



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

Journal Pre-proof

The relationship between pragmatism, timing, and study size on impact of treatment trials: a qualitative, hypothesis generating study of systemic corticosteroids for COVID-19

Aileen Liang, Katrina Domenica Cirone, Xiaoxiao (Daisy) Deng, Merrick Zwarenstein, MBBCh, PhD

PII: S0895-4356(22)00239-6

DOI: <https://doi.org/10.1016/j.jclinepi.2022.09.018>

Reference: JCE 10921

To appear in: *Journal of Clinical Epidemiology*

Received Date: 5 January 2022

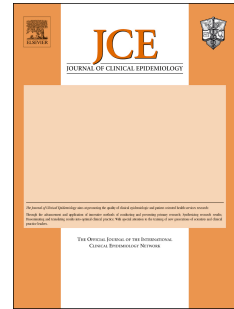
Revised Date: 15 September 2022

Accepted Date: 30 September 2022

Please cite this article as: Liang A, Cirone KD, Deng X(D), Zwarenstein M, The relationship between pragmatism, timing, and study size on impact of treatment trials: a qualitative, hypothesis generating study of systemic corticosteroids for COVID-19, *Journal of Clinical Epidemiology* (2022), doi: <https://doi.org/10.1016/j.jclinepi.2022.09.018>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2022 Published by Elsevier Inc.



1 *The relationship between pragmatism, timing, and study size on impact of treatment trials: a*
2 *qualitative, hypothesis generating study of systemic corticosteroids for COVID-19*

3
4 Aileen Liang^{1*}; Katrina Domenica Cirone^{1*}; Xiaoxiao (Daisy) Deng^{1*}; Merrick Zwarenstein^{2,3**},
5 MBBCh, PhD

6 ¹*Medical students, Schulich School of Medicine and Dentistry, The University of Western*
7 *Ontario, London, ON, Canada.*

8 ²*Department of Family Medicine, Centre for Studies in Family Medicine, Schulich School of*
9 *Medicine & Dentistry, The University of Western Ontario, London, ON, Canada.*

10 ³*Department of Epidemiology and Biostatistics, Schulich School of Medicine and Dentistry, The*
11 *University of Western Ontario, London, ON, Canada.*

12
13
14 **These authors contributed equally to this work*

15 ***Corresponding author: Merrick Zwarenstein, Department of Family Medicine, Centre for*
16 *Studies in Family Medicine, Schulich School of Medicine & Dentistry, Western University,*
17 *1151 Richmond Street, London, Ontario N6A 3K7, Canada. Electronic address:*

18 *merrick.zwarenstein@ices.on.ca. Phone: 1-647-284-5052*

19
20
21
22
23 ***Abstract***

24 **Objective** — To explore qualitatively the relationship between selected trial design choices and
25 proxies for scientific and clinical uptake in a cohort of published randomized controlled trials
26 (RCTs) of corticosteroids for COVID-19, to identify design characteristics that may result in
27 trials with potential to eliminate equipoise, achieve uptake and help reduce research waste.

28 **Study Design & Setting** — A systematic literature search and qualitative, narrative review of
29 published RCTs (up to April 13, 2021) evaluating the effectiveness of systemic corticosteroids in
30 treatment of COVID-19. We extracted information on sample size, number of centres, single or
31 multi-country conduct, dates of initiation and of publication, risk of bias and pragmatism scores,
32 and also on impact measured by citation in scientific literature and in clinical guidelines. We
33 qualitatively compared design features of the highest impact versus other trials.

34 **Results**— RECOVERY was by the most impactful of the seven eligible RCTs as it was 10 times
35 more frequently cited in peer-reviewed literature and influenced all the selected COVID-19
36 treatment guidelines. All trials started recruiting from similar dates. RECOVERY was a single
37 country, multi-centre platform trial at low risk of bias, features which also fail to distinguish it
38 from the other trials. RECOVERY was distinguished by more strongly pragmatic design
39 features, more centres, and more rapid recruitment resulting in a larger sample size, and early
40 publication.

41 **Conclusion** — Higher pragmatism scores may contribute to recruiting more centres and more
42 rapid recruitment of patients at each centre, leading to larger size, earlier publication, and greater
43 scientific and guideline uptake. By eliminating equipoise RECOVERY rendered other
44 simultaneous trials redundant. Further work is needed to confirm these findings in a larger
45 quantitative study and to identify the individual contribution of each characteristic of pragmatism
46 to conduct and impact of trials, and their interaction in different national contexts. Until then

47 research waste might be reduced by designing trials with as many of the characteristics of
48 RECOVERY as is feasible.

49 **Keywords** — Corticosteroids, COVID-19, PRECIS-2, Randomized controlled trial

50 **Abstract Word Count:** 317

51

52 **What is new?**

- 53 • RECOVERY is a large multicenter, single country, platform randomized trial of several
54 treatments repurposed for COVID-19 care. The sub-trial of corticosteroids had a low risk
55 of bias and highly pragmatic design features that facilitated wide implementation and
56 rapid recruitment. This trial was cited ten times more often than the next most cited trial
57 and relied on in all the prominent guidelines we reviewed, changing clinical practice
58 globally. It eliminated equipoise, rendering redundant the other simultaneous trials of
59 steroids that lacked one or more of these features. This research waste should be reduced.
- 60 • Large, pragmatic, unbiassed, single country platform trials of repurposed drugs and
61 interventions, covering different potential pandemic conditions and multiple treatments,
62 for a range of sociodemographic situations and healthcare capacities could be a valuable
63 investment in readiness for future pandemics, resulting in trials with greater scientific and
64 clinical impact and less research waste.
- 65 • Generic protocols for trials aimed at each kind of pandemic threat could be prepared, in
66 advance, by a multi-national consortium of research agencies and public health bodies.
67 Each participating country team could adapt the generic protocol and maintain
68 preapprovals from their ethics and health system committees in their own country. This
69 could ensure rapid publication of a set of trials, tailored for local applicability, designed

70 prospectively for metanalysis, and could speed study closure, saving lives and
71 eliminating the research waste arising from a flurry of uncoordinated trials.

72

73

74

75

76

77

78

79

80 *The relationship between pragmatism, timing, and study size on impact of treatment trials: a*
81 *qualitative, hypothesis generating study of systemic corticosteroids for COVID-19*

82 **1. Introduction**

83 The novel SARS-CoV-2 (COVID-19) virus, detected in November of 2019, has quickly
84 caused a global pandemic with a huge public health burden[1]. Because of the long timeline for
85 producing new tailored pharmaceuticals, many researchers focused on repurposing existing
86 medications for treatment of COVID-19 infection [2,3]. From November 2019 to December
87 2021, over 2,500 interventional trials were registered on ClinicalTrials.gov evaluating
88 interventions for COVID-19[4]. Fatality from COVID-19 infection appeared to be partly an
89 immune system overreaction and thus trials of anti-inflammatory treatments, such as
90 corticosteroids, were a priority[4,5]. Corticosteroids have previously been used to mitigate severe
91 organ injuries in other viral pneumonias, but the initial recommendations for using
92 corticosteroids for COVID-19 were uncertain as small-scale, non-randomized studies

93 demonstrated contradictory results [6–8]. This uncertainty drove some to use more rigorous
94 methods to assess the effectiveness of steroids for COVID-19[2,3]. Due to their high internal
95 validity randomized-controlled trials (RCTs) are considered the gold standard among designs for
96 evaluation of interventions in healthcare[9]. The basis of an RCT is random assignment of
97 participants into experimental and control groups with allocation concealment which, within the
98 bounds of chance, helps to balance the characteristics of the groups between arms at baseline.
99 Unless biases arise during the trial, the outcomes are attributable to differences in
100 intervention[10].

101 The urgent circumstances of the COVID-19 pandemic provides a unique opportunity for
102 our examination of design determinants of trial impact: a large number of RCTs on the same
103 drug treatment for a single disease, thus likely to share a common effect size, all trials conducted
104 at the same time, in different health systems but all under similar pressure, and with urgent
105 demand for clinical guidance, allowing the impacts to be measured by citations and incorporation
106 into rapidly produced national and international treatment guidelines. This allowed us to assess
107 how trial design features contribute to impact, reducing the differences in disease, drug, timing
108 or other factors that would be common in comparisons between RCTs. In this hypothesis
109 generating study, we analyze published RCTs of corticosteroid use for COVID-19 management
110 to identify design features that may explain their clinical and scientific impact, with the aim of
111 guiding future trial design.

112 We assessed study size, number of centres, single or multi-country conduct, dates of initiation
113 and of publication, known predictors of impact [27]. We also assessed two measures not
114 previously shown to predict impact, RoB-2 scores and PRECIS-2 scores. These are widely used
115 measures of internal and external validity respectively, the two main vulnerabilities in trial

116 design, which we believe may affect uptake of RCT findings. Each trial was assessed on its
117 scientific impact and clinical impact, determined from the number of article citations generated
118 and number of guideline citations respectively.

119

120

121 **2. Materials and Methods**

122

123 *2.1 Methodology Overview*

124 This study was based on a systematic search to ensure all relevant trials were included;
125 the analysis of the included studies was qualitative, comparing characteristics of the highest
126 impact trial with less influential trials.

127

128

129 *2.2 Search Strategy*

130 A systematic review of the literature was performed by searching the databases
131 MEDLINE, EMBASE, Scopus, and Cochrane up until April 13, 2021. The search strategy
132 included database-specific keywords and Medical Subject Headings (MeSH) terms (Appendix
133 A1). Studies were limited to those performed on human subjects. No limitations were placed on
134 the publication date, language or geographic location.

135

136 *2.3 Inclusion/exclusion criteria*

137 Studies had to employ an RCT design to investigate the use of one or more systemic
138 corticosteroids to treat a COVID-19 infection or a COVID-19-induced condition in human

139 participants. Studies that were not randomized or involved non-human participants, were
140 excluded. Platform trials testing several interventions were included if one or more of these was
141 a systemic corticosteroid.

142

143 *2.4 Study Selection and Screening*

144 The studies obtained through database searches were imported into Covidence Systematic
145 Review Software (Covidence, Veritas Health Innovation, Melbourne, Australia)[11]. The
146 systematic screening process was performed independently by three reviewers (KDC, DD, and
147 AL) which involved an initial title and abstract screen followed by full-text screen(see PRISMA
148 flow diagram, Appendix B, Figure B.1). Disagreements were resolved by consensus meetings.

149

150 *2.5 Data Extraction and Consensus Generation*

151 Standardized data extraction was completed independently by three reviewers (KDC,
152 DD, and AL). The extracted data was: author(s), dates of trial initiation, and of publication, the
153 number of trial participants, the location and number of centers. Internal validity was assessed
154 using the Cochrane RoB 2.0 tool[12] and external validity was quantified using the PRECIS-2
155 tool[13], by all three reviewers. Discrepancies were resolved through consensus.

156

157 *2.6 PRECIS-2 (External Validity)*

158 The second version of PRagmatic Explanatory Continuum Indicator Summary (PRECIS-
159 2)[13] tool was used to assess the trial design. The scoring system of PRECIS-2 is composed of
160 nine domains - Eligibility, Recruitment, Setting, Organization, Flexibility (delivery), Flexibility
161 (adherence), Follow-up, Primary Outcome, Primary Analysis - scored from 1 (very explanatory)

162 to 5 (very pragmatic).. All three reviewers independently scored each included RCT using the
163 PRECIS-2 tool. Any discrepancies in scores for a PRECIS-2 domain were resolved during a
164 consensus meeting with the codeveloper of the tool (MZ). The final consensus score for each
165 domain was used to generate the PRECIS-2 wheel for each included study using [http://precis-](http://precis-2.org/)
166 [2.org/](http://precis-2.org/).

167

168 *2.7 Risk of Bias Assessment (Internal Validity)*

169 The second version of the Cochrane risk-of-bias tool for randomized trials (RoB-2)[12]
170 was used to assess the risk of bias in all studies analyzed in this paper. Included studies were
171 assessed independently by all three reviewers (KDC, DD, and AL) and disagreements were
172 resolved by consensus meetings. RoB-2 assesses bias in the following five domains: 1) risk of
173 bias arising from the randomization process, 2) bias due to deviations from intended
174 interventions, 3) bias due to missing outcome data, 4) bias in measurement of the outcome, and
175 5) bias in selection of the reported result[14].An algorithm consisting of a series of signalling
176 questions leads to an assignment of “high risk,” “some concerns,” or “low risk,” for each domain
177 and an overall risk-of-bias judgment.

178

179 *2.8 Assessment of Impact and Importance*

180 Completed trials were ranked based on both their clinical impact and scientific impact.
181 Scientific impact was indicated by the number of “cited by” articles on PubMed at time of data
182 extraction, while clinical impact was defined by the number of major national and transnational
183 clinical guidelines for COVID-19 that cited the trial findings. To obtain these rankings,
184 information regarding the number of citations in Pubmed and citation in prominent national and

185 international clinical guidelines for COVID-19 (European Medicines Agency (EMA)[15], UK
186 National Health Service (NHS)[16], UK National Institute for Health and Care Excellence
187 (NICE)[17], US National Institute of Health (NIH)[18], World Health Organization
188 (WHO)[19])) were extracted for each study.

189

190 **3. Results**

191

192 *3.1 Search Results*

193 Following the completion of the systematic search and the removal of duplicates, 443
194 unique articles were identified and screened. After level one screening, 58 studies progressed to a
195 full text screen after which sixteen articles remained. Interobserver agreement was good.
196 Cohen's kappa (K) coefficient for screening and full-text review of 0.72 and 0.87 respectively.
197 Of these sixteen studies, only seven had been published in peer-reviewed journals and were
198 eligible for this analysis. The literature search is summarized in the PRISMA flow diagram (see
199 Appendix A, Figure A.1).

200

201 *3.2 Trial Characteristics*

202 We extracted data from seven studies: Horby et al, the RECOVERY trial[20], Angus et
203 al, the REMAP-CAP trial[21], Tomazini et al, the CoDEX trial[22], Dequin et al, the CAPE
204 COVID trial[23], trial by Edalatifard et al.[24], trial by Jamaati et al.[25], and trial by Tang et
205 al.[26] The characteristics of the seven selected studies are provided in Table 1 (ordered by
206 study size).

207

208 **Table 1.** Extracted characteristics for included articles.

Study, centres, country	Patient Recruitment	Publication Date	Size (N)	Pragmatism: 8 domains/40*	RoB **
Horby et al. 2021[20] 176 centres, UK	March 19 to June 8, 2020	July 17, 2020 (Preliminary report) Feb 25, 2021	6420	39	Low
Angus et al., 2020[21] 121 centres, International**	March 9 to June 17, 2020	Sept 2, 2020	614	30	Some
Tomazini et al. 2020[22] 41 centres, Brazil	April 17 to June 23, 2020	Oct 2, 2020	299	21	Some
Dequin et al. 2020[23] 33 centres, France	March 7 to July 3, 2020	Sept 2, 2020	149	28	Low
Edalatifard et al. 2020[24] 2 centres, Iran	April 20 to June 20, 2020	Sept 7, 2020	68	33	Low
Jamaati et al. 2021[25] 1 centre, Iran	March 2020, for 28 days	Feb 16, 2021	50	34	Some
Tang et al. 2021[26] 7 centres, China	Feb. 14 to March 31, 2020	Jan 22, 2021	86	29	Some

209 *3.2.1 Recruitment and publication dates*

210 Based on participant recruitment, Tang et al. was the earliest trial and began recruiting on
211 Feb 15, 2020. Jamaati et al., Horby et al. (the RECOVERY trial), Dequin et al., and Angus et al.,
212 all started recruitment in March of 2020 followed by Tomazini et al. and Edalatifard et al., in
213 April of 2020. The start dates, unsurprising given the pandemic, were within one or two months

214 of each other, but Horby et al. published their preliminary report first, in July 2020, followed by
215 Angus et al., Dequin et al., and Edalatifard et al., in September 2020., Tomazini et al. in October
216 2020 and Tang et al., and Jamaati et al. in January and February of 2021 respectively. The final
217 result from Horby et al. was also published February 2021.

218

219 *3.2.2 Size and centres*

220 Horby et al. (176 centres in the United Kingdom, n = 6420, 36 patients per centre) recruited by
221 far the most participants (10 times more patients and seven times more patients per centre than
222 the next largest trial, by Angus et al. (121 centres in Australia, Canada, France, Ireland,
223 Netherlands, New Zealand, the United Kingdom, and the United States of America, n = 614).
224 The remaining five trials were single country and much smaller; Dequin et al. (33 centres in
225 France, n = 149), Edalatifard et al. (2 centres in Iran, n = 68), Jamaati et al. (1 centre in Iran, n =
226 50), Tang et al. (7 centres in China, n = 86), and Tomazini et al. (Brazil, n = 299).

227

228 *3.2.3 Internal and external validity*

229 Internal validity was assessed with the Cochrane RoB 2.0 tool. Dequin et al., Edalatifard
230 et al., and Horby et al. each had a 'low' overall risk of bias score. The trials by Angus et al.,
231 Jamaati et al., Tang et al., and Tomazini et al. were classified as having 'some' risk of bias. See
232 Appendix B, Table B.1.

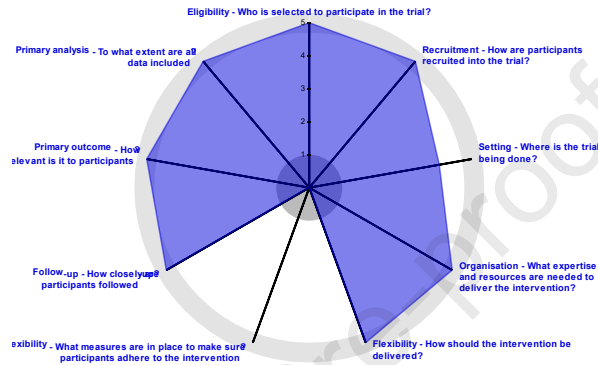
233 Eight of the nine domains of PRECIS-2 were scored: flexibility (adherence) was not
234 scored as these trials tested a hospital administered drug with no patient adherence component.
235 Eligibility, recruitment, setting, organisation, flexibility (delivery), follow-up, primary outcome,
236 and primary analysis were independently scored for each trial. The final consensus scores

237 between all three reviewers are shown in Appendix B, Table B.2 and the associated PRECIS-2
 238 wheels are shown in Figure 1.

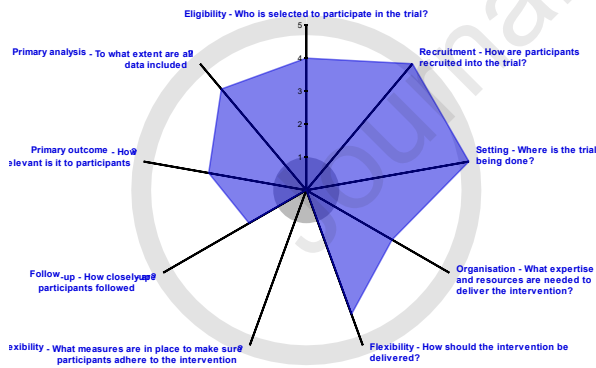
239

240 **Figure 1.** PRECIS-2 Score Wheel

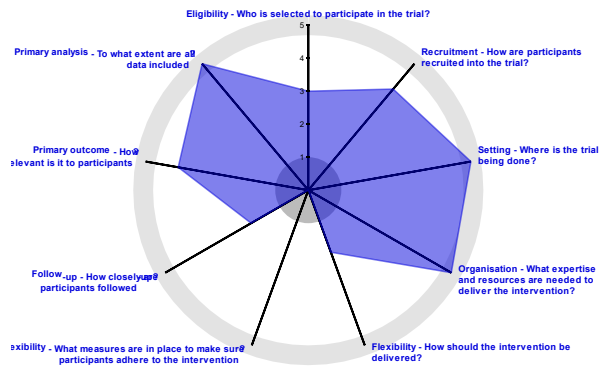
Horby et al. 2021[20]



Angus et al. 2020[21]

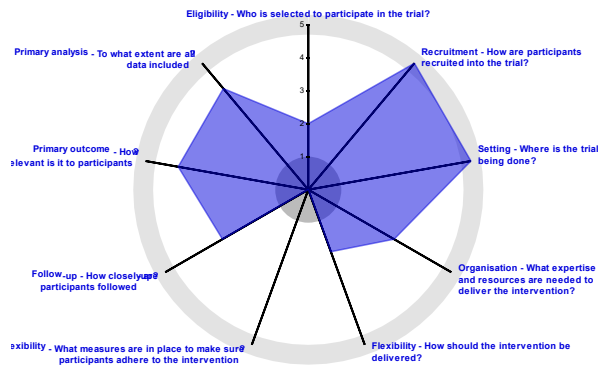


Tomazini et al. 2020[22]

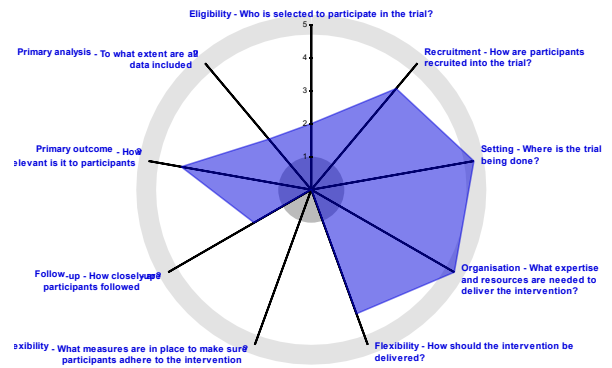


Dequin et al. 2020[23]

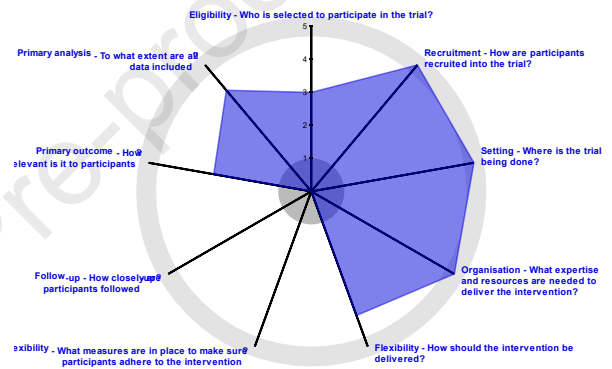
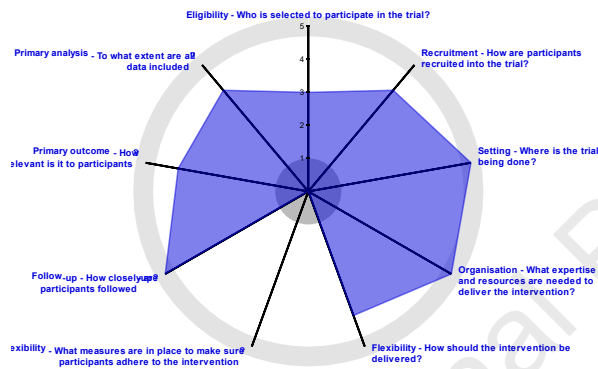
Edalatfard et al. 2020[24]



Jamaati et al. 2021[25]



Tang et al. 2021[26]



241 The RECOVERY trial (Horby et al.) had the highest overall PRECIS-2 score (most
 242 pragmatic design features) with even distribution across all domains, suggesting that the
 243 designers employed a consistently pragmatic approach to their trial design. In contrast, other
 244 trials all had at least one domain that scored 3 or lower, suggesting a less consistent intention
 245 towards pragmatism.

246

247 3.3 Assessment of Impact

248 The impact of each trial was assessed based on its scientific impact and clinical impact,
 249 which were determined from the number of article citations generated and number of guideline
 250 citations respectively. Extracted values can be found in Table 2.

251

252 **Table 2.** Extracted characteristics indicative of impact for included articles.

Study	Corticosteroid	Citations*	Influenced Guidelines
Horby et al. 2021[20]	Dexamethasone	1887	EMA, NHS, NICE, NIH, WHO
Angus et al., 2020[21]	Hydrocortisone	130	NHS, NICE, WHO
Tomazini et al. 2020[22]	Dexamethasone	188	NHS, NICE, WHO
Dequin et al. 2020[23]	Hydrocortisone	75	NHS, NICE, WHO
Edalatifard et al. 2020[24]	Methylprednisolone	35	NICE
Jamaati et al. 2021[25]	Dexamethasone	1	-
Tang et al. 2021[26]	Methylprednisolon	2	-

European Medicines Agency (EMA); National Health Service (NHS); National Institute for Health and Care Excellence (NICE); National Institute of Health (NIH); and the World Health Organization (WHO).

* Pubmed was used to identify citations for all trials.

253

254 As of March 27, 2021, the trial by Horby et al. was cited by 1887 other articles, which
 255 was over ten times as many citations as the next most cited RCT included in our study, thus
 256 establishing it as the most important paper based on this marker. The trials conducted by
 257 Tomazini et al. and Angus et al. were the second and third most cited trials respectively, each
 258 with over 100. Next, the trial by Dequin et al. and Edalatifard et al. had 75 and 35 citations
 259 respectively followed by Tang et al. and Jamaati et al. with 2 and 1 citations respectively.

260 The trial by Horby et al. was the only trial that influenced all five selected guidelines,
 261 hence its designation as the most impactful trial by this measure. The trials conducted by
 262 Tomazini et al., Sequin et al., and Angus et al. were referenced only by three treatment
 263 guidelines, published by the NHS, NICE, and WHO. The trial conducted by Edalatifard et al.
 264 influenced the NICE treatment guideline. Finally, the trials conducted by Jamaati et al. and Tang
 265 et al. 2021 were not cited by any of the selected treatment guidelines.

266 Based on these criteria, the RECOVERY trial (Horby et al.) was the most impactful (see
267 Appendix B, Table B.3).

268

269 **4. Discussion**

270

271 4.1 Conclusion

272 We assessed start of recruitment, publication timing, and study size, all of which are
273 known predictors of impact [27] and easy to extract. We also assessed RoB-2 scores and
274 PRECIS-2 scores, not previously reported as being associated with impact. We used the number
275 of major guidelines influenced (as a proxy for clinical impact) and number of literature citations
276 (as a proxy for scientific impact) because they are straight-forward, easily accessible, and
277 accurate measures of the influence of a trial on scientific and clinical decision making.

278 The principal finding of our study was that the RECOVERY trial by Horby et al was
279 dominant in impact compared to any of the other six: RECOVERY was the only trial mentioned
280 in all of the five guidelines reviewed and had ten-fold more scientific citations than the next most
281 cited. It was uniquely strong in level of pragmatism, large sample size, number of centres, and
282 early publication, but was similar to others in start date for recruitment and risk of bias.

283 Sample size is known to affect impact [28–30], also supported by our findings. Timing
284 of publication is critical as early publication of RECOVERY’s preliminary finding, resulted in
285 loss of equipoise and early termination of the corticosteroid arm in Angus et al (REMAP-CAP)
286 [21], Tommazini et al (CoDEX)[22], and Dequin et al (CAPE COVID) [23], with research
287 waste, a problem in biomedical research[32–35].

288 All these trials started within weeks of each other, so early start-up could not have
289 advantaged RECOVERY but is obviously necessary for early publication. Four trials were at
290 “low” or (next category up) “some” risk (three trials) for bias, a truncated range suggesting that
291 thorough understanding of bias prevention is now widespread and while necessary for
292 interpretability, is not sufficient to ensure early publication and impact. Similarly, all but one
293 trial had multiple centres, necessary but not sufficient to rapidly recruit large numbers of
294 patients.

295 This suggests that co-occurrence of the unique features may be responsible for the higher
296 impact of this trial. We therefore hypothesize that the differences between RECOVERY and the
297 other trials that may have enabled rapid recruitment and its early publication lie in its pragmatic
298 design features (inclusive recruitment, common clinical outcomes, no restrictions on usual
299 clinical care other than randomization, minimal monitoring, usual care comparators, minimal
300 extra data collection beyond what is needed for clinical care). These features underly a simple
301 trial design, with no distortion of the usual clinical flow and needing few extra resources, which
302 was easier to integrate into the everyday clinical context. This in turn allowed more centres to
303 join the RECOVERY trial and improved recruitment yield per centre, leading to faster
304 recruitment and thus early publication. High levels of pragmatism may also have improved
305 confidence in the applicability of the trial findings and added to uptake of RECOVERY findings
306 into clinical guidelines[31].

307 Of course other issues may also have contributed to the greater impact of RECOVERY.
308 The value of large platform trials is supported by reviews analyzing existing RCTs for non-
309 epidemic conditions such as vitamin D for infectious diseases[38] and can also be seen in the
310 protocol of the BEAT-CF platform trial of multiple treatments for exacerbation of cystic

311 fibrosis[39]. The platform design of the RECOVERY trial allowed simultaneous testing of
312 multiple other COVID-19 treatments, which eliminated the need to set up separate RCTs for
313 each one [27] thus adding to the attractiveness of the unified single funding proposal. However,
314 this design feature does not directly contribute to the impact of the trial in relation to
315 corticosteroids themselves, although it undoubtedly reduces the cost and effort for testing each of
316 the interventions in the platform. Platform trials should thus be used where possible, with the
317 caution that they depend on sophisticated statistical skills in both design and analysis. Where the
318 scarcity of this level of statistical skills makes this unfeasible, simpler parallel arm pragmatic,
319 multicenter RCTs will still achieve worthwhile findings quickly, albeit one intervention at a time
320 (or a few, in multi-arm trials).

321

322 4.2 Recommendations

323 It took four months after the first acknowledgement of the pandemic for RECOVERY to
324 be launched and a further four months for that trial to report its initial findings, which changed
325 medical care outcomes for hospitalized patients with COVID-19 around the world. Had this trial
326 been launched on recognition of the pandemic, 4 months of death and disability for many
327 patients could have been avoided. How might we eliminate that four month delay in providing
328 evidence based care for the next pandemic illness?

329 Some might argue that for a question as important as care of patients in a pandemic,
330 where many thousands of very ill patients would be cared for based on evidence from a single
331 trial, confirmation in one or two other independent trials is reassuring, especially if conducted
332 in different settings. We agree but note that even if equipoise is not lost, forcing their closure,
333 these other trials might be poorly designed and contribute only low-quality evidence that

334 confuses clinical decision making [36], as seen with trials of ivermectin for COVID-19 whose
335 results did not impact clinical management[37].

336 We therefore recommend that for epidemic and pandemic situations, where evidence
337 based decision support is urgently needed, important choices between interventions should be
338 made using multiple, simultaneous, large, multi-centre pragmatic randomized trials using shared
339 protocols. Since ethics and logistics procedures are difficult to co-ordinate between countries,
340 we recommend that several such trials should always be launched simultaneously, each
341 conducted by a separate team in their own country. Organizations with international reach, such
342 as WHO and the Gates foundation could facilitate the preparation of shared protocols with each
343 participating country offering different socio- economic and health care delivery systems.
344 Prospectively planned meta-analyses combining these studies could produce evidence applicable to
345 a wide range of settings, with subgroup analyses that would answer important secondary
346 questions. These protocols should be developed co-operatively, with many shared elements,
347 especially pragmatic features such as use of inclusive inclusion and subgroup definitions,
348 common clinical case definitions, reduced data collection through reduced monitoring, clinically
349 ascertainable and/or widely available test measures, simple primary outcomes, usual care
350 comparators, and simplified trial procedures.

351 The protocols should be prepared in advance of any pandemic, with several generic protocols,
352 each appropriate for one of the expected kinds and routes of spread of pandemic illness. These
353 generic protocols, each specific to an expected type of pandemic, could be prepared, maintained
354 and updated centrally, working closely with each national team. In the event of a pandemic, the
355 most appropriate generic protocol could be centrally adapted to the specifics of the actual
356 pandemic agents, adapted in each country to their own needs and launched in several countries

357 simultaneously, early in the pandemic. A pragmatic approach to design of these trials may avoid
358 the usual ponderousness of research and rapidly inform global clinical practice in a pandemic
359 [40].

360 4.3 Study strengths and limitations

361 When a pandemic arises, which interventions should be evaluated? The RECOVERY trial
362 provided a good model by studying repurposing of widely used and readily available
363 medications that could be easily accessed by most health systems all over the world[41].

364 There are several strengths to our study. First, our screen yielded a high Cohen's kappa
365 coefficient suggesting high inter-rater reliability and minimal risk of selection bias[42]. Another
366 strength is that we compared trials examining the same treatment for the same indication during
367 the same time period, in a global pandemic panic. Therefore, we were able to hold constant many
368 factors, such as whether or not the study yielded positive results, different treatments, different
369 time periods, different health system and disease contexts, and focus only on trial design
370 characteristics.

371 Our study has limitations. Due to the limited number of clinical trials examining the
372 effect of corticosteroids in COVID-19 patients, our study is a small qualitative analysis rather
373 than a large metaanalysis, which limits us to hypothesis generation rather than causal attribution
374 [43]. As well, we chose as our proxy for clinical impact the number of prominent national or
375 international guidelines influenced but ideally we would have measured this directly with
376 prescribing data or interviews with clinicians. Unfortunately this prescribing data is only
377 available with a substantial time lag, and interviews were not within the scope of a student
378 project.

379 Overall, our finding of the importance (and interaction) of pragmatism, size and timing
380 points to trial design characteristics that future trial makers might find helpful to maximize the
381 clinical and policy utility of their trials. Future research on this question should use more
382 definitive outcomes for impact, should quantify the relationship between impact and potential
383 study design correlates, and study this question also for non-epidemic situations[39].

384

385 Word Count: 3363 (excluding headings and figures)

386

387 **Acknowledgements**

388 **Funding:** This research did not receive any specific grant from funding agencies in the public,
389 commercial, or not-for-profit sectors.

390 **Conflicts of interest/Competing interests:** Not applicable

391 **Compliance with Ethical Standards:** All data was abstracted from the published literature and
392 therefore ethics approval was unnecessary.

393

394 **CRedit Author Statement**

395 **Aileen Liang:** Conceptualization, Methodology, Investigation, Analysis, Interpretation, Data
396 curation, Writing - Original draft preparation, Writing - Review & Editing, Visualization.

397 **Katrina Domenica Cirone:** Conceptualization, Methodology, Investigation, Analysis,

398 Interpretation, Data curation, Writing - Original draft preparation, Writing - Review & Editing,

399 Visualization. **Xiaoxiao (Daisy) Deng:** Conceptualization, Methodology, Investigation,

400 Analysis, Interpretation, Data curation, Writing- Original draft preparation, Writing - Review &

401 Editing, Visualization. **Merrick Zwarenstein:** Conceptualization, Methodology, Investigation,

402 Analysis, Interpretation, Writing - Review & Editing, Visualization, Supervision.

403

404 Reference

- 405 [1] Velavan TP, Meyer CG. The COVID-19 epidemic. *Trop Med Int Health* 2020;25:278–80.
406 <https://doi.org/10.1111/tmi.13383>.
- 407 [2] Cao X. COVID-19: immunopathology and its implications for therapy. *Nat Rev Immunol*
408 2020;1–2. <https://doi.org/10.1038/s41577-020-0308-3>.
- 409 [3] Li T, Lu H, Zhang W. Clinical observation and management of COVID-19 patients. *Emerg*
410 *Microbes Infect* 2020;9:687–90. <https://doi.org/10.1080/22221751.2020.1741327>.
- 411 [4] Huang C, Wang Y, Li X, Ren L, Zhao J, Hu Y, et al. Clinical features of patients infected
412 with 2019 novel coronavirus in Wuhan, China. *Lancet Lond Engl* 2020;395:497–506.
413 [https://doi.org/10.1016/S0140-6736\(20\)30183-5](https://doi.org/10.1016/S0140-6736(20)30183-5).
- 414 [5] Cheng PK, Wong DA, Tong LK, Ip S-M, Lo AC, Lau C-S, et al. Viral shedding patterns of
415 coronavirus in patients with probable severe acute respiratory syndrome. *Lancet Lond Engl*
416 2004;363:1699–700. [https://doi.org/10.1016/S0140-6736\(04\)16255-7](https://doi.org/10.1016/S0140-6736(04)16255-7).
- 417 [6] Ye Z, Wang Y, Colunga-Lozano LE, Prasad M, Tangamornsuksan W, Rochweg B, et al.
418 Efficacy and safety of corticosteroids in COVID-19 based on evidence for COVID-19,
419 other coronavirus infections, influenza, community-acquired pneumonia and acute
420 respiratory distress syndrome: a systematic review and meta-analysis. *CMAJ Can Med*
421 *Assoc J J Assoc Medicale Can* 2020;192:E756–67. <https://doi.org/10.1503/cmaj.200645>.
- 422 [7] Corral-Gudino L, Bahamonde A, Arnaiz-Revillas F, Gómez-Barquero J, Abadía-Otero J,
423 García-Ibarbia C, et al. GLUCOCOVID: A controlled trial of methylprednisolone in adults
424 hospitalized with COVID-19 pneumonia. 2020.
425 <https://doi.org/10.1101/2020.06.17.20133579>.
- 426 [8] Russell CD, Millar JE, Baillie JK. Clinical evidence does not support corticosteroid
427 treatment for 2019-nCoV lung injury. *The Lancet* 2020;395:473–5.
428 [https://doi.org/10.1016/S0140-6736\(20\)30317-2](https://doi.org/10.1016/S0140-6736(20)30317-2).
- 429 [9] Hariton E, Locascio JJ. Randomised controlled trials – the gold standard for effectiveness
430 research. *BJOG Int J Obstet Gynaecol* 2018;125:1716–1716. <https://doi.org/10.1111/1471-0528.15199>.
- 431
- 432 [10] Jaillon P. [Controlled randomized clinical trials]. *Bull Acad Natl Med* 2007;191:739–56;
433 discussion 756-758.
- 434 [11] Covidence - Better systematic review management n.d. <https://www.covidence.org/>
435 (accessed August 27, 2021).
- 436 [12] Sterne JAC, Savović J, Page MJ, Elbers RG, Blencowe NS, Boutron I, et al. RoB 2: a
437 revised tool for assessing risk of bias in randomised trials. *BMJ* 2019;366:14898.
438 <https://doi.org/10.1136/bmj.14898>.
- 439 [13] Loudon K, Treweek S, Sullivan F, Donnan P, Thorpe KE, Zwarenstein M. The PRECIS-2
440 tool: designing trials that are fit for purpose. *BMJ* 2015;350:h2147.
441 <https://doi.org/10.1136/bmj.h2147>.
- 442 [14] Cochrane Handbook for Systematic Reviews of Interventions n.d.
443 <https://training.cochrane.org/handbook/current> (accessed August 27, 2021).

- 444 [15] CZARSKA-THORLEY D. EMA endorses use of dexamethasone in COVID-19 patients on
445 oxygen or mechanical ventilation. Eur Med Agency 2020.
446 [https://www.ema.europa.eu/en/news/ema-endorses-use-dexamethasone-covid-19-patients-](https://www.ema.europa.eu/en/news/ema-endorses-use-dexamethasone-covid-19-patients-oxygen-mechanical-ventilation)
447 [oxygen-mechanical-ventilation](https://www.ema.europa.eu/en/news/ema-endorses-use-dexamethasone-covid-19-patients-oxygen-mechanical-ventilation) (accessed August 27, 2021).
- 448 [16] NHS England » COVID-19 therapy: corticosteroids including dexamethasone and
449 hydrocortisone n.d. [https://www.england.nhs.uk/publication/covid-19-therapy-](https://www.england.nhs.uk/publication/covid-19-therapy-corticosteroids-including-dexamethasone-and-hydrocortisone/)
450 [corticosteroids-including-dexamethasone-and-hydrocortisone/](https://www.england.nhs.uk/publication/covid-19-therapy-corticosteroids-including-dexamethasone-and-hydrocortisone/) (accessed August 27, 2021).
- 451 [17] COVID-19 rapid guideline: managing the long-term effects of COVID-19 (NG188):
452 Evidence review 5: interventions. London: National Institute for Health and Care
453 Excellence (UK); 2020.
- 454 [18] Corticosteroids. COVID-19 Treat Guidel n.d.
455 [https://www.covid19treatmentguidelines.nih.gov/therapies/immunomodulators/corticosteroi-](https://www.covid19treatmentguidelines.nih.gov/therapies/immunomodulators/corticosteroids/)
456 [ds/](https://www.covid19treatmentguidelines.nih.gov/therapies/immunomodulators/corticosteroids/) (accessed August 27, 2021).
- 457 [19] Corticosteroids for COVID-19 n.d. [https://www.who.int/publications-detail-redirect/WHO-](https://www.who.int/publications-detail-redirect/WHO-2019-nCoV-Corticosteroids-2020.1)
458 [2019-nCoV-Corticosteroids-2020.1](https://www.who.int/publications-detail-redirect/WHO-2019-nCoV-Corticosteroids-2020.1) (accessed August 27, 2021).
- 459 [20] RECOVERY Collaborative Group, Horby P, Lim WS, Emberson JR, Mafham M, Bell JL,
460 et al. Dexamethasone in Hospitalized Patients with Covid-19. *N Engl J Med* 2021;384:693–
461 704. <https://doi.org/10.1056/NEJMoa2021436>.
- 462 [21] Angus DC, Derde L, Al-Beidh F, Annane D, Arabi Y, Beane A, et al. Effect of
463 Hydrocortisone on Mortality and Organ Support in Patients With Severe COVID-19: The
464 REMAP-CAP COVID-19 Corticosteroid Domain Randomized Clinical Trial. *JAMA*
465 2020;324:1317–29. <https://doi.org/10.1001/jama.2020.17022>.
- 466 [22] Tomazini BM, Maia IS, Cavalcanti AB, Berwanger O, Rosa RG, Veiga VC, et al. Effect of
467 Dexamethasone on Days Alive and Ventilator-Free in Patients With Moderate or Severe
468 Acute Respiratory Distress Syndrome and COVID-19: The CoDEX Randomized Clinical
469 Trial. *JAMA* 2020;324:1307–16. <https://doi.org/10.1001/jama.2020.17021>.
- 470 [23] Dequin P-F, Heming N, Meziani F, Plantefève G, Voiriot G, Badié J, et al. Effect of
471 Hydrocortisone on 21-Day Mortality or Respiratory Support Among Critically Ill Patients
472 With COVID-19: A Randomized Clinical Trial. *JAMA* 2020;324:1298–306.
473 <https://doi.org/10.1001/jama.2020.16761>.
- 474 [24] Edalatifard M, Akhtari M, Salehi M, Naderi Z, Jamshidi A, Mostafaei S, et al. Intravenous
475 methylprednisolone pulse as a treatment for hospitalised severe COVID-19 patients: results
476 from a randomised controlled clinical trial. *Eur Respir J* 2020;56.
477 <https://doi.org/10.1183/13993003.02808-2020>.
- 478 [25] Jamaati H, Hashemian SM, Farzanegan B, Malekmohammad M, Tabarsi P, Marjani M, et
479 al. No clinical benefit of high dose corticosteroid administration in patients with COVID-
480 19: A preliminary report of a randomized clinical trial. *Eur J Pharmacol* 2021;897:173947.
481 <https://doi.org/10.1016/j.ejphar.2021.173947>.
- 482 [26] Tang X, Feng Y-M, Ni J-X, Zhang J-Y, Liu L-M, Hu K, et al. Early Use of Corticosteroid
483 May Prolong SARS-CoV-2 Shedding in Non-Intensive Care Unit Patients with COVID-19
484 Pneumonia: A Multicenter, Single-Blind, Randomized Control Trial. *Respir Int Rev Thorac*
485 *Dis* 2021;100:116–26. <https://doi.org/10.1159/000512063>.
- 486 [27] Saville BR, Berry SM. Efficiencies of platform clinical trials: A vision of the future. *Clin*
487 *Trials Lond Engl* 2016;13:358–66. <https://doi.org/10.1177/1740774515626362>.
- 488 [28] Faber J, Fonseca LM. How sample size influences research outcomes. *Dent Press J Orthod*
489 2014;19:27–9. <https://doi.org/10.1590/2176-9451.19.4.027-029.ebo>.

- 490 [29] Biau DJ, Kernéis S, Porcher R. Statistics in Brief: The Importance of Sample Size in the
491 Planning and Interpretation of Medical Research. *Clin Orthop* 2008;466:2282–8.
492 <https://doi.org/10.1007/s11999-008-0346-9>.
- 493 [30] Andrade C. Sample Size and its Importance in Research. *Indian J Psychol Med*
494 2020;42:102–3. https://doi.org/10.4103/IJPSYM.IJPSYM_504_19.
- 495 [31] Mentz RJ, Hernandez AF, Berdan LG, Rorick T, O'Brien EC, Ibarra JC, et al. Good
496 Clinical Practice Guidance and Pragmatic Clinical Trials. *Circulation* 2016;133:872–80.
497 <https://doi.org/10.1161/CIRCULATIONAHA.115.019902>.
- 498 [32] Characteristics of registered clinical trials assessing treatments for COVID-19: a cross-
499 sectional analysis | *BMJ Open* n.d. <https://bmjopen.bmj.com/content/10/6/e039978>
500 (accessed August 27, 2021).
- 501 [33] Hsiehchen D, Espinoza M, Hsieh A. Deficiencies in the Designs and Interventions of
502 COVID-19 Clinical Trials. *Med N Y N* 2020;1:103–4.
503 <https://doi.org/10.1016/j.medj.2020.06.007>.
- 504 [34] Glasziou PP, Sanders S, Hoffmann T. Waste in covid-19 research. *BMJ* 2020;369:m1847.
505 <https://doi.org/10.1136/bmj.m1847>.
- 506 [35] Dal-Ré R, Mahillo-Fernández I. Waste in COVID-19 clinical trials conducted in western
507 Europe. *Eur J Intern Med* 2020;81:91–3. <https://doi.org/10.1016/j.ejim.2020.07.002>.
- 508 [36] Honarmand K, Penn J, Agarwal A, Siemieniuk R, Brignardello-Petersen R, Bartoszko JJ, et
509 al. Clinical trials in COVID-19 management & prevention: A meta-epidemiological study
510 examining methodological quality. *J Clin Epidemiol* 2021;139:68–79.
511 <https://doi.org/10.1016/j.jclinepi.2021.07.002>.
- 512 [37] Bias as a source of inconsistency in ivermectin trials for COVID-19: A systematic review.
513 Ivermectin's suggested benefits are mainly based on potentially biased results. - *Journal of*
514 *Clinical Epidemiology* n.d. [https://www.jclinepi.com/article/S0895-4356\(21\)00422-](https://www.jclinepi.com/article/S0895-4356(21)00422-4/fulltext)
515 [4/fulltext](https://www.jclinepi.com/article/S0895-4356(21)00422-4/fulltext) (accessed January 4, 2022).
- 516 [38] Yamshchikov AV, Desai NS, Blumberg HM, Ziegler TR, Tangpricha V. Vitamin D for
517 treatment and prevention of infectious diseases: a systematic review of randomized
518 controlled trials. *Endocr Pract Off J Am Coll Endocrinol Am Assoc Clin Endocrinol*
519 2009;15:438–49. <https://doi.org/10.4158/EP09101.ORR>.
- 520 [39] Schultz A, Marsh JA, Saville BR, Norman R, Middleton PG, Greville HW, et al. Trial
521 Refresh: A Case for an Adaptive Platform Trial for Pulmonary Exacerbations of Cystic
522 Fibrosis. *Front Pharmacol* 2019;10:301. <https://doi.org/10.3389/fphar.2019.00301>.
- 523 [40] Institute of Medicine (US) Forum on Drug Discovery D. *Challenges in Clinical Research*.
524 National Academies Press (US); 2010.
- 525 [41] Welcome — RECOVERY Trial n.d. <https://www.recoverytrial.net/> (accessed January 4,
526 2022).
- 527 [42] Chan K, Bhandari M. Three-minute critical appraisal of a case series article. *Indian J*
528 *Orthop* 2011;45:103–4. <https://doi.org/10.4103/0019-5413.77126>.
- 529 [43] Mathes T, Pieper D. Clarifying the distinction between case series and cohort studies in
530 systematic reviews of comparative studies: potential impact on body of evidence and
531 workload. *BMC Med Res Methodol* 2017;17:107. [https://doi.org/10.1186/s12874-017-](https://doi.org/10.1186/s12874-017-0391-8)
532 [0391-8](https://doi.org/10.1186/s12874-017-0391-8).

535

536

537

538

539

540

541

542

543

544

545

546

547

Journal Pre-proof

Declaration of Interest

Declarations of interest: none

Journal Pre-proof

The relationship between pragmatism, timing, and study size on impact of treatment trials: a qualitative, hypothesis generating study of systemic corticosteroids for COVID-19

CRedit Author Statement

Aileen Liang: Conceptualization, Methodology, Investigation, Analysis, Interpretation, Data curation, Writing - Original draft preparation, Writing - Review & Editing, Visualization.

Katrina Domenica Cirone: Conceptualization, Methodology, Investigation, Analysis, Interpretation, Data curation, Writing - Original draft preparation, Writing - Review & Editing, Visualization.

Xiaoxiao (Daisy) Deng: Conceptualization, Methodology, Investigation, Analysis, Interpretation, Data curation, Writing- Original draft preparation, Writing - Review & Editing, Visualization.

Merrick Zwarenstein: Conceptualization, Methodology, Investigation, Analysis, Interpretation, Writing - Review & Editing, Visualization, Supervision.

No specific funding was required for this study.

The relationship between pragmatism, timing, and study size on impact of treatment trials: a qualitative, hypothesis generating study of systemic corticosteroids for COVID-19

What is new?

- RECOVERY is a large multicenter, single country, platform randomized trial of several treatments repurposed for COVID-19 care. The sub-trial of corticosteroids had a low risk of bias and highly pragmatic design features that facilitated wide implementation and rapid recruitment. This trial was cited ten times more often than the next most cited trial and relied on in all the prominent guidelines we reviewed, changing clinical practice globally. It eliminated equipoise, rendering redundant the other simultaneous trials of steroids that lacked one or more of these features. This research waste should be reduced.
- Large, pragmatic, unbiased, single country platform trials of repurposed drugs and interventions, covering different potential pandemic conditions and multiple treatments, for a range of sociodemographic situations and healthcare capacities could be a valuable investment in readiness for future pandemics, resulting in trials with greater scientific and clinical impact and less research waste.
- Generic protocols for trials aimed at each kind of pandemic threat could be prepared, in advance, by a multi-national consortium of research agencies and public health bodies. Each participating country team could adapt the generic protocol and maintain preapprovals from their ethics and health system committees in their own country. This could ensure rapid publication of a set of trials, tailored for local applicability, designed prospectively for meta-analysis, and could speed study closure, saving lives and eliminating the research waste arising from a flurry of uncoordinated trials.