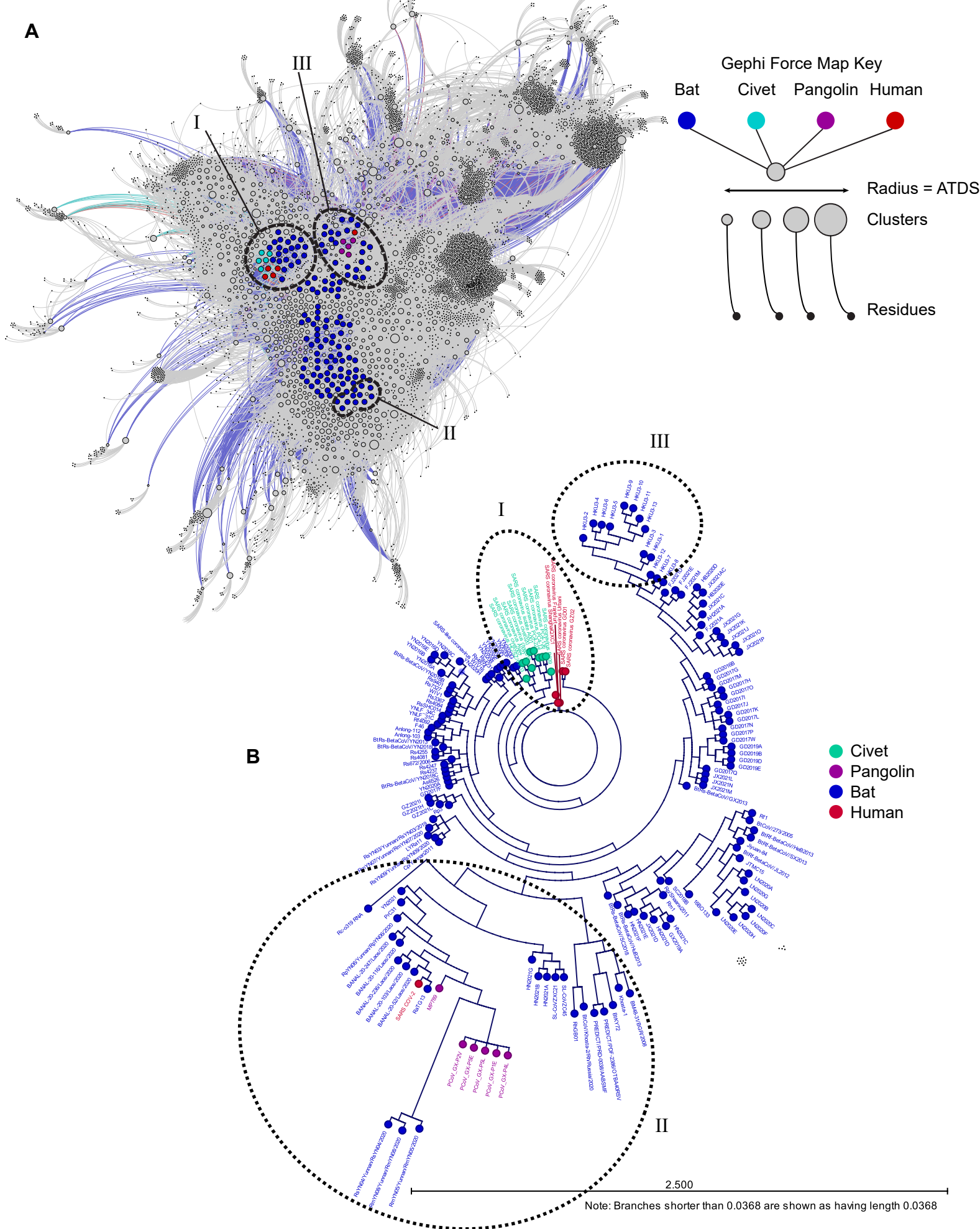
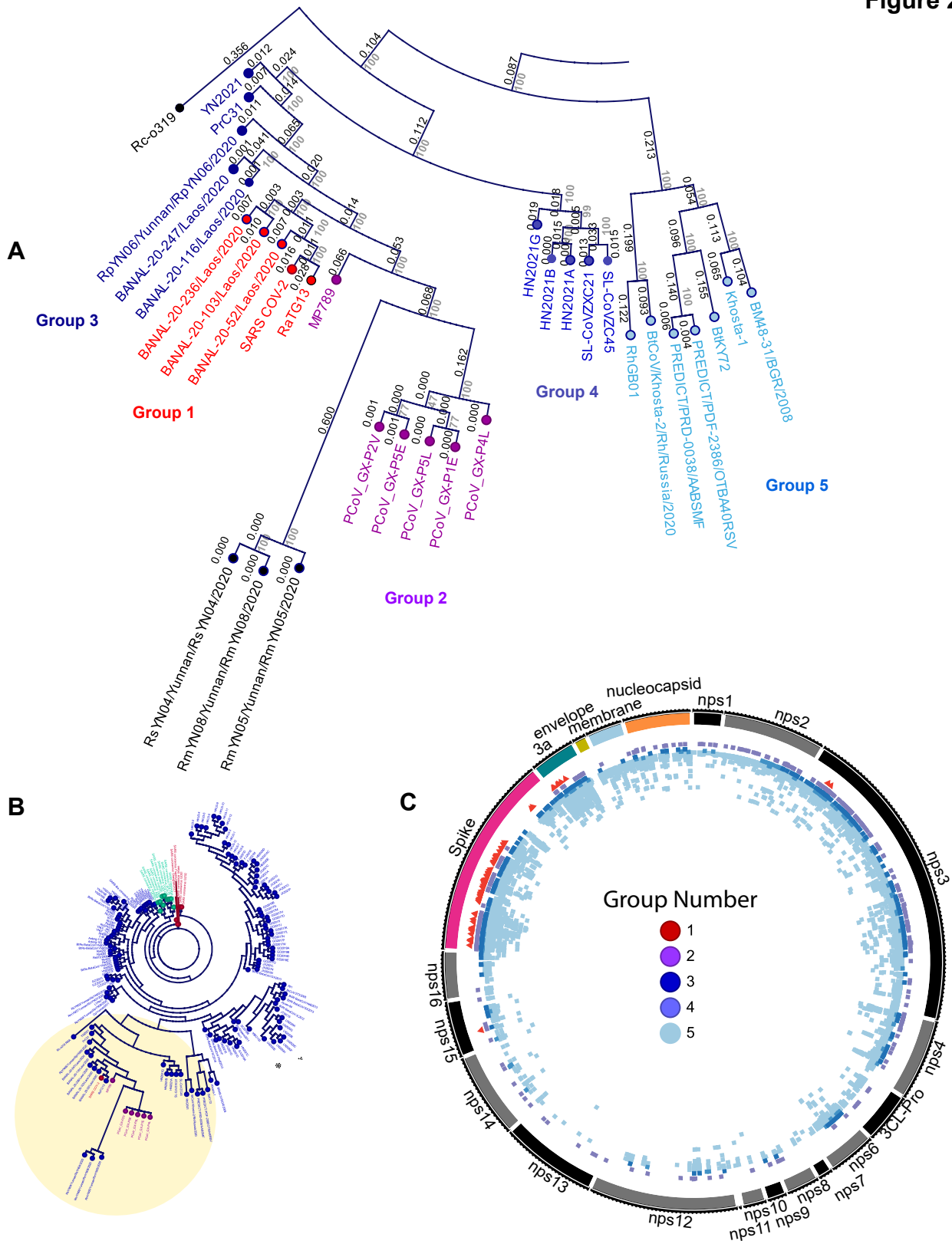


Figure 2

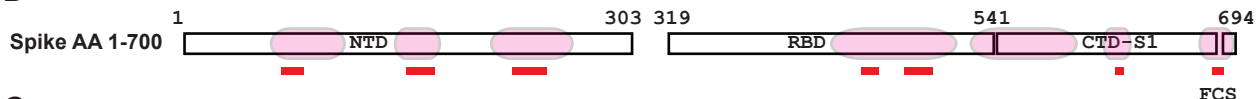


A

Figure 3

RBD Q493 Y/E/K/S
RBD S494 R/TCTD Q677 (V or Q)/M/A
CTD S680 S/F/L
CTD Q690 Q/R/KNTD G72 G/Y
NTD S112 S/A
NTD N137 N/T
NTD M153 M/V
NTD S155 S/N
NTD S254 S/L
RBD E484 E/V
RBD N501 N/T
RBD Y508 Y/F
RBD N532 N/T
RBD E554 E/T
RBD N556 N/KCTD S640 S/A
CTD A688 A/NGroup 1
Human/Bat
(45 Unique)Group 2
Pangolin
(35 Unique)Group 3
Bat
(92 Unique)RBD K356 K/T
RBD Y449 Y/(-)
RBD Q474 S/(-)
RBD C480 C/(-)
RBD G502 G/P
RBD K529 K/L
RBD L582 S/LCTD T632 T/A
CTD Q675 Q/H
CTD I794 L/I
CTD S1097 F/SNTD S12 S/T
NTD Q23 G/T
NTD L24 I/E
NTD R78 K/A
NTD S94 S/T
NTD Q239 Q/R
NTD Q271 Q/KRBD N439 V/A
RBD K444 L/G

B



C

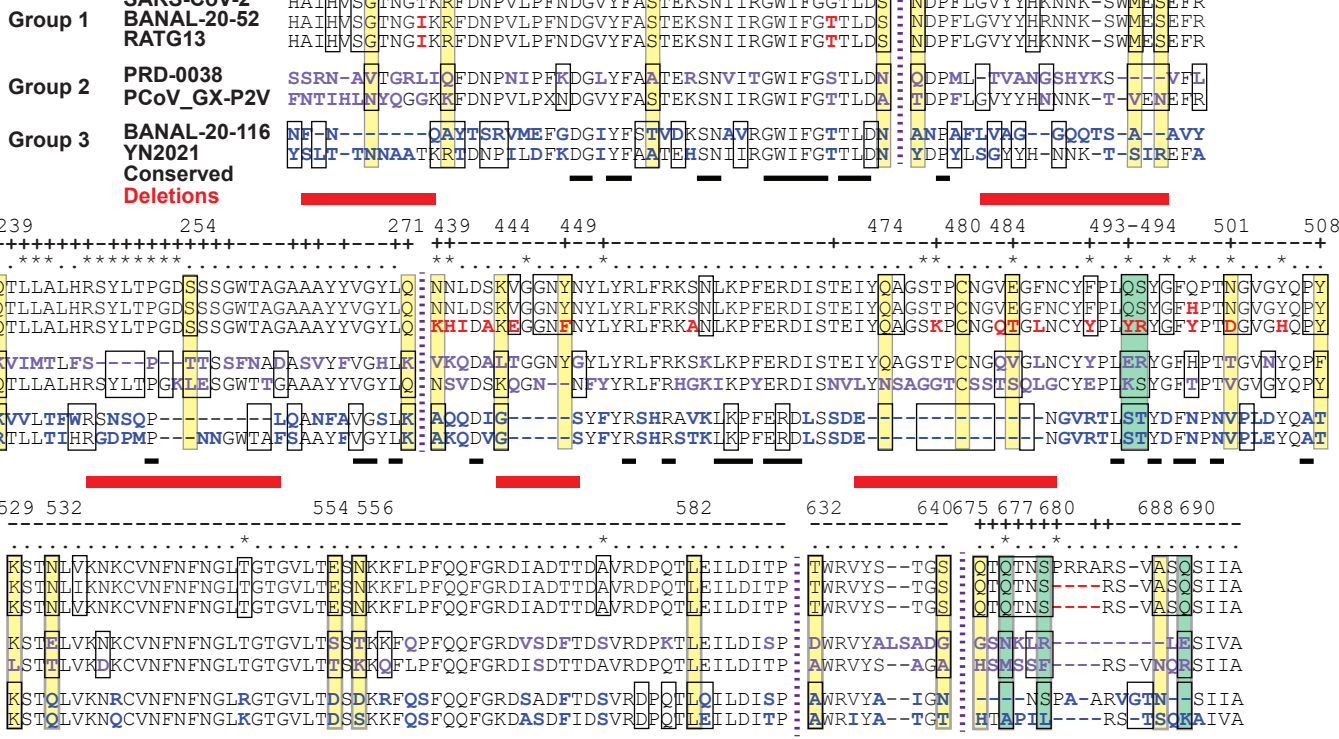
Clinical covariant
Dominant variant

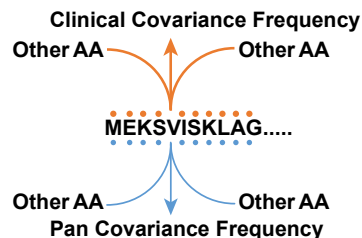
Figure 4

A

252,102 Clinical Genomes
(12/2019-10/2021)
149 Pan-CoV Genomes

Compare Covariant Pair Residue Frequency
for all AAs in ORF1ab/Spike/ORF3a/E/M/N

B



C

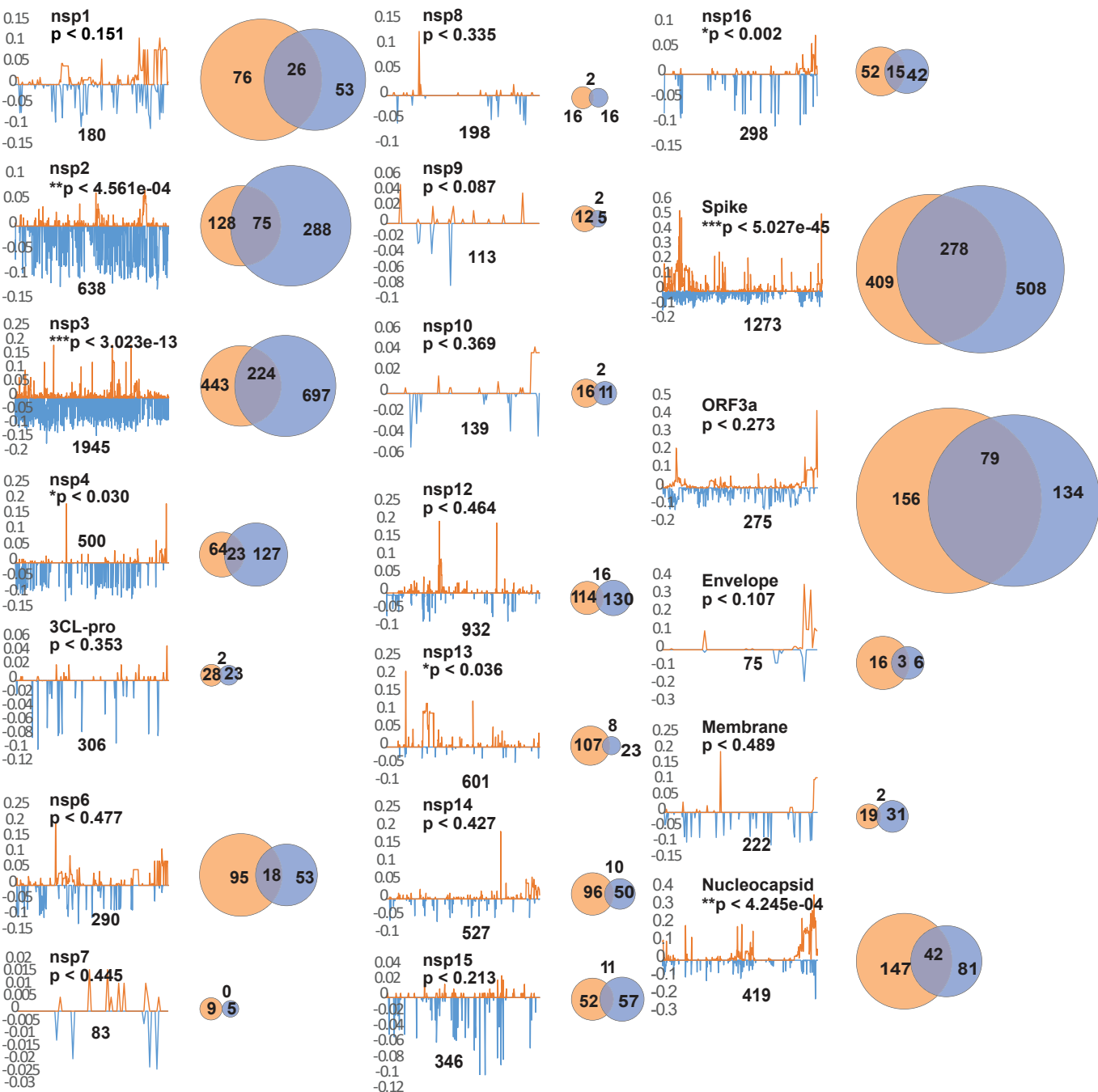
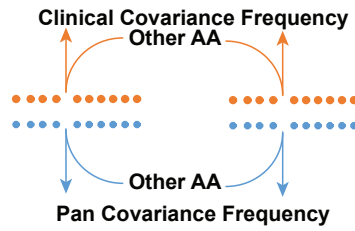
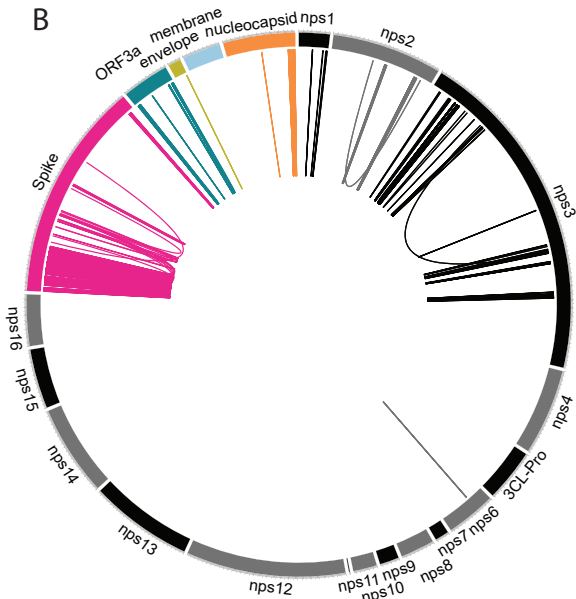


Figure 5

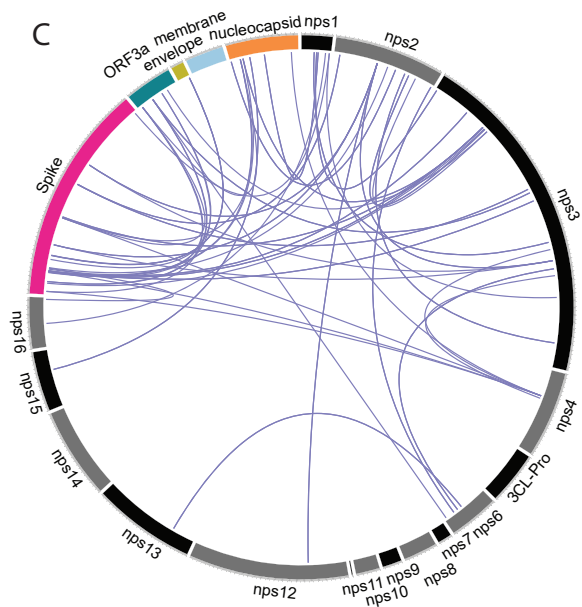
A



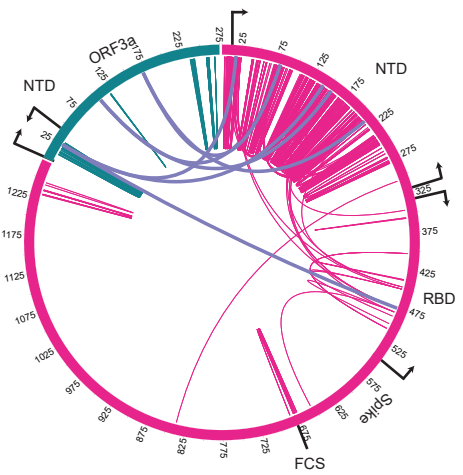
B



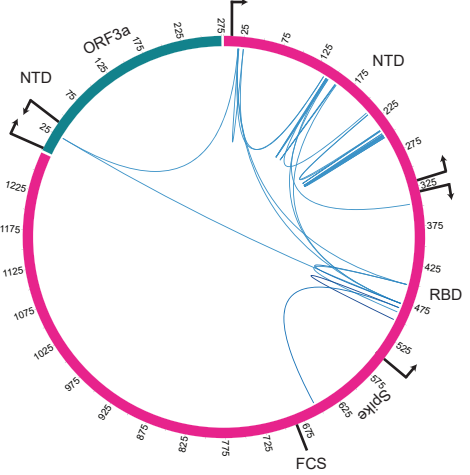
C



D



E



F

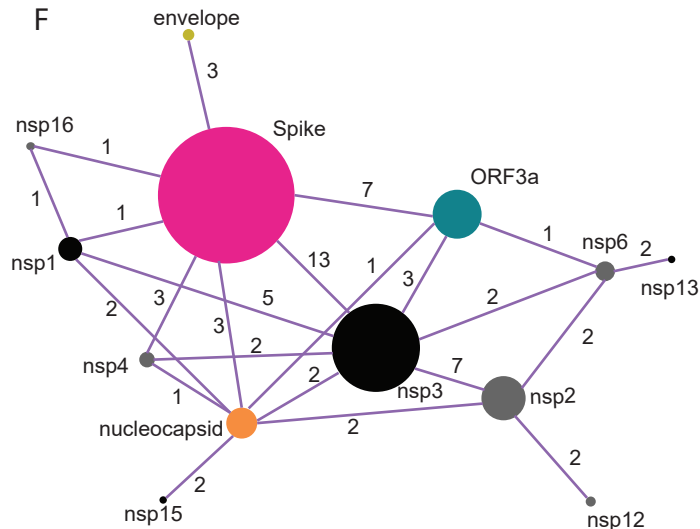
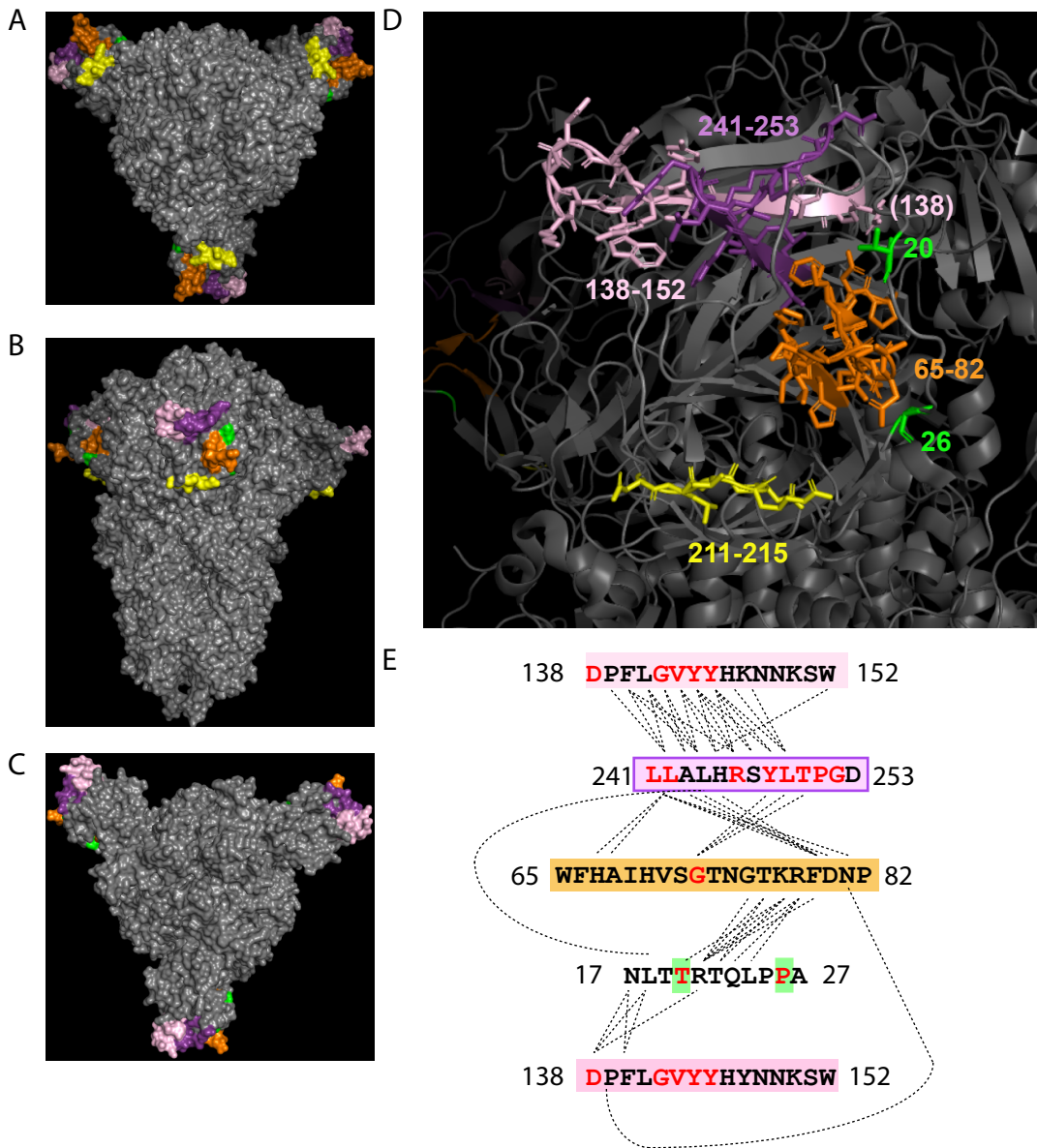


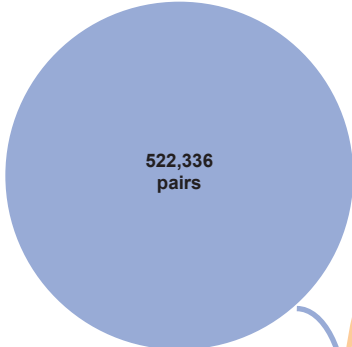
Figure 6



149 Pan-CoVs

Figure 7

14 Dominant Variants
190 Total Single Residues



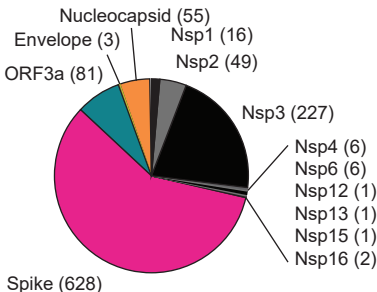
250,000
Clinical

6,137
pairs

Alpha (21)
Beta (20)
Gamma (23)
Delta (16)
Iota (17)
Lambda (28)
Pelican (13)
80Y (9)
439K (2)
EU1 (2)
EU2 (7)
Robin1 (13)
Omicron 21K (58)
Omicron 21L (65)

538 like pairs present in both
($P < 5e-324$, Representation =15.0)

Distribution of single residues
in 538 pairs



Overlap with dominant variants

