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3 **The association of hemoglobin drop with in-hospital outcomes in COVID-19**
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5 **patients**
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35 Short title: COVID-19 and hemoglobin drop
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37 Disclosure: none
38

39 Funding: none
40

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51 Key words: COVID-19, mortality, hemoglobin drop, acute kidney injury
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3 This study was approved by the institutional review boards of Icahn School of Medicine
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5 at Mount Sinai (#2000495) and conducted in accordance with the principles of the
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7 Declaration of Helsinki. The waiver of patients' informed consent was also approved by
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9 the institutional review boards.
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Abstract

Background: Bleeding events can be critical in hospitalized patients with COVID-19, especially those with aggressive anticoagulation therapy.

Aim: We aimed to investigate whether hemoglobin drop was associated with increased risk of acute kidney injury (AKI) and in-hospital mortality among patients with COVID-19.

Design: Retrospective cohort study

Methods: This retrospective study was conducted by review of the medical records of 6,683 patients with laboratory confirmed COVID-19 hospitalized in the Mount Sinai Health system between March 1st, 2020 and March 30th 2021. We compared patients with and without hemoglobin drop $>3\text{g/dL}$ during hospitalization within a week after admissions, using inverse probability treatment weighted analysis (IPTW). Outcomes of interest were in-hospital mortality and AKI which was defined as serum creatine change of 0.3 mg/dL increase or 1.5 times baseline.

Results: Of the 6,683 patients admitted due to COVID-19, 750 (11.2%) patients presented with a marked hemoglobin drop. Patients with hemoglobin drop were more likely to receive therapeutic anticoagulation within two days after admissions. Patients with hemoglobin drop had higher crude in-hospital mortality (40.8% versus 20.0%, $P<0.001$) as well as AKI (51.4% versus 23.9%, $P<0.001$) compared to those without. IPTW analysis showed that hemoglobin drop was associated with higher in-hospital mortality compared to those without (odds ratio (OR) [95% confidential interval (CI)]: 2.21 [1.54-2.88], $P<0.001$) as well as AKI (OR [95% CI]: 2.79 [2.08-3.73], $P<0.001$).

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3 **Conclusions:** Hemoglobin drop during COVID-19 related hospitalizations was
4 associated with a higher risk of AKI and in-hospital mortality.
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10 **Introduction**

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13 Inflammation and cytokine storm are considered to be associated with increased mortality
14 due to Coronavirus disease 2019 (COVID-19). Currently steroids are the main treatment
15 for critically ill patients with COVID-19 (1). Coagulopathy is also associated with
16 increased risk of death due to COVID-19 (1, 2), and therefore, therapeutic or prophylactic
17 anticoagulation might be beneficial for the treatment of COVID-19 (2). However,
18 bleeding events are of concern in COVID-19 patients with impaired coagulation ability,
19 especially those with aggressive anticoagulation therapy (3, 4).
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29 Anemia in critical illness is a common condition and its cause is often multifactorial
30 affecting mortality (5). The causes of anemia are often multifactorial. In addition, in the
31 field of cardiovascular diseases, hemoglobin drop is known to be associated with worse
32 long-term outcome during treatment of acute coronary syndrome (6) and higher incidence
33 of acute kidney injury (AKI) during a periprocedural period in percutaneous coronary
34 intervention. Hemoglobin drop due to periprocedural blood loss is leading to
35 intravascular volume loss that is an additional insult to kidneys when kidneys are exposed
36 to iodine contrast (6-8). Hemoglobin drop in the setting of critical illness when various
37 potentially nephrotoxic medications are given may have a mechanism similar to
38 periprocedural hemoglobin drop in percutaneous coronary intervention. Additionally,
39 AKI itself is known to be associated with higher mortality in COVID-19 patients (9).
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3 However, the effect of hemoglobin drop in the context of COVID-19 infection has not
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5 been investigated.
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9 Therefore, we aimed to investigate whether hemoglobin drop was associated with AKI
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11 and in-hospital mortality among patients with COVID-19. Our hypothesis was that
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13 hemoglobin drop might be associated with worse in-hospital outcomes of COVID-19.
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16 **Methods:**

17 *Data source and study population*

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19 This retrospective study was conducted by review of the medical records of 9,965
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21 hospitalized patients who were discharged between March 1st 2020 and March 30th 2021,
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23 with laboratory confirmed COVID-19 in the Mount Sinai Health system (10-17).
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25 Identification of COVID-19 was based on a nasopharyngeal swab, which was tested
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27 using a polymerase chain reaction. Patients less than 18 years of age were excluded
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29 (N=51). Patients who were transferred to other facilities were also excluded (N=349). In
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31 addition, patients who had less than two hemoglobin measurements within a week after
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33 hospitalizations were also excluded (N=881) since we aimed to investigate the effect of
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35 hemoglobin drop during the early phase of hospitalization. In addition, we also excluded
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37 1,040 patients who were discharged (dead or alive) within two days because we could not
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39 estimate the effect of hemoglobin drop during the early phase of hospitalization.
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41 Moreover, we excluded patients with hemoglobin level <10 g/dL (N=889) to estimate the
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43 effect of hemoglobin drop >3 g/dL. Hemoglobin cut off 7 g/dL is usually used for the cut
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45 off for transfusion which mitigate the trend of hemoglobin (18). Moreover, we excluded
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47 the patients with hemoglobin drop >6 g/dL since it is more than three times the standard
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49 deviation (N=72). Thus, the final cohort of our study consisted of 6,683 patients.
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3 Patients' electronic medical records were reviewed and demographics, comorbidities,
4 vital signs, laboratory data, and clinical outcomes were extracted.
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9 at Mount Sinai (#2000495) and conducted in accordance with the principles of the
10 Declaration of Helsinki. The waiver of patients' informed consent was also approved by
11 the institutional review boards.
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16 ***Exposure definition***

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18 In the main analysis, patients were stratified into the two groups, those with hemoglobin
19 drop and those without it. The "hemoglobin drop" was defined as decrease in serum
20 hemoglobin level by ≥ 3 g/dL from the baseline level at admission within a week which
21 could be used for the estimate of in-hospital mortality. The cut off 3 g/dL was defined
22 according to the definition used in a previous study in the field of cardiovascular disease
23 (6). We also examined an association between the extent of hemoglobin change and the
24 study outcomes, creating a smooth spline curve, as explained later in the statistical
25 analysis section.
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40 ***Outcomes***

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42 The primary outcome of interest was in-hospital mortality. Secondary outcomes were
43 AKI and liver injury. AKI was defined according to KDIGO criteria stratified by
44 creatinine level; stage 1: 1.5-1.9 times baseline or ≥ 0.3 mg/dL increase; stage 2: 2.0-2.9
45 times baseline; stage 3: 3 times or creatinine >4.0 mg/dL (19, 20). Liver injury was
46 defined as alanine aminotransferase with more than 5 times of upper normal limits.
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Covariates

Comorbidities were characterized based on the International Classification of Disease (ICD) 10 codes. All vital signs and blood tests were recorded at time of admission.

Further, we controlled for relevant treatments, including systemic steroids, therapeutic anticoagulation, prophylactic anticoagulation, convalescent plasma, and interleukin-6 inhibitor (Tocilizumab). Therapeutic anticoagulation was defined as apixaban, dabigatran, rivaroxaban (excluding 2.5 mg as prevention of atherosclerotic cardiovascular events) (21), edoxaban, warfarin, and enoxaparin (as therapeutic dose), intravenous continuous unfractionated heparin, and argatroban. Prophylactic anticoagulation was defined as subcutaneous heparin in prophylactic dose. Steroids were defined as treatment with systemic betamethasone, dexamethasone, hydrocortisone, prednisone, prednisolone, and methylprednisolone. We collected the data of therapeutic and prophylactic anticoagulation which were given within two days since admissions because we aimed to investigate its effect for hemoglobin drop within a week.

Statistical Analysis

Continuous variables were presented as mean \pm standard deviation or median [interquartile range] depending on the data distribution, and categorical variables were expressed as percentages. Differences in baseline characteristics between groups were evaluated, using the χ^2 test for categorical variables.

We performed inverse probability weighted analysis. The following variables were used to estimate propensity score: age, sex, race, asthma, chronic obstructive pulmonary disease, obstructive sleep apnea, obesity, hypertension, diabetes mellitus, human

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3 immunodeficiency virus, cancer, atrial fibrillation, coronary artery disease, heart failure,
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5 peripheral artery disease, chronic viral hepatitis, alcoholic/non-alcoholic liver disease,
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7 estimated glomerular filtration rate (eGFR), blood urea nitrogen, white blood cell count,
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9 hemoglobin and platelet, vital signs, treatment with therapeutic anticoagulation,
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11 prophylactic anticoagulation, steroid, interleukin-6 inhibitor, remdesivir and convalescent
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13 plasma. The Modification of Diet in Renal Disease equation was used to estimate eGFR
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17 (20).

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19 In addition, we also created a smooth spline describing the association between the extent
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21 of the hemoglobin change and adjusted odds ratio of hospital mortality. Adjusted odds
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23 ratio was calculated with a multivariate logistic regression model using the variables
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25 same as the variables to estimate propensity score.

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28 As an additional sensitivity analysis, missing data was imputed using mice package and
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30 IPTW analysis was performed (R software). All statistical analyses were performed using
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32 R (version 3.6.2, R Foundation for Statistical Computing, Vienna, Austria). P-values
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34 <0.05 considered statistically significant.
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40 **Results**

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42 Of the 6,683 patients admitted due to COVID-19, 750 (11.2%) had hemoglobin drop by 3
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44 g/dL. Baseline characteristics and vital signs across study groups are reported in Table 1.
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46 Length of hospital stay and number of hemoglobin measurements during hospitalization
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48 were also significantly different (Table 1). Vital signs at admission were significantly
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50 different between patients with and without hemoglobin drop: the respiratory rate at
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52 admission was 20.0 [18.0, 24.0] versus 20.0 [18.0, 22.0] /min. and oxygen saturation
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3 level was 85.0 [72.0, 91.0] versus 90.0 [84.0, 92.0] % for patient with hemoglobin drop
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5 versus those without, respectively (both $P < 0.001$). Patients with hemoglobin drop had
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7 higher white blood cell count, hemoglobin, blood urea nitrogen, d-dimer, C reactive
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9 protein, and lower eGFR compared to those without hemoglobin drop (Table 1). Patients
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11 with hemoglobin drop were more likely to receive therapeutic anticoagulation treatment
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13 within two days after admissions (Table 1).
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17 Patients with hemoglobin drop had higher observed in-hospital mortality compared to
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19 those without hemoglobin drop (40.8% versus 20.0%, $P < 0.001$) as well as AKI (51.4%
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21 versus 23.9%, $P < 0.001$) (Table 2). Interestingly, patients with hemoglobin drop and AKI
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23 had higher in-hospital mortality compared to those with hemoglobin drop but without
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25 AKI (60.6% versus 20.4%, $P < 0.001$).
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29 Using IPTW analysis, we achieved a good balance between study groups: the
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31 standardized mean difference was < 0.10 for the majority of covariates (Table 1). Notably,
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33 hemoglobin drop was associated with higher in-hospital mortality compared to those
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35 without (odds ratio (OR) [95% confidential interval (CI)]: 2.21 [1.54-2.88], $P < 0.001$) as
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37 well as higher incidence of AKI (OR [95% CI]: 2.79 [2.08-3.73], $P < 0.001$) after IPTW
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39 adjustments. Multiple imputation also showed similar results for in-hospital mortality
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41 (OR [95% CI]: 1.79 [1.38-2.33], $P < 0.001$)
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46 Finally, the smooth spline curve showed the association of hemoglobin drop and adjusted
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48 odds ratio for in-hospital mortality, which reflected the association of hemoglobin drop
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50 and in-hospital mortality (Figure 1).
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53 **Discussion**

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3 The salient point of our findings is the following: hemoglobin drop ≥ 3 g/dL was
4 associated with increased risk of in-hospital mortality and AKI.
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10 Additionally, it has been shown that AKI is associated with higher hospital mortality and
11 respiratory failure due to COVID-19 (22). Patients with endotracheal intubation were
12 likely to have advanced stage of AKI (22). However, it remains uncertain what is the
13 pathophysiology of AKI resulting into death. Our data suggested that hemoglobin drop
14 was associated with AKI and in-hospital mortality. The combination of hemoglobin drop
15 and AKI contributed to even worse in-hospital mortality compared to hemoglobin drop
16 without AKI. That is a novel finding in terms of prognostication of patients with
17 COVID-19.
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31 The bleeding avoidance strategy is essential for patients with cardiovascular disease who
32 need antiplatelet and anticoagulation therapy because it can prevent AKI and mortality
33 (23, 24). According to our study, the same concept may be applied to the patients with
34 COVID-19. Patients with COVID-19 needs steroid treatments and anticoagulation
35 therapy as prophylactic or therapeutic (2, 25). Although proton pump inhibitor is not
36 useful for patients in intensive care units (26), it may not be applicable to the patients
37 with COVID-19 on steroids and anticoagulation. Interestingly, our data showed the
38 association of hemoglobin drop with in-hospital outcomes, reflecting the importance of
39 the trend of hemoglobin even though patients do not have obvious site of bleeding
40 (27-29).
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3 Whether to use prophylactic versus therapeutic anticoagulation therapy is still actively
4 debated (2, 30-33). We illustrated hemoglobin drop was independently associated
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6 in-hospital outcomes including AKI and death despite of rigorous adjustment with
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8 prophylactic and therapeutic anticoagulation. As of May 27th, 2021, we have no clear
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10 answer which to use prophylactic and therapeutic anticoagulation for patients with
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12 COVID-19. Nevertheless, we might be able to choose prophylactic or therapeutic
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14 anticoagulation based on hemoglobin trend on the daily basis, unless patients have the
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16 indications of therapeutic anticoagulation such as atrial fibrillation or venous
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18 thromboembolism.
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24 The association between anemia and outcomes in COVID-19 patients has been reported
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26 only in a few studies. In the retrospective study analyzing the association of anemia due
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28 to COVID-19 diagnosed within 24 hours of admission and defined as hemoglobin level
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30 of less than 12 g/dl in women and less than 13 g/dl in men, patients with anemia were
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32 older and had more comorbidities. In this study, the presence of anemia on admission was
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34 associated with a higher proportion of severe COVID-19 cases (34). On the contrary, the
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36 prospective study of a small number of patients demonstrated that anemia was not
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38 associated with severe COVID-19 disease or significant elevations in inflammatory
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40 biomarkers (35). These findings implicate that anemia among COVID-19 patients found
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42 on admission is representative of anemia due to chronic diseases and can be confounded
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44 by the duration of COVID-19 symptoms and the variable level of inflammatory response
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46 in each individual. Therefore, we consider hemoglobin drop that was observed during
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48 close monitoring in a hospital setting is more representative affecting mortality.
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5 The direct causal relationship between hemoglobin drop and in-hospital mortality is still
6 not conclusive. Low hemoglobin levels could decrease oxygen delivery causing poor
7 tissue oxygenation. It has been shown that anemia is associated with cardiac injury
8 demonstrated as higher NT-pro BNP and a higher proportion of patients with increased
9 creatinine cases compared to non-anemic patients (34). Another possibility is that
10 respiratory distress or increased work of breathing could have been triggered by increased
11 cardiac workload due to hemoglobin drop, which led to endotracheal intubation. These
12 peripheral organ injuries due to low hemoglobin and respiratory distress indirectly caused
13 by hemoglobin drop are potential contributing factors to higher in-hospital mortality
14 observed among COVID-19 patients.
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31 Our study is not without limitations. This is a retrospective observational study and not a
32 study to collect all variables prospectively. Despite multiple imputations for missing data
33 and propensity score matching analysis, we could not exclude unmeasured confounders.
34 We adjusted for treatments such as steroid, anticoagulation, interleukin-6 inhibitor and
35 convalescent plasma and we assessed the outcomes with the well-balanced cohort.
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42 Finally, we do not have an outcome of bleeding.
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47 In conclusions, hemoglobin drop during COVID-19 related hospitalization was
48 associated with higher risk of in-hospital mortality and AKI.
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3 Funding: none
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5 Disclosure: none
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7 Acknowledgements: none
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12 Author Contributions: TK, MT, NE, had full access to all the data in the study and takes
13
14 responsibility for the integrity of the data and accuracy of the data analysis.
15

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17 Study concept and design: TK
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19 Data Curation: TK, MT, NE
20

21 Acquisition, analysis, or interpretation of data: All authors
22

23 Drafting of the manuscript: TK
24

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26 Critical revision of the manuscript for important intellectual content: All authors
27

28 Statistical analysis: TK, MT
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30 Administrative, technical, or material support: NE
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33 Study supervision: NE
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44 **Figure Legends**

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46 Figure 1:
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49 Smooth spline curve of the association of hemoglobin drop and adjusted odds ratio of
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51 in-hospital mortality (X axis: hemoglobin drop [g/dL], Y axis: log of adjusted odds ratio
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53 of in-hospital mortality)
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Table 1: Baseline characteristics of patients admitted with COVID 19 stratified by hemoglobin drop

	Patients without hemoglobin drop N=5933	Patients with hemoglobin drop N=750	P value	SMD after IPTW
Age (years), mean(SD)	65.8 (16.2)	65.8 (16.9)	0.95	0.002
Male, n (%)	3315 (55.9)	428 (57.1)	0.56	0.073
Race, n (%)				
White	1647 (27.8)	195 (26.0)		
African American	1349 (22.7)	200 (26.7)	0.035	0.072
Hispanic	362 (6.1)	31 (4.1)		
Asian	1030 (17.4)	138 (18.4)		
Other				
Length of stay (days), median [IQR]	7.22 [4.33, 12.73]	10.10 [6.35, 18.75]	<0.001	-
Number of Hemoglobin measurements, median [IQR]	7.00 [4.00, 11.00]	11.00 [7.00, 19.00]	<0.001	-
Comorbidities:				
Asthma, n (%)	349 (5.9)	27 (3.6)	0.013	0.031
COPD, n (%)	278 (4.7)	31 (4.1)	0.56	0.031
Hypertension, n (%)	2156 (36.3)	254 (33.9)	0.20	0.029
Diabetes mellitus, n (%)	1348 (22.7)	183 (24.4)	0.33	0.019
Obstructive sleep apnea, n(%)	154 (2.6)	12 (1.6)	0.127	0.046
Obesity, n (%)	558 (9.4)	49 (6.5)	0.012	0.055
HIV, n (%)	92 (1.6)	12 (1.6)	1.00	0.043

Cancer, n (%)	495 (8.3)	65 (8.7)	0.82	0.056
Atrial fibrillation, n (%)	474 (8.0)	63 (8.4)	0.75	0.021
Heart failure, n (%)	477 (8.0)	63 (8.4)	0.79	0.082
Coronary artery disease, n (%)	828 (14.0)	111 (14.8)	0.57	0.024
Peripheral artery disease, n (%)	255 (4.3)	33 (4.4)	0.97	0.033
Chronic viral hepatitis, n (%)	53 (0.9)	6 (0.8)	0.96	0.042
Alcoholic/non-alcoholic liver disease, n (%)	137 (2.3)	22 (2.9)	0.35	0.037
Vital signs:				
Temperature, median [IQR]	38.00 [37.39, 38.89]	38.39 [37.56, 39.22]	<0.001	0.12
Heart rate (/min), median [IQR]	94.0 [81.0, 107.0]	99.0 [84.0, 115.0]	<0.001	0.045
Respiratory rate (/min), median [IQR]	20.0 [18.0, 22.0]	20.0 [18.0, 24.0]	0.001	0.027
Systolic blood pressure (mmHg), median [IQR]	131.0 [117.0, 147.0]	129.0 [113.0, 146.0]	0.005	0.069
Diastolic blood Pressure (mmHg), median [IQR]	75.0 [67.0, 84.0]	76.0 [66.0, 85.0]	0.43	0.004
O ₂ Saturation (%), median [IQR]	90.0 [84.0, 92.0]	85.0 [72.0, 91.0]	<0.001	0.12
Blood tests:				
White blood cell (K/ μ L), median [IQR]	7.10 [5.30, 9.70]	9.90 [6.80, 13.30]	<0.001	0.005
Hemoglobin (g/dL), median [IQR]	13.3 [12.0, 14.4]	14.2 [12.7, 15.5]	<0.001	0.089

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6	Platelet (K/ μ L), median	202.0 [154.0, 261.0]	217.0 [162.0, 287.0]	<0.001	0.051
7	[IQR]				
8	eGFR (ml/min./1.73m ²),	71.5 [48.3, 95.2]	57.4 [30.9, 86.3]	<0.001	0.039
9	median [IQR]				
10					
11	Blood urea nitrogen	17.0 [12.0, 28.0]	26.0 [14.0, 48.0]	<0.001	0.053
12	(mg/dL), median [IQR]				
13	Aspartate	39.0 [27.0, 62.0]	46.0 [29.0, 79.0]	<0.001	
14	Aminotransferase, (U/L),				-
15	median [IQR]				
16					
17	Alanine Aminotransferase,	29.0 [19.0, 49.0]	32.0 [20.0, 58.3]	0.001	-
18	(U/L), median [IQR]				
19					
20	C reactive protein (mg/L),	85.0 [38.4, 160.5]	120.9 [54.3, 211.4]	<0.001	-
21	median [IQR]				
22					
23	D-Dimer (μ g/mL), median	1.23 [0.70, 2.23]	2.06 [1.04, 3.88]	<0.001	0.12
24	[IQR]				
25	PT-INR, median [IQR]	1.10 [1.00, 1.20]	1.20 [1.00, 1.30]	<0.001	-
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31	PTT, median [IQR]	32.2 [29.1, 36.3]	31.8 [28.6, 35.6]	0.035	-
32					
33	Treatment:				
34	Therapeutic				
35	anticoagulation, n (%)	1432 (24.1)	231 (30.8)	<0.001	0.006
36					
37	Prophylactic				
38	anticoagulation, n (%)	4475 (75.4)	505 (67.3)	<0.001	0.026
39					
40	Steroid treatment, n (%)	3249 (54.8)	382 (50.9)	0.052	0.012
41					
42	IL-6 inhibitor, n (%)	199 (3.4)	58 (7.7)	<0.001	0.092
43					
44	Convalescent Plasma, n				
45	(%)	851 (14.3)	82 (10.9)	0.013	0.021
46					
47	Remdesivir, n (%)	1257 (21.2)	74 (9.9)	<0.001	0.036
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49	Transfusion, n (%)	171 (2.9)	88 (11.7)	<0.001	-
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6 eGFR: estimated glomerular filtration rate, COPD: chronic obstructive pulmonary disease, HIV:
7 human immunodeficiency virus, IL-6: interleukin-6, IQR: interquartile range, SD: standard
8 deviation, SMD: standardized mean difference
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Table 2: In-hospital outcomes of patients admitted with COVID 19 stratified by hemoglobin drop

	Patients without hemoglobin drop N=5933	Patients with hemoglobin drop N=750	P value
In-hospital mortality	1189 (20.0)	306 (40.8)	<0.001
Acute kidney injury			<0.001
No acute kidney injury	4506 (76.1)	362 (48.6)	
stage 1	563 (9.5)	102 (13.7)	
stage 2	166 (2.8)	45 (6.0)	
stage 3	686 (11.6)	236 (31.7)	
Liver injury	570 (9.6)	265 (35.3)	<0.001
Acute Venous Thromboembolism	63 (1.1)	13 (1.7)	0.15
Intensive care unit, n (%)	1027 (17.3)	336 (44.8)	<0.001
Endotracheal intubation, n (%)	570 (9.6)	265 (35.3)	<0.001

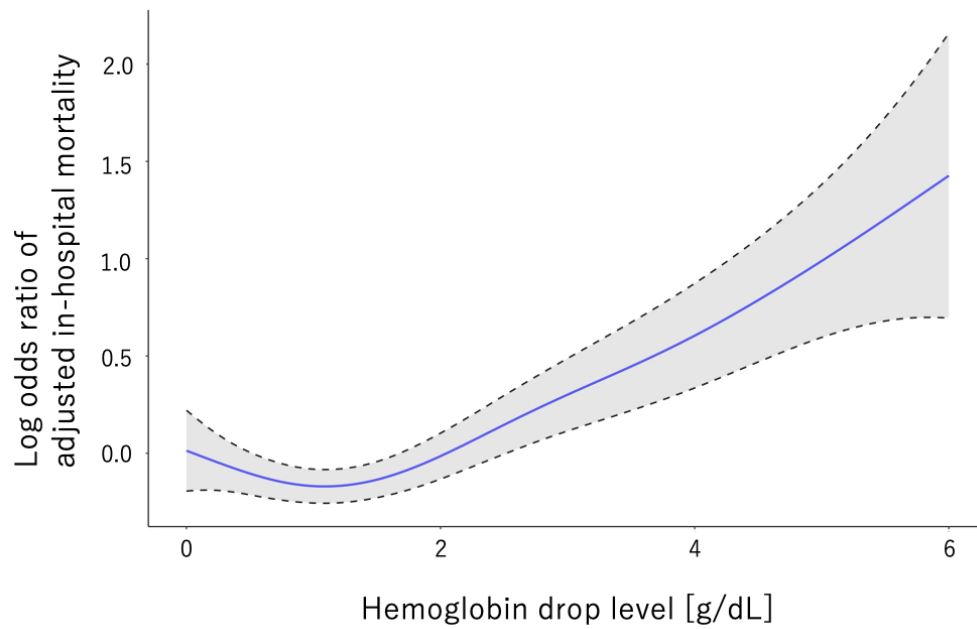


Figure 1

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