



Developing a Dementia Platform Databank Using Multiple Existing Cohorts

Minwoong Kang^{1,2*}, Bo Kyoung Cheon^{1,3*}, Min Jung Hahn³, Sang Won Seo^{1,3,4}, Juhee Cho^{1,2,5}, Soo-Yong Shin^{1,6}, Duk L. Na^{3,4}, Jaelim Cho⁷, Seong Hye Choi⁸, and Danbee Kang^{2,5}

¹Department of Digital Health, SAIHST, Sungkyunkwan University, Seoul, Korea;

²Center for Clinical Epidemiology, Samsung Medical Center, Seoul, Korea;

³Neuroscience Center, Samsung Medical Center, Seoul, Korea;

⁴Department of Neurology, Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, Korea;

⁵Department of Clinical Research Design and Evaluation, SAIHST, Sungkyunkwan University, Seoul, Korea;

⁶Center for Research Resource Standardization, Samsung Medical Center, Seoul, Korea;

⁷Department of Preventive Medicine, Yonsei University College of Medicine, Seoul, Korea;

⁸Department of Neurology, Inha University School of Medicine, Incheon, Korea.

This study was conducted as a pilot project to evaluate the feasibility of building an integrate dementia platform converging pre-existing dementia cohorts from several variable levels. The following four cohorts were used to develop this pilot platform: 1) Clinical Research Center for Dementia of South Korea (CREDOS), 2) Korean Brain Aging Study for Early Diagnosis and Prediction of Alzheimer's disease (K-BASE), 3) Environmental Pollution-induced Neurological Effects (EPINEF) study, and 4) a prospective registry in Dementia Platform Korea project (DPKR). A total of 29916 patients were included in the platform with 348 integrated variables. Among participants, 13.9%, 31.5%, and 44.2% of patients had normal cognition, mild cognitive impairment, and dementia, respectively. The mean age was 72.4 years. Females accounted for 65.7% of all patients. Those with college or higher education and those without problems in reading or writing accounted for 12.3% and 46.8%, respectively. Marital status, cohabitation, family history of Parkinson's disease, smoking and drinking status, physical activity, sleep status, and nutrition status had rates of missing information of 50% or more. Although individual cohorts were of the same domain and of high quality, we found there were several barriers to integrating individual cohorts, including variability in study variables and measurements. Although many researchers are trying to combine pre-existing cohorts, the process of integrating past data has not been easy. Therefore, it is necessary to establish a protocol with considerations for data integration at the cohort establishment stage.

Key Words: Dementia, platform, cohort, database

The World Alzheimer Report estimated that 46.8 million people worldwide are living with dementia and projected that the num-

ber would increase to 131.5 million by 2050.¹ Although Alzheimer's disease (AD) is a disorder with significant unmet needs, improvements in the prevention and treatment of dementia have been limited.² The lack of success in the development of effective treatments for dementia is an ongoing public health challenge. Because of screening failures, the pharmaceutical industry is disinvesting.³ To find new approaches that would enhance pre-screening to reduce clinical trial failure rates, global efforts to gather big data are ongoing.⁴ In Korea, clinical registries for dementia research have also been developed.⁵⁻⁷ However, major deficiencies in regards to existing dementia registries have limited possibilities of data sharing between existing data collection systems (e.g., interoperability) and lack of available data on the costs of operating dementia registries and their cost-ef-

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Corresponding author: Danbee Kang, PhD, Department of Clinical Research Design and Evaluation, SAIHST, Sungkyunkwan University, 115 Irwon-ro, Gangnam-gu, Seoul 06355, Korea.

Tel: 82-2-2148-7197, Fax: 82-2-3414-0688, E-mail: dbee.kang@skku.edu

*Minwoong Kang and Bo Kyoung Cheon contributed equally to this work.

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fectiveness.⁸

Since aggregating data into larger pools is essential to obtain effective data, there have been global attempts to consolidate data from different cohorts.⁹⁻¹² Currently, however, only a few platforms support the sharing of measurements and derived data, and only a few services provide a combined preprocessed

Table 1. Characteristics of the Included Cohorts

Characteristics	Cohort			
	CREDOS (n=18240)	K-BASE-VI (n=385)	EPINEF (n=200)	DPKR (n=355)
Recruitment period	2005–2015	2015–2019	2014–2019	2018–2020
Number of hospitals*	59	9	7	13
Cognitive status				
Normal	2069 (11.3)	173 (44.9)	200 (100)	71 (20)
MCI	6127 (33.6)	88 (22.9)	0 (0)	134 (37.7)
Dementia	7512 (41.2)	75 (19.5)	0 (0)	89 (25.1)
Unknown	2532 (13.9)	49 (12.7)	0 (0)	61 (17.2)
Age at baseline (yr)	71.7±8.9	71.1±8.7	67.9±6.7	71.2±8.8
Sex				
Male	6047 (33.2)	132 (34.3)	103 (51.0)	119 (33.5)
Female	12192 (66.8)	207 (53.8)	97 (49.0)	190 (53.5)
Unknown	1 (0)	46 (11.9)	0 (0)	46 (13.0)

CREDOS, Clinical Research Center for Dementia of South Korea; MCI, Mild Cognitive Impairment; DPKR, a prospective registry in Dementia Platform Korea project; EPINEF, Environmental Pollution-induced Neurological Effects; K-BASE, the Korean Brain Aging Study for the Early Diagnosis and Prediction of Alzheimer's disease.

Values are presented as a n (%) or mean±SD.

*Participated in the cohort.

dataset at each variable level after performing data cleansing.¹³ One key challenge to combining individual data is that the protocols and methods used in each study are different. For this reason, integrating different data is a difficult process.¹⁴ Therefore, the aim of this study was a pilot project to evaluate the feasibility of building an integrate dementia platform for converging pre-exist dementia cohorts from individual variable levels.

Eligible cohorts satisfied the following conditions: 1) dementia cohorts built with national funding; 2) prospective cohorts; and 3) multicenter cohorts. After experts reviewed the potential for integrating a cohort, we contacted data owners to request access to their data and the sharing of the data to build a platform. The following four cohorts were identified as potentially useful cohorts to conduct this pilot study: 1) Clinical Research Center for Dementia of South Korea (CREDOS) (identifier: NCT01198093), 2) Korean Brain Aging Study for Early Diagnosis and Prediction of Alzheimer's disease (K-BASE),¹⁵ 3) Environmental Pollution-induced Neurological Effects (EPINEF) study,¹⁶ and 4) a prospective registry in Dementia Platform Korea project (DPKR) (identifier: KCT0005516) (Table 1). After obtaining approval for data sharing, we received the baseline data and variable catalogues from each cohort. The Institutional Review Board (IRB) of Samsung Medical Center approved this study (approval number: IRB 2018-07-016) and waived the requirement for informed consent as only de-identified data were used in this study.

In our study, we selected important domains in dementia based on the Korea National Health and Nutrition Survey (KNHANES).¹⁷ The domains included health surveys (ques-

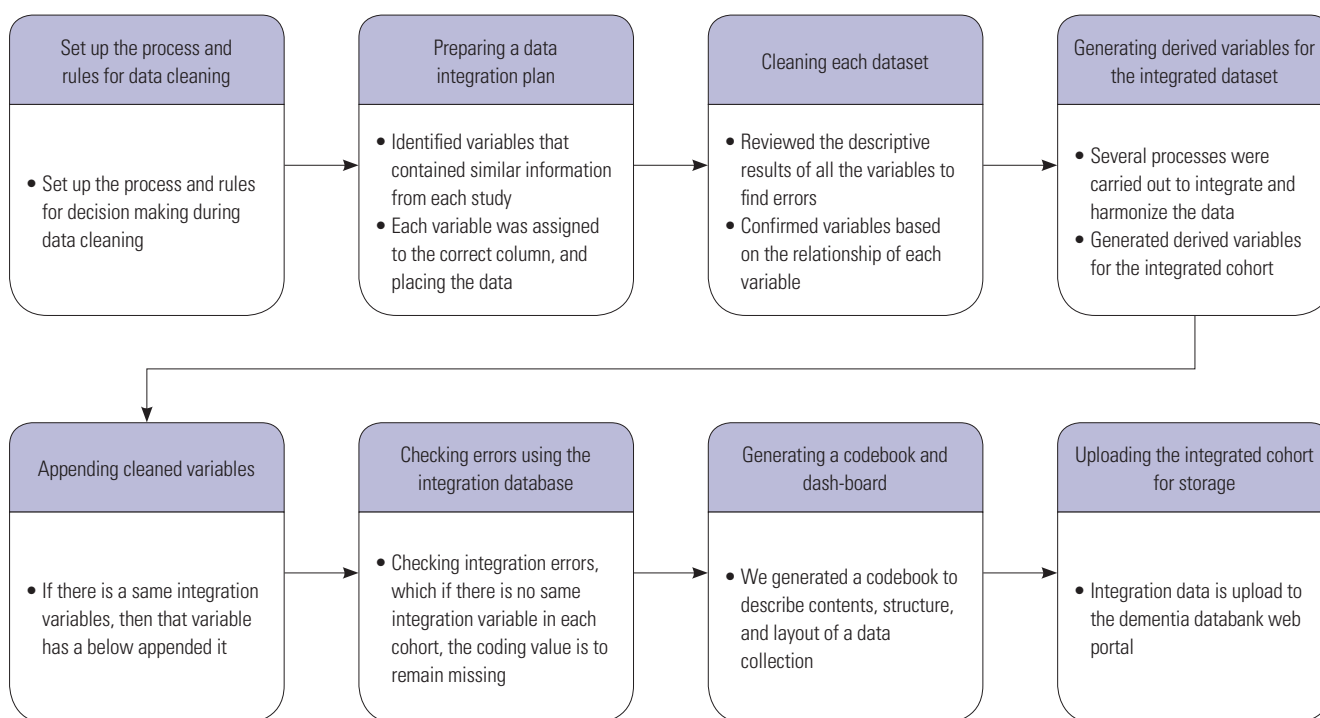


Fig. 1. Overall process of data integration.

tionnaires), neuropsychological tests, and physical examinations (laboratory, imaging, and other tests).¹⁸⁻²⁶ Among several variables, neuroimaging could not be integrated due to differences in file storage and transfer format. Since imaging and blood tests involve different methods of standardization, these variables were not included in this study. Sociodemographic characteristics, health behavior, comorbidities, family history, clinical assessment of QoL and mental health, and neuropsychological tests were available (Table 2).²⁷⁻³⁰

The overall process of data integration involved eight steps (Fig. 1): 1) setting up the rules for data cleaning, 2) preparing a data integration plan, 3) cleaning each dataset, 4) generating derived variables for the integrated dataset, 5) appending cleaned variables, 6) checking errors using the integration database, 7) generating a codebook and dashboard, and 8) uploading the integrated cohort for storage.

1) Set up and rules for data cleaning: all processes and rules for decision making during data cleaning are documented in Stata 14.0 (Stata Corp, College Station, TX, USA).

2) Preparing a plan for data integration: to integrate the cohorts, we identified variables that contained similar information from each study. Each variable was then extracted from raw data tables of each study and assigned to the correct column, placing the data for each subsequent study sequentially in the same column.

3) Cleaning each dataset: we reviewed the descriptive analysis results (distribution, frequency of each category) for all variables in the dataset to find errors. To identify logical errors, we confirmed variables based on instances of a potential hierarchical relationship (e.g., smoking status – amount of smoke).

4) Generating derived variables for the integrated cohort: to ensure that each cohort contained the same information that could be analyzed together, several processes were carried out to integrate and harmonize the data, including the following: (i) transforming each dataset to the same database programs (e.g., csv, dta); (ii) formatting heterogeneity variables to the same format (e.g., date: from dd-mm-yy to yyyy-mm-dd and gender from M/F to 1/2); (iii) evaluating syntactical heterogeneity (the meaning of the data captured is the same across sources, but words used to capture the information are different between different datasets); (iv) determining content heterogeneity (capture), wherein a whole variable is captured in one study, but not in another; (v) determining response heterogeneity (level of granularity), wherein some datasets had more response options than others in the same questionnaires. We generated derived variables for the integrated cohort. These data were then harmonized and cleaned further. For example, literacy was asked in five categories in CREDOS and three categories in K-BASE-VI, resulting in three categories of derived variables. In addition, when one cohort included categorical variables while another had continuous variables, we created a categorical variable from a continuous variable to combine the variable. Variables included in two or more cohorts were created as derived variables.

Table 2. Collected Variables by Cohort

Variables	Cohort			
	CREDOS	K-BASE-VI	EPINEF	DPKR
Sociodemographic				
Age (or birth year)	Yes	Yes	Yes	Yes
Sex	Yes	Yes	Yes	Yes
Housing type	Yes	Yes	Yes	Yes
Education	Yes	Yes	Yes	Yes
Literacy	Yes	Yes	Yes	Yes
Job	Yes	Yes	Yes	Yes
Married	No	Yes	Yes	Yes
Health behavior				
Smoking	Yes	Yes	Yes	Yes
Alcohol	Yes	Yes	Yes	Yes
Physical activity	Yes	Yes	Yes	Yes
Comorbidity				
Hypertension	Yes	Yes	Yes	Yes
Diabetes	Yes	Yes	Yes	Yes
Hyperlipidemia	Yes	Yes	Yes	Yes
Stroke	Yes	Yes	Yes	Yes
Heart disease	Yes	Yes	Yes	Yes
Cancer	Yes	Yes	Yes	Yes
Depression	Yes	Yes	Yes	Yes
Lung	Yes	Yes	No	Yes
Family history				
Dementia	Yes	Yes	Yes	Yes
Stroke	Yes	Yes	Yes	Yes
Parkinson	Yes	Yes	Yes	No
Clinical assessment				
Depression				
NPI	Yes	Yes	No	Yes
GDS-15	No	No	Yes	No
GDS-30	No	Yes	No	No
Anxiety				
BAI	No	No	No	Yes
Stress				
KNHANES: short form	No	No	No	Yes
Nutrition examination				
MDAI	Yes	No	No	No
MNA	No	Yes	No	Yes
SNAQ	No	Yes	No	No
EBS	No	Yes	No	No
Sleep				
PSQI	No	Yes	No	Yes
SSS	No	Yes	No	No
ESS	No	Yes	No	No
Quality of Life				
SF-36	No	No	No	Yes
Neuropsychological tests				
Cognitive screening questionnaires				
KDSQ	Yes	No	No	No
SMCQ	No	Yes	No	No
KAD8	No	No	No	Yes

Table 2. Collected Variables by Cohort (continued)

Variables	Cohort			
	CREDOS	K-BASE-VI	EPINEF	DPKR
MMSE				
KMMSE	Yes	No	Yes	Yes
MMSEKC	No	Yes	No	No
Neuropsychological battery				
SNSB	Yes	No	No	Yes
CERAD-K	No	Yes	No	No
Stroop test				
K-CWST	Yes	No	No	Yes
Stroop (CERAD-K)	No	Yes	No	No
Boston naming test				
K-BNT, S-K-BNT	Yes	No	No	Yes
Boston naming (CERAD-K)	No	Yes	No	No
Figure copy				
RCFT-copy	Yes	No	No	Yes
Rosen task (CERAD-K)	No	Yes	No	No
Verbal delayed recall				
SVLT-E delayed	Yes	No	No	Yes
Delay recall (CERAD-K)	No	Yes	No	No
Visual delayed recall				
RCFT delayed	Yes	No	No	Yes
Rosen recall (CERAD-K)	No	Yes	No	No
Animal fluency				
COWAT animal	Yes	No	No	Yes
Fluency (CERAD-K)	No	Yes	No	No
CDR				
CDR	Yes	Yes	No	Yes
CDRSB	Yes	Yes	No	Yes
Activities of daily living				
BADL	Yes	No	No	Yes
S-IADL	Yes	No	No	Yes
BDS-ADL	No	Yes	No	No
Imaging test				
MRI	Yes	Yes	Yes	Yes
PET	No	Yes	Yes	Yes
Physical examination				
Height	Yes	Yes	Yes	Yes
Weight	Yes	Yes	Yes	Yes
Blood pressure	Yes	Yes	Yes	No
Abdominal circumference	Yes	Yes	Yes	No
Blood examination				
Cholesterol	Yes	No	No	Yes
TPHA	Yes	No	No	No
HDL	Yes	Yes	No	Yes
VDRL	Yes	Yes	No	Yes
LDL	Yes	Yes	No	Yes
TG	Yes	Yes	No	Yes
Folate	Yes	Yes	No	Yes
Glucose	Yes	No	No	Yes
HbA1C	Yes	Yes	No	No
Homocysteine	Yes	Yes	No	No

Table 2. Collected Variables by Cohort (continued)

Variables	Cohort			
	CREDOS	K-BASE-VI	EPINEF	DPKR
TSH	Yes	Yes	No	No
Fibrinogen	Yes	No	No	No
CRP	Yes	No	No	No

CREDOS, Clinical Research Center for Dementia of South Korea; K-BASE, the Korean Brain Aging Study for Early Diagnosis and Prediction of Alzheimer's disease; EPINEF, Environmental Pollution-induced Neurological Effects; DPKR, a prospective registry in Dementia Platform Korea project; GDS, Geriatric Depression Scale; KNHANES, Korea National Health and Nutrition Survey; BAI, Beck Anxiety Index; MDAI, Mini Dietary Assessment Index; MNA, Mini Nutritional Assessment; SNAQ, Simplified Nutritional Appetite Questionnaire; EBS, Eating Behavior Scale; PSQI, Pittsburgh Sleep Quality Index; SSS, Stanford Sleep Scale; ESS, Epworth Sleepiness Scale; SF-36, Short-form Health Survey; KDSQ, Korean Dementia Screening Questionnaire-Cognitive; SMCQ, Subjective Memory Complaints Questionnaire; KAD8, Korean Alzheimer Disease 8; KMMSE, Korean Mini-mental State Examination; MMSEKC, Mini-mental Status Examination in the Korean Version of the Consortium to Establish a Registry for Alzheimer's Disease Assessment Packet; SNSB, Seoul Neuropsychological Screening Battery; CERAD-K, The Korean Version of the Consortium to Establish a Registry for Alzheimer's Disease Assessment Packet; K-CWST, Korean-Color Word Stroop Test; K-BNT, Korean Boston Naming Test; S-K-BNT, Short form of the Korean Boston Naming Test; RCFT, Rey Complex Figure Task; SVLT-E, Seoul Verbal Learning Test-Elderly; COWAT, Controlled Oral Word Association Test; CDR, Clinical Dementia Ratings; CDRSB, Clinical Dementia Ratings Sum of Boxes; BADL, Barthel Activities of Daily Living; S-IADL, Seoul-Instrumental Activities of Daily Living; TPHA, treponema pallidum hemagglutination assay; HDL, high density lipoprotein; VDRL, Venereal Disease Research Laboratories; LDL, low density lipoprotein; TG, triglyceride; TSH, thyroid stimulating hormone; CRP, C-reactive protein.

5) Appending cleaned variables: if the same variables were integrated, then that variable was appended.

6) Checking data integration errors: after appending the cleaned data, we checked for integration errors. If the same variable was not integrated in each cohort, the coding value was to remain missing.

7) Generating a codebook: we generated a codebook to describe the contents, structure, and layout of the collected data. The codebook provided information on variable name, variable label, question text, value, value label, summary statistics, and missing data. For summary statistics, depending on the type of variable, unweighted summary statistics were provided for quick reference. For categorical variables, for instance, frequency counts showing the number of times a value occurred and the percentage of cases that the value represented for the variable were appropriate. For continuous variables, minimum, maximum, and median values were relevant.

8) Uploading the integrated cohort for storage: the integrated data were upload to the dementia databank web portal. Researchers can access the data after going through a certification process. Application for access can be made through the Data Portal: <http://dementiasplatform.kr/>.

A total of 29916 patients were included in the platform with 348 integrated variables. On average, each variable had missing information on 16.8% of the data. Among these 348 variables,

Table 3. Characteristics of the Participants (n=29916)

Variable	No. of available	Values
Status of dementia		
Normal		4156 (13.9)
Mild cognitive impairment		9412 (31.5)
Dementia		13227 (44.2)
Unknown		3121 (10.4)
Age (yr)	28578	72.4±8.7
Sex	29593	
Male		10138 (34.3)
Female		19455 (65.7)
Education level	26583	
None		7789 (29.3)
Elementary school		7280 (27.4)
Middle school		2919 (11.0)
High school		4927 (18.5)
College or higher		3668 (13.8)
Literacy	25551	
None		1035 (4.1)
Problem reading or writing		10522 (41.2)
No problem		13994 (54.7)
Married*	1707	
Single		2 (0.1)
Married		1288 (75.5)
Divorce or separated		66 (3.9)
Bereaved		328 (19.2)
Other		23 (1.3)
Cohabitation	4278	
Living alone		659 (15.4)
Only spouse		1889 (44.2)
Spouse and other family		656 (15.3)
Family without spouse		787 (18.4)
Other		287 (6.7)
Current worker (yes)	26887	4888 (18.2)
Smoking status	12211	
Never smoker		8821 (72.2)
Ex-smoker		2554 (20.9)
Current		836 (6.9)
Alcohol status	12437	
Never drinker		7228 (58.1)
Ex-drinker		2231 (17.9)
Current drinker		2978 (24.0)
Physical activity		
Vigorous	6141	1141 (18.6)
Moderate	8871	4138 (46.6)
Walking	9903	6150 (62.1)
Comorbidity*		
Hypertension	27627	13978 (50.6)
Diabetes	27616	5891 (21.3)
Hyperlipidemia	27594	5220 (18.9)
Stroke	27254	2358 (8.0)
Heart disease	27606	4010 (14.5)
Cancer	27562	1726 (6.3)
Depression	27590	4187 (15.2)

Table 3. Characteristics of the Participants (n=29916) (continued)

Variable	No. of available	Values
Family history*		
Dementia	11884	2629 (22.1)
Stroke	11793	2410 (20.44)
Parkinson	984	23 (2.3)
CGA-NPI	3258	9.1±9.8
Pittsburgh Sleep Quality Index	1060	4.6±3.0
Nutrition examination		
Mini nutritional assessment	1201	6.3±1.0
Mini Dietary Assessment Index	10517	36.2±5.8
Geriatric Depression Scale	25184	
Mild		12726 (50.5)
Moderate		7173 (28.5)
Severe		5285 (21.0)
Neuropsychological tests		
Cognitive screening questionnaires	25820	
Cognitively unimpaired		19711 (76.3)
Cognitively impaired		6109 (23.7)
Mini-mental State Examination, <20	28144	15771 (56.0)
Boston Naming Test, <-1SD	16972	7362 (43.4)
Figure copy, <-1SD	21205	8781 (41.4)
Verbal delayed recall, <-1SD	22256	14073 (63.2)
Visual delayed recall, <-1SD	20266	12081 (63.2)
Animal fluency, <-1SD	21942	13245 (60.4)
Stroop Test, <-1SD	19023	10080 (53.0)
Clinical Dementia Ratings	27466	
None		2142 (7.8)
Questionable		15484 (56.4)
Mild		6694 (24.4)
Moderate		2573 (9.4)
Severe		557 (2.0)
Profound		11 (0.0)
Terminal		5 (0.0)

CGA-NPI, Caregiver-Administered Neuropsychiatric Inventory; SD, standard deviation.

Values are presented as a n (%) or mean±SD.

*Mutually not.

marital status (94.3%), cohabitation (85.7%), and family history of Parkinson (96.7%) had missing rates higher than 80%. Missing rates were 50% to 80% for smoking status (59.2%), drinking status (58.4%), vigorous physical activity (75.5%), moderate physical activity (71.3%), walking (67.9%), family history of dementia (61.3%), family history of stroke (61.3%), Pittsburgh Sleep Quality Index (61.2%), mini nutritional assessment (55.9%), and mini-dietary assessment index (62.3%). On the other hand, age (4.5%), sex (1.1%), education (3.7%), and neuropsychological tests (0.9%) had missing rates less than 5% (Table 3).

Among participants, 13.9% (n=4156), 31.5% (n=9412), and 44.2% (n=13227) of patients had normal cognition, mild cognitive impairment, and dementia, respectively (Table 3). The mean age was 72.4 years. Females accounted for 65.7%. Those with college or higher education and those without problems in reading or writing accounted for 12.3% and 46.8%, respectively.

We established a dementia platform databank by integrating pre-existing dementia cohorts in Korea. In the dementia area, other data platforms are also available. The most popular platforms are the Dementias Platform UK (DPUK) Data Portal,⁹ the EU Joint Programme for Neurodegenerative Disease Research (JPND) Global Cohort Directory,³¹ the Integrative Analysis of Longitudinal Studies of Aging and Dementia (IALSA) Network,¹¹ and the Global Alzheimer's Association Interactive Network (GAAIN).¹² DPUK included 35 cohorts. Of these cohorts, 22 (n=1399082) have uploaded full or partial datasets, and 13 (n=2062162) will upload on a per project basis.⁹ The JPND Global Cohort Directory (<http://www.neurodegenerationresearch.eu/jpnd-global-cohort-portal/>) provides contact details for 175 cohorts (n=3586109), whilst the IALSA Network (<http://www.ialsa.org/>) provides details for 110 cohorts (n=1485410). More sophisticated and convenient data discovery tools are provided by GAAIN with 47 cohorts (n=480020). GAAIN also offers centralized processing for selected datasets.¹² EMIF-AD offers a comprehensive data harmonization program for a selection of their 60 catalogued cohorts (n=135959) and 18 electronic health records datasets (n=65000000).³¹ Our pilot platform sought to integrate data from each variable level in pre-existing dementia cohorts, and we found that integration was difficult if each cohort had difference measurements. In the UK, the ROADMAP project supported by the Medical Research Council has attempted an approach similar to ours to optimize evidence of Alzheimer's disease based on data integration.³² Data Cube, an integrated data platform, includes information on clinical diagnosis; disease severity and progression; cognitive and functional ability; independence; behavioral and neuropsychiatric symptoms; medical investigations; healthcare and social services utilization; therapeutic treatment; disease-related life events; QoL for the patient, caregiver, and family members; mortality; and comorbidities.

Data Cube suggests combining domains from different data sources for use in research studies. However, even though individual cohorts have the same domain and all of them are of high quality, we found there were several barriers to integrating individual cohorts. First is missing values due to the variability of study variables across cohorts. Among all variables, anxiety, stress, nutrition, sleep, and QoL were not collected from some cohorts. Thus, these variables could be used only for a limited dataset. Second, even a domain may be available, sometimes it collected from different measurements, and this could lead to preanalytical variability. For example, physical activity and neuropsychological tests were measured using different questionnaires across cohorts. Thus, they could not be appended. Recently, an item response theory has been used to generate the same scores from a completely different test built to evaluate the same construct, assuming that a respondent has similar latent traits.³³ Once we use these types of methods, it will be easier to integrate data across cohorts.³⁴ Third, we were uncertain of the accuracy of the information obtained from pre-existing datasets.³⁵⁻³⁸

Although many researchers are trying to combine pre-existing cohorts, the process of integrating past data has not proven easy. Therefore, researchers should consider their choice of data elements and strive for quality assurance guided by reliability and validity, in addition to achieving the study purpose. Also, to aid in data integration, researchers should establish a protocol with considerations at the cohort establishment stage for the ability of the data to be integrated in future applications.

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AUTHOR CONTRIBUTIONS

Conceptualization: Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, Sang Won Seo, Juhee Cho, and Danbee Kang. **Data curation:** Bo Kyoung Cheon, Min Jung Hahn, Sang Won Seo, Duk L Na, Jaelim Cho, and Seong Hye Choi. **Formal analysis:** Minwoong Kang, Bo Kyoung Cheon, and Min Jung Hahn. **Funding acquisition:** Sang Won Seo. **Investigation:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Methodology:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Project administration:** Sang Won Seo. **Resources:** Sang Won Seo, Duk L Na, Jaelim Cho, and Seong Hye Choi. **Software:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Supervision:** Danbee Kang. **Validation:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Visualization:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Writing—original draft:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, and Danbee Kang. **Writing—review & editing:** Minwoong Kang, Bo Kyoung Cheon, Min Jung Hahn, Sang Won Seo, Juhee Cho, Soo-Yong Shin, and Danbee Kang. **Approval of final manuscript:** all authors.

ORCID iDs

Minwoong Kang	https://orcid.org/0000-0002-5162-3641
Bo Kyoung Cheon	https://orcid.org/0000-0002-5613-517X
Min Jung Hahn	https://orcid.org/0000-0001-7688-8198
Sang Won Seo	https://orcid.org/0000-0003-2568-0797
Juhee Cho	https://orcid.org/0000-0001-9081-0266
Soo-Yong Shin	https://orcid.org/0000-0002-2410-6120
Duk L Na	https://orcid.org/0000-0002-0098-7592
Jaelim Cho	https://orcid.org/0000-0002-4524-0310
Seong Hye Choi	https://orcid.org/0000-0002-4180-8626
Danbee Kang	https://orcid.org/0000-0003-0244-7714

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