



Low effective mechanical advantage of giraffes' limbs during walking reveals trade-off between limb length and locomotor performance

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Giraffes (*Giraffa camelopardalis*) possess specialized locomotor morphology, namely elongate and gracile distal limbs. While this contributes to their overall height and enhances feeding behavior, we propose that the combination of long limb segments and modest muscle lever arms results in low effective mechanical advantage (EMA, the ratio of in-lever to out-lever moment arms), when compared with other cursorial mammals. To test this, we used a combination of experimentally measured kinematics and ground reaction forces (GRFs), musculoskeletal modeling, and inverse dynamics to calculate giraffe forelimb EMA during walking. Giraffes walk with an EMA of 0.34 (± 0.05 SD), with no evident association with speed within their walking gait. Giraffe EMA was about four times lower than expectations extrapolated from other mammals, ranging from 0.03 to 297 kg, and this provides further evidence that EMA plateaus or even diminishes in mammals exceeding horse size. We further tested the idea that limb segment length is a factor which determines EMA, by modeling the GRF and muscle moment arms in the extinct giraffid *Sivatherium giganteum* and the other extant giraffid, *Okapia johnstoni*. *Giraffa* and *Okapia* shared similar EMA, despite a four to sixfold difference in body mass (*Okapia* EMA = 0.38). In contrast, *Sivatherium*, sharing a similar body mass with *Giraffa*, had greater EMA (0.59), which we propose reflects behavioral differences, such as a somewhat increased capability for athletic performance. Our modeling approach suggests that limb length is a determinant of GRF moment arm magnitude and that unless muscle moment arms scale isometrically with limb length, tall mammals are prone to low EMA.

biomechanics | quadrupeds | computer modeling

Giraffes (*Giraffa camelopardalis*; Linnaeus, 1758) are specialized to feed from tall tree canopies, but does the possession of a disproportionately long neck and long limbs facilitate or constrain other behaviors? Giraffes embody the essence of cursorial morphology. Cursoriality refers to a number of anatomical traits which in some species are correlated with enhanced locomotor performance, including elongate distal limbs, digit loss or reduction, and restriction of joint rotation to the parasagittal plane (1, 2). One method of measuring the degree of anatomical cursoriality is the ratio of metatarsal to femur length (MT:F) (3). With MT:F of 1.4, giraffes combine anatomical cursoriality with a large body mass (3). Considering that horses (*Equus ferus caballus*) have a MT:F of 0.8, giraffe morphology is extreme. While this collection of traits (i.e., extreme height) confers a recognized feeding advantage (4), the effect on locomotor performance remains unclear.

Mitchell suggested that giraffes' elongated appendicular skeleton delivers a "mechanical advantage" during locomotion (5), and Pincher speculated that long limbs facilitate fast running speed (6). Yet, despite their extreme cursorial morphology, giraffes are athletically challenged. For example, adult giraffes run and walk at modest speeds and lack an aerial phase in their galloping gait (7, 8), conforming to the observation that the largest terrestrial animals are not the fastest (9–11).

We propose that maximal locomotor performance in giraffes is constrained by their elongate limb segments and shoulder height, rather than enhanced by it. At increasing distances from the ground, ground reaction force (GRF) vectors are more horizontally distant from the foot's center of pressure (COP), or point of GRF application. As a result, limb joints in taller animals may be subject to larger GRF moment arms than the homologous joints in shorter animals. Large GRF moment arms may reduce the effective mechanical advantage of the limb, or put more simply, limit the ability to resist gravitational forces (12, 13). Giraffids (Fig. 1 *A* and *B*) are an ideal group in which to explore this idea, as a diverse range of phenotypes (with respect to height) have existed in the lineage.

Significance

Giraffes are the tallest living animals, using their height to access food unavailable to their competitors. It is not clear how their specialized anatomy impacts their athletic ability. We made musculoskeletal models of the forelimbs from a giraffe and two close relatives and used motion-capture and force data to measure how efficient they are when walking in a straight line. A horse, for example, uses just 1 unit of muscle force to oppose 1 unit of force on the ground. Giraffe limbs are comparatively disadvantaged—their muscles must develop 3 units of force to oppose 1 unit of force on the ground. This explains why giraffes walk and run at modest speeds.

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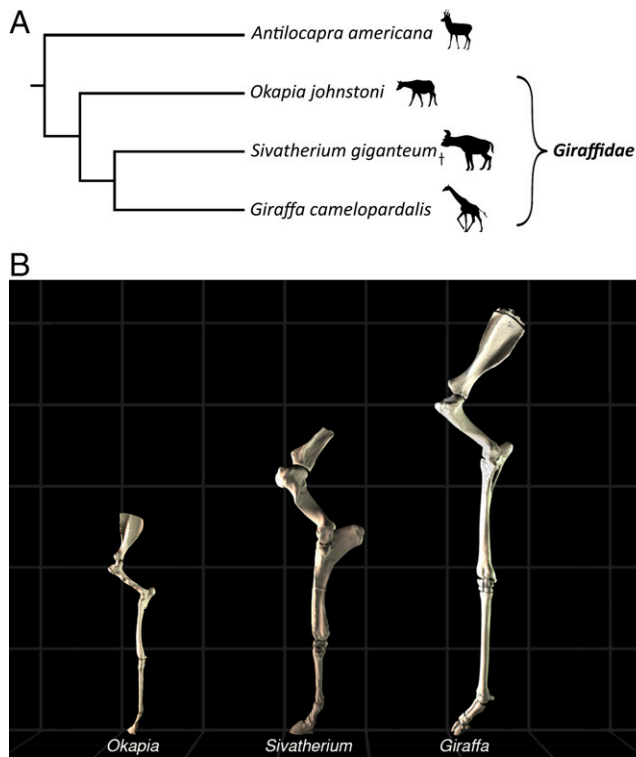


Fig. 1. (A) Phylogeny of *Giraffidae* and outgroup (65). †Refers to an extinct taxon. Image credits: <http://phylopic.org/>. (B) Modeled midstance postures of left forelimbs of *Okapia*, *Sivatherium*, and *Giraffa*. Models are displayed to scale, with each gray box measuring 0.5 m in length.

Effective mechanical advantage (EMA) is a measure of a given joint's (or limb's) leverage against the GRF, or in a simpler sense, the relative suitability of the joint (or overall limb) to resist gravity (Fig. 2A). EMA is a useful variable to consider in the context of locomotion as it is inversely proportional to the muscle force required to balance GRFs during locomotion and is also associated with mechanical stress (14) and activated muscle volumes (15–17). EMA can be expressed as the ratio

of the “antigravity” (typically extensor, or joint-straightening) in-lever muscle moment arm (r), to the out-lever moment arm of the GRF vector (R) during the stance phase of locomotion:

$$\text{EMA} = r/R. \quad [1]$$

EMA scales allometrically with body mass in mammals ranging from mice (0.03 kg) to horses (275 kg), with a scaling exponent of 0.26 (12). This indicates that larger animals must exert relatively smaller muscle forces in order to resist gravitational collapse of their limbs during the stance phase (here, with EMA measured at the trot–gallop transition). Horses, relatively large quadrupeds which also exemplify cursorial morphology, have an EMA of ~ 1 , indicating that their extensor muscle moment arms are equal to their GRF moment arms, on average. Hence for every 1 N of GRF, horses typically must develop 1 N of muscle force to maintain their posture. Their large EMA can be explained by their relatively upright posture, where their joints are closely aligned with the GRF vector.

A plateau might exist in the relationship of EMA with body mass, in animals exceeding horse size. Asian elephants (*Elephas maximus*) have an EMA of ~ 0.68 during slow walking (17), and a musculoskeletal model of the extinct *Tyrannosaurus rex* estimated that this animal moved with similar EMA (18). Similarly, relatively straight-limbed humans walk with an EMA ~ 0.7 (15); and both humans and elephants shift to EMA ~ 0.5 or less during more crouched running gaits (17). Hence horses have the highest EMA yet recorded, partly explaining their advanced athletic capabilities despite their large size (19).

The evolution of the giraffid appendicular skeleton has functional implications involving EMA. Giraffids with more ancestral morphology (Fig. 1A) possessed relatively shorter limb segments and smaller body mass than *Giraffa* (20, 21). Okapis (*Okapia johnstoni*; Sclater, 1901), the only other living giraffids, have body proportions considered to be more ancestral, with a modest body mass of 250 kg (22) and moderate limb and neck elongation (20, 23, 24).

Sivatherium giganteum (Falconer and Cautley, 1836), from an extinct giraffid lineage (Fig. 1A), displayed a different morphological phenotype, featuring extreme body mass in the presence of a robust appendicular skeleton and short neck (25). Comparing the EMA of giraffes, okapis, and *Sivatherium*, in the context of their anatomical traits, would help reveal how limb proportions and locomotor constraints may have evolved in the giraffid clade, and how similar constraints may have evolved in other tall animals, such as sauropod dinosaurs.

Here, we question whether elongate, cursorial limbs constrain locomotion in giraffes, rather than facilitate it. Our first prediction is that giraffes' EMA is lower than expected for an animal of large body mass. To address this prediction, we used a synthesis of experimental data and musculoskeletal modeling to compare EMA of the giraffe forelimb (taken as the mean of EMA values at each joint) during walking to EMA values for animals ranging from mice to horses. Previous experimental work has demonstrated that forelimb and hindlimb EMAs in quadrupedal mammals are comparable (14, 17). We are not aware of any evidence to suggest that this is not the case in giraffes. For example, although humeral length scales with slightly greater positive allometry (with respect to body mass) in giraffes than femur length (26), this scaling pattern is also seen in a wide range of mammalian species (27). Allometric patterns of other limb segments in giraffes are also similar in the forelimb and hindlimb (28). We test if low EMA may result in greater locomotor cost in giraffes by estimating active muscle volumes required during stance phase (15–17). Our second prediction is that EMA in the

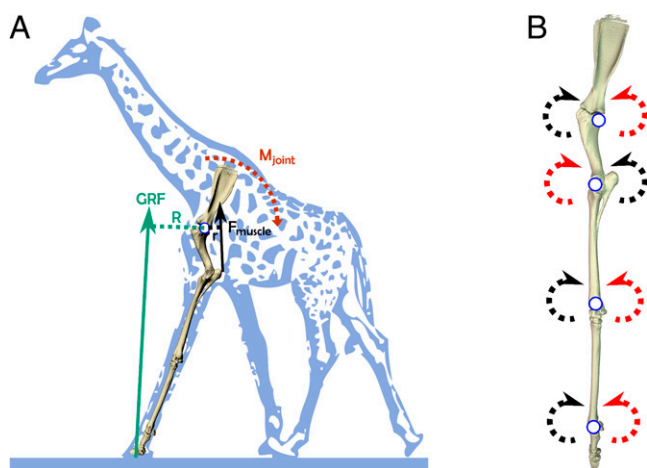


Fig. 2. (A) Giraffe forelimb skeleton during the early stance phase, with associated GRF vector (green arrow), originating from a point (COP) under the foot. The GRF vector has a moment arm (R ; green dotted line) with respect to the shoulder joint, inducing a joint moment (M_{joint}). To resist this, muscle force (F_{muscle}) produces an opposing muscle moment, with moment arm r (short black dotted line). (B) Locations of joint centers used to set up a coordinate system for the giraffe musculoskeletal model (left forelimb in lateral view). Red arrows represent flexion; black arrows represent extension.

giraffid clade is associated with the lengths and proportions of the limb (i.e., taxa with longer limbs have poorer leverage against GRFs). EMA throughout the stance phase was estimated using skeletal models of *Giraffa*, *Okapia*, and *Sivatherium* forelimbs, with modeled kinematics and GRFs. *Okapia* was assumed to be representative of giraffids' ancestral condition.

Results

Giraffe EMA. EMA values for each forelimb joint in the giraffe are displayed in Fig. 3A. Mean values (± 1 SD) for EMA_{imp} (where forces were integrated across the entire stance period) and EMA_{40} (where forces were considered during the approximate middle third of stance) were 0.34 (± 0.05) and 0.29 (± 0.05), respectively, with no apparent relationship with speed. Although these were statistically different measurements (t test, $P < 0.001$), the difference in biological terms was negligible. EMA was typically low at the start and end of stance (*SI Appendix*, Fig. S1), although forces are also low during this time (7). EMA tended to abruptly rise to (and fall from) infinity during the stance phase, due to the GRF vector passing through some joints' centers of rotation.

EMA_{40} was compared with data from other mammalian quadrupeds. Using the comparative dataset of animals ranging from 0.024 to 297 kg (13), a mammal with body mass 780 kg was predicted to have an EMA of 1.3 (with 95% prediction interval 0.88 to 1.93). Giraffe forelimb EMA falls well below the 95% prediction interval (Fig. 3B); approximately 24% of predicted EMA.

EMA_{imp} sensitivity varied with the magnitude of COP displacement in *Giraffa* (*SI Appendix*, Fig. S2). Displacement of the COP from its initial location at the distal third phalanx resulted in modest variation in EMA. Changes of this magnitude (or other plausible COP assumptions) did not alter the result that giraffes' EMA falls well below the scaling prediction for smaller mammals.

Estimated active muscle volume for each trial ranged 40 to 89 $cm^{-3} kg^{-1} m^{-1}$, with mean 54 (± 14), and showed no apparent relationship with speed or stance duration.

Comparisons of EMA between Giraffids. We modeled the stance phase of *Giraffa*, *Sivatherium*, and *Okapia* (Videos S1–S4 at <https://doi.org/10.6084/m9.figshare.c.5396853>) (29), using statically posed skeletal models (Fig. 1B), animated with experimental kinematics. We tested for any difference

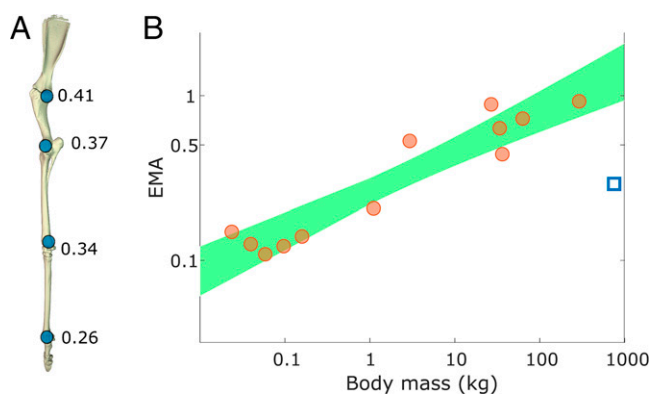


Fig. 3. (A) Mean values of EMA for each joint of the giraffe forelimb (shoulder to MCP; shown in vertical reference pose). (B) Giraffe forelimb EMA (blue square) fell below the 95% prediction interval (shaded area), indicating that walking giraffes significantly deviate from the pattern seen in mammals of 0.03 to 297 kg at their trot-gallop transition (14).

between this method (EMA_{stat}) and the experimentally derived giraffe data (EMA_{imp}). There was no statistical difference between the two methods (t test, $P = 0.26$). We further checked for errors in modeled GRF moment arms and muscle moment arms, in case concurrent errors were effectively canceling each other out, resulting in net agreement.

Mass and inertial properties were ignored in the static models, where EMA_{stat} was purely a geometric calculation (Eq. 1). This was a potential source of discrepancy when comparing with experimentally derived EMA_{imp} , which did take these parameters into account (Eq. 4). To ensure we made sufficiently valid comparisons, we repeated EMA_{imp} measurements using a giraffe musculoskeletal model with all mass properties set to zero, which in effect was equivalent to the simple measurement of r/R . We found that EMA_{imp} for each trial was similar whether the limb's mass properties were included in the calculation or ignored (t test, $P = 0.065$; *SI Appendix*, Fig. S3).

Other potential sources of error from the EMA_{stat} models for *Giraffa* included inaccurate muscle moment arm (r) and/or GRF moment arm (R) estimates. To test this, we compared GRF moment arms from the static models with moment arms from the inverse dynamics method (*SI Appendix*, Fig. S4). The GRF moment arms from the two methods, summarized as the mean moment arm, had a root-mean-square error (rmse) of 6%. Therefore, we consider variable muscle moment arms to be the source of disparity between experimentally derived and modeled EMA.

The muscle moment arms measured from the static giraffe model were compared with the weighted mean moment arms (derived from the musculoskeletal model) used to calculate EMA_{imp} (*SI Appendix*, Fig. S5). We found the largest disparities at the shoulder joint, where the extensor moment arm was over-estimated by 0.04 m ($\sim 67\%$); a result which led to a greater EMA value and a nonsignificant bias against our assumption that static and dynamically modeled moment arms were similar. We assumed that similar disparities in all three taxa likewise were nonsignificant, and not problematic for addressing our study's key questions.

Giraffa incurred the greatest absolute GRF moment arms, followed by *Sivatherium* and *Okapia*, respectively (Fig. 4A and *SI Appendix*, Fig. S6). The muscle moment arms, modeled as the parasagittal distance from the estimated joint center of rotation to the bone surface, and normalized by shoulder height, were also compared. In most cases, *Sivatherium* had the largest muscle moment arms, with the exception of the metacarpophalangeal (MCP) flexor moment arm (Fig. 4B). There was imprecision associated with the measurement of the MCP moment arm in *Sivatherium*, as the proximal sesamoid bones were modeled and scaled from *Giraffa* (25). In most cases, *Giraffa* possessed the smallest muscle moment arms. The greatest difference in muscle moment arms (r) was between the *Giraffa* and *Sivatherium* olecranon process at the elbow joint, with r (standardized by shoulder height) being twice as large in *Sivatherium*.

The GRF and muscle moment arms above were used to estimate EMA_{stat} over the course of a modeled stance phase for the three giraffid models. *Sivatherium* was estimated to have the greatest EMA_{stat} , followed by *Okapia* and *Giraffa* (Fig. 4C).

Discussion

EMA in Giraffes. Giraffes have a smaller than expected EMA for a mammal of such large body mass (Fig. 3B). We found that a giraffe using a typical lateral sequence walking gait had a

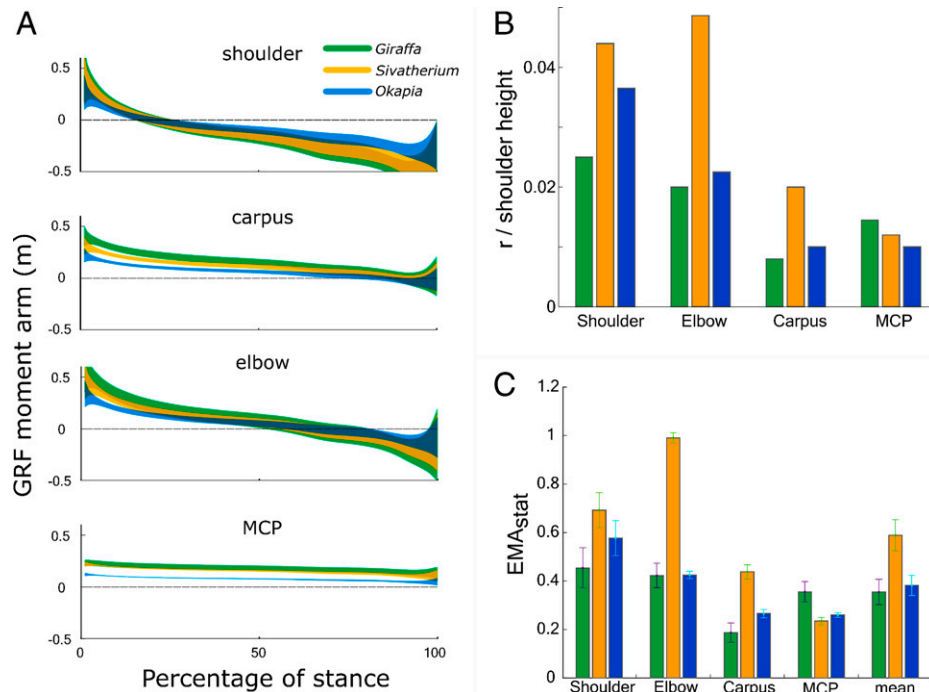


Fig. 4. (A) Modeled GRF moment arms in three giraffids, derived using data from 14 experimental trials from *Giraffa*. Shaded regions show 95% confidence intervals for mean moment arm at each timepoint. *Giraffa* consistently had the greatest absolute GRF moment arms. (B) Estimations of normalized muscle moment arms for the shoulder extensors, elbow extensors, carpal flexors, and MCP flexors (i.e., antigavity muscles used to calculate EMA). (C) EMA_{stat} throughout the stance phase. Due to a combination of large GRF moment arms and modest muscle moment arms, *Giraffa* incurred the lowest EMA of the giraffids studied, with values of EMA similar to *Okapia* at proximal joints. (Error bars: 1 SD.)

forelimb EMA_{40} of 0.29 rather than the value of 1.3 predicted from scaling of forelimb EMA in smaller taxa (12–14). We predict that the same conclusions can be applied to the hindlimb, which (as in other cursorial mammals) display similar patterns of EMA (14). This value is also less than half that for walking Asian elephants (*E. maximus*) (17), and unlike Asian elephants, the giraffe's EMA did not change within the (narrow) range of observed speed. With the described experimental set-up, recording GRFs of running giraffes was not feasible. We consider our measurements of giraffe EMA to be conservative, as we predict running speeds to be associated with lower EMA (15, 17) than observed in walking.

We compared EMA_{imp} using net joint moments (i.e., including mass and inertial properties) and joint moments solely attributed to M_{GRF} and found that the resultant EMA calculations were statistically similar ($P = 0.065$, *SI Appendix, Fig. S3*). At instances where M_{GRF} were equal to zero (where the GRF is orientated toward a given joint center of rotation), net joint moments were greater than zero, which biases toward lower EMA. When equally considering all joints at each time-step, joint moments were different on average by 25% when mass and inertial properties were included or excluded. However, the directionality of this difference varied throughout the stance phase. The question of how limb mass and inertia influence muscle forces, and how these may scale, is worthy of further study.

We found that two common methods for calculating EMA (EMA_{imp} and EMA_{40}) yielded similar results (t test, $P = 0.26$) and led to comparable conclusions. EMA_{40} essentially ignores the touch-down and take-off events of the stance phase, but these are counted in EMA_{imp} calculations. During these times GRFs are low, hence they do not strongly influence the overall integration of forces. EMA_{40} in giraffes was outside of the 95% prediction interval of the log-transformed linear model from

Biewener (14) (Fig. 3B) and was consistent with the concept that an EMA plateau exists in animals with body mass in excess of 300 kg (13, 17, 18). Reasons for low EMA values in *Giraffa* can be ascribed to the magnitudes of the GRF and/or muscle moment arms. With regard to GRF moment arms, animals larger than horses probably are unable to align their GRF vector even closer to their joint centers to minimize R and maximize EMA (30), via increased straightening of the limb. In the case of the giraffe, our comparisons between closely related giraffid species suggest that their long segment lengths and shoulder height (and thus “cursorial” limb morphology) predispose them to exaggerated GRF moment arms (Fig. 4A).

Alternatively, animals may be able to counter large GRF moment arms with similarly large muscle moment arms. This does not appear to be the case for giraffes. For example, the shoulder extensor moment arm of the long head of the triceps brachii muscle was 0.10 m throughout stance, similar to the 0.13 m predicted for a 780 kg animal (31). The moment arms of giraffes' major muscle groups are summarized in *SI Appendix, Table S1*. We surmise that giraffes are ill-equipped to effectively offset such large GRF moment arms, resulting in low EMA.

Since the calculation of EMA dictates that it is inversely proportional to the active muscle volume (17), giraffes' relatively small EMA during walking suggests that a large volume of muscle is recruited to oppose the GRFs that act on a limb. Surprisingly, giraffes' mass-specific muscle volume recruitment (V_{muscle} ; 40 to 89 $cm^{-3} kg^{-1} m^{-1}$) during walking is four to eight times larger than in walking humans, but broadly in line with other quadrupeds, including dogs, quadrupedal chimpanzees, and elephants (17, 32).

We surmise that such modest muscle volume recruitment is a reflection of giraffes' walking kinematics and muscle architecture, given that V_{muscle} is proportional to muscle fascicle length (l_{fasc}) and inversely proportional to step length (Eq. 7) (31, 33).

A recent study of characteristic l_{fasc} (33), presented as the weighted harmonic mean from each individual limb muscle, affirmed earlier findings by Alexander et al. (31) that mammalian quadrupedal forelimb l_{fasc} scales with negative allometry with respect to body mass, as does the hindlimb. We found that characteristic l_{fasc} in the giraffe cadaver studied also falls within the allometric predictions set by this model, indicating that giraffes also have proportionately shorter muscle fascicles when compared with smaller species.

An intriguing notion is that short muscle working ranges (i.e., muscle fascicles) necessitate short muscle moment arms (and by extension low EMA) in order to preserve joint range of motion and rotational velocity—in effect, displacement advantage (34). Given that fascicle length is relatively shorter in large animals (12, 31), this may partly explain the observed size-dependent plateau in EMA as well as modest muscle volume recruitment.

Next, we consider how step length leads to modest muscle volume recruitment. A sample of quadrupeds ranging in body mass from 0.032 to 141 kg demonstrated a near-isometric scaling relationship (exponent of 0.30) between step length (at middle trotting speed) and body mass (35). When extrapolated to the body mass of the giraffe (780 kg), this model predicts the lower range of step lengths observed in the slow-walking giraffe (*SI Appendix*, Fig. S10). We note, however, that step length in giraffes at a speed comparable to “middle trotting” is likely to be longer than at a slow walk, as we observed a linear increase between walking speed and step length ($P = 0.006$), and data from running giraffes demonstrate further increases in step length (8) which exceed the isometric predictions (35). Considering the combination of relatively short muscle fascicle length and (at faster speeds) long step length in giraffes, we propose that potentially high muscle activation costs are instead moderated to levels consistent with smaller quadrupeds.

We were, however, unable to correlate active muscle volume with metabolic cost of transport in walking giraffes, as such data are unavailable. Considering that active muscle volume is correlated with metabolic costs in birds and mammalian quadrupeds and bipeds (17), we similarly expect that giraffes incur modest cost of transport at the slow walking speeds observed, and speculate that locomotor economy is an important factor in determining preferred speed.

Regardless of muscle activation, low EMA implies that giraffes must generate high muscle forces in order to counteract GRFs. Mammalian forelimb muscle physiological cross-sectional area (PCSA) scales with positive allometry with respect to whole limb muscle mass (exponent of 0.72, *SI Appendix*, Fig. S11) (33), with similar results for the whole hindlimb. Assuming that vertebrate skeletal muscle exerts maximal force in proportion with its PCSA, this scaling pattern suggests that limb muscles in larger quadrupeds have diminished force-generating capacity relative to body weight and GRFs (12). This model closely predicts the combined forelimb PCSA observed in the adult giraffe cadaver, thereby affirming that giraffes share this challenge. EMA also relates to mechanical stress of supportive tissues. The scaling of $EMA \propto BM^{0.26}$ in mammals from 0.03 to 300 kg BM, combined with $PCSA \propto BM^{0.80}$, suggests that supportive tissue stresses are nearly independent of body mass (13, 31). As a consequence, animals with below-expected EMA may risk higher skeletal and muscle stress, and catastrophic failure if no other changes are made to their locomotor dynamics.

The combination of potentially high muscle force demand, modest muscle volume recruitment, and modest PCSA raises a broader question—how do giraffes’ muscles generate the required

force to move? The above combination further suggests that giraffes may risk operating with low safety factors. In order to reduce the risk of tissue failure, moderate required peak muscle force, and preserve modest metabolic cost of transport, giraffes may be forced to constrain their athletic ability (11). Low EMA may in part explain giraffes’ limited capacity for speed (3, 8, 19), an observation which is consistent with recent theoretical models which predict speed constraints in large terrestrial animals (10, 36). Low EMA may be a contributing factor as to why giraffes do not gallop in a dynamically similar manner to other mammalian quadrupeds (8). To address this speculation, we would ideally compare kinetic data (e.g., GRFs, net joint moments) of galloping giraffes (i.e., approaching “maximal” performance) with other running quadrupeds, however such data are logistically challenging to collect. One future solution would be to combine anatomical data, kinematic data from galloping giraffes and the musculoskeletal model developed in the present study, to simulate such parameters. We reject the notion that giraffes’ extreme height disposes them to a “mechanical advantage” in locomotion (5), or that their long limbs facilitate fast speed locomotion (6). Instead, we find support for our prediction that extreme height and limb length in animals such as giraffids exceeding 300 kg results in increased GRF moment arms, and logically, reduced EMA.

EMA of Giraffid Species. EMA_{stat} from *Giraffa*, *Sivatherium*, and *Okapia*—three phenotypically distinct giraffids—were estimated, using statically posed skeletal models. We used this modeling method to predict how changes in limb segment lengths can alter EMA_{stat} of a limb, and as a consequence, drive changes in locomotor behavior. This simple model assessed the effect of segment length differences between species, and assumed constant limb lift-off and touch-down angles (and therefore constant GRF orientation) between species. Using experimental GRFs, we have found that EMA in giraffes is not sensitive to these early and late stance events, as EMA_{imp} and EMA_{40} were similar. We therefore considered the assumption of constant lift-off and touch-down kinematics to be valid in this situation. Our comparison of modeling approaches in determining muscle moment arms (r , *SI Appendix*, Fig. S5) showed that the method used to measure r from bone geometry tended to over-estimate, when compared with calculations of muscle paths in OpenSim. There was the potential for subsequent over-estimation of EMA in *Sivatherium* and *Okapia*, but to reduce bias, we repeated the methodology for *Giraffa* and therefore deemed the resulting comparisons to be valid.

At each joint, *Giraffa* consistently had the greatest absolute GRF moment arms (and lowest EMA_{stat}), contrasting with *Okapia* which had the smallest (Fig. 4A and *SI Appendix*, Fig. S8). When these moment arms were normalized to shoulder height, these differences disappeared. This is consistent with the assumption of geometrically similar GRF orientation between the three studied taxa, and implies that GRF moment arms should scale isometrically with shoulder height. If this assumption is experimentally confirmed for a phylogenetically diverse sample of cursorial mammals, tall animals will be subject to large GRF moment arms (Fig. 5); this offers an explanation as to why EMA diminishes in mammals exceeding horse size.

EMA is also dependent on muscle moment arm length. To test whether or not large (>300 kg) body mass is strictly associated with low EMA_{stat} , we modeled the muscle moment arms and GRF moment arms of *S. giganteum*. Despite sharing a similar body mass, and probably a similarly upright limb posture (Fig. 1B), mean EMA_{stat} was predicted to be two times greater

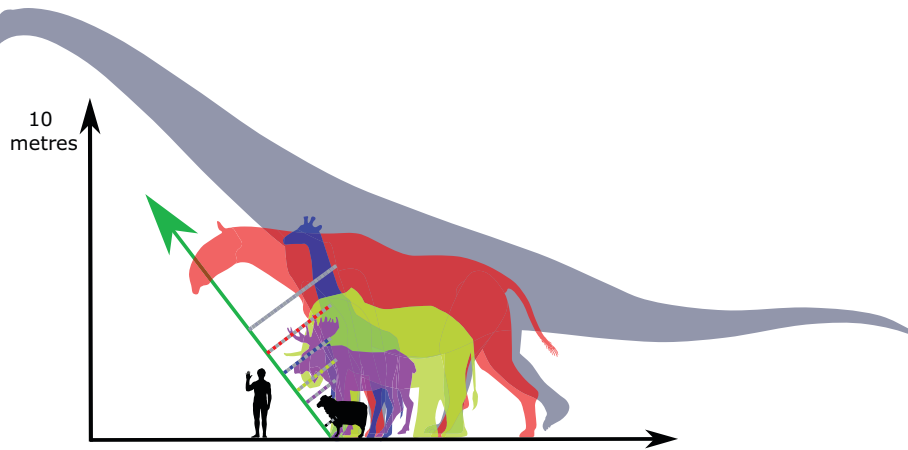


Fig. 5. At increasing limb length, and given consistent GRF orientation (green arrow) and limb posture, GRF moment arms (dotted lines) are predicted to increase, resulting in progressively reduced EMA. In ascending order of size: *Ovis aries*, *Alces alces*, *Elephas maximus*, *Giraffa camelopardalis*, *Paraceratherium transouralicum*, and *Patagotitan mayorum*. Image adapted with permission from work by Wikipedia artist Steveoc 86 and <https://www.freepik.com/macrovectors>.

in *Sivatherium*, compared with *Giraffa*. The source of this apparent difference laid both in the differences in GRF moment arm (Figs. 4A and 5) and *Sivatherium*'s relatively large “antigravity” muscle moment arms (Fig. 4B).

The robustness of the *Sivatherium* skeleton is exemplified by the olecranon process of the fused radioulna bone, which is a useful proxy for the magnitude of the elbow extensor muscles' moment arm. The “considerable” projection of the olecranon was noted in an early fossil description (37). The olecranon process of *Sivatherium* was indeed considerably longer than in *Giraffa* (SI Appendix, Table S1), by 0.07 m (an 80% difference in parasagittal length, despite similar body mass). Hence we speculate that *Sivatherium* was better equipped to offset the GRF moment arms encountered during the stance phase, than the more gracile *Giraffa*.

The presence of a long neck in giraffes (or conversely a short neck in *Sivatherium* and *Okapia*) could potentially affect limb EMA by influencing GRF orientation. Our previous study of giraffe neck kinematics during walking showed that the cyclical motion of the neck is decoupled from oscillations of the trunk (7), so we consider this possibility to be less likely. Moreover, we have not detected any unusual GRF orientations in giraffes compared with other large quadrupeds (7, 17, 38, 39). The addition and manipulation of a theoretical neck to a musculoskeletal simulation of the limbs and trunk would be a useful way to test this possibility.

We surmise that giraffes' extreme height has incurred a locomotor performance penalty, which may reflect their relatively modest athleticism (19). This complements the specializations in behavior and ecology seen in megaherbivores (40). For example, reduced predation in adult giraffes (41, 42) may relax the selection pressures for high performance traits, such as speed and endurance. Such relaxation of selection pressures may subsequently facilitate the expression of novel or extreme morphology.

Conclusions

We have highlighted that giraffes use lower-than-expected effective mechanical advantage, as their musculoskeletal morphology (such as the ulna's olecranon process) is insufficient to maintain the observed trend in EMA in animals up to 300 kg. Our results from an analysis of modeled GRF moment arms and muscle moment arms suggested that giraffes' EMA is similar to okapis, a giraffid with lower body mass and more plesiomorphic locomotor traits. Low EMA was not ubiquitous among

the giraffids, as *S. giganteum* was predicted to have greater EMA, but still low compared to smaller mammals, even horses. The differential EMA between *Sivatherium* and *Giraffa* may reflect behavioral or athletic differences between these two similarly sized giraffids, which more elaborate methods, such as simulations, could test. While giraffes' feeding ability is driven by extreme height, it appears that extreme cursoriality has come with a functional trade-off with locomotor performance.

Materials and Methods

Dynamic Musculoskeletal Modeling. A rigid-body giraffe musculoskeletal model was developed using the software package Software for Interactive Musculoskeletal Modeling (SIMM v6.0; MusculoGraphics Inc), as follows. The skeleton of a cadaveric forelimb from a captive bred 7-y-old skeletally mature male giraffe donated postmortem by a local zoo, with body mass 880 kg, was segmented from computed tomography (CT) images (2.5-mm slice thickness, 100 kV, 200 Ma, Lightspeed Pro-16 slice CT, GE Medical), and the resulting meshes were exported as .stl files using the software package Mimics (v19.0 Materialise). The digitized bones of the forelimb were then used to construct a model (Fig. 2B) consisting of five body segments (scapula, humerus, radioulna, metacarpus, and phalanges). Joint axes were assigned, and the limb segments were aligned into a neutral reference pose (all joints at 0° = vertically aligned) using the software Maya (2016, Autodesk). Joint axes were restricted to flexion and extension (i.e., hinge joints). Muscle paths were added in SIMM, following established methods (43–45), guided using muscle geometry derived from CT data and gross dissection of the cadaver. The origins of forelimb extrinsic muscles were guided by an additional dissection of a juvenile specimen (as cadaveric geometry for the adult neck and skull were unavailable). Thirty-one musculotendon actuators were included (SI Appendix, Table S4). The mass and center of mass (COM) of each segment (including soft tissues) were estimated with the methodology of (46) and (47), where the convex hull and subsequent mass parameters for each segment were calculated using the convex hull function of Meshlab version 2016.12 (48) and custom code written in MATLAB (The MathWorks). The geometry of the 880 kg giraffe model was isometrically scaled to the size of a 780 kg giraffe using OpenSim 3.3 (49), to match data from an experimental subject.

The calculation of EMA in Eq. 1 is derived from the notion that joint moments induced by a GRF must be balanced by an opposing and equal muscle moment, i.e.:

$$GRF \times R = Force_{muscle} \times r. \quad [2]$$

Rearranged, EMA can be expressed both in terms of moment arms and in terms of forces:

$$\frac{r}{R} = \frac{GRF}{Force_{muscle}}. \quad [3]$$

Forces can be considered over the duration of the stance phase by calculating impulses (force-time integrals). In this way, EMA can be expressed as:

$$EMA_{imp} = \frac{\int GRF dt}{\int Force_{muscle} dt} \quad [4]$$

Using the impulses (15, 17, 50) has the advantage that the entire stance duration can be considered, not just a single instant or the mean across a step. Overall limb EMA_{imp} was calculated as the mean of EMA_{imp} at each joint (12).

Experimentally derived GRF and kinematic data (7) were used to calculate EMA at each joint, throughout the stance phase. Briefly, three adult reticulated giraffes walked over a three-axis force platform, in front of a video camera (Video S5 at <https://doi.org/10.6084/m9.figshare.c.5396853>). Joint centers were visually estimated and digitized using DLTv6 (51). A total of 14 walking steps from one individual were selected from the larger dataset, with speed ranging from 0.8 to 1.2 ms^{-1} (0.04 to 0.08 Froude number). These were selected on the basis that the giraffe was not obscured by any foreground objects. This work was conducted with ethical approval (URN 2016 1538) from the Clinical Research Ethical Review Board of the Royal Veterinary College, University of London.

For each timestep, the magnitude of the resultant GRF was calculated from the three force components, as the square root of the sum of squared forces. GRF impulse was then calculated as the integral of force magnitude throughout the stance phase.

Forces (e.g., of muscles acting around a joint) can be estimated from moment and muscle moment arm (Eq. 3), assuming static equilibrium:

$$Force_{muscle} = \frac{moment}{r} \quad [5]$$

Total net moments acting at each joint were calculated using the inverse dynamics function in OpenSim 3.3 (49), where inertial (M_{inert}) and gravitational (M_{grav}) moments at the shoulder, elbow, carpus, and MCP were considered along with the moments required to generate ground reaction force (M_{GRF}) (15). The integral of total muscle force acting around each joint (i.e., $Force_{muscle}$ in Eq. 4) was calculated by dividing joint moments by the weighted mean muscle moment arm for muscles crossing that joint (Eq. 5 and see below). When a joint had variable action during stance (e.g., flexion followed by extension), force integrals for flexion and extension were separately calculated using their respective moment arm, and then summed to give total force.

The agonist muscle moment arm (r , Fig. 2A) for each joint was calculated as the mean moment arm of the muscles at the time of peak GRF, weighted by each muscle's contribution to total muscle physiological cross-sectional area (PCSA; see below), and with the numerical subscripts for r and PCSA below referring to each muscle's moment arm or PCSA. This assumed that all agonist muscles were similarly active (12, 15) (Eq. 6). We did not address the issue of cocontraction by antagonist muscle groups, as these forces were assumed to be nonsignificant with respect to total muscle force. This approach keeps our analysis maximally comparable to other studies of mammalian EMA vs. a more comprehensive dynamic simulation analysis

$$r = r_1 * \frac{PCSA_1}{PCSA_{total}} + r_2 * \frac{PCSA_2}{PCSA_{total}} + r_3 * \frac{PCSA_3}{PCSA_{total}} \dots \quad [6]$$

PCSA of muscles from the same 880 kg individual were measured using muscle architecture methods from muscle mass, pennation, and mean fascicle length (50). The extrinsic muscles of the adult forelimb were missing; the PCSAs of these muscles were estimated by isometrically scaling PCSA of the corresponding muscles from a subadult giraffe cadaver, with body mass 480 kg. Isometry was chosen as an assumption in the absence of other data, as the bones of the forelimb scale with or close to isometry in the postnatal giraffe (26). Modest allometry of these missing muscles would not be expected to influence our results or conclusions in a pronounced way.

While recent studies have used the above impulse method to calculate EMA (15, 17, 52), EMA from a varied range of mammalian species has been previously calculated as the mean ratio r/R , during the middle third of stance and at the trot-gallop transition (12). To facilitate comparisons between giraffes and other terrestrial mammals, EMA was additionally calculated in a more comparable manner. For each joint, following Biewener (12), r/R was calculated when $M_{GRF} > 40\%$ of maximum M_{GRF} , which approximately corresponds to the middle third of stance. A mean value of EMA at each joint was calculated from this sample, here referred to as EMA_{40} .

Giraffe forelimb EMA_{40} was compared with a compiled dataset of EMA from 12 other mammalian species (14). Data points from a logarithmic scatter

plot from this publication were digitized and replotted. The data were log-transformed, and a least squares regression model was used to calculate the 95% prediction interval for the EMA versus body mass relationship. Following prior studies and considering the modest sample size, potential biases incurred by phylogeny were not addressed. All data were analyzed using MATLAB.

EMA calculations are sensitive to the location of the center of pressure (COP). COP data derived from raw force plate outputs in giraffes were excluded from this analysis due to excessive signal noise. In our model, the COP was fixed at the distal tip of the third phalanx. Placing the COP at this location facilitates repeatability of the method with different model taxa, but experimental data from a variety of animals show that COP is dynamic during the stance phase; tending to track cranially from an initial caudal position at the heel (53–56). A sensitivity analysis was performed to assess the effect of COP location on EMA for one trial, where the COP was randomly displaced (using MATLAB) from the distal tip of the foot 100 times, to a maximum of 0.1 m (i.e., the length of the distal phalanges). EMA was then calculated in each case.

We estimated the mass-specific volume muscle activated per distance traveled for each of the trials (17, 32, 50), calculated as:

$$V_{musc} = \frac{1}{\sigma} \times \left(\frac{I_{fasc,shoulder}}{EMA_{shoulder}} + \frac{I_{fasc,elbow}}{EMA_{elbow}} + \frac{I_{fasc,carpus}}{EMA_{carpus}} + \frac{I_{fasc,MCP}}{EMA_{MCP}} \right) \times \frac{g}{L_{step}}, \quad [7]$$

where V_{musc} is in units $cm^{-3} kg^{-1} m^{-1}$, σ is assumed constant muscle stress (20 Ncm^{-2}), g is acceleration due to gravity ($9.81 ms^{-2}$), I_{fasc} values are the mean agonist muscle fascicle lengths (in cm) at each joint, weighted by each muscle's relative PCSA (similar to Eq. 6), EMA is derived from the ratio of GRF to muscle force (Eq. 4), and L_{step} is the horizontal distance traveled by the center of mass during the stance phase.

Static Musculoskeletal Modeling. We generated biomechanical models of the forelimb stance phase for the extinct *S. giganteum* and the extant *Okapia johnstoni* to estimate EMA in these taxa. We chose the simplified approach of modeling the limbs as rigid multisegmented structures. These models are termed "static" because the internal joint angles were fixed; not driven by experimental kinematic data as for *Giraffa*; although all three taxa studied were analyzed using lever mechanics. The static models were used to estimate the GRF and muscle moment arms throughout stance (Fig. 1B), during a modeled walking step (example animations available at ref. 29). The model for *Okapia* was derived from photogrammetry of a complete mounted skeleton (specimen USNM 399337, Smithsonian Institution, Washington, DC, USA), mounted in a standing posture. A three dimensional (3D) mesh was generated from 300 digital photographs of the specimen using Photoscan v1.4 (Agisoft) and Meshlab v2016 (48). The forelimb skeleton of *S. giganteum* was reconstructed from ten fossil specimens from the Natural History Museum, UK (*SI Appendix, Table S2*). 3D surface meshes were derived from photogrammetry of these specimens, and articulated into a reconstruction. It is likely that these postcranial specimens may be attributed to the same individual (37). The missing distal phalanx and proximal sesamoid bones were scaled from the same 880 kg giraffe (25).

Stance phase postures and all measurements were implemented in Maya. Midstance forelimb joint angles for the okapi (*SI Appendix, Table S3*) were derived from walking in healthy okapis (personal communication (57)). A reconstruction of the *Sivatherium* midstance posture required three joint angles to be assumed, for the elbow, carpus and MCP joints. The elbow angle was estimated by positioning the olecranon process of the radioulna perpendicular to the long axis of the humerus (58). The carpal joint angle was assumed to be fixed in a neutral position (0°) during stance. There is no tested method to predict MCP joint angle in extinct species using surface bone geometry. Thus, for the current purpose, we speculated that loading at the MCP joint, due to body weight, was similar in *Sivatherium* as it is in *Giraffa*, given their similar body masses (25). We therefore assigned the same internal MCP angle to *Sivatherium*, as for the midstance giraffe model.

To model limb joint kinematics during stance, each limb was modeled as a stiff inverted pendulum (59), whereby the rigid limb vaults over a pivot. The most distal extremity of the third phalanx was assumed to be the rotation point. The angular sweep of the forelimb about this point was modeled on the motion of the giraffe's shoulder through a walking stance phase. The unit vector of the shoulder position (from the toe) was measured at each timestep throughout stance, and imposed on the models of *Sivatherium* and *Okapi*. It was reasonable

to extrapolate *Giraffa* kinematics to closely related species, considering that giraffes walk in a dynamically similar fashion to other mammalian quadrupeds (7), and more specifically similar to other cetartiodactyls ranging in size from domestic sheep (*Ovis aries*) to giraffes (60).

Model GRF vectors were required for the extinct giraffid *Sivatherium* and for *Okapia*. *Giraffa*, as a closely related species, was used to model the GRFs of *Sivatherium* and *Okapia*. The validity of this approach was tested by comparing the GRF unit vectors of giraffes with other cetartiodactyl ungulates. During a steady state walking step, the unit GRF vector changes from positive (deceleration) to negative (acceleration). To assess whether the GRF vector is consistent among different mammalian cursorial taxa, the unit vectors of a giraffe were compared with two other ungulates whose phylogenetic relationships form a close bracket around the position of *Giraffa* (61). If a trait is conserved within this bracket (in this case a postural trait, supported by relatively conservative morphology), it can be assumed that all descendants of the root ancestor (including *Okapia* and *Sivatherium*) similarly share this character (62, 63). The unit vectors from the walking gait of red deer (*Cervus elephas*) and dromedary (*Camelus dromedarius*) were collected using the same force plate equipment (64) and compared with the stance phase unit vectors from the giraffe. Their GRF unit vectors showed a consistent pattern of change (SI Appendix, Fig. S7) and fall within the giraffe intertrial variation.

GRF moment arms (R) with respect to the shoulder, elbow, carpus, and MCP joint were calculated from the toe-joint vector (**a**) and GRF vector (**b**):

$$R = \sqrt{(|\mathbf{a}|)^2 - (\mathbf{a} \cdot \mathbf{b})^2}. \quad [8]$$

Muscle moment arms (r) were simplified to a single measurement of the flexor moment arm at the carpus and MCP joint, and extensor moment arms at the shoulder and elbow (SI Appendix, Fig. S8). EMA_{stat} was calculated as r/R (Eq. 3) at each percentage time step during stance. Only flexor muscle moment arms at the carpus and MCP joints were included in the analysis, as these account for the anti-gravity function throughout the stance phase. In the case of the shoulder and elbow, the flexor muscle moment arms depend on prior interpretations of

muscle origins and insertions (i.e., a musculoskeletal model) and were not included in this analysis (SI Appendix, Fig. S1). Adopting this approach permitted readily objective comparisons between specimens including the fossil giraffid. We then compared these simplified geometric measurements in the static *Giraffa* model with those derived from experimental inverse dynamics, to assess the validity of this approach.

This static modeling approach made the following assumptions that throughout the stance phase: (1) GRF unit vectors are the same in *Giraffa*, *Okapia*, and *Sivatherium*; (2) the toe-to-shoulder unit vectors are the same in *Giraffa*, *Okapia*, and *Sivatherium*; and (3) joint angles are constant throughout stance. These assumptions are static simplifications of an otherwise dynamic behavior. In order to assess the validity of the subsequent EMA calculations, an additional *Giraffa* static model was created using the same methodology. The static model's moment arms and EMA were compared with those derived from the experimental data (SI Appendix, Figs. S1, S4, and S5).

Data Availability. The giraffe musculoskeletal model, code and animations have been deposited into Figshare (29). The *Sivatherium giganteum* surface scans have been deposited into MorphoSource (66). Previously published data were used for this work (7).

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