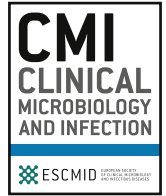




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## Original article

# Vertical transmission and humoral immune response following maternal infection with SARS-CoV-2: a prospective multicenter cohort study

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## ABSTRACT

**Objective:** To explore maternal humoral immune responses to SARS-CoV-2 infection and the rate of vertical transmission.

**Methods:** A prospective cohort study was conducted at two university-affiliated medical centers in Israel. Women positive for SARS-CoV-2 reverse-transcription-polymerase-chain-reaction (RT-PCR) test during pregnancy were enrolled just prior to delivery. Levels of anti-SARS-CoV-2 spike-IgM, spike IgG, and nucleocapsid IgG were tested in maternal and cord blood at delivery, and neonatal nasopharyngeal swabs were subjected to PCR testing. The primary endpoint was the rate of vertical transmission, defined as either positive neonatal IgM or positive neonatal PCR.

**Results:** Among 72 women, 36 (50%), 39 (54%) and 30 (42%) were positive for anti-spike-IgM, anti-spike-IgG, and anti-nucleocapsid-IgG, respectively.

Among 36 neonates in which nasopharyngeal swabs were taken, one neonate (3%, 95% confidence interval 0.1–15%) had a positive PCR result. IgM was not detected in cord blood. Seven neonates had positive IgG antibodies while their mothers were seronegative for the same IgG. Anti-nucleocapsid-IgG and anti-spike-IgG were detected in 25/30 (83%) and in 33/39 (85%) of neonates of seropositive mothers, respectively. According to the serology test results during delivery with respect to the time of SARS-CoV-2 infection, the highest rate of positive maternal serology tests was 8 to 12 weeks post-infection (89% anti-spike IgG, 78% anti-spike IgM, and 67% anti-nucleocapsid IgG). Thereafter, the rate of positive serology tests declined gradually; at 20 weeks post-infection, only anti-spike IgG was detected in 33 to 50%.

**Discussion:** The rate of vertical transmission of SARS-CoV-2 was at least 3% (95% confidence interval 0.1–15%). Vaccination should be considered no later than 3 months post-infection in pregnant women due to a decline in antibody levels. **Manal Massalha, Clin Microbiol Infect 2022;28:1258**

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## Introduction

The effect of pregnancy on humoral response to SARS-CoV-2 infection as well as the rate of vertical transmission are not fully understood. At the beginning of the current COVID-19 pandemic, evidence pointed to a lack of vertical transmission, as determined by amniocentesis, umbilical cord blood, placenta, neonatal secretion, and breast milk sampling [1–6]. However, recent data, mostly from case reports and case series, demonstrated the presence of SARS-CoV-2 in the placenta [7–9], positive reverse-transcription-polymerase-chain-reaction (RT-PCR) of nasopharyngeal swabs of newborns, and evidence of seropositivity in neonates [10–14].

Evidence for vertical transmission is suggested in either positive neonates for SARS-CoV-2 RT-PCR or the presence of IgM-type antibodies in the newborn since these antibodies do not cross the placenta.

The present study explored maternal humoral immune responses to SARS-CoV-2 infection and the rate of vertical transmission.

## Methods

### *Patient recruitment*

This prospective multicenter cohort study was conducted between 3 July 2020 and 24 January 2021 at Emek and Baruch-Padeh Medical Centers, two university-affiliated medical centers in north Israel. The study protocol was approved by the Local Institutional Review Boards (60-20-EMC and 90-20-POR). Informed consent was obtained from all individuals who participated in the study. During the study period vaccination was not available in Israel.

The study cohort consisted of pregnant women  $\geq 18$  years old who had a positive nasopharyngeal swab for SARS-CoV-2, as determined by RT-PCR, during pregnancy.

### *Data collection*

Women were enrolled at admission to the delivery ward, before delivery, by one of the team investigators. After enrollment, SARS-CoV-2 anti-nucleocapsid-IgG, anti-spike-IgG, and anti-spike-IgM levels in maternal and cord blood were measured near delivery. Nasopharyngeal samples were collected from the neonates in the Department of Neonatology and were subjected to SARS-CoV-2 PCR testing. Participants were excluded from the study if both cord blood serology tests and neonatal RT-PCR could not be obtained due to technical reasons.

### *Determination of SARS-CoV-2 antibody levels*

Serum was separated from clot and blood cells by centrifugation ( $1000 \times g$ , 10 min) using gel separator tubes. Samples were either directly tested for SARS-CoV-2 anti-nucleocapsid-IgG antibodies by the Architect i2000 analyzer on the day of sample collection or were separated into a secondary tube and frozen at  $-20^{\circ}\text{C}$  until the test was performed. After performing the test, samples were frozen at  $-20^{\circ}\text{C}$ . For determination of SARS-CoV-2 anti-spike (S1/S2) IgG and IgM antibody titers, samples were thawed and mixed by vortex, and then subjected to ready-to-use assays on automated analyzers, as detailed in Supplement 1.

### *Study endpoints*

The primary endpoint was the rate of vertical transmission, defined as either positive neonatal IgM serology or positive

neonatal SARS-CoV-2 PCR. Humoral immune response was also evaluated, including the rate of positive mothers for each tested antibody and antibody levels by time between infection and delivery.

Correlation between antibody levels and clinical manifestation of COVID-19 was also evaluated as well as demographic and pregnancy characteristics and data regarding fetal malformations.

### *Statistical analysis*

Sample size was calculated using the binomial proportion test. The rate of vertical transmission was estimated to be 7% when defined by RT-PCR [6]. Assuming that using serology tests increases the rate to 10% versus 0% in noninfected population, 71 women were required (80% power, 5% one-sided alpha).

Categorical variables were analyzed using the chi-squared test or Fisher's exact test. The correlation between maternal and neonatal IgG antibody levels was assessed by the Pearson coefficient. The locally scatterplot smoothing nonparametric regression model was utilized to compare the mean drop in antibody levels over time from COVID-19 diagnosis and delivery. Antibodies levels were normalized by dividing each value by the largest value. Anti-spike antibodies were also multiplied by 3 in order to fit the scale. Antibodies levels below the threshold for positive results according to the kit instructions were set to be zero.

Statistical analyses were carried out with SAS version 9.4 (SAS Institute, Cary, NC, USA). Significance was set at a  $p$  value of  $<0.05$ . Data were analyzed by the authors EY and ZN.

## Results

Seventy-nine women were offered participation in the study. All of them agreed to participate. Among them, 72 had available serology test results. Seven women did not have serology information due to technical reasons. Thirty-six neonates did not undergo nasopharyngeal swabbing for SARS-CoV-2 PCR due to parental refusal. One woman did not have enough serum to determine anti-spike IgM levels.

Patient characteristics are presented in Table 1. SARS-CoV-2 antibody profiles of women who had COVID-19 during pregnancy and of their neonates, are presented in Table 2. Among the 72 women, 36 (50%), 39 (54%), and 30 (42%) women tested positive for anti-spike-IgM, anti-spike-IgG, and anti-nucleocapsid-IgG, respectively. The difference between the rate of positive anti-spike-IgG and anti-nucleocapsid-IgG was statistically significant ( $p < 0.0001$ ; Table 2).

Nasopharyngeal swabs were taken to 36 neonates as mentioned above. Comparison between maternal and pregnancy characteristics of mothers of whom nasopharyngeal swab was taken versus not taken is presented in Supplement 2. Among 36 neonates in which nasopharyngeal swabs were taken, one neonate (3%, 95% confidence interval 0.1–15%) had a positive PCR result. IgM was not detected in cord blood. Seven neonates had positive IgG antibodies while their mothers were seronegative for the same IgG. The rest of the neonates were either seronegative or had the same IgG as their mothers, and therefore whether the IgG transferred from the mothers or was self-produced by the fetus could not be determined. No fetal malformations were detected.

Anti-nucleocapsid-IgG and anti-spike-IgG were detected in 25/30 (83%) and in 33/39 (85%) of neonates of seropositive mothers, respectively. Maternal and neonatal IgG antibody levels were positively correlated (Pearson coefficient 0.8,  $p < 0.001$ ). With regards to the interval between infection and delivery, the highest rate of maternal positive serology tests was when the interval was

**Table 1**  
Demographic characteristics and pregnancy course of women with COVID-19 during pregnancy (N = 72)

Age	30.2 (4.6)
BMI (kg/M <sup>2</sup> )	26.2 (5.1)
Number of children	1.7 (1.5)
Place of residency	
City >20 000	36 (50%)
Town ≤20 000	30 (42%)
Village	6 (8%)
Ethnicity	
Jew	27 (38%)
Arab	45 (63%)
Gestational week at COVID-19 disease	29.27 (8.94)
Trimester at COVID-19 disease	
1	5 (7%)
2	21 (29%)
3	46 (64%)
Illness duration (days)	8 (12)
Symptoms	
Asymptomatic	14 (19%)
Fever	12 (17%)
Cough	23 (32%)
Dyspnea	20 (28%)
Rhinorrhea	9 (13%)
Loss of smell sensation	39 (54%)
Fatigue	28 (39%)
Myalgia	23 (32%)
Vomiting	3 (4%)
Diarrhea	6 (8%)
Headache	17 (24%)
Interval between COVID-19 infection diagnosis and delivery (weeks)	9.6 (8.9)
Delivery week	38.9 (1.8)
Preterm delivery	6 (8%)
Gestational hypertension/preeclampsia	4 (6%)
GDM	7 (10%)
Neonate gender	
Male	43 (60%)
Female	29 (40%)
Cesarean delivery	16 (22%)
Birth weight	3281 (457)
SGA neonate	2 (3%)
APGAR at 1 minute	3 (4%)
APGAR at 5 minute	1 (1%)
Cord pH	7.3 (0.1)

BMI, body mass index; COVID, coronavirus disease; GDM, gestational diabetes mellitus; SGA, small for gestational age.

Values are presented as mean (standard deviation) or number (percent) missing values: BMI-2, cord pH – 8.

between 8 to 12 weeks (89% anti-spike IgG, 78% anti-spike IgM, and 67% anti-nucleocapsid IgG). Thereafter, the rate of positive serology tests declined gradually. After 20 weeks, only anti-spike IgG was detected in 33 to 50% (Fig. 1A).

Maternal anti-spike-IgG responses were the longest compared with anti-nucleocapsid-IgG and anti-spike-IgM responses (Fig. 1B).

The rate of women with positive IgG serology was higher if COVID-19 was symptomatic compared to asymptomatic (anti-nucleocapsid-IgG 29 (50%) vs 1 (7%),  $p = 0.004$ ; anti-spike-IgG 35 (60%) vs 4 (29%), respectively,  $p = 0.03$ ).

## Discussion

The present study explored the rate of vertical transmission and humoral immune responses following maternal SARS-CoV-2 infection during pregnancy. Evidence of a vertical transmission rate of at least 3% (95% confidence interval 0.1–15%) was observed. In addition, the highest rate of positive serology tests was among women who delivered 8 to 12 weeks postinfection, after which, the rate of positive serology tests declined gradually. Women

**Table 2**  
SARS-CoV-2 antibody profile of women with COVID-19 disease during pregnancy and of their neonates

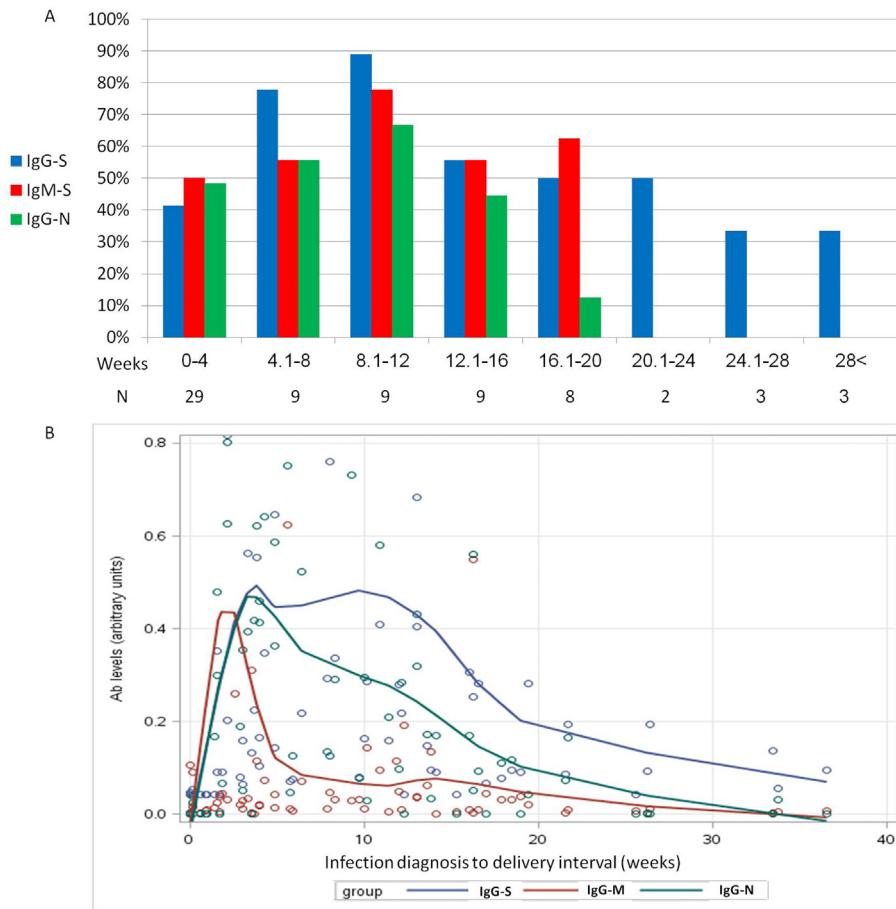
Maternal SARS-CoV-2 nucleocapsid IgG	
Negative	42 (58%)
Positive	30 (42%)
Maternal SARS-CoV-2 spike IgG	
Negative	33 (46%)
Positive	39 (54%)
Maternal SARS-CoV-2 nucleocapsid and spike IgG	
Negative	30 (42%)
Both positive	27 (38%)
One positive	15 (21%)
Maternal SARS-CoV-2 spike IgM	
Negative	35 (49%)
Positive	36 (51%)
Neonatal SARS-CoV-2 nucleocapsid IgG	
Negative	42 (58%)
Positive	30 (42%)
Neonatal SARS-CoV-2 spike IgG	
Negative	35 (49%)
Positive	37 (51%)
Neonatal SARS-CoV-2 nucleocapsid and spike IgG	
Negative	32 (44%)
Both positive	27 (38%)
One positive	13 (18%)
Neonatal SARS-CoV-2 spike IgM	
Negative	72 (100%)
Positive	0 (0%)
Neonatal PCR for SARS-CoV-2	
Negative	35 (97%)
Positive	1 (3%)

Values are presented as number (percent). Missing values: Neonatal PCR for SARS-CoV-2 ( $n = 36$ ); maternal SARS-CoV-2 anti-spike IgM ( $n = 1$ ).

delivering 20 or more weeks after infection only carried anti-spike IgG antibodies.

Data regarding vertical transmission of SARS-CoV-2 are scarce. Most data are based on case reports and small case series. A recent review analyzed 38 studies that assessed COVID-19 and pregnancy. The rate of vertical transmission of SARS-CoV-2 differed by sample source and test type; rates were 2.9%, 7.7%, 2.9%, and 3.7% for neonatal nasopharyngeal swab testing ( $n = 936$ ), placental sampling ( $n = 26$ ), cord blood IgM serology ( $n = 34$ ), and neonatal IgM serology ( $n = 82$ ), respectively. Amniotic fluid ( $n = 51$ ) and neonatal urine ( $n = 17$ ) analyses showed no evidence of vertical transmission [15]. The highest vertical transmission rates (9.7% of  $n = 31$ ) were observed when testing neonatal fecal/rectal samples [16,17]. In our study, the rate of vertical transmission measured by neonatal nasopharyngeal swab testing was 3%. It should be noted that seven neonates (10%) had positive IgG antibodies with a seronegative mother for the same IgG antibody. This observation may suggest either rapid decline in IgG levels in the mothers or self-produced IgG by the fetus following viral vertical transmission.

Approximately 50% of the mothers in our cohort had SARS-CoV-2 antibodies. In a study that examined 392 COVID-19 convalescent subjects, 366 (93.4%) were positive for SARS-CoV-2 IgG antibodies [18]. Time from positive SARS-CoV-2 nasopharyngeal swab correlated with SARS-CoV-2 IgG antibody levels (Pearson  $r = -0.281$ ,  $p < 0.001$ ), with a 50% decline in antibody levels within 6 months; however, levels were still above the cut-off for positive serology result. Thereafter, antibody levels stabilized and remained similar up to 9 months postinfection. In 15% of participants with tests at two time points ( $n = 59$ ), SARS-CoV-2 anti-spike antibodies decreased below the positive cut-off [18]. According to our results, during pregnancy, anti-SARS-CoV-2 antibody titers declined more rapidly, with the rate of women with positive anti-spike IgG declining from 89% at 2 to 3 months



**Fig. 1.** Maternal humoral immune response following infection with SARS-CoV-2 according to time from infection to delivery. (A) The rate of pregnant women with positive serum anti-spike IgG (IgG-S), anti-spike IgM (IgM-S), and anti-nucleocapsid IgG (IgG-N) antibodies over time (weeks) from infection. (B) Locally scatterplot smoothing smooth curve (smoothing parameter 0.4) of mean antibody levels according to time between COVID-19 disease and delivery (weeks). Antibody levels were normalized by dividing each value with the highest value measured. Anti-spike antibodies were also multiplied by 3 in order to fit the scale. Antibody levels below the seropositivity threshold are shown as zero. Note that the graph represents the rate of antibody level decline and not their actual values.

postinfection to 38% by 5 months postinfection. These data suggest that while immune responses to SARS-CoV-2 infection during pregnancy are similar to those measured in nonpregnant women [18], antibody titer decline more rapidly during pregnancy. One explanation is due to the transition to a Th2 anti-inflammatory environment during pregnancy that may attenuate the immune response [19–21]. Future studies should explore this hypothesis. In a recent study, in the general population, vaccination at least 3 months postinfection has led to 82% protection rate from reinfection. Protection against reinfection was naturally acquired in the first 3 months postinfection [22]. Based on those and our results, we suggest that vaccination should be considered no later than 3 months postinfection in pregnant women due to a decline in antibody levels.

The strengths of the study lay in its prospective design and evaluation of several antibodies against SARS-CoV-2. The limitations of the study were the lack of serial maternal serology sampling, insufficient sample size for assessing neonatal complications related to COVID-19, and parental refusal to allow neonatal SARS-CoV-2 nasopharyngeal swabbing. It should be noted that only five neonates were born within 2 weeks of maternal SARS-CoV-2 infection, when serology test sensitivity and specificity might be lower.

Taken together, the rate of vertical transmission of SARS-CoV-2 was at least 3% (95% confidence interval 0.1–15%). The highest rate of maternal seropositivity was 8 to 12 weeks postinfection. Anti-spike IgG levels remained high for the longest period of time and therefore should be used in serology testing, to avoid false-negative results. We suggest that vaccination should be considered no later than 3 months postinfection in pregnant women due to a decline in antibody levels.

#### Transparency declaration

All authors declare no competing interest. The authors have no conflicts of interest to declare. This study was performed in collaboration with the Israeli Ministry of Health.

#### Author contributions

MM, EY, OR, SS, JH, TS, AA, YP, and ZN participated in the study design and data collection. EY and ZN analyzed the data and wrote the manuscript. MM, OR, SS, JH, TS, AA, and YP critically reviewed the manuscript. MM and EY contributed equally.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.cmi.2022.04.001>.

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