



Novel Intersubunit Interaction Critical for HIV-1 Core Assembly Defines a Potentially Targetable Inhibitor Binding Pocket

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ABSTRACT HIV-1 capsid protein (CA) plays critical roles in both early and late stages of the viral replication cycle. Mutagenesis and structural experiments have revealed that capsid core stability significantly affects uncoating and initiation of reverse transcription in host cells. This has led to efforts in developing antivirals targeting CA and its assembly, although none of the currently identified compounds are used in the clinic for treatment of HIV infection. A specific interaction that is primarily present in pentameric interfaces in the HIV-1 capsid core was identified and is reported to be important for CA assembly. This is shown by multidisciplinary characterization of CA site-directed mutants using biochemical analysis of virus-like particle formation, transmission electron microscopy of *in vitro* assembly, crystallographic studies, and molecular dynamic simulations. The data are consistent with a model where a hydrogen bond between CA residues E28 and K30' from neighboring N-terminal domains (CA_{NTD}) is important for CA pentamer interactions during core assembly. This pentamer-preferred interaction forms part of an N-terminal domain interface (NDI) pocket that is amenable to antiviral targeting.

IMPORTANCE Precise assembly and disassembly of the HIV-1 capsid core are key to the success of viral replication. The forces that govern capsid core formation and dissociation involve intricate interactions between pentamers and hexamers formed by HIV-1 CA. We identified one particular interaction between E28 of one CA and K30' of the adjacent CA that appears more frequently in pentamers than in hexamers and that is important for capsid assembly. Targeting the corresponding site could lead to the development of antivirals which disrupt this interaction and affect capsid assembly.

KEYWORDS X-ray crystallography, capsid, capsid assembly, computer modeling, human immunodeficiency virus

The HIV-1 capsid protein (CA) plays crucial roles in both early and late stages of the viral replication cycle (1). CA consists of 231 amino acids that fold into two distinct domains, the N-terminal domain (CA_{NTD}) and the C-terminal domain (CA_{CTD}), connected by a short linker. Multiple copies of CA monomers assemble around the viral genome to form the characteristic cone-shaped structure of the mature HIV-1 capsid core (2) (Fig. 1). The core is composed of ~200 hexamers and precisely 12 pentamers.

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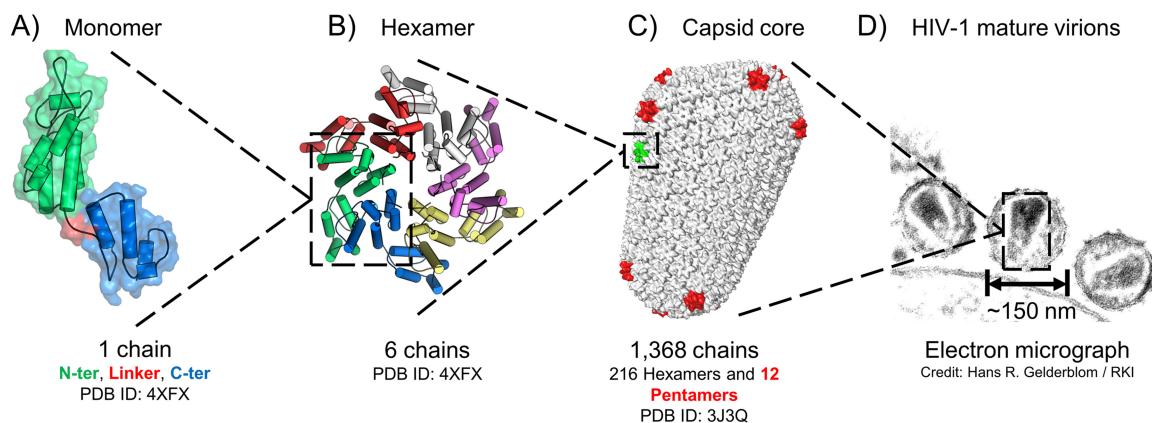


FIG 1 Structure and assembly of the HIV-1 capsid core. Capsid protein folds to form two domains connected by a flexible linker (A) and forms hexamers (B) and pentamers (red) in the mature core (C), which encloses the viral RNA and ultimately houses reverse transcription (D).

As with other icosahedral and fullerene structures, the 12 pentamers are required to form a closed core structure (3, 4).

During the early stages of HIV-1 replication, after viral and host cell membrane fusion, the capsid core is released into the cytoplasm, where it protects the viral RNA genome and protein components from degradation. Reverse transcription occurs in the core and is tightly coupled to the poorly understood process of capsid core disassembly, or uncoating (5–10). These events lead to the importation of the double-stranded viral DNA into the host cell nucleus, where it is integrated into the host DNA.

Several models of uncoating have been proposed (1), each supported by different experimental data. These models mainly differ in the timing of uncoating, which occurs immediately after entry (11, 12) or ~30 mins postfusion (10) or during nuclear trafficking (13–16) or when the capsid core reaches the nuclear envelope (17). The uncoating process is highly complex; it affects reverse transcription (5, 13, 18–21) and nuclear import and integration of viral DNA (5, 16) and involves interactions between viral partners (matrix, reverse transcriptase, integrase, Vpr [11, 12]) and host partners (cyclophilin A [CypA]) (16), microtubules (22), dynein (23), kinesin (24), cleavage and polyadenylation specificity factor 6 (CPSF6) (25, 26), transportin 3 (TNPO3) (27), and nuclear pore proteins NUP358 and NUP153 (15), all regulated in a spatiotemporal fashion.

Uncoating not only occurs due to specific interactions with various partners but is also influenced by CA structure and flexibility and requires appropriate stability of the core itself (18). Intermolecular CA_{NTD}/CA_{NTD} interactions are essential for the formation and stabilization of the hexameric (28) and pentameric (29) CA_{NTD} rings observed in assembled cores. CA_{NTD}/CA_{CTD} interactions are not observed within each monomer but instead occur between neighboring monomers within a hexamer or pentamer (28, 29). As CA_{NTD}/CA_{NTD} and CA_{NTD}/CA_{CTD} interactions differ between neighboring monomers in the hexamer or pentamer (29–31), we will use the terms “hexameric interface” and “pentameric interface” to refer to them.

In contrast, CA_{CTD}/CA_{CTD} interactions occur between monomers of neighboring hexamers (or pentamers). Mobility at these CA_{CTD}/CA_{CTD} interfaces, which connect hundreds of hexamers and 12 pentamers, accommodates the curvature of the capsid core (28, 30). Additionally, nuclear magnetic resonance (NMR) and mutagenesis studies have described the linker connecting the CA_{NTD} and CA_{CTD} as highly flexible (32) and essential for proper assembly and stability of the core (33–35). Results of coarse-grained model simulations suggest that linker flexibility explains the polymorphism of CA assemblies (36) by enabling variable CA_{NTD}/CA_{CTD} orientations.

Recent NMR studies (37) have shown that major variations in different *in vitro* CA assembly morphologies (tubes, sheets, and spheres) involve minor variations in the

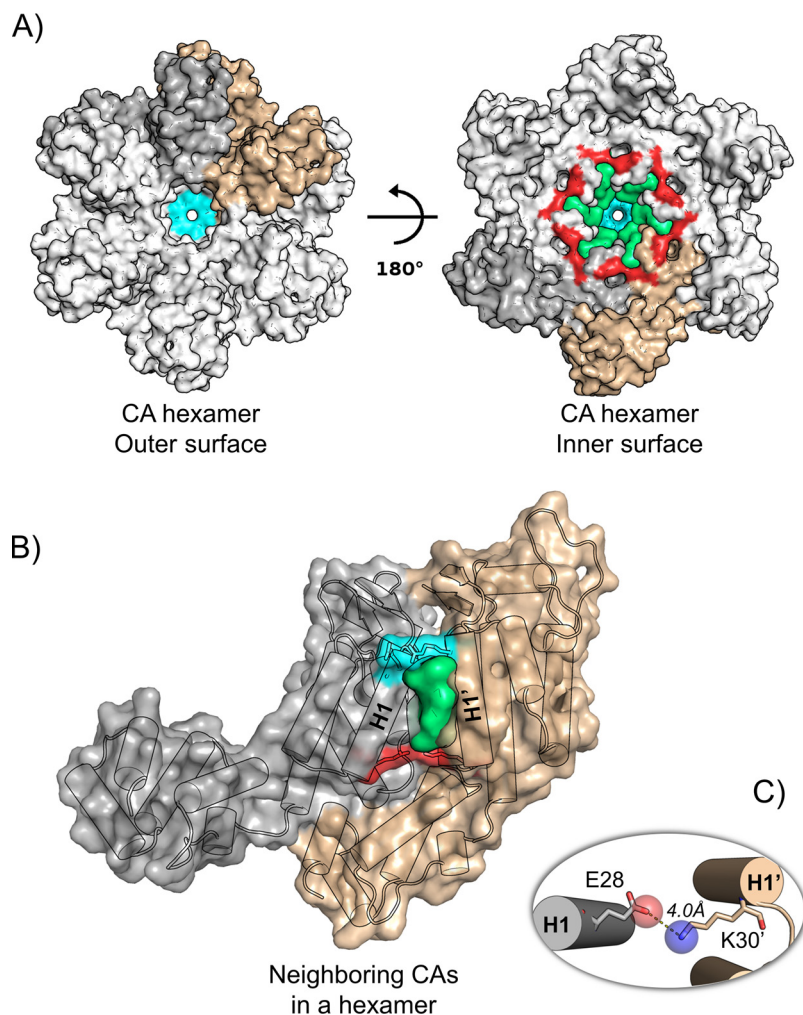


FIG 2 N-terminal domain interface (NDI) pocket. (A) The NDI pocket (in green) is located on the inner surface of the core, symmetrically encircling the 6-fold and 5-fold axes in hexamers and pentamers. (B) The pocket is formed at the interface between two neighboring CA_{NTD} s. H1 and H1' form the sides of the pocket, and it is capped at one end by two R18 sidechains (in cyan) and by an E28-K30' interaction (in red) at the other end. (C) Close-up representation of the E28-K30' interaction in this hexamer structure (PDB ID: 4XFX). The two sidechains are slightly too far apart to form a direct hydrogen bond.

molecular structures of ordered segments, suggesting changes in the intermolecular CA_{CTD} dimerization interface and changes in the intramolecular helix-helix packing in the CA_{NTD} . Additionally, the narrow end of the conical capsid core has been proposed to be a weak point for disassembly. Indeed, this area has a higher concentration of pentamers, which have been suggested to be less stable than hexamers due to the tighter positioning and greater electrostatic repulsion of the arginine 18 residues that form a tight ring at the center of hexamers and pentamers (29) (Fig. 2A). Mutagenesis studies have also highlighted the extreme genetic fragility of CA assembly, particularly that of the CA_{NTD} helices, which appear to be more sensitive than CA_{CTD} to mutations impacting the structure and stability of the CA hexamer assembly (19).

The multiple roles of CA in the virus replication cycle and its sensitivity to mutations have led to increases in efforts devoted to discovering antivirals targeting CA (38). This has led to the identification of several ligands (39), some of which impact virion maturation by inhibiting core assembly (CAP, benzodiazepines [BD], and benzimidazole [BM] compounds [40, 41]) whereas others impact the uncoating process (BI compounds [42]) and yet others affect both stages, as well as reverse transcription (PF74 [43, 44]). The effect of ligand binding on viral uncoating has been extensively studied, and it is

TABLE 1 H-bond and experimental C α -C α distances between E28 and K30'^a

Method (PDB IDs)	No. of dimers	% dimers with E28~K30' H-bond	C α -C α distance (Å)
Modeling (3J3Q and 3J3Y) ^b			
Hexamer	2,412	24.20	14.45 (\pm 2.02)
Pentamer	120	86.70	11.91 (\pm 0.98)
Cryo-ET (5MCX \rightarrow 5MCZ, 5MD0 \rightarrow 5MD9, and 5MDA \rightarrow 5MDG) ^c			
Hexamer	104		13.64 (\pm 0.34)
Pentamer	5		10.05 (\pm 0.04)

^aH-bonds were identified using a distance threshold of 3.35 Å between E28 carboxyl oxygen and K30' amine nitrogen.

^b Δ C α -C α (hexamer – pentamer) (Å), 2.54.

^c Δ C α -C α (hexamer – pentamer) (Å), 3.59.

not yet clear whether the effect is the result of an increase or decrease in the stability of CA assemblies. However, the binding of compounds to the capsid core may also prevent interactions with the host protein partners required for proper uncoating, as observed for BI-2 and PF74, whose shared binding sites overlap those of CPSF6 and NUP153 (45–47).

Identification of sensitive interactions at CA interfaces may aid the development of new antivirals targeting the stability of CA assemblies. In this study, we explored sites in capsid that differ between pentameric and hexameric environments to identify novel targets for the design of inhibitors that interfere with proper assembly of the HIV-1 core. The importance of a previously unreported interaction between neighboring CA monomers within pentamers is highlighted, and a novel site for design of new antivirals targeting core assembly was identified and characterized using biochemical analysis of virus-like particle (VLP) formation, transmission electron microscopy (TEM) of *in vitro* assembly, crystallographic studies, and molecular dynamic (MD) simulations.

RESULTS

Capsid interactions in hexamers versus pentamers. Currently, two atomic models of the entire capsid core are available in the Protein Data Bank (PDB) archive (PDB identifiers [ID]: 3J3Q and 3J3Y) (31). Both were built using an integrative combination of computational techniques (molecular dynamics flexible fitting, molecular modeling, and all-atom molecular dynamics simulations) and experimental data from cryo-electron microscopy (cryo-EM), cryo-electron tomography (cryo-ET), X-ray crystallography, and NMR spectroscopy. Using these models, we analyzed the statistical prevalence of molecular interactions at the interface of neighboring CA molecules in pentameric or hexameric units (see Fig. S1 in the supplemental material). One interaction is significantly more frequent at the pentameric interface than at the hexameric interface: a hydrogen bond (H-bond) between the E28 of helix 1 (H1) in one CA_{NTD} and the K30' in helix 1' (H1') of the neighboring CA_{NTD}. This interaction is present in 86.7% of pentameric interfaces compared to 24.2% hexamer interfaces (Table 1). The distances between C α atoms of E28 and K30' in neighboring subunits in these structures are also smaller in pentamers than in hexamers. A similar trend is seen in 20 subnanometer cryo-ET structures from intact virions (30), showing closer proximity of E28 to K30' C α in pentamers than in hexamers (Table 1). This interaction is at the bottom of a pocket between CA residues from neighboring N-terminal domains (CA_{NTD}s) (Fig. 2B and C). Here, we use the term “NDI pocket” to refer to this N-terminal domain interface pocket.

Consistent with this observation, the interaction is not observed in the X-ray structure of the native wild-type (WT) CA in hexameric form (PDB ID: 4XFX) (48) or in any of the hexameric CA X-ray crystal structures available in the PDB, i.e., dehydrated, mutated, or bound to a ligand or peptide. An interaction between E28 and K30' mediated by water molecules is observed in the X-ray crystal structure of the cross-linked hexamer (PDB ID: 3H47) (28) (see Table 5 in reference 48). One structural feature could explain the absence of this interaction in the X-ray crystal structures: the crystal lattice is flat and does not fully recapitulate the core's characteristic curvature. This

curvature is expected to impact the relative orientations of neighboring CA_{NTD5} (30) within pentameric or hexameric assemblies (37) and to be more pronounced in the presence of pentamers (29, 31).

The only available X-ray crystal structure of a pentamer also does not show a hydrogen bond at this site. It is cross-linked through engineered cysteines at positions 21 and 22 in the H1 helix (PDB ID: 3P05) (29). The resulting disulfide bond is at the top of the NDI pocket, between position Cys21 of the H1 in one monomer and Cys22' of the H1' in the neighboring monomer. This engineered constraint brings E28 and K30' closer at the end of the helices, requiring a reorientation of their side chains to avoid steric clashes.

E28, K30, and R18 are highly conserved. Residues E28, K30, and R18 are highly conserved, with 99.4%, 98.8%, and 99.4% sequence identity across the subtype reference sequences available in the Los Alamos National Laboratory HIV mutation browser (<http://hivmut.org> [49]). Only three isolates, 03GH173_06 (subtype 06_cpx; GenBank accession number AB286851 [<https://www.ncbi.nlm.nih.gov/nucleotide/AB286851>]), nx2 (subtype 08_BC; GenBank accession number HM067748 [<https://www.ncbi.nlm.nih.gov/nucleotide/HM067748>]), and A32989 (subtype BF1; GenBank accession number AF308491 [<https://www.ncbi.nlm.nih.gov/nucleotide/AF308491>]) show substitutions at those positions with K30R, E28D/K30E, and R18K, respectively (see Table S1 in the supplemental material).

In order to determine whether similar interactions at the E28~K30' site are formed in other subtypes, 100-ns molecular dynamic (MD) simulations of pentamers of hexamers (POH; one pentamer surrounded by five hexamers) were performed for the WT (*HXB2*), 03GH173_06, and nx2 isolates. We extracted one POH from the WT core structure (PDB ID: 3J3Y) and used it as a template to model the POH of the isolates named above. The characteristic curvature was maintained by constraining the positions of three atoms in each hexamer (see Materials and Methods and Fig. 3A and B). The backbone root mean square deviations (RMSDs) computed over the trajectory for the central pentamer (Fig. 3D) and the surrounding hexamers show that stable conformations were reached quickly (after ~10 ns) (Fig. S2). However, no equilibrium was reached for the overall POH complexes (Fig. 3C) even after 100 ns of simulation. These data indicate that the POH systems continue to evolve over the trajectories at the intersubunit interface.

Analysis of the H-bond interactions connecting the bottom of helices H1 and H1' (from position 28 to position 31) reveals that for both the WT isolate (*HXB2*) and isolate 03GH173_06, H-bonds between positions 28 and 30 (E28~K30' and E28~R30', respectively) participated in the formation of a closed ring of interactions in the pentamer (Fig. 3B and E; see also Fig. S3). For isolate nx2, a similar ring formation was never observed, but a larger set of H-bonds were found to be able to connect helices H1 and H1'. Interestingly, the latter isolate has 4 substitutions in positions 28 through 31 (Table S1), which add 5 additional charges and drastically decrease the inherent nonbonded potential energy of these residues compared to the results seen with the other isolates ($-1,836.2 \pm 26.2$ kcal·mol⁻¹ for the WT isolate, $-2,611.0 \pm 30.0$ for isolate 03GH173_06, and $-3,219.2 \pm 35.8$ for isolate nx2).

Effect of E28 and K30 on particle formation. Previous mutagenesis and cell-based infectivity studies highlighted the importance of capsid residues E28 and K30 for virus replication. Rihn et al. showed that substitutions of K30 with shorter, oppositely charged (K30E) or uncharged (K30N) amino acids resulted in the production of noninfectious particles (19). However, no catastrophic structural changes are apparent in cryo-EM images of the WT and K30N viruses (personal communication). Similarly, the two E28A/E29A mutations resulted in the production of noninfectious virus. Studies of the E28A/E29A viral particle morphology performed using transmission electron microscopy (TEM) suggested normal levels of particle release. However, core formation was eliminated (20, 50), highlighting the finding that the reduction or loss of infectivity was directly linked to the lack of core assembly.

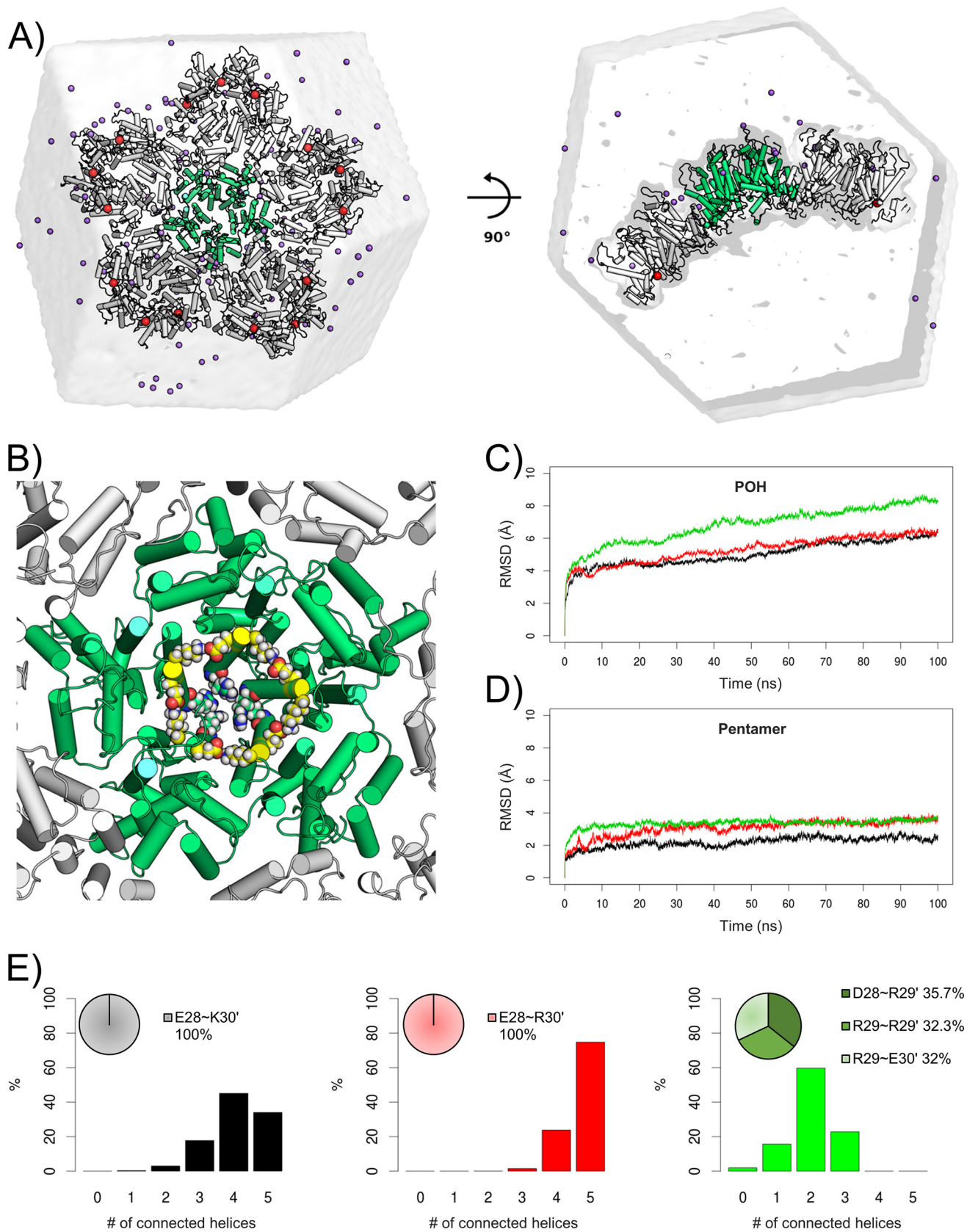


FIG 3 MD simulation for CA pentamers of hexamers (POH) from three isolates. (A) Dynamics of the pentamers (in green) of hexamers (in white) were simulated in a periodic dodecahedron box of water molecules. The charge of the system is neutralized with sodium counterions (purple spheres), and the curvature of the assembly is maintained with positional restraints on 15 C α carbons (red spheres; see Materials and Methods). (B) In the starting structure (Continued on next page)

It is possible that E28 and K30 play a role in immature particle formation when CA is still part of the full-length Gag polyprotein. To test their effect on the formation of the HIV particle before maturation, E28A, K30A, and E28A/K30A mutations were introduced into a plasmid construct containing the HIV-1 Gag polyprotein (see Materials and Methods). Gag polyprotein alone can produce virus-like particles (VLPs) and produce intracellular Gag-containing complexes that sediment in a sucrose gradient identically to WT viral constructs (51–53). The three mutants displayed similar amounts of extracellular Gag 24 h posttransfection, indicating similar levels of VLP formation, and these mutations formed the intracellular Gag-containing complexes found in WT, indicating no defect in immature Gag particle formation or release (Fig. 4).

Assembly of recombinant mutant CA proteins. WT CA is known to assemble *in vitro* into long, hollow tubular structures. These tubes are composed of CA hexamers and are homogeneous in width with an external diameter of ~55 nm but highly heterogeneous in length (4, 54, 55). It was previously shown that E28A/E29A reduces, but does not eliminate, CA assembly *in vitro* (50), producing cylinders similar to the WT CA at higher protein concentrations (~15 mg/ml) but with severe attenuation of their production at lower protein concentrations (~5 mg/ml).

Furthermore, R18A and R18A/N21A have been previously shown to impact the morphology of the *in vitro* CA assemblies by shifting the observed phenotypes to spheres, cones, spirals, and short capped cylinders (50). The R18A phenotype is thought to be the result of an increased frequency of pentameric interface formation in the assembling capsid lattice. The side chains of R18 create a strong positively charged pore in the middle of the CA_{NTD} rings that has been proposed to recruit nucleotides for importation into the core (56). The charges are brought closer together in the pentameric assembly than in the hexamer assembly, resulting in stronger electrostatic repulsion, which disfavors pentamers relative to hexamers (29). Further studies revealed that elimination of charge by replacement of R18 with a large hydrophobic residue (i.e., V, I, L, F) favored pentameric interface incorporation and induced assembly of spheres, presumably due to the stabilizing effect of hydrophobic contacts (29, 50, 57). With variation of the assembly conditions, those mutants also yielded cylinders, cones, and very large spheres (up to ~2.5 μm in diameter), which collapsed and flattened (57) upon deposition on the EM grid. Most recently, the pocket composed of the six R18 residues from each CA monomer in a hexamer was shown to be the binding site for inositol hexaphosphate (IP6), which stabilizes hexamers and promotes DNA synthesis (58–61).

To assess the proposed role of the E28~K30' H-bond in CA assembly, we tested recombinant mutant CA proteins, harboring R18A, E28A, and R18A/E28A mutations, for cylinder formation *in vitro* (Table 2). WT and mutant CA proteins were assembled *in vitro* and analyzed by negative-stain TEM (Fig. 5). Consistent with previous reports, under experimental conditions WT CA formed hollow cylinders (or tubes) that were homogeneous in diameter (~45 to 60 nm) but extremely heterogeneous in length. As expected, R18A mutant CA assembled efficiently, forming sheets and spheres that were highly variable in diameter (diameters ranged from 30 to 220 nm, occasionally achieving ~400 nm), i.e., phenotypes that are consistent with the presence of pentameric assembly *in vitro* (Fig. 5). Notably, shortening the R18 side chain (R18G and R18A) should neither affect the overall structure of the CA protein nor impact its ability to form hexameric assemblies (56), since these are present in the observed morphologies.

The E28A mutant formed tubes, although their assembly was attenuated in comparison to the WT CA; it also formed sheets and spheres (diameters ~50 to 130 Å) (Fig. 5). The appearance of sheets and spheres may have been a consequence of

FIG 3 Legend (Continued)

of the WT (HXB2), a ring of H-bonds is formed by E28 and K30', which connect five H1 helices (in yellow). (C and D) Backbone RMSD relative to starting structures for HXB2 (black), 03GH173_06 (red), and nx2 (green) for the entire POH (C) and the central pentamer (D). (E) Statistics on the number of hydrogen bonds formed between the ends of both helices H1 and H1' (positions from 28 to 31) as monitored over the entire simulation. Pie charts identify the particular H-bonds being formed. Block-averaged statistics are shown in Fig. S3.

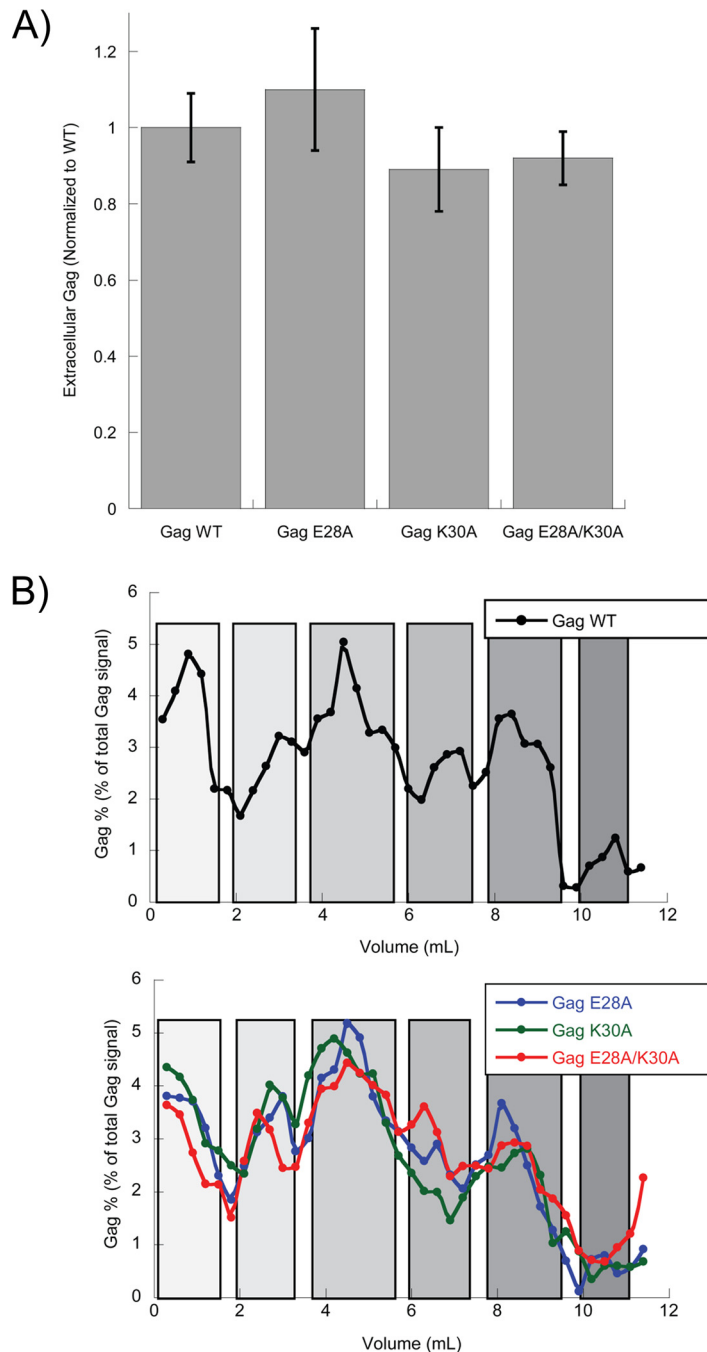


FIG 4 Gag mutations do not affect immature virus formation. (A) Graph of expression of extracellular Gag as a measure of VLP formation. All data have been normalized to Gag-WT. (B) WT and mutant Gag-containing complexes separated on a 10 to 60% sucrose gradient. Top of gradient is on left, and bottom on right. Gray boxes denote distinct intracellular Gag-containing populations described previously (49–51).

enhancement of pentamer formation or of suppression of hexamer formation or of a combination of the two. The R18A/E28A double mutant also showed attenuated assembly of sheets and a small number of spheres (with diameters ranging between 30 and 210 nm). On the basis of these data, we suggest that the R18A mutation is primarily responsible for the phenotype and that E28A decreases the assembly efficiency. Residues R18 and E28 outlined the NDI pocket (Fig. 2B), but since they were separated by ~ 14 Å, the observed differences in assembly were likely not related to the removal of a direct contact between R18 and E28.

TABLE 2 Self-assembly phenotypes of HIV-1 CA mutations *in vitro*

Protein	<i>In vitro</i> assembly	Phenotype	Interpretation ^a
CA	+ + +	Tubes	Hexamer >> pentamer
R18A	+ + +	Spheres, sheets	May stabilize pentamers
E28A	+ + (attenuation)	Tubes, spheres, sheets	Hexamer > pentamer
R18A/E28A	+ (attenuation)	Spheres, sheets	May stabilize pentamers

^a+++ , efficient *in vitro* assembly as compared to WT CA; ++, attenuated (3-6 fold decrease) *in vitro* assembly as compared to WT CA; +, severely attenuated (>6 fold decrease) *in vitro* assembly as compared to WT CA. Tubes and sheets are assumed to be formed by hexamers; spheres are assumed to also contain pentamers.

Crystal structures of CA mutants. To address how the mutations mentioned above alter the structure of the CA protein, we crystallized and solved the crystal structures of R18A, E28A, or R18A/E28A capsid mutants. All structures were determined in the hexameric P6 space group with one molecule per asymmetric unit (see Table S2 and Materials and Methods). Overall, the mutant structures are very similar to the hexameric WT CA structure (RMSDs on backbone: 0.38 Å for R18A; 0.30 Å for E28A; 0.35 Å for R18A/E28A) (Fig. 6A). The side chains of all mutated residues were solvent exposed and not involved in significant intra- or intermolecular interactions in the CA crystal structures, thereby minimizing the chance of significant structural perturbations beyond the mutation site. This observation is further supported by buried surface area calculations: mutation of R18A in both single and double mutant structures resulted in an ~10% decrease in the buried surface area at the intrahexamer interface (CA_{NTD}/CA_{NTD} and CA_{NTD}/CA_{CTD}), while E28A has no significant effect. Interestingly, in addition to the localized changes and alteration of the intrahexamer interface, those mutations caused remote subtle side chain rearrangements that resulted in a 5% to 10% decrease of the buried surface area at the 2-fold interhexamer interface and a 30% to 60% decrease at the 3-fold interhexamer interface, as calculated using PISA software (62).

There was an indirect water-mediated H-bond interaction between E28 and K30' in the R18A crystal structure (Fig. 6B); a similar interaction was observed in the crystal structure of the cross-linked hexamer (PDB ID: 3H47), while the E28A, R18A/E28A, and WT CA structures lacked this interaction. Furthermore, these mutations do not appear to have significantly affected the 3-fold interactions between hexamers (Fig. 6C). Overall, the R18A, E28A, and R18A/E28A X-ray crystal structures demonstrated that the mutations neither affected the folding of the CA protein nor dramatically altered the CA hexamer assembly. The structures also showed, as in previously determined structures of CA assemblies, that the E28~K30' interaction was part of a larger cluster of charged interactions at the site (Fig. 7). There appeared to be a facile reorganization of side chains to form salt bridges and alternate interaction in the hexamers, depending on the detailed configuration of the subunits. This is consistent with the alternative ring of interactions observed at this site in our MD simulations of the *nx2* isolate.

MD Simulations of CA dimers. To observe whether the E28~K30' H-bond impacts the dynamics of the pentameric and hexameric interfaces, we performed two 100-ns MD simulations for the WT using two neighboring dimers extracted from the central pentamer of POH and a hexameric dimer extracted from the native CA X-ray hexamer crystal structure (PDB ID: 4XFX). Similar simulations were performed for the E28A mutant in both cases. By combining all the conformations extracted from the simulations at each frame (20,002 conformations for both WT and E28A), complete backbone deformation (N_{eq} ; see Materials and Methods) profiles were quantified for both the WT and mutant dimers (Fig. 8; see also Table S3).

Note that the dimers used for these computations did not correspond to the CA symmetric dimers (D_{sym}) solved in solution NMR by Deshmukh et al. (PDB ID: 2M8L) (34). Here, the dimers were extracted from formed pentamers and hexamers. Consequently, monomers A and B of the dimer did not show the same flexibility as the positions involved at the interface, and the positions exposed to solvent were not the same for each monomer.

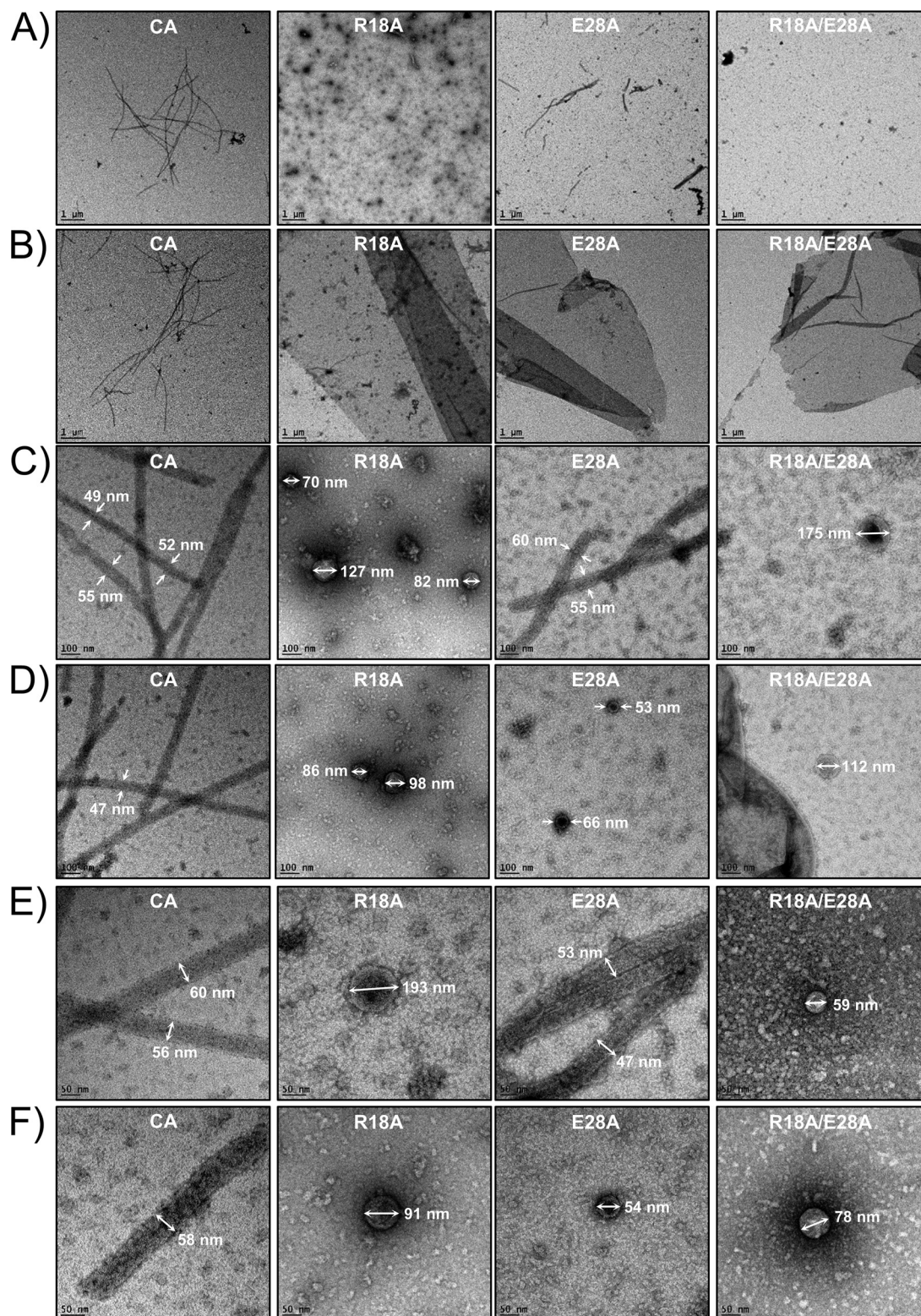


FIG 5 Effects of R18A, E28A, and R18A/E28A mutations on CA assembly. Images represent results of TEM analysis of CA mutant assemblies. Projection images were recorded at $\times 2,500$ magnification (A to B), $\times 20,000$ magnification (C and D), and $\times 40,000$ magnification (E and F) from the corresponding samples as indicated. Scale bars are $1 \mu\text{m}$ in panels A and B, 100 nm in panels C and D, and 50 nm in panels E and F.

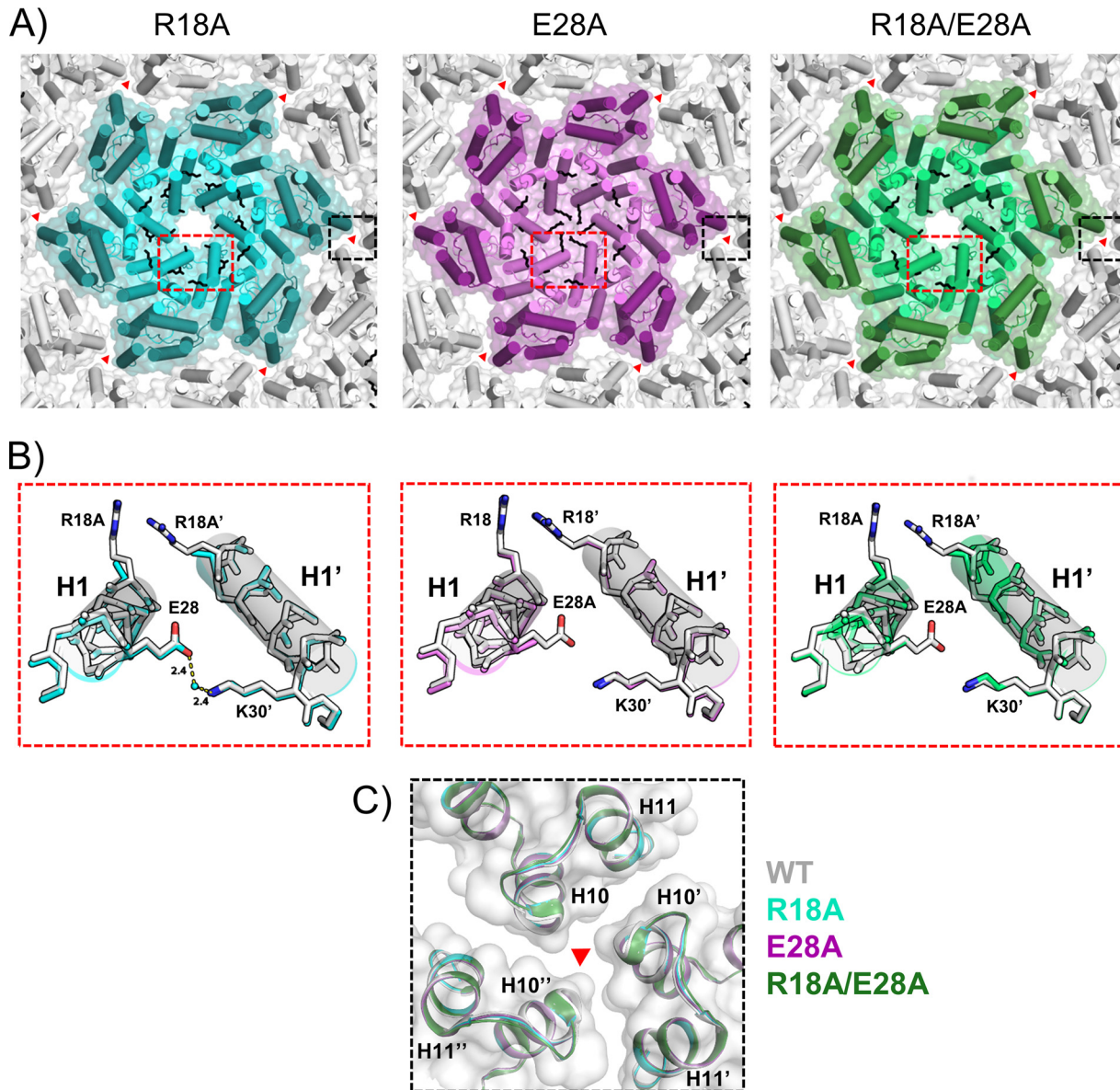


FIG 6 X-ray crystal structures of R18A, E28A, and R18A/E28A CA mutants compared to WT CA. (A) HIV-1 CA mutants R18A (CA_{NTD}S in light cyan, CA_{CTD}S in cyan), E28A (CA_{NTD}S in light pink, CA_{CTD}S in purple), and R18A/E28A (CA_{NTD}S in light green, CA_{CTD}S in green) form a hexamer that is very similar to WT CA (PDB ID: 4XFX). The side chains for the positions of interest (R18 or A18, E28 or A28, and K30') are shown as black sticks. The 3-fold CA_{CTD} interfaces are indicated with red triangles. (B) Close-up views of red boxes in panel A. Two helices 1 (H1 and H1'), encompassing the NDI pocket, are very similar to the WT CA (shown in white). The side chains are shown for positions 18, 28, and 30' only. For the R18A structure, a water molecule mediates an indirect H-bond interaction between E28 and K30'. (C) Close-up views of the 3-fold interfaces shown in panel A as black boxes. All CA mutant structures were aligned to the WT at the 3-fold axis (residue range, 195 through 221).

In pentameric dimers, positions surrounding the residues forming the H-bond (positions 30 and 31 from monomer A and positions 29 and 30 from monomer B) showed a slight increase of $\sim 1 N_{eq}$ unit in deformation in the mutant dimers. Also in the pentamers, the ends of helix H1 and helix H1' (positions from 28 to 31) in the WT dimer were connected via the E28~K30' H-bond in 85.6% and 85.1% of the trajectories, respectively. In contrast, the ends of H1 and H1' were almost never connected in the E28A mutant, with E28~K30' H-bonds formed in 0.1% and 0.4% of the trajectories and E29~K30' formed at only a few time steps. This suggests that the differences in N_{eq} results were related to enhanced deformation of the region from the absence of the E28~K30' H-bond.

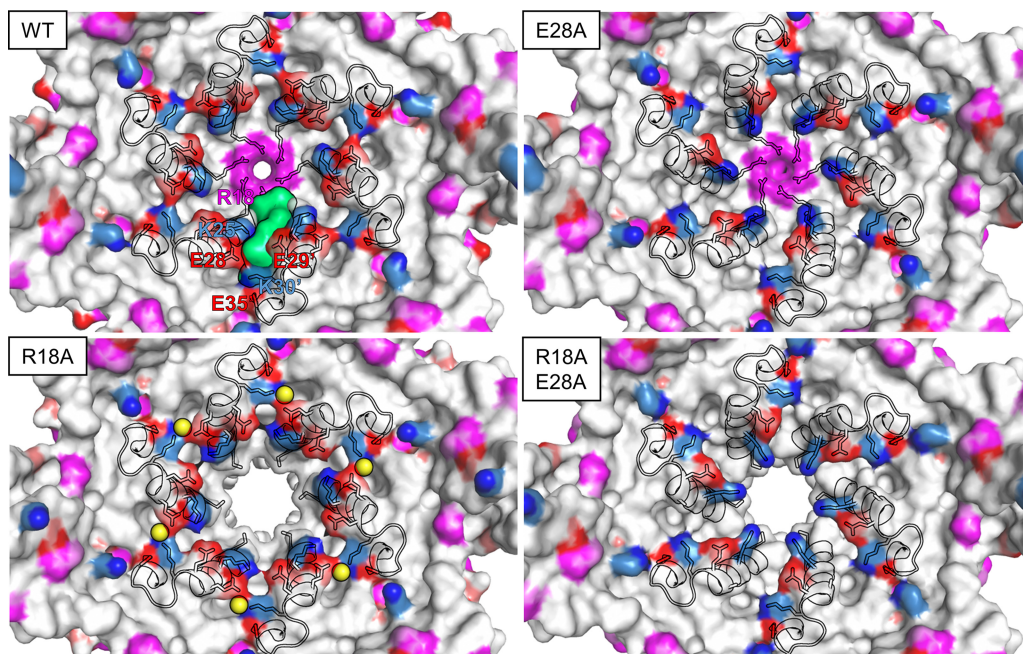


FIG 7 Charged amino acids at the NDI pocket in WT and mutant CA hexamers. Lysine is shown in blue, glutamate/aspartate in red, and arginine in purple (PDB ID: WT CA, 4XFX; structures reported here, 5W4O, 5W4P, and 5W4Q). One NDI pocket is highlighted in green (in the WT) with the surrounding charged amino acids labeled. H1 and the beginning of H2 are shown as cartoons. In R18A, the water molecules that bridge interaction between E28 and K30' are shown as yellow spheres.

Surprisingly, the major differences in deformation between WT and E28A occurred at distant regions of the sequences. In pentameric dimers, two regions, consisting of positions 59 to 61 of monomer A and positions 201 to 209 of monomer B, showed large decreases in deformation for the mutant. Residues 59 through 61 were positioned at the end of helix H3 close to the mutation site (~ 5 Å), while positions 201 through 209 were positioned in a loop very distant from it (~ 30 Å) and were largely accessible to solvent.

In hexameric dimers, more deformation was seen for the mutant in both monomers, around position 90, in the CypA loop at the top of CA_{NTD}. Interestingly, the dynamics of this loop have already been described as determinant for binding of CypA (63), a host cell factor known to stabilize CA (64).

The intrinsic flexibility differences between the WT and the E28A variant suggest that destabilizing the E28-K30 interaction may affect the dynamics of the CA subunits in ways that may have implications for capsid assembly.

DISCUSSION

CA residues R18, E28, and K30 are highly conserved among known HIV-1 isolates. They are at opposite ends of the N-terminal domain interface (or NDI) pocket formed by the ends of two symmetry-related helices (R18 and E28 in H1 and K30' in H1') from neighboring CAs within a pentameric or hexameric unit. R18 is at the “top” of the pocket, whereas E28 and K30' are at the “floor” (Fig. 2). The NDI pockets seem to differ in intrapentamer versus intrahexamer interfaces.

In the available models of the HIV-1 cores, E28 and K30' interact through an H-bond and form the floor of the NDI pocket in 87% of the intrapentamer and 24% of the intrahexamer interfaces. In contrast, interactions at the top of the pocket (R18) appear to be more important at intrahexameric interfaces, consistent with the loss of hexamer-containing tubes observed in our TEM studies with R18A. On the basis of the data, it is likely that both parts of the NDI pocket contribute to stabilization during assembly.

MD studies performed with WT and E28A CA dimers have identified a possible

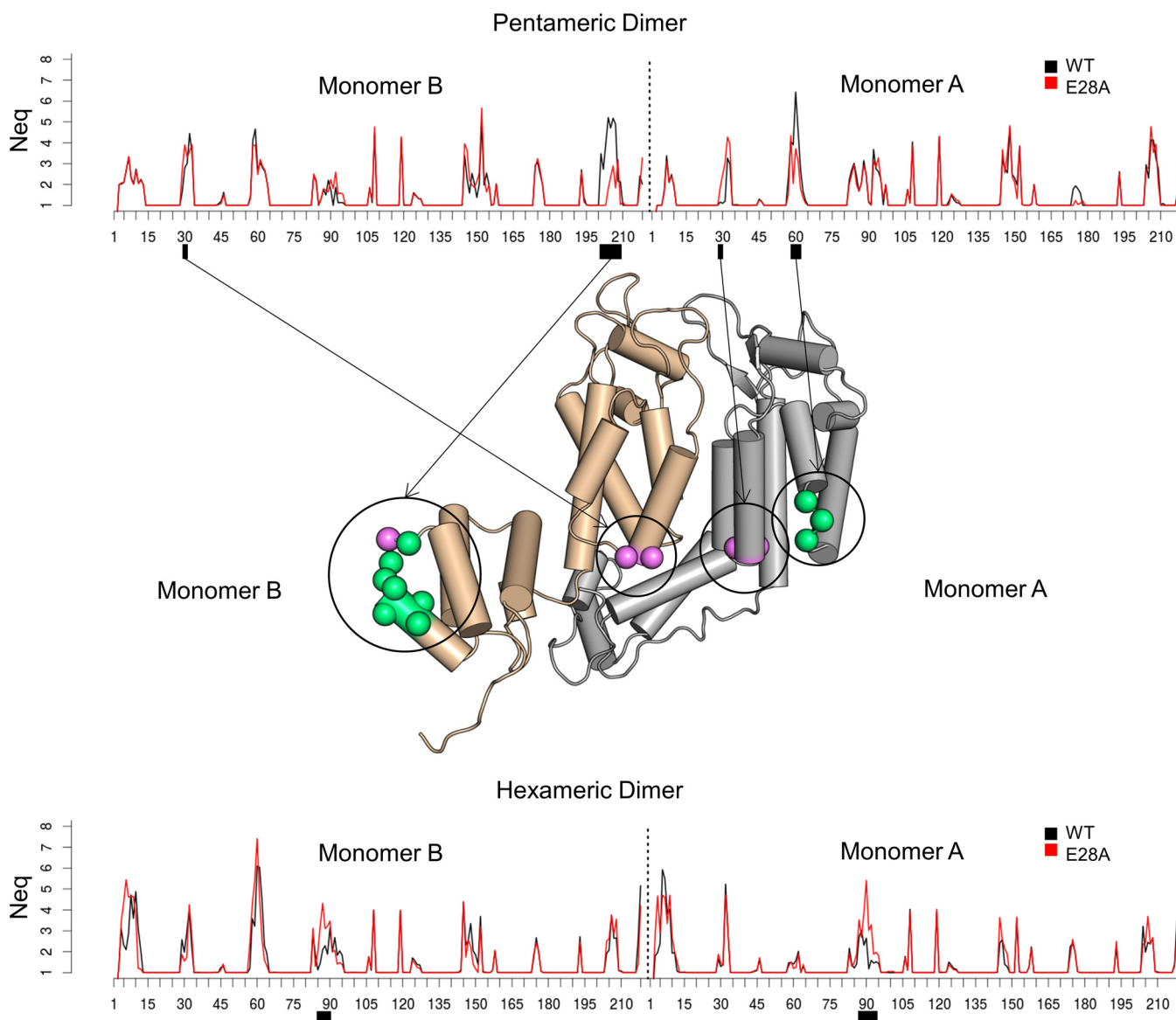


FIG 8 Backbone deformation of CA dimers comparing WT and E28A mutants in pentameric and hexameric conformations. The N_{eq} profiles of the two monomers of a pentameric dimer (top) and a hexameric dimer (bottom) are shown for the WT (in black) and the E28A mutant (in red). Values corresponding to the CA_{CTD} disordered region (positions 219 through 231) have been omitted for clarity. A cartoon representation of the pentameric dimer is shown in the center, and meaningful differences between both WT and mutant N_{eq} values are indicated with a purple sphere where the mutant has a higher value and with a green sphere where the WT has a higher value.

mechanism through which the E28~K30' interaction affects the global dynamics of CA during assembly. Loss of the E28~K30' interaction revealed changes in flexibility at residue positions proximal to E28 and K30' (residues 59 to 61) and, interestingly, at a remote region (residues 201 to 209) as well (Fig. 8). The latter changes suggest that the E28A mutation at the interpentamer interface allosterically affects the interactions between the H1 and H1' helices. Similar long-range interactions between remote CA regions have been previously described. Noviello et al. (65) reported that CA mutations H62A and H62F have no effects on the release of VLPs but result in aberrant core morphologies correlated to their infectivity defects. Remarkably, two compensatory mutations that improved infectivity were mapped to residue 208 (G208R and G208A). In the CA pentameric dimer, H62 is located behind the E28~K30' H-bond, and G208 is a part of the loop between helices H10 and H11. The authors proposed that H62 mutants alters the 3-fold CA_{CTD} interface and that mutation in residue 208 repositions helix H11 to accommodate the histidine 62 mutation.

Under physiological conditions, CA monomers interact via the 2-fold CA_{CTD}/CA_{CTD} interface to form symmetric dimers (D_{sym}) (66). Interactions between three D_{sym} around the 3-fold axis, form a trimer of dimers (TOD), which has been reported in coarse-grain and mathematical models as a building block of the CA hexameric lattice (36, 67). However, the recent coarse-grained simulation suggests that the addition of D_{sym} onto formed TODs represents the basic nucleation pathway rather than a simple aggregation of distinct TODs (35). As illustrated in Fig. 11 in reference 67 (or see Fig. S4A in the supplemental material), the pentamers can be viewed as an aberration in the TOD lattice, where two TODs overlap and share one D_{sym}. In this context, pentamer formation into the CA lattice cannot result from the polymerization of distinct TODs but has to be the product of the addition of D_{sym} in the existing pentameric dimer (Fig. S4B and C).

Our results suggest that E28A affects neither the formation of the D_{sym} and the TOD nor their polymerization, as we observed tubes *in vitro* (Fig. 5). However, we showed that E28A affects the incorporation of pentamers. We thus propose that proper pentamer formation in the CA lattice requires specific flexibility of the H10/H11 loop at the free end of the CA_{CTD} (Fig. S4D). Moreover, we propose that the E28~K30' H-bond between the adjacent monomers in the pentameric dimer is the key to this flexibility.

Lemke et al. (41) previously identified the K30R substitution as a resistance mutation corresponding to the BM compounds, a family of CA assembly inhibitors that act primarily by blocking the assembly of mature conical capsids. The X-ray crystal structure of CA_{NTD} in complex with BM-4 (PDB ID: 4E92) showed binding in a hydrophobic pocket at the bottom of the CA_{NTD}, just behind H1 and K30, that slightly reorganized the bottom of the helix bundle in the CA_{NTD}. Interestingly, mutation of lysine to arginine, a similarly charged but longer amino acid, resulted in significant BM inhibitor resistance (4-fold for BM-2, 5-fold for BM-3) without reducing the binding of the compounds. The authors concluded that the “resistance conferred by these substitutions were not attributable to reduced inhibitor binding affinity, implying that they act via an indirect mechanism.” Regarding our study, it is tempting to speculate that the binding of BM compounds perturbs the formation of the E28~K30' H-bond and that the R30' mutant positioning brings its charge closer to E28 in a range suitable for H-bond formation.

Finally, we propose the NDI pocket is an interesting target for the discovery and design of antiviral inhibitors. This pocket is (i) not subject to crucial binding site reorganization (it is located between helix bundles displaying low backbone deformations and mobility); (ii) located near the 6-fold axis channel, which could be interesting for designing compounds to disturb nucleotide recruitment through the pore; and (iii) capped at the top by two R18 residues and at the bottom by E28 and K30', positions that have been identified previously and in the current study as important for core assembly. Moreover, the end of helix H3 (positions 58 and 59) forms the deepest part of the pocket and comprises the same loop as the neighboring H62, which is highly conserved, and its impact on capsid assembly has been well studied.

Given these observations, we performed a preliminary high-throughput virtual screen focusing on the NDI pocket using the FightAIDS@Home project (FA@H; <http://fightaidsathome.scripps.edu/Capsid/index.html>) in collaboration with IBM's World Community Grid (<https://www.worldcommunitygrid.org/>). More than 1.6 million commercially available compounds have been used to target, *inter alia*, 20 conformations of the NDI pocket, selected from hexameric and pentameric interface assemblies. Preliminary results show that the NDI pocket is a plausible binding site for antiviral compounds (Fig. 9) from a molecular docking point of view. Evaluation and characterization of these compounds are the subjects of an ongoing independent study.

In conclusion, through biochemical, virological, TEM, crystallographic, and MD simulation analyses, we characterized interactions primarily present in pentameric interfaces in the HIV-1 capsid core and showed them to be important for assembly. Our data highlighted the importance of a novel N-terminal domain interface (NDI) pocket that is amenable to antiviral targeting.

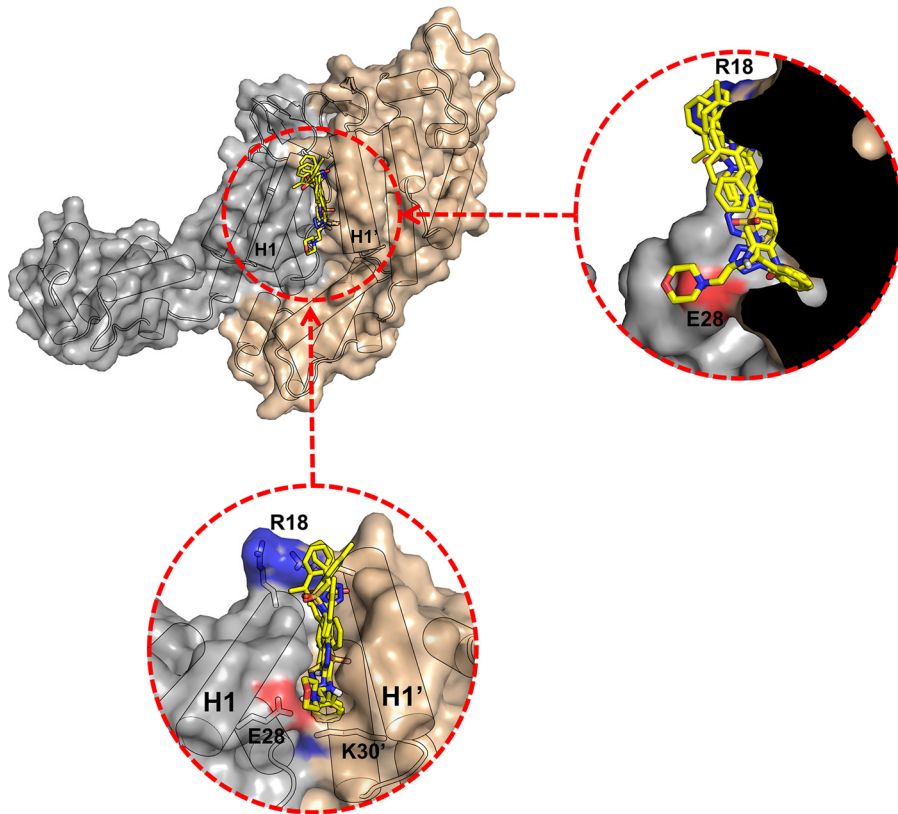


FIG 9 Top 5 compounds from a virtual screen of the NDI pocket. Docking results are shown as the superposition of five different molecules (yellow sticks) binding in the NDI pocket from the X-ray crystal structure of the native CA (PDB ID: 4XFX).

MATERIALS AND METHODS

NDI pocket identification. The NDI pocket was identified in the CA hexamer X-ray crystal structure (PDB ID: 4XFX [48]) using AutoSite (68). In this method, energetic grid points in space combined with a clustering algorithm are used to select high-affinity groups of points around the receptor. Clusters are then scored and ranked to provide a list of potential binding sites. Fifteen unique pockets were identified on the surface of the CA hexamer. The NDI pocket was scored in the top three pockets in terms of AutoSite score (AS score, 33.90; Nbr points, 186; RadGyr, 4.39; buriedness, 0.89).

Modeling and MD simulations. The CA pentamer and pentamers of hexamers (POH) models were constructed using MODELLER (69) as follows. The WT POH and CA pentamer coordinates were from PDB ID 3J3Y (pdb-bundle 21 chains c, d, e, f, and g). The WT POH was used as a template to model the POH of the 03GH173_06 and nx2 isolates. Positions of specific atoms in the three POH systems were constrained to maintain the CA lattice curvature during the MD trajectory. The constrained atoms were the C α of the proline 147 (P147) of monomers that are on the exterior ring of the POH and not in contact with the central pentamer (Fig. 3A). As P147 is a part of the linker and has no side chain, we assumed that the constraint does not drastically impact the intrinsic dynamics of either CA_{NTD} or CA_{CTD}.

For the simulations of dimers, two pairs of monomers (chains c/d and chains f/g) were extracted from the pentamer and used as the templates to model two mutant E28A pentameric dimers by replacing the side chains of residues 28 in PyMOL (<http://www.pymol.org/>). Similarly, a pair of monomers was extracted from the X-ray crystal structure of the CA hexamer with PDB ID 4XFX. The missing parts of the structure (positions 6 to 10 and 222 to 231) were modeled using MODELLER (69). This hexameric dimer structure was used to model the mutant E28A hexameric dimer by replacing the side chains of residue 28 in PyMOL.

MD simulations were performed with GROMACS (70) using the AMBER99sb force field (71). Each structure was immersed in a periodic dodecahedral box using TIP3P water molecules (72) and neutralized with Na⁺ counter ions. The system was energetically minimized with a steepest-descent algorithm for 10,000 steps. The MD simulations were performed in an isotherm-isobar thermodynamics ensemble (NPT) with temperature fixed at 300 K and pressure at 1 bar. A run of 1 ns was performed to equilibrate the system using the Berendsen algorithm for temperature and pressure control (73). A production step of 100 ns or 200 ns was carried out using the Parrinello-Rahman algorithm (74) for temperature and pressure control, with coupling constants of T=0.1 ps and P=4 ps. All bond lengths were constrained with the LINCS algorithm (75), which allowed an integration step of 2 fs. The PME algorithm (76) was applied for long-range electrostatic interactions using a cutoff value of 1 nm for nonbonded interactions.

Coordinates were saved every picosecond. Trajectory analyses were performed with GROMACS software and in-house R scripts.

Nonbonded interactions of the bottom of H1 pentamer helices were computed using the *g_energy* command from GROMACS software. The group composed of residues 28, 29, 30, and 31 in the five monomers was defined to compute their Lennard-Jones and Coulomb interactions with themselves and also with the rest of the proteins. The obtained values were summed for each frame and were averaged over the trajectory.

CA backbone deformations and mobility analysis. Backbone deformations were quantified using N_{eq} (77), a measure of structural variability. First, structural alphabet protein blocks (PBs), composed of 16 prototypes (78), were employed to classify local conformations. Each specific PB is characterized by the φ , ψ dihedral angles of five consecutive residues. The 16 PBs give a reasonable approximation of all local protein 3D structures (79). PB assignment was carried out using PBxplorer (80) for each CA monomer from both capsid core models. From this description, we computed the N_{eq} (77), a statistical measurement similar to entropy that represents the average number of PBs that a residue may adopt at a given position. It is calculated as follows:

$$N_{\text{eq}} = \exp \left[- \sum_{\text{PB}=1}^{16} f_{\text{PB}} \ln(f_{\text{PB}}) \right]$$

where f_{PB} is the frequency of a particular PB at the position of interest and the sum is performed over all 16 PBs. An N_{eq} value of 1 indicates a rigid region where only one type of PB is observed, while a value of 16 indicates a totally flexible region with equal frequencies for all 16 states. Values for disordered parts of proteins are typically close to or higher than 8.

pGagP6-CTE. pGagP6-constitutive export element (pGagP6-CTE) (described previously [81]) was produced by replacing the BH10 HIV sequence with the NL4-3 I_B/in² signal and Gag protein through the P6 domain (deleting the frame-shifted protease containing extended polyprotein) using Gibson cloning. The final plasmid contained the viral Gag sequence under the control of a cytomegalovirus (CMV) promoter, and nuclear export was achieved via a CTE in the 3' untranslated region (3'-UTR) of the resulting RNA. Mutations were introduced into pGagP6-CTE using an NEB Q5 site-directed mutagenesis kit (E0554S), and all sequences were verified by analysis of capillary sequencing reactions.

Transfection and VLP formation. pGag-CTE and mutations were transfected into HEK293T cells 24 h postseeding using polyethylenimine (PEI) as described previously (82). The supernatant (10 ml) was collected for each sample 24 h posttransfection, and cells were harvested via trypsin digestion.

Sucrose gradient centrifugation and Gag detection. Expression of Gag-containing complexes was assayed as previously described (51, 53) with a few modifications. Gag-expressing cells were lysed in lysis buffer A (10 mM HEPES [pH 7.5], 10 mM NaCl, 1 mM magnesium acetate, 0.85% octyl- β -D-glucopyranoside) for 10 min on ice, and the lysates were spun at $500 \times g$ for 5 min to clear insoluble nuclei. The lysates were then loaded onto the top of an 11-ml 10% to 60% sucrose gradient and spun in the ultracentrifuge using a Beckman Coulter SW41Ti rotor at 35,000 rpm for 3 h. Centrifuged samples were separated into 300- μ l aliquots. A 100- μ l volume of each fraction was added to 200 μ l distilled water (dH₂O), and all fractions were subsequently blotted onto low-fluorescence polyvinylidene difluoride (PVDF) membranes (Bio-Rad) using a microsample filtration manifold (Schleider & Schuell). On a separate membrane, serial dilutions of the VLP-containing supernatant collected as described above were also loaded onto PVDF membranes. All membranes were then subjected to standard Western blotting using a fluorescently labeled α -P24 antibody (Millipore MAB880-AF). Gag signal was detected using a Bio-Rad Versadoc 4000MP system and quantified using Image Studio 5.2.

Design, expression, and purification of CA mutant proteins. R18A, E28A, and R18A/E28A CA mutants were based on a pET11a construct (48). Mutations were introduced using overlap extension PCR cloning and verified by DNA sequencing. Mutant CA proteins were expressed and purified as previously described (48, 83).

TEM assembly of CA mutants. WT CA and CA mutants (R18A, E28A, and R18A/E28A) were assembled at 150 μ M in buffer containing 1 M NaCl and 50 mM Tris-HCl (pH 8.1) at 37°C for 1 h. A 5- μ l volume of each sample was adsorbed during 5 min on grids coated with colloidal carbon made hydrophilic by glow discharge for 45 s. After that step, excess fluid was removed, and grids were washed with water, fixed in a drop of 2% uranyl acetate, and dried before visualization using a JEOL JEM 1400 transmission electron microscope at magnifications of $\times 2,500$, $\times 20,000$, and $\times 40,000$. Each reaction was repeated at least three times.

Crystallographic structures of CA mutants. Crystals of the CA mutant proteins grew at 18°C in hanging drops, containing 2 to 5 mg/ml of protein, 6% to 9% polyethylene glycol (PEG) 3350, 2% to 6% glycerol, sodium iodide, and sodium cacodylate. Hexagonal plate-like crystals appeared after 5 days, and crystal growth was completed over 2 weeks. Crystals were briefly dipped in paraffin oil before cryo-cooling in liquid nitrogen was performed.

Data were collected on a Dectris Eiger-16m detector at Advanced Photon Source (APS) beamline 23-ID-B, Argonne National Laboratory. Datasets were collected and processed using XDS (84). The data were examined for the presence of systematic absences. However, no characteristic patterns were observed. Thus, the crystals were indexed in hexagonal space group P6 with one CA molecule in the asymmetric unit. No twinning was present, as determined by the use of either POINTLESS (85) or XTRIAGE (86). Space group and twinning were also verified in ZANUDA (62). Initial phases were obtained by molecular replacement via PHASER (62) using WT CA (PDB ID: 4XFX) as the starting model. Several rounds of iterative model building and refinement were carried out using Coot (87) and PHENIX (86), REFMAC (62, 88), or PDBREDO (<https://pdb-redo.eu/>). Structure validation of final models was performed with

MOLPROBITY (<http://molprobability.biochem.duke.edu/>). Accessible and buried surface areas were calculated using PISA (62). The figures showing structural information were generated in PyMOL (<http://www.pymol.org/>) and CCP4MG (62).

Data availability. Structure factors and coordinates have been submitted to the RCSB Protein Data Bank (PDB) for the R18A, E28A, and R18A/E28A CA X-ray crystal structures (PDB IDs: [5W4O](#), [5W4P](#), and [5W4Q](#), respectively).

SUPPLEMENTAL MATERIAL

Supplemental material for this article may be found at <https://doi.org/10.1128/mBio.02858-18>.

FIG S1, TIF file, 4.1 MB.

FIG S2, TIF file, 4.3 MB.

FIG S3, TIF file, 2.3 MB.

FIG S4, TIF file, 12.1 MB.

TABLE S1, TIF file, 0.7 MB.

TABLE S2, TIF file, 1.1 MB.

TABLE S3, TIF file, 0.5 MB.

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