



# Mitophagy Disequilibrium, a Prominent Pathological Mechanism in Metabolic Heart Diseases

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**Abstract:** With overall food intake among the general population as high as ever, metabolic syndrome (MetS) has become a global epidemic and is responsible for many serious life-threatening diseases, especially heart failure. In multiple metabolic disorders, maintaining a dynamic balance of mitochondrial number and function is necessary to prevent the overproduction of reactive oxygen species (ROS), which has been proved to be one of the important mechanisms of cardiomyocyte injury due to the mismatching of oxygen consumption and mitochondrial population and finally to heart failure. Mitophagy is a process that eliminates damaged or redundant mitochondria. It is mediated by a series of signaling molecules, including PINK, parkin, BINP3, FUNDC1, CTSD, Drp1, Rab9 and mTOR. Meanwhile, increasing evidence also showed that the interaction between ferroptosis and mitophagy interfered with mitochondrial homeostasis. This review will focus on these essential molecules and pathways of mitophagy and cell homeostasis affected by hypoxia and other stimuli in metabolic heart diseases.

**Keywords:** mitophagy, metabolic heart diseases, metabolic syndrome, PTEN induced putative kinase, PINK, parkin, Bcl-2/E1B19kDa-interacting protein, BNIP3, FUN14 domain-containing protein 1, FUNDC1, ferroptosis

## Introduction

Metabolic syndrome (MetS) refers to a group of cardiovascular risk factors that include insulin resistance, obesity, dyslipidemia, increased glucose intolerance, and increased blood pressure.<sup>1</sup> MetS, caused by high caloric intake and lack of exercise, has become a global epidemic.<sup>2</sup> The combination of two or more of these disorders can dramatically increase the risk for potentially life-threatening diseases, especially cardiovascular disease. Disorders of heart glucose and lipid metabolism inevitably lead to abnormal energy production and oxygen usage. It has been demonstrated that cells face severe oxidative stress in metabolic heart diseases. Mitochondria are considered the power-house of the cell and are involved in essential cellular functions, including ATP production, regulation of apoptosis, and especially the production and elimination of ROS. Mitochondrial abnormalities become the central pathogenesis of heart failure.<sup>3</sup> Disorders of mitochondrial electron transfer typically lead to the accumulation of ROS, impaired mitochondrial oxidative phosphorylation, and imbalances in mitochondrial biogenesis, which cause irreversible damage. Glucose and lipid metabolism can be improved in MetS by reducing oxidative damage and facilitating mitochondria-network-dependent activities.<sup>4</sup> A dynamic balance of mitochondrial population and function

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is essential for heart cell survival.<sup>5</sup> Mitophagy, a process for clearing damaged or redundant mitochondria, is a primary method of protection from anoxic cellular stimuli in MetS heart. Under hypoxic conditions, reducing the number of mitochondria can prevent the production of ROS caused by the mismatching of oxygen consumption and mitochondrial population. Mitophagy was confirmed to be inhibited with several forms, like phosphatidylinositol 3-kinases and mTOR, in the hearts of diabetic patients.<sup>6,7</sup> Furthermore, metabolic-stress-mediated vascular endothelial injury can be prevented by promoting mitophagy in obese and diabetic mice.<sup>8</sup> Selective mitochondrial degradation was previously thought to be a random event, though recent evidence suggests that mitophagy is a targeted process.<sup>9</sup>

Mitophagy can be categorized into three forms, basal mitophagy, stress-induced mitophagy and programmed mitophagy, which are triggered by different conditions and manifested as dependence on different regulatory factors, like parkin or NIP3-like protein X (NIX).

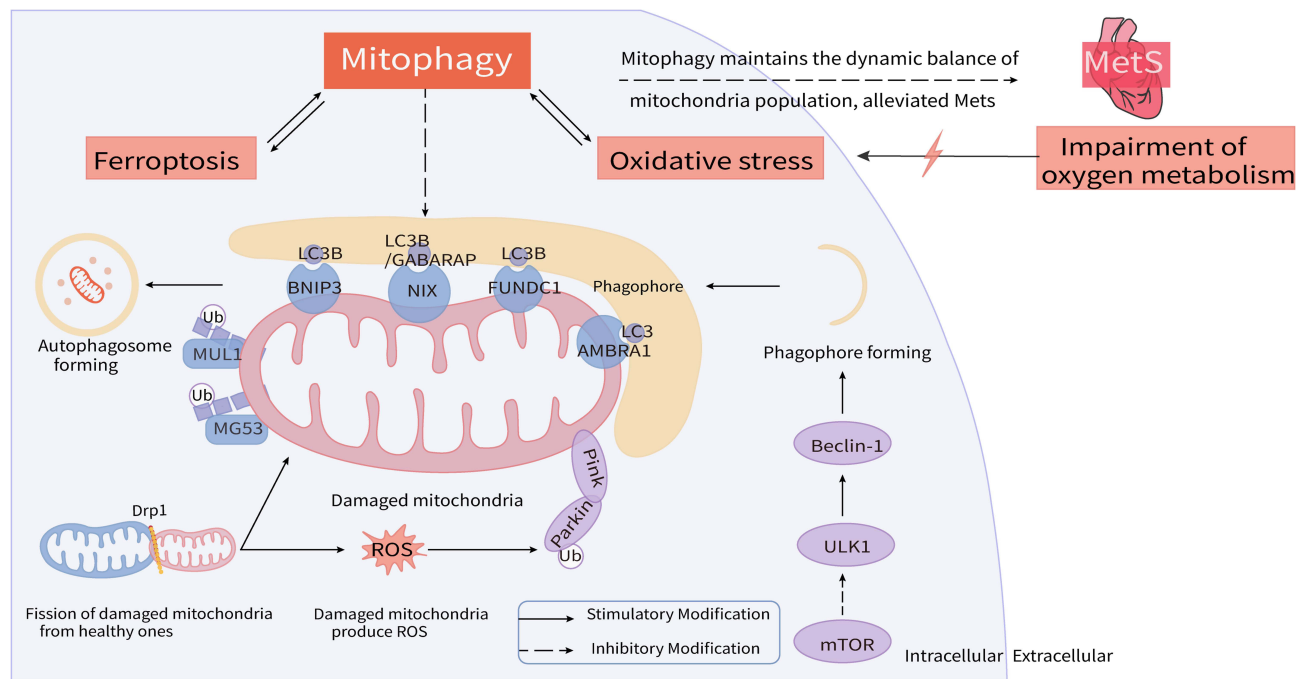
The process of mitophagy relies on a series of steps: selection, tagging, phagosome formation, transportation, and lysosome attachment. Once targeted, vesicles are used to isolate and transport mitochondria. This process is mainly mediated by receptors, such as Bcl-2/E1B19kDa-interacting protein (BNIP3), NIX, FUN14 domain-containing protein 1 (FUNDC1), and microtubule-associated protein 1A/1B light chain 3 (LC3B; a binding protein that is located in the outer membrane of vesicle fragments), which are recruited to form phagosomes.<sup>10</sup> Toshiro Saito et al<sup>11</sup> carried researches in mice, which suggests that when suffering from myocardial ischemia, autophagy (mitophagy) is mediated by a protein consisting of unc-51 like kinase 1 (Ulk1), dynamin-1-like protein (Drp1) and some other receptors. Mitophagy process can be negatively regulated by mammalian target of rapamycin (mTOR) along with other key proteins, such as Beclin.

Here, we review current understandings and advances about these essential molecules and pathways of mitophagy in metabolic heart diseases (Figure 1).

## PINK1 and Parkin

PTEN induced putative kinase 1 (PINK1) is a Ser/Thr kinase encoded by PINK1 gene and consists of 581 amino acids. Parkin is a cytoplasmic protein with 465 amino acid residues. Under normal conditions, PINK1 is transported to the mitochondrial matrix and degraded.

However, when mitochondria are damaged or redundant, damaged proteins located at the outer membrane of mitochondria are tagged by PINK1 or parkin—or various ubiquitin ligases such as mitochondrial ubiquitin ligase activator of NF- $\kappa$ B1 (MUL1), which is triggered by metabolic stress—thus promoting the degradation of damaged mitochondria by binding with lysosomes.<sup>12,13</sup> PINK1 is thought to protect myocardial cells from stress-induced mitochondrial dysfunction. The binding of parkin and depolarized mitochondria caused by activated PINK1 induces mitophagy.<sup>14,15</sup> It has been demonstrated in pancreatic beta-cells from patients with diabetes that PINK1/Parkin-mediated mitophagy has a protective role.<sup>16</sup> The PINK1 pathway also plays an important role in MetS, where mitophagy is induced in response to environmental changes. Wu et al<sup>8</sup> previously demonstrated that PINK1 and parkin pathways are activated by metabolic stress, protecting mitochondrial integrity in obese and diabetic mice. The absence of parkin partially inhibits mitochondrial autophagy, and increases lipid accumulation and exacerbates diastolic dysfunction.<sup>17</sup> Inhibition of Sirt3-Foxo3A-Parkin signal-mediated down-regulation of mitochondrial autophagy may play an important role in the occurrence and development of diabetic cardiomyopathy in STZ (streptozocin)-induced diabetes mellitus accompanying cardiac dysfunction mouse model.<sup>18</sup> Although the parkin/PINK1 axis is the main regulator of mitophagy, the process can also take place independent of parkin, suggesting that parkin is not unique.<sup>19</sup> However, it is likely that PINK1 and parkin may have value in the treatment of metabolic heart failure, a hypothesis that requires further investigation. Contrary to the current opinion about the central role of parkin in the ubiquitination of mitochondrial proteins, in the Drp1KO heart, mitochondrial ubiquitination is independent of parkin, and the absence of Drp1 and parkin aggravates heart defects.<sup>20</sup> Several ubiquitin ligases have been demonstrated as key players in the targeting process for mitophagy. These ubiquitin ligases include MUL1 and Mitsugumin 53 (MG53). MUL1 is a resident ubiquitin E3 ligase that is inserted into the mitochondrial outer membrane, which can stabilize Drp1.<sup>21,22</sup> Several studies demonstrated that MUL1 not only plays a role as a ubiquitin ligase but also as a mitophagy receptor by binding with E3 ligases or E2 box enzymes.<sup>23,24</sup> As a ubiquitin ligase, the complex MUL1-ubiquitin-conjugating enzyme E2 E3 (Ube2E3) associates with gamma aminobutyric acid receptor-associated protein (GABARAP, a protein of LC3 family)



**Figure 1** Mitophagy disequilibrium acts as a prominent pathological mechanism in metabolic heart diseases. Hypoxia and subsequent metabolic disorders contribute to oxidative stress injury and abnormal mitophagy in heart. Drp1 induces the fission of damaged mitochondria (in pink) from healthy mitochondria (in blue); Parkin and PINK response to the stimuli of ROS produced by damaged mitochondria and induce mitophagy; Receptors locating at the OMM, like BNIP3, NIX, FUNDC1 and AMBRA1, bind with LC3 proteins to mediate autophagosome formation; Ubiquitin ligases of MULL1 and MG53 recruit more phagophore to assist in mitophagy implement; mTOR plays a negative effect to regulate phagophore formation. In addition, ferroptosis has recently been found to be involved in and interact with mitophagy. Abnormalities of above molecules and pathways of mitophagy become critical nodes of heart dysfunction occurrence and development. Restoring mitochondrial homeostasis by modulating these targets may be an important strategy worthy of in-depth study and then accelerate the development of novel therapies for mitochondria-related metabolic heart diseases.

in HeLa cells. As a mitophagy receptor,<sup>25</sup> it has the ability to recruit the mitophagy machinery directly into mature neurons.<sup>26,27</sup> MG53, primarily expressed in striated muscle, is another ubiquitin ligase related to pathogenesis of MetS. Studies regarding the role of MG53 in MetS yielded conflicting results. Several studies have shown that over-expression of MG53 triggers muscle insulin resistance and MetS.<sup>28–30</sup> Cardiac-specific transgenic expression of MG53 induces severe diabetic cardiomyopathy in mice, accompanied by insulin resistance, increased lipid accumulation, myocardial hypertrophy, fibrosis, and cardiac dysfunction,<sup>31</sup> whereas others claimed that it has therapeutic applications, such as protecting injured mitochondria and inducing muscle regeneration.<sup>32,33</sup> Mitophagy ubiquitin ligases appear to have complex functions, and a single ligase may not modulate every form of mitophagy, but the process of mitophagy and the formation of phagosomes are likely mediated and regulated by networks of these proteins.

### BNIP3 and NIX/BNIP3L

BNIP3 is fundamental to the removal of mitochondria during the maturation of erythrocytes<sup>34,35</sup> and may play

a role in tumor suppression and heart disease.<sup>36,37</sup> BNIP3L and NIX, two types of apoptotic proteins, function by binding to LC3B (microtubule-associated protein 1A/1B light chain 3B)<sup>38–40</sup> or to GABARAP.<sup>41,42</sup> Both BNIP3 and BNIP3L/NIX interact with LC3 and p62 during hypoxic stress,<sup>39–41</sup> forming the LC3-p62 complex, which is partially involved in the process of mitophagy. Like many Bcl-2 family proteins, BNIP3 is also involved with mitochondrial outer membrane permeability.<sup>43</sup> Upregulation of BNIP3 leads to a series of reactions, like decreased mitochondrial potential and increased ROS species.<sup>44</sup> Moreover, BNIP3 can not only induce cell death but also aid in cell survival. Although few studies have been conducted on the clinical role of BNIP in heart disorder, it represents a potential target for therapeutic intervention requiring further investigation.

### FUNDC1

FUNDC1 is another mitophagy receptor that has been intensely studied and is associated with LC3B. It can be positively or negatively modulated by phosphorylation, similar to BNIP3 and NIX/BNIP3L.<sup>19</sup> In its basal state, FUNDC1 can be inhibited by phosphorylation, while

during severe hypoxia, it can mediate mitophagy by binding with LC3B.<sup>45–48</sup> FUNDC1 deficiency often leads to mitochondrial quality control disorders and mitochondrial redox imbalance,<sup>45</sup> which, can lead to cell death in myocardial infarction.<sup>49</sup> Moreover, FUNDC1 deficiency can also regulate ferroptosis leading to cardiac remodeling and dysfunction.<sup>50</sup> Thus, maintaining the function of FUNDC1 plays an essential role in the treatment of metabolic heart diseases.<sup>51</sup> Tong et al illustrated that mitophagy serves an essential role in maintaining cardiac function and that preserving or activating mitophagy can protect against HFD-induced diabetic cardiomyopathy.<sup>17</sup> A similar method was used by Wu et al<sup>52</sup> and Ren et al<sup>53</sup> in a high fat diet-induced FUNDC1-deficient mouse model and FUNDC1<sup>-/-</sup> mice to demonstrate that FUNDC1 deficiency can promote obesity, insulin resistance, MetS, myocardial remodeling, and even cell death due to mitochondrial quality control dysregulation, suggesting a vital role for FUNDC1 in cardiac function in obese patients with MetS. However, consistent with previous findings, loss of FUNDC1 merely impaired hypoxia-induced mitophagy but had no influence on autophagy as a whole.<sup>54</sup> These findings show that FUNDC1-mediated mitophagy is a key regulator of mitochondrial function, especially in response to hypoxia-mediated mitochondrial damage, which often occurs in cardiac metabolic disorders.

## CTSD

Cathepsin D (CTSD), a major lysosomal protease that induces autophagosome removal and increases autophagic flux, is involved in heart failure. It has been shown that CTSD can prevent myocardial remodeling, which frequently occurs in patients with hypertension, a type of long-term MetS.<sup>55–59</sup> Wu et al<sup>60</sup> demonstrated in CTSD<sup>+/+</sup> but not CTSD<sup>-/-</sup> mice that decreased autophagy can lead to restrictive cardiomyopathy, and protracted MetS can also cause heart failure.<sup>60,61</sup> Overall, studies have shown that up-regulation of CTSD in the myocardium is an adaptive adjustment that protects the heart, at least in part by promoting mitophagy against cardiac remodeling and heart failure. Although CTSD has a clear role in several heart diseases associated with chronic MetS, a direct association with MetS has not yet been shown. Because of its function during autophagy,<sup>62–68</sup> alterations in CTSD expression in the myocardium may potentially be used as an indicator of autophagic activity.

## Drp1

Overexpression of Drp1 leads to increased mitochondrial number.<sup>69</sup> Wada et al<sup>70</sup> showed that Drp1 plays an important role during mitophagy in MetS, particularly in patients with diabetes. Smirnova et al<sup>71</sup> showed that Drp1 is essential for mitochondrial fission using cells transiently transfected with mutant Drp1, which leads to an increase in the number of mitochondria. Thus, restricting Drp1 can prevent apoptosis and protect myocardial mitochondria from damage.<sup>72,73</sup> While in contrast, studies from Xue et al<sup>74</sup> argued that reduced oxidative damage and increased ATP generation may be triggered by decreasing cyclin-dependent protein kinase (CDK)-p-Drp1 protein levels in MetS mouse model.

The contradictory phenomenon above may be attributed to the phosphorylation of two different sites in Drp1, and the modification of one of them leads to both activation and inhibition of mitophagy. Failure to remove or antagonize Drp1 affects mitophagy, leading to abnormal ATP production, and may ultimately cause aseptic myocarditis, heart failure, and other disorders.<sup>75</sup>

## mTOR

mTOR is a central modulator that promotes cellular growth and the synthesis of protein as well as regulating mitophagy. It responds to signals from growth factors, nutrients, energy levels, and stress. Experimental data from Zhang et al<sup>76</sup> in mice showed that mTOR may interrupt autophagic flux. It regulates autophagy, especially inhibiting autophagy vesicle formation by Beclin.<sup>77</sup> mTOR signaling also cross-talks with pathways modulated by branched-chain amino acids, insulin, oxidative stress, and the endoplasmic reticulum.<sup>78,79</sup> mTORC1 is essential for adaptive cardiac hypertrophy in response to mechanical overload. And mTORC2 is required to maintain normal cardiac physiology and works for the survival of cardiomyocytes after pressure is overloaded.<sup>80</sup> The progress of myocardial hypertrophy is often accompanied by excessive oxidative stress and chronic activation of mTOR. Empagliflozin application significantly alleviated myocardial hypertrophy and some other heart disorders in diet-induced obesity mice by activating AMPK and inhibiting Akt and mTOR.<sup>81</sup> Studies have shown that vitamin D deficiency is closely related to metabolic cardiovascular diseases, and the protective effect of 1,25-(OH)<sub>2</sub>-D<sub>3</sub>, the active form of vitamin D, against cardiac hypertrophy and fibrosis in diabetic cardiomyopathy is also exerted by

regulating the PARP1/SIRT1/mTOR signaling pathway.<sup>82</sup> The mechanism of high protein diet-induced atherosclerosis and cardiovascular risk in mouse model was partially constituted by mTORC1-dependent inhibition of mitophagy, accumulation of dysfunctional mitochondria, and mitochondrial apoptosis.<sup>83</sup> Okuno et al<sup>84</sup> used mouse liver tumors with metabolic syndrome characteristics, found that mTOR activation suppressed mitophagy and apoptosis, which may cause tumorigenesis. The clinical trials carried out by De Matteis et al<sup>85</sup> in 70 hepatocellular carcinoma (HCC) patients with MetS illustrated a close relationship between high levels of mTOR and poor prognosis, and listed mTOR as a potential target for the treatment of advanced-stage HCC. Khamzina et al<sup>86</sup> demonstrated a relationship between mTOR activity and obesity-linked insulin resistance. Hyperglycemia inhibits the formation of cardiac autophagosomes and autolysosomes by regulating the mTOR-ULK1 signal. Antagonists of mTOR were previously used to ameliorate obesity in DahlS. Z-Lepr<sup>fa</sup>/Lepr<sup>fa</sup> (DS/obese) rats, a model of MetS.<sup>87</sup> Alterations of autophagy activity also affect mitochondrial biosynthesis in diabetic heart. Maintaining mitophagy by inhibiting mTOR has become one of the most important strategies for the therapy of metabolic cardiac dysfunctions. What deserves our attention is that the role of mTOR in myocardial ischemia/reperfusion (MI/R) injury under diabetes has dual, cardiotoxic and cardioprotective effects. mTORC1 can be activated and increase the susceptibility to MI/R injury in obese and diabetic animals. While the cardioprotective effects mediated by mTOR are partly dependent on the activation of mTORC2, they are conducive to the survival of cardiomyocytes against MI/R injury and chronic ischemic remodeling.<sup>80</sup>

## Ferroptosis

Myocardial cell death is the decompensated manifestation and the terminal stage of cardiac dysfunction. Loss of cardiomyocytes can be induced by ferroptosis. The study by Chen et al<sup>88</sup> confirmed that overly activated autophagy and ferroptosis promote heart failure by inducing the loss of cardiomyocytes. Regulating ferroptosis is an important guarantee for maintaining the normal function of mitophagy receptors, such as FUNDC1. Pei's study just indicates that FUNDC1 deficiency can activate cardiac remodeling and dysfunction through the regulation of ferroptosis.<sup>50</sup> Mitophagy and ferroptosis can impact each other through lipid peroxidation and the parkin-

dependent pathway.<sup>89,90</sup> Some studies have also indicated ferroptosis as an autophagic cell death process, especially in metabolic heart diseases.<sup>91,92</sup> Lee et al<sup>93</sup> found that ferroptosis can be triggered by obesity or increased caloric intake, and inhibited by energy stress. Furthermore, ferroptosis is prominent in obese patients as well as those with insulin resistance and other diseases like rhabdomyolysis and radiation syndrome. Also, some heart disorders in diabetes mellitus have close relationships with ferroptosis and mitophagy. The study of Li et al<sup>94</sup> showed that ferroptosis aggravates diabetes myocardial ischemia/reperfusion (I/R) injuries and was more serious in rat diabetes mellitus+ I/R or cell high-glucose+ hypoxia reoxygenation models. And the study carried out by Wang et al<sup>95</sup> in diabetes mice further proved that diabetes aggravates myocardial I/R injuries through an AMPK-dependent pathway, which leads to ferroptosis. Ferroptosis is a key factor leading to I/R injury, and its chelator protects the heart against ex vivo I/R injuries, which was proved in mice.<sup>96,97</sup> Obesity and type 2 diabetes mellitus-related cardiomyopathy can be contributed by palmitic acid (PA)-induced myocardial injury, and an underlying mechanism is that PA induces ferroptosis by decreasing the levels of ferroptosis inhibitive proteins, which was proved in a recent study by Wang et al.<sup>98</sup> Ferroptosis can not only cause cell death but iron can trigger a variety of protective cellular cascades. Iron around the infarct zone is an important factor for reversing left ventricle remodeling, which is important for preventing heart failure after myocardial infarction.<sup>96</sup> The management of iron in mitochondria may contribute to an effective treatment of myocardial I/R injury.<sup>99</sup> Excessive production of ROS in diabetes mellitus enhances ferroptosis<sup>100</sup> and mitophagy, the cell-protective progress against ROS. In return, mitophagy inhibits ROS production and thus inhibits ferroptosis, playing a protective role. While to some extent, mitophagy is triggered by energy stress,<sup>93</sup> a withholder to ferroptosis can alleviate energy stress itself. The different functions of mitophagy under different conditions need to be further explored. Research on cardiomyocyte survival regulated by mitophagy and ferroptosis may be a potential tendency in the foreseeing future.

## Other Regulators

Damaged mitochondria are transported to and fused with phagosomes and lysosomes, a process that is mediated by Beclin-1 and other molecules. Beclin-1 represents

a “protein platform”, providing a framework to regulate the increase of mitophagy flux after myocardial fibrillation and is indispensable during autophagy.<sup>101,102</sup> Liang et al<sup>103</sup> found that, in yeast, Beclin-1 may facilitate mitochondrial fusion through binding with mammalian HOPS and UVRAG.<sup>104</sup> Additionally, the LC3B-I/LC3B-II ratio and the total levels of LC3B-II can be used as markers of enhanced mitophagy in the myocardium after fibrillation.<sup>105</sup> LC3 drives autophagosome vesicles into phagocytose fragments of mitochondria and subsequently fuses with lysosomes through LC3-I and LC3-II.<sup>106–108</sup> Based on a short half-life, LC3B-II can be lysed and converted back to its original isoform, LC3-I.<sup>104</sup> Recent studies in ob/ob mice found that an autophagy enhancer can promote the conversion from LC3-I to LC3-II without inhibiting mTOR, which suggests that autophagy enhancement may represent a therapeutic strategy to treat MetS.<sup>109</sup> Autophagy and Beclin-1 regulator 1 (AMBRA1) is another receptor associated with Bcl2 that can induce mitophagy. AMBRA1 participates in the formation of Beclin-class-III-PI3K, a complex that protects against ROS dopaminergic cell death, as shown in SH-SY5Y cells.<sup>110</sup> Unfortunately, although molecules such as Beclin, AMBRA1, and LC3B play key roles in mitophagy, there are few studies that have elucidated their roles in MetS.

## Conclusion

The remodeling of cellular energy metabolism is a prominent manifestation of heart failure in MetS and finding the central regulatory points in such a complex unbalanced state is key to exploring its pathogenesis. Mitochondria are the central hub of the cellular metabolic network. The final stages of all carbohydrate, lipid, and protein metabolism are oxidized in the mitochondria through the tricarboxylic acid cycle. In addition to energy metabolism, mitochondria are also important organelles for regulating oxidative stress, autophagy, and apoptosis. Thus, mitochondria have become a reliable target to explore the central pathogenesis of multiple metabolic abnormalities, especially heart failure. Mitophagy is responsible for maintaining a healthy population of mitochondria and preventing the mismatching of oxygen and mitochondria number, which may lead to hypoxia and trigger the occurrence and development of heart disease. Mitochondrial quality control largely depends on the stability of mitophagy. Sufficient research evidence, as shown above from in vivo, in vitro, animals and clinical, indicates

that mitophagy disequilibrium interferes with basic heart metabolic pattern. Regulators like parkin, BINP3 and FUNDC1 were shown to play remarkable roles in the regulation of mitophagy. Restoring mitochondrial homeostasis by modulating these key factors becomes an important strategy worthy of in-depth study. Drug development by targeting these candidates may accelerate to prevent and treat the mitochondria-related heart diseases.

## Data Sharing Statement

All authors confirmed that all data and materials as well as software application support their published claims and comply with field standards.

## Ethics Approval

The manuscript does not contain clinical studies or patient data.

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## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval for the version to be published; and agreed to be accountable for all aspects of the work.

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## Disclosure

All authors declare no competing interests.

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