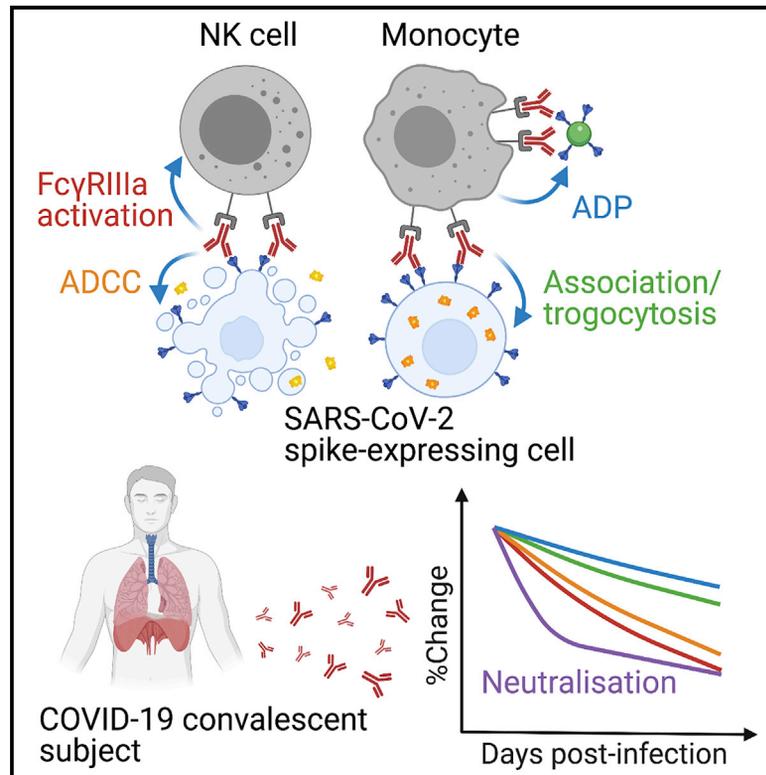


Decay of Fc-dependent antibody functions after mild to moderate COVID-19

Graphical abstract



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In brief

Lee et al. report the decline of Fc-dependent antibody functions against SARS-CoV-2 spike in COVID-19 convalescent subjects up to 149 days post-infection. Unlike neutralization activity, plasma ADCC and ADP responses are sustained in the majority of subjects at the last time point measured.

Highlights

- SARS-CoV-2 spike-specific Fc effector functions may contribute to COVID-19 control
- Spike-specific ADCC and ADP responses decline in the 4 months post-infection
- Compared to neutralization, ADCC and ADP are detectable longer post-infection
- Cross-reactive antibodies against human coronavirus spike increase post-infection



Article

Decay of Fc-dependent antibody functions after mild to moderate COVID-19

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SUMMARY

The capacity of antibodies to engage with immune cells via the Fc region is important in preventing and controlling many infectious diseases. The evolution of such antibodies during convalescence from coronavirus disease 2019 (COVID-19) is largely unknown. We develop assays to measure Fc-dependent antibody functions against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) spike (S)-expressing cells in serial samples from subjects primarily with mild-moderate COVID-19 up to 149 days post-infection. We find that S-specific antibodies capable of engaging Fc γ receptors decay over time, with S-specific antibody-dependent cellular cytotoxicity (ADCC) and antibody-dependent phagocytosis (ADP) activity within plasma declining accordingly. Although there is significant decay in ADCC and ADP activity, they remain readily detectable in almost all subjects at the last time point studied (94%) in contrast with neutralization activity (70%). Although it remains unclear the degree to which Fc effector functions contribute to protection against SARS-CoV-2 re-infection, our results indicate that antibodies with Fc effector functions persist longer than neutralizing antibodies.

INTRODUCTION

Most individuals who recover from coronavirus disease 2019 (COVID-19) develop binding and neutralizing antibody responses against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) spike (S) protein,^{1,2} with neutralizing antibody responses generally targeted to the receptor-binding domain (RBD) of S.³ Passive transfer of neutralizing monoclonal antibodies (mAbs) can protect animal models from subsequent SARS-CoV-2 challenge,^{4–6} suggesting neutralization is likely to be a correlate of protection in humans.⁷ However, the duration of protection from re-infection in humans conferred by neutralizing antibodies is not known. Several studies now show neutralizing antibodies decline rapidly during early convalescence,^{2,8,9} with the magnitude of the antibody response positively correlating with disease severity.^{10,11} Following mild COVID-19, many subjects mount modest neutralizing antibody

responses that decline to undetectable levels within 60 days, despite the maintenance of S- and RBD-specific immunoglobulin G (IgG) binding antibodies.¹⁰ Given that reported cases of SARS-CoV-2 re-infection have been rare to date, it is likely that immune responses beyond neutralization, including T cell responses,¹² contribute to SARS-CoV-2 protective immunity. Apart from direct virus neutralization, antibodies can also mediate antiviral activity, such as antibody-dependent cellular cytotoxicity (ADCC) and antibody-dependent phagocytosis (ADP), by engaging Fc gamma receptors (Fc γ R) on NK cells or phagocytes. Fc effector functions contribute to the prevention and control of other viral infections, including HIV-1, influenza, and Ebola.^{13–15} Butler et al.¹⁶ recently showed that SARS-CoV-2 RBD-specific antibodies within plasma could crosslink Fc γ receptors and mediate ADP and antibody-dependent complement deposition. Importantly, two recent challenge studies demonstrated that certain RBD-specific mAbs rely on



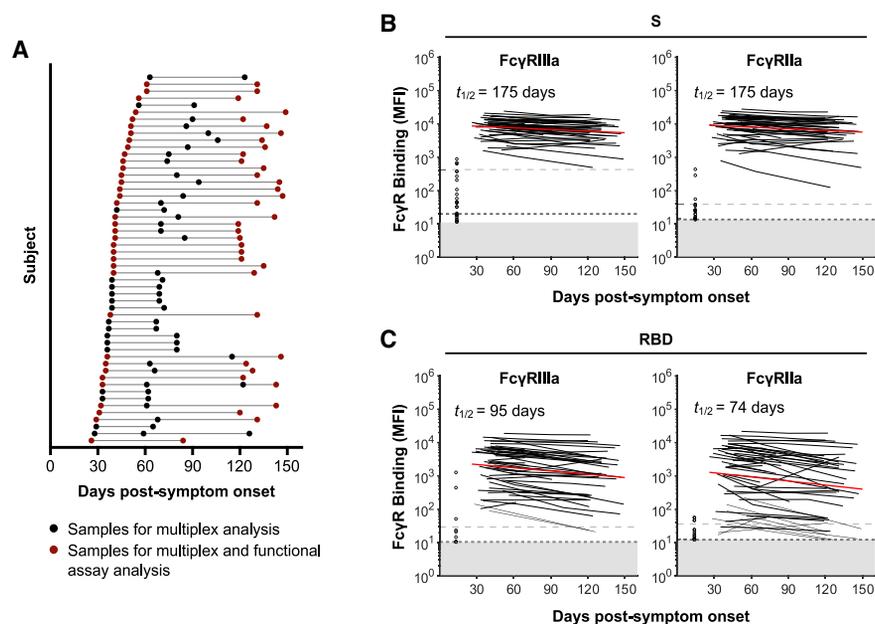


Figure 1. Dynamics of SARS-CoV-2 S and RBD-specific dimeric Fc γ R-binding antibodies in COVID-19 convalescent individuals

(A) Timeline of sample collection for each COVID-19 convalescent subject ($n = 53$). Subjects with 2 samples at least 60 days apart were chosen for functional assay analysis ($n = 36$).

(B and C) Kinetics of SARS-CoV-2 S and RBD-specific dimeric Fc γ R11a (V158) and dimeric Fc γ R11a (H131) binding antibodies over time measured using the bead-based multiplex assay. The best-fit decay slopes (red lines) and estimated half-lives ($t_{1/2}$) are indicated for COVID-19 convalescent individuals. Uninfected controls ($n = 33$) are shown in open circles, with the median and 90% percentile responses presented as thick and thin dashed lines, respectively. The limit of detection is shown as the shaded area.

See also [Figure S1](#).

Fc effector functions to mediate protection against SARS-CoV-2 in mice.^{17,18}

We previously reported that binding antibodies to SARS-CoV-2 S exhibit substantially longer half-lives than the neutralizing antibody response,⁸ suggesting that Fc-mediated antibody function may extend the protective window beyond that inferred from neutralizing activity alone. At present, analyses of Fc-mediated functions of SARS-CoV-2 antibodies within COVID-19 convalescent subjects have focused upon cross-sectional analyses or short-term longitudinal studies up to 1 to 2 months post-symptom onset.^{16,19,20} We extend these findings and analyze Fc effector functions mediated by S-specific antibodies in a cohort of 53 convalescent individuals up to 149 days post-symptom onset. We developed functional assays using SARS-CoV-2 S-expressing cells to comprehensively analyze plasma ADCC and ADP activity against SARS-CoV-2 S. Our results show that plasma ADCC and ADP activity decays over the first 4 months post-infection, mirroring the decline in S-specific IgG titers. Importantly, however, S-specific antibodies capable of Fc-mediated antiviral activity remain readily detectable in almost all donors up to 4 months post-infection, even in donors whose neutralizing antibody responses have waned to undetectable levels. Although the protective potential of antibody Fc effector functions against SARS-CoV-2 re-infection remains to be determined, our results suggest that ADCC and ADP activity outlasts neutralizing activity within plasma following convalescence from COVID-19.

RESULTS

Decay of dimeric Fc γ R-binding S and RBD-specific antibodies

We collected repeated (2–4) longitudinal samples from a cohort of 53 subjects after recovery from COVID-19 ([Figure 1A](#); [Table S1](#)). The first sample was collected at a median of 41 days post-symptom onset (interquartile range [IQR] 36–48), and the last sample was collected at a median of 123 days post-symptom onset (IQR 86–135). The engagement of dimeric recombinant soluble Fc γ R11a and Fc γ R11a proteins by antibodies mimics the immunological synapse required for Fc γ R activation of innate immune cells and is a surrogate measure of ADCC and ADP, respectively.^{21,22} To determine the dynamics of Fc-mediated function in plasma samples over time, we measured the capacity of dimeric Fc γ R11a and Fc γ R11a receptors to engage antibodies specific for SARS-CoV-2 S antigens (trimeric S, S1, or S2 subunits or the RBD; [Table S2](#)) with a multiplex bead array. Using mixed-effects modeling, we assessed the fit of single-phase or two-phase decay in Fc γ R binding between the time points analyzed. We found that dimeric Fc γ R11a (V158)-binding antibodies against SARS-CoV-2 trimeric S and RBD both had single-phase decay kinetics with half-lives ($t_{1/2}$) of 175 and 95 days, respectively ([Figures 1B](#) and [1C](#)). Dimeric Fc γ R11a (H131) binding antibodies against SARS-CoV-2 trimeric S and RBD also decayed constantly with $t_{1/2}$ of 175 and 74 days, respectively. Kinetics of decay for dimeric Fc γ R-binding

antibodies against S and RBD for the lower affinity polymorphisms of Fc γ R1IIa (F158) and Fc γ R1IIa (R131) were broadly similar to their higher affinity counterparts (Figure S1A), with dimeric Fc γ R-binding antibodies against RBD decaying faster than for S. Consistent with our previous report that S1-specific IgG decays faster than S2-specific IgG,⁸ Fc γ R binding activity with antibodies against the S1 subunit decayed faster than that of S2 (Fc γ R1IIa, $t_{1/2}$ of 84 versus 227 days; Fc γ R1IIa, $t_{1/2}$ of 65 versus 317 days; Figure S1B).

Decay of S-specific ADCC

ADCC could play a role in eliminating cells infected with SARS-CoV-2. We generated Ramos- and A549-derived cell lines as model target cells that stably express membrane-localized S with either mOrange2 or luciferase reporters (Figures S2A and S2B). The capacity of plasma IgG to recognize S was measured in 36 subjects in our cohort who had at least 60 days between the first and last visits (median of 89 days between first and last visits; Table S1) and 8 seronegative controls. Using a Ramos cell line expressing high levels of S (Ramos S-Orange), we find IgG binding to cell-surface-displayed S proteins decayed significantly between the first and last visits ($p < 0.0001$; Figure S2D; gating in Figure S2C) with a half-life of 97 days (Figure S2E). These results are consistent with the decay of S-specific IgG titers we observed previously⁸ and the decay of dimeric Fc γ R-binding antibodies against S in Figure 1B.

As a surrogate measure of ADCC, we next used Fc γ R1IIa reporter cells to quantify the capacity of S-specific antibodies in plasma to engage cell-surface Fc γ R1IIa and activate downstream nuclear factor κ B (NF- κ B) signaling (measured by induced nanoluciferase expression in the Fc γ R1IIa reporter cells co-cultured with S-expressing A549 cells; Figures 2A and S3A). Fc γ R1IIa activity decayed significantly over time ($p < 0.0001$; Figure 2B), with a half-life of 119 days (Figure 2C), and was correlated with S-specific IgG titers measured using stably transduced cells or by binding to dimeric Fc γ R1IIa (Figure 2D). Because Fc γ R1IIa crosslinking and activation may not necessarily reflect downstream target lysis, we next performed an ADCC assay to confirm antibody recognition could mediate killing of S-expressing cells. We quantified the loss of cellular luciferase signal in Ramos S-luciferase target cells in the presence of convalescent plasma and primary human NK cells (Figures 2E and S3B). S-specific ADCC decayed significantly over time ($p < 0.0001$; Figure 2F), with a half-life of 105 days (Figure 2G), and correlated with both cell-associated S-specific IgG and dimeric Fc γ R1IIa-binding antibodies against S (Figure 2H). These positive correlations demonstrate that S-specific IgG and Fc γ R1IIa-binding antibodies are important factors for S-specific ADCC. S-specific Fc γ R1IIa-activating antibodies also correlated strongly with S-specific ADCC (Figure S3C), showing that Fc γ R1IIa-mediated activation is a surrogate for NK-cell-mediated ADCC.

Decay of S-specific ADP

As has been suggested for SARS-CoV, ADP could play a role in eliminating antibody-opsonized virions.²³ We first used a well-established ADP assay²⁴ to measure antibody-mediated uptake of S-conjugated fluorescent beads into THP-1 monocytes (Fig-

ure 3A; gating in Figures S4A and S4B and optimization in Figures S5A–S5C). ADP of S-conjugated beads was detected in all 36 subjects at the first time point studied but decayed significantly over time ($p < 0.01$; Figure 3B), with a half-life of 351 days (Figure 3C). ADP of S-conjugated beads correlated with cell-associated S-specific IgG and S-specific dimeric Fc γ R1IIa-binding antibodies (Figure 3D).

In addition to uptake of antibody-opsonized virions, phagocytes could also potentially mediate clearance of infected cells expressing SARS-CoV-2 S on the cell surface. THP-1 cells have been shown to mediate both trogocytosis (sampling of plasma membrane fragments from target cells that can lead to cell death) and phagocytosis via antibody Fc-Fc γ R interactions with target cells.^{25–27} As such, we measured the Fc γ R-dependent association of THP-1 cells with Ramos S-orange cells following incubation with plasma from convalescent individuals or uninfected controls (Figure 3E; gating in Figure S4C and optimization in Figures S5D–S5F). Association of THP-1 cells with Ramos S-orange cells was detected in all subjects at the first time point but decayed significantly over time ($p < 0.01$; Figure 3F), with a half-life of 263 days (Figure 3G), correlating with IgG binding to cell-associated S and S-specific dimeric Fc γ R1IIa-binding antibodies (Figure 3H). These strong positive correlations indicate S-specific IgG and Fc γ R1IIa-binding antibodies are important for THP-1-mediated ADP and cell association with S-expressing cells.

To confirm that Fc-dependent cell association can lead to trogocytosis, we performed confocal microscopy to visualize the interaction of THP-1 cells and target Ramos S-orange cells (Figure 4). We confirmed that close association of THP-1 cells (blue) and Ramos S-orange cells (red) only occurred in the presence of COVID-19 convalescent plasma. Further, we observed that THP-1 cells acquired PKH-26 dye from Ramos S-orange cells, indicative of trogocytosis of the S-expressing Ramos cell membrane by the THP-1 cells.

Cross-reactivity with HCoV S-specific antibodies

Cross-reactive antibodies between endemic human coronaviruses (HCoVs) and SARS-CoV-2 have been widely reported,^{28,29} suggesting past exposure to HCoVs may prime ADCC and ADP immunity against SARS-CoV-2. In addition, several studies have shown back boosting of antibodies against endemic HCoVs following infection with SARS-CoV-2,^{30,31} likely due to the recall of pre-existing B cell responses against conserved regions of S. We thus determined whether IgG antibody levels against S from four HCoV strains (OC43, HKU1, 229E, and NL63; Table S2) were higher in COVID-19 convalescent subjects compared to uninfected healthy controls. Using a multiplex bead array, we found that COVID-19 convalescent subjects had increased IgG antibodies against S from the betacoronaviruses OC43 and HKU1 (which are more closely related to SARS-CoV-2) at the first time point sampled compared to uninfected controls (Figure S6), while there was no difference in IgG levels against S from the alphacoronaviruses 229E and NL63. Correspondingly, the elevated IgG against OC43 and HKU1 S decayed over time while IgG against 229E and NL63 S remained stable (Figure 5A). We then measured whether dimeric Fc γ R-binding antibodies against HCoV S antigens in COVID-19 convalescent individuals declined over time. Dimeric Fc γ R-binding antibodies against

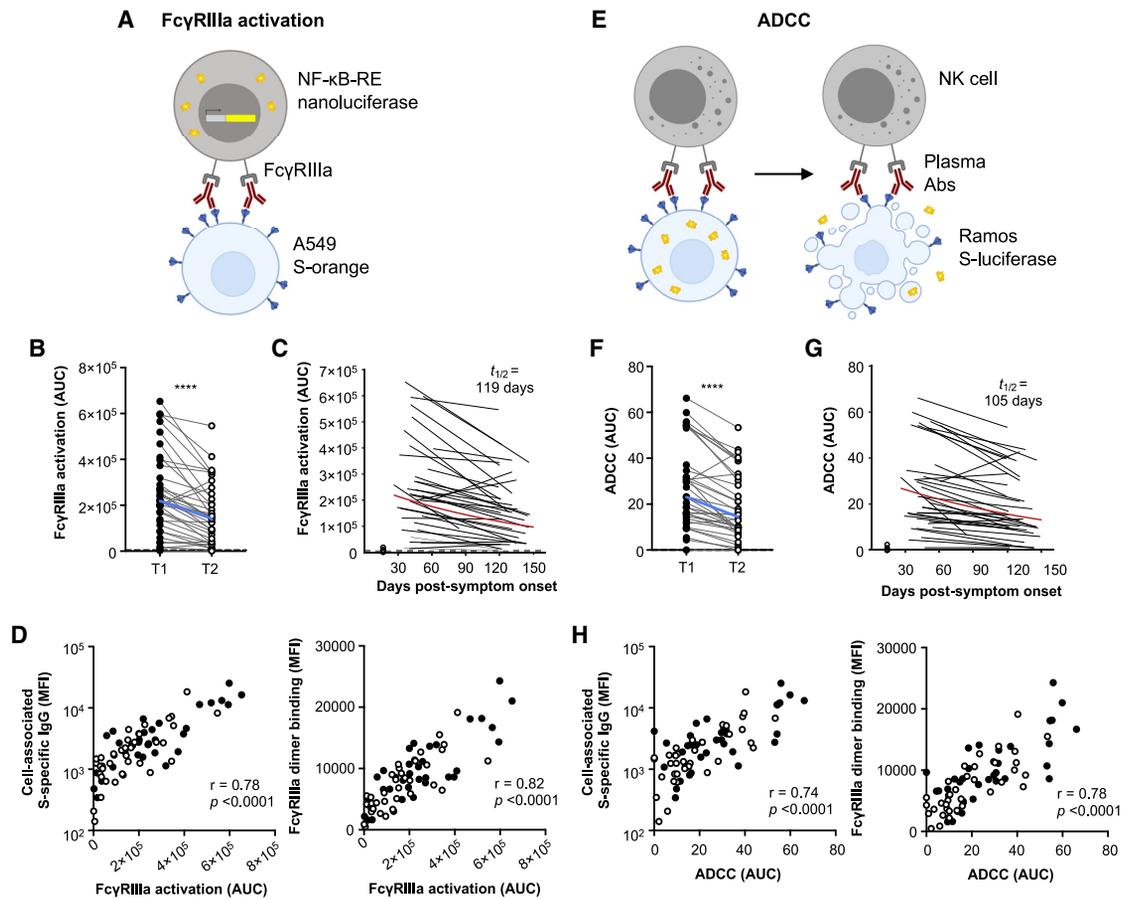


Figure 2. ADCC responses in COVID-19 convalescent individuals over time

(A) Schematic of the Fc γ RIIIa NF- κ B activation assay. IIA1.6 cells expressing Fc γ RIIIa V158 and a NF- κ B response-element-driven nanoluciferase reporter were co-incubated with A549 S-orange target cells and plasma from COVID-19 convalescent individuals or uninfected controls. The engagement of Fc γ RIIIa by S-specific antibodies activates downstream NF- κ B signaling and nanoluciferase expression.

(B) S-specific Fc γ RIIIa-activating plasma antibodies in COVID-19 convalescent individuals in the first (T1; filled) and last (T2; open) time points available. Blue lines indicate the median responses of COVID-19 convalescent individuals (N = 36), and dashed lines indicate median responses of uninfected controls (N = 8).

(C) The best-fit decay slopes (red lines) and estimated half-life ($t_{1/2}$) for Fc γ RIIIa-activating plasma antibodies in COVID-19 convalescent individuals. Uninfected controls are shown in open circles, with the median response presented as a dashed line.

(D) Correlation of S-specific Fc γ RIIIa-activating antibodies to cell-associated S-specific IgG and S-specific dimeric Fc γ RIIIa-binding antibodies.

(E) Schematic of the luciferase-based ADCC assay. Purified NK cells from healthy donors were co-incubated with Ramos S-luciferase target cells and plasma. ADCC is measured as the loss of cellular luciferase.

(F) S-specific ADCC mediated by plasma antibodies from COVID-19 convalescent individuals in the first (T1; filled) and last (T2; open) time points available. Blue lines indicate the median responses of COVID-19 convalescent individuals (N = 36), and dashed lines indicate median responses of uninfected controls (N = 8).

(G) The best-fit decay slopes (red lines) and estimated half-life ($t_{1/2}$) for Fc γ RIIIa-activating plasma antibodies in COVID-19 convalescent individuals. Uninfected controls are shown in open circles, with the median response presented as a dashed line.

(H) Correlation of S-specific ADCC to cell-associated S-specific IgG and S-specific dimeric Fc γ RIIIa-binding antibodies. Statistical analyses were performed with the Wilcoxon signed-rank test (**** $p < 0.0001$). Correlations were performed with the non-parametric Spearman test.

See also [Figures S2](#) and [S3](#).

OC43 and HKU1 S were much higher in COVID-19 convalescent individuals than in healthy controls ([Figures 5B](#) and [5C](#)) and decayed more rapidly over time compared to that against 229E and NL63 ([Figures 5D](#) and [5E](#)). Although there was an overall decay of dimeric Fc γ R-binding antibodies against OC43 S ([Figure 5B](#); Fc γ RIIIa $t_{1/2} = 224$; Fc γ RIIa $t_{1/2} = 171$ days), this was largely due to a decay in antibodies against the more conserved S2 subunit (Fc γ RIIIa $t_{1/2} = 229$; Fc γ RIIIa $t_{1/2} = 179$ days). Fc γ R-binding antibodies against the S1 subunit were not increased compared

to healthy controls and did not change over time ([Figure 5B](#)). This was also the case for HKU1, where dimeric Fc γ R-binding antibodies against S decayed over time, but antibodies against the S1 subunit did not change ([Figure 5C](#)).

Decay kinetics of S-specific antibodies, neutralization, and Fc effector functions

To compare the decay kinetics of S-specific antibodies, neutralization, and Fc effector functions, we plotted the best-fit decay

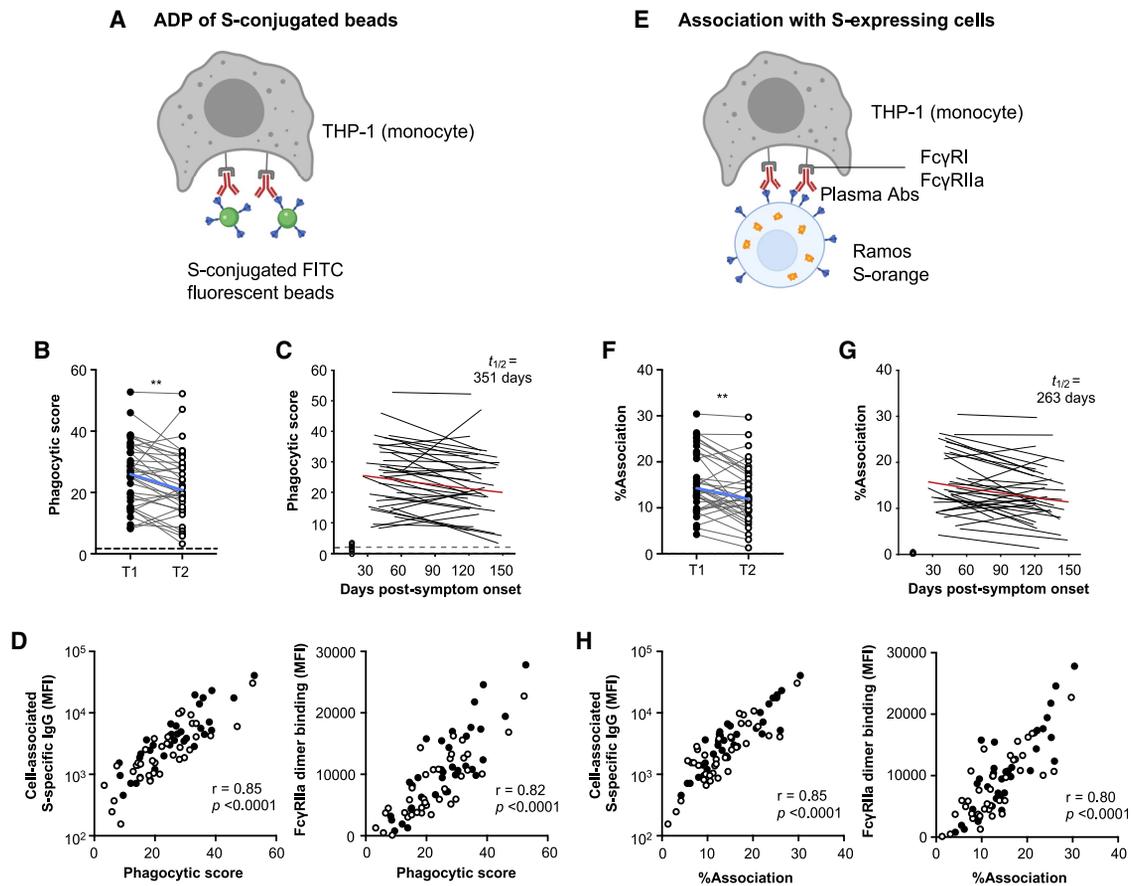


Figure 3. ADP responses in COVID-19 convalescent individuals over time

(A) Schematic of the bead-based ADP assay. THP-1 cells were incubated with S-conjugated fluorescent beads and plasma from COVID-19 convalescent individuals or uninfected controls. The uptake of fluorescent beads was measured by flow cytometry.

(B) ADP of S-conjugated beads mediated by plasma antibodies from COVID-19 convalescent individuals in the first (T1) and last (T2) time points available. Blue lines indicate the median responses of COVID-19 convalescent individuals (N = 36), and dashed lines indicate median responses of uninfected controls (N = 8).

(C) The best-fit decay slopes (red lines) and estimated half-life ($t_{1/2}$) for plasma ADP activity in COVID-19 convalescent individuals. Uninfected controls are shown in open circles, with the median response presented as a dashed line.

(D) Correlation of ADP to cell-associated S-specific IgG and S-specific dimeric Fc γ RIIIa-binding antibodies.

(E) Schematic of the THP-1 Fc γ R-dependent cell association assay. Ramos S-orange cells were pre-incubated with plasma prior to co-incubation with THP-1 cells. The association of THP-1 cells with Ramos S-orange cells was measured by flow cytometry.

(F) Fc γ R-dependent association of THP-1 cells with Ramos S-orange cells mediated by plasma antibodies from COVID-19 convalescent individuals in the first (T1) and last (T2) time points available. Blue lines indicate the median responses of COVID-19 convalescent individuals (N = 36), and dashed lines indicate median responses of uninfected controls (N = 8).

(G) The best-fit decay slopes (red lines) and estimated half-life ($t_{1/2}$) for THP-1 association in COVID-19 convalescent individuals. Uninfected controls are shown in open circles, with the median response presented as a dashed line.

(H) Correlation of association of THP-1 cells with Ramos S-orange cells to cell-associated S-specific IgG and S-specific dimeric Fc γ RIIIa-binding antibodies. Red lines indicate the median responses of COVID-19 convalescent individuals (N = 36), and dashed lines indicate median responses of uninfected controls (N = 8). Statistical analyses were performed with the Wilcoxon signed-rank test (** $p < 0.01$). Correlations were performed with the non-parametric Spearman test. See [Figure S4](#) for gating strategies and [Figure S5](#) for assay optimization.

slopes over time as a percentage of the response measured at time point 1 ([Figure 6A](#)). The best-fit decay slopes of S-specific IgG and plasma neutralization titers ([Figure S7](#)) were obtained from a previous dataset that encompasses the same subjects analyzed for dimeric Fc γ R-binding antibodies and Fc effector functions.⁸ The general decline in plasma S-specific IgG titers and dimeric Fc γ R-binding activity was similarly reflected in reductions in Fc effector functions during convalescence from COVID-19. Importantly, Fc effector functions at the last time

point sampled were still readily detectable above baseline activity observed in uninfected controls (97% for Fc γ RIIIa activation, 94% for ADCC, 100% for ADP, and 100% for THP-1 association). This contrasted with plasma neutralization activity, which was detectable above background for only 70% of subjects ([Figure 6B](#)). The longer persistence of S-specific IgG and dimeric Fc γ R-binding antibodies against S has important implications for the durability of SARS-CoV-2 immunity following the decline of neutralizing antibodies.

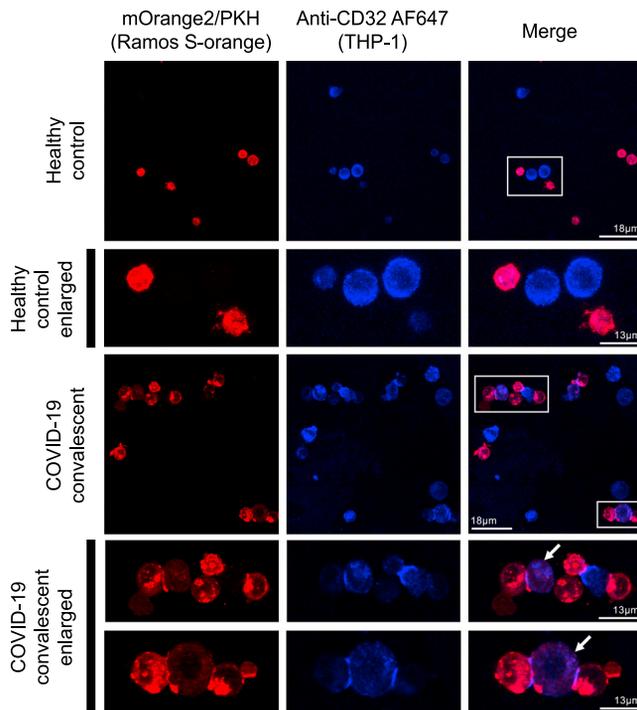


Figure 4. Confocal microscopy visualization of Fc-mediated association and trogocytosis

THP-1 monocytes were incubated with Ramos S-orange target cells in the presence of healthy control plasma or COVID-19 convalescent plasma. Cells were fixed on slides and visualized using a confocal microscope (60 \times objective). THP-1 cells were stained with CD32-AF647 (blue), and Ramos S-orange cells (expressing SARS-CoV-2 spike and mOrange2) were labeled with the membrane dye PKH-26 (red). Examples of trogocytosis in the COVID-19 convalescent plasma sample (white boxes) are enlarged and shown in the fourth and fifth rows. The white arrows indicate THP-1 cells (blue) that have received plasma membrane proteins from Ramos S-orange cells (red). No trogocytosis occurred in the healthy control sample (white box, enlarged in second row). Scale bars are 13 μ m for the first and third rows and 18 μ m for the enlarged images in the second, fourth, and fifth rows.

DISCUSSION

Using a multiplex bead array and assays measuring Fc effector functions against SARS-CoV-2 S, we find that Fc γ R-binding, ADCC, and ADP activities of S-specific antibodies decay during convalescence from COVID-19. The decline of plasma ADCC and ADP activity correlated with the decay of S-specific IgG and Fc γ R-binding antibodies. Importantly, Fc effector functions were readily detectable above uninfected controls in 94% of subjects for all assays at the last time point sampled, in contrast with neutralization activity, which remained detectable above background for only 70% of subjects. Although neutralizing antibodies are likely to form a correlate of protection for SARS-CoV-2,^{7,32} several studies find that neutralizing antibodies in convalescent donors with mild COVID-19 wane rapidly.^{2,8,9} The rapid decline of plasma neutralization activity in the early weeks following infection is likely in part explained by the rapid decline of plasma IgM and IgA titers against S and RBD,^{20,33} which substantially contribute to neutralization

of SARS-CoV-2.^{34–36} Given the relative scarcity of re-infection cases reported to date, it is likely that immune responses beyond neutralization, including antibody Fc effector functions and T cell responses, contribute to long-term protection from SARS-CoV-2. Indeed, a recent study demonstrated that cellular immunity in convalescent macaques, mainly CD8⁺ T cells, contribute to protection against re-challenge after neutralizing antibodies have waned.³⁷

Our results demonstrate that Fc γ R-binding antibodies against betacoronaviruses OC43 and HKU1 are much higher in COVID-19 convalescent individuals compared to uninfected controls. This could either be due to the back boosting of pre-existing HCoV antibodies that are cross-reactive with SARS-CoV-2^{28,29} or the *de novo* generation of SARS-CoV-2 antibodies that are cross-reactive with conserved HCoV epitopes. Cross-reactive S antibodies were largely directed against the more conserved S2 subunit, in line with other reports,^{28,29} which also likely explains the longer half-life of S2 antibodies that we observed relative to S1 antibodies. A recent study found cross-reactive binding and neutralizing antibodies against SARS-CoV-2 S2 in uninfected children and adolescents,²⁸ suggesting prior infections with OC43 or HKU1 can elicit cross-reactive antibodies against the S2 subunit of SARS-CoV-2 S. These findings raise the interesting question of whether cross-reactive antibodies are recalled rapidly during early SARS-CoV-2 infection and can contribute to Fc effector functions against conserved epitopes within the S2 subunit. The presence of cross-reactive S2-specific antibodies capable of mediating Fc effector functions in early infection could potentially ameliorate disease symptoms and severity. Follow-up studies to dissect the influence of S1 or S2 antibody epitope localization on Fc γ R engagement and the impact on Fc effector functions are also warranted.

Initial concerns for antibody-dependent enhancement (ADE) of COVID-19 were driven by the reported association of higher SARS-CoV-2 antibody titers with severe disease.³⁸ However, this could simply be the result of prolonged antigen exposure due to higher viral loads. Importantly, Zohar et al.³³ showed that, in subjects with severe COVID-19, those who survived had higher levels of S-specific antibodies and Fc-mediated effector functions compared to those who died. Notably, numerous trials of convalescent plasma (CP) therapy for COVID-19 have been safely conducted,^{39–41} with no enhancement of disease reported to date.^{42–44} Because RBD-specific IgG1 antibodies in severe COVID-19 are more likely to have afucosylated Fc regions and trigger hyper-inflammatory responses from monocytes and macrophages,^{45,46} there could be implications for ADE in people who are re-infected with SARS-CoV-2 after initial neutralizing antibodies have waned but non-neutralizing antibodies remain. Excessive Fc-mediated effector functions and immune complex formation in the absence of neutralization could potentially trigger a hyper-inflammatory response and lead to ADE of disease, as observed for respiratory syncytial virus and measles infections.^{47,48} Although ADE during re-infection remains only a theoretical risk, there have been two reported cases of re-infection where the second infection resulted in worse disease.^{49,50} However, antibody levels after the first infection were not measured for one case⁴⁹ and only IgM was detectable after

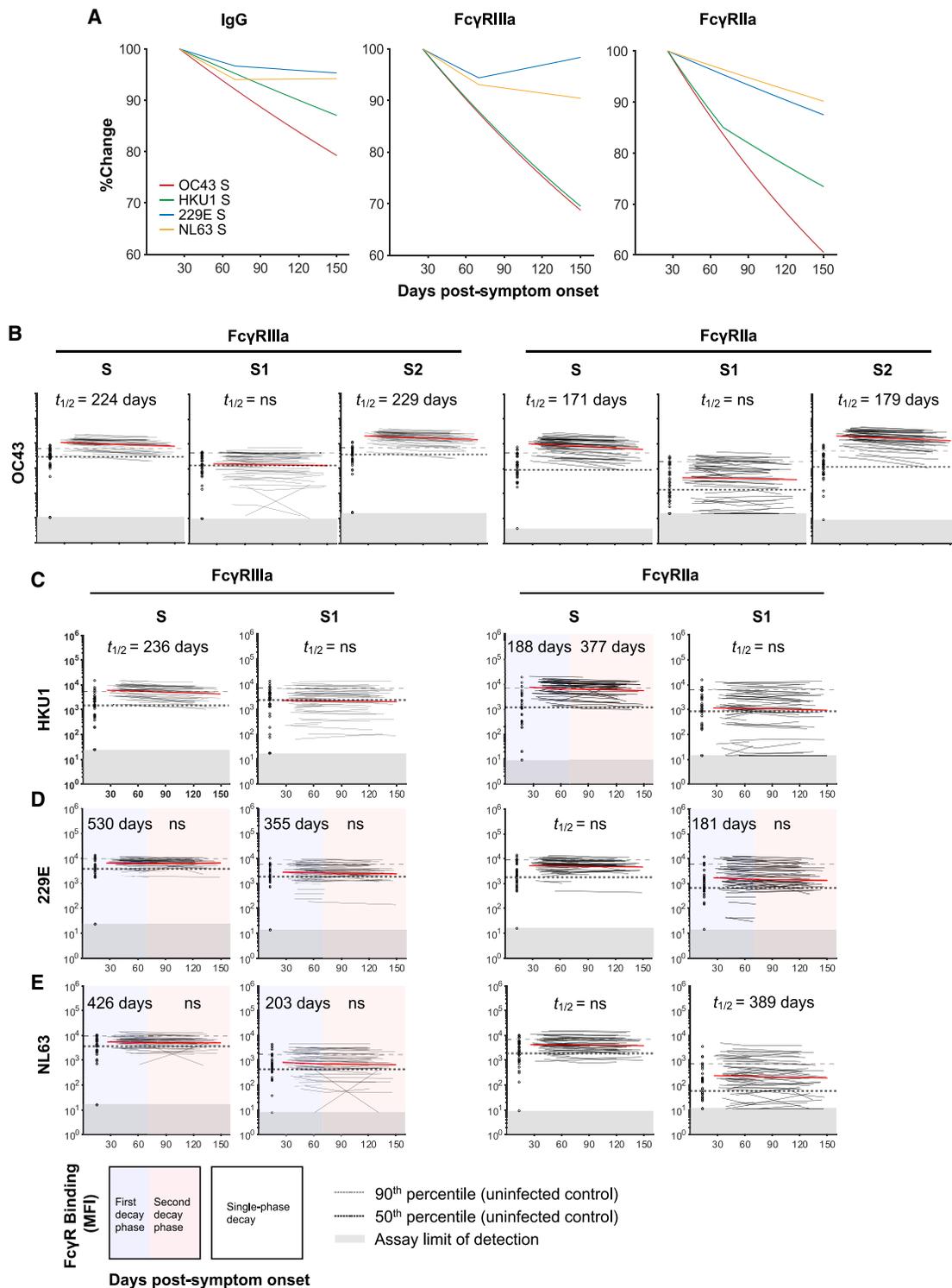


Figure 5. Dynamics of dimeric Fc γ R-binding antibodies against HCoV S antigens in COVID-19 convalescent individuals

(A) Best-fit decay slopes of IgG and dimeric Fc γ R-binding antibodies against S from HCoV strains OC43, HKU1, 229E, and NL63. The responses at time point 1 for each parameter are set to 100%, and the %change over time is shown.

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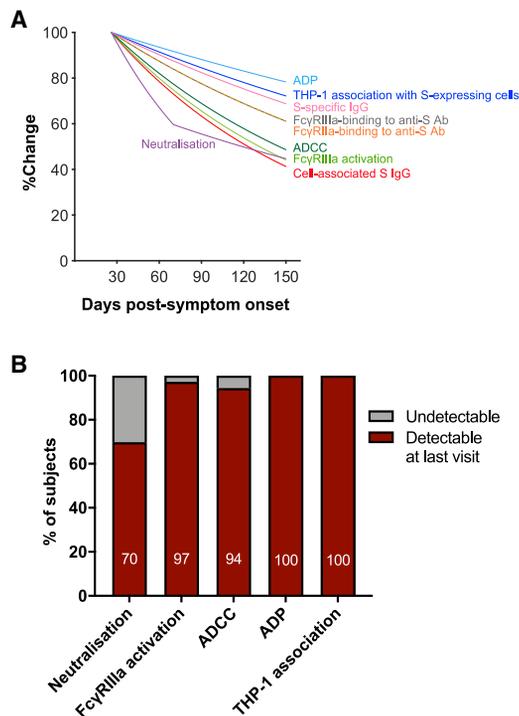


Figure 6. Decay kinetics of binding antibodies, neutralization, and Fc effector functions following SARS-CoV-2 infection

(A) Best-fit decay slopes of various antibody parameters against SARS-CoV-2 S over time. The responses at time point 1 for each parameter are set to 100%, and the %change over time is shown.

(B) The percentage of subjects having detectable responses above (red) and below (gray) background levels at the last visit are shown. Background levels for each assay were the median responses of uninfected controls.

the first infection for the second case,⁵⁰ arguing against Fc-mediated effector functions as the cause of increased pathogenicity.

Overall, we find that mild to moderate COVID-19 generates robust FcγR-binding, ADCC, and ADP antibody functions that decay at a slower rate than plasma neutralization activity. Further dissecting the protective potential of antibody Fc effector functions will be critical for defining the durability of immunity generated by infection or vaccination.

Limitations of study

We acknowledge several limitations in this study. (1) Our cohort of COVID-19 convalescent subjects is composed primarily of individuals who exhibited mild disease symptoms. Although this is representative of the typical spectrum of COVID-19 across this age group, the low number of subjects with moderate and severe disease limited our ability to make any meaningful comparisons

between disease-severity groups. (2) Due to the more laborious nature of the functional ADCC and ADP assays, they were only performed with a subset of 36 donors at the first and last time points. Nevertheless, this is still a significant number of donors and spanned a median of 89 days between time points. (3) The target cells we used for the FcγRIIIa activation, ADCC, and THP-1 cell association and trogocytosis assays express high levels of S, which may differ from the physiological levels of S expressed on infected cells. However, these cells provide a potentially more reproducible target than infected cells. (4) The bead-based ADP assay used fluorescent beads conjugated with S trimer as a surrogate and as such may also differ physiologically from actual SARS-CoV-2 virions.

STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

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 - Confocal microscopy
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 - Statistics

SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.xcrm.2021.100296>.

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(B–E) Kinetics of dimeric FcγRIIIa (V158) and FcγRIIIa (H131) binding antibodies against S, S1, or S2 from HCoV strains (B) OC43, (C) HKU1, (D) 229E, and (E) NL63 over time in COVID-19 convalescent individuals (N = 53) measured using the bead-based multiplex assay. The best-fit decay slopes (red lines) and estimated half-lives ($t_{1/2}$) are indicated for COVID-19 convalescent individuals. Uninfected controls (N = 33) are shown in open circles, with the median and 90% percentile responses presented as thick and thin dashed lines, respectively. The limit of detection is shown as the shaded area.

See also Figure S6.

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AUTHOR CONTRIBUTIONS

Conceptualization, W.S.L., A.K.W., M.P.D., A.W.C., and S.J.K.; methodology, W.S.L., K.J.S., S.K.D., and B.D.W.; formal analysis, W.S.L., K.J.S., S.K.D., B.D.W., A.R., D.C., M.P.D., and A.W.C.; investigation, W.S.L., K.J.S., S.K.D., B.D.W., E.R.H., and H.-X.T.; resources, R.E., H.G.K., J.A.J., and A.K.W.; writing – original draft, W.S.L. and S.J.K.; writing – review & editing, W.S.L., K.J.S., S.K.D., B.D.W., A.R., H.-X.T., J.A.J., A.K.W., P.M.H., D.C., M.P.D., A.W.C., and S.J.K.; supervision, A.K.W., A.W.C., and S.J.K.; funding acquisition, A.K.W., P.M.H., M.P.D., A.W.C., and S.J.K.

DECLARATION OF INTERESTS

The authors declare no competing interests.

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STAR★METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Mouse anti-human IgG Fc PE	SouthernBiotech	9040-09; RRID:AB_2796601
Mouse anti-human IgG1 (hinge) PE	SouthernBiotech	9052-09; RRID:AB_2796621
Mouse anti-human IgG2 Fc PE	SouthernBiotech	9070-09; RRID:AB_2796639
Mouse anti-human IgG3 (hinge) PE	SouthernBiotech	9210-09; RRID:AB_2796701
Mouse anti-human IgG4 Fc PE	SouthernBiotech	9200-09; RRID:AB_2796693
Mouse anti-human IgA1 PE	SouthernBiotech	9130-09; RRID:AB_2796656
Mouse anti-human IgA2 PE	SouthernBiotech	9140-09; RRID:AB_2796664
Mouse anti-human IgM PE	Mabtech	3880-6-250
Streptavidin PE	ThermoFisher Scientific	S866
Mouse anti-human IgG APC (HP6017)	BioLegend	409306; RRID:AB_11149491
Mouse anti-human CD32 AF647 (FUN-2)	BioLegend	303212; RRID:AB_2262705
Mouse anti-human CD64 BV510 (10.1)	BioLegend	305027; RRID:AB_2562512
Mouse anti-human CD89 APC (A59)	BioLegend	354106; RRID:AB_2565257
Mouse anti-human CD32 FITC (FUN-2)	BioLegend	303204; RRID:AB_314336
Biological samples		
Whole blood samples and derivatives (peripheral blood mononuclear cells (PBMCs), plasma and serum) from COVID-19 convalescent donors and uninfected controls	The Peter Doherty Institute for Infection and Immunity, The University of Melbourne	N/A
Chemicals, peptides, and recombinant proteins		
3,3',5,5'-Tetramethylbenzidine (TMB) Liquid Substrate System for ELISA	Sigma	T0440-1L
SARS-CoV-2 RBD	BEI	NR-52366
SARS-CoV-2 Spike	Juno et al. ¹	N/A
SARS-CoV-2 S1 subunit	Sino Biological	40591-V08H
SARS-CoV-2 S2 subunit	Sino Biological	S2N-C52H5
HCoV-229E Spike	Sino Biological	40605-V08B
HCoV-229E S1 subunit	Sino Biological	40601-V08H
HCoV-HKU1 Spike	Sino Biological	40606-V08B
HCoV-HKU1 S1 subunit	Sino Biological	40021-V08H
HCoV-NL63 Spike	Sino Biological	40604-V08B
HCoV-NL63 S1 subunit	Sino Biological	40600-V08H
HCoV-OC43 Spike	Sino Biological	40607-V08B
HCoV-OC43 S1 subunit	Sino Biological	40607-V08H1
HCoV-OC43 S2 subunit	Sino Biological	40607-V08B1
Clostridium Tetani Tetanus Toxin	Sigma-Aldrich	T3194
SIV gp120	Sino Biological	40415-V08H
Influenza A H1N1 (A/Cali/07/2009) Hemagglutinin	Sino Biological	11085-V08H
G418 Geneticin (neomycin analog)	ThermoFisher/GIBCO	Cat# 10131-027
Hygromycin B	ThermoFisher/Invitrogen	Cat# 10687010
Critical commercial assays		
EasySep Human NK Cell Enrichment Kit	StemCell Technologies, Inc.	19055
Nano-Glo® Luciferase Assay System	Promega	N1120
britelite plus Reporter Gene Assay System, 100 mL	Perkin Elmer	6066761
Amaya cell line nucleofector kit T	Lonza Bioscience	Cat# VCA-1002

(Continued on next page)

Continued

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Deposited data		
SARS-CoV-2 S-specific IgG and microneutralisation data	Wheatley et al. ⁸	N/A
Experimental models: cell lines		
THP-1	ATCC	TIB-202
IIA1.6	Jan G van de Winkel	N/A
Phoenix Packaging line	Garry Nolan Lab	N/A
Ramos S-orange	This paper	N/A
Ramos S-luciferase	This paper	N/A
A549 S-orange	This paper	N/A
Recombinant DNA		
Fc γ R1IIa V158 cDNA/pMXneo	Mark Hogarth Lab.	N/A
NanoLuc [®] Reporter Vector with NF- κ B Response Element/ pNL3.2.NF- κ B-RE[NLucP/NF- κ B-RE/Hygro]	Promega	Cat# N1111
Software and algorithms		
FlowJo v10	Tree Star	https://www.flowjo.com/
GraphPad Prism v8	GraphPad	https://www.graphpad.com/
R: A language and environment for statistical computing v4.0.2	The Comprehensive R Archive Network	https://cran.r-project.org/

RESOURCE AVAILABILITY

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Stephen Kent (skent@unimelb.edu.au).

Materials availability

All unique reagents generated in this study are available from the lead contact with a completed Materials Transfer Agreement.

Data and code availability

This study did not generate any unique datasets or code.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Human subjects

People who recovered from COVID-19 and healthy controls were recruited to provide serial whole blood samples. Convalescent donors either had a PCR+ test during early infection or clear exposure to SARS-CoV-2, and were confirmed to have SARS-CoV-2 S- and RBD-specific antibodies via ELISA as previously reported¹. Contemporaneous uninfected controls who did not experience any COVID-19 symptoms were also recruited and confirmed to be seronegative via ELISA. For all subjects, whole blood was collected with sodium heparin or lithium heparin anticoagulant. The plasma fraction was then collected and stored at -80°C . A subset of 36 donors with at least 60 days between the first and last visits were chosen to proceed with the more labor-intensive functional ADCC and ADP assays. Plasma was heat-inactivated at 56°C for 30 minutes prior to use in functional assays. Characteristics of the COVID-19 convalescent and uninfected donors are described in [Table S1](#). The study protocols were approved by the University of Melbourne Human Research Ethics Committee (#2056689). All subjects provided written informed consent in accordance with the Declaration of Helsinki.

Cell lines

As target cells for the functional antibody assays, Ramos and A549 cells stably expressing full-length SARS-CoV-2 S and the reporter proteins mOrange2 or luciferase were generated by lentiviral transduction ([Figure S2A](#)). To stain for S-expression, transduced cells were incubated with convalescent plasma (1:100 dilution) prior to staining with a secondary mouse anti-human IgG-APC antibody (1:200 dilution; clone HP6017, BioLegend). S-luciferase cells were bulk sorted on high S expression while S-orange cells were bulk sorted on high S- and mOrange2-expression. Following a week of outgrowth, the bulk sorted cells were single-cell sorted to obtain clonal populations of S-orange and S-luciferase cells ([Figure S2B](#)). The Ramos cell lines were grown in complete RPMI

medium (10% fetal calf serum (FCS) with 1% penicillin streptomycin glutamine (PSG)) while the A549 cell lines were grown in complete DMEM medium (10% FCS with 1% PSG).

Fc γ R1IIa-NF- κ B-RE nanoluciferase reporter cells were used as effector cells for the Fc γ R1IIa activation assay. IIA1.6 cells expressing the Fc receptor gamma subunit (FcR- γ) were maintained in RPMI containing 10% FCS, 2.5 mM L-glutamine, 55 μ M 2-mercaptoethanol, 100 units penicillin and 100 units streptomycin (Sigma Aldrich). These were further transduced as described previously⁵¹ using a Fc γ R1IIa V158 cDNA in pMX-neo and the packaging line Phoenix. IIA1.6/FcR- γ /Fc γ R1IIa V158 cells were transfected with a NF- κ B response element driven nanoluciferase (NanoLuc) reporter construct (pNL3.2.NF- κ B-RE[NLucP/NF- κ B-RE/Hygro]) (Promega) by nucleofection (Amaxa Kit T, Lonza) and selected in the presence of 200 μ g/ml hygromycin. Reporter cells were maintained in media containing 400 μ g/ml neomycin and 50 μ g/ml hygromycin (ThermoFisher).

THP-1 monocytes (ATCC) were cultured in complete RPMI medium and maintained below a cell density of 0.3×10^6 /ml. Flow cytometry was used to confirm stable expression of Fc γ R1IIa (CD32), Fc γ R1 (CD64) and Fc α R (CD89) on THP-1 monocytes prior to use in assays.

METHOD DETAILS

Luminex bead-based multiplex assay

A custom bead array was designed using SARS-CoV-2 S trimer, S1 subunit (Sino Biological), S2 subunit (ACRO Biosystems) and RBD (BEI Resources), as well as HCoV (OC43, HKU1, 229E, NL63) S and S1 subunit (Sino Biological) (as described in [Table S2](#))⁵². Tetanus toxoid (Sigma-Aldrich), influenza hemagglutinin (H1Cal2009; Sino Biological) and SIV gp120 (Sino Biological) were also included in the array as positive and negative controls respectively. These antigens were covalently coupled to magnetic carboxylated beads (Bio Rad) using a two-step carbodiimide reaction and blocked with 0.1% BSA, before being resuspended and stored in PBS 0.05% sodium azide till use.

Using the respective antigen-coupled beads, a custom CoV multiplex assay was formed to investigate the dimeric recombinant soluble Fc γ R-binding capacity of pathogen-specific antibodies present in COVID-19 convalescent plasma samples and uninfected controls⁵². Briefly, 20 μ l of working bead mixture (1000 beads per antigen-coupled bead region) and 20 μ l of diluted plasma (final dilution 1:200) were added per well and incubated overnight at 4°C on a shaker. Different detectors were used to assess pathogen-specific antibodies. Single-step detection was done using phycoerythrin (PE)-conjugated mouse anti-human pan-IgG (Southern Biotech; 1.3 μ g/ml, 25 μ l/well). For the detection of Fc γ R-binding, recombinant soluble Fc γ R dimers (higher affinity polymorphisms Fc γ R1IIa-V158 and Fc γ R1IIa-H131, lower affinity polymorphisms Fc γ R1IIa-F158 and Fc γ R1IIa-R131; 1.3 μ g/ml, 25 μ l/well) were first added to the beads, washed, and followed by the addition of streptavidin R-PE (Thermo Fisher Scientific). Assays were read on the Flexmap 3D and performed in duplicates.

Fc γ R1IIa activation assay

A549 S-orange cells were plated (2×10^5 /ml, 100 μ l/well) in 96-well white flat-bottom plates (Corning). The next day, COVID-19 convalescent and uninfected plasma were serially diluted and 50 μ l aliquots transferred to the aspirated A549 S-orange cells and incubated at 37°C, 60 min, 5% CO₂. Unbound antibody was removed by aspirating the wells and refilling with RPMI (200 μ l) four times. Fc γ R1IIa-NF- κ B-RE nanoluciferase reporter cells (4×10^5 /ml, 50 μ l/well) were added to the aspirated wells containing the opsonised A549 S-orange cells. After incubation (37°C, 4h, 5% CO₂) cells were lysed by adding 50 μ l/well of 10 mM Tris-pH 7.4, containing 5 mM EDTA, 0.5 mM DTT, 0.2% Igepal CA-630 (Sigma Aldrich), and Nano-Glo luciferase assay substrate (1:1000). Induction of nanoluciferase was measured using a 1 s read on a Clariostar Optima plate reader (BMG Labtech) with background luminescence from control wells without agonist subtracted from test values.

Luciferase-based ADCC assay

A luciferase-based ADCC assay was performed to examine ADCC against S-expressing cells. NK cells from healthy donors were first enriched from freshly isolated PBMCs using the EasySep Human NK Cell Enrichment Kit (StemCell Technologies, Inc.). In a 96-well V-bottom cell culture plate, purified NK cells (20,000/well) were mixed with Ramos S-luciferase cells (5,000/well) in the presence or absence of plasma from convalescent or uninfected donors at 1:100, 1:400 and 1:1600 dilutions. Each condition was tested in duplicate and “no plasma” and “target cell only” controls were included. Cells were centrifuged at 250 g for 4 min prior to a 4-hour incubation at 37°C with 5% CO₂. Cells were then washed with PBS and lysed with 25 μ l of passive lysis buffer (Promega). Cell lysates (20 μ l) were transferred to a white flat-bottom plate and developed with 30 μ l of britelite plus luciferase reagent (Perkin Elmer). Luminescence was read using a FLUOstar Omega microplate reader (BMG Labtech). The relative light units (RLU) measured were used to calculate %ADCC with the following formula: (“no plasma control” – “plasma sample”) \div “target cell only control” \times 100. For each plasma sample, %ADCC was plotted against log₁₀(plasma dilution⁻¹) and the area under curve (AUC) was calculated using Graphpad Prism.

Bead-based THP-1 ADP assay

To examine ADP mediated by COVID-19 convalescent plasma, a previously published bead-based ADP assay was adapted for use in the context of SARS-CoV-2²⁴. SARS-CoV-2 S trimer was biotinylated using EZ-Link Sulfo-NHS-LC biotinylation kit (Thermo

Scientific) with 20mmol excess according to manufacturer's instructions and buffer exchanged using 30kDa Amicon centrifugal filters (EMD millipore) to remove free biotin. The binding sites of 1 μm fluorescent NeutrAvidin Fluospheres beads (Invitrogen) were coated with biotinylated S at a 1:3 ratio overnight at 4°C. S-conjugated beads were washed four times with 2% BSA/PBS to remove excess antigen and incubated with plasma (1:100 dilution) for 2 hours at 37°C in a 96-well U-bottom plate (see Figure S5 for optimization). THP-1 monocytes (10,000/well) were then added to opsonized beads and incubated for 16 hours under cell culture conditions. Cells were fixed with 2% formaldehyde and acquired on a BD LSR Fortessa with a HTS. The data was analyzed using FlowJo 10.7.1 (see Figure S4 for gating strategy) and a phagocytosis score was calculated as previously described⁵³ using the formula: (% bead-positive cells \times mean fluorescent intensity)/10³. To account for non-specific uptake of S-conjugated beads, the phagocytosis scores for each plasma sample were subtracted with that of the "no plasma" control.

Cell-based THP-1 association assay

To assess the capacity of THP-1 monocytes to associate with S-expressing target cells via Ab-Fc γ R interactions, an assay using THP-1 cells as effectors and Ramos S-orange cells as targets was performed. THP-1 monocytes were first stained with CellTrace™ Violet (CTV) (Life Technologies) as per manufacturer's instructions. In a 96-well V-bottom cell culture plate, Ramos S-orange cells (10,000/well) were incubated with plasma from convalescent or uninfected donors (1:2700 dilution) for 30 minutes (see Figure S5 for optimization). Opsonized Ramos S-orange cells were then washed prior to co-culture with CTV-stained THP-1 monocytes (10,000/well) for 1 hour at 37°C with 5% CO₂. After the incubation, cells were washed with PBS, fixed with 2% formaldehyde and acquired using the BD LSR Fortessa with a high-throughput sampler attachment (HTS). The data was analyzed using FlowJo 10.7.1 (see Figure S4 for gating strategy). The percentage of Ramos S-orange cells associated with THP-1 monocytes (% association) was measured for each plasma sample and background-subtracted with the "no plasma" control.

Confocal microscopy

Prior to performing the cell-based THP-1 association assay as described above, Ramos S-orange cells were stained with the membrane dye PKH-26 (Sigma-Aldrich; MINI26-1KT). Stained Ramos S-orange cells were incubated with uninfected healthy control plasma or COVID-19 convalescent plasma before THP-1 monocytes were added at a 1:1 ratio for 1 hour. To label THP-1 monocytes, samples were then stained with anti-CD32 AF647 (clone FUN-2, BioLegend) on ice for 60 minutes. Samples were washed and loaded onto poly-L-lysine (Sigma; P4707) coated coverslips. Cells on coverslips were fixed with 2% formaldehyde and rinsed with PBS. Coverslips were mounted onto slides with ProLong Diamond Antifade Mountant (Life Technologies; P36961) and were left in the dark at room temperature for 24 hours to set. The cells were visualized on Zeiss LSM710 laser scanning confocal microscope (Z stack 12 slices, 60 \times magnification) and analyzed using ImageJ.

Decay rate estimation

The decay rate was estimated by fitting a linear mixed effect model for each response variable (y_{ij} for subject i at time point j) as a function of days post-symptom onset and assay replicate (as a binary categorical variable). The model can be written as below:

$$y_{ij} = \beta_0 + b_{0i} + \beta_1 R_{ij} + \beta_2 t_{ij} + b_{2i} t_{ij} \text{—for a model with a single slope; and}$$

$$y_{ij} = \beta_0 + b_{0i} + \beta_1 R_{ij} + \beta_2 t_{ij} + b_{2i} t_{ij} + \beta_3 S_{ij} + b_{3i} S_{ij} \text{—for a model with two different slopes, in which :}$$

$$S_{ij} = \begin{cases} 0, & t_{ij} < T_0 \\ t_{ij} - T_0, & t_{ij} \geq T_0. \end{cases}$$

The parameter β_0 is a constant (intercept), and b_{0i} is a subject-specific adjustment to the overall intercept. The slope parameter β_2 is a fixed effect to capture the decay slope before T_0 (as a fixed parameter, 70 days); which also has a subject-specific random effect b_{2i} . To fit a model with two different decay rates, an extra parameter β_3 (with a subject-specific random effect b_{3i}) was added to represent the difference between the two slopes. Assay variability between replicates (only for HCoV response variables) was modeled as a single fixed effect β_1 , in which we coded the replicate as a binary categorical variable R_{ij} . The random effect was assumed to be normally distributed with zero mean and variance δ .

We fitted the model to log-transformed data of various response variables (assuming exponential decay), and we censored the data from below if it was less than the threshold for detection. The data for each subject included either two or three time points. The response variables had background levels subtracted by taking the mean of all the background values, and the threshold for detection was set at two standard deviations of the background responses. The model was fitted by using *lme4* library in *R*, using the ML algorithm to fit for the fixed effects. We also tested if the response variables can be fitted better by using a single or two different decay slopes (likelihood ratio test – based on the likelihood value and the difference in the number of parameters). These analyses were carried out in *R: A language and environment for statistical computing* version 4.0.2.

QUANTIFICATION AND STATISTICAL ANALYSIS

Statistics

Statistical analyses were performed with Graphpad Prism 8. Correlations between functional ADCC and ADP responses with cell-associated S-specific IgG and Fc γ R-binding S-specific antibodies were assessed using the non-parametric Spearman test. Comparisons of functional ADCC and ADP responses between first and last visits were performed using the Wilcoxon signed-rank test. Comparisons between uninfected individuals and COVID-19 convalescent individuals were performed using the Mann-Whitney test. Statistical details of experiments can be found in the figure legends.