


IFNL4 rs368234815 polymorphism does not predict risk of BK virus associated nephropathy after living-donor kidney transplant: A case-control study

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Abstract

Background: BK polyoma virus (BKPyV) associated nephropathy (BKPyVAN) is a major cause of kidney graft loss in renal transplant patients. Interferons (IFNs) are an important innate immune response against viral infections and genetic polymorphisms of the IFN-pathways can affect susceptibility and mortality during viral infection. Here, we investigated whether the dinucleotide polymorphism rs368234815 ($\Delta G/TT$) in the *IFNL4* gene contributed to BKPyV reactivation or BKPyVAN after living-donor kidney transplantation.

Methods: This retrospective case-control study determines the prevalence of *IFNL4* variants in a Caucasian population of living-donor kidney transplant recipients and donors and explores its association with BKPyV infection and BKPyVAN development. We included 28 recipients with BKPyV reactivation, 10 of which developed BKPyVAN and 30 BKPyV negative controls. Targeted sequencing of the *IFNL4* gene from both recipients and their respective donors was performed.

Results: We found *IFNL4* rs368234815 ΔG allele frequencies of 41.7% in BKPyV negative and 39.3% in BKPyV positive recipients ($P = .85$), and 41.7% and 40.4% ($P > .99$) in their respective donors. *IFNL4* rs368234815 ΔG allele frequencies in BKPyVAN developing recipients and their respective donors were 50% and 43.7% ($P = .60$ and $P > .99$).

Conclusions: Our results indicate that the *IFNL4* rs368234815 ΔG allele is not associated with BKPyV reactivation, nor the manifestation of BKPyVAN.

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KEYWORDS

allograft rejection, BK virus, IFNL4 polymorphisms, living-donor kidney transplant, polyomavirus associated nephropathy

1 | INTRODUCTION

BK polyoma virus (BKPyV), a non-enveloped double-stranded DNA virus of the polyomavirus family that was first described in 1971,¹ has emerged as one of the most challenging pathogens for kidney transplant recipients (KTR). BKPyV is usually acquired during childhood with more than 90% of adults being seropositive for BKPyV by the age of 23 years.² Following infection, a state of non-replicative asymptomatic infection termed “latency” is established in epithelial cells of the kidney and the urinary tract of most immune-competent hosts. Yet, urinary shedding of BKPyV without viremia can be detected in 7% of healthy blood donors.³ In contrast, the immunosuppression necessary after kidney transplant enables the virus to reactivate even further, thus leading to BKPyV replication and viremia in up to 60% of all KTR.^{4,5} BKPyV reactivation within the allograft can result in BKPyV associated nephropathy (BKPyVAN), which commonly occurs during the first year after transplantation in up to 10% of KTR. BKPyVAN can lead to a progressive decline in graft function, potentially resulting in allograft loss in up to 50% of those affected.⁶ Clinical management focuses on active surveillance for reactivation and a modification of immunosuppression in case of replication. The latter poses a substantial risk of acute rejection.

In healthy individuals viral control is achieved through the combined actions of the innate and adaptive immune system. Interferons (IFNs) are part of the early innate immune response against viral infections as they can induce a large group of more than 300 IFN-stimulated genes (ISG), which have potent anti-viral functions.⁷ IFNs are divided into three subfamilies according to their distinct receptor utilization, namely, type I (e.g., interferons alpha and beta [IFN- α/β]), type II (interferon gamma [IFN- γ]), and type III (interferon lambda [IFN- λ]). The type II interferon IFN- γ is the signature cytokine of type 1 immune responses and regulates innate and adaptive lymphocytes in their cytotoxic effector functions against intracellular pathogens and transformed cells. Both type I and type III IFNs are potent antiviral cytokines, which strongly act on non-hematopoietic cells and play a key role in innate immunity against viral infection.⁸ Type III interferons were the most recently discovered interferons and humans possess four *IFNL* genes (*IFNL1*, *IFNL2*, *IFNL3*, *IFNL4* encoding for IFN- $\lambda 1$, IFN- $\lambda 2$, IFN- $\lambda 3$ and IFN- $\lambda 4$, respectively). The heterodimeric IFN- λ receptor is preferentially expressed on epithelial cells, which explains the relevant and more specific anti-microbial role of type III interferons in mucosal organs.^{9,10} Although, type III interferons can be induced in hematopoietic and non-hematopoietic cells by viral infections or stimulation of Toll-like receptors (TLR),^{11–13} the most potent producers of *IFNLs* seem to be myeloid and plasmacytoid dendritic cells (DCs).¹⁴ Of note, the IFN- λ response in the kidney is restricted to epithelial cells of the renal tubules and the urinary epithelium, which, conspicuously, also provide the niche for BKPyV.⁹

Polymorphisms in *IFNL4*, including rs12979860 (C/T) and rs368234815 (Δ G/TT) (Figure 1A), were first described in association with spontaneous or treatment-induced clearance of hepatitis C virus (HCV).¹⁵ Rs368234815 (Δ G/TT) is a dinucleotide polymorphism (DNP) located in exon 1 of *IFNL4*, formed by the tightly linked single nucleotide polymorphisms (SNP) rs11322783 (Δ /T) and rs74597329 (T/G). The *IFNL4* rs368234815 Δ G allele forms an open reading frame in *IFNL4*, and carriers of *IFNL4* rs368234815 TT therefore do not express functional IFN- $\lambda 4$ ¹⁶ (Figure 1B). Intriguingly, patients who harbor a functional *IFNL4* gene (*IFNL4* rs368234815 Δ G) show reduced clearance of HCV leading to progression of liver inflammation and fibrosis.^{17,18} Mechanistically, current data indicates that hepatic expression of IFN- $\lambda 4$ (i.e., rs368234815 Δ G) impairs responsiveness towards further type I interferon stimulation,^{17,19} which prevents efficient viral clearance. In addition, *IFNL4* rs368234815 Δ G was identified in high linkage disequilibrium (LD) with the *IFNL4* rs12979860 T SNP (Figure 1B), which is particularly the case in individuals of European ($r^2 = .98$) and Asian ancestry ($r^2 = .99$). In line with that, *IFNL4* rs12979860 T is also associated with an impaired HCV clearance.¹⁵ Finally, studies in the hematopoietic stem cell and solid organ transplant setting have reported an association of CMV replication with the *IFNL4* rs368234815 Δ G as well as the rs12979860 T allele.^{20–22}

Currently, there are no reports that interrogate the association between BKPyV replication and *IFNL4* polymorphisms in KTR and their donors. With this study, we aim (i) to determine the prevalence of the different SNP variants of the *IFNL4* gene, and (ii) to evaluate the association of genetic *IFNL4* variants, with the incidence of BKPyV reactivation and BKPyVAN in a Caucasian population of KTR. Importantly, we analyzed the *IFNL4* SNPs in both, recipients and donors, as IFN- λ s can potentially be secreted by donor and recipient derived cells in the allograft. Considering the highly relevant findings for HCV clearance, we hypothesized that polymorphisms in the *IFNL4* region, especially the presence of the rs368234815 Δ G allele, which leads to the production of the IFN- $\lambda 4$ protein, might increase the risk of BKPyV replication and BKPyVAN. To this end, genotyping of SNPs might identify patients at risk allowing individualized treatment strategies to prevent BKPyV reactivation and progression to BKPyVAN.

2 | PATIENTS AND METHODS

2.1 | Study population, BKPyV and BKPyVAN screening

Between 2010 and 2017, 267 living-donor kidney transplants were performed at the University of Freiburg, Germany. Demographic, clinical and immunological data were recorded. The primary inclusion criterion was living-donor kidney transplantation in adults (≥ 18

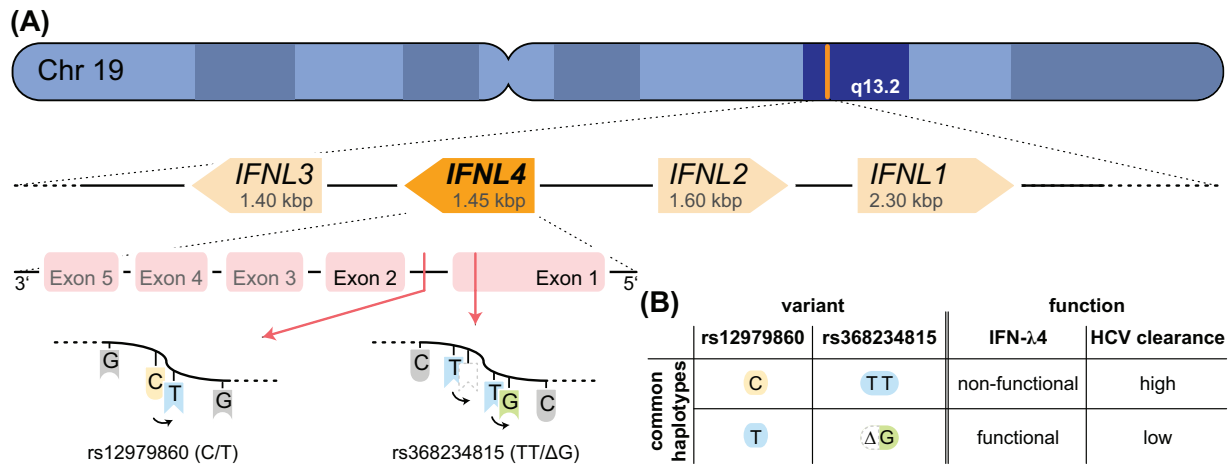


FIGURE 1 *IFNL4* locus, investigated SNP (A), linkage disequilibrium and functional relevance in HCV infection (B). (A) Schematic depiction of the *IFNL* locus on chromosome 19 and the *IFNL4* SNP investigated in this study. The rs12879860 (C/T) SNP lies in intron 1, the rs368234815 (Δ G/TT) DNP lies in exon 1. (B) Common haplotypes of functional variants of the *IFNL4* gene and their role in HCV infection. Note that rs12879860 T and rs368234815 Δ G are in strong LD, that is, inherited together, especially in subjects of Asian and Caucasian ancestry

years). Deceased and patients treated with an mTOR-inhibitor were excluded, since the use of the latter may be protective against BKPyV replication.²³ 240 patients were eligible for inclusion. Of these, 56 patients developed BKPyV replication (> 500 copies/ml). Informed consent and blood samples could be obtained in 28 cases. Together with their corresponding donors they were sub-grouped according to recipients' BKPyV status. 18 recipients did not develop BKPyVAN (group 1), 10 recipients presented with biopsy-proven (immunohistochemically SV-40 positive) BKPyVAN (group 2). The follow-up period of recipients that developed BKPyV viremia was 66 months (IQR 47–77 months) after transplantation (Table 1).

As a control group, 30 BKPyV negative KTR and their corresponding donors were selected (group 0). Controls were matched according to confounding factors (age, sex, pre-existing renal disease, immunosuppression, CMV status and HLA matching). These patients were eligible for inclusion if they did not develop BKPyV viremia within at least 36 months of follow-up after transplantation. Overall follow-up period for this group was 88 months (IQR 70–102 months) (Table 1). Altogether, 58 recipients and 56 corresponding donors could be included in this retrospective case-control-study (Figure 2).

Screening for BKPyV replication in KTR was performed according to the 2009 KDIGO guideline.²⁴ In addition, patients' serum was tested for BKPyV replication once allograft dysfunction was detected. Samples were analyzed by quantitative real-time polymerase chain reaction (qPCR) using the AltoStar BKPyV PCR Kit 1.5. (Altona Diagnostics). BKPyV reactivation was defined as viremia when qPCR detected BKPyV DNA with more than 500 copies/ml.

BKPyVAN was diagnosed if found in at least one kidney biopsy specimen judged positive by an experienced renal pathologist.²⁵ Kidney biopsies were performed only upon clinical indication, that is, graft dysfunction or high levels of BKPyV replication.

Standard immunosuppression consisted of an induction with high-dose steroids and basiliximab and a maintenance therapy with

tacrolimus in combination with low-dose steroids and an antiproliferative drug (mycophenolic acid). In patients with elevated panel-reactive antibodies (PRA>50%) or patients that received a second graft, antithymocyte globulin was used instead of basiliximab. In case of BK viremia > 3.7 log₁₀ copies/ml mycophenolic acid was reduced from 1 g bid to .5 g bid. If BK viremia increased further after a 4-week follow-up, mycophenolic acid was halted with a concurrent increase in steroids and a reduction of tacrolimus trough levels to 4–6 ng/ml (Table 1).

Informed consent was obtained from all patients in accordance with the Declaration of Helsinki. The study was approved by the local ethics committee (20-1202).

2.2 | PCR and Sanger sequencing

Historical blood samples were used for genotyping. Genomic DNA was extracted using a QIAamp Blood DNA Midi Kit (QIAGEN, Venlo, Netherlands). DNA was quantified using NanoDrop Microvolume Spectrophotometer (Thermo Fisher Scientific Inc., Waltham, MA, USA). DNA was PCR-amplified with Taq DNA Polymerase (VWR Life science, Radnor, PA, USA) using primer pairs for *IFNL4* (FW 5'-CCTCTCTTTGGCTCCCTGAC-3' and REV 5'-CCAGCAGCTCCAGGATCG-3') and 20–100 ng of DNA in a total volume of 20 μ l. The amplification mixture consisted of water, 10 mM Tris-HCl, pH 8.5, 50 mM KCl, .75 mM MgCl₂, .0625 mM of deoxynucleoside triphosphates, 10 μ M of each primer and 1 U of Taq DNA polymerase (QIAGEN). All reactions were performed with the following cycling parameters: initial denaturation cycle (95°C for 5 min) followed by 35 amplification cycles (95°C for 15 s; 64°C for 30 s; 72°C for 50 s), followed by a final extension step (72°C for 10 min). PCR-amplified fragments were sequenced by Sanger sequencing using GATC Eurofins Genomics Germany GmbH services, Ebersberg,

TABLE 1 Baseline characteristics of transplant recipients and donors

	All patients n = 58	BKPyV negative n = 30	BKPyV positive n = 28	P
Recipients				
age, years ^a	50 (42–57)	50 (40–56)	52 (41–61)	.465 ^c
female	22 (38)	14 (47)	8 (29)	.184 ^d
time after transplant, months ^a	76 (58–94)	88 (70–102)	66 (47–77)	.002 ^c
autoimmune renal disease	29 (50)	12 (40)	17 (61)	.189 ^d
HLA I mismatches, mean ^b	2.24 (1.06)	2.10 (1.19)	2.39 (.92)	.299 ^e
HLA II mismatches, mean ^b	1.17 (.68)	1.27 (.64)	1.07 (.72)	.270 ^e
ABO incompatible	18 (31)	11 (37)	7 (25)	.402 ^d
high dose steroid bolus ^f	17 (29)	6 (20)	11 (39)	.151 ^d
ATG application	4 (7)	2 (7)	2 (7)	>.999 ^d
BKPyV				
time till viremia, months ^a			3.50 (3.0–5.8)	
change of immunosuppression			25 (89)	
BKPyVAN			10 (36)	
graft loss due to BKPyVAN			4 (14)	
CMV				
viremia	6 (10)	4 (13)	2 (7)	.671 ^d
high risk status (D+/R-)	8 (14)	4 (13)	4 (14)	>.999 ^d
Matched donors				
median age, years ^a	53 (47–59)	53 (47–59)	52 (46–60)	.719 ^c
female	38 (66)	21 (70)	17 (61)	.582 ^d

Data generally reported as counts (% of recipients per column) unless indicated otherwise.

^a Data reported as median (IQR).

^b Data reported as mean values (SD).

^c P-values were calculated using Mann-Whitney test.

^d P-values were calculated using Fisher's exact test.

^e P-values were calculated using Student's t test.

^f Administration in case of rejection.

Germany. The sequencing chromatogram was analyzed applying ApE software (ApE, A plasmid Editor – V 2.0.61).²⁶

2.3 | Statistical analysis

2.3.1 | Baseline characteristics and DNP/SNP distributions

Patients were stratified into three subgroups: biopsy proven BKPyVAN (group 2), BKPyV viremia without BKPyVAN (group 1), and BKPyV negative control group (group 0). If not otherwise indicated, data were expressed as counts (%), median (IQR) or mean (SD).

Baseline characteristics of recipients and donors were compared according to BKPyV status (group 0 vs. group 1+2) using the nonparametric Mann-Whitney test (median age), Fisher's exact test (frequency distributions) or the unpaired student's t test (HLA-mismatches). Due to a log-normal distribution, viral loads within the BKPyV positive

subgroups (group 1 vs. 2) were compared after logarithmic transformation using the unpaired student's t test and presented as means with corresponding 95% confidence intervals.

Analysis of allelic variants as risk factors of BKPyV disease was performed individually for recipients and donors. In the first step, all patients with BKPyV viremia (group 1+2) were analyzed together and compared to BKPyV negative patients (group 0). In a second step, only BKPyVAN patients (group 2) were compared to BKPyV negative patients (group 0). Analysis was performed in three different models, an allele based, a genotype based, and a ΔG -dominant model. Allele frequencies were obtained by counting of individual alleles. Distribution of allelic variants within the different subgroups was evaluated by Fisher's exact test (comparison of two groups) or the chi-square test (comparison of three groups).

All statistical analyses were performed using GraphPad Prism V8.4.0 software (GraphPad Software, San Diego, CA, USA). A two-sided α of less than .05 was considered statistically significant.

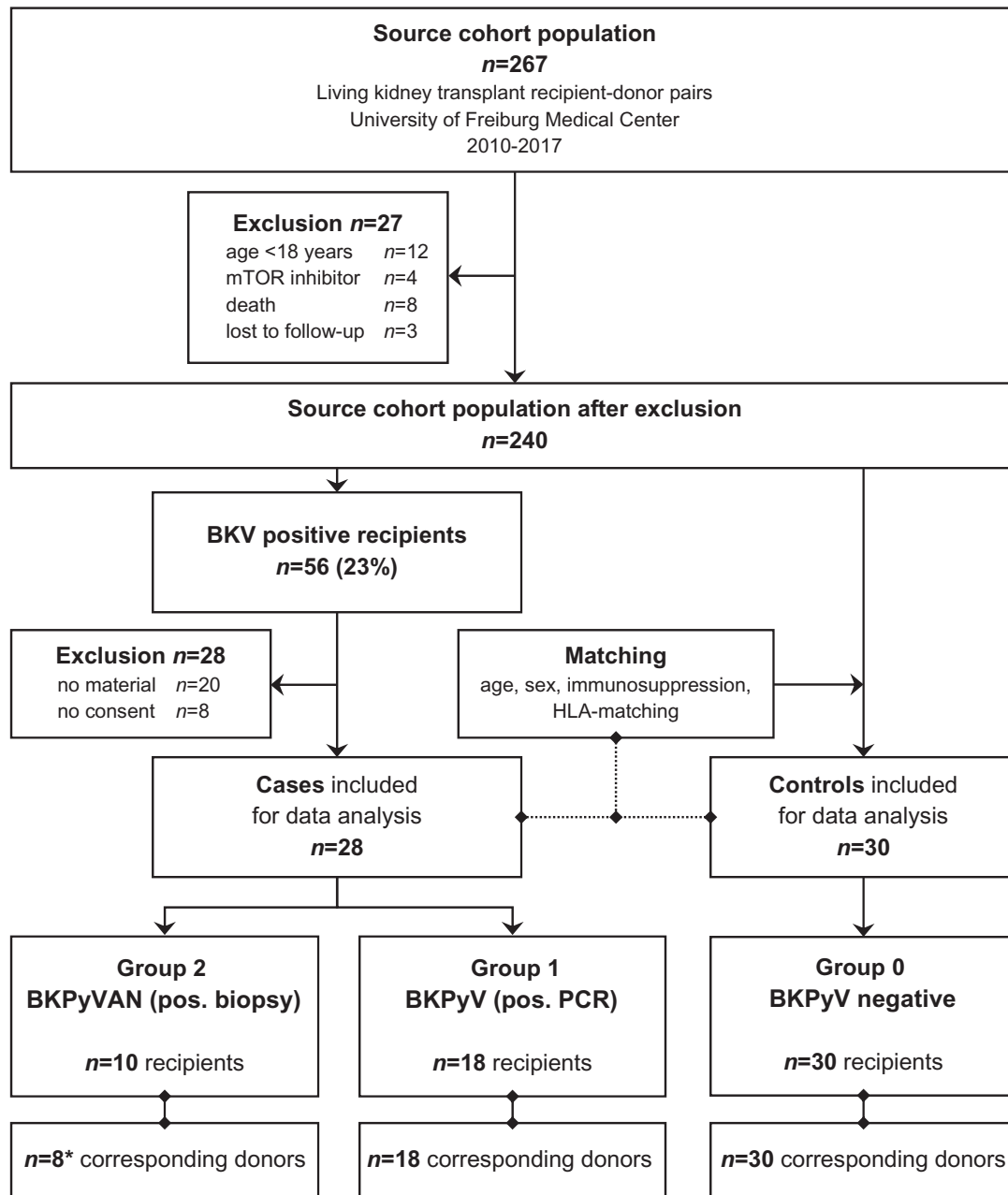


FIGURE 2 Retrospective cohort study design and study flow chart. Flow chart depicts study group selection and study group allocation of living-donor kidney transplant recipient-donor pairs. Patients were selected from the Living Kidney Donor Program, University of Freiburg Medical Center, 2010–2018. * Two donor samples of study group 2 could not be retrieved

2.3.2 | Linkage disequilibrium

Linkage disequilibrium (LD) depicts the non-random association of genetic marker alleles. Pairwise LD between the genetic *IFNL4* rs368234815 ($\Delta G/TT$) DNP and *IFNL4* rs12979860 (C/T) SNP polymorphisms was estimated using the LD-function of the Population Genetics R package version 1.3.8.1.2 in R statistical computing environment.^{27,28} Since Sanger sequencing supplies unphased genotypes, the LD-function uses maximum likelihood estimation to compute the haplotype frequencies prior to estimating the LD. To compare and

verify the obtained LD of our study cohort with a reference population, LD between rs11322783 (Δ/T) SNP (one position of the rs368234815 DNP) and rs12979860 (C/T) SNP was estimated using the LDpair module of the LDlinkR suite and data obtained from a European population included in the 1000 Genomes Project (Phase 3; Version 5).^{29,30} SNP nomenclature is based on The Single Nucleotide Polymorphism Database (dbSNP) build 151. The standardized estimators of LD, D' and r^2 and corresponding goodness-of-fit statistics (χ^2 and P -value) are reported. A two-sided α of less than .05 was considered statistically significant.

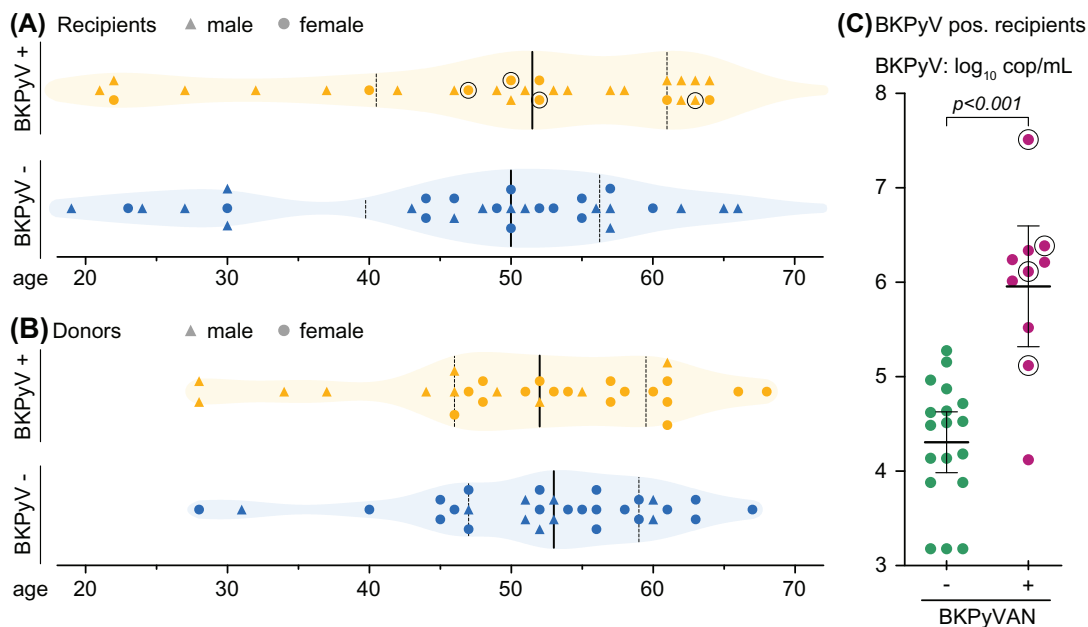


FIGURE 3 Age and sex distribution of recipient/donor cohorts (A/B) and BKPvV load (C). (A) Age and sex distribution of the transplant recipient cohort ($n = 58$) according to recipients BKPvV status. Blue: BKPvV negative (■), Orange: BKPvV positive (■) (B) Age and sex distribution of the donor cohort ($n = 58$) according to matched recipients BKPvV status. Male individuals are depicted with triangles (▲), female individuals with circles (●). Median ages of respective cohorts and interquartile ranges are indicated. (C) Maximal viral load of BKPvV positive transplant recipients, BKPvV copies drawn on logarithmic-scale. Patients with BKPvVAN are depicted with purple circles (●), patients without BKPvVAN are depicted with green (●) circles. Additional circles (O) in A/C mark patients that lost their graft due to BKPvVAN. P -value was calculated using student's t test on log-transformed viral titers. Whiskers depict geometric mean and 95% CI

3 | RESULTS

3.1 | BK disease manifestation

From 2010 to 2017, 267 living-donor kidney transplantation were performed at the University hospital of Freiburg, Germany. 240 were eligible for screening: 56 (23.3%) patients developed BKPvV viremia, of these 28 cases could be included by obtaining informed consent and material. This group consisted of 18 recipients who showed viral replication without organ disease (group 1) and ten recipients who developed biopsy-proven BKPvVAN (group 2). 30 matched, persistently BKPvV viremia negative recipients, were selected as controls (group 0). In total, we analyzed 58 KTR and their corresponding 56 donors (two donor samples could not be provided) (Figure 2).

Table 1 depicts baseline characteristics, HLA-matching, immunosuppression, and CMV risk constellation of transplant recipients and donors in respect to recipients BKPvV status. Before diagnosis of BKPvV viremia all patients received a maintenance triple immunosuppression (tacrolimus, mycophenolate mofetil, and low dose steroids). 25 patients with high BKPvV viremia ($> 3.7 \log_{10}$ copies/ml) required a reduction of immunosuppression, four of whom eventually lost their graft due to BKPvVAN. The median age of donors was 53 years, 66% were women. The median age at transplantation of recipients was 50 years, 38% were women. Validating our matching strategy, gender and age distribution of recipients and donors was not correlated with BKPvV status after transplantation (Figure 3A and B). Furthermore,

there were no significant differences concerning HLA mismatches, induction treatment with antithymocyte globulin or application of intravenous steroids for acute cellular rejections between BKPvV positive and negative recipients. Neither CMV reactivation nor CMV serostatus showed a significant association with BKPvV replication (Table 1).

In accordance with previous reports, patients who developed BKPvVAN showed significantly higher levels of BKPvV viremia ($5.94 \log_{10}$ copies/ml; 95% CI $5.30; 6.58$) than those who did not develop BKPvVAN ($4.30 \log_{10}$ copies/ml; 95% CI $3.98; 4.62$) (Figure 3C).³¹

3.2 | Linkage disequilibrium

Due to their close chromosomal proximity and potential evolutionary advantage many of the polymorphisms of the *IFNL4* locus exist in high LD, which makes it difficult to single out the protective functions of individual SNPs. For example, it has been shown in patients with HCV infection that the favorable *IFNL4* rs12979860 C allele is in strong LD with the favorable *IFNL4* rs368234815 TT allele, which results in a frameshift mutation and disrupts IFN- $\lambda 4$ expression. Hence, we analyzed the distribution of the *IFNL4* rs368234815 ($\Delta G/TT$) DNP and *IFNL4* rs12979860 (C/T) SNP genotypes of our cohort (recipients and donors; $n = 114$) and calculated the LD of these polymorphisms. In line with previous reports, we were able to demonstrate a strong LD ($D' = .98, r^2 = .95$) in our cohort between the rs368234815 ΔG

TABLE 2 Linkage disequilibrium between rs368234815 (Δ G/TT) DNP and rs12979860 (C/T) SNP

(A). <i>IFNL4</i> genotypes in study population			(B). Pairwise LD estimation			
		<i>IFNL4</i> rs12979860 SNP			LD statistics	
		C/C	C/T	T/T	<i>n</i> = 114	
<i>IFNL4</i>	Δ G/ Δ G	0 (0)	0 (0)	16 (14)	D' = .981	χ^2 = 215.692
rs368234815	Δ G/TT	1 (1)	60 (53)	0 (0)	r^2 = .946	P < .0001
DNP	TT/TT	35 (30)	2 (2)	0		

Data reported as genotype counts (%) in total study population (recipients and donors). Pairwise LD estimation calculated using Population Genetics R package.

TABLE 3 Linkage disequilibrium between rs11322783 (Δ /T) SNP and rs12979860 (C/T) SNP in a European reference population published in the 1000 Genomes Project

(A). <i>IFNL4</i> haplotypes in European population			(B). Pairwise LD estimation			
		<i>IFNL4</i> rs12979860 SNP		LD statistics		
		C	T	<i>n</i> = 1006		
<i>IFNL4</i>	Δ	3 (0)	310 (31)	D' = .995	χ^2 = 987.413	
rs11322783 SNP	T	692 (69)	1 (0)	r^2 = .982	P < .0001	

Data reported as haplotype counts (%) in European reference population (1000 Genomes Project). Pairwise LD estimation calculated using LDlinkR R package. Note that rs11322783 (Δ /T) SNP refers to the first position of rs368234815 (Δ G/TT) DNP.

and rs12979860 T, as well as the rs368234815 TT and rs12979860 C haplotypes, respectively (Table 2).

Our results are also representative of a wider European population. Comparable results are obtained, estimating LD of these polymorphisms from sequencing data of the 1000 Genome Project (Table 3).³⁰

3.3 | Association between *IFNL4* rs368234815 (Δ G/TT) DNP and BKPyV disease

Next we compared the prevalence of the rs368234815 (Δ G/TT) DNP genotypes in recipients and donors depending on recipients' BKPyV disease (BKPyV negative: group 0 vs. BKPyV positive: groups 1+2). The allele and genotype frequencies among recipients and donors are summarized in Tables 4 and 5. The *IFNL4* rs368234815 Δ G allele frequency in recipients and donors was 40.5% and 41.1%, respectively.

The prevalence of BKPyV disease showed no association with *IFNL4* rs368234815 Δ G allele frequency, neither with recipients (P = .85) nor donors (P > .99). Likewise, analyses stratified according to the rs368234815 genotypes (Δ G/ Δ G, Δ G/TT, and TT/TT) showed no significant differences between the BKPyV negative and the BKPyV positive group (P = .77 in recipients and P = .8 in donors). To further evaluate a possible Δ G dominant effect, we also applied a Δ G-dominant model, in which the *IFNL4* rs368234815 Δ G/ Δ G and Δ G/TT genotypes are grouped together and compared to the *IFNL4* rs368234815 TT/TT genotype. Again, no significant differences between the groups could be detected. The proportion of recipients (Table 4) with the *IFNL4* rs368234815 Δ G/ Δ G or Δ G/TT genotypes were nearly identical

(P > .99) in the BKPyV negative (group 0; 20/30 = 66.7%) and the BKPyV positive group (group 1+2; 19/28 = 67.9%). Similar results were seen for the respective donor polymorphisms (Table 5). Here, the proportion of donors (Table 5) with the *IFNL4* rs368234815 Δ G/ Δ G or Δ G/TT genotypes in the BKPyV negative (group 0; 20/30 = 66.7%) and the BKPyV positive group (group 1+2; 18/26 = 69.2%) also showed no significant differences (P > .99).

3.4 | Association between *IFNL4* rs368234815 (Δ G/TT) DNP and BKPyVAN

Finally, to exclude potentially confounding results from recipients with BKPyV replication not developing BKPyVAN (group 1), we restricted the analysis to recipients with a biopsy proven BKPyVAN (group 2) and compared them to recipients without any reactivation of the virus (group 0). No significant results could be identified, neither in recipients (Table 4) nor donors (Table 5). Thus, the data provide no evidence that the *IFNL4* rs368234815 (Δ G/TT) DNP, on its own, affects susceptibility to BKPyV replication or nephropathy.

4 | DISCUSSION

In this case-control-study of 58 kidney transplant recipient-donor pairs, no associations were observed between *IFNL4* rs368234815 (Δ G/TT) DNP and the occurrence of both, BKPyV replication and BKPyVAN. Hence, it does not appear that the IFN- λ 4 protein, which is

TABLE 4 Allele and genotype frequencies of *IFNL4* rs368234815 ($\Delta G/TT$) DNP in recipients

Recipients	All recipients <i>n</i> = 58	BKPyV negative Group 0 <i>n</i> = 30	BKPyV positive		<i>p</i> ₁ (<i>OR</i> ₁) <i>p</i> ₂ (<i>OR</i> ₂)
			BKPyV Group 1+2 <i>n</i> = 28	BKPyVAN Group 2 <i>n</i> = 10	
Allele model^a					
ΔG	47 (40.5)	25 (41.7)	22 (39.3)	10 (50.0)	.85 (1.10) ^b
TT	69 (59.5)	35 (58.3)	34 (60.7)	10 (50.0)	.61 (.71) ^b
Genotype model					
$\Delta G/\Delta G$	8 (13.8)	5 (16.7)	3 (10.7)	2 (20.0)	.77 ^c
$\Delta G/TT$	31 (53.4)	15 (50.0)	16 (57.1)	6 (60.0)	.73 ^c
TT/TT	19 (32.8)	10 (33.3)	9 (32.1)	2 (20.0)	
ΔG dominant model					
$\Delta G/\Delta G + \Delta G/TT$	39 (67.2)	20 (66.7)	19 (67.9)	8 (80.0)	>.99 (.95) ^b
TT/TT	19 (32.8)	10 (33.3)	9 (32.1)	2 (20.0)	.69 (.50) ^b

Data reported as counts (% of all recipients).

^a Totals of allele model *n*=116 / *n*=60 / *n*=56 / *n*=20.

^b *P*-values were calculated using Fisher's exact test: *p*₁(*OR*₁): group 0 vs group 1+2; *p*₂(*OR*₂): group 0 vs group 2.

^c *P*-values were calculated using Chi-square test: *p*₁(*OR*₁): group 0 vs group 1+2; *p*₂(*OR*₂): group 0 vs group 2.

TABLE 5 Allele and genotype frequencies of *IFNL4* rs368234815 ($\Delta G/TT$) DNP in donors

Donors	All recipients <i>n</i> = 56	BKPyV negative Group 0 <i>n</i> = 30	BKPyV positive		<i>p</i> ₁ (<i>OR</i> ₁) <i>p</i> ₂ (<i>OR</i> ₂)
			BKPyV Group 1+2 <i>n</i> = 26	BKPyVAN Group 2 <i>n</i> = 8	
Allele model^a					
ΔG	46 (41.1)	25 (41.7)	21 (40.4)	7 (43.7)	>.99 (1.05) ^b
TT	66 (58.9)	35 (58.3)	31 (59.6)	9 (56.3)	>.99 (.92) ^b
Genotype model					
$\Delta G/\Delta G$	8 (14.3)	5 (16.7)	3 (11.5)	2 (25.0)	.80 ^c
$\Delta G/TT$	30 (53.6)	15 (50.0)	15 (57.7)	3 (37.5)	.79 ^c
TT/TT	18 (32.1)	10 (33.3)	8 (30.8)	3 (37.5)	
ΔG dominant model					
$\Delta G/\Delta G + \Delta G/TT$	38 (67.9)	20 (66.7)	18 (69.2)	5 (62.5)	>.99 (.89) ^b
TT/TT	18 (32.1)	10 (33.3)	8 (30.8)	3 (37.5)	>.99 (1.20) ^b

Data reported as counts (% of all donors).

^a Totals of allele model *n*=112 / *n*=60 / *n*=52 / *n*=16.

^b *P*-values were calculated using Fisher's exact test: *p*₁(*OR*₁): group 0 vs group 1+2; *p*₂(*OR*₂): group 0 versus group 2.

^c *P*-values were calculated using Chi-square test: *p*₁(*OR*₁): group 0 vs group 1+2; *p*₂(*OR*₂): group 0 versus group 2.

generated in subjects carrying the *IFNL4* rs368234815 ΔG allele, influences susceptibility to BKPyV.

The investigation of *IFNL4* genetic variants and BKV in the living organ transplant setting, regarding both recipients and donors, is a strength of the study and of potential relevance as the allograft will harbor hematopoietic cells of the donor and the recipient, the latter migrating into the allograft early after transplantation. In this setting, myeloid cells that contribute to allograft recognition might be potent

producers of IFN- $\lambda 4$.¹⁴ Thus, it is likely that the recurrence of BKPyV is not only influenced by the recipient's but also by the donor's genetic characteristics. While we cannot provide a mechanistic link between IFN- $\lambda 4$ and BKV, we do shed light on the local phenomena by including donor data.

A strength of this case-control-study is the homogeneity of the cohort in terms of ethnicity, age and gender. Furthermore, we have focused on living-donor organ transplantation, thus avoiding

potential bias created by the differing transplantation procedure with longer ischemia times, a different inflammatory milieu and higher baseline risk for BKPyV in the *post mortem* setting. Additionally, the immunological risk profile of our BKPyV positive and BKPyV negative groups is comparable, as there were no significant differences in HLA mismatches, rejection treatment and history of CMV (Table 1).

One of the major limitations is the small sample size, especially in the BKPyVAN group, which limits the statistical power. Nevertheless our groups are representative, since allele frequency of *IFNL4* rs368234815 Δ G in our study (40.5% in recipients and 41% in donors) is similar to that reported in the European population of the 1000 Genome Project (31%).³⁰ Furthermore, previous studies have reported that LD between *IFNL4* rs368234815 Δ G (leading to IFN- λ 4 expression), and the *IFNL4* rs12979860 T allele is very high for Asians ($r^2 = .99$) and Europeans ($r^2 = .98$), which means that in these ethnic groups, the Δ G and T alleles are almost always inherited together.¹⁶ In contrast, LD is much weaker in Africans ($r^2 = .83$).³² Our findings with a LD of $r^2 = .95$ in this transplant cohort are therefore in accordance with these previous reports and we can deduce that the *IFNL4* rs12979860 T allele does not correlate with the occurrence of BK viremia after transplantation.

The retrospective design of our study may also be considered a limitation; however, we were able to obtain accurate data owing to the fact that all the kidney transplanted patients were regularly followed up at our center and have a complete series of medical reports. Unfortunately, due the unavailability of blood and urine samples from the time of diagnosis we were not able to determine the expression and concentration of *IFNL4* and IFN- λ 4 protein, which could therefore not be correlated with the respective polymorphisms.

Polymorphisms in *IFNL4*, including rs368234815 (Δ G/TT), were first described in association with spontaneous or treatment-induced clearance of HCV.¹⁵ Yet, the role of *IFNL4* polymorphisms is still controversial for other viruses, including (HBV, HSV, HPV, CMV). Intriguingly, the *IFNL4* locus underwent a strong evolutionary selection against the IFN- λ 4 protein-generating rs368234815 Δ G allele after the migration out of Africa 60 000 years ago. As a result 91.8% of Asians, 68.8% of Europeans and only 29.2% Africans express *IFNL4* rs368234815 TT and do not produce any IFN- λ 4.³³ It is yet unknown what the driving force for this selection could have been, as HCV is an unlikely candidate for this process. HCV belongs to a group of RNA viruses, which also includes, zoonotic viruses like influenza A, ebolavirus, MERS-CoV, SARS-CoV-1, SARS-CoV-2, Zika virus and Chikungunya virus. In contrast, BKPyV is a DNA virus. Viral RNA and DNA are recognized by different pattern recognition receptors, for example, toll like receptors 3, 7, 8 and 9, which may rely on different interferons for an effective antiviral response. Future studies in the transplant setting should therefore evaluate the role of the *IFNL4* rs368234815 (Δ G/TT) DNP in the course of other infectious agents, including and comparing RNA and DNA viruses.

While this study provides evidence that the *IFNL4* rs368234815 Δ G alone plays no role in BKPyVAN, it does raise further interesting questions. Given that the minor *IFNL4* rs368234815 Δ G allele is common (allele frequency .31 in the European population of the 1000 Genome

Project),³⁰ we speculate that this genetic variant might require the presence of other factors to promote BK manifestation. Amongst other things, it should be considered that a combination of SNPs in the same region might jointly determine the risk for BKPyV replication. In particular, it would be important to evaluate to which extent the functionality of the produced IFN- λ 4 protein plays a role in this complex interplay between innate immune response and BKPyV replication.

In conclusion, the presented data does not suggest an *IFNL4* associated predisposition for BKPyV disease. Thus, there is an ongoing need to identify genetic risk factors, which will enable physicians to determine which patients are at risk of BKPyVAN and allow new preventive measures and patient-tailored immunosuppression.

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CONFLICT OF INTEREST

The authors declare no conflicts of interest.

AUTHOR CONTRIBUTIONS

Ursula Tanriver, Frederic Arnold, and Yakup Tanriver conceived the study and its design, had full access to the patients' records, and take responsibility for the accuracy and integrity of the presented data. Ursula Tanriver screened the electronic patient records, organized the clinical data, performed DNA isolation and amplification, and curated the sequencing results. Jonas Florian Hummel supported DNA isolation and amplification. Florian Emmerich provided patients' samples. Marcus Panning provided routine virological data. Frederic Arnold performed the statistical data analysis, LD analysis and generated the figures. Bernd Jänigen, Frederic Arnold, and Yakup Tanriver were involved in the clinical management of the patients. Ursula Tanriver, Frederic Arnold, and Yakup Tanriver drafted the manuscript. All authors critically revised the drafted manuscript and approved of the submission. FA and Yakup Tanriver equally contributed as shared senior authors.

DATA AVAILABILITY STATEMENT

The datasets analyzed in this study and further information on used reagents and techniques, beyond their description in the materials and methods section are available from the corresponding author on reasonable request

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