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ORIGINAL RESEARCH

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Disruption of sleep architecture in *Prevotella* enterotype of patients with obstructive sleep apnea-hypopnea syndrome

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Abstract

Introduction: Intermittent hypoxia and sleep fragmentation are critical pathophysiological processes involved in obstructive sleep apnea-hypopnea syndrome (OSAHS). Those manifestations independently affect similar brain regions and contribute to OSAHS-related comorbidities that are known to be related to the host gut alteration microbiota. We hypothesized that gut microbiota disruption may cross talk the brain function via the microbiota-gut-brain axis. Thus, we aim to survey enterotypes and polysomnographic data of patients with OSAHS.

Methods: Subjects were diagnosed by polysomnography, from whom fecal samples were obtained and analyzed for the microbiome composition by variable regions 3-4 of 16S rRNA pyrosequencing and bioinformatic analyses. We examined the fasting levels of interleukin-6 and tumor necrosis factor-alpha of all subjects.

Results: Three enterotypes Bacteroides, Ruminococcus, and Prevotella were identified in patients with OSAHS. Arousal-related parameters or sleep stages are significantly disrupted in apnea-hypopnea index (AHI) \geq 15 patients with *Prevotella* enterotype; further analysis this enterotype subjects, obstructive, central, and mixed apnea indices, and mean heart rate are also significantly elevated in AHI ≥15 patients. However, blood cytokines levels of all subjects were not significantly different.

Conclusions: This study indicates the possibility of pathophysiological interplay between enterotypes and sleeps structure disruption in sleep apnea through a microbiota-gut-brain axis and offers some new insight toward the pathogenesis of OSAHS.

KEYWORDS

enterotypes, microbiota, obstructive sleep apnea-hypopnea syndrome, polysomnography, Prevotella

Abbreviations: AHI, apnea-hypopnea index; BMI, body mass index; BP, blood pressure; CNS, central nervous system; CompSAS, complex sleep apnea syndromes; CPAP, continuous positive airway pressure; CSA, central sleep apnea; IBD, inflammatory bowel disease; IH, intermittent hypoxia; IL- 6, interleukin-6; LPS, lipopolysaccharide; MAD, apnea-hypopnea duration; MSA, mixed sleep apnea; NREM, non-rapid eye movement; OSAHS, obstructive sleep apnea-hypopnea syndrome; PCA, principal component analysis; PSG, polysomnography; REM, rapid eye movement; SAS, sleep apnea syndromes; SF, sleep fragmentation; TNF-α, tumor necrosis factor alpha.

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1 | INTRODUCTION

Intermittent hypoxia (IH) and sleep fragmentation (SF) are the hallmarks of obstructive sleep apnea-hypopnea syndrome (OSAHS) (Moreno-Indias et al., 2015, 2016; Poroyko et al., 2016). IH plays a critical pathophysiological role in of OSAHS, often accompanied by reduced oxygen saturation, increased systemic pressure and bloodstream, excessive sympathetic neural activity, impairment of autonomic function, and apnea episodes end with an arousal of the central nervous system (CNS), ultimately result in vascular endothelial dysfunction and multi-organ morbid consequences. The underlying mechanism involves inflammation and oxidative stress cascades (Gaspar, Álvaro, Moita, & Cavadas, 2017; Lavie, 2015).

Contrastingly, sleep structure disruption is another risk factor for the pathophysiology of OSAHS, causing major end-organ morbidity independent of IH (Rosenzweig, Williams, & Morrell, 2013, 2014). Repeated arousals disturbing different stages of sleep are the predominant mechanism underlying OSAHS-induced brain injury wherein results from disruptions of rapid eye movement (REM) and non-REM (NREM) (Rosenzweig, Williams, & Morrell, 2014). SF promotes obesity and metabolic abnormalities and may be mediated by concurrent alterations of the host gut microbiota and concurrent systemic and adipose tissue inflammatory alterations accompanied by insulin resistance (Farré, Farré, & Gozal, 2018; Poroyko et al., 2016). Reportedly, prolongation of the N1 stage and shortening of REM times were observed in OSAHS-induced hypertensive patients; prolongation of the N1 sleep stage also causes elevation of fasting blood glucose (Shao et al., 2018). Elevated serum lipopolysaccharide (LPS)-binding protein levels might prolong the N1 stage and increase SF, which may be related to increased nighttime respiratory events and arousals (Shao et al., 2018). Interestingly, disturbances in sleep continuity are associated with emotional disorders (Palagini, Baglioni, Ciapparelli, Gemignani, & Riemann, 2013); additionally, the disturbance of sleep structure also contributes to mild cognitive decline in OSAHS (Rosenzweig et al., 2014). However, treating OSAHS patients with continuous positive airway pressure (CPAP) has protective effects on neurocognition, postulated that the microbiota could be modulated during CPAP treatment (Xu et al., 2017), implying that the microbiota might participate in the pathophysiological developed mechanism.

Emerging evidence suggests that the gut microbiota plays a crucial role in modulating the risk of several chronic diseases and maintaining intestinal immunity and whole body homeostasis. These effects have important implications for diseases such as obesity, cardiometabolic abnormalities, inflammatory bowel disease (IBD), and mental illness (Singh et al., 2017). Additionally, the gut microbiota alterations manifested in IH and SF mimic in OSAHS animal models (Moreno-Indias et al., 2015; Poroyko et al., 2016). However, some of the underlying mechanisms of OSAHS-related comorbidities remain unclear. Enterotype analysis has been proposed as a useful method to understand human gut microbial communities, including *Bacteroides, Ruminococcus*, and *Prevotella* enterotypes, irrespective of ethnicity, gender, age, or body mass index (BMI) (Arumugam et al., 2011). Moreover, enterotypes subdivision provides an attractive framework for linking human disease. For example, *Bacteroides* enterotype has been reported to pose an increased risk for IBD (Conlon & Bird, 2015; Costea et al., 2018; Knights et al., 2014).

Notably, characteristics of IH and SF in OSAHS can trigger the inflammatory response, which then alters the intestinal microbial community composition (Moreno-Indias et al., 2015; Palagini et al., 2013; Poroyko et al., 2016). Conversely, gut microbiomes can also respond to the brain via the microbiota-gut-brain axis, as has been declared in psychiatric disorders (Sherwin, Sandhu, Dinan, & Cryan, 2016). However, this hypothesis has not been verified for OSAHS. Thus, this study investigated the hypothesis that the microbiota-gut-brain axis is associated with the pathogenesis of OSAHS. We examined whether impaired sleep architecture is associated with gut microbiota alteration by investigating sleep parameters of polysomnography (PSG) data and pro-inflammatory cytokines in various enterotypes of OSAHS subjects.

2 | MATERIALS AND METHODS

2.1 | Subjects

In total, 113 subjects were recruited from July 2017 to January 2018, who were clinically suspicious with OSAHS and committed to sleep examination for the first time at sleep laboratory of Department of Pulmonary and Critical Care Medicine of the Second Affiliated Hospital of Fujian Medical University. Subjects with gastrointestinal diseases, infection, unexplained diarrhea, and antibiotics or probiotics used before recruitment around 1 month were excluded in this study. Subjects were examined during a full night of PSG (SOMNOscreen[™] plus PSG⁺; SOMNOmedics GmbH, Randersacker, Germany) by technologists from 10 p.m. to 8 a.m. at the sleep laboratory. Fasting blood and fecal samples were collected the following morning. The Institutional Review Board of the Second Affiliated Hospital of Fujian Medical University approved this study (IRB No. 2017–78).

2.2 | OSAHS evaluation

All the subjects underwent PSG with a computerized polysomnographic system, simultaneously including electrocardiography, electroencephalography, electromyography, and electrooculography. After one night of examination, apnea-hypopnea index (AHI) was calculated as the total number of episodes of apnea (continuous cessation of airflow for at least 10 s) and hypopnea (reduction in airflow for \geq 10 s with oxygen desaturation \geq 4%) by dividing the total sleep by events. According to the diagnostic criteria, as reported previously studies (Heizati et al., 2017; Shao et al., 2018), AHI < 15 events/h was defined as non-OSAHS (control group) and AHI \geq 15 events/h as OSAHS group.

2.3 | Cytokine analysis

Interleukin (IL)-6 and tumor necrosis factor alpha (TNF- α) were assayed by BD Human Enhanced Sensitivity Cytometric Bead Array Kit (BD Biosciences, New Jersey, USA) as described previously (Kao, Ko,

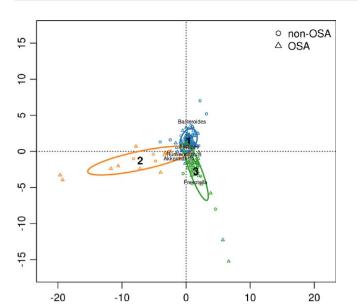


FIGURE 1 The fecal taxa class analysis in nonobstructive sleep apnoea–hypopnea syndrome (OSAHS) and OSAHS subjects of three enterotypes. Apnoea–hypopnea index (AHI) <15 as non-OSA, AHI \ge 15 as OSA. Enterotype 1: *Bacteroides* [non-OSAHS (n = 41), OSAHS (n = 32)], Enterotype 2: *Ruminococcus* [non-OSA (n = 6), OSAHS (n = 8)], Enterotype 3: *Prevotella* [non-OSA (n = 14), OSAHS (n = 12)]

Wang, & Liu, 2016). The standard coefficient of determination (r^2) was greater than 0.995.

2.4 | Sampling, DNA extraction, and 16S rRNA gene amplification sequencing

Samples were collected and stored in a Microbiome Test Kit (G-BIO Biotech, Inc., Hangzhou, China). Magnetic bead isolation was carried out to extract genomic DNA using a TIANamp stool DNA kit (TIANGEN Biotech Co., Ltd., Beijing, China), according to the manufacturer's instructions. The concentration of extracted DNA was determined by a Nanodrop ND-1000 spectrophotometer (Thermo Electron Corporation, USA), and DNA quality was confirmed using 1.0% agarose gel electrophoresis with 0.5 mg/ml ethidium bromide.

Isolated fecal DNA was used as a template to amplify V3 and V4 hypervariable regions of the bacterial 16S ribosomal RNA gene. V3 and V4 regions were PCR-amplified (forward primer, 5'-ACTCCTACGGGAGGCAGCAG-3'; reverse primer, 5'-GGACTACHVGGGTWTCTAAT-3'). The 16S target-specific sequence contained adaptor sequences permitting uniform amplification of a highly complex library ready for downstream next-generation sequencing on Illumina MiSeq (Illumina, USA). Negative DNA extraction controls (lysis buffer and kit reagents only) were amplified and sequenced as contamination controls. The amplicons were normalized, pooled, and sequenced on the Illumina MiSeq platform using a V3 reagent kit with 2 × 300 cycles per sample and with imported and prepared routine data (samsheet) run in the MiSeq sequence program. After sequencing, Brain and Behavior

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Q30 scores were \geq 70%, the percentage of clusters passing filter (i.e., cluster PF) was \geq 80%, and there were at least 30,000 clean tags. Finally, image analysis and base calling were conducted with MiSeq Control Software.

2.5 | Bioinformatic, predictive function, and statistical analyses

Based on the Quantitative Insights into Microbial Ecology bio-informatic pipeline for performing taxonomy assignment by the operational taxonomic unit method, we used data of 113 sequences to analyze the fecal microbiota taxa. We further analyzed between-class analysis by the principal component analysis (PCA) and clustering basis of the genus compositions (Arumugam et al., 2011), utilizing R statistics. We performed other analyses using statistically with SPSS version 19.0 (SPSS Inc., Chicago, Illinois), data were expressed as the mean ± standard deviation (SD). For continuous variables that conformed to a normal distribution, the difference between groups was analyzed by t test or one-way ANOVA. The significant differences within groups were analyzed using ANOVA followed by post-hoc Fisher's least significant difference (LSD) corrections for multiple comparisons when the analysis of variance was significant. For continuous variables that were not normally distributed, the difference between groups was analyzed using H test. We considered a two-sided *p*-value of < 0.05 to be statistically significant.

3 | RESULTS

3.1 | Patient characteristics and enterotype distribution

According to the PCA and clustering of fecal genus compositions for enterotypes class analysis, we enrolled 113 subjects [non-OSAHS (n = 61), OSAHS (n = 52)] were divided according to three enterotypes: *Bacteroides* [non-OSAHS (n = 41), OSAHS (n = 32)], *Ruminococcus* [non-OSAHS (n = 6), OSAHS (n = 8)], and *Prevotella* [non-OSAHS (n = 14), OSAHS (n = 12)] (Figure 1). Ages of *Ruminococcus* enterotype patients were significantly higher than those of the others enterotype patients (Table 1). BMI of *Prevotella* enterotype patients were significantly higher than *Bacteroides* enterotype patients (Table 1). The hip circumference of *Prevotella* enterotype patients were significantly higher than the others enterotype patients (Table 1).

3.2 | Cytokine analysis

There were not significantly different in IL- 6 and TNF- α among three enterotypes patients (Figure 2).

3.3 | PSG parameter analysis

Comparisons among patients with different enterotypes showed that total sleep arousal index was the lowest in the *Prevotella* enterotype patients (Table 2).

TABLE 1 Participant characteristics

КО	ΕT	AL.

	Bacteroides	Ruminococcus	Prevotella			post-hoc tes	t	
	enterotype	enterotype	enterotype			p Value		
	(n = 73)	(n = 14)	(n = 26)	F value	p Value	B versus R	B versus P	R versus P
Gender (male/female)	61/12	11/3	20/6	NAª	NA	NA	NA	NA
Age (years, mean ± SD)	40.89 ± 10.56	53.14 ± 14.37	44.58 ± 13.51	6.560	0.002	<0.001	0.173	0.031
Height (cm)	166.28 ± 6.90	164.61 ± 7.30	166.83 ± 8.83	0.418	0.659	NA	NA	NA
Weight (kg)	72.51 ± 14.31	71.62 ± 14.25	79.41 ± 13.70	2.497	0.087	NA	NA	NA
Body mass index (kg m ⁻²)	26.11 ± 3.87	26.44 ± 5.50	28.73 ± 5.27	3.382	0.038	0.799	0.011	0.122
Waist circumference (cm)	90.77 ± 9.87	91.21 ± 13.33	96.54 ± 12.27	2.742	0.069	NA	NA	NA
Hip circumference (cm)	96.95 ± 6.03	97.75 ± 10.42	103.21 ± 8.97	6.920	0.001	0.712	<0.001	0.028
Waist-to-hip ratio	0.94 ± 0.07	0.93 ± 0.06	0.93 ± 0.06	0.290	0.749	NA	NA	NA

Note. Values were calculated as mean \pm *SD*.

^aNot analyzed.

Using 15 as the AHI cutoff, when AHI \geq 15, N1 sleep stage, arousal time in REM, and arousal index in REM were the highest in the *Prevotella* enterotype patients. Contrastingly, sleep latency and arousal time were the lowest in the *Prevotella* enterotype patients (Table 3).

For *Prevotella* enterotype patients, N1 sleep stage, N3 sleep stage, arousal index in REM, arousal time in NREM, arousal index in NREM, total sleep arousal times, total sleep arousal index, AHI, apnea-hypopnea times, obstructive apnea index, obstructive apnea times, central apnea index, mixed apnea index, hypopnea index, hypopnea times, longest apnea time, mean apnea-hypopnea duration (MAD), longest hypopnea time, average hypopnea time, oxygen desaturation index, and mean heart rate were significantly elevated in AHI \geq 15 patients. However, sleep latency, arousal time, lowest oxygen saturation, and average oxygen saturation were significantly decreased in AHI \geq 15 patients (Table 4).

4 | DISCUSSION

OSAHS is a systemic and comprehensive disorder associated with comorbidities, including cardiovascular diseases and metabolic abnormalities. Thus, the IH mechanism alone is insufficient to interpret the complete pathogenesis of OSAHS because OSAHS is also affected by several other aspects, including the CNS causing neuropsychiatric and neurodegenerative disorders (Farré et al., 2018; Gaspar et al., 2017; Lavie, 2015; Rosenzweig et al., 2014). This study shows that arousal-related parameters or sleep stages are significantly disrupted in AHI \geq 15 patients with *Prevotella* enterotypes; further analysis, obstructive, central, and mixed apnea indices, and mean heart rate are also significantly elevated in AHI \geq 15 patients.

Prevotella enterotype is associated with diets high in carbohydrates (fiber) and simple sugars. *Bacteroides* enterotype is associated with Western-style diets, including consuming high amounts of

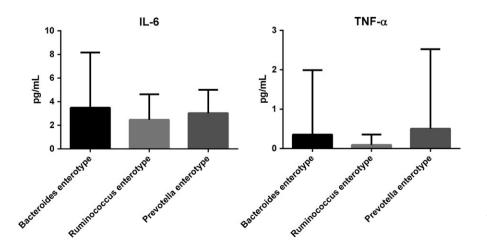


FIGURE 2 Cytokines levels analysis in three enterotypes subjects. IL: interleukin, TNF: tumor necrosis factor. Values were calculated as mean ± *SD*

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			R versus P	Ţ		-	4	4	7	7	-	-	-	-	-	Ŧ	4	-	-	0.031	4	-	Ŧ	Ŧ	Ŧ	Open A	CCESS					JA (Continues)
			B versus P R v	NA	NA	NA	NA	AN	AN	AN	NA	AN	NA	AN	NA	NA	NA	NA	NA		NA	AN	NA	NA	NA	NA	NA	AN	NA	NA	NA	NA (Cc
	c test		R	NA	NA	NA	ΝA	ΝA	ΝA	ΝA	NA	ΝA	NA	ΝA	ΝA	ΝA	NA	NA	NA	0.036	NA	ΝA	NA	NA	NA	NA	NA	ΝA	NA	NA	NA	NA
	post-hoc test	<i>p</i> value	B versus	NA^{a}	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	0.414	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
			<i>p</i> value	0.124	0.167	0.329	0.217 ^b	0.446	0.435	0.456	0.180	0.956	0.433	0.955	0.115	0.094 ^b	0.076	0.054	0.077	0.050	0.144 ^b	0.137	0.493 ^b	0.824 ^b	0.398 ^b	0.843 ^b	0.319 ^b	0.443 ^b	0.248 ^b	0.396 ^b	0.707 ^b	0.678 ^b
			F/χ^2 value	2.128	1.819	1.122	3.052 ^b	0.814	0.839	0.790	1.740	0.045	0.844	0.046	2.203	4.726 ^b	2.643	3.001	2.631	3.087	3.870 ^b	2.026	1.413^{b}	0.386 ^b	1.843^{b}	0.342 ^b	2.285 ^b	1.627 ^b	2.787 ^b	1.854^{b}	0.694 ^b	0.778 ^b
	Prevotella	enterotype	(n = 26)	641.11 ± 44.60	171.62 ± 96.22	39.76 ± 17.28	111.94 ± 57.67	25.86 ± 10.48	66.44 ± 41.66	16.25 ± 9.79	350.01 ± 98.5	81.87 ± 9.88	79.63 ± 44.02	18.12 ± 9.88	75.92 ± 18.36	18.93 ± 20.36	110.50 ± 98.33	128.14 ± 100.46	14.50 ± 9.36	2.30 ± 1.68	52.00 ± 36.13	38.96 ± 16.29	288.19 ± 226.67	45.76 ± 26.09	340.19 ± 249.89	44.64 ± 24.15	27.41 ± 30.96	221.34 ± 278.37	10.62 ± 16.09	85.26 ± 134.75	1.92 ± 4.04	17.5 ± 40.21
	Ruminococcus	enterotype	(n = 14)	648.78 ± 51.83	152.04 ± 82.37	42.19 ± 14.54	85.85 ± 44.25	24.45 ± 9.62	57.71 ± 45.99	15.95 ± 10.23	295.60 ± 96.74	82.57 ± 7.62	67.17 ± 37.26	17.42 ± 7.62	64.62 ± 21.45	31.7 ± 29.97	174.76 ± 116.12	202.23 ± 131.03	19.64 ± 12.70	3.86 ± 3.19	39.42 ± 34.74	29.52 ± 17.66	209.57 ± 148.79	39.98 ± 16.20	249.00 ± 166.24	38.75 ± 15.83	27.90 ± 22.63	180.50 ± 176.90	10.88 ± 12.44	71.28 ± 102.99	2.75 ± 8.64	11.78 ± 31.62
	Bacteroides	enterotype	(n = 73)	633.42 ± 58.95	138.56 ± 66.92	36.10 ± 15.90	111.30 ± 60.67	28.10 ± 11.99	71.91 ± 36.85	18.33 ± 8.29	321.79 ± 87.88	82.56 ± 10.84	67.21 ± 43.27	17.43 ± 10.84	70.40 ± 14.99	31.0 ± 29.96	129.91 ± 71.69	157.64 ± 78.71	20.35 ± 11.57	3.34 ± 2.06	39.93 ± 38.94	32.25 ± 16.44	210.15 ± 126.03	38.17 ± 15.54	250.08 ± 136.58	38.06 ± 15.18	19.63 ± 21.42	132.19 ± 170.66	8.85 ± 15.04	62.78 ± 120.68	0.46 ± 1.29	3.39 ± 9.45
				Total sleep time (min)	N1 sleep stage (min)	N1 sleep stage (%)	N2 sleep stage (min)	N2 sleep stage (%)	N3 sleep stage (min)	N3 sleep stage (%)	Non-rapid eye movement (NREM) (min)	NREM (%)	Rapid eye movement (REM) (min)	REM (%)	Sleep efficiency (%)	Sleep latency	Wake after sleep onset	Arousal time (min)	Arousal times	Arousal index (events/h)	Arousal time in REM	Arousal index in REM	Arousal time in NREM	Arousal index in NREM	Total sleep arousal times	Total sleep arousal index	Apnea-hypopnea index (events/h)	Apnea-hypopnea times	Obstructive apnea index (events/h)	Obstructive apnea times	Central apnea index (events/h)	Central apnea times

 TABLE 2
 Polysomnographic data analysis in three enterotypes subjects

	Bacteroides	Ruminococcus	Prevotella			post-hoc test	t	
	enterotype	enterotype	enterotype			<i>p</i> value		
	(n = 73)	(n = 14)	(n = 26)	F/χ^2 value	<i>p</i> value	B versus R	B versus P	R versus P
Mixed apnea index (events/h)	0.59 ± 1.52	1.61 ± 3.80	2.88 ± 6.79	1.664 ^b	0.435 ^b	AN	NA	NA
Mixed apnea times	4.56 ± 13.25	10.5 ± 27.95	25.73 ± 64.80	1.768 ^b	0.413 ^b	NA	NA	NA
Hypopnea index (events/h)	9.71 ± 8.95	12.63 ± 17.71	11.98 ± 14.29	0.036 ^b	0.982 ^b	NA	NA	NA
Hypopnea times	61.45 ± 55.31	86.92 ± 136.55	92.84 ± 125.09	0.388 ^b	0.824 ^b	NA	NA	NA
Longest apnea time (s)	37.80 ± 23.24	46.64 ± 27.65	45.26 ± 38.39	1.312 ^b	0.519 ^b	NA	NA	NA
Mean apnea-hypopnea duration (s)	19.55 ± 8.98	20.25 ± 8.28	20.01 ± 9.78	0.049	0.952	NA	NA	NA
Longest hypopnea time (s)	68.26 ± 33.06	66.85 ± 27.29	78.50 ± 32.89	1.056	0.351	NA	NA	NA
Average hypopnea time (s)	28.3 ± 9.95	29.75 ± 12.62	31.52 ± 8.38	1.021	0.364	NA	NA	NA
Oxygen desaturation index (events/h)	18.72 ± 20.79	25.22 ± 23.56	25.9 ± 29.82	1.362 ^b	0.506 ^b	NA	NA	NA
Lowest oxygen saturation (%)	82.26 ± 8.81	79.35 ± 9.34	82.23 ± 10.88	0.584	0.559	NA	NA	NA
Average oxygen saturation (%)	94.34 ± 2.04	93.92 ± 3.34	94.15 ± 2.66	0.204	0.816	NA	NA	NA
Longest oxygen desaturation (s)	109.16 ± 42.98	95.17 ± 28.61	99.51 ± 40.54	1.011	0.367	NA	NA	NA
Mean heart rate	65.95 ± 10.32	65 ± 7.93	63.73 ± 9.55	0.495	0.611	NA	NA	NA
Arrhythmia index (events/h)	12.89 ± 54.36	38.04 ± 134.47	4.23 ± 8.19	1.428^{b}	0.490 ^b	NA	NA	NA
Maximum heart rate	99.41 ± 15.06	97.28 ± 12.19	96.92 ± 16.70	0.760 ^b	0.684 ^b	NA	NA	NA
Minimum heart rate	51.67 ± 8.97	53.28 ± 5.21	51.53 ± 6.68	0.255	0.775	NA	NA	NA
Blood pressure elevation index (events/h)	13.22 ± 15.21	16.43 ± 15.52	16.58 ± 22.43	0.757 ^b	0.685 ^b	NA	NA	NA
The highest systolic blood pressure (mmHg)	157.98 ± 39.08	148.57 ± 58.14	158.8 ± 45.51	0.308	0.736	NA	NA	NA
Average systolic blood pressure (mmHg)	120.41 ± 25.98	113.78 ± 42.26	118.19 ± 28.64	0.323	0.725	NA	NA	NA
Average diastolic blood pressure (mmHg)	81.80 ± 16.05	77.21 ± 24.46	82.92 ± 21.59	0.814 ^b	0.666 ^b	NA	NA	NA

Note. Values were calculated as mean \pm *SD.* ^a*N* of variance test, displayed as the Chi-square test corresponding to the H test.

TABLE 2 (Continued)

	Bacteroides	Ruminococcus	Prevotella			post-hoc test			O et al.
	enterotype	enterotype	enterotype			<i>p</i> value			
	(n = 32)	(n = 8)	(n = 12)	F/χ^2 value	p value	B versus R	B versus P	R versus P	
Total sleep time (min)	632.59 ± 71.61	663.63 ± 45.48	639.25 ± 41.52	1.803	0.176	NA^{a}	NA	NA	
N1 sleep stage (min)	162.46 ± 71.21	159.70 ± 98.14	236.23 ± 102.31	3.681	0.032	0.934	0.012	0.050	
N1 sleep stage (%)	41.93 ± 15.78	41.18 ± 17.37	50.03 ± 16.75	1.199	0.310	NA	NA	NA	
N2 sleep stage (min)	111.86 ± 65.07	95.24 ± 48.56	101.92 ± 56.09	0.292	0.748	NA	NA	NA	
N2 sleep stage (%)	27.65 ± 11.46	24.23 ± 8.41	22.03 ± 9.76	1.308	0.280	NA	NA	NA	
N3 sleep stage (min)	61.95 ± 35.56	63.06 ± 55.27	45.71 ± 37.88	0.805	0.453	NA	NA	NA	
N3 sleep stage (%)	15.61 ± 7.96	16.54 ± 12.79	9.90 ± 7.97	2.101	0.133	NA	NA	NA	
Non-rapid eye movement (NREM) (min)	336.27 ± 92.94	318.00 ± 102.04	383.86 ± 111.29	1.355	0.267	NA	NA	NA	
NREM (%)	85.20 ± 10.65	81.94 ± 5.83	81.96 ± 10.05	0.655	0.524	NA	NA	NA	
Rapid eye movement (REM) (min)	56.65 ± 38.27	69.61 ± 33.06	76.83 ± 29.52	1.538	0.225	NA	NA	NA	
REM (%)	14.80 ± 10.65	18.06 ± 5.83	18.04 ± 10.05	0.655	0.524	NA	NA	NA	
Sleep efficiency (%)	70.68 ± 15.53	68.66 ± 19.05	81.52 ± 16.78	2.227	0.119	NA	NA	NA	
Sleep latency	28.21 ± 26.64	26.26 ± 15.89	7.93 ± 5.48	12.911 ^b	0.002 ^b	0.703	0.001	0.005	
Wake after sleep onset	131.92 ± 74.80	156.49 ± 119.11	77.79 ± 46.87	2.974	0,060	NA	NA	NA	
Arousal time (min)	156.51 ± 76.52	177.55 ± 118.32	84.31 ± 48.77	4.547	0.015	0.504	0.009	0.013	
Arousal times	19.34 ± 12.06	19.75 ± 14.53	12.50 ± 10.70	1.501	0.233	NA	NA	NA	
Arousal index (events/h)	3.35 ± 2.52	3.89 ± 4.09	1.89 ± 1.83	1.713	0.191	NA	NA	NA	
Arousal time in REM	34.81 ± 29.91	43.00 ± 34.32	66.67 ± 39.80	4.064	0.023	0.533	0.006	0.123	Brai
Arousal index in REM	33.37 ± 18.93	34.26 ± 17.70	50.00 ± 13.49	4.011	0.024	0.898	0.008	0.057	n an
Arousal time in NREM	260.28 ± 152.59	262.13 ± 178.51	433.25 ± 262.42	4.190 ^b	0.123 ^b	NA	NA	NA	d B
Arousal index in NREM	45.67 ± 17.02	46.20 ± 18.56	63.53 ± 28.16	3.491^{b}	0.175 ^b	NA	NA	NA	eha
Total sleep arousal times	295.09 ± 160.4	305.13 ± 194.43	499.92 ± 285.72	4.389 ^b	0.111 ^b	NA	NA	NA	vior
Total sleep arousal index	44.99 ± 16.94	44.41 ± 18.55	61.68 ± 25.41	3.919^{b}	0.141 ^b	NA	NA	NA	Open A
Apnea-hypopnea index (events/h)	36.39 ± 22.86	42.04 ± 19.98	52.53 ± 29.78	3.884 ^b	$0.143^{\rm b}$	NA	NA	NA	ccess
Apnea-hypopnea times	247.09 ± 204.93	278.88 ± 176.82	430.58 ± 294.38	3.641 ^b	0.162 ^b	NA	NA	NA	-W
Obstructive apnea index (events/h)	18.03 ± 19.14	14.43 ± 15.52	21.38 ± 18.74	0.560 ^b	0.756 ^b	NA	NA	NA	/IL
Obstructive apnea times	129.47 ± 159.54	99.88 ± 129.97	173.25 ± 159.52	1.534^{b}	0.465 ^b	NA	NA	NA	ĿE`
Central apnea index (events/h)	0.98 ± 1.83	4.81 ± 11.28	3.83 ± 5.40	0.731 ^b	0.694 ^b	AN	ΝA	NA	Y⊥
Central apnea times	7.09 ± 13.44	20.63 ± 40.61	35.50 ± 54.65	0.578 ^b	0.749 ^b	NA	NA	NA (Continues)	7 of 13

TABLE 3 Polysomnographic data analysis in three enterotypes of apnoea-hypopnea index \ge 15 patients

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	Bacteroides	Ruminococcus	Prevotella			post-hoc test		
	enterotype	enterotype	enterotype			<i>p</i> value		
	(n = 32)	(<i>n</i> = 8)	(n = 12)	F/χ^2 value	<i>p</i> value	B versus R	B versus P	R versus P
Mixed apnea index (events/h)	1.31 ± 2.10	2.78 ± 4.81	6.19 ± 9.08	1.852 ^b	0.396 ^b	NA	NA	NA
Mixed apnea times	10.13 ± 18.71	18.13 ± 36.00	55.33 ± 88.13	2.091^{b}	0.351 ^b	NA	NA	NA
Hypopnea index (events/h)	16.08 ± 9.56	19.99 ± 20.79	21.17 ± 16.88	0.734	0.485	NA	NA	NA
Hypopnea times	100.41 ± 57.37	140.25 ± 163.59	166.50 ± 155.08	0.977 ^b	0.614 ^b	NA	NA	NA
Longest apnea time (s)	50.31 ± 19.59	47.63 ± 29.34	69.08 ± 38.55	2.494	0.093	NA	NA	NA
Mean apnea-hypopnea duration (s)	23.20 ± 6.35	20.30 ± 6.90	26.97 ± 7.53	2.531	0.090	NA	NA	NA
Longest hypopnea time (s)	82.69 ± 28.53	70.25 ± 25.97	99.75 ± 24.15	3.044	0.057	NA	NA	NA
Average hypopnea time (s)	31.29 ± 6.58	28.53 ± 6.55	35.74 ± 8.24	2.871	0.066	NA	NA	NA
Oxygen desaturation index (events/h)	34.23 ± 22.94	38.98 ± 22.58	49.73 ± 29.24	1.758	0.183	NA	NA	NA
Lowest oxygen saturation (%)	76.31 ± 9.20	76.00 ± 10.01	74.83 ± 12.04	0.095	0.909	ΝA	NA	NA
Average oxygen saturation (%)	93.31 ± 2.32	93.13 ± 4.09	92.50 ± 2.81	1.111^{b}	0.574 ^b	NA	NA	NA
Longest oxygen desaturation (s)	113.18 ± 37.43	103.39 ± 23.54	92.93 ± 26.57	1.646	0.203	NA	NA	NA
Mean heart rate	66.56 ± 10.38	67.25 ± 6.67	67.83 ± 10.83	0.074	0.929	NA	NA	NA
Arrhythmia index (events/h)	5.29 ± 13.97	65.06 ± 177.85	3.82 ± 5.03	0.784 ^b	0.676 ^b	NA	NA	NA
Maximum heart rate	99.09 ± 14.79	99.75 ± 15.64	103.08 ± 18.70	0.278	0.758	NA	NA	NA
Minimum heart rate	51.88 ± 7.07	54.50 ± 4.44	53.50 ± 6.56	0.626	0.539	NA	NA	NA
Blood pressure elevation index (events/h)	20.91 ± 18.96	20.44 ± 18.45	26.09 ± 27.79	0.288	0.751	NA	NA	NA
The highest systolic blood pressure (mmHg)	172.97 ± 35.54	180.75 ± 38.26	163.25 ± 62.49	0.414	0.663	NA	AN	AN
Average systolic blood pressure (mmHg)	130.44 ± 22.64	138.50 ± 22.91	117.58 ± 41.10	1.508	0.231	NA	NA	NA
Average diastolic blood pressure (mmHg)	87.28 ± 13.38	88.00 ± 9.94	84.25 ± 29.45	0.150	0.861	NA	NA	NA
<i>Note.</i> Values were calculated as mean ± <i>SD.</i> ^a Not analyzed. ^b Because the data do not meet the requirements of variance test, displayed as the Chi-square test corresponding to the H test.	et the requirements o	f variance test, displa	yed as the Chi-square	test correspond	ing to the H test.			

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TABLE 4 Polysomnographic data analysis in Prevotella enterotype subjects

	AHI <15	AHI ≥ 15
Fotal sleep time (min)	403.04 ± 118.21	460.69 ± 117.35
N1 sleep stage (min)	116.25 ± 42.34	236.23 ± 102.31**
N1 sleep stage (%)	30.96 ± 12.49	50.03 ± 16.75**
V2 sleep stage (min)	120.54 ± 59.68	101.92 ± 56.09
V2 sleep stage (%)	29.15 ± 10.26	22.03 ± 9.76
N3 sleep stage (min)	84.21 ± 37.23	45.71 ± 37.88*
N3 sleep stage (%)	21.70 ± 7.83	9.90 ± 7.97**
Non-rapid eye movement (NREM) (min)	321.00 ± 78.85	383.86 ± 111.29
NREM (%)	81.80 ± 10.11	81.96 ± 10.05
Rapid eye movement (REM) (min)	82.04 ± 54.54	76.83 ± 29.52
REM (%)	18.20 ± 10.11	18.04 ± 10.05
ileep efficiency (%)	71.13 ± 18.87	81.52 ± 16.78
Sleep latency	28.36 ± 23.75	7.93 ± 5.48**
Vake after sleep onset	138.54 ± 122.07	77.79 ± 46.87
Arousal time (min)	165.71 ± 118.75	84.31 ± 48.77*
Arousal times	16.21 ± 8.06	12.50 ± 10.70
Arousal index (events/h)	2.66 ± 1.52	1.89 ± 1.83
Arousal time in REM	39.43 ± 28.30	66.67 ± 39.80
vrousal index in REM	29.50 ± 12.13	50.00 ± 13.49***
vrousal time in NREM	163.86 ± 66.82	433.25 ± 262.42**
rousal index in NREM	30.54 ± 9.82	63.53 ± 28.16**
otal sleep arousal times	203.29 ± 85.31	499.92 ± 285.72**
otal sleep arousal index	30.04 ± 8.81	61.68 ± 25.41**
pnea-hypopnea index (events/h)	5.88 ± 3.43	52.53 ± 29.78***
Apnea-hypopnea times	42.00 ± 25.31	430.58 ± 294.38**
Dbstructive apnea index (events/h)	1.41 ± 1.65	21.38 ± 18.74**
Dbstructive apnea times	9.86 ± 10.79	173.25 ± 159.52**
Central apnea index (events/h)	0.30 ± 0.77	3.83 ± 5.40*
entral apnea times	2.07 ± 5.14	35.50 ± 54.65
1ixed apnea index (events/h)	0.05 ± 0.09	6.19 ± 9.08*
1ixed apnea times	0.36 ± 0.63	55.33 ± 88.13
łypopnea index (events/h)	4.11 ± 2.65	21.17 ± 16.88**
lypopnea times	29.71 ± 21.00	166.50 ± 155.08**
ongest apnea time (s)	24.86 ± 24.59	69.08 ± 38.55**
1ean apnea-hypopnea duration (s)	14.06 ± 7.29	26.97 ± 7.53***
ongest hypopnea time (s)	60.29 ± 28.51	99.75 ± 24.15**
verage hypopnea time (s)	27.91 ± 6.86	35.74 ± 8.24*
Oxygen desaturation index (events/h)	5.48 ± 3.66	49.73 ± 29.24***
owest oxygen saturation (%)	88.57 ± 3.34	74.83 ± 12.04***
verage oxygen saturation (%)	95.00 ± 1.79	92.50 ± 2.81**
ongest oxygen desaturation (s)	84.23 ± 33.17	92.93 ± 26.57
Aean heart rate	62.00 ± 9.08	67.83 ± 10.83*
Arrhythmia index (events/h)	2.02 ± 2.09	3.82 ± 5.03
Maximum heart rate	94.00 ± 4.60	103.08 ± 18.70
Minimum heart rate	51.67 ± 6.12	53.50 ± 6.56

(Continues)

TABLE 4 (Continued)

	AHI <15	AHI ≥ 15
Blood pressure elevation index (events/h)	11.10 ± 9.49	26.09 ± 27.79
The highest systolic blood pressure (mmHg)	105.67 ± 53.60	163.25 ± 62.49
Average systolic blood pressure (mmHg)	80.83 ± 40.36	117.58 ± 41.10
Average diastolic blood pressure (mmHg)	62.83 ± 31.35	84.25 ± 29.45

Note. Values were calculated as mean ± SD.

AHI: apnoea-hypopnea index.

p < 0.05, p < 0.01, p < 0.01, p < 0.001 compared with AHI < 15 subjects.

protein and fat, whereas *Ruminococcus* species enterotype is linked to nondigestible carbohydrates (Conlon & Bird, 2015). Despite the fact that the *Bacteroides* predominant enterotype seems to be more common in IBD patients, the *Prevotella* enterotype is more representative in healthy subjects (Costea et al., 2018; Knights et al., 2014). Our findings show that *Bacteroides* enterotype patients are not susceptible to OSAHS, in contrast to the susceptibility of *Prevotella* enterotype patients.

IH-exposed mice mimic OSAHS, causing profound alterations in gut microbiota. Hypoxia/re-oxygenation is the most pronounced (Moreno-Indias et al., 2015), inducing an alteration in intestinal epithelial barrier markers and increasing intestinal permeability, leading to local and systemic inflammatory responses and consequent multi-organ morbidities (Barceló et al., 2016; Grootjans et al., 2010). However, only Bacteroides and Prevotella enterotypes can be classified in the rodent model, IH-exposed mice classify as the Prevotella enterotype (Moreno-Indias et al., 2015), which is similar to our particularly OSAHS patients. It has further been shown that IH leads to gut microbiota alteration and accompanying endotoxin production (Maes, Kubera, & Leunis, 2008). It is that IH model creates an anoxic environment in the intestine, which is beneficial for obligate anerobic bacterial growth, endogenous LPS production from gram-negative bacteria, and triggering inflammation. Notably, Prevotella is a genus of gram-negative anerobic bacteria, and it has a tendency to alter intestinal permeability (Moreno-Indias et al., 2015, 2016). Although this evidence only reveals the IH contribution to the pathogenesis, we also speculated that SF is another principal contributor (Poroyko et al., 2016). SF-induced mice manifest inflammation and enhanced production of endotoxins produced by gut microbiota, too (Poroyko et al., 2016). Taken together, LPS may play a crucial role in driving systemic inflammation, it has been shown in IH and SF modeling OSAHS models (Moreno-Indias et al., 2015, 2016; Poroyko et al., 2016). Moreover, in middle-aged non-obese males with OSAHS, disruption of the intestinal barrier, and concurrent increased serum d-lactate levels possibly contribute to intestinal hyperpermeability and are significantly positively associated with pro-inflammatory IL-1 β , IL-6, and TNF- α cytokines in serum (Heizati et al., 2017) in which TNF- α elevation in Prevotella enterotype subjects is similar with our results, but it did not reach statistically significant differences.

Prevotella enterotype patients with $AHI \ge 15$ in our results, suggesting that LPS production triggers downstream signaling

pathways, leading to the subsequent release of pro-inflammatory cytokines (Tobias, Soldau, & Ulevitch, 1989). Furthermore, the elevation of LPS-binding protein is also verified in OSAHS mimicking rodent models (Poroyko et al., 2016) and patients (Heizati et al., 2017), particularly regarding in the higher d-lactate level of OSAHS patients. Inflammatory mediators can be produced by peripheral and central cells. Peripheral inflammatory mediators may invade the CNS by crossing the blood-brain barrier, affecting behaviors and causing metabolic problems and psychiatric disorders (Ko & Liu, 2016). Here, our data suggest that the gut microbiota might impact the brain in OSAHS patients by modulating inflammatory responses.

Additionally, we should mention that the Prevotella enterotype is linked to diets rich in simple sugars. Simple carbohydrate consumption has been hypothesized to be related to elevated BP values and obesity (Orlando, Cazzaniga, Giussani, Palestini, & Genovesi, 2018), as showed in our data. Prevotella enterotype patients had a higher BMI and hip circumference than Bacteriodes enterotype patients. Monosaccharide intake induces inflammation in epithelial cells and contributes to hypertension (Orlando et al., 2018), linking to LPS production, which can stimulate systemic inflammatory cascades (Moreno-Indias et al., 2015). Inflammation mediates the pathogenesis of many physiological dysfunctions, such as metabolic syndrome and mental dysfunction (Ko & Liu, 2016), and thus might ultimately result in OSAHS-related metabolic comorbidities. Although Ruminococcus is associated with resistant starch, host health benefits from short chain fatty acids that have been demonstrated to regulate immune inflammatory responses (Macfarlane & Macfarlane, 2012). The enriched bacteria Ruminococcus spp. and Sutterella spp. are found in psychiatric patients (Wang et al., 2013). The abovementioned literature supports the hypothesis that microbiota disruption influences the pathophysiological process of OSAHS might be through a microbiota-gut-brain axis.

Despite OSAHS is one of the most common sleep apnea syndromes (SAS), other types are mixed sleep apnea (MSA) and central sleep apnea (CSA). The prevalence of OSAHS, complex SAS (CompSAS), and central SAS are 84.0%, 15.0%, and 0.4%, respectively (Morgenthaler, Kagramanov, Hanak, & Decker, 2006). MSA generally describes the mixture of both obstructive and central apnea events during diagnostic sleep, although many central apnea indices occurrence is also identified as MSA, which is sometimes

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referred to as CompSAS (Khan & Franco, 2014). Whereas CompSAS is a form of CAS wherein the persistence or emergence of central apneas or hypopneas has disappeared with CPAP, patients have predominately obstructive or mixed apneas occurring at \geq 5 events/h (Gay, 2008). Additionally, reportedly, there is a high prevalence of hypertension and heart disease in patients with CompSAS (Westhoff, Arzt, & Litterst, 2012). In our data, all of apnea indices were significantly increased in *Prevotella* enterotype patients with AHI \geq 15. Thus, abnormalities in electrocardiography, electroencephalography, electromyography, and electro-oculography results should be more concern.

Both IH and SF have been demonstrated to independently affect similar CNS regions in animal research (Rosenzweig et al., 2014). The N1 sleep stage is associated with the transition from wakefulness to other sleep stages or the following arousal during sleep. A higher N1 percentage might mean more events of wakefulness and/ or arousal, SF (episodic arousal from sleep), and sympathetic overactivity during sleep (Shao et al., 2018). REM sleep dysregulation significantly contributes to cognitive distortions and dysfunctions that rely on emotion and memory functions are also affected (Palagini et al., 2013). Moreover, the effects of sleep deprivation on cognition have been investigated (Killgore, 2010). Thus, OSAHS patients have been found to have neurocognitive and emotional disorders, suggesting the modulation of various neurotransmitters during the sleep period (Rosenzweig et al., 2014). Recently, a multicenter randomized controlled trial has been initiated evaluating the extent to which CPAP treatment improves neurocognitive dysfunction in OSAHS patients and examining the role of gut microbiota in this change (Xu et al., 2017). Preliminary results suggest the viability of the hypothesis that microbiota modulate central nervous functions in OSAHS patients.

Although the neural mechanisms underlying SAS-induced brain injury have not been completely elucidated, repeated arousals enable the characterization of the different stages of sleep. In this study, the N1 sleep stage, MAD, and arousal index were increased in Prevotella enterotype patients. BP was not significantly different among the three enterotype AHI ≥ 15 patient groups, but mean diastolic pressure during sleep was > 80 mmHg, which was similar to that observed in a previous study (Shao et al., 2018). MAD can act as an indicator of the levels of sleep parameters and blood oxygenation for the evaluation of severe OSAHS patients (Zhan, Fang, Wu, Pinto, & Wei, 2018). When MAD is elevated, sleep apnea appears to be more likely to cause respiratory arousal and might impair sleep stability, resulting in SF. This outcome might then be that the transition of the N2 sleep stage (the longest stage of sleep) to the N3 sleep stage is a vulnerable period, which is interrupted in OSAHS patients, and the overall sleep pattern becomes light sleep (Zhan et al., 2018). Additionally, chronic SF induction elevates fat mass, alters fecal microbiota, promotes increased gut permeability, leads to systemic and adipose tissue inflammatory changes, and accompanies metabolic dysfunction (Poroyko et al., 2016). These symptoms are known to be associated with OSAHS-related metabolic comorbidities, implying that the microbiota-gut-brain axis has a biaxial effect on the development of OSAHS pathology.

Contrastingly, evidence has shown that N1, N3, and REM sleep stages decrease and the N2 sleep stage increases in OSAHS patients (Rosenzweig et al., 2014). However, a higher N1 percentage, a longer MAD, and a shortened REM sleep stage were revealed in AHI \geq 15 patients with OSAHS-induced hypertension (Shao et al., 2018; Zhan et al., 2018). Our findings reveal that BP plays a vital role, particularly for SAS, where BP is comprehensively regulated by the peripheral and central systems. Hence, future studies should re-examine these questions in subgroups of hypertensive and normotensive OSAHS patients to evaluate their general applicability.

In summary, this study initiates a new approach to the study of sleep apnea through a combination of polysomnographic measurements with analysis of enterotypes. Obstructive, central, and mixed apnea indices, N1 and N3 sleep stages, MAD, arousal indices, and mean heart rate were all prominently increased in AHI \geq 15 patients with the *Prevotella* enterotype. Our results raise the possible association that the microbiota-gut-brain axis operates bidirectionally, with significant impact on the pathogenesis of OSAHS including functions of the gut and brain that eventually contribute to multiple end-organ morbidities.

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Conceptualization: C.Y.K., H.P.Z., and Y.M.Z.; Investigation: C.Y.K., A.K.H., J.M.F., L.M.H., J.H.Y., and H.Z.S.; Data curation and Visualization: C.Y.K., A.K.H., J.M.F., F.R.Y., H.P.Z., and Y.M.Z.; Writing-Review and Editing: C.Y.K., H.P.Z., and Y.M.Z.

DECLARATIONS OF CONFLICT OF INTEREST

The authors declare that they have no financial and personal relationships with others that may inappropriately influence the results and interpretation in this manuscript.

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