

# BMJ Open Sipjeondaebo-tang in patients with breast cancer with fatigue: a protocol for a pilot, randomised, double-blind, placebo-controlled, cross-over trial

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## ABSTRACT

**Introduction** Cancer-related fatigue is a frequent symptom in patients with cancer and one of the most distressing symptoms in patients with breast cancer. Sipjeondaebo-tang (Juzen-taiho-to in Japanese or Shi-Quan-Da-Bu-Tang in Chinese) is a widely used herbal medicine for the treatment of fatigue in Korea, China and Japan. The purpose of the present study is to evaluate the feasibility of Sipjeondaebo-tang for cancer-related fatigue.

**Methods and analysis** The present study is a randomised, double-blind, placebo-controlled, cross-over study. Forty-eight patients with breast cancer who are indicated for doxorubicin and cyclophosphamide will be recruited. The participants will receive 3 g of Sipjeondaebo-tang or a placebo three times a day for 56 days. The primary outcome measurement is the change in the Brief Fatigue Inventory scores. The secondary outcome measurements include the changes in the Visual Analogue Scale (VAS) of fatigue, and quality of life measured by the European Organization for Research and Treatment of Cancer—QLQ-C30 and QLQ-BR23. VAS of fatigue will be measured on every visit, and other outcomes will be measured on visits 2, 4, 6 and 7. The total study period is 14 weeks.

**Ethics and dissemination** This study has been approved by the Institutional Review Board of the Catholic Kwandong University International St Mary's Hospital (reference IS16MNSI0011). The results of this study will be published in a peer-reviewed journal and presented at a scientific conference.

**Trial registration number** NCT02858856; Pre-results.

## INTRODUCTION

Cancer-related fatigue is a frequently experienced symptom in patients with cancer regardless of tumour type, and one of the most distressing symptoms in patients with breast cancer.<sup>1</sup> The National Comprehensive Cancer Network defined cancer-related fatigue as a distressing, persistent, subjective sense of physical, emotional and/or cognitive tiredness or exhaustion related to cancer or cancer treatment that is not proportionate to recent activity.<sup>2</sup> Fatigue is the most commonly

## Strengths and limitations of this study

- This study is the first randomised controlled trial to evaluate the feasibility of Sipjeondaebo-tang for the treatment of cancer-related fatigue.
- All participants receive identical chemotherapy regimens.
- The limitations of this study are its relatively small number of participants and the fact that it will be conducted in a single institution.

reported side effect of chemotherapy, alongside pain, nausea and vomiting.<sup>3</sup> In patients with breast cancer receiving chemotherapy, the prevalence of fatigue is greater than 72%.<sup>4,5</sup> In a study conducted at a hospital in South Korea, the prevalence of fatigue was 32.3% among patients with cancer and 45.5% among female patients with cancer.<sup>6</sup>

Managing cancer-related fatigue is one of the main concerns in the management of patients with cancer. Cancer-related fatigue leads to considerable socioeconomic costs for patients with cancer. Patients with cancer face difficulties in their daily lives due to fatigue, and they miss an average of 4.2 days of work per month. The primary caregivers of patients with cancer are also negatively influenced, missing an average of 4.5 days of work per month.<sup>7</sup> Cancer-related fatigue also compromises the quality of life and, in severe cases, it negatively affects the effectiveness of treatment by prompting dose reductions, delaying anticancer therapy or reducing adherence to prescribed drugs.<sup>8</sup> Therefore, management of cancer-related fatigue is very important.

Several factors contribute to the development of cancer-related fatigue. However, the pathological mechanisms involved are not well known.<sup>9</sup> Currently, the underlying pathologies of cancer-related fatigue

are treated with haematopoietic agents or antidepressants, but the treatment is not effective against fatigue produced by other aetiologies, or it only improves anaemia or depression.<sup>10</sup> Furthermore, cancer-related fatigue is not treated adequately to meet the needs of patients with cancer. More than half (61%) of the patients with cancer answered that fatigue has greater influence on their lives than pain; however, only 27% of patients with cancer received specific recommendations for fatigue treatment. There is no definitive treatment for cancer-related fatigue, so only 9% of physicians prescribe drugs to treat fatigue.<sup>7</sup> Therefore, more research on evidence-based interventions for cancer-related fatigue is needed.

Sipjeondaebotang (SJDBT; Juzen-taiho-to in Japanese or Shi-Quan-Da-Bu-Tang in Chinese) is a widely used herbal medicine in Korea, China and Japan. In Korea, it is the third most commonly prescribed herbal medicine.<sup>11</sup> According to Korean medicine theory, SJDBT treats the syndrome of dual deficiency of qi and blood by balancing Yin and Yang.<sup>12</sup> The Ministry of Food and Drug Safety (MFDS) has approved SJDBT to treat weakness after illness, anorexia, night sweats, cold hands and feet, and anaemia.<sup>13</sup> Despite the frequent use of SJDBT for the treatment of fatigue, there have only been clinical trials on haematotoxicity<sup>14</sup> and anaemia,<sup>15 16</sup> and scientific evidence for SJDBT on cancer-related fatigue is lacking. Therefore, the aim of the present study is to evaluate the feasibility of SJDBT for fatigue in patients with breast cancer receiving chemotherapy. To achieve this aim, a randomised, double-blind, placebo-controlled, cross-over trial has been planned.

## METHODS AND ANALYSIS

### Study design

A randomised, double-blind, placebo-controlled, cross-over trial will be conducted at the Catholic Kwandong University International St Mary's Hospital in Incheon, Republic of Korea. Any participants meeting the eligibility criteria will be enrolled. After enrolment, the participants will be randomly allocated to two groups: group A and group B. A schematic flow of the study is shown in figure 1. Both groups will receive four cycles of doxorubicin and cyclophosphamide chemotherapy, and each cycle will last 21 days. There is no expected protocol modification. However, if it happens, any modification in the protocol will be communicated to the investigators via conference calls. The final manuscript for publication will include all the amendments.

### Recruitment

Patients who visit the trial institution and fulfil the eligibility criteria will be invited to participate in the study by the investigator in charge. The investigators will provide detailed trial information including study period, purpose, eligibility criteria, intervention and design.

## Participants

### Inclusion criteria

Men and women aged 20–65 years, patients who have histologically or cytologically confirmed breast cancer, patients who are indicated for doxorubicin and cyclophosphamide, Eastern Cooperative Oncology Group performance status score 0–2 and patients willing and able to give informed consent for participation in the study

### Exclusion criteria

Patients who are unable to take drugs orally; patients receiving neoadjuvant chemotherapy; patients with mental illness such as dementia, delirium and depression; patients with hepatitis B, hepatitis C or liver cirrhosis; patients with severe renal disability (two times higher than the upper limit of normal for serum creatinine); patients with severe liver disability (three times higher than the upper limit of normal for alanine transaminase and aspartate transaminase); patients with diabetes (haemoglobin A1c >8%) or hypertension (systolic blood pressure >160 mm Hg or diastolic blood pressure >100 mm Hg) that is not controlled by diet or medication; patients with thyroid disease; patients with severe systemic disease; use of other investigational products within 30 days of the study period; patients with known prior hypersensitivity to the investigational product and individuals who are judged inappropriate for the study.

### Subject withdrawal criteria

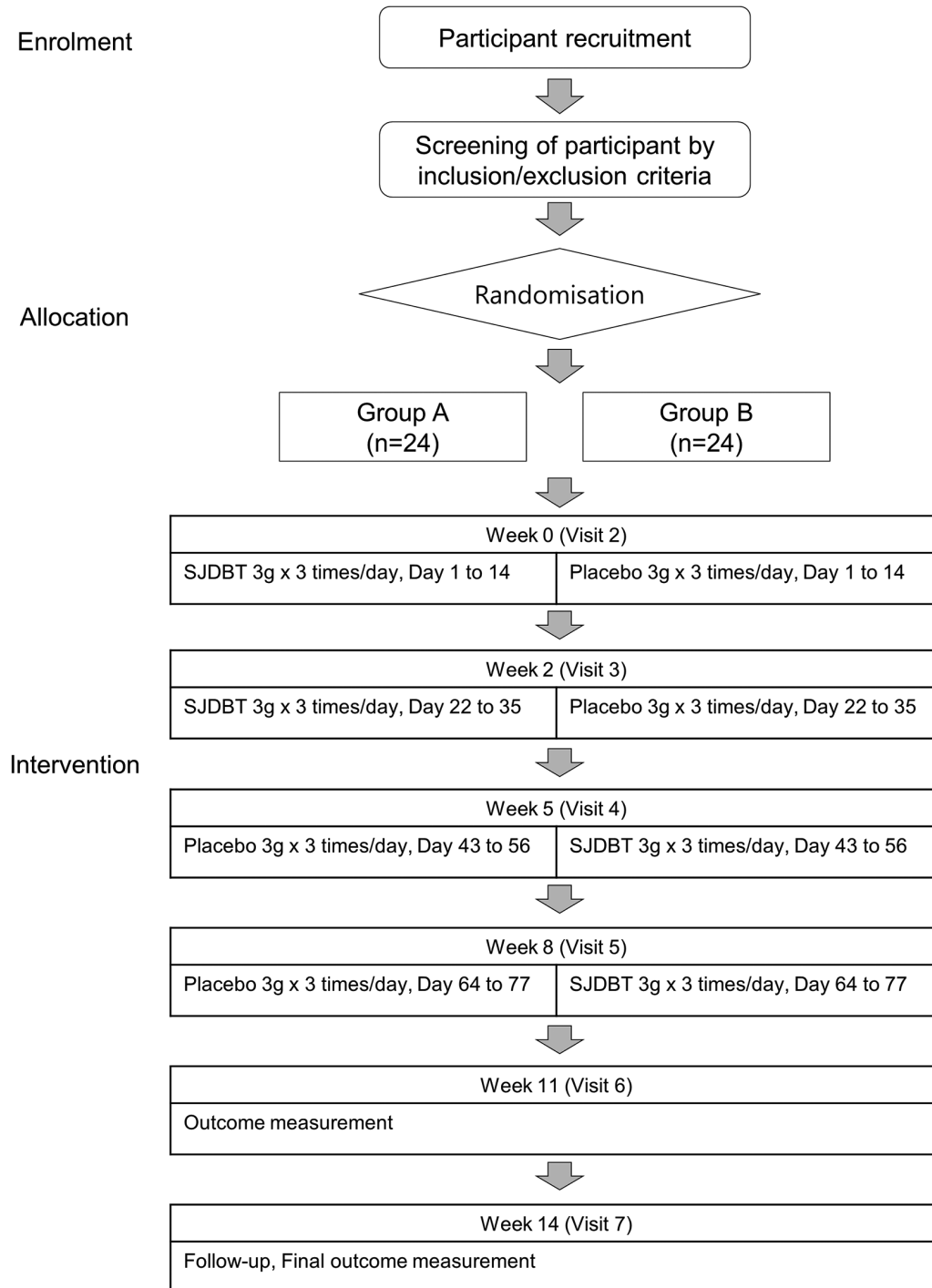
The participants who meet the following criteria will be discontinued from the study: the occurrence of a serious adverse event related to investigational product, investigator's decision that it is not appropriate to proceed the trial, participants who do not comply with investigator's instruction, occurrence of significant protocol violations and participant's withdrawal of consent or request to stop taking medication. The participants who are withdrawn from the study after allocation will be followed for outcomes as far as possible.

### Sample size

This is a pilot study that examines the feasibility of conducting a large-scaled randomised clinical trial of SJDBT for treating cancer-related fatigue in patients with breast cancer. Considering that a sample size between 24 and 50 has been recommended for a pilot study,<sup>17 18</sup> and the sample size of other similar pilot studies, a total of 48 participants will be recruited for the present study.<sup>19 20</sup> Thus, 24 participants will be allocated to group A and another 24 to group B.

### Randomisation and allocation

The participants who meet the inclusion and exclusion criteria will be randomly allocated using random numbers generated by the Contract Research Organisation, Institute of Safety, Efficacy and Effectiveness Evaluation for Korean Medicine (ISEE). Block randomisation using R software with block size of four will be conducted.



**Figure 1** Study flow chart. SJDBT, Sipjeondaebotang.

The enrolled participants will be assigned to one of two groups with the allocation ratio of 1:1. The randomisation table will be kept in an opaque and sealed envelope by the ISEE, and it will be unclosed according to standard operating procedures (SOPs).

### Blinding

A researcher at ISEE will prepare computer-generated random numbers and a randomisation table. Hanpoong Pharm and Foods Co will produce and label the investigational product in accordance with Korea Good

Manufacturing Practice standards. The labelled investigational product will be provided to the study institution by the pharmaceutical company. Since ISEE is an independent centre from the trial institution, investigators involved in recruitment, treatment and outcome assessment will be blinded to the allocation.

### Treatment protocol

The participants will receive SJDBT or placebo for a total of 56 days. They will take 3g of the investigational product orally with water three times a day after meals.

The administration periods of the investigational product will be 2 weeks prior to the visiting day for chemotherapy. The participants of group A will take SJDBT from day 1 to day 14 and from day 22 to day 35, and placebo from day 43 to day 56 and from day 64 to day 77 of the trial period. The participants of group B will take placebo from day 1 to day 14 and from day 22 to day 35, and SJDBT from day 43 to day 56 and from day 64 to day 77 of the trial period. The participants will be asked to return the drug remains for the sake of calculating compliance. During the clinical trial, the participants will be prohibited to get other treatment for fatigue.

### Interventions

SJDBT is an herbal medicine that has been approved by the MFDS. It consists of 1 g Cinnamomi Cortex, 1 g Paeoniae Radix, 1 g Atractylodis Rhizoma Alba, 1 g Ginseng Radix Alba, 1 g Cnidii Rhizoma, 1 g Astragali Radix, 1 g Poria Sclerotium, 1 g Rehmanniae Radix Preparata, 1 g Angelicae Gigantis Radix and 0.5 g Glycyrrhizae Radix. These raw materials, together with lactose hydrate and corn starch, will be concentrated to a single dose of 3 g. The placebo consists of lactose, corn starch and caramel colouring, and it has an appearance, weight, colour and taste similar to those of SJDBT. The investigational product used in the present study is a dark brown-coloured granule. The present study is an investigator initiated trial funded by the government, and the role of pharmaceutical company is limited to provide the investigational product.

### Primary outcome measurement

The primary outcome is the change in the usual fatigue severity of the Brief Fatigue Inventory (BFI) between the duration of the SJDBT administration and the duration of placebo administration. BFI is an instrument for the rapid assessment of subjective fatigue status in patients with cancer. Its reliability and sensitivity has been validated.<sup>21</sup> The development of the Korean version of the BFI was led by the National Cancer Center in Korea. The Korean version of the BFI has now been validated.<sup>22</sup> The usual fatigue severity of BFI has been validated as a sensitive and reliable clinical indicator in Korean patients with cancer,<sup>23</sup> and the clinical implication of the worst fatigue severity of BFI has also been validated.<sup>21</sup> The BFI consists of nine items on an 11-point rating scale and can be measured within 10 min. The BFI will be measured by a trained researcher at visit 2, 4, 6 and 7 according to SOPs.

### Secondary outcome measurements

Secondary outcome measurements include the global BFI score, worst fatigue severity of BFI, changes in the Visual Analogue Scale (VAS) of fatigue and quality of life measured by the European Organization for Research and Treatment of Cancer (EORTC)-QLQ-C30 and QLQ-BR23. EORTC-QLQ-C30 is a questionnaire developed to evaluate the quality of life in patients with cancer and QLQ-BR23 is a breast cancer-specific module.<sup>24</sup>

Although some limitations have been reported, three fatigue questions on EORTC-QLQ-C30 have been independently validated as a measure of fatigue.<sup>25 26</sup> The Korean version of the EORTC-QLQ-C30 and QLQ-BR23 has now been validated in Korean patients with cancer.<sup>27 28</sup> The study schedule according to The Standard Protocol Items: Recommendations for Interventional Trials is detailed in table 1.

### Safety outcomes

All safety-related variables including vital signs, physical examination, haematological test, biochemical test, urine test and adverse events will be recorded on the case report form (CRF) at every visit. Haemoglobin, haematocrit, red blood cell indices, white blood cell differential count, platelet and so on will be measured in the blood, and nitrite, albumin, bilirubin, ketone, protein and so on will be measured in the urine. Adverse events will be evaluated using the National Cancer Institute (Bethesda, Maryland, USA) Common Terminology Criteria for Adverse Events V.4.03.<sup>29</sup> The adverse events will be assessed by a trained investigator at every visit and if any participant wishes to consult a doctor for any reason, including the occurrence of an adverse event, they can contact the investigator in charge at any time, or visit the trial institution for examination, and all of this will be recorded on the CRF. A serious adverse event will be considered to be an event with the following outcomes: death, life-threatening condition, hospitalisation or prolongation of hospitalisation, disability or permanent damage.

### Statistical analysis

#### Efficacy assessment

The baseline characteristics will be analysed by an independent two-sample t-test for continuous variables or the  $\chi^2$  test for the categorical variables (Fisher's exact test will be used when the expected value is <5). Alternatively, if the normality assumption is not satisfied, Wilcoxon rank-sum test will be conducted for the continuous variables. The normality assumption will be assessed by the Shapiro-Wilk test. The continuous variables will be presented as the mean $\pm$ SD or median and range, and the categorical variables will be presented as the n (%) or the absolute and relative frequencies. Statistical analyses for efficacy will be conducted for both ITT (intention-to-treat, all randomised participants who receive at least one dose of the study drug) and PP (per-protocol, a subset of the participants who complete the study without any major protocol deviations) data sets. ITT analyses will be considered as primary analyses, and PP analysis will be considered as secondary analysis. The missing values will be imputed by multiple imputation. For the primary outcome measure, the mean differences of BFI between the SJDBT administration period and the placebo administration period will be compared using an independent two-sample t-test. If the normal distribution assumption is not satisfied for the continuous variables, Wilcoxon

**Table 1** Study schedule of the SJDBT trial (14 weeks)

Timepoint	Study period							
	Enrolment		Allocation		Postallocation			Close-out
	Day -7 (V1)	Day 0 (V2)	Day 14 (V3)	Day 35 (V4)	Day 56 (V5)	Day 77 (V6)	Day 98 (V7)	
Eligibility screen	X							
Informed consent	X							
Allocation		X						
Group A	SJDBT	X*	X*					
	Placebo			X*	X*			
Group B	SJDBT			X*	X*			
	Placebo	X*	X*					
Demographic characteristic	X							
Vital signs	X	X	X	X	X	X	X	
Physical examination	X	X	X	X	X	X	X	
Laboratory test	X	X	X	X	X	X	X	
Brief fatigue inventory		X		X		X	X	
EORTC-QLQ-C30		X		X		X	X	
EORTC-QLQ-BR23		X		X		X	X	
VAS for fatigue		X	X	X	X	X	X	

\*The administration periods of the investigational product are 2 weeks prior to each visit. (Days 1–14, days 22–35, days 43–56, days 64–77).

EORTC, European Organization for Research and Treatment of Cancer; SJDBT, Sipjeondaebotang; VAS, Visual Analogue Scale.

rank-sum test will be conducted. For the secondary outcome measure, the mean differences of fatigue VAS, EORTC-QLQ-C30 and QLQ-BR23 between the SJDBT administration period and the placebo administration period will be compared using an independent two-sample t-test or Wilcoxon rank-sum test. A p value of <0.05 will be regarded as statistically significant. The present study does not consider an interim analysis.

### Safety assessment

The participants will be asked to report any adverse events that may occur during the trial at every visit. Any identified adverse event will be recorded in the CRFs. If a severe adverse event occurs and is associated with the investigational product, the participant will be withdrawn from the study and receive appropriate treatment. Any loss caused by the study will be compensated by insurance. Safety-related variables, including laboratory test results and adverse events, will be compared between the SJDBT administration period and the placebo administration period using the ITT dataset.

### Data and safety monitoring

The ISEE will monitor the present study for quality control according to SOPs. The trial institution will be monitored while this study is ongoing. There is no plan for auditing. For data quality improvement, double data entry and range checks for data values will be conducted. Adverse reactions will be reported to the institutional review board, and serious and unexpected

adverse reactions will be reported to regulatory authorities. There will be no coordinating centre, steering committee or endpoint adjudication committee in the present study.

### Ethics and dissemination

The current protocol version is 1.3. Written informed consent will be obtained prior to study commencement from each participant by the investigator. The present study will be conducted in compliance with the Declaration of Helsinki and Korean Good Clinical Practice published by the MFDS. Any information obtained from the participants will be handled confidentially. During the entire trial period, the data will be handled by the study identification number which is assigned to each participant at enrolment. All the records from the trial will be retained secure in a locked cabinet or password-protected files. Only investigators in charge will have authority to access the data. The results of this study will be published in a scientific journal. Thus far, there is no plan for public release of the full protocol and individual datasets.

### Patient and public involvement

There was no patient or public involvement in the design of the present study, and there is no planned patient or public involvement to recruit and conduct the study.

## DISCUSSION

The present study investigates the feasibility of SJDBT for cancer-related fatigue in patients with breast cancer. SJDBT is a widely used herbal medicine in Korea, China and Japan, and its pharmacological efficacy has been partly reported. In preclinical studies on cancer, SJDBT has been shown to inhibit melanoma metastasis by inducing natural killer cell activity and suppressing vascularisation,<sup>30 31</sup> alleviate cancer-induced anorexia and cachexia,<sup>32</sup> and protect against anticancer drug-induced myelosuppression.<sup>33</sup> It also exhibits antiangiogenic and immunomodulatory effects in malignant glioma<sup>34</sup> and preventive effects in endometrial carcinogenesis.<sup>35</sup> A case report suggested that SJDBT combined with gemcitabine enhances the antitumour effects in advanced biliary tract cancer.<sup>36</sup> Furthermore, SJDBT protects against carbon tetrachloride-induced anorexia and hepatotoxicity,<sup>37</sup> protects the gastric mucosa by exerting antioxidant effects,<sup>38</sup> inhibits retinal neovascularisation by inhibiting angiogenesis<sup>39</sup> and restraint stress.<sup>40</sup> Its potential therapeutic effect on Alzheimer's disease has also been reported.<sup>41</sup> These studies suggest possible use of SJDBT on various diseases, remaining the need of further research. Although there are several published papers on the efficacy of SJDBT in patients with cancer, the present study has its own significance. While previous studies focused on haematotoxicity,<sup>14</sup> quality of life,<sup>42</sup> anorexia<sup>43</sup> and immunity<sup>44</sup> of patients with cancer, the present study focuses on cancer-related fatigue. The limitations of the present study include its small sample size and single-centre design. The sample size of the present study is quite small compared with that of studies that evaluated the efficacy of American ginseng for cancer-related fatigue.<sup>45 46</sup> However, to the best of our knowledge, this is the first randomised controlled trial to evaluate the efficacy of SJDBT for cancer-related fatigue. So far, most studies on patients with cancer using traditional Korean medicine conducted in Korea are small-scale studies. Similar to other small pilot studies on sleep disturbance,<sup>47</sup> fatigue,<sup>48</sup> pain<sup>49</sup> and anorexia<sup>50</sup> in patients with cancer conducted in Korea, which have provided evidence for further study, we hope that the present study will also promote further studies and the use of herbal medicines in patients with cancer. The present study may be regarded as a study that investigates preventive effect of SJDBT in fatigue during chemotherapy. However, one of the limitations of the present study is the difficulty to define clearly whether the fatigue is due to chemotherapy, surgery or breast cancer. Based on the results of this study, a further, large-scale study to investigate the efficacy of SJDBT in patients with significant fatigue during the first cycle of chemotherapy is needed. Furthermore, the present study reduces patient heterogeneity by specifying not only cancer types but also types of chemotherapy. Besides, this study assesses quality of life to evaluate the effect of SJDBT on general health status. Even though it has a few limitations, the present study would be meaningful in that it is a pilot study to plan further large-scale

trials by evaluating not only cancer-related fatigue but also quality of life due to overall symptoms.

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**Contributors** CC and SK have written the first manuscript for this trial and they will contribute to monitoring this trial. YK and MK have contributed to the development of the protocol. BHJ and YCS have edited the first manuscript. SGK has conducted all the procedures for this protocol. All authors have read and approved the final manuscript.

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**Competing interests** None declared.

**Patient consent** Obtained.

**Ethics approval** The Institutional Review Board of the Catholic Kwandong University International St. Mary's Hospital approved the study (reference IS16MNSI0011).

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