

Drugs 42 (5): 749-765, 1991  
 0012-6667/91/0011-0749/\$08.50/0  
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## The Use of Interferon- $\alpha$ in Virus Infections

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### Summary

The interferons (IFN) act too slowly to arrest acute viral infections, but interferon- $\alpha$  (IFN $\alpha$ ) preparations have proved useful in some chronic infections and will clearly be used increasingly in these in the future.

In the preparations derived from human leucocytes or cultured B lymphoblastoid cells, which are in routine clinical use, mixtures of a number of distinct subtypes of human IFN $\alpha$  have been identified. There are also 3 slightly different versions of the same single subtype, IFN $\alpha$ -2, made by recombinant DNA procedures in bacteria.

IFN $\alpha$  preparations are injected intramuscularly or subcutaneously. Dose-related side effects are common but usually tolerable, but prolonged treatment may cause increasing fatigue and depression. Some patients form neutralising antibodies which block the effects of the IFN; these appear to be relatively more common after recombinant IFN $\alpha$ -2 than after IFN derived from human cells.

Given intranasally, IFN $\alpha$  can prevent a subsequent experimental rhinovirus infection, or the

spread of natural colds within a family. Repeated administration progressively damages the nasal mucosa, so that long term prophylaxis is not possible.

IFN $\alpha$  has proved useful in patients with papillomavirus warts of the larynx, ano-genital region (condyloma acuminata) and skin (common warts). Treatment regimens remain to be optimised and are likely to include surgery or other treatments.

IFN $\alpha$  and zidovudine (azidothymidine) synergistically inhibit the growth of HIV *in vitro*, and combination are on trial in patients with early AIDS. Very large doses of IFN $\alpha$  are effective against Kaposi's sarcoma in some AIDS patients.

In chronic hepatitis B, continuing virus replication may lead to cirrhosis or primary liver cancer. Earlier clinical trials with IFN $\alpha$  gave inconclusive results, but recent large studies have confirmed that 25 to 40% of patients obtain benefit; this probably results from both the antiviral and the immunomodulatory effects of IFN $\alpha$ .

In patients with chronic hepatitis C, the biochemical markers usually improve rapidly during IFN $\alpha$  administration, but relapse if treatment is stopped after only a few months; to increase the chances of sustained cure, the treatment period is now being prolonged.

## 1. Introduction

The interferons (IFNs) are antiviral proteins that play an important role in the natural control of viral infections (Gresser et al. 1976a,b). They are classified into  $\alpha$ ,  $\beta$ ,  $\omega$ , and  $\gamma$  types, and chemically-related but antigenically distinct variants of these are formed by the cells of each animal species. The human interferons (HuIFN) are formed by human cells naturally during life or in culture in response to various stimuli, especially a viral infection. There are at least 22 subtypes of HuIFN $\alpha$ , which have 70% of their 166 (or 165) amino acids in common, but differ in at least some biological properties (Finter 1991). The single HuIFN $\beta$  has many of the same properties as IFN $\alpha$ , and shares about 30% of the amino acids (the cytokine once termed IFN $\beta$ -2 is now classified as interleukin-6). There is one HuIFN $\omega$  with 172 amino acids, which is chemically closely related to IFN $\alpha$  but antigenically quite distinct; it has not yet been separately tested in patients. IFN $\gamma$  (formerly termed type 2 or 'immune' IFN) is a T cell lymphokine which is very different from other IFN in its chemical structure and most of its properties, and has thus far been little used in viral infections. This review, however, will mainly consider results obtained with preparations of IFN $\alpha$ .

The development of IFNs for clinical use has been reviewed by Billiau (1984). Because of 22 years

of previous research on the virus interference phenomenon (Henle 1950), the potential value of IFNs as antiviral agents for use in patients was realised as soon as they were discovered by Isaacs and Lindenmann (1957). However, sufficient amounts of IFNs to allow clinical evaluation became available only in 1970, and were then nearly all used in trials in cancer patients. Indeed, IFNs have so far been used mainly in the management of some forms of leukaemia and other types of cancer, but they are now increasingly being used to treat the chronic viral infections discussed in this review.

IFN $\alpha$  and  $\beta$  bind to the same specific receptors on the cell surface. As a result of intracellular processes that are still being elucidated, IFN-stimulated response elements (ISRE) in the cell nucleus are activated and a number of proteins are synthesised. These include the Mx protein and its human analogue (Weitz et al. 1989), which have specific antiviral functions, and 2 enzymes, 2'-5'-oligoadenylate synthetase (2-5 A synthetase) and a protein kinase, which probably produce the general resistance of treated cells to viral infection (Hovanessian 1989; Pestka et al. 1987). This antiviral state reaches its peak some 6 or more hours after a cell is first exposed to an IFN, but once established may persist, though slowly waning, for many hours (table I).

### 1.1 Sources of Interferon- $\alpha$ for Clinical Use

To make IFN in amounts sufficient for clinical uses required human cells to act as the source in numbers that initially seemed almost impossibly large. The first solution was to use white blood cells obtained as a by-product from freshly donated transfusion blood (Strander & Cantell 1966). When these were treated with a mouse parainfluenza virus, Sendai, they formed what was termed leucocyte IFN (fig. 1). After partial purification, this product was used in almost all clinical studies until about 1979. Leucocyte IFN is relatively expensive and difficult to make and control, and even the cells from many thousands of individual blood donations yield only sufficient IFN to treat a few patients at a time.

Another system, devised at the Wellcome Research Laboratories at Beckenham in 1975, is used for commercial scale production from human cells (Johnston 1985). Cells of an immortalised human lymphoblastoid line, Namalwa, are grown in large stainless steel tanks. When these are stimulated with Sendai virus, they secrete many different IFN $\alpha$  subtypes which are separated from the medium and highly purified. The final product, 'Wellferon', is an essentially pure mixture of at least 22 subtypes (Zoon et al. 1989). Because Namalwa cells originated from a Burkitt tumour biopsy, rigorous safety

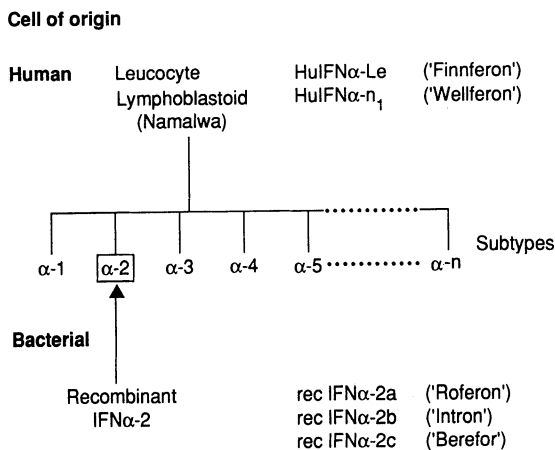
**Table I.** Antiviral effects of interferons

A natural antiviral defence mechanism, activated in a few hours (contrast onset of active immunity over several days in nonimmune host)
Not specific to particular viruses
No effect on extracellular virus particles
Mechanism involves active response by the cell after interferon molecules bind to specific surface receptors. Several proteins are synthesised, including antiviral proteins (Mx and its analogues) and enzymes (a protein kinase and 2'-5' oligoadenylate synthetase) which degrade newly formed viral components
Antiviral effects are established over several hours (thus administration of interferons is unlikely to be useful in an acute viral infection but can be valuable in chronic infections)

tests of a new type were devised and applied (Finter & Fantes 1980; Finter et al. 1985). This lymphoblastoid IFN was licensed for clinical trial use by the national control authorities in the UK, USA and Japan in 1980-1981, and subsequently for sale for the treatment of specified diseases in several countries. It was the first medicinal product to be manufactured from a transformed cell line, and its example has greatly facilitated the acceptance of other such cells for the manufacture of important therapeutic proteins such as Factor VIII and granulocyte macrophage colony stimulating factor (GM-CSF).

In 1980, 2 groups independently used recombinant DNA procedures to obtain expression of human IFN $\alpha$  genes in transfected *Escherichia coli* (Goeddel et al. 1980; Nagata et al. 1980). This route is now used by 3 manufacturers for the commercial production of particular versions of the  $\alpha$ -2 subtype of human IFN; these are IFN $\alpha$ -2a ('Roferon', Roche), IFN $\alpha$ -2b ('Intron', Schering) and IFN $\alpha$ -2c ('Berefor', Boehringer-Ingelheim) [fig. 1], each of which differs from the other 2 by a single amino acid. These 3 recombinant IFN $\alpha$ -2 preparations are also now widely licensed for use.

There are different WHO International Standards for leucocyte, lymphoblastoid and recombinant IFN $\alpha$ -2 IFN, which emphasises the fact that



**Fig. 1.** Sources of human interferon- $\alpha$  (HuIFN $\alpha$ ) for clinical use.

these different IFN preparations are not completely interchangeable. At least 3 of the IFN $\alpha$  subtypes in leucocyte and lymphoblastoid IFN, including the subtype corresponding to recombinant IFN $\alpha$ -2, contain sugar molecules (Kojima et al. 1989; Kojima, personal communication; Zoon, personal communication), whereas the recombinant IFN $\alpha$ -2 expressed in *E. coli* is not glycosylated. Lack of glycosylation does not influence the activity of the recombinant IFNs *in vitro*, but may affect their pharmacokinetic behaviour and 3-dimensional shape. Also, the recombinant preparations contain only a single subtype,  $\alpha$ -2, whereas induced human cells yield mixtures of many subtypes, which have interacting and sometimes synergistic effects on cells. Some subtypes have properties that differ markedly from those of other subtypes (Finter 1991). Whether any of these differences affect clinical utility is not at present clear, in spite of hints from the only published direct comparison of 2 different IFN preparations so far (Idéo et al. 1990).

### 1.2 Antigenicity

If patients are treated with IFN $\alpha$  preparations for periods of many months a proportion develop neutralising antibodies which, if present in high concentrations, may block the effect of the IFN with loss of any clinical benefit from the treatment. Antibodies seem to be more frequent with some IFN $\alpha$  preparations than with others, and have caused more clinical problems in the treatment of various cancers than in viral infections, probably because in the latter treatment courses are usually relatively much shorter.

Antonelli et al. (1991) examined sera from a relatively homogeneous group of chronic hepatitis patients. They found antibodies neutralising IFN in sera from 20.2% (15/74) of those treated with recIFN $\alpha$ -2a; in 6.9% (10/144) of those treated with recIFN $\alpha$ -2b; and in 1.2% (1/78) of those treated with lymphoblastoid IFN. Neutralising antibodies were also observed in 39% (21/54) of Chinese children with chronic hepatitis B treated with recombinant IFN $\alpha$ -2a, and those with higher titres

were less likely to respond to treatment; with IFN $\alpha$ -2b, the incidence was 9.4% (5/54) and the titres were all low (Lok et al. 1990; Lok & Lai 1991).

Oberg used IFNs to treat patients with malignant carcinoid tumours (presented at Hannover Interferon workshop, February 1991). Neutralising antibodies developed and were associated with clinical relapse in 27% (7/26) of those treated with recIFN $\alpha$ -2a; in 4% (6/151) of those treated with recombinant IFN $\alpha$ -2b; but in none of 81 treated with leucocyte IFN. When relapsing patients were switched to treatment with leucocyte IFN, about half achieved a response. Similar problems with recombinant IFN $\alpha$ -2a or IFN $\alpha$ -2b have been seen in chronic myelogenous leukaemia (Freund et al. 1989; von Wussow et al. 1988, 1989); hairy cell leukaemia (Steis et al. 1988); essential mixed cryoglobulinaemia (Casato et al. 1990), and essential thrombocythaemia (Gugliotta et al. 1989): again, many patients were successfully retreated with a human cell-derived IFN preparation.

### 1.3 The Uses of Interferon- $\alpha$ in Virus Infections

IFN $\alpha$  preparations are given by intramuscular or subcutaneous injection; the intravenous route seems to have no advantages. IFN $\alpha$  is very potent: even a large dose such as 10 megaunits (MU,  $10^6$  International Units) of human IFN $\alpha$  only requires about 50 $\mu$ g IFN protein, and 3mg provides the complete 3-month course for a patient with chronic hepatitis. Treatment with an IFN $\alpha$  is accompanied by influenza-like side effects. Fever is almost invariable after the first dose, but usually diminishes after subsequent doses. Other relatively common side effects include lassitude, fatigue, depression, leucopenia, thrombocytopenia and elevation of liver enzymes. These effects are dose related at least over the range from about 1 to about 10MU, but vary greatly in severity from one individual to another, some patients being intolerant of even a very small dose, whereas others accept relatively large amounts without difficulty. If injections are given in the evening, side effects occur mainly during the hours of sleep. However, with a prolonged course

**Table II.** Chronic viral infections responsive to IFN $\alpha$  therapy**Papillomavirus infections**

Laryngeal papillomatosis  
 Condylomata acuminata (genital warts)  
 Skin warts

**HIV infections**

Kaposi's sarcoma  
 AIDS?

**Chronic active hepatitis B****Chronic hepatitis C** (non-A, non-B hepatitis)

there may be progressively increasing fatigue and depression, which sometimes limit the duration of IFN treatment.

IFNs have not proved effective in acute viral infections, such as the common cold. In part this may be because the antiviral response is slow to come into effect, but a much more important factor is that treatment is likely to be late: a patient with an acute viral infection such as influenza does not feel ill until many millions of cells have already been infected and accordingly formed and released their quota of IFN. To inject still more is then unlikely to be helpful. In contrast, IFNs have been used successfully for prophylaxis and the treatment of certain chronic viral infections: in the latter, the number of cells infected and forming IFN at any one time is likely to be small so that to supply additional amounts can be beneficial (table II).

Since earlier information on the uses of IFN in viral infections has been considered in some detail elsewhere (Scott & Tyrrell 1985), this review concentrates on more recent results.

## 2. Interferons for Respiratory Virus Infections

Well-controlled studies in healthy volunteers have shown that intranasally administered IFN $\alpha$  can suppress the symptoms that follow experimental infection with a rhinovirus, as judged by objective criteria (reviewed by Scott & Tyrrell 1985). About a quarter of volunteers given IFN nevertheless shed virus in the nasal secretions, even

though they had minimal symptoms, if any. Human IFN $\alpha$  preparations of all types have approximately the same activity against rhinoviruses; IFN $\beta$  is slightly less active on a weight-for-weight basis (Higgins et al. 1986) and IFN $\gamma$  is completely inactive (Higgins et al. 1988). IFN $\alpha$  also protects volunteers against experimental infections with a coronavirus (Higgins et al. 1983) or, though much less efficiently, with an influenza virus (Phillpotts et al. 1984).

Little has been published recently about methods of delivering IFN to the respiratory tract, although it has usually been applied in the form of an intranasal IFN spray with a mixed particle size, delivered through a fine nozzle from a self-actuated pump. Nevertheless, a comparison showed that the administration of nasal drops resulted in a more favourable distribution of radiolabelled albumin above the hard palate (Aoki & Crawley 1976).

The timing of the dose of IFN $\alpha$  in relation to the rhinovirus challenge seems to be critical to the outcome (Phillpotts et al. 1983); unless the IFN is given within a few hours before challenge, no protection results, irrespective of how much has been given during the previous few days. As IFN induces a long-lived antiviral state in nasal epithelial cells in culture, this is surprising and suggests that mechanisms other than straightforward protection of the cells against rhinovirus may operate *in vivo*. The minimum effective dose is 2MU given once (Samo et al. 1984) or preferably 3 times (Phillpotts et al. 1983) per day, but a single larger daily dose of 5MU or 10MU is slightly more effective in reducing symptoms and virus shedding (Hayden & Gwaltney 1983; Samo et al. 1983; Scott et al. 1982). In most protection studies against rhinoviruses, IFN $\alpha$  administration was continued for 3 days after virus challenge. If IFN $\alpha$  was given after the symptoms of a cold had started, it had a minor effect on virus shedding, but the course of the cold was not changed (Hayden & Gwaltney 1984).

Intranasal IFN does not cause systemic symptoms, but leads to local inflammation after 5 to 10 days of regular use. Histologically, the mucosa is ulcerated and filled with T lymphocytes of CD4

(helper) phenotype (Hayden et al. 1983, 1987). In one study, half of the volunteers had stopped taking IFN by the fourteenth day of dosing because of symptoms which were similar to, though in retrospect distinguishable from, those of the proven rhinovirus infections suffered by subjects in the placebo group (Scott et al. 1985). The mild leucopenia observed in some of the patients taking intranasal IFN $\alpha$  is to some extent dose related and associated with the occurrence of nasal symptoms.

Because of the local adverse effects, the long term administration of IFN $\alpha$  is not a practical way of providing prophylaxis against colds during a particular season. An alternative strategy would be for healthy subjects to treat themselves for limited periods when at high risk for contracting a rhinovirus infection. Such exposure is common with family groups, where children bring colds home from school, and opportunities for transmission from one member of the family to another are high. Two large studies (Douglas et al. 1986; Hayden et al. 1986) have shown that in families in which one member had already developed a cold, intranasal IFN $\alpha$ -2b (2MU sprayed 3 times per day) was very effective in preventing rhinovirus colds; colds due to other viruses, including some coronavirus and parainfluenza virus infections, were not inhibited. As yet, no IFN $\alpha$  preparation has been marketed for use in colds, perhaps because the benefits do not seem to match the costs.

It was suggested many years ago that failure to produce IFN during an acute episode of influenza may contribute to death from overwhelming pneumonia (Baron & Isaacs 1962). More recently, it has been shown that production of IFN $\alpha$  in response to influenza virus infections may be genetically determined (Haller et al. 1980). The therapeutic use of IFN during influenza epidemics therefore merits study in the future.

### **3. Interferons in Chronic Human Papillomavirus Infections**

Because of their antiviral, antiproliferative and immunomodulatory effects, IFNs were a good choice for trials in patients with warts resulting from

a chronic infection with one of the many serotypes of human papillomavirus; indeed IFNs have proved clinically active against these viral types.

Papillomavirus warts are of various types and appearance. They are found very commonly on the skin, occasionally in the genital region (condyloma acuminata), and very rarely on the larynx (laryngeal papillomatosis). It is estimated there are only 1500 new cases of juvenile laryngeal papillomatosis (JLP) annually in the USA, but as this was the first papillomavirus infection in which IFN treatment was tried, it is considered first.

#### **3.1 Juvenile Laryngeal Papillomatosis**

Initial uncontrolled studies suggested that leucocyte IFN treatment was beneficial in children with JLP, but no firm conclusions could be drawn because of the small numbers involved (Gobel et al. 1981; Goepfert et al. 1982; Haglund et al. 1981; McCabe & Clark 1983). Recently, there have been 2 large multicentre controlled trials. In one (Kashima et al. 1988; Leventhal et al. 1988), 66 children with severe JLP were enrolled in a 1-year crossover study and were randomised to one of two treatment arms. Patients in one arm received lymphoblastoid IFN for a 6-month period (5 MU/m<sup>2</sup> daily for 28 days and then 3 times a week for the next 5 months) and were then observed for the next 6 months; those in the other arm, were given IFN similarly for 6 months but after an initial 6-month observation period. All the children were examined by endoscopy every 2 months during the study and any papillomas were removed surgically.

The results showed that patients in both groups improved significantly while receiving IFN. Antibodies neutralising the IFN were detected, often transiently, in the serum of 20% of these children (Weck et al. 1989), an incidence much higher than seen in any other category of patient treated with this type of IFN; these antibodies were of low titre, and did not appear to influence the clinical outcome (Thurmond et al. 1991). Many of the patients continued treatment with this IFN at the end of the 1-year study period, or were put back on treatment. Four years later, 59 of these patients were

traced; the initial clinical benefits had been sustained with 24 patients (41%) having had a complete remission, and a further 27 (46%) a clinically significant partial response (Leventhal et al., unpublished data).

In another study (Healy et al. 1988), 123 JLP patients were randomly assigned either to receive human leucocyte IFN (2 MU/m<sup>2</sup> daily for 1 week, and then 3 times a week for 1 year), or to be observed with surgery as required. It was reported that the papillomas grew significantly more slowly during the first 6 months in the IFN-treated group than in those under observation, but the difference diminished during the second 6 months in spite of continued IFN administration. It is not clear whether the different duration of response in these 2 large studies reflected the IFN dose or type (lymphoblastoid versus leucocyte), or the study design.

### 3.2 Condyloma Acuminata (Genital Warts)

The reported annual incidence of genital warts in 2 recent surveys was 70 to 100 cases per 100 000 of population but, as with other sexually transmitted diseases, the incidence seems to be increasing (Chuang et al. 1984; Department of Health and Social Security 1985). The many treatments used include topical applications of caustic agents like podophyllin (podophyllum-resin) or trichloroacetic acid, and physical removal of the visible lesions by cauterization, cryotherapy, laser or conventional surgery (Eskelinen & Mashkilleyson 1987). Such treatments may cause pain, scarring and systemic toxicities, and recurrence rates as high as 50 to 60% have been reported. In a minority of patients, condylomas are resistant to treatment, or rapidly recur, and these have represented a difficult management problem. It appears that a significant proportion can be successfully treated with an IFN $\alpha$  preparation, although the treatment is relatively expensive and there are often some systemic side effects.

Early reports from open studies suggested that condyloma acuminata responded to treatment with various types of IFN (Ikić et al. 1975; Scott &

Csonka 1979). These observations have since been confirmed in numerous randomised, double-blind and placebo-controlled trials with IFN $\alpha$  preparations of human cell or recombinant origin. Comparisons between the results from the different clinical trials are difficult because there are so many variables, such as the site, type and size of the lesions; the basis for patient selection; the clinical end-point chosen; and the type of IFN used, the dose and duration of treatment. Also, 2 routes of administration have been explored, with IFN injected either directly with the warts or intramuscularly or subcutaneously at a remote site.

In studies with intralesional administration of preparations of recombinant IFN $\alpha$ -2 (Eron et al. 1986; Hatch 1986; Vance et al. 1986) or natural IFN $\alpha$  (Friedman-Kien et al. 1988; Geffen et al. 1984), the lesions completely resolved in between 10 and 62% of patients. The highest rate was achieved in a study with leucocyte IFN (Friedman-Kien et al. 1988) in which the median age of the patients was 30 years, and the median number of warts was 4 (range 2 to 14); these factors suggest that the lesions were relatively recent and thus unlikely to be refractory to treatment.

Intralesional administration initially gives a relatively high local concentration of IFN in the injected wart, but the occurrence of the usual systemic side effects shows that there is also absorption from this site. Whether this is sufficient to eliminate subclinical infections elsewhere is not known, but there are reports of relapse rates of up to 25% (Friedman-Kien et al. 1988), and of lack of response in uninjected warts (Geffen et al. 1984). Thus, theoretically, IFN given systemically may have advantages, and its efficacy has been demonstrated in a number of studies (Gall et al. 1985, 1986; Kirby et al. 1986; Reichman et al. 1988; Weck et al. 1986). In several studies (Gall et al. 1985, 1986; Weck et al. 1986), lymphoblastoid IFN was administered to a combined total of 62 males and 115 females who had longstanding refractory condylomas. The doses ranged from 1 MU/m<sup>2</sup> to 3 MU/m<sup>2</sup> given daily for 14 days and then 3 times a week for 4 weeks. Overall, the lesions decreased in size by 50% or more in 55 to 94% of patients.

In many patients, these results were accompanied by the usual side effects of IFN. These were dose related and were considered acceptable by most of the patients, although in a few the dose was reduced or treatment stopped. Neutralising antibodies have not been a problem.

In a recent multicentre study (Condylomata International Collaborative Study Group 1991), no benefit was seen from a 4-week course of IFN $\alpha$ -2a in patients with recurrent genital and/or perianal condylomas. This result emphasises the need for more work to define the role of IFN $\alpha$  in the treatment of these lesions. Perhaps the best approach will include their use in combination with other therapies, for example laser treatment as suggested by the results of another recent trial (Peterson et al. 1991).

### 3.3 Skin Warts

Skin warts in other parts of the body resulting from a human papillomavirus infection are relatively common. A small proportion of the many patients with such nongenital warts fail to respond even to repeated local treatments with a variety of conventional agents, with resultant great frustration to patient and physician alike. It has been shown in a number of studies that preparations of human leucocyte, lymphoblastoid and recombinant IFN $\alpha$  and of IFN $\beta$  can be beneficial in such patients (e.g. Eron et al. 1986; Gibson 1986; Gibson et al. 1984; Niimura 1983). The best regimen in terms of dose, route and frequency of administration, and duration of treatment remains to be defined with each of these, but experience with human lymphoblastoid IFN suggests that intralesional administration is the most advantageous route in the treatment of severe and persistent skin warts (Gibson 1988). A single injection of between 3 and 5MU into the base of the largest or most troublesome wart each week for 12 weeks gave an impressive rate of clearance (21 patients out of 27; 78%), and there was also improvement in both nearby and distant warts. Such intralesional injections are briefly painful, the extent depending on the site involved, and there are the usually mild

influenza-like side effects associated with treatment with such a dose of IFN. Nevertheless, because there is no clinically detectable local tissue damage and the success rate is relatively high, this regimen has proved acceptable to most of the patients involved. Berman et al. (1986) reported mean responses of 86.1% in the treated warts of 4 patients given intralesional injections of 0.1MU of IFN $\alpha$ -2b, and 38.0% in 4 given placebo.

## 4. Interferons in HIV Infection

IFN inhibits the growth *in vitro* of a number of retroviruses including HIV. IFN $\alpha$  and  $\beta$  produce dose-related suppression of HIV replication in peripheral blood mononuclear cells *in vitro* at physiologically achievable concentrations, whereas IFN $\gamma$  does not (Hartshorn et al. 1987a; Ho et al. 1985; Yamamoto et al. 1986). However, in T and monocyte-macrophage cell lines all the interferons show anti-HIV activity (Crespi 1989; Hartshorn et al. 1987a; Kornbluth et al. 1989; Yamada et al. 1988).

IFN $\alpha$  and  $\beta$  appear to affect predominantly the later stages of HIV replication, notably virus assembly and release. IFN $\alpha$  treatment of HIV-infected cell cultures results in a reduction in virus released into the culture supernatant but an increase in cell-associated virions (Poli et al. 1989). Removal of the IFN leads to the release of pre-assembled virions, resulting in higher concentrations than in cultures never treated with IFN $\alpha$ , so that prolonged administration would seem to be required to control HIV replication effectively.

Given the encouraging *in vitro* results it appears paradoxical that IFN $\alpha$  can often be detected in the sera of patients with advanced HIV disease (Buirovici Klein et al. 1986; De Stefano et al. 1982; Eyster et al. 1983). This IFN is unusual in that it is predominantly acid-labile and its production correlates with declining immune status. Once it is present, down-regulation of IFN $\alpha$  receptors appears to occur, leading to decreased responsiveness to exogenously administered IFN, a phenomenon seen in patients with Kaposi's sarcoma (Oettgen et al. 1986; Vadhan-Raj et al. 1986). The presence of



this endogenous IFN may explain the lack of efficacy and toxicity in the first of the studies described below.

A study in 67 AIDS patients comparing 2 different IFN $\alpha$  doses (36MU or 3MU 3 times weekly) with placebo failed to detect any differences in efficacy or toxicity variables over a 12-week treatment period (Interferon Alpha Study Group 1988). In a placebo-controlled study with high-dose (35MU daily) IFN $\alpha$  for 12 weeks in patients with much earlier disease, antiviral effects were noted in terms of numbers of patients becoming HIV culture-negative (Lane et al. 1990). However, the high dose was not well tolerated. One study with IFN $\beta$  at doses of 90 and 180MU daily in asymptomatic patients showed reductions in p24 antigen (a marker of HIV infection) but tolerance was poor (Borucki et al. 1990). Pilot studies conducted with IFN $\gamma$  in ARC and AIDS patients have not produced any encouraging results (Baron et al. 1988; Pennington et al. 1986). Overall these results suggest that IFNs as single agents are not appropriate for the treatment of HIV-infected patients who because of the nature of the infection require prolonged, if not life-long, therapy.

IFN $\alpha$  and IFN $\beta$  have, however, been shown to have a role in treating a subset of patients with the AIDS-associated malignancy, Kaposi's sarcoma (de Wit et al. 1988; Miles et al. 1990; Oettgen et al. 1986; Vadhan-Raj et al. 1986; Volberding et al. 1987). The known antiproliferative effects of IFN combined with its anti-HIV activity made it an ideal agent to try in this tumour. High doses (20 MU/m<sup>2</sup> or more) have induced response rates of 20 to 40%. Patients most likely to benefit are those with higher CD4 counts and patients without significant HIV-associated symptoms.

There is strong synergistic inhibition of HIV *in vitro* with combinations of IFN $\alpha$  or  $\beta$  and the nucleoside analogues zidovudine or dideoxycytidine (Hartshorn et al. 1987b; Vogt et al. 1988; Williams & Colby 1989). Zidovudine and dideoxycytidine are both reverse transcriptase inhibitors, acting at an earlier stage in the HIV lifecycle than IFN, a factor which may account for the synergistic activity.

Clinical studies of IFN $\alpha$  and zidovudine in various dose combinations have been conducted in patients with Kaposi's sarcoma (Bratzke et al. 1988; Fischl et al. 1991; Gustavo et al. 1990; Kovacs et al. 1989; Krown et al. 1990a). Mixed results have been obtained from these trials, with tumour response rates varying from 20% to greater than 80%. Higher response rates than would have been expected with IFN alone were reported in patients with low CD4 counts (Fischl et al. 1991; Krown et al. 1990a). In general, the higher dose combinations showed better responses but were less well tolerated. Additive myelotoxicity and hepatotoxicity were frequently observed and were commonly dose limiting. Coadministration of the growth factor GM-CSF is one possible method of counteracting the neutropenia. This triple combination is under investigation; preliminary results indicate that prevention of neutropenia is possible but that it may potentiate the subjective side effects of IFN (Krown et al. 1990b).

Perhaps the greatest potential of the combination of IFN and zidovudine will prove to be in early HIV disease using much lower doses than those being used in Kaposi's sarcoma. Preliminary results with low doses of both IFN $\alpha$  and zidovudine (1.5MU and 400mg daily, respectively) in patients with early HIV disease have shown significant reductions in p24 antigen, and these lower doses have been well tolerated (Orholm et al. 1989). Further studies with low-dose combinations compared with zidovudine alone are now under way, and it is hoped these will establish the role of this combination on disease progression.

In summary, although IFN has proved to be useful for the treatment of Kaposi's sarcoma, it does not appear to have a role as a single agent for the treatment of HIV disease. Perhaps the greatest value of IFN will be when it is used in combination with other antiviral agents. The combined use of zidovudine and IFN $\alpha$  appears to have a role in the management of patients with Kaposi's sarcoma, although the optimal doses for maximum antitumour effect with minimum toxicity remain to be fully defined. The value of such a combination for

the treatment of earlier manifestations of HIV disease remains to be determined.

## 5. *Interferon in Hepatitis B*

### 5.1 The Natural History of Chronic Hepatitis B Infections

Although most individuals recover completely from an infection with the hepadnavirus hepatitis B (HBV), about 5% become chronic carriers, and some of these develop chronic active hepatitis. It is estimated that there are about 300 million carriers in total worldwide, with 50 million new infections annually, and more than 1.5 million deaths from the long term sequelae, cirrhosis and primary liver cancer. Of all the treatments thus far tried in chronic hepatitis B, the use of IFN $\alpha$  seems the most promising.

The questions about how and when to use IFN $\alpha$  preparations in chronic hepatitis B are best considered in relation to its pathogenesis and clinical course. A chronic infection typically runs a prolonged course over many years, which can be followed in terms of the presence and amounts of various HBV proteins in the patient's serum: these are the surface antigen (HBsAg), the virus DNA polymerase, the core protein (HBcAg) and the so-called e antigen (HBeAg) derived from the core protein (Hull & McGeoch 1989). The corresponding serum antibodies are also useful markers for following the course of the infection.

In chronic hepatitis B, there is an initial phase of immune tolerance, characterised by abundant virus replication in the liver cells. The serum contains high levels of HBeAg, HBV DNA and DNA polymerase; there is little or no histological evidence of liver inflammation; and biochemical abnormalities are minimal. Most paediatric patients are in this phase. Since continued HBV replication usually has serious late consequences, as discussed below, it is logical to treat with an antiviral agent such as IFN $\alpha$  at this stage. IFN $\alpha$  may also help to break immune tolerance: if this occurs, whether spontaneously or as the result of treatment, cytotoxic T cells recognise the virus antigens and increased levels of HLA Class I antigens on the sur-

face of infected liver cells and lyse them. If all are eliminated there is full recovery from the infection, but if HBV continues to replicate in some hepatocytes, these in turn are then lysed by the T cells, and progressive liver damage results. Thus, the prime objective of any treatment is to stop HBV replication, usually monitored in terms of the loss of HBeAg and other markers of virus replication from the serum, and sometimes followed by the development of anti-HBe antibodies; such changes are associated with loss of biochemical markers of liver inflammation and improvement in liver histology (Hoofnagle et al. 1981; Liaw et al. 1983; Perillo et al. 1990; Realdi et al. 1980). Suppression of virus replication will also reduce the infectivity of a carrier, a result particularly important for health care personnel and for women of childbearing age. About 90% of the offspring of carrier mothers who are HBeAg positive become carriers of hepatitis B virus, in contrast to only 8% of those born to HBeAg-negative carrier mothers (Oon 1988).

In some chronic HBV hepatitis patients, especially children, there is active HBV replication, shown by high serum titres of HBeAg and HBV DNA, but no liver inflammation. Whether these patients have a defect in their immune response and are thus protected against liver damage, or will in due course develop liver disease, is as yet unknown. In another important group of patients, neither HBeAg nor anti-HBeAg antibody is found in the serum yet the disease is particularly aggressive, as shown by the liver histopathology. Increasingly, therefore, clinical progress is being monitored in terms of the amounts of circulating virus, measured as HBV DNA by hybridisation techniques. Loss of serum DNA correlates well with the disappearance of HBcAg from the liver cells (Hsu et al. 1987; Eddleston, personal communication).

If virus replication continues, as shown by the continued presence of HBs and HBe antigens in the serum, the liver shows persisting histological evidence of damage, and progression to cirrhosis is likely within a 3- to 4-year period in up to half of such patients (Aldershvile et al. 1982; Andres et al. 1981; Chu et al. 1985; Hadziyannis et al. 1983;

Lindh et al. 1986; Sanchez-Tapias et al. 1984).

Subjects with persistent HBs antigenaemia, particularly those infected in early life, run a relative risk of ultimately developing primary hepatocellular carcinoma (PHC) estimated as between 90 and 200 times greater than that of matched controls with no markers of the disease (Beasley & Hwang 1984). The tumour usually develops 25 to 35 years after the primary HBV infection, although occasionally much sooner, and in subjects who have extensive cirrhosis due to chronic hepatitis B.

### 5.2 Early Trials

The first reports of clinical trials with various preparations of human IFN $\alpha$  and IFN $\beta$  in chronic hepatitis B date back many years (Desmyter et al. 1976; Greenberg et al. 1976). Results from 15 early studies were reviewed by Scott and Tyrrell (1985). Daily or 3-times-weekly injections of IFN usually led to a rapid drop in the levels of circulating HBV DNA polymerase and virus DNA, although these returned in most patients to the previous or even somewhat higher levels if treatment was stopped after only a few weeks. In all these studies, about 30% of treated patients appeared to derive benefit. However, these studies involved only 107 patients in total, and as most did not include a control group to show the level of spontaneous improvement in the absence of IFN treatment, there was no definitive evidence that IFN $\alpha$  treatment gave benefit.

### 5.3 Recent Clinical Data

More recently, results have been published from a large number of controlled trials in which different IFN $\alpha$  preparations were used, and some general conclusions can be drawn from the remarkably consistent responses obtained.

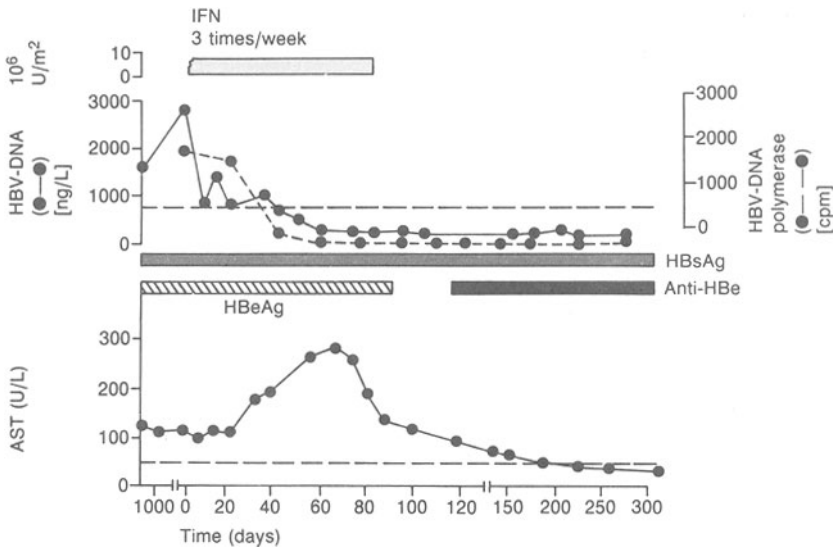
The great majority of patients show an initial response to IFN $\alpha$  treatment that almost certainly reflects the direct antiviral effects of the preparation: within the first week, the level of circulating HBV DNA falls (see fig. 2). In many patients, virus DNA is completely cleared within a few weeks (Anderson et al. 1987). In 30 to 40% of patients,

no DNA can be found even by sensitive hybridisation assays, and these patients also lose circulating HBeAg and seroconvert to anti-HBeAg antibody positive (Alexander et al. 1987; Dusheiko et al. 1986; Hoofnagle et al. 1988; Perillo et al. 1990). This seroconversion is typically associated with a mild hepatitis-like illness with transient rise in liver enzymes. Such an episode occurred consistently in many studies after between 4 and 10 weeks of treatment, which suggests that it was a consequence, and not a spontaneous event. Seroconversion is followed by improvement in the liver histology (Perillo et al. 1990), and some patients go on to clear HBsAg from the blood and to produce anti-HBs antibodies.

In order to achieve seroconversion, treatment with IFN $\alpha$  must be continued for 3 to 4 months; longer treatment does not increase the seroconversion rate (Scully et al. 1987). Trials of low doses of IFN $\alpha$  given over the long term as a suppressive therapy are under way, but no reliable results are available as yet.

The doses used in the various trials have varied widely, from 1 up to 100MU daily (Yokosuka et al. 1985). Perillo et al. (1990) found that a dose of 5Mu of IFN $\alpha$  given 3 times weekly led to seroconversion in 38% of those treated, whereas a dose of 1Mu was no more effective than placebo, and a higher dose did not appear to give better results. A 5MU dose is on the whole well tolerated: all patients develop fever and malaise, but usually only after the first 3 or 4 injections. With long term treatment, chronic lethargy and depression become a problem in a minority of patients (Renault et al. 1987).

In terms of criteria for choosing patients for treatment, there are 2 considerations. Theoretically, the earlier the patient is successfully treated in the course of the disease, the lower the number of hepatocytes that will contain integrated HBV sequences. In a recent trial, about 10% of patients cleared both HBeAg and HBsAg, and were also found to be free of circulating HBV DNA as tested by the polymerase chain reaction (Perillo et al. 1990). However, the early immune tolerance phase



**Fig. 2.** Typical changes in serological and virus markers in a patient with chronic active hepatitis B successfully treated with an IFN $\alpha$  preparation (reproduced with permission from Prof. H.C. Thomas, St Mary's Hospital, London). The horizontal bars indicate the period of interferon treatment, and the times during which HBsAg, HBeAg and anti-HBe could be detected in the serum of this patient. *Abbreviations:* AST = aspartate amino transferase; HBV-DNA = hepatitis B virus DNA; HBeAg = hepatitis B e antigen; HBsAg = hepatitis B surface antigen; Anti-HBe = antibody to HBe antigen.

of chronic hepatitis B virus infection is characterised by low serum levels of liver enzymes, and the levels of these are the best guide to the likely response to IFN $\alpha$  therapy: high levels of serum alanine amino transferase (ALT) and aspartate amino transferase (AST) are associated with an increased response rate (Hoofnagle et al. 1988; Perillo et al. 1990). It has therefore been suggested that only patients with serum ALT levels greater than twice the normal should be treated with IFN $\alpha$  (Hoofnagle 1990). Thus, the later in the course of the disease that a patient is treated with IFN $\alpha$ , the more likely he or she is to respond, but the greater the likelihood that there will by then be liver damage and that most hepatocytes will contain integrated HBV DNA, with a consequent correspondingly high risk of later development of hepatocellular carcinoma. The choice of when to treat with IFN $\alpha$  must therefore be made by balancing the likelihood of response against the not insubstantial cost of the treatment. It has been suggested that the response to IFN $\alpha$  is less in Asiatic patients, especially child-

ren (Lai et al. 1987), than in Caucasians, but in more recent studies adult male Chinese have been shown to benefit (Liaw et al. 1988). Patients with HIV infection respond relatively poorly (McDonald et al. 1987).

Successful IFN $\alpha$  treatment is clearly not a simple reflection of its antiviral effect. The virus is eliminated only following some change in the balance of the host immune response, the nature of which is not yet understood, and there remains the problem that the majority of patients do not clear HBV when treated with IFN $\alpha$ , perhaps because the antiviral effects of IFN $\alpha$  are blocked by the terminal portion of the polymerase protein, as shown *in vitro* by Foster et al. 1990). At present, there is no way of increasing the response above the 30 to 40% level seen in most of the clinical trials, even though a number of approaches have been tried. The most promising of these appeared to be the use of IFN $\alpha$  after pretreatment with steroids, but early reports of success with this protocol (Perillo

et al. 1988) seemed not to be confirmed in a later study (Perillo et al. 1990). However, results from a recent study (Y.F. Liaw, to be published) suggest that this regimen can indeed improve the results in certain categories of patients. Chinese children treated with IFN $\alpha$ -2 who developed relatively high titre neutralising antibodies were found less likely to respond than those with low titre antibodies or none (Lok & Lai 1991; Lok et al. 1990).

It has been noted in Italy that up to 30% of all chronic carriers have antibodies to HBe in their serum and no detectable HBeAg. Nearly 90% of these have active disease with a characteristically severe liver pathology, even though few hepatocytes express HBeAg. Within an 8-year period, the histological picture changes from one of chronic persistent hepatitis to chronic active hepatitis and finally to cirrhosis. These patients represent another category of patient urgently needing treatment. Here, the benefits of IFN treatment can best be assessed in terms of the total loss of HBV DNA from the serum. Alberti and his colleagues (1988) have obtained very encouraging results in preliminary trials with lymphoblastoid IFN in such patients, and further confirmatory studies are urgently needed.

To summarise, there is a good rationale for using IFN $\alpha$  preparations to treat patients with chronic hepatitis B: between 25 and 40% of patients can be expected to derive substantial benefit. Although still better results may be obtained in the future from their use in combination with other antiviral or immunomodulatory agents, IFN $\alpha$  preparations used on their own are at present the best treatment available for this important disease.

## 6. *Interferon in Hepatitis C*

Chronic non-A, non-B (NANB) hepatitis may also progress to cirrhosis, and IFN preparations have been tested in such patients. Many of these patients have antibodies to the recently identified hepatitis C virus, and in these at least, there seems to be an association between infection and development of primary hepatocellular carcinoma.

In early studies in which only short IFN $\alpha$  courses

were given, liver transaminase levels in the serum normalised during treatment but soon afterwards returned to previous elevated levels (Thompson et al. 1987). In a more recent study with IFN $\alpha$  given 3 times a week for 24 weeks, serum alanine aminotransferase levels fell to normal or near-normal levels in 28% of those who received a dose of 1MU and in 46% of those who received 3MU; in the latter group there were improvements in liver histology, with regression of lobular and periportal inflammation. After the treatment course, about half of the responders relapsed (Davis et al. 1989). In a similar trial in which the dose was 2MU given 3 times each week for 6 months, 48% of the patients showed a complete response in terms of a normal geometric mean level of serum AST during the course of treatment; 33% had normal enzyme levels at the end of treatment, but at follow-up after 6 to 12 months only 10% had sustained this response (DiBischelie et al. 1989).

Several studies are in progress in which higher doses of IFN $\alpha$  are being given for longer periods. When lymphoblastoid IFN was given 3 times weekly at a dose of 3MU, the preliminary results showed a response in 5 of 7 patients (71%) at the sixteenth week of treatment (Jacyna et al. 1989).

Since the low doses employed are well tolerated, there is considerable optimism that IFN $\alpha$  will become the treatment of choice for this important disease, and indeed its use in hepatitis C infections has already been approved in a number of countries.

## 7. *Conclusion*

When the interferons were discovered in 1957, it seemed to some that they would prove as successful for the treatment of viral infections as the antibiotics had been in the realm of bacterial diseases. Such hopes have been disappointed as far as acute viral infections are concerned, probably because treatment cannot be started in time. In contrast, as discussed in the preceding sections, IFN $\alpha$  preparations have proved of considerable value for the treatment of certain chronic viral infections affecting millions of people. Although much still remains to be learned about how and when they are

best administered in each condition, there seems no doubt that in the years to come, IFN $\alpha$  will be used with benefit in an increasing number of patients.

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