Contents lists available at ScienceDirect

Interventional Pain Medicine

# ELSEVIER



journal homepage: www.journals.elsevier.com/interventional-pain-medicine

# The effectiveness of cervical transforaminal epidural steroid injections for the treatment of cervical radicular pain: A prospective cohort study reporting 12-month outcomes



Aaron M. Conger<sup>a,\*</sup>, Dustin J. Randall<sup>b</sup>, Beau P. Sperry<sup>c</sup>, Keith T. Kuo<sup>d</sup>, Russell Petersen<sup>e</sup>, A. Michael Henrie<sup>a</sup>, Richard W. Kendall<sup>a</sup>, Erica F. Bisson<sup>f</sup>, Masaru Teramoto<sup>a</sup>, Brook I. Martin<sup>g</sup>, Taylor R. Burnham<sup>a</sup>, Zachary L. McCormick<sup>a</sup>

<sup>a</sup> Department of Physical Medicine and Rehabilitation, University of Utah, Salt Lake City, UT, USA

<sup>b</sup> Department of Physical Medicine and Rehabilitation, Stanford University, Stanford, CA, USA

<sup>c</sup> David Geffen School of Medicine at UCLA, Los Angeles, CA, USA

<sup>d</sup> University of Utah School of Medicine, Salt Lake City, UT, USA

<sup>e</sup> Alabama College of Osteopathic Medicine, Dothan, AL, USA

f Department of Neurosurgery, University of Utah School of Medicine, Salt Lake City, UT, USA

<sup>g</sup> Department of Orthopedics, University of Utah, Salt Lake City, UT, USA

ARTICLE INFO

#### Keywords: Cervical radiculopathy Transforaminal Epidural Pain Injection

# ABSTRACT

*Objectives*: To evaluate the effectiveness of cervical transforaminal epidural steroid injection (CTFESI) for the treatment of unilateral cervical radicular pain.

Design: Single-group prospective cohort study.

*Methods*: Outcomes included  $\geq$ 50% reductions in Numeric Rating Scale (NRS) for arm pain,  $\geq$ 30% Neck Disability Index (NDI-5) improvement, health-related quality of life (EQ-5D), global improvement (PGIC), personal goal achievement (COMBI), Chronic Pain Sleep Index (CPSI), and healthcare utilization at one, three, six, and 12 months. Data analysis included descriptive statistics with the calculations of 95% confidence intervals (CIs), contingency table analysis, and multilevel logistic regression (LR) analysis, including a worst-case (WC) sensitivity analysis in which missing data were treated as treatment failure. Participants who were treated surgically were considered failures in the categorical analyses.

*Results*: 33 consecutively enrolled participants (63.6% females,  $51.2 \pm 12.2$  years of age, BMI 28.3  $\pm$  4.5 kg/m<sup>2</sup>) were analyzed. Success rates for  $\geq$ 50% reduction in NRS for arm pain at one, three, six and 12 months were 57.6% (95% CI 40.8–72.8%), 71.9% (95% CI 54.6–84.4%), 64.5% (95% CI 46.9–78.9%), and 64.5% (95% CI 46.9–78.9%). Success rates for  $\geq$ 30% improvement in NDI-5 were 60.6% (95% CI 43.7–75.3%), 68.8% (95% CI 51.4–82.0%), 61.3% (95% CI 43.8–76.3%), and 71.0% (95% CI 53.4–83.9%). In WC analysis, success rates for  $\geq$ 50% arm NRS and NDI-5 were 0–4.3% lower between 1 and 12 months. PGIC scores were at least "much improved" or "very much improved," in 48.4–65.6% of participants between 1 and 12 months. 6.1%, 6.1%, and 3.0% had one, two, or three repeat injections, respectively. 18.2% of participants underwent surgery by 12 months. Participants showed significant improvements in arm NRS and NDI-5 after treatment (p < 0.05), multilevel logistic regression models showed no significant decline in improvements across the follow-up time points (p > 0.05).

*Conclusion:* Statistically significant and clinically meaningful improvements in pain and disability were observed after CTFESI for up to 12 months in individuals with unilateral cervical radicular pain.

https://doi.org/10.1016/j.inpm.2023.100379

Received 7 November 2023; Received in revised form 3 December 2023; Accepted 9 December 2023 Available online 22 December 2023

Available online 22 December 2023

<sup>\*</sup> Corresponding author. University of Utah, Department of Physical Medicine and Rehabilitation, 590 Wakara Way, Salt Lake City, UT 84108, USA. *E-mail address:* aaron.conger@hsc.utah.edu (A.M. Conger).

<sup>2772-5944/© 2023</sup> The Authors. Published by Elsevier Inc. on behalf of International Pain & Spine Intervention Society. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

# 1. Introduction

research studies.

# 2.3. Study intervention

CTFESI was performed by three Physical Medicine and Rehabilitation physicians with subspecialty training in either Pain Medicine or Sports Medicine. Up to three repeat injections were allowed during the study based on the treating physician's discretion.

Participants were positioned supine or lateral recumbent on a fluoroscopy table, and the cervical spine was prepared and draped in a sterile fashion. After injecting 1–2 mL of 1% lidocaine into the superficial tissues, a 25-gauge spinal needle was guided under fluoroscopy to the target position in the neuro-foramen. Once the target position was confirmed with multiplanar fluoroscopy, 0.5–3 mL of contrast was injected under live fluoroscopy with and without digital subtraction imaging. After demonstration of epidural contrast spread without vascular uptake, the injectate was delivered, a mixture consisting of 1 mL of dexamethasone sodium phosphate (10 mg/mL) and 0.5 mL of 1 or 2% preservative-free lidocaine.

Participants who achieved significant pain relief after the initial injection and subsequently experienced a recurrence of their index pain were offered a repeat procedure, at the discretion of the treating physician. "Usual pain" was defined as cervical radicular pain (upper extremity or shoulder girdle/periscapular pain) greater than axial neck pain.

# 2.4. Outcome measures

This study examined the effectiveness of CTFESI using multiple validated scales considered important in pain research [13]. Pain was measured using the NRS scale for both arm and neck pain. Arm pain was defined and explained to participants as being discomfort experienced in the periscapular, shoulder, upper arm, lower arm, or hand, while neck pain was considered pain localized to the cervical spine. Other measures recorded at baseline and follow-up time points included Neck Disability Index (NDI-5) [14], Patient Global Impression of Change (PGIC) [15], EuroQol Health-related Quality of life (EQ-5D-5L) [16], key activity restoration from the Clinical Outcome Measurement Brief Instrument (COMBI) [17], analgesic use via Medication Quantification Scale (MQS-III) [18], and Chronic Pain Sleep Inventory (CPSI) [19]. The primary outcome was the proportion of participants reporting  $\geq$ 50% NRS arm pain reduction at one, three, six, and 12 months after CTFESI. Secondary outcomes also measured at these time points included the proportion of participants with a minimally import clinical change (MCIC) of  $\geq$ 50% NRS neck pain reduction,  $\geq$ 30% improvement in NDI-5 [20],  $\geq 0.03$  change in EQ-5D score [21],  $\geq 6.8$  point change (equivalent to 10 oral morphine equivalents) in MQS-III score [17],  $\geq$ 30% change in CPSI score [19], substantial or complete restoration of at least three of four key activities from COMBI (described as "a lot" or "completely" restored) [17], and global improvement rated as "improved" or "much improved (PGIC scores 6-7). Rates of repeat injection, surgery, and other cervical spine pain-related healthcare utilization were also captured throughout the study.

Additionally, demographic, clinical, radiographic, and psychological variables were captured at baseline (Table 1). Radiographic variables included categorization of the structural reason for radicular pain (i.e., cervical disc herniation, disc-osteophyte, or foraminal stenosis), and quantification of severity of foraminal stenosis according to the Park classification [22]. The Park classification system is as follows: Grade 0, indicating no significant stenosis or perineural fat obliteration; Grade 1 (mild), with less than 50% nerve root circumference involvement of perineural fat and no morphological change in the nerve root; Grade 2 (moderate), with greater than 50% nerve root circumference involvement of perineural fat yet without morphological change; and Grade 3 (severe), characterized by extensive perineural fat obliteration accompanied by morphological collapse of the nerve root.

Cervical radiculopathy is a common source of neck and radiating arm pain. Population-based cohort studies have estimated that the annual prevalence of cervical radiculopathy at 83 per 100,000 individuals, with age-related cervical spondylosis and disc herniation being the most common causes [1]. Although the natural history of cervical radiculopathy is favorable, a significant proportion of patients seek medical care due to severe pain and disability [2]. First-line treatments for cervical radiculopathy include activity modification, physical therapy, and oral analgesics, but where conservative management fails cervical epidural steroid injections (ESI) may be performed to reduce pain and improve function.

Epidural administration of anesthetic and corticosteroid is hypothesized to reduce inflammation, stabilize neural membranes of C-fibers, and reduce nociceptive activity in the dorsal root ganglion [3–5]. Multiple approaches exist for cervical ESI which appear similarly effective [6–9]. Previous systematic reviews have concluded that cervical transforaminal epidural steroid injection (CTFESI) reduces pain and disability associated with cervical radicular pain with single arm meta-analysis demonstrating reduction in pain scores by  $\geq$ 50% in 48% (95% CI: 34–61%) and 62% (95% CI: 49–75%) of patients at one and three months after CTFESI, respectively [10]. Further, a systematic review published in the Journal of Bone and Joint Surgery concluded that CTFESI reduces pain above the minimally clinical important difference (MCID) for cervical radiculopathy [11]. Few studies have reported outcomes beyond three months, and little is known about the impact of CTFESI on surgical rates.

Given these knowledge gaps, further research is needed to better describe the effectiveness of CTFESI in those with refractory radicular pain. The present study was conceived to measure long-term pain reduction and functional improvement after CTFESI but also quantify effects on quality of life, sleep quality, personal goal achievement, analgesic consumption, healthcare utilization, and surgical rates. This study was funded by a generous grant from the International Pain and Spine Intervention Society (IPSIS).

# 2. Methods

# 2.1. Study design and participants

This was a single-center, prospective, observational study evaluating the effectiveness of CTFESI for treating refractory unilateral cervical radicular pain (NCT# 04544683). After IRB approval (IRB# 00116,040), participants were recruited from outpatient Neurosurgery, Orthopedic Surgery, and Physical Medicine and Rehabilitation clinics at the University of Utah. Consecutively identified individuals were screened for eligibility. Primary inclusion criteria were adults with at least 4/10 cervical radicular pain (with arm pain greater than neck pain) for at least six weeks, but not longer than six months secondary to either one-level cervical disc herniation, disc-osteophyte complex, or degenerative foraminal stenosis on MRI. Primary exclusion criteria included BMI >35, active litigation or remuneration related to pain, multilevel unilateral or bilateral radicular symptoms, history of cervical spine surgery, or prior epidural steroid injection for the current episode of pain. Appendix A lists the complete inclusion/exclusion criteria.

# 2.2. Recruitment, enrollment, data collection

Between March 2019 and May 2022, clinical research coordinators identified potential participants from procedure and clinic schedules. Upon confirmation of eligibility, baseline measurements were recorded and input into a web-based clinical research database. Study data were collected in-person, via internet link, and/or telephone and managed using REDCap (Research Electronic Data Capture) [12], a secure, web-based software platform designed to support data capture for

#### Table 1

Demographics and clinical characteristics of patients.

Variable	Frequency (%)
Gender	
Male	12 (36.4)
Obesity	21 (63.6)
Yes	15 (45.5)
No	18 (54.6)
History of tobacco use	
Yes	3 (9.1)
NO Current tobacco use	30 (90.9)
Yes	2 (6.1)
No	31 (93.9)
Level of radicular pain - C4	
Yes	0 (0.0)
Level of radicular pain - C5	33 (100.0)
Yes	0 (0.0)
No	33 (100.0)
Level of radicular pain - C6	
Yes	18 (54.6)
NO Level of radicular pain - C7	15 (45.4)
Yes	14 (42.4)
No	19 (57.6)
Level of radicular pain - C8	
Yes	1 (3.0)
NO Cervical disc herniation	32 (97.0)
Yes	11 (33.3)
No	22 (66.7)
Disc-osteophyte complex	
Yes	15 (45.5)
NO Foraminal stenosis related to honey elements	18 (54.6)
Yes	9 (27.3)
No	24 (72.7)
Severity of stenosis (Park Classification)	
Grade of 0 & 1	9 (27.3)
Grade of 3	17 (51.5)
Spurlings test	17 (0110)
Negative	10 (30.3)
Positive	23 (69.7)
Myotomal strength asymmetry	4 (12.1)
165	29 (87.9)
DTR asymmetry on exam	(0,11)
Yes	2 (6.1)
No	31 (93.9)
Duration of pain	13 (30 4)
3–6 months	20 (60.6)
Description of pain: burning/electric	
Yes	14 (42.4)
No	19 (57.6)
Ves	20 (60 6)
No	13 (39.4)
Description of pain: sharp	. ,
Yes	23 (69.7)
No	10 (30.3)
Description of pain: other (vs. no)	0 (27 3)
No	24 (72.7)
Depression	
Yes	9 (27.3)
No	24 (72.7)
Anxiety	7 (21.2)
No	26 (78.8)
PHQ total score	10 (, 0.0)
None-minimal	18 (54.6)
Mild-moderate	13 (39.4)
Moderately-severe to severe	2 (6.1)

Table 1 (continued)

Variable	Frequency (%)
Age [mean (SD)]	51.2 (12.2)
Height (cm) [mean (SD)]	170.8 (11.6)
Weight (kg) [mean (SD)]	83.0 (16.8)
Body mass index (kg/m <sup>2</sup> ) [mean (SD)]	28.3 (4.5)
PHQ total [mean (SD)]	5.8 (6.3)

# 2.5. Statistical analysis

The sample size for this study was determined based upon the findings of Drevfuss et al. [23] wherein 60% of subjects who received a cervical TFESI with dexamethasone reported at least 50% improvement in NRS score (95% CI 35-85%) at 4 weeks. To distinguish the lower bound of a 95% confidence interval from a theoretical placebo/sham response rate of 30%, but also from less than a 50% responder rate, a sample size of 105 participants was considered necessary (95% CI 51–69%, assuming a 60% responder rate). To account for a conservative 10% attrition rate by the 4-week primary endpoint, we initially sought to enroll 117 participants. During the study, the authors encountered unanticipated challenges in participant enrollment, primarily due to stringent inclusion and exclusion criteria (see Appendix A). The most significant hurdles stemmed from prospective participants' previous cervical spine surgeries, predominant neck pain compared to arm pain, or the presence of multi-level or bilateral symptoms, as illustrated in Fig. 1. These factors contributed to an enrollment rate of 6%. After three years, only 28% of the target sample size was enrolled, and given the low rate of enrollment, the authors determined that continuing the study was not feasible.

Descriptive statistics were calculated for demographics and clinical characteristics of participants, as well as for outcome variables. Specifically, mean and standard deviation (SD) were used for continuous variables, while categorical variables were summarized with frequency and percentage. A 95% confidence interval (CI) was calculated for select statistics. A multivariate analysis, a mixed-effects logistic regression model was fit to the data on categorical outcome variables, using different set (=model) of covariates. An odds ratio (OR) and its 95% CI were calculated for each model to aid in interpretations. Participants who were treated surgically were considered failures in the categorical and logistic regression analyses. Missing data in categorical outcome variables were treated by two approaches: 1) completer analysis in which missing data were excluded and 2) worst-case (WC) analysis in which missing data were treated as treatment failure. All the analyses were conducted using Stata/MP 17.0 (StataCorp LLC, College Station, TX), with an  $\alpha$  level of 0.05 as statistical significance.

#### 3. Results

# 3.1. Demographics

Between March 2019 and May 2022, 510 consecutive individuals were screened for potential enrollment with 33 ultimately meeting study inclusion criteria after review by investigators (see Fig. 1). Demographics and clinical characteristics of the participants are summarized in Table 1. The majority were females (n = 21 or 63.6%), with an average age of  $51.2 \pm 12.2$  years. C6 and C7 were the affected spinal nerve root levels in 55% and 42% of participants, respectively. Most participants suffered from pain for more than three, but less than six months (n = 20 or 60.6%), as compared to six weeks to three months (n = 13 or 39.4%). At affected levels, participant MRI's showed cervical disc herniation (n = 11 or 33.0%), disc-osteophyte complex (n = 15 or 45.5%), or stenosis due to boney elements (n = 9 or 27.3%). Severity of neuroforaminal stenosis on MRI by Park Classification was grade 0–1 (n = 9 or 27.3%), grade 2 (n = 7 or 21.2%), or grade 3 stenosis (n = 17 or 51.5%). Very few participants reported prior (n = 3 or 9.1%) or current



Fig. 1. CONSORT diagram.

tobacco use (n = 2 or 6.1%). A history of depression (n = 9 or 27.3%), or anxiety (n = 7 or 21.2%), was noted in a minority of participants, whereas direct screening with the Patient Health Questionnaire 9 (PHQ-9) demonstrated that mild to moderate depression (n = 13 or 39.4%), or moderately severe to severe depression (n = 2 or 6.1%) was present in nearly half of participants.

#### 3.2. Arm pain numerical rating scale

Table 2 summarizes unadjusted continuous arm NRS scores by follow-up time. Mean arm NRS ranged from  $6.5 \pm 1.4$  (baseline; 95% CI = 6.0, 7.0) to  $1.8 \pm 2.0$  (12-month; 95% CI = 1.0, 2.6). The change in arm NRS from baseline to 1-month follow-up was  $3.7 \pm 2.5$  (95% CI = 2.8, 4.7), while that from baseline to the rest of each follow-up time point was over 4.0. The smallest and largest percentage changes in arm NRS from baseline were  $57.4 \pm 35.7$  (1-month; 95% CI = 44.0, 70.7) and  $72.0 \pm 30.3$  (95% CI = 59.5, 84.5), respectively.

The majority of participants reported  $\geq$ 50% reduction in arm NRS scores from baseline (Table 3 and Fig. 2). Success rates at 1-month, 3-month, 6-month, and 12-month follow-ups were 57.6% (95% CI = 40.8, 72.8%), 71.9% (95% CI = 54.6, 84.4%), 64.5% (95% CI = 46.9, 78.9%), and 64.5% (95% CI = 46.9, 78.9%), respectively, with similar rates in WC analysis. The smallest number of participants reporting  $\geq$ 50% reduction in arm NRS was observed at 1-month follow-up (n = 19), whereas 20 or more participants reported  $\geq$ 50% reduction in arm NRS at the other follow-up time points. Some participants reported  $\geq$ 80%

Table 2	
Summary measures of continuous arm numerical rating scale.	

Variable	Follow-up time	Ν	Mean (SD)	Min, Max
Arm NRS	Baseline	33	6.5 (1.4)	4, 9
	1-month	30	2.8 (2.5)	0, 9
	3-month	28	2.1 (2.0)	0, 8
	6-month	26	2.1 (2.5)	0, 8
	12-month	25	1.8 (2.0)	0, 7
Change in arm NRS <sup>a</sup>	1-month	30	3.7 (2.5)	-1, 8
	3-month	28	4.4 (2.3)	-2, 8
	6-month	26	4.3 (2.8)	-2, 8
	12-month	25	4.6 (2.2)	0, 9
% change in arm NRS <sup>a</sup>	1-month	30	57.4 (35.7)	-12.5, 100.0
	3-month	28	67.0 (30.3)	-33.3, 100.0
	6-month	26	66.3 (42.2)	-33.3, 100.0
	12-month	25	72.0 (30.3)	0.0, 100.0

NRS = Numerical Rating Scale; SD = standard deviation; Min = minimum value; Max = maximum value.

<sup>a</sup> From baseline to each follow-up time point (i.e., value at baseline minus value at each follow-up time point).

reduction in arm NRS from baseline (Fig. 3), ranging from 30.3% (95% CI = 15.6, 48.7) at 1-month follow-up to 48.4% (95% CI = 30.2, 66.9%) at 6 -month follow-up, with WC analysis producing comparable results.

Mixed-effects logistic regression models on  $\geq$  50% reduction in arm NRS score by set (=model) of covariates are summarized in Table 4. There was no statistically significant covariate in any model (p > 0.05).

#### Table 3

Percentage of participants reporting ≥50% reduction in arm NRS from baseline to each follow-up time point.

Missing data handling	Follow-up time	w-up time $\geq$ 50% reduction	
		Yes	No
Completer analysis <sup>a</sup>	1-month	19 (57.6)	14 (42.4)
	3-month	23 (71.9)	9 (28.1)
	6-month	20 (64.5)	11 (35.5)
	12-month	20 (64.5)	11 (35.5)
Worst-case analysis <sup>b</sup>	1-month	19 (57.6)	14 (42.4)
	3-month	23 (69.7)	10 (30.3)
	6-month	20 (60.6)	13 (39.4)
	12-month	20 (60.6)	13 (39.4)

Note: Values are frequency (%).

NRS = Numerical Rating Scale.

<sup>a</sup> Missing data excluded.

<sup>b</sup> Missing data treated as treatment failure.



Fig. 2. Unadjusted responder analysis for  $\geq$ 50% reduction in arm numerical rating scale score by follow-up time point. Missing data are excluded.



 $\equiv < 50\%$   $\equiv 50-79\%$   $\equiv \ge 80\%$ 

Fig. 3. Unadjusted responder analysis for 50–79% and  $\geq\!\!80\%$  reduction in arm numerical rating scale score by follow-up time point Missing data are excluded.

A nonsignificant covariate of follow-up time in these models indicated that the success rate of  $\geq$ 50% reduction in arm NRS score was sustained throughout the follow-up period.

# 3.3. Neck pain numerical rating scale

Mean neck NRS score was 4.5  $\pm$  2.9 (95% CI = 3.5, 5.6) at baseline, and ranged from 2.3  $\pm$  2.1 (95% CI = 1.5, 3.1; 3-month) to 3.1  $\pm$  2.5

# Table 4

Mixed-effects logistic regression models on  $\geq$  50% reduction in arm numerical rating scale by set (=model) of covariates.

,	,			
Model	Predictor	OR	(95% CI)	р
Radiographic model Clinical variables	Follow-up time (vs. 1- month)			
model	3-month	3.76	(0.74, 19.04)	0.110
	6-month	1.66	(0.35, 7.82)	0.519
	12-month	1.66	(0.35, 7.82)	0.519
	Cervical disc herniation			
	(vs. no)			
	Yes	16.91	(0.24,	0.192
	Disc osteonbyte complex		11/7.42)	
	(vs. no)			
	Yes	10.16	(0.23, 444.30)	0.229
	Foraminal stenosis (vs.		111100)	
	no)			
	Yes	19.91	(0.30,	0.161
			1300.58)	
	Severity of stenosis (vs.			
	Grade of 0 & 1)	0.04	(0, 00, 1, 62)	0.000
	Grade of 3	0.04	(0.00, 1.02)	0.088
	Follow-up time (vs. 1-	0.00	(0.00, 1.10)	0.000
	month)			
	3-month	4.00	(0.79,	0.093
			20.17)	
	6-month	1.72	(0.37, 8.05)	0.494
	12-month	1.72	(0.37, 8.05)	0.494
	Spurlings test (vs.			
	Positive	0.34	(0.03, 3.94)	0.386
	Myotomal strength	0.01	(0100,0131)	0.000
	asymetry (vs. no)			
	Yes	Empty <sup>a</sup>		
	DTR asymmetry on exam			
	(vs. no)	4 40	(0.02	0 570
	res	4.40	(0.02, 810.77)	0.578
	Duration of pain (vs. 6		010.77)	
	weeks to 3 months)			
	3-6 months	3.77	(0.33,	0.284
			42.72)	
	Description of pain:			
	Durning/electric (vs. no)	0.23	(0.02.3.02)	0.263
	Description of pain:	0.23	(0.02, 3.02)	0.205
	aching (vs. no)			
	Yes	0.73	(0.05, 9.75)	0.811
	Description of pain:			
	sharp (vs. no)		(0.00.0.00)	
	Yes	0.25	(0.02, 3.32)	0.295
	(vs. no)			
	Yes	0.33	(0.02, 5.46)	0.441
Demographics	Follow-up time (vs. 1-		(,	
model	month)			
Psychological	3-month	3.72	(0.74,	0.110
model			18.68)	
	6-month	1.66	(0.35, 7.72)	0.521
	12-month Gender (vs. female)	1.66	(0.35, 7.72)	0.521
	Male	1.84	(0.16,	0.621
		•	20.68)	
	Age	1.05	(0.95, 1.17)	0.363
	Obesity (vs. no)			
	Yes	0.18	(0.01, 2.32)	0.190
	Current Tobacco use (vs.			
	IIU) Ves	0.17	(0.00	0.480
	100	0.17	26.33)	0.707
	Follow-up time (vs. 1-		,	
	month)			

(continued on next page)

#### Table 4 (continued)

Model	Predictor	OR	(95% CI)	р
	3-month	3.80	(0.75, 19.27)	0.108
	6-month	1.69	(0.36, 7.94)	0.509
	12-month	1.69	(0.36, 7.94)	0.509
	Depression (vs. no)			
	Yes	0.20	(0.01, 4.46)	0.307
	Anxiety (vs. no)			
	Yes	1.19	(0.05, 28.14)	0.913
	PHQ total (vs. none to minimal)			
	Mild to moderate	0.28	(0.02, 3.67)	0.333
	Moderately-severe to	0.09	(0.00,	0.352
	severe		13.78)	

Note: Outcome  $= \geq 50\%$  reduction in arm numerical rating scale; Missing data are excluded.

OR = odds ratio; CI = confidence interval.

 $^{\rm a}$  All patients with myotomal strength asymmetry had  ${\geq}50\%$  change in arm numerical rating scale.

(95% CI = 2.1, 4.1; 6-month) during the follow-up period. Changes in neck NRS score were rather smaller than those in arm NRS score, as the minimum and maximum changes were  $1.0 \pm 3.5$  (95% CI = -0.4, 2.5) at 6-month and  $1.9 \pm 3.9$  (95% CI = 0.4, 3.4) at 3-month, respectively. As a result, percentage changes in neck NRS score were less than 40% (minimum = 5.0% at 6-month) at any follow-up time point. Between 36.0% (95% CI = 20.2, 55.5; 6-month) and 53.9% (95% CI = 35.5, 71.2; 3-month) of the participants reported  $\geq$ 50% reduction in neck NRS from baseline, with the improvement as low as 27.3% (95% CI = 15.1, 44.2) in WC analysis, in which missing data were treated as treatment failure. Meanwhile, as much as 30.8% (95% CI = 14.3, 51.8; 3- and 12-month) of the participants reported  $\geq$ 80% reduction in neck NRS from baseline.

Mixed-effects logistic regression models examining  $\geq$ 50% reduction in neck NRS score by the same sets of covariates as those in  $\geq$ 50% reduction in arm NRS showed that none of the covariates in any model (radiographic, clinical, demographic, or psychological models) was significant (p > 0.05), except anxiety in the psychological model (p =0.027). Specifically, the odds of achieving  $\geq$ 50% reduction in neck NRS for participants with anxiety was 97% (OR = 0.03; 95% CI = 0.01, 0.67) lower than the odds for those without anxiety.

# 3.4. Neck Disability Index

NDI-5 was, on average,  $9.4 \pm 3.9$  (95% CI = 8.0, 10.8) at baseline, while, during follow-up, it was as low as  $2.7 \pm 2.5$  (95% CI = 1.7, 3.8; 12-month; Table 5). Consequently, the changes in NDI-5 from baseline

#### Table 5

Summary measures of continuous Neck Disability Index.

			•	
Variable	Follow-up time	Ν	Mean (SD)	Min, Max
NDI	Baseline	33	9.4 (3.9)	2, 19
	1-month	30	4.3 (3.3)	0, 12
	3-month	28	3.4 (3.0)	0, 13
	6-month	26	4.0 (2.6)	0, 9
	12-month	25	2.7 (2.5)	0, 9
Change in NDI <sup>a</sup>	1-month	30	4.9 (4.6)	-2, 19
	3-month	28	5.8 (4.4)	-4, 15
	6-month	26	5.2 (4.8)	-3, 16
	12-month	25	6.6 (4.0)	0, 16
% change in NDI <sup>a</sup>	1-month	30	49.2 (36.0)	-33.3, 100.0
	3-month	28	56.9 (43.1)	-100.0, 100.0
	6-month	26	43.5 (55.0)	-150.0, 100.0
	12-month	25	69.6 (29.4)	0.0, 100.0

NDI = Neck Disability Index; SD = standard deviation; Min = minimum value; Max = maximum value.

<sup>a</sup> From baseline to each follow-up time point (i.e., value at baseline minus value at each follow-up time point).

ranged from  $4.9 \pm 4.6$  (95% CI = 3.2, 6.6 at 1-month to 6.6  $\pm 4.0$  (95% CI = 4.9, 8.3) at 12-month, resulting in percentage changes in NDI-5 between 49.2  $\pm$  36.0% (95% CI = 35.7, 62.6; 1-month) and 69.6  $\pm$  29.4% (95% CI = 57.5, 81.8; 12-month). Most participants achieved  $\geq$  30% improvement in NDI-5 scores from baseline to all follow-up time points, which also held true in WC analysis (Table 6). Specifically, as many as 71.0% (95% CI = 53.4, 83.9) of the participants reported  $\geq$  30% improvement in NDI-5 scores at 12 months.

## 3.5. Health-related quality of life

The mean EQ-5D score at baseline was  $0.61 \pm 0.17$  (95% CI = 0.55, 0.67), which improved at all follow-up time points, ranging from  $0.80 \pm 0.16$  (95% CI = 0.74, 0.86) at 1-month to  $0.86 \pm 0.14$  (95% CI = 0.80, 0.91) at 12-month (Table 7). Average changes in EQ-5D were between -0.19 at 1- and 6-month and -0.24 at 3- and 12-month. As few as 61.3% (95% CI = 43.8, 76.3; 6-month) and as many as 78.1% (95% CI = 61.2, 89.0; 3-month) of the participants reported  $\geq 0.03$ -point improvement in EQ-5D scores from baseline, with similar improvements in the WC analysis (Table 8).

# 3.6. Clinical Outcome Measurement Brief Instrument

Table 9 Appendix B shows the numbers and percentages of participants who reported substantial or complete recovery of at least three of four key activities between one and 12 months. The lowest and highest percentages were 33.3% (95% CI = 19.8, 50.4) at 1-month and 56.3% (95% CI = 39.3, 71.8) at 6-month, respectively, which was similar in WC analysis.

# 3.7. Chronic Pain Sleep Inventory

The mean CPSI score at baseline was  $51.9 \pm 30.7$  (95% CI = 41.0, 62.8), whereas, except at 3-month ( $51.2 \pm 35.4$ ), it was higher at any other follow-up time points (mean ranging from 60.0 to 68.3; Table 10 Appendix C). There was great variability of the changes in follow-up CPSI, as shown by high SDs and ranges (minimum to maximum values). Less than 50% of the participants showed  $\geq$ 30% reduction in CPSI from baseline to any follow-up time point, with the highest percentage reported at 3-month (40.0%; 95% CI = 24.6, 57.7; Table 11 Appendix D).

# 3.8. Global impression of change

PGIC was rather consistent during follow-up, as the mean ranged between 5.6 and 5.9, while the median was 6 at all follow-up time points (Table 12 Appendix E). The majority of participants reported being "improved or much improved" (PGIC 6–7) at all follow-up time points,

# Table 6

Percentage of participants reporting  $\geq\!30\%$  reduction in NDI-5 from baseline to each follow-up time point.

Missing data handling	Follow-up time	$\geq$ 30% reduction in NDI	
		Yes	No
Completer analysis <sup>a</sup>	1-month	20 (60.6)	13 (39.4)
	3-month	22 (68.8)	10 (31.2)
	6-month	19 (61.3)	12 (38.7)
	12-month	22 (71.0)	9 (29.0)
Worst-case scenario analysis <sup>b</sup>	1-month	20 (60.6)	13 (39.4)
	3-month	22 (66.7)	11 (33.3)
	6-month	19 (57.6)	14 (42.4)
	12-month	22 (66.7)	11 (33.3)

Note: Values are frequency (%).

NDI-5 = Neck Disability Index.

<sup>a</sup> Missing data excluded.

<sup>b</sup> Missing data treated as treatment failure.

#### Table 7

Summary measures of continuous Health-related Quality of life as measured by EQ-5D.

Variable	Follow-up time	Ν	Mean (SD)	Min, Max
EQ-5D	Baseline	33	0.61 (0.17)	0.17, 0.87
	1-month	30	0.80 (0.16)	0.37, 1.00
	3-month	28	0.85 (0.11)	0.58, 1.00
	6-month	26	0.81 (0.15)	0.46, 1.00
	12-month	25	0.86 (0.14)	0.38, 1.00
Change in EQ-5D <sup>a</sup>	1-month	30	-0.19 (0.22)	-0.77, 0.21
	3-month	28	-0.24 (0.19)	-0.58, 0.20
	6-month	26	-0.19 (0.23)	-0.50, 0.35
	12-month	25	-0.24 (0.15)	-0.50, 0.07

EQ-5D = EuroQol Health-related Quality of life; SD = standard deviation; Min = minimum value; Max = maximum value.

<sup>a</sup> From baseline to each follow-up time point (i.e., value at baseline minus value at each follow-up time point).

# Table 8

Percentage of participants reporting  $\geq$ 0.03-point increase in Health-related Quality of life from EQ-5D at each follow-up time point.

Missing data handling	Follow-up time	$\geq$ 0.03-point increase in EQ-5D	
		Yes	No
Completer analysis <sup>a</sup>	1-month	25 (75.8)	8 (24.2)
	3-month	25 (78.1)	7 (21.9)
	6-month	19 (61.3)	12 (38.7)
	12-month	23 (74.2)	8 (25.8)
Worst-case scenario analysis <sup>b</sup>	1-month	25 (75.8)	8 (24.2)
	3-month	25 (75.8)	8 (24.2)
	6-month	19 (57.6)	14 (42.4)
	12-month	23 (69.7)	10 (30.3)

Note: Values are frequency (%).

EQ-5D = EuroQol Health-related Quality of life.

<sup>a</sup> Missing data excluded.

<sup>b</sup> Missing data treated as treatment failure.

except at 6-month (48.4%), which was also consistent in WC analysis (Table 13 Appendix F).

3.9. Analgesic medication and cervical spine-related healthcare utilization

Details of interventional and surgical healthcare utilization are shown in Table 14 Appendix G. Surgery was performed for a minority of participants (n = 6 or 18.2%), with 50% occurring before the one-month data collection timepoint. 90.9% of participants received only the index injection, whereas one participant received two injections, one participant received three injections, and one participant received four injections.

The mean MQS-3 score at baseline was  $6.0 \pm 4.8$  (95% CI = 4.3, 7.7). Lower MQS-3 scores were reported at follow-up, ranging from  $3.6 \pm 4.8$  (95% CI = 1.6, 5.6) at 12-month to  $5.5 \pm 5.1$  (95% CI = 3.6, 7.5) at 1-month (Table 15 Appendix H). Changes in MQS-3 were between  $0.4 \pm 5.1$  (95% CI = -1.6, 2.3) at 1-month and  $2.8 \pm 4.9$  (95% CI = 0.8, 4.8) at 12-month. As many participants had MQS-3 scores below 6.8 at baseline, less than 20% of the participants ultimately showed  $\geq 6.8$ -point change during the study period (Table 16 Appendix I).

#### 3.10. Logistic regression models on secondary outcome variables

Mixed-effects logistic regression models examining secondary outcome variables by the same sets of covariates as those in  $\geq$ 50% reduction in arm NRS analysis showed that none of the covariates in any model (radiographic, clinical variables, demographics, or psychological models) was significant (p > 0.05), except the presence of a positive Spurling's test for NDI-5 scores in the clinical variables model (p =

0.039) and description of pain as "burning/electric" for CPSI scores in the clinical variables model (p = 0.027). Specifically, the odds of achieving  $\geq$ 30% improvement in NDI-5 for participants with a positive Spurling's test was 90% (OR = 0.10; 95% CI = 0.01–0.89) lower than the odds for those without a positive test. Similarly, the odds of achieving  $\geq$ 30% improvement in CPSI scores for participants who described their pain as "burning/electric" was about 12 times (OR = 12.30; 95% CI = 1.33–113.48) greater than the odds for those who described their pain differently, though it should be noted that the 95% CI of the OR was wide.

## 3.11. Adverse effects

There were no serious adverse effects or complications related to CTFESI during this study.

# 4. Discussion

Following CTFESI, participants reported statistically significant and clinically meaningful improvements in pain and disability for up to one year. Additionally, CTFESI appears to have positively impacted healthrelated quality of life, personal goal achievement, and reduced painrelated sleep disturbance. Specifically, arm NRS improved by > 50%in 58–65%, NDI-5 improved by  $\geq$  30% in 61–71%, health related quality of life (EQ-5D) improved by  $\geq$  0.03 in 61–78%. Between 48 and 66% of participants described their global state as "improved" or "much improved" during the study period. At one month, only 33% reported substantial or complete restoration of most key activities, but this increased to 45-56% at subsequent time points. Success rates for neck pain and pain related sleep disturbance were lower than other outcomes, with 36–54% of the participants reporting  $\geq$ 50% reduction in neck NRS and 17–40% reporting  $\geq$ 30% improvement in CPSI scores. During the study, 91% of participants required only a single injection, potentially indicating the effectiveness of the initial injection. Furthermore, surgical avoidance was relatively high, as only 9% of participants underwent surgery after the first month.

Our findings are similar to previously reported outcomes of CTFESI when participants were strictly selected and when the procedure was performed according to recognized guidelines. Dreyfuss et al. reported a 60% responder rate for  $\geq$ 50% pain relief at short-term follow-up [23] Notably, that study enrolled 30 participants after screening 420, or approximately 7%. We used similarly strict criteria for eligibility, screening 510 with 33, or about 6%, ultimately being eligible. This suggests that CTFESI effectively reduces pain and disability in carefully selected individuals, but these outcomes may not be generalizable to all populations with cervical radicular pain. On the other hand, meta-analysis of multiple studies (some with less strict enrollment criterion and lower screening rates), has demonstrated responder rates between 48 and 62% at short term follow-up [10].

Very few studies have reported long-term outcomes after CTFESI. Data from an RCT comparing interlaminar ESI to CTFESI demonstrated 52% and 61% responder rates for  $\geq$ 50% pain relief and  $\geq$ 30% NDI improvement, respectively, at one year for those treated with CTFESI [24]. A cross-sectional study polling individuals treated with CTFESI found that 81% experienced  $\geq$ 50% pain relief for an average duration of 13.3  $\pm$  9.44 months [25]. Other prospective studies have demonstrated a pattern of continued pain relief at long-term follow-up and surgical avoidance in 80% of patients who underwent ESI [26]. We observed similar proportions of surgical treatment in our study, with 18% undergoing anterior cervical discectomy and fusion (ACDF) or disc arthroplasty during the one-year study period with 50% of these participants undergoing surgery prior to one-month post-CTFESI.

In addition to pain and function outcomes, this study observed significant improvements in health-related quality of life, personal goal achievement, and to a lesser degree, pain-related sleep interference. Despite guidelines emphasizing the importance of assessing sleep quality in clinical trials involving patients with chronic pain, a recent scoping review found that less than 5% of studies have adhered to these recommendations [27]. Patients with pain often report frequent disruptions in sleep patterns including increased arousal, prolonged awakenings, and periodic body movements. Substantial evidence suggests a mutually reinforcing association where pain contributes to sleep disturbance and vice versa [28,29]. Further, pain alleviation has been linked to a reduction in self-reported sleep problems, while improved sleep has been associated with decreased pain symptoms [30]. We observed significant improvement in sleep quality following CTFESI, with 17-40% of participants showing improvement at different time points. However, the data displayed significant variability, evidenced by high standard deviations and broad ranges (minimum to maximum values). This could either indicate that the measurement tool used was not sensitive enough or, more plausibly, that factors other than cervical radicular pain may have influenced sleep quality. The fact that most participants continued to report significant pain-related sleep disturbance raises concern and highlights the need for more comprehensive assessments including this often-overlooked metric in future studies.

Despite the favorable outcomes in this study and others like it, CTFESI may not be an effective treatment in all individuals. Certain factors are known to decrease the probability of treatment success with interventional pain treatments, such as severe depression. Prior studies involving patients with cervical and lumbar radicular pain have demonstrated worse outcomes in those with significant depression, with responder rates as low as 19% amongst depressed patients [31,32]. Regarding depression, we did not find a notable impact on treatment success rates. This is likely because only 6% of participants exhibited more than moderate depression, while 55% had either no or minimal depression in our cohort. Interestingly, we found that a significant number of participants had previously undiagnosed depression, which highlights the importance of point-of-care mental health screening in those seeking treatment for chronic pain conditions.

Certain radiographic factors have also been associated with different outcomes after CTFESI. Studies exist which have demonstrated that more severe central or neuroforaminal stenosis is negatively associated with treatment success of interlaminar and transforaminal ESI [33,34], while other studies have not demonstrated this same relationship [35, 36]. Our results were consistent with these latter studies; while approximately 50% of the participants in our study had severe neuroforaminal stenosis (Park grade 3), success rates across all outcomes did not appear significantly different depending on the severity of neuroforaminal stenosis.

Limitations of this study must be acknowledged. Due to the absence of a control group, the specific effect of CTFESI cannot be separated from the natural course of cervical radicular pain. Although the natural history of cervical radiculopathy is generally considered favorable, conflicting evidence exists about the expected duration of pain at short and intermediate time points. For example, some studies indicate that up to 66% of patients may continue to experience severe pain and functional limitations despite non-operative treatments [37,38] and only 29% completely recover [39]. These populations may be more representative of patients in our study-those referred to a tertiary care spine clinic. In contrast, population-based cohort studies have shown that after an average follow-up of 5.9 years 90% report being asymptomatic or only mildly affected [1]. It is important to note that a substantial proportion (61%) of participants in our study presented with chronic symptoms persisting between three to six months prior to their enrollment. This duration of symptoms diminishes the probability that the improvements observed can be attributed solely to natural recovery.

This study was also limited by lower-than-anticipated enrollment, largely a result of the stringent inclusion and exclusion criteria, resulting in a smaller study cohort. This restricted our ability to conduct in-depth analyses on demographic and radiographic variables related to treatment success or failure. To address the impact of low enrollment, the authors constructed several sets of regression analyses centered on clusters of variables, including radiographic, clinical, demographic, and psychological factors (Table 4). Despite the small sample size, the study demonstrated statistically significant and clinically meaningful improvements in both the primary and various secondary outcomes. Strengths of the study include high rate of follow-up and robust long term outcome measurement across domains including pain, function, quality of life, pain-related sleep interference, and global improvement.

## 5. Conclusion

In individuals with unilateral cervical radicular pain, clinically meaningful improvements in pain, disability, health related quality of life, personal goal achievement, and pain-related sleep disturbance were observed for up to 12 months after CTFESI. These findings were also accompanied by reduced analgesic use and relatively low cervical spine pain-related healthcare utilization. This study provides further evidence that CTFESI benefits carefully selected patients with cervical radicular pain. Future, larger-scale studies that include a control group will offer a more accurate assessment of the treatment's relative effectiveness.

#### Conflicts of interest disclosure statement

Zachary L. McCormick, MD serves on the Board of Directors of the International Pain and Spine Intervention Society (IPSIS). There are no other potential conflicts of interest related to this study to disclose on the part of any of the other authors.

# Funding

This study was funded by a research grant from IPSIS.

# Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

# Acknowledgments

The authors wish to IPSIS for their generous support of this work.

# Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.inpm.2023.100379.

#### References

- [1] Murray CJL. The state of US health, 1990–2010. JAMA 2013;310(6):591.
- [2] Wong JJ, Côté P, Quesnele JJ, Stern PJ, Mior SA. The course and prognostic factors of symptomatic cervical disc herniation with radiculopathy: a systematic review of the literature. Spine J 2014;14(8):1781–9.
- [3] Johansson A, Hao J, Sjölund B. Local corticosteroid application blocks transmission in normal nociceptive C-fibres. Acta Anaesthesiol Scand 1990.
- [4] Ramesh G, Meisner OC, Philipp MT. Anti-inflammatory effects of dexamethasone and meloxicam on Borrelia burgdorferi-induced inflammation in neuronal cultures of dorsal root ganglia and myelinating cells of the peripheral nervous system. J Neuroinflammation 2015.
- [5] Li JY, Xie W, Strong JA, Guo QL, Zhang JM. Mechanical hypersensitivity, sympathetic sprouting, and glial activation are attenuated by local injection of corticosteroid near the lumbar ganglion in a rat model of neuropathic pain. Reg Anesth Pain Med 2011.
- [6] McCormick ZL, Conger A, Sperry BP, Teramoto M, Petersen R, Salazar F, et al. A randomized comparative trial of targeted steroid injection via epidural catheter vs standard transforaminal epidural injection for the treatment of unilateral cervical radicular pain: six-month results. Pain Med 2020;21(10):2077–89. https ://academic.oup.com/painmedicine/advance-article/doi/10.1093/pm/pnaa242/ 5892882.
- [7] Conger A, Kendall RW, Sperry BP, Petersen R, Salazar F, Cunningham S, et al. Oneyear results from a randomized comparative trial of targeted steroid injection via epidural catheter versus standard transforaminal epidural injection for the

#### A.M. Conger et al.

treatment of unilateral cervical radicular pain. Reg Anesth Pain Med 2021;46(9): 813–9.

- [8] McCormick ZL, Nelson A, Bhave M, Zhukalin M, Kendall M, McCarthy RJ, et al. A Prospective randomized comparative trial of targeted steroid injection via epidural catheter versus standard C7-T1 interlaminar approach for the treatment of unilateral cervical radicular pain. Reg Anesth Pain Med 2017;42(1):82–9.
- [9] Conger A, Sperry BP, Cheney CW, Kuo K, Petersen R, Randall D, et al. Does the contrast dispersion pattern during fluoroscopically guided cervical transforaminal epidural steroid injection predict short-term pain and functional outcomes? An exploratory analysis of prospective cohort data. Sep 29;00(0). Available from: Pain Med 2020. https://academic.oup.com/painmedicine/advance-article/doi/10.10 93/pm/pnaa305/5912686.
- [10] Conger A, Cushman DM, Speckman RA, Burnham T, Teramoto M, McCormick ZL. The effectiveness of fluoroscopically guided cervical transforaminal epidural steroid injection for the treatment of radicular pain; a systematic review and metaanalysis. Available from: Pain Med 2020;21(1):41–54. https://academic.oup.com/ painmedicine/advance-article-abstract/doi/10.1093/pm/pnz127/5513392.
- [11] Borton ZM, Oakley BJ, Clamp JA, Birch NC, Bateman AH. Cervical transforaminal epidural steroid injections for radicular pain. 104-B(5):567–74. Available from: Bone Joint J 2022. https://online.boneandjoint.org.uk/doi/10.1302/0301-620 X.104B5.BJJ-2021-1816.R1.
- [12] Harris PA, Taylor R, Thielke R, Payne J, Gonzalez N, Conde JG. Research electronic data capture (REDCap)-a metadata-driven methodology and workflow process for providing translational research informatics support. J Biomed Inf 2009;42(2): 377–81.
- [13] Smith SM, Dworkin RH, Turk DC, McDermott MP, Eccleston C, Farrar JT, et al. Interpretation of chronic pain clinical trial outcomes: IMMPACT recommended considerations. Available from: Pain 2020;161(11):2446–61. https://journals.lww. com/10.1097/j.pain.00000000001952.
- [14] Walton DM, MacDermid JC. A brief 5-item version of the Neck Disability Index shows good psychometric properties. Health Qual Life Outcomes 2013;11(1):1. Available from: Health and Quality of Life Outcomes.
- [15] Hurst H, Bolton J. Patients' global impression of change (PGIC) scale. J Manipul Physiol Therapy 2004;27:26–35.
- [16] Soer R, Reneman MF, Speijer BLGN, Coppes MH, Vroomen PCAJ. Clinimetric properties of the EuroQol-5D in patients with chronic low back pain. Spine J 2012.
- [17] Stojanovic MP, Higgins DM, Popescu A, Bogduk N. COMBI: a convenient tool for clinical outcome assessment in conventional practice. Pain Med 2015. Mar 1 [cited 2018 Sep 10];16(3):513–9. Available from: https://academic.oup.com/painmedici ne/article-lookup/doi/10.1111/pme.12581.
- [18] Gallizzi M, Gagnon C, Harden RN, Stanos S, Khan A. Medication quantification scale version III: internal validation of detriment weights using a chronic pain population. Pain Practice [Internet] 2008 Jan;8(1):1–4. 10.1111/j.1533-2500.2007.00163.x.
- [19] Ayearst L, Harsanyi Z, Michalko KJ. The Pain and Sleep Questionnaire three-item index (PSQ-3): a reliable and valid measure of the impact of pain on sleep in chronic nonmalignant pain of various etiologies. Pain Res Manag [Internet 2012;17 (4):281–90. Available from: http://www.ncbi.nlm.nih.gov/pubmed/22891194.
- [20] Hung M, Saltzman CL, Kendall R, Bounsanga J, Voss MW, Lawrence B, et al. What are the MCIDs for PROMIS, NDI, and ODI instruments among patients with spinal conditions? Clin Orthop Relat Res 2018;476(10):2027–36.
- [21] Salaffi F, Stancati A, Silvestri CA, Ciapetti A, Grassi W. Minimal clinically important changes in chronic musculoskeletal pain intensity measured on a numerical rating scale. Eur J Pain 2004.
- [22] Park HJ, Kim SS, Lee SY, Park NH, Chung EC, Rho MH, et al. A practical MRI grading system for cervical foraminal stenosis based on oblique sagittal images. Br J Radiol 2013;86(1025):1–7.

- [23] Dreyfuss P, Baker R, Bogduk N. Comparative effectiveness of cervical transforaminal injections with particulate and nonparticulate corticosteroid preparations for cervical radicular pain. Pain Med [Internet 2006 May 1;7(3): 237–42. Available from: https://academic.oup.com/painmedicine/article-lookup /doi/10.1111/j.1526-4637.2006.00162.x.
- [24] Conger A, Kendall RW, Sperry BP, Petersen R, Salazar F, Cunningham S, et al. Oneyear results from a randomized comparative trial of targeted steroid injection via epidural catheter versus standard transforaminal epidural injection for the treatment of unilateral cervical radicular pain. Reg Anesth Pain Med 2021;46(9): 813–9.
- [25] Kesikburun S, Aras B, Kelle B, Yavuz F, Yaşar E, Taşkaynatan MA. The effectiveness of cervical transforaminal epidural steroid injection for the treatment of neck pain due to cervical disc herniation: long-term results. Pain Manag 2018 Sep 1;8(5): 321–6.
- [26] Lee SH, Kim KT, Kim DH, Lee BJ, Son ES, Kwack YH. Clinical outcomes of cervical radiculopathy following epidural steroid injection: a prospective study with followup for more than 2 years. Spine (Phila Pa 1976;37(12):1041–7. 2012 May 20.
- [27] Neilson BD, Dickerson C, Young JL, Shepherd MH, Rhon DI. Measures of sleep disturbance are not routinely captured in trials for chronic low back pain: a systematic scoping review of 282 trials. J Clin Sleep Med 2023 Jun 1.
- [28] Cole JC, Dubois D, Kosinski M. Use of patient-reported sleep measures in clinical trials of pain treatment: a literature review and synthesis of current sleep measures and a conceptual model of sleep disturbance in pain. Clin Therapeut 2007;29 (Suppl):2580–8.
- [29] Smith MT, Haythornthwaite JA. How do sleep disturbance and chronic pain interrelate? Insights from the longitudinal and cognitive-behavioral clinical trials literature. Sleep Med Rev 2004 Apr;8(2):119–32.
- [30] Turk DC, Cohen MJM. Sleep as a marker in the effective management of chronic osteoarthritis pain with opioid analgesics. Semin Arthritis Rheum 2010 Jun;39(6): 477–90.
- [31] Kim EJ, Chotai S, Schneider BJ, Sivaganesan A, McGirt MJ, Devin CJ. Effect of depression on patient-reported outcomes following cervical epidural steroid injection for degenerative spine disease. Pain Med [Internet] 2018:1–6. 0(0, http ://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=reference&D=medp &NEWS=N&AN=30357417.
- [32] Kim EJ, Chotai S, Stonko DP, Wick JB, Schneider BJ, McGirt MJ, et al. Patientreported outcomes after lumbar epidural steroid injection for degenerative spine disease in depressed versus non-depressed patients. Spine J 2017 Apr;17(4):511–7.
- [33] Sencan S, Edipoglu IS, Yazıcı G, Yucel FN, Gunduz OH. Are foraminal stenosis severity and herniation level associated with the treatment success of cervical interlaminar epidural steroid injection? Pain Physician 2020;23(3):326–32.
- [34] Ray WZ, Akbari S, Shah LM, Bisson E. Correlation of foraminal area and response to cervical nerve root injections. Cureus 2015;7(7):4–11.
- [35] Kim MS, Lee DG, Chang MC. Outcome of transforaminal epidural steroid injection according to severity of cervical foraminal stenosis. World Neurosurg [Internet] 2018 Feb;110:e398–403. https://doi.org/10.1016/j.wneu.2017.11.014. cited 2018 Jun 24.
- [36] Kim J, Kim K, Lee M, Kim S. Correlation between intravascular injection rate, pain intensity, and degree of cervical neural foraminal stenosis during a cervical transforaminal epidural block. J Pain Res 2021;14:3017–23.
- [37] Lees F, Turner Jw. Natural history and prognosis of cervical spondylosis. Br Med J 1963 Dec 28;2(5373):1607–10.
- [38] Dillin W, Booth R, Cuckler J, Balderston R, Simeone F, Rothman R. Cervical radiculopathy. A review. Spine 1986 Dec;11(10):988–91.
- [39] DePalma AP, Subin DK. Study of the cervical syndrome. Clin Orthop Relat Res 1965;38:135–42.