

# Antibiofilm and antifungal activities of medium-chain fatty acids against *Candida albicans* via mimicking of the quorum-sensing molecule farnesol

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*elegans* model. Our results suggest that medium-chain fatty acids inhibit more effectively hyphal growth and biofilm formation than farnesol.

## Summary

***Candida* biofilms are tolerant to conventional antifungal therapeutics and the host immune system. The transition of yeast cells to hyphae is considered a key step in *C. albicans* biofilm development, and this transition is inhibited by the quorum-sensing molecule farnesol. We hypothesized that fatty acids mimicking farnesol might influence hyphal and biofilm formation by *C. albicans*. Among 31 saturated and unsaturated fatty acids, six medium-chain saturated fatty acids, that is, heptanoic acid, octanoic acid, nonanoic acid, decanoic acid, undecanoic acid and lauric acid, effectively inhibited *C. albicans* biofilm formation by more than 75% at 2  $\mu\text{g ml}^{-1}$  with MICs in the range 100–200  $\mu\text{g ml}^{-1}$ . These six fatty acids at 2  $\mu\text{g ml}^{-1}$  and farnesol at 100  $\mu\text{g ml}^{-1}$  inhibited hyphal growth and cell aggregation. The addition of fatty acids to *C. albicans* cultures decreased the productions of farnesol and sterols. Furthermore, down-regulation of several hyphal and biofilm-related genes caused by heptanoic or nonanoic acid closely resembled the changes caused by farnesol. In addition, nonanoic acid, the most effective compound diminished *C. albicans* virulence in a *Caenorhabditis***

## Introduction

Biofilms are communities of bacteria, fungi or yeasts that can form on diverse biotic or abiotic surfaces including those of inert materials, synthetic polymers and indwelling medical devices. Biofilms protect microbial communities from nutrient limitations, host defence systems and antimicrobial agents, and thus, are often associated with persistent infections (Donlan, 2002; Hall-Stoodley *et al.*, 2004). Hence, novel strategies are required to control biofilm formation by pathogens. However, traditional antibiotics and antifungal agents were primarily designed to inhibit cell growth, which often results in drug resistance. Therefore, it has become important that non-toxic biofilm inhibitors be identified that do not inhibit planktonic cell growth, and thus, reduce the risk of drug resistance (Wright, 2015; Defoirdt, 2018).

*Candida albicans* is found in mucosal surfaces and in the gastrointestinal and genitourinary tracts and is the most common cause of systemic and invasive infections. The fungus easily colonizes host tissues and indwelling medical devices (Ramage *et al.*, 2005), such as urinary catheters, dental materials, artificial heart valves, joint prostheses, penile implants and intrauterine devices (Sardi *et al.*, 2013; de Oliveira *et al.*, 2019; Handorf *et al.*, 2019). Furthermore, biofilms are often tolerant to conventional antifungal therapeutics and the host immune system (Nobile *et al.*, 2006). *Candida* biofilms contain yeast cells, pseudohyphae and hyphae. The transition of yeast cells to hyphae (filamentation) is required for stable biofilm formation, and thus, hyphal transition is considered a crucial virulence factor of *Candida* infections (Carradori *et al.*, 2016). Various genes, including transcription factors, cell wall-related proteins, and others, are involved in hyphae formation and biofilm development by *C. albicans* (Finkel and Mitchell, 2011; Araujo *et al.*, 2017; Pandin *et al.*, 2017; Song *et al.*, 2020). Also, it has been well-reported that the quorum-sensing (QS) molecule, farnesol, inhibits filamentation and biofilm formation by *C. albicans* (Ramage *et al.*,

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2002; Polke *et al.*, 2018) and *Candida dubliniensis* (Jabra-Rizk *et al.*, 2006). In addition, several natural and synthetic farnesol analogs (Shchepin *et al.*, 2003) inhibit *C. albicans* filamentation, although their modes of action remains undetermined (Polke *et al.*, 2018).

Fatty acids are widespread in all forms of life. More than 70 naturally occurring fatty acids have been identified, and there are literally thousands of natural sources (Kenar *et al.*, 2017). Fatty acids are important cellular structural components and important energy sources for animals (Desbois and Smith, 2010) and have been suggested to be potential alternative antimicrobial agents (Desbois and Smith, 2010; Yoon *et al.*, 2018). Recently, several studies reported that fatty acids exhibit anti-hyphal and antibiofilm activities at concentrations less than their MICs (Kumar *et al.*, 2020). For example, several fatty acids have been shown to selectively disrupt or inhibit biofilm formation by various microbial pathogens, such as *Staphylococcus aureus* (Davies and Marques, 2009; Kim *et al.*, 2018), *Pseudomonas aeruginosa* (Inoue *et al.*, 2008; Wenderska *et al.*, 2011), *Candida albicans* (Murzyn *et al.*, 2010; Muthamil *et al.*, 2018; Prasath *et al.*, 2019), and others (Wenderska *et al.*, 2011; Santhakumari *et al.*, 2017; Ramanathan *et al.*, 2018; Cui *et al.*, 2019). More specifically, capric acid (10:0) and lauric acid (12:0) inhibit the growth of planktonic *Candida* cells (Bergsson *et al.*, 2001), and butanoic acid (4:0) inhibit hyphal formation by *C. albicans* (Noverr and Huffnagle, 2004). Stearidonic acid (18:4 n-3), eicosapentaenoic acid (20:5), docosapentaenoic acid (22:5) (Thibane *et al.*, 2010) and conjugated linoleic acid inhibit hyphal growth by *C. albicans* (Shareck *et al.*, 2011), and *trans*-2-decenoic acid (10: $\Delta$ 2) (Vilchez *et al.*, 2010), undecenoic acid (10:0) (Shi *et al.*, 2016; Muthamil *et al.*, 2018) and myristic acid (14:0) (Prasath *et al.*, 2019) inhibit hyphal growth and biofilm formation. However, long-chain unsaturated fatty acids such as arachidonic acid, oleic acid, linolenic acid or  $\gamma$ -linolenic acid did not influence hyphal development (Noverr and Huffnagle, 2004). Most recently, it was reported that oleic acid (18:1) (Muthamil *et al.*, 2020) and linoleic acid (18:2) (Kim *et al.*, 2020) inhibited filamentation and biofilm formation by *C. albicans* without affecting planktonic cell growth.

The QS molecule farnesol (3,7,11-trimethyl-2,6,10-dodecatriene-1-ol) is structurally similar to several saturated and unsaturated fatty acids. Hence, we hypothesized that some fatty acids might mimic farnesol and inhibit filamentation and biofilm formation by *C. albicans*. In the present study, 31 natural fatty acids (2 short-chain, 7 medium-chain, 16 long-chain and 6 very long-chain) were initially screened for their antifungal and antibiofilm activities against *C. albicans*. To understand how fatty acids control hyphal and biofilm development, we used confocal laser scanning microscopy

and scanning electron microscopy to investigate hyphal growth, cell aggregation and biofilm formation. Furthermore, the molecular basis of fatty acid induced alterations to the physiology of *C. albicans* was investigated using transcriptomic assays and by assaying farnesol and sterol production. In addition, an *in vivo* *Caenorhabditis elegans* model was used to confirm the anti-hyphal and antibiofilm efficacies of fatty acids and their non-cytotoxic natures. Farnesol was used as a control throughout this study.

## Results

### *Antifungal and antibiofilm activities of fatty acids*

To investigate the antibiofilm activities of fatty acids against *C. albicans*, 31 fatty acids (17 saturated fatty acids, and 14 unsaturated fatty acids) were initially screened in 96-well plates at a concentration of 10  $\mu\text{g ml}^{-1}$ . Several of them were found to inhibit biofilm formation by *C. albicans*, but with widely different efficacies. Detailed information on biofilm formation by two *C. albicans* strains DAY185 and ATCC 10231 in the presence of fatty acids is provided in Table S1. Most notably, six medium-chain saturated fatty acids (7:0, 8:0, 9:0, 10:0, 11:0 and 12:0) at 10  $\mu\text{g ml}^{-1}$  significantly inhibited *C. albicans* biofilm formation by more than 85%. The fatty acids 14:0, 14:1, 16:1, 18:2, 18:3 and 20:4 also appreciably inhibited biofilm formation by both strains (Table S1). The antibiofilm efficacies of most fatty acids were similar for the two strains, and thus, we focused on the well-studied DAY185 strain during further study. These results matched those of previous reports, which found that undecylenic acid (11:0) (Shi *et al.*, 2016; Muthamil *et al.*, 2018), myristic acid (14:0) (Prasath *et al.*, 2019), oleic acid (18:1) (Muthamil *et al.*, 2020) and linoleic acid (18:2) (Kim *et al.*, 2020) inhibited biofilm formation by *C. albicans*. However, the present study is to report that fatty acids 7:0, 8:0, 9:0, 10:0 and 12:0 at low concentrations have antibiofilm activities comparable to that of 11:0.

Minimum inhibitory concentrations (MICs) of the 24 fatty acids are shown in Table S1. The MICs of most fatty acids were  $> 500 \mu\text{g ml}^{-1}$ , but those of five medium-chain saturated fatty acids (7:0, 8:0, 9:0, 10:0 and 11:0) ranged from 100 to 200  $\mu\text{g ml}^{-1}$ . MICs of other 7 fatty acids could not be determined due to low solubility ( $< 100 \mu\text{g ml}^{-1}$ ) in aqueous phase. The antifungal activities of capric acid (10:0 or called decanoic acid) and lauric acid (12:0) have been previously reported (Bergsson *et al.*, 2001), and concur with our results. Importantly, six medium-chain saturated fatty acids (7:0, 8:0, 9:0, 10:0, 11:0 and 12:0) at a sub-inhibitory concentration (10  $\mu\text{g ml}^{-1}$ ) were found to potently inhibit biofilm formation by two *C. albicans* strains (Table S1).

### The medium-chain saturated fatty acids inhibited *C. albicans* biofilm formation

A detailed biofilm study showed the six medium-chain saturated fatty acids (7:0, 8:0, 9:0, 10:0, 11:0 and 12:0) dose-dependently inhibited *C. albicans* biofilm formation in 96-well polystyrene plates (Fig. 1A). In particular, octanoic acid (8:0), nonanoic acid (9:0), decanoic acid (10:0) and undecanoic acid (11:0) inhibited biofilm formation by more than 75% at  $2 \mu\text{g ml}^{-1}$ . Nonanoic acid (9:0) appeared to be the most active fatty acid. In addition, farnesol at  $100 \mu\text{g ml}^{-1}$  also significantly inhibited *C. albicans* biofilm formation (Fig. 1B), as has been previously reported (Ramage *et al.*, 2002). However, the antibiofilm activities of the six medium-chain fatty acids were much greater than that of farnesol. Notably, the antibiofilm concentrations ( $1\text{--}2 \mu\text{g ml}^{-1}$ ) of these fatty acids were about 100 times lower than their MICs ( $100\text{--}200 \mu\text{g ml}^{-1}$ ), which means that at low concentrations they effectively inhibit *C. albicans* biofilm formation without having a fungicidal effect. Since heptanoic acid (7:0) and nonanoic acid (9:0) exhibited most antibiofilm activity at low concentrations and had MICs of  $\sim 100 \mu\text{g ml}^{-1}$ , we focused on these in subsequent studies along with the less active lauric acid (12:0) and farnesol (the positive control).

Biofilm inhibition was further analysed by a confocal laser scanning microscope. In non-treated controls after 24 h culture, *C. albicans* formed dense biofilms (thickness  $> 40 \mu\text{m}$  and achieved almost 100% surface coverage), whereas the presence of 7:0, 9:0 or 12:0 at  $2 \mu\text{g ml}^{-1}$  dramatically reduced biofilm densities and thicknesses. On the other hand, farnesol at  $50 \mu\text{g ml}^{-1}$  less appreciably inhibited and farnesol at  $100 \mu\text{g ml}^{-1}$  clearly inhibited (Fig. 1C). Biofilm reduction was also quantified using COMSTAT biofilm software (Heydorn *et al.*, 2000), and results showed 7:0, 9:0 and 12:0 significantly reduced biofilm biomass, average thickness and substrate coverage. Specifically, biofilm biomass, thickness and substrate coverage were reduced by 7:0, 9:0 and 12:0 by  $> 95\%$  versus untreated controls (Fig. 1D).

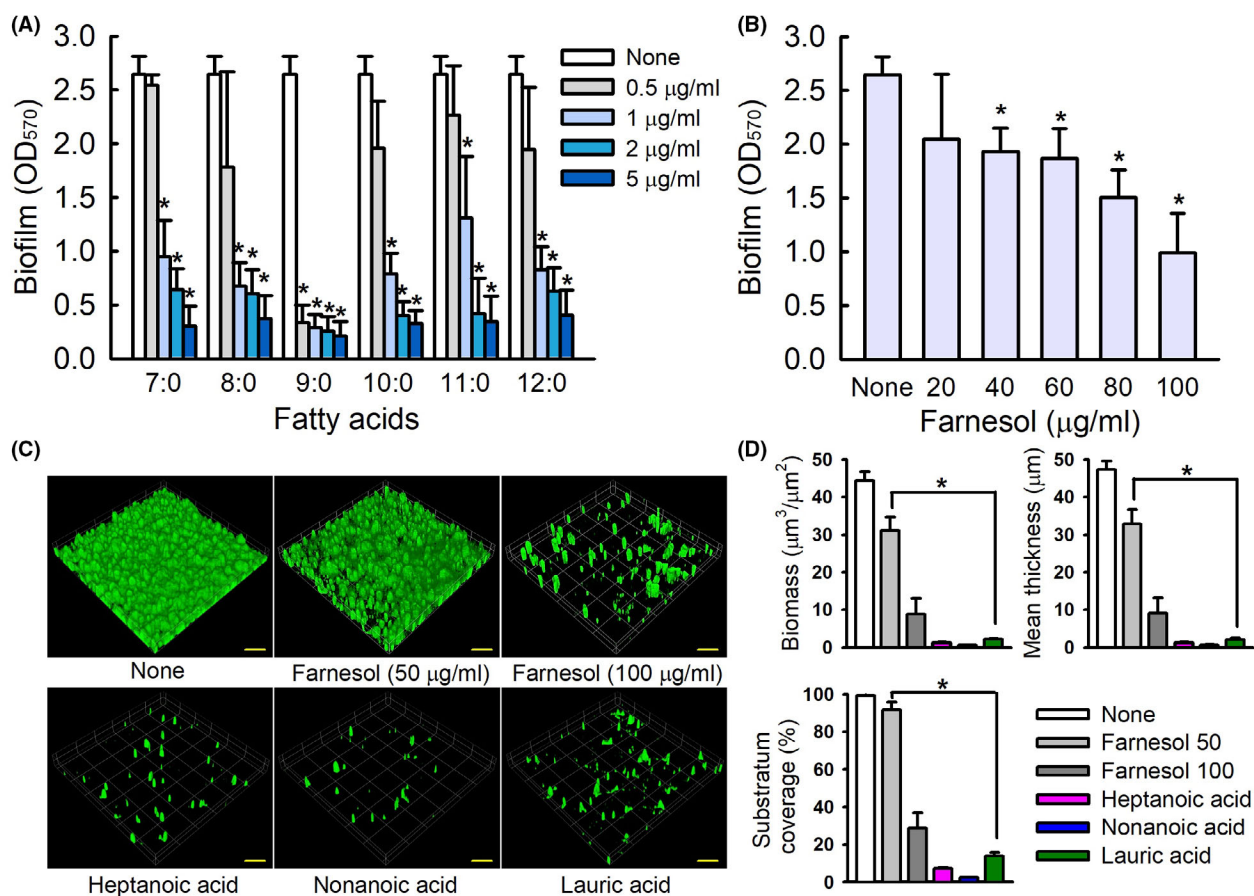
### Medium-chain fatty acids inhibited hyphal growth and cell aggregation

The dimorphic switch of yeast cells to hyphal cells and cell aggregation are prerequisites of biofilm maturation by *C. albicans* (Chandra *et al.*, 2001). To study the effect of fatty acids on *C. albicans* morphology, we monitored *C. albicans* colony formation on potato dextrose agar, performed cell aggregation assay, and used scanning electron microscopy (SEM) to assess hyphal growth.

In untreated *C. albicans*, marked hyphal protrusions from colonies were observed after 6 days of incubation, whereas the presence of 7:0, 9:0 or 12:0 at  $2 \mu\text{g ml}^{-1}$  completely suppressed these protrusions after 6 days. Farnesol at  $100 \mu\text{g ml}^{-1}$  partially reduced hyphal protrusion (Fig. 2A). After incubation for 24 h in PDB medium, mostly hyphae and large cell aggregations entangled by hyphae were observed in non-treated controls. However, treatments with 7:0, 9:0 or 12:0 much reduced cell aggregations and farnesol at  $100 \mu\text{g ml}^{-1}$  slightly reduced aggregation (Fig. 2B). SEM analysis confirmed that 7:0, 9:0 and 12:0 all substantially suppressed hyphal formation. Non-treated control biofilms consisted predominately of hyphae and few pseudohyphae, but biofilms grown in the presence of 7:0, 9:0 or 12:0 had much shorter hyphae and were predominantly composed of yeast and pseudohyphae cells (Fig. 2C). Notably, 7:0, 9:0 and 12:0 at  $2 \mu\text{g ml}^{-1}$  more effectively suppressed hyphal protrusions, cell aggregation and hyphal growth than farnesol at  $100 \mu\text{g ml}^{-1}$ , and hyphal growth and cell aggregation results were in-line with the observed antibiofilm activities of these fatty acids. Taken together, these results show medium-chain fatty acids potentially inhibit *C. albicans* hyphal formation, cell aggregation and biofilm formation.

### Medium-chain fatty acids decreased the productions of farnesol and sterols

In *Candida* species, farnesol is a well-known QS molecule that controls various virulence traits, such as biofilm formation, morphogenic transition and even cell death (Ramage *et al.*, 2002; Polke *et al.*, 2018). We hypothesized that fatty acids structurally similar to farnesol interfere with farnesol associated QS, and thus, we investigated their effects on the productions of farnesol and sterols. Interestingly, the addition of either of the three medium-chain fatty acids (7:0, 9:0 or 12:0) significantly reduced the production of farnesol, while the less active antibiofilm compound 16:0 (palmitic acid) slightly decreased farnesol production (Fig. 3A). For example, in the absence of a fatty acid, *C. albicans* DAY185 produced  $15.6 \pm 0.5 \mu\text{M}$  farnesol in PDB medium, which concurs with previous reports (Hornby *et al.*, 2001; Weber *et al.*, 2008), whereas in the presence of 7:0, 9:0, 12:0 or 16:0 at  $10 \mu\text{g ml}^{-1}$  farnesol production decreased by  $85 \pm 3\%$ ,  $91 \pm 1\%$ ,  $66 \pm 15\%$  and  $13 \pm 9\%$ , respectively (Fig. 3A), while culture biomasses in the presence of 7:0 or 9:0 at  $10 \mu\text{g ml}^{-1}$  were decreased by only 5 and 13% compared to the untreated control ( $3.9 \text{ g l}^{-1}$ ). These results show that these medium-chain fatty acids, but not long fatty acids, negatively influenced the synthesis of farnesol.



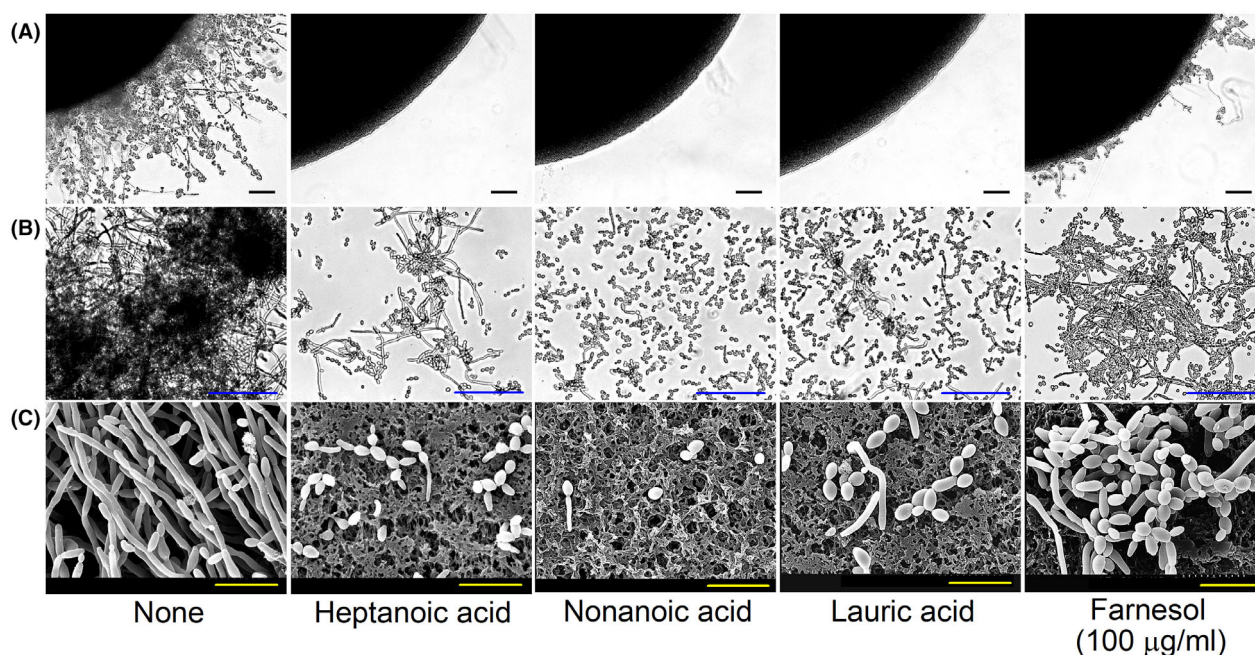
**Fig. 1.** Antibiofilm activities of medium-chain saturated fatty acids against *C. albicans*. Biofilm formations by *C. albicans* DAY185 were quantified in the presence of each fatty acid, heptanoic acid (7:0), octanoic acid (8:0), nonanoic acid (9:0), decanoic acid (10:0), undecanoic acid (11:0) or lauric acid (12:0) (A) or farnesol (B) after incubation for 24 h in 96-well plates. Error bars indicate standard deviations. \* $P < 0.05$  vs. non-treated controls. Biofilm formations by *C. albicans* on polystyrene plates were observed in the presence of each fatty acid (2 µg ml<sup>-1</sup>) or farnesol (50 or 100 µg ml<sup>-1</sup>) by confocal laser microscopy (C) and COMSTAT analysis (D).

Farnesol is a precursor of the synthesis of sterols in *C. albicans* (Hornby *et al.*, 2001; Nickerson *et al.*, 2013). Ergosterol (ergosta-5,7,22-trien-3 $\beta$ -ol) is a sterol found in fungi and is an essential component of fungal cell membranes (Weete *et al.*, 2010). We investigated the effect of fatty acids on the production of sterols by staining for filipin, which is often used to study sterol distribution in *C. albicans*. In the absence of fatty acids, sterols were localized at the tips of pseudohyphae (Fig. 3B). Treatment with 7:0, 9:0 or 12:0 at 10 µg ml<sup>-1</sup> markedly inhibited sterol production, while treatment with 16:0 had little inhibitory effect. Farnesol at 50 µg ml<sup>-1</sup> slightly inhibited and at 100 µg ml<sup>-1</sup> further inhibited sterol production (Fig. 3B). It appears that sterol inhibition by 7:0, 9:0 or 12:0 is probably due to the inhibition of farnesol synthesis. It was reported that sterol inhibition by farnesol at high concentrations probably led to the apoptotic activity of farnesol in *C. albicans* (Shirliff *et al.*, 2009). Therefore, it appears that 7:0, 9:0 and 12:0 at high

concentrations could damage the cell membrane of *C. albicans* via sterol inhibition.

#### *Differential expressions of genes by heptanoic acid (7:0), nonanoic acid (9:0) or farnesol in C. albicans*

qRT-PCR was used to investigate the effects of heptanoic acid (7:0) and nonanoic acid (9:0) on the expressions of 35 biofilm- and hypha-related genes associated with inhibitions of biofilm formation and hyphal growth. Overall, expressional changes were similar after treatment with 7:0 at 5 µg ml<sup>-1</sup>, 9:0 at 5 µg ml<sup>-1</sup> or farnesol at 50 µg ml<sup>-1</sup> (Fig. 4). Notably, four key biofilm- and hypha-related genes, that is, *ALS3* (agglutinin-like protein 3), *ECE1* (hypha-specific protein, also known as *HWP2*), *HWP1* (hyphal cell wall protein, also known as *ECE2*), and *UME6* (filament-specific regulator), were repressed by 7:0, 9:0 and by farnesol. For example, 9:0 (the most active) down-regulated *ALS3* by 25.5-fold,



**Fig. 2.** Inhibition of hyphal filamentation and aggregation by medium-chain fatty acids. *C. albicans* morphology on solid media (A). *C. albicans* was streaked on PDA solid plates in the absence or presence of heptanoic acid (7:0), nonanoic acid (9:0) or lauric acid (12:0) at  $2 \mu\text{g ml}^{-1}$  or farnesol at  $100 \mu\text{g ml}^{-1}$ . Colony morphologies were observed during incubation for 6 days at  $37^\circ\text{C}$ . Inhibition of filamentation and of cell aggregation in PDB medium (B). Hyphae were visualized after incubation for 24 h. The scale bars in panels A and B represent  $100 \mu\text{m}$ . None; non-treated control. SEM observation of hyphal inhibition in *C. albicans* biofilms grown in PDB medium by fatty acids (C). The scale bars represent  $15 \mu\text{m}$ .

*ECE1* by 93-fold, *HWP1* by 17.2-fold and *UME6* by 7.9-fold, while farnesol down-regulated these genes by 3.5, 11.4, 3.0 and 2.7-fold, respectively. As was observed for biofilm inhibition (Fig. 1), the impact of 9:0 at  $5 \mu\text{g ml}^{-1}$  on gene expression was significantly greater than that of farnesol at  $50 \mu\text{g ml}^{-1}$  (Fig. 4A). On the other hand, the expression of *UCF1* was up-regulated by 7:0, 9:0 or farnesol (Fig. 4A) while *UCF1* was positively up-regulated in filamentous growth (Bahn *et al.*, 2007).

In addition, the expression of *ALS1* (agglutinin-like protein 1) was slightly inhibited by 7:0 and 9:0 but unaffected by farnesol, and the expression of *YWP1* (yeast-form wall protein 1) was up-regulated by 7:0 and 9:0. Also, the expression of *CSH1* (cell-surface hydrophobicity protein 1) was up-regulated 2.8-fold by farnesol but unaffected by 7:0 or 9:0. The expressions of other biofilm and hyphae-related genes (*ADH5*, *CDR4*, *CHK1*, *CSH1*, *CYR1*, *DPP3*, *EFG1*, *ERG1*, *ERG11*, *FKS1*, *GST3*, *HGC1*, *HGT10*, *IFD6*, *RBT5*, *TPO2*, *TUP1*, *YHB1* and *ZAP1*), *DPP3* (farnesol pyrophosphatase gene) and of *CYR1* (a possible farnesol binding protein) were unaffected by 7:0, 9:0 or farnesol. The expression of nine more *ERG* genes in the sterol biosynthesis pathway such as *ERG2*, *ERG3*, *ERG4*, *ERG5*, *ERG6*, *ERG9*, *ERG10*, *ERG20* and *ERG24*, was not affected by 9:0 at this condition (Fig. S2). Taken together, qRT-PCR showed 7:0, 9:0 and farnesol significantly down-

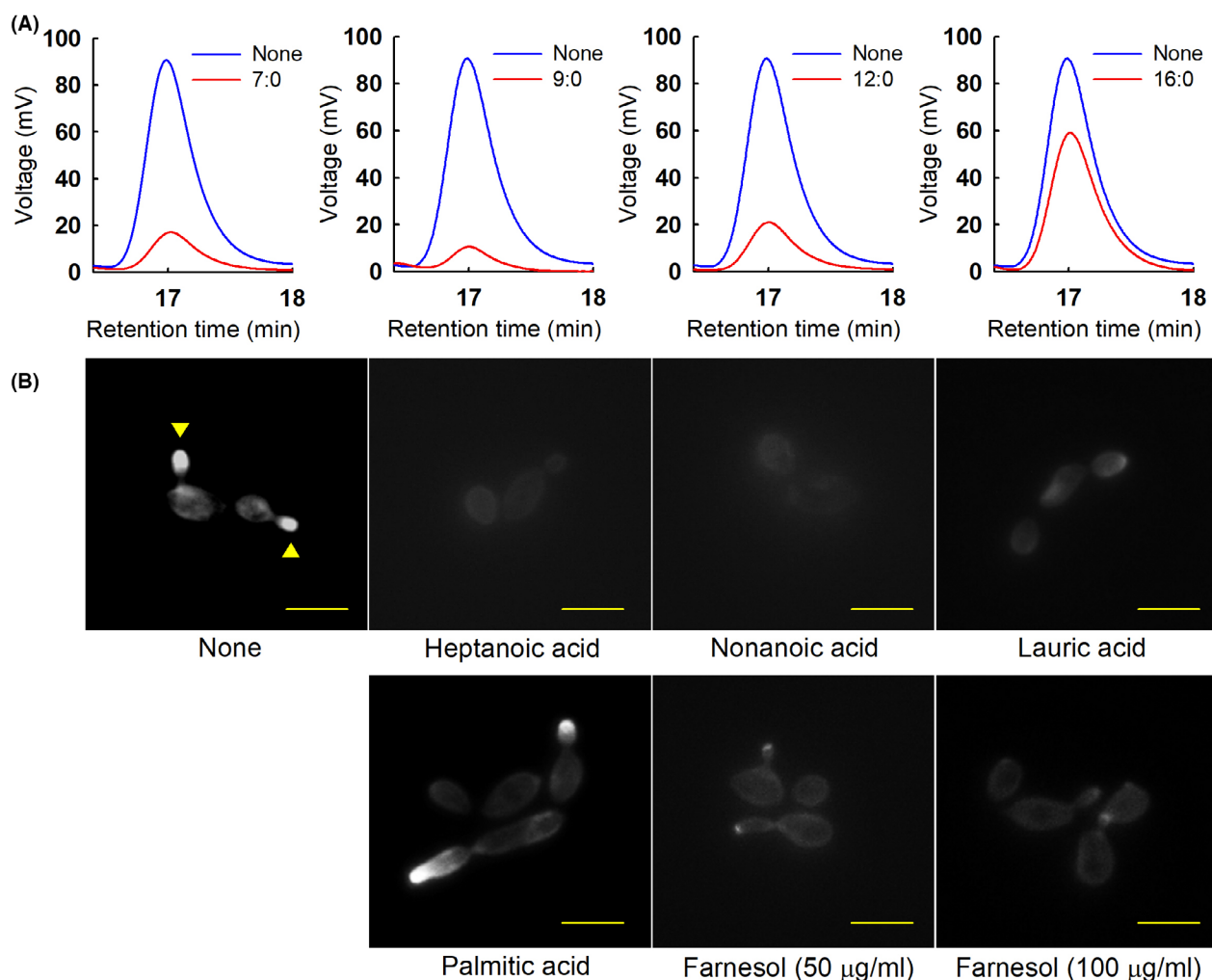
regulated biofilm- and hyphae-related genes (i.e. *ALS3*, *ECE1*, *HWP1* and *UME6*) and up-regulated the biofilm-related genes *UCF1* and *YWP1* (Fig. 4B).

#### *Nonanoic acid (9:0) reduced C. albicans virulence in a C. elegans nematode with minimal cytotoxicity*

We also examined whether nonanoic acid (9:0) affected *C. albicans* virulence in a *C. elegans* nematode model, an accepted alternative to mammalian models (Tampakakis *et al.*, 2008). The hyphal form of *C. albicans* lethally pierces the nematode's cuticle (Pukkila-Worley *et al.*, 2009). In this model, *C. albicans* caused 85–95% fatality (5–15% survival) at 5 and 7 days post-infection, but the presence of nonanoic acid at 1, 2 or  $5 \mu\text{g ml}^{-1}$  protected *C. elegans* (50–80% survival) (Fig. S1A). In addition, in non-infected *C. elegans*, nonanoic acid was non-toxic at concentrations up to  $100 \mu\text{g ml}^{-1}$  (Fig. S1B), while nonanoic acid rescued the *C. elegans* survival by inhibiting hyphal and biofilm growth of *C. albicans* (Fig. S1C).

## Discussion

Biofilms confer resistance to conventional antifungals or antibiotics, and thus, represent a serious threat to human health. Although fatty acids have been known for some

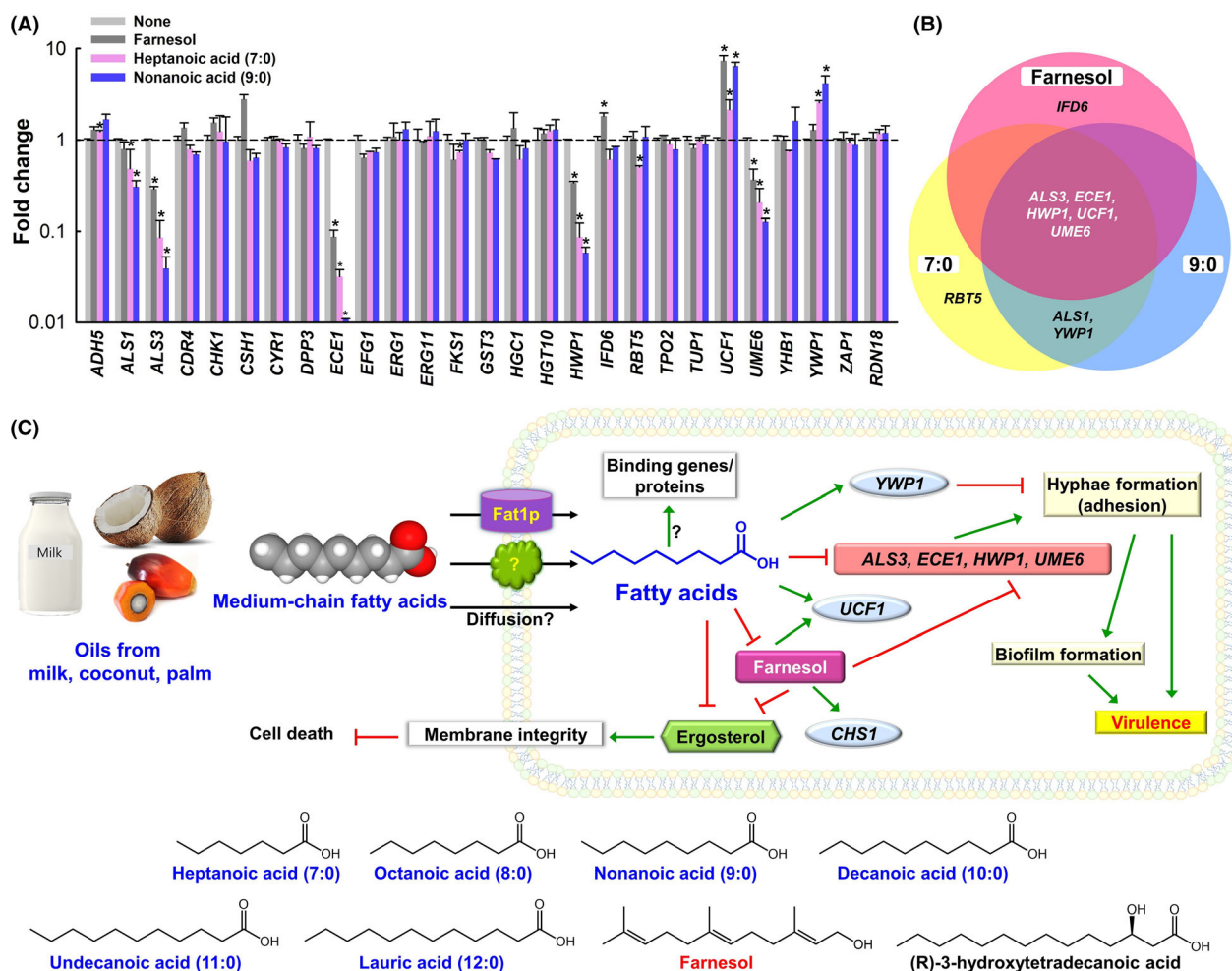


**Fig. 3.** Impacts of medium-chain fatty acids on the productions of farnesol and sterols. Farnesol levels were measured by HPLC after culturing *C. albicans* DAY185 in PDB medium for 24 h (A). Heptanoic acid (7:0), nonanoic acid (9:0), lauric acid (12:0) or palmitic acid (16:0) at  $10 \mu\text{g ml}^{-1}$  or farnesol at 50 or  $100 \mu\text{g ml}^{-1}$  were added at culture start. Sterol production was assessed by filipin staining after culturing *C. albicans* in PDB medium for 60 min (B). The scale bars represent  $5 \mu\text{m}$ .

time to have non-specific broad-range antimicrobial activities at high concentrations (Desbois and Smith, 2010; Yoon *et al.*, 2018), their antibiofilm and antivirulence effects at concentrations well below their MICs have been seemingly overlooked (Kumar *et al.*, 2020). This study demonstrates for the first time that six medium-chain saturated fatty acids at concentrations of few  $\mu\text{g ml}^{-1}$  suppress *C. albicans* biofilm formation by inhibiting hyphal growth and cell aggregation, and reducing fungal virulence. We found that medium-chain fatty acids structurally similar to the QS molecule farnesol interfere with farnesol and sterol production in *C. albicans*, and thus, cause physiological changes of fungal dimorphism, biofilm formation and even cell death.

The antifungal activities of several fatty acids such as capric acid (10:0), lauric acid (12:0) (Bergsson *et al.*,

2001), stearidonic acid (18:4), eicosapentaenoic acid (20:5) and docosapentaenoic acid (22:5) (Thibane *et al.*, 2010), have been previously reported at concentrations above  $1 \text{ mM}$ . The present study shows that all six medium-chain saturated fatty acids examined (7:0, 8:0, 9:0, 10:0, 11:0 and 12:0) exhibited antifungal activity with MICs in the range  $100\text{--}200 \mu\text{g ml}^{-1}$ , while other shorter or longer-chain fatty acids had MICs of above  $500 \mu\text{g ml}^{-1}$ . It has been reported 10:0 caused cytoplasm disorganization and that this was probably due to changes in intracellular hydrostatic turgor pressure (Bergsson *et al.*, 2001). In another study, 18:4, 20:5 and 22:5 inhibited mitochondrial metabolism probably by increasing oxidative stress (Thibane *et al.*, 2010). The current result indicates that the antifungal effects of medium-chain fatty acids at high concentrations (Table S1)



**Fig. 4.** Relative transcriptional profiles of *C. albicans* cells treated with or without fatty acids or farnesol and summary of fatty acid associated processes in *C. albicans*. *C. albicans* was incubated with or without farnesol at  $50 \mu\text{g ml}^{-1}$ , heptanoic acid (7:0) at  $5 \mu\text{g ml}^{-1}$ , or nonanoic acid (9:0) at  $5 \mu\text{g ml}^{-1}$  for 6 h without shaking. Transcriptional profiles were obtained by qRT-PCR (A). Fold changes represent changes in the transcriptions of treated versus untreated *C. albicans*. *RDN18* was a housekeeping gene. Venn diagram of qRT-PCR results (B). The experiment was performed in duplicate (six qRT-PCR reactions were performed per gene). \* $P < 0.05$  vs. non-treated controls (None).  $\rightarrow$  indicates induction of gene expression or stimulation of a phenotype and  $\perp$  indicates repression of gene expression or repression of a phenotype. Chemical structures of medium-chain fatty acids, farnesol and farnesol-like compounds that exhibit antibiofilm activity against *C. albicans* (C).

are probably due to the inhibition of sterol production (Fig. 3B), which could impede cell wall synthesis.

It has been reported farnesol induces apoptosis in *C. albicans* via ROS (reactive oxygen species) accumulation, mitochondrial degradation and caspase activation (Shirtliff *et al.*, 2009). Despite intensive research on farnesol extending for more than a decade, it is not clear how *C. albicans* cells sense farnesol or how farnesol exerts its biological effects (Polke *et al.*, 2018). Our results (Fig. 3B) suggest farnesol at high concentrations ( $> 100 \mu\text{g ml}^{-1}$ ) inhibits the production of ergosterol and essential cell membrane component, which led to fungal cell death. Interestingly, the inhibition of sterol production by medium-chain fatty acids was reminiscent of the action mechanism of commercial antifungal azoles that

inhibit 4- $\alpha$ -sterol demethylase (encoded by the *ERG11* gene), which is required for the biosynthesis of ergosterol. While azole-resistant *Candida* species have been developed due to enzyme modification, sterol uptake and genetic mutation (Whaley *et al.*, 2016), medium-chain fatty acids inhibited the virulence characteristics of *C. albicans* biofilm at sub-inhibitory concentrations of only a few  $\mu\text{g ml}^{-1}$ .

Interference of QS signalling using QS-degrading enzymes or QS inhibitors has been widely proposed as a means of controlling microbial infections and biofilm formation (Zhang and Dong, 2004; Kalia, 2013; Grandclement *et al.*, 2016). For example, halogenated furanones produced by the marine red alga *Delisea pulchra* disrupt the *N*-acylated homoserine lactone (AHL)

regulatory system in several Gram-negative bacteria (Rasmussen *et al.*, 2000). Plants such as rice, tomato, soybean and *Medicago truncatula* can also produce substances that mimic the activities of AHL (Teplitski *et al.*, 2000; Koh *et al.*, 2013). Several QS interfering compounds have also been reported in *C. albicans*. For example, quercetin (a flavonoid) was found to inhibit farnesol-dependent biofilm formation, probably by inhibiting adenylate cyclase activity (Singh *et al.*, 2015). In one study, four azole antifungals increased farnesol production (Hornby and Nickerson, 2004), and in another, various natural and synthetic farnesol analogs were synthesized and found to have the activity of farnesol (Shchepin *et al.*, 2003). Interestingly, (R)-3-hydroxytetradecanoic acid, a metabolite of linoleic acid, was able to reverse the farnesol-induced inhibition of biofilm formation in *C. albicans* (Nigam *et al.*, 2011), which suggests fatty acids and fatty acid-like compounds structurally similar to farnesol might interfere with farnesol-induced QS signalling (Fig. 4C).

The present study suggests that medium-chain fatty acids mimic the QS molecule farnesol (Fig. 4C), as indicated by six lines of evidence, (i) structural similarities, (ii) inhibition of hyphal growth, (iii) inhibition of biofilm formation, (iv) inhibition of farnesol production, (v) inhibition of sterol production and (vi) effects on gene expressions, which show the activities of medium-chain fatty acids and farnesol are similar.

The chain lengths of medium-chain fatty acids and farnesol are similar except three branches and three double bonds from farnesol structure (Fig. 4C). It appears that carbon chains containing 8, 9 or 10 carbon atoms are optimal for antibiofilm activity since shorter- or longer-chain fatty acids with double bonds had weaker antibiofilm effects (Table S1). Since several farnesol-like compounds, such as geraniol (Dalleau *et al.*, 2008), citral (Silva Cde *et al.*, 2008) and nerolidol (Curvelo *et al.*, 2014), which all have 10 carbon atoms, and linalool (Hsu *et al.*, 2013), which has 15, have been shown to possess antibiofilm activity against *C. albicans*, it would appear that studies on the effects of structural modifications of medium-chain fatty acids might result in the identification of molecules with much enhanced antifungal and antibiofilm activities.

Interestingly, qRT-PCR studies showed that the expressions of several hypha- and biofilm-related genes (*ALS3*, *ECE1*, *HWP1*, *UCF1* and *UME6*) were simultaneously altered in *C. albicans* cells by 7:0, 9:0 or farnesol (Fig. 4A). Specifically, *ALS3*, *ECE1*, *HWP1* and *UME6* were significantly down-regulated, and *UCF1* was significantly up-regulated (Fig. 4A). *ALS3* is a multifunctional adhesion (Phan *et al.*, 2007; Liu and Filler, 2011) and *ECE1* (also called *HWP2*) and *HWP1* (also called *ECE2*) are essential for hyphal development and their

expressions have been shown to be correlated with cell elongation, biofilm formation (Nobile *et al.*, 2006a,b) and intercellular adhesion (Orsi *et al.*, 2014). *UME6* is a filament-specific regulator of *C. albicans* hyphal extension (Banerjee *et al.*, 2008) and enhances biofilm formation (Banerjee *et al.*, 2013). Hence, it appears the suppression of hyphal growth and biofilm formation by 7:0, 9:0 or farnesol may be explained, at least in part, by the down-regulations of these hypha-specific genes. Although *UCF1* was reported to be up-regulated by cAMP (Bahn *et al.*, 2007) and was up-regulated by 7:0, 9:0 or farnesol in the present study (Fig. 4A and B), treatment of *C. albicans* with cAMP at concentrations up to 10 mM did not complement hyphal growth or biofilm formation (data not shown).

The observed inhibition of farnesol production by medium-chain fatty acids (7:0, 9:0 or 12:0), but not by 16:0, was somewhat unexpected (Fig. 3A). The mechanism responsible for this effect is not clear as the expressions of farnesol-regulatory genes (*CYR1*, *DPP3*, *EFG1*, *ERG1*, *ERG2*, *ERG3*, *ERG4*, *ERG5*, *ERG6*, *ERG9*, *ERG10*, *ERG11*, *ERG20* and *ERG24*) were not directly affected by these three fatty acids (Fig. 4A and Fig. S2). We speculate, *C. albicans* might confuse these fatty acids with farnesol or that medium-chain fatty acids interfere with QS. It has been previously reported that *C. albicans* contains fatty acyl-CoA synthetase (CaFaa4p), which can convert long-chain fatty acids into CoA esters (Black and DiRusso, 2003). Additional studies are required to determine how fungal cells sense medium-chain fatty acids and regulate its gene expression. In addition, our transcriptome results broadly match expressional changes induced by oleic acid (18:1) and linoleic acid (18:2) in *C. albicans* cells. Oleic acid (18:1) was found to down-regulated the expressions of *ALS1*, *ALS3*, *ERG11*, *SAP2*, *HWP1*, *CST20* and *RAS1* by more than twofold (Muthamil *et al.*, 2020), whereas linoleic acid (18:2) down-regulated *CHT2*, *ECE1*, *HWP1*, *RAS1*, *RBT1* and *UME6* (Kim *et al.*, 2020). Our results also support a previous report that expressional changes induced by farnesol suppress the expression of hypha-specific *HWP1* (Ramage *et al.*, 2002). Also, linalool (C<sub>10</sub>H<sub>18</sub>O), a plant metabolite structurally similar to medium-chain fatty acids, inhibited hyphal growth and biofilm formation by *C. albicans* by down-regulating the expressions of *ALS3*, *HWP1*, *UME6*, *HGC1* and *EED1* (Hsu *et al.*, 2013), which is similar to the gene expression changes induced by 7:0, 9:0 or farnesol observed in the present study (Fig. 4A). Therefore, it appears plants and animals may both utilize fatty acids and fatty acid-like compounds to control *C. albicans* hyphal growth and biofilm formation and diminish its virulence.

Medium-chain fatty acids are found in mammalian milk, palm kernel oil and coconut oil and are widely used



in foods, drugs and cosmetics (Fig. 4C) (Traul *et al.*, 2000). Toxicity rankings of fatty acids conducted in two human leukaemic cell lines showed that short- and medium-chain saturated fatty acids are much less toxic than long-chain fatty acids (Lima *et al.*, 2002). Several authors have reported fatty acids can exert antimicrobial activity at high concentrations and antibiofilm activity at sub-inhibitory concentrations (Kumar *et al.*, 2020). To enhance the antifungal efficacy, combinatorial therapy and fatty acids can be simultaneously used in tandem or combination. We speculate that fatty acids alone or in combination delivered using liposomes or novel nanocarrier systems might protect eukaryotes from fungal infections (Kumar *et al.*, 2020).

In conclusion, the rapid emergence of drug resistant microorganisms has driven the development of novel antifungals and antibiotics. This study shows that six medium-chain fatty acids mimic the QS molecule farnesol and have antibiofilm and anti-hyphal activities in *C. albicans*. Fatty acids are widespread in most organisms and our findings suggest they may be utilized for defence purposes against *C. albicans* and interfere with its quorum-sensing system. Nonanoic acid (9:0), which was the most active fatty acid, also reduced *C. albicans* virulence effectively *in vivo* in our *Caenorhabditis elegans* (Fig. S1) model and exhibited only minimal cytotoxicity. A variety of biotechnological applications of the current study is possibility to control pathogenic biofilms, such as coating medical devices with fatty acids, synthesizing composites of polymer- or nanoparticle-fatty acids, producing liposomes or emulsion with fatty acids, and developing fatty acids as alternatives of antibiotics or antibiotic adjuvants. As regards medical applications, our findings indicate that medium-chain fatty acids offer a basis for the design of potent antibiofilm and anti-hyphal forming agents against *Candida* species.

## Experimental procedures

### Strains, chemicals and culture materials

The fluconazole-resistant *C. albicans* strains DAY185 and ATCC 10231 were used in the present study and cultured in potato dextrose agar (PDA) and potato dextrose broth (PDB). All experiments were performed at 37°C. Twenty four fatty acids, namely butanoic acid (4:0), pentanoic acid (5:0), hexanoic acid (6:0), heptanoic acid (7:0), octanoic acid (8:0), nonanoic acid (9:0), decanoic acid (10:0), undecanoic acid (11:0), lauric acid (12:0), myristic acid (14:0), myristoleic acid (14:1), palmitic acid (16:0), palmitoleic acid (16:1), heptadecanoic acid (17:0), stearic acid (18:0), oleic acid (18:1), elaidic acid (18:1), petroselinic acid (18:1), linoleic acid (18:2), conjugated linoleic acid (18:2), linolenic acid (18:3), arachidonic acid (20:4), eicosapentaenoic acid (20:5),

behenic acid (22:0), erucic acid (22:1), docosahexaenoic acid (22:6), tricosanoic acid (23:0), hexacosanoic acid (26:0) and octacosanoic acid (C28:0) were purchased from either Sigma-Aldrich (St. Louis, USA) or TCI (Tokyo, Japan). Dimethyl sulfoxide (DMSO, Sigma-Aldrich, St. Louis, MO) was used as solvent to dissolve all the fatty acids, except butanoic acid, which was dissolved in water. DMSO (0.1% v/v) was used as the negative control and at < 0.1% did not affect bacterial growth or biofilm formation.

Planktonic cell growths and turbidities were measured using an Optizen 2120UV spectrophotometer (Mecasys, Daejeon, Korea) at 600 nm. MIC was defined as the lowest concentration that inhibited planktonic cell growth by 80% and also confirmed by colony counting.

### Biofilm assay using crystal violet

Biofilm formation by *C. albicans* was produced on 96-well polystyrene plates (SPL Life Sciences, Korea), as previously described (Lee *et al.*, 2011). Briefly, a two day old single colony was inoculated into 25 ml of PDB medium and incubated overnight at 37°C. Overnight cultures at an initial turbidity of 0.1 at OD<sub>600</sub> nm (~ 10<sup>5</sup> CFU ml<sup>-1</sup>) were inoculated into PDB (final volume 300 µl) with or without fatty acids in 96-well polystyrene plates and incubated for 24 h without shaking at 37°C. Biofilm cells that adhered to 96-well plates were stained with 0.1% crystal violet Sigma-Aldrich (St. Louis, USA) for 20 min after washing planktonic cells with distilled water three times, then washed repeatedly with distilled water three times, and resuspended in 95% ethanol. Plates were read at 570 nm to measure biofilm formation and results are presented as the means of at least six repetitions. The percentage of inhibition ratio represents the relative biofilm formation (100× biofilm formation with chemical/biofilm formation of untreated control).

### Biofilm observations by confocal microscopy

Biofilm formation by *C. albicans* was developed on 96-well polystyrene plates with or without fatty acids or farnesol without shaking for 24 h. Planktonic cells were then removed by washing with distilled water three times, and biofilms were stained with carboxyfluorescein diacetate succinimidyl ester (Invitrogen, Molecular Probes, Eugene, OR, USA). Bottoms of plate were then visualized using a 488 nm Ar laser (emission 500 to 550 nm) under a confocal laser microscope (Nikon Eclipse Ti, Tokyo, Japan). To quantify biofilm structures, COMSTAT software (Heydorn *et al.*, 2000) was used to determine biovolumes (µm<sup>3</sup> µm<sup>-2</sup>), mean biofilm thicknesses (µm) and substratum coverages (%). Two

independent cultures were performed under each experimental condition and at least 10 random positions were assayed.

#### *C. albicans* colony morphologies on solid media

To examine the colony morphology of *C. albicans* on solid agar plates, a freshly prepared glycerol stock of *C. albicans* was streaked onto PDA plates supplemented with or without fatty acids ( $2 \mu\text{g ml}^{-1}$ ) or farnesol ( $100 \mu\text{g ml}^{-1}$ ). Plates were then incubated for 6 days at  $37^\circ\text{C}$  and changes in colony morphologies were observed using an iRiS™ Digital Cell Imaging System (Logos Bio Systems, Anyang, Korea).

#### Hyphae and cell aggregation in liquid media

To investigate hyphal growth and cell aggregation, *C. albicans* cells were inoculated into 2 ml of PDB medium at a density of  $10^5$  CFU  $\text{ml}^{-1}$  in 14 ml test tubes with or without fatty acids ( $2 \mu\text{g ml}^{-1}$ ) or farnesol ( $100 \mu\text{g ml}^{-1}$ ) and incubated at  $37^\circ\text{C}$  for 24 h without shaking. After incubation for 24 h, aggregated cells and hyphal growths were visualized in bright field using the iRiS™ Digital Cell Imaging System (Logos Bio Systems) at magnifications of 4x and 10x. At least, four independent experiments were conducted.

#### Analysis of biofilm and hyphal formation by scanning electron microscopy (SEM)

To observe biofilm and hyphal formation, SEM was used as previously described (Kim *et al.*, 2016). Briefly, a nylon membrane was cut into  $0.4 \times 0.4$  cm pieces, placed in 96-well plates containing *C. albicans* grown with or without fatty acids ( $2 \mu\text{g ml}^{-1}$ ) or farnesol ( $100 \mu\text{g ml}^{-1}$ ), and incubated for 24 h at  $37^\circ\text{C}$ . Cells that adhered to membranes were fixed with a glutaraldehyde (2.5%) and formaldehyde (2%) for 24 h, post-fixed using  $\text{OsO}_4$ , and dehydrated using an ethanol series (50, 70, 80, 90, 95 and 100%) and isoamyl acetate. After critical-point drying, cells on filters were sputter-coated with palladium/gold and imaged under a S-4100 scanning electron microscope (Hitachi, Tokyo, Japan) at a voltage of 15kV.

#### Farnesol assay by high-performance liquid chromatography (HPLC)

Farnesol production in *C. albicans* was measured as previously described (Hornby *et al.*, 2001) using a HPLC unit (YL9100HPLC, Young Lin, Anyang, Korea) equipped with a reverse-phase HPLC column ( $4.6 \times 250$  mm; Agilent ZORBAX Eclipse XDB-C18). The mobile phase used was water containing methanol (20:80, v/v) and the

flow rate was  $1.0 \text{ ml min}^{-1}$ . Eluates were monitored at 210 nm for farnesol (retention time 17 min). The fungus was cultured in PDB with and without fatty acid for 24 h at  $37^\circ\text{C}$  with shaking at 250 rpm. Farnesol was extracted from 20 ml of *C. albicans* culture by vortexing for 5 min with 10 ml of n-hexane. The hexane fraction was then dried and dissolved in 0.5 ml of methanol. *Trans, trans*-farnesol purchased from Sigma-Aldrich (St. Louis, USA) was used as the standard.

#### Sterol assay with filipin

Sterol production in *C. albicans* was investigated as previously described (Liu *et al.*, 2017). Briefly, cells ( $\sim 10^5$  CFU  $\text{ml}^{-1}$ ) were inoculated into PDB broth in 2 ml test tubes and incubated at  $37^\circ\text{C}$  for 1 h without agitation in the presence or absence of fatty acids ( $10 \mu\text{g ml}^{-1}$ ) or farnesol ( $50$  or  $100 \mu\text{g ml}^{-1}$ ). After incubation, cells were stained with filipin (Sigma-Aldrich, St. Louis, USA,  $25 \mu\text{g ml}^{-1}$  final concentration) for 30 min at room temperature. Cells were analysed by optical microscopy (iRiS™ Digital Cell Imaging System).

#### RNA isolation for transcriptomic studies

For transcriptomic analyses, 10 ml of *C. albicans* at an initial turbidity of 0.1 at  $\text{OD}_{600}$  ( $\sim 10^5$  CFU  $\text{ml}^{-1}$ ) was inoculated into PDB broth in 250 ml Erlenmeyer flasks and incubated for 6 h at  $37^\circ\text{C}$  without agitation in the presence or absence of fatty acids ( $5 \mu\text{g ml}^{-1}$ ) or farnesol ( $20 \mu\text{g ml}^{-1}$ ). To prevent RNA degradation, RNase inhibitor (RNAlater, Ambion, TX, USA) was added to cells immediately after incubation. Total RNA was isolated using a hot acidic phenol method (Amin-ul Mannan *et al.*, 2009), and RNA was purified using a Qiagen RNeasy mini Kit (Valencia, CA, USA).

#### Quantitative Real-Time PCR (qRT-PCR)

To determine the expressions of hyphae-related genes (*ADH5*, *ALS1*, *ALS3*, *CDR4*, *CHK1*, *CSH1*, *CYR1*, *DPP3*, *ECE1*, *EFG1*, *ERG1*, *ERG2*, *ERG3*, *ERG4*, *ERG5*, *ERG6*, *ERG9*, *ERG10*, *ERG11*, *ERG20*, *ERG24*, *FKS1*, *GST3*, *HGC1*, *HGT10*, *HWP1*, *IFD6*, *RBT5*, *TPO2*, *TUP1*, *UCF1*, *UME6*, *YHB1*, *YWP1* and *ZAP1*), qRT-PCR was performed. The specific primers and housekeeping gene (*RDN18*) used for qRT-PCR are listed in Table S2. The expression of *RDN18* was not affected by fatty acids or farnesol. The qRT-PCR method used was as described by Kim *et al.*, 2016 (Kim *et al.*, 2016) and was performed using SYBR Green master mix (Applied Biosystems, Foster City, USA) and an ABI StepOne Real-Time PCR System (Applied Biosystems). At least two independent cultures were used.

### Antivirulence and toxicity assays in the nematode model

To investigate the effects of fatty acids on the virulence of *C. albicans*, we used *C. elegans* strain *fer-15(b26); fem-1(hc17)*, as previously described (Lee *et al.*, 2018). Briefly, synchronized adult nematodes were washed with M9 buffer before starting experiments and approximately 30 worms were added into each well of 96-well plates containing PDB:M9 (20:80) medium (200  $\mu$ l) with or without nonanoic acid (0, 1, 2 and 5  $\mu$ g ml<sup>-1</sup>). Also, the untreated control and fatty acid-treated *C. albicans* cells ( $\sim 10^5$  CFU ml<sup>-1</sup>) were added to into wells containing worms. Plates were then incubated for 5 days at 25°C without shaking. For chemical toxicity assays, about 30 non-infected worms were pipetted into each well of a 96-well plate containing M9 buffer and nonanoic acid was added to final concentrations of 0, 10, 20, 50 or 100  $\mu$ g ml<sup>-1</sup> without *C. albicans*. Plates were then incubated for 7 days at 25°C without shaking. Three independent experiments were performed in triplicate. Results are expressed as percentages of live worms (survival), as determined by responses to platinum wire touching after incubation for 5 and 7 days. Observations were made using an iRIS™ Digital Cell Imaging System (Logos Bio Systems, Anyang, Korea).

### Statistical analysis

Replication numbers for assays are provided above and results are expressed as means  $\pm$  standard deviations. The statistical analysis was performed by one-way ANOVA followed by Dunnett's test using SPSS version 23 (SPSS, Chicago, IL, USA). *P* values of < 0.05 were considered significant and asterisks indicate significant differences between treated and untreated samples.

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### Conflict of interests

None declared.

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### Supporting information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Table S1.** Inhibitory effects of various fatty acids on *C. albicans* biofilm formation and planktonic growth. The antibiofilm activity of fatty acids against two *C. albicans* strains (DAY185 and ATCC 10231) in PDB medium was determined after culture for 24 h in 96-well plates. For the biofilm assay, each fatty acid was used at 10  $\mu\text{g ml}^{-1}$ . The MICs of

each fatty acid against planktonic cells of *C. albicans* DAY185 are shown. Active fatty acids with low MIC are indicated in blue.

**Table S2.** Primer sequences used for qRT-PCR.

**Fig. S1.** Effects of nonanoic acid (9:0) on *C. albicans* infected *C. elegans*. Nematode survival after exposure to *C. albicans* for 5 or 7 days in the presence of nonanoic acid (A). The toxicity of nonanoic acid was investigated by treating non-infected nematodes for 5 or 7 days (B). Nonanoic acid rescued the *C. elegans* survival by inhibiting hyphal growth of *C. albicans* (C). None indicates non-treated controls. Worm survival was determined based on movement. \* $P < 0.05$  vs. non-treated controls.

**Fig. S2.** Relative transcriptional profiles of ergosterol biosynthesis related genes in *C. albicans* cells treated with or without nonanoic acid (9:0) at 5  $\mu\text{g ml}^{-1}$ . *C. albicans* was incubated with or without nonanoic acid (9:0) at 5  $\mu\text{g ml}^{-1}$  for 6 h without shaking. Transcriptional profiles were obtained by qRT-PCR. *RDN18* was a housekeeping gene.