




# Long-Term Safety and Efficacy of Eculizumab in Aquaporin-4 IgG-Positive NMOSD

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**Objective:** During PREVENT (NCT01892345), eculizumab significantly reduced relapse risk versus placebo in patients with aquaporin-4 immunoglobulin G-positive neuromyelitis optica spectrum disorder (AQP4-IgG+ NMOSD). We report an interim analysis of PREVENT's ongoing open-label extension (OLE; NCT02003144) evaluating eculizumab's long-term safety and efficacy.

**Methods:** Patients who completed PREVENT could enroll in the OLE to receive eculizumab (maintenance dose = 1,200 mg/2 weeks, after a blinded induction phase). Safety and efficacy data from PREVENT and its OLE (interim data cut, July 31, 2019) were combined for this analysis.

**Results:** Across PREVENT and the OLE, 137 patients received eculizumab and were monitored for a median (range) of 133.3 weeks (5.1–276.9 weeks), for a combined total of 362.3 patient-years (PY). Treatment-related adverse event (AE) and serious adverse event (SAE) rates were 183.5 in 100 PY and 8.6 in 100 PY, respectively. Serious infection rates were 10.2 in 100 PY in eculizumab-treated patients versus 15.1 in 100 PY in the PREVENT placebo group. No patient developed a meningococcal infection. At 192 weeks (3.7 years), 94.4% (95% confidence interval [CI], 88.6–97.3) of patients remained adjudicated relapse-free. The adjudicated annualized relapse rate was 0.025 (95% CI = 0.013–0.048) in all eculizumab-treated patients versus 0.350 (95% CI = 0.199–0.616) in the PREVENT placebo group. During the OLE, 37% of patients (44 of 119 patients) stopped or decreased background immunosuppressive therapy use.

**Interpretation:** This analysis demonstrates that eculizumab's long-term safety profile in NMOSD is consistent with its established profile across other indications. This analysis also demonstrated the sustained ability of long-term eculizumab treatment to reduce relapse risk in patients with AQP4-IgG+ NMOSD.

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Aquaporin-4 immunoglobulin G-positive (AQP4-IgG<sub>+</sub>) neuromyelitis optica spectrum disorder (NMOSD) is a rare, complement-mediated autoimmune disease

characterized by relapses that can cause significant and irreversible neurologic disability.<sup>1–4</sup> Unlike multiple sclerosis, a progressive disease course rarely occurs in

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NMOSD,<sup>5</sup> but risk of clinical relapse persists even after long periods of remission.<sup>3</sup> Effective and tolerable relapse-preventing treatments are therefore required indefinitely.<sup>6</sup>

In preclinical studies, AQP4-IgG antibodies have been shown to trigger the complement cascade, leading to inflammation and subsequent damage to the central nervous system.<sup>7–10</sup> Eculizumab, a terminal complement protein (C5) inhibitor, reduces the risk of relapse in patients with AQP4-IgG+ NMOSD and is the first agent approved for the treatment of this potentially life-threatening condition; eculizumab is currently approved for this indication in Australia, Canada, Europe, Japan, and the United States.<sup>11–14</sup> In the phase III PREVENT study, eculizumab reduced the risk of adjudicated relapse by 94% compared with placebo ( $p < 0.0001$ ) in patients with AQP4-IgG+ NMOSD.<sup>11,12</sup> This benefit of eculizumab was seen across a wide range of patient subgroups based on concomitant immunosuppressive therapy (IST) and past rituximab use, geographical region, age, race, sex, disease duration, relapse history, and disability status.<sup>15</sup> The safety profile of eculizumab in AQP4-IgG+ NMOSD was consistent with that seen for eculizumab in other approved indications.<sup>11,14</sup>

We report an interim analysis (data cutoff date was July 31, 2019) of combined data from PREVENT and its ongoing open-label extension (OLE) on the long-term safety and efficacy of eculizumab in patients with AQP4-IgG+ NMOSD.

## Methods

### Study Design and Participants

In PREVENT (NCT01892345), eligible patients with AQP4-IgG+ NMOSD were randomized in a 2:1 ratio to receive either eculizumab or placebo. Study design, methodology, and inclusion and exclusion criteria for PREVENT have been reported previously.<sup>11</sup> Briefly, participants were adults with AQP4-IgG+ NMOSD (2006 and 2007 disease definitions)<sup>1,16</sup> and a history of at least 2 relapses in the previous 12 months or 3 in the previous 24 months, including 1 in the past 12 months. Patients also had an Expanded Disability Status Scale (EDSS) score of 7.0 or less. Patients receiving stable dosing with ISTs (including corticosteroids, azathioprine, mycophenolate mofetil, cyclosporine, cyclophosphamide, methotrexate, mizoribine, and tacrolimus) were eligible.<sup>11</sup> Those previously treated with rituximab or mitoxantrone were eligible unless they had received either drug during the 3 months before screening (3 months represents approximately 5 half-lives of rituximab).

All participants who remained in PREVENT until study end or who experienced a physician-determined

relapse during the study could enroll into the OLE (NCT02003144) and receive eculizumab for up to an additional 5.5 years. Retrospective adjudication of physician-determined relapses has been described previously.<sup>11</sup> Eligible participants were required to enter the OLE within  $14 \pm 2$  days from the last administration of study treatment in PREVENT, to avoid treatment interruptions. Participants who withdrew from PREVENT owing to adverse events (AEs) related to the study drug were not eligible to enter the OLE.

Both studies were conducted in accordance with the provisions of the Declaration of Helsinki,<sup>17</sup> the International Conference on Harmonization guidelines for good clinical practice,<sup>18</sup> and applicable regulatory requirements, and were approved by the institutional review board at each participating institution. All study participants provided written informed consent.

### Study Treatments

All patients were vaccinated against *Neisseria meningitidis* serotypes A, C, W, and Y before treatment commenced in PREVENT, and could be revaccinated during the trial to provide active coverage, according to vaccine manufacturer or country guidelines (vaccination against serotype B was administered as required by country guidelines).

Participants randomized to eculizumab in PREVENT initiated treatment with four 900 mg once-weekly induction doses followed by maintenance dosing of 1,200 mg once every 2 weeks, which continued into the OLE. To maintain study blinding, on entering the OLE, all participants underwent a blinded induction phase. During this phase, patients in the PREVENT eculizumab arm continued to receive eculizumab 4 vials/1,200 mg once every 2 weeks (at weeks 1 and 3) and 4 vials placebo at weeks 2 and 4. Patients in the PREVENT placebo arm transitioning to eculizumab received four 900 mg once-weekly induction doses (3 vials of eculizumab and 1 vial of placebo) before receiving the maintenance dose of 1,200 mg once every 2 weeks at visit 5 onward.

Stable-dose background ISTs received at screening were continued unchanged during PREVENT unless treating physicians determined that a relapse had occurred or there was a compelling medical need for adjustment. IST use could be changed during the OLE at the discretion of the physician. Medications not permitted in either study were rituximab or other biological agents, mitoxantrone, immunomodulatory therapies for multiple sclerosis (including interferon  $\beta$ -1a and  $\beta$ -1b, and glatiramer acetate), and intravenous (i.v.) immunoglobulin and plasma exchange for relapse prevention.

### Assessments and End Points

The primary objective of the OLE was to evaluate the long-term safety of eculizumab in patients with relapsing NMOSD, including the occurrence of AEs and serious AEs (SAEs).

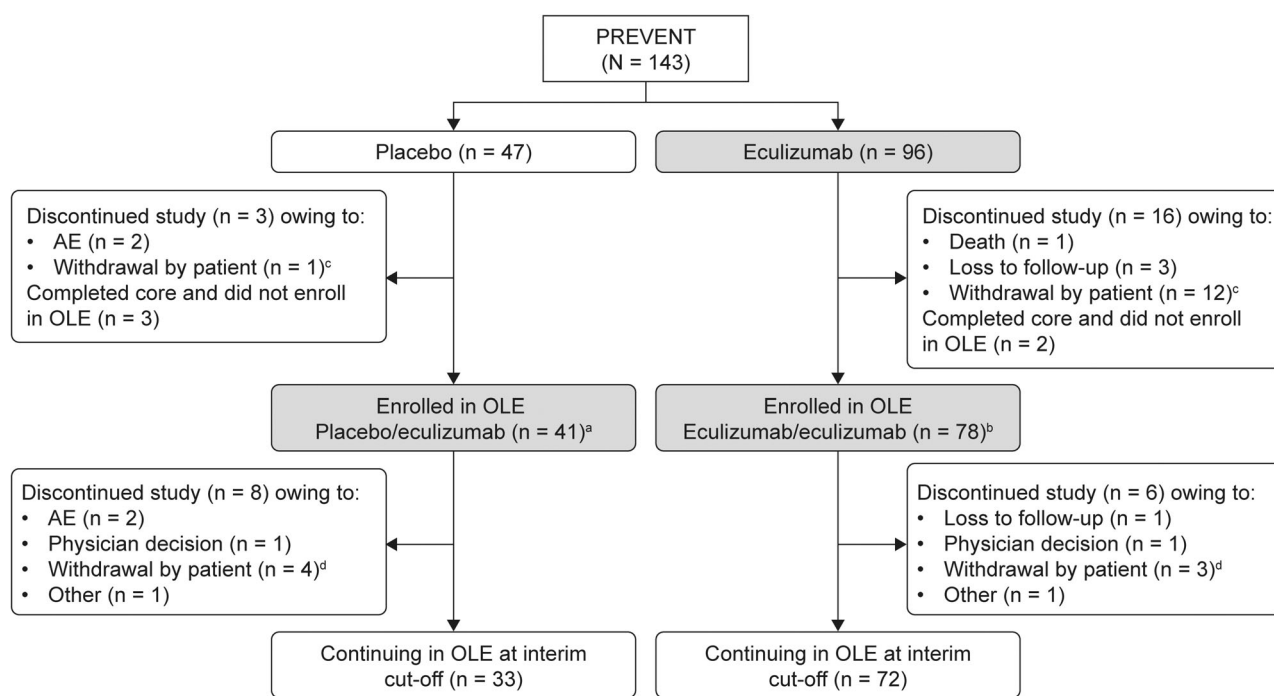
Secondary objectives included the evaluation of the long-term efficacy of eculizumab, assessed according to annualized relapse rate (ARR), EDSS score, Hauser Ambulation Index (HAI) score, modified Rankin Scale (mRS) score, and patient-reported outcomes, including 3-level (EQ-5D-3L) visual analog scale (VAS) and EQ-5D-3L index scores.

Relapse types and acute treatment for relapses throughout PREVENT and the OLE, and changes in IST use during the OLE were also recorded. Optic spinal impairment scale (OSIS) visual acuity (VA) scores were recorded following relapses. Although magnetic resonance imaging (MRI) was performed in many cases, both prior

to study entry and at the time of relapse, it was not performed systematically for every patient or at prespecified time points.

### Statistical Analysis

The data cutoff date for this interim OLE analysis was July 31, 2019. Safety analyses included all participants who had received at least one dose of eculizumab. Treatment adherence was calculated as the ratio between the actual number of eculizumab doses taken by a patient and the number of doses required. Efficacy analyses were performed using the combined PREVENT and OLE data, which included all participants who had received at least one dose of eculizumab in either study. ARR during study treatment was calculated as the total number of relapses (physician-determined or adjudicated) for all patients divided by the number of patient-years (PY) in the study period. Confidence intervals (CIs) for ARRs were based



□ Indicates patients in combined PREVENT and OLE eculizumab group (N = 137)

**FIGURE 1: Patient disposition through July 31, 2019.** <sup>a</sup>Fifteen patients reached the end of PREVENT relapse-free, and 26 patients entered the OLE following a relapse as determined by the treating physician. <sup>b</sup>Sixty-four patients reached the end of PREVENT relapse-free, and 14 patients entered the OLE following a relapse as determined by the treating physician. <sup>c</sup>The 13 patients who withdrew consent (patient decision) during PREVENT included 1 patient in the placebo group who did not wish to continue taking an investigational product, and 12 patients in the eculizumab group who withdrew for the following reasons: a change in life situation or moving to a different area (7 patients); unknown reasons (3 patients); ongoing AEs not related to study drug and difficult venous access (1 patient); and clinical trial fatigue (1 patient). <sup>d</sup>The 7 patients who withdrew consent (patient decision) during the OLE included 4 in the placebo/eculizumab group for the following reasons: a change in life situation or moving to a different area (2 patients); to receive treatment with traditional Chinese medicine (1 patient); and unwillingness to continue study visits following an SAE at enrollment and 1 month on study (1 patient). Three patients in the eculizumab/eculizumab group withdrew for the following reasons: study dosing schedule and an AE described as urticaria (1 patient); inability to travel to the study site owing to back pain (1 patient); and a change in life situation (1 patient). AE = adverse event; OLE = open-label extension; SAE = serious adverse event.

**TABLE 1. Baseline Patient Demographics and Disease Characteristics**

Variable	PREVENT <sup>a</sup>		PREVENT OLE <sup>b</sup>			PREVENT and OLE combined <sup>c</sup>
	Placebo (N = 47)	Eculizumab (N = 96)	Placebo/eculizumab <sup>d</sup> (N = 41)	Eculizumab/eculizumab (N = 78)	Eculizumab (N = 119)	Eculizumab (N = 137)
<b>Age at first dose, mean (SD), yr</b>	45.0 (13.3)	43.9 (13.3)	46.0 (13.8)	46.6 (13.8)	46.4 (13.7)	44.5 (13.5)
<b>Sex, n (%)</b>						
M	5 (10.6)	8 (8.3)	5 (12.2)	4 (5.1)	9 (7.6)	13 (9.5)
F	42 (89.4)	88 (91.7)	36 (87.8)	74 (94.9)	110 (92.4)	124 (90.5)
<b>Region, n (%)</b>						
Americas	15 (31.9)	29 (30.2)	13 (31.7)	21 (26.9)	34 (28.6)	42 (30.7)
Europe	19 (40.4)	32 (33.3)	17 (41.5)	27 (34.6)	44 (37.0)	49 (35.8)
Asia-Pacific	13 (27.7)	35 (36.6)	11 (26.8)	30 (38.5)	41 (34.5)	46 (33.6)
<b>Race, n (%)</b>						
Asian	15 (31.9)	37 (38.5)	13 (31.7)	32 (41.0)	45 (37.8)	50 (36.5)
Black or African American	8 (17.0)	9 (9.4)	7 (17.1)	3 (3.8)	10 (8.4)	16 (11.7)
White	24 (51.1)	46 (47.9)	21 (51.2)	40 (51.3)	61 (51.3)	67 (48.9)
Other <sup>e</sup>	0 (0.0)	4 (4.2)	0 (0.0)	1 (1.3)	3 (2.5)	4 (2.9)
<b>Historical ARR (within 24 mo before screening for PREVENT), mean (SD)</b>	2.1 (1.0)	1.9 (0.9)	2.2 (1.1)	1.9 (1.0)	2.0 (1.0)	2.0 (1.0)
<b>EDSS score</b>						
Median (range)	4.0 (1.0–6.5)	4.0 (1.0–7.0)	4.5 (0.0–7.5)	4.0 (0.0–7.5)	4.0 (0.0–7.5)	4.0 (0.0–7.5)
Mean (SD)	4.3 (1.5)	4.2 (1.6)	4.3 (1.9)	4.0 (1.7)	4.1 (1.8)	4.2 (1.7)
<b>HAI score</b>						
Median (range)	2.0 (0.0–6.0)	2.0 (0.0–8.0)	2.0 (0.0–9.0)	1.0 (0.0–8.0)	2.0 (0.0–9.0)	2.0 (0.0–9.0)
Mean (SD)	2.1 (1.4)	2.4 (2.2)	2.8 (2.1)	2.0 (2.2)	2.3 (2.2)	2.5 (2.2)
<b>mRS score</b>						
Median (range)	2.0 (0.0–4.0)	2.0 (0.0–4.0)	2.0 (0.0–6.0)	2.0 (0.0–4.0)	2.0 (0.0–6.0)	2.0 (0.0–6.0)
Mean (SD)	2.1 (1.0)	2.1 (1.1)	2.4 (1.4)	1.9 (1.3)	2.1 (1.3)	2.2 (1.2)
<b>EQ-5D-3L VAS score, median (range)</b>	60.0 (0.0–9.5)	70.0 (10.0–100.0)	65.0 (5.0–100.0)	79.0 (10.0–100.0)	70.0 (5.0–100.0)	68.0 (5.0–100.0)
<b>EQ-5D-3L index score, median (range)</b>	0.7 (0.3–1.0)	0.8 (0.1–1.0)	0.6 (0.0–1.0)	0.8 (0.0–1.0)	0.8 (0.0–1.0)	0.7 (0.0–1.0)

<sup>a</sup>Age at first dose and baseline scores at first study drug dose in PREVENT.

<sup>b</sup>Age at first dose and baseline EDSS, HAI, and mRS scores at first study drug dose in the OLE; EQ-5D-3L VAS and index scores at last available assessment prior to first study drug dose in the OLE.

<sup>c</sup>Age at first dose and baseline scores at first eculizumab dose in either study.

<sup>d</sup>Patients receiving placebo during PREVENT transitioned to eculizumab in the OLE.

<sup>e</sup>Other includes American Indian, Alaskan native, unknown, and other.

ARR = annualized relapse rate; EDSS = Expanded Disability Status Scale; EQ-5D-3L = 3-level 5-dimension EuroQol questionnaire; HAI = Hauser Ambulation Index; mRS = modified Rankin Scale; OLE = open-label extension; SD = standard deviation; VAS = visual analog scale.

TABLE 2. AE Summary by Treatment Group

	PREVENT				PREVENT OLE		PREVENT and OLE combined	
	Placebo (N = 47; 53.1 PY)		Eculizumab (N = 96; 172.8 PY)		Eculizumab (N = 119; 187.3 PY)		Eculizumab (N = 137; 362.3 PY)	
	Events, n rate/100 PY	Patients, n (%)	Events, n (rate/100 PY)	Patients, n (%)	Events, n (rate/100 PY)	Patients, n (%)	Events, n (rate/100 PY)	Patients, n (%)
<b>All AEs</b>	622 (1170.3)	45 (95.7)	1307 (756.3)	89 (92.7)	1347 (719.2)	107 (89.9)	2654 (732.5)	131 (95.6)
Treatment-related	89 (167.5)	27 (57.4)	368 (212.9)	49 (51.0)	297 (158.6)	52 (43.7)	665 (183.5)	85 (62.0)
Leading to withdrawal	3 (5.6)	2 (4.3)	0 (0.0)	0 (0.0)	5 (2.7)	2 (1.7)	5 (1.4)	2 (1.5)
<b>AEs reported in ≥ 15% of patients in the PREVENT and OLE combined eculizumab group</b>								
Headache	21 (39.5)	11 (23.4)	96 (55.5)	22 (22.9)	113 (60.3)	24 (20.2)	209 (57.7)	40 (29.2)
Upper respiratory tract infection	10 (18.8)	6 (12.8)	54 (31.2)	28 (29.2)	39 (20.8)	21 (17.6)	93 (25.7)	38 (27.7)
Nasopharyngitis	15 (28.2)	9 (19.1)	50 (28.9)	20 (20.8)	50 (26.7)	21 (17.6)	100 (27.6)	36 (26.3)
Urinary tract infection	13 (24.5)	10 (21.3)	45 (26.0)	13 (13.5)	48 (25.6)	19 (16.0)	93 (25.7)	30 (21.9)
Arthralgia	10 (18.8)	5 (10.6)	12 (6.9)	11 (11.5)	20 (10.7)	15 (12.6)	32 (8.8)	25 (18.2)
Back pain	9 (16.9)	6 (12.8)	17 (9.8)	14 (14.6)	28 (14.9)	13 (10.9)	45 (12.4)	24 (17.5)
Diarrhea	20 (37.6)	7 (14.9)	23 (13.3)	15 (15.6)	22 (11.7)	11 (9.2)	45 (12.4)	24 (17.5)
Nausea	19 (35.7)	12 (25.5)	30 (17.4)	16 (16.7)	18 (9.6)	8 (6.7)	48 (13.2)	24 (17.5)
<b>Serious AEs excluding NMOSD relapses</b>	29 (54.6)	13 (27.7)	46 (26.6)	25 (26.0)	62 (33.1)	31 (26.1)	108 (29.8)	49 (35.8)
Treatment-related	13 (24.5)	9 (19.1)	13 (7.5)	9 (9.4)	16 (8.5)	10 (8.4)	29 (8.0)	19 (13.9)
Leading to withdrawal	2 (3.8)	2 (4.3)	0 (0.0)	0 (0.0)	1 (0.5)	1 (0.8)	1 (0.3)	1 (0.7)
<b>Deaths</b>	–	0 (0.0)	–	1 (1.0)	–	0 (0.0)	–	1 (0.7)

AE = adverse event; NMOSD = neuromyelitis optica spectrum disorder; OLE = open-label extension; PY = patient-years.

on a Poisson regression. Estimated proportions of relapse-free patients were based on the Kaplan–Meier product limit method; 95% CIs were based on complementary log–log transformation.

The annualized rates of different acute relapse treatments (high-dose oral steroids, i.v. methylprednisolone, plasma exchange, and i.v. immunoglobulin) were calculated as the total number of adjudicated relapses requiring each treatment during the study period for all patients divided by the total number of PY in the study period. Wald CIs were calculated from Poisson regression.

Changes in disability and health-related quality of life scores from eculizumab baseline (the last available assessment before the first eculizumab dose in either PREVENT or the OLE) to each scheduled visit up to year

1 were analyzed using mixed models for repeated measures with terms for visit and baseline score.

Statistical analyses were performed using SAS version 9.4 (SAS Institute Inc., Cary, NC).

## Results

### Study Population

Patient disposition is summarized in Figure 1. In PREVENT, 143 participants were randomized: 96 received eculizumab and 47 received placebo. A total of 124 participants completed PREVENT and 119 continued into the OLE (79 reached the end of PREVENT relapse-free and 40 entered the OLE following a relapse as determined by the treating physician) and received open-label eculizumab

(eculizumab/eculizumab, n = 78; placebo/eculizumab, n = 41); 14 participants in the OLE (11.8%) discontinued study treatment by the interim analysis data cutoff date. A total of 137 participants received eculizumab during PREVENT and/or the OLE (combined PREVENT and OLE analysis set); of these, 30 participants had discontinued by the interim analysis data cutoff date. The most common reason for study discontinuation was withdrawal by the patient.

Participants' baseline demographic and disease characteristics are presented in Table 1. The mean age at first dose for all participants receiving eculizumab was 44.5 years, most participants (90.5%) were women, and most were either White (48.9%) or Asian (36.5%). The mean historical ARR within 24 months before screening for PREVENT was 2.01.

The 137 participants who received eculizumab in PREVENT and/or the OLE had a median eculizumab treatment duration of 132.1 weeks (range = 0.1–276.1 weeks), providing a combined total of 355.9 PY of eculizumab treatment.

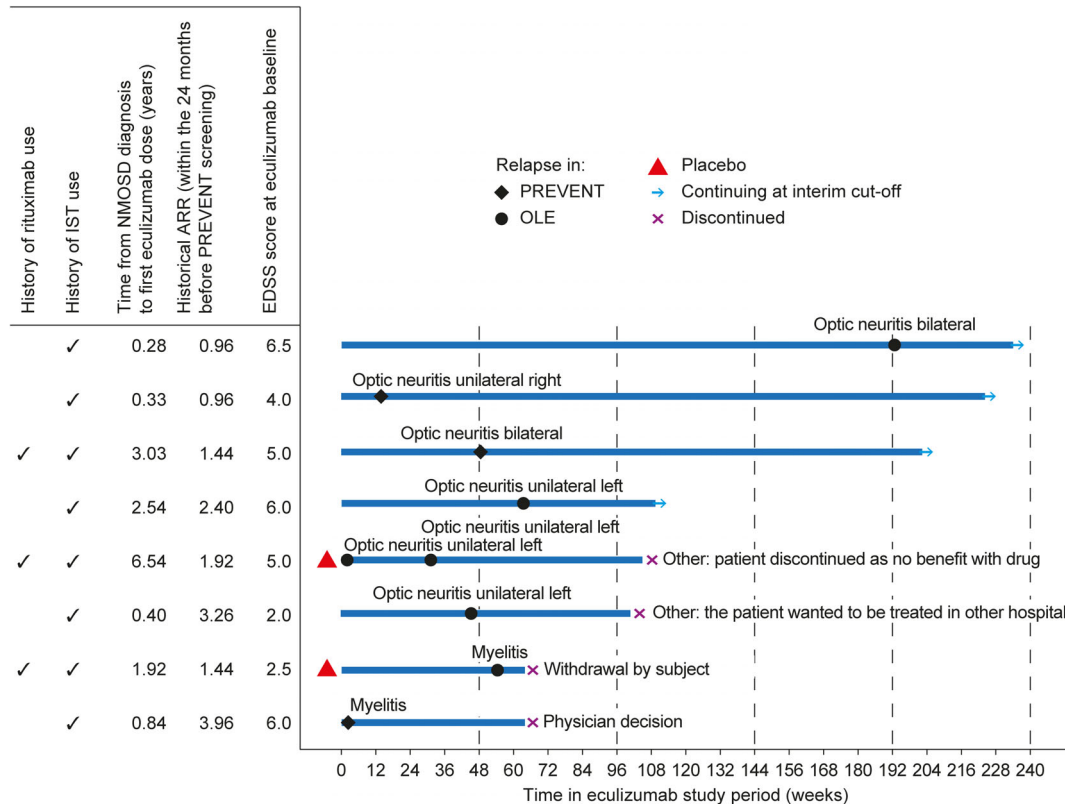
**Safety Analyses**

The rates of AEs that were categorized as being related to a trial agent by investigators were similar in the PREVENT placebo group (167.5 AEs in 100 PY) and the

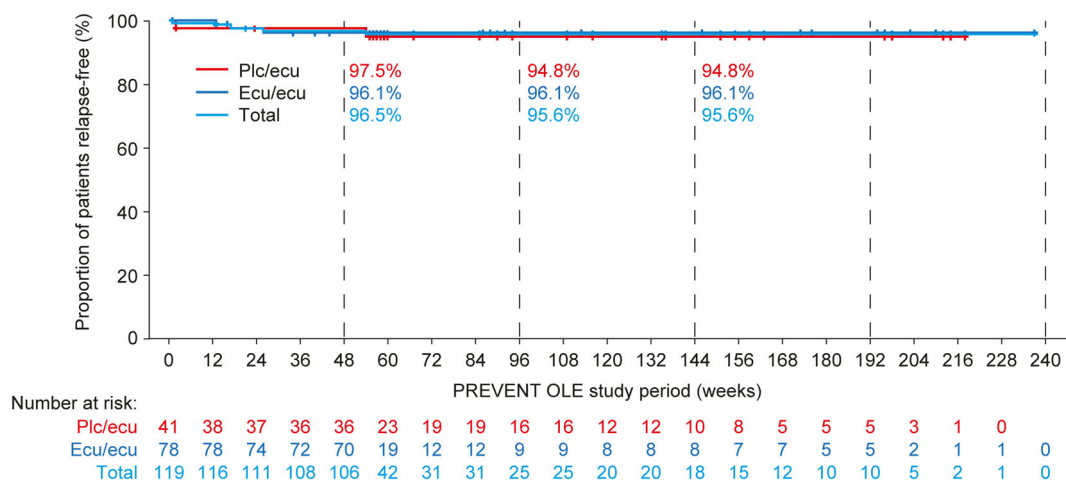
combined PREVENT and OLE eculizumab group (183.5 AEs in 100 PY). The rates of these AEs were also similar in the PREVENT eculizumab group (212.9 AEs in 100 PY) and during the OLE (158.6 AEs in 100 PY). Similar proportions of the PREVENT placebo and the combined eculizumab groups experienced a treatment-related AE (57.4 and 62.0%, respectively; Table 2).

The most common AEs in the combined PREVENT and OLE eculizumab treatment group included headache (57.7 events in 100 PY; 40/137 patients), upper respiratory tract infection (25.7 events in 100 PY; 38/137 patients), nasopharyngitis (27.6 events in 100 PY; 36/137 patients), urinary tract infection (25.7 events in 100 PY; 30/137 patients), arthralgia (8.8 events in 100 PY; 25/137 patients), back pain (12.4 events in 100 PY; 24/137 patients), diarrhea (12.4 events in 100 PY; 24/137 patients), and nausea (13.2 events in 100 PY; 24/137 patients).

The rates of treatment-related SAEs were 24.5 events in 100 PY in the PREVENT placebo group and 8.6 events in 100 PY in the combined eculizumab group. Excluding NMOSD relapses, rates of treatment-related SAEs were 24.5 events in 100 PY in the PREVENT placebo group and 8.0 events in 100 PY in the combined eculizumab group (Table 2).



**FIGURE 2: Clinical profiles of patients receiving eculizumab during PREVENT and the OLE who experienced adjudicated relapses.** ARR = annualized relapse rate; EDSS = Expanded Disability Status Scale; IST = immunosuppressive therapy; NMOSD = neuromyelitis optica spectrum disorder; OLE = open-label extension.



**FIGURE 3:** Time to first adjudicated relapse during ecuzumab treatment during the PREVENT OLE by treatment arm (OLE participants, N = 119). Patients who did not experience an adjudicated on-trial relapse were censored at the OLE interim cutoff date. The tick marks indicate censoring of data. Proportions of patients who were relapse-free at OLE weeks 48, 96, and 144 were estimated using the Kaplan–Meier product limit method. Ecu, ecuzumab; OLE = open-label extension.

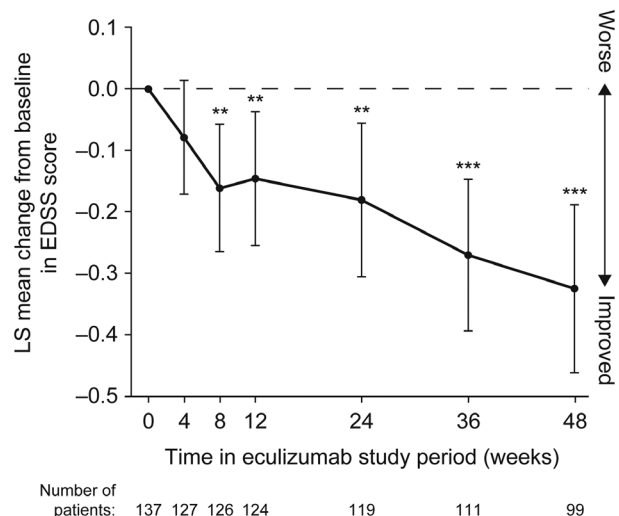
SAEs that occurred in more than 2 patients in the combined ecuzumab group were pneumonia (5 patients), acute cholecystitis (4 patients), and urinary tract infection (4 patients). There was one death in the ecuzumab group during PREVENT (from pulmonary empyema),<sup>11</sup> and no deaths occurred during the OLE through June 1, 2020.

The rates of serious infections were 15.1 events in 100 PY in the PREVENT placebo group (6/47 patients [12.8%]), 9.3 events in 100 PY in the PREVENT ecuzumab group (11/96 patients [11.5%]), and 10.2 events in 100 PY in the combined ecuzumab group (25/137 patients [18.2%]). Serious infections that occurred in more than one patient in the combined ecuzumab group were pneumonia (5 patients), urinary tract infection (4 patients), cellulitis (2 patients), and sepsis (2 patients). During the OLE through June 1, 2020, one patient developed an infection due to *Neisseria gonorrhoeae*; this infection resolved with antibiotic treatment. No patient experienced a meningococcal infection through June 1, 2020.

**Efficacy Analyses**

**Impact on Relapse Risk.** By interim data cutoff, 8 patients in the combined ecuzumab group had experienced 9 adjudicated relapses; 5 of these patients experienced an adjudicated relapse during the OLE (Fig 2). Of these 8 patients, 3 had previously received rituximab, and 6 experienced a relapse in the first 60 weeks of ecuzumab treatment. Among these 8 patients, the median adherence to ecuzumab treatment was 100.0% (range = 81.3–100.0%) during PREVENT and 96.3% (range = 82.9–100.0%) during the OLE. Optic neuritis was the most common type of relapse, accounting for 7 of 9 relapses (77.8%;

4 events were on the left side, 1 event was on the right side, and 2 events were bilateral). The other 2 relapses (2/9; 22.2%) were myelitis. Five optic neuritis events were major (highest post-relapse OSIS VA scores, 4–7; improvement by 1–2 points by week 6 after 4 of these events), and



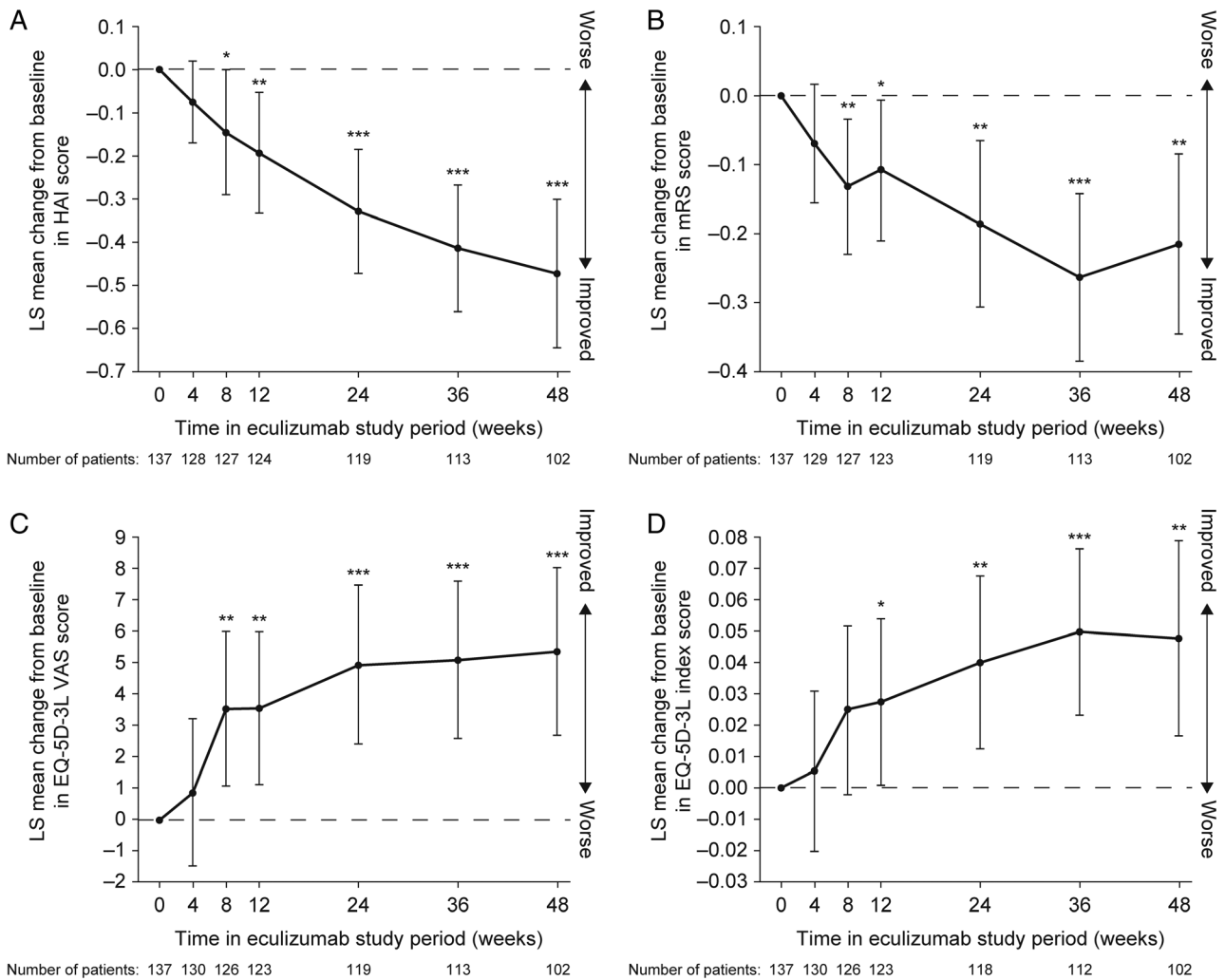
**FIGURE 4:** Change from ecuzumab baseline in EDSS score over time through 1 year in the combined PREVENT and OLE ecuzumab group. \*, \*\*, and \*\*\* represent the 2-sided nominal p value of 0.05, 0.01, and 0.001, respectively, testing whether the LS mean change from baseline equals 0. The LS mean, 95% CI, and p value are from a restricted maximum likelihood based repeated-measures analysis of change from ecuzumab baseline. The repeated-measures model included terms of visit and baseline score. OLE visits at weeks 26, 40, and 52 are shown as weeks 24, 36, and 48, respectively. CI = confidence interval; EDSS = Expanded Disability Status Scale; LS = least-squares; OLE = open-label extension.

one myelitis event was major. No further adjudicated relapses have been reported through June 1, 2020.

In the combined eculizumab group, 94.4% of participants were estimated to be free from adjudicated relapses at weeks 96 and 192 of eculizumab treatment (Kaplan–Meier analysis; 95% CI = 88.6–97.3). The proportions of OLE participants estimated to be free from adjudicated relapses were similarly high in the placebo/eculizumab and the eculizumab/eculizumab groups (94.8% [95% CI = 80.7–98.7] and 96.1% [95% CI = 88.3–98.7], respectively, at OLE week 96; Fig 3). The adjudicated ARR in the combined PREVENT and OLE eculizumab group was 0.025 (95% CI = 0.013–0.048) versus 0.350 (95% CI = 0.199–0.616) in the PREVENT placebo group.<sup>11</sup> The ARR for physician-

determined relapses in this group was 0.089 (95% CI = 0.063–0.126) versus 0.066 (95% CI = 0.036–0.120) in the PREVENT eculizumab group and 0.446 (95% CI = 0.272–0.732) in the PREVENT placebo group.

In the combined eculizumab group, the annualized rates of adjudicated relapse-related acute treatments were 0.022 (95% CI = 0.011–0.045) for i.v. methylprednisolone (7 patients), 0.017 (95% CI = 0.008–0.037) for plasma exchange (5 patients), and 0.014 (95% CI = 0.006–0.033) for high-dose oral corticosteroids (5 patients). This compares with annualized rates of adjudicated relapse-related acute treatments of 0.012 (95% CI = 0.003–0.047) for each of i.v. methylprednisolone, plasma exchange, and high-dose oral corticosteroids



**FIGURE 5:** Change from eculizumab baseline in (A) HAI score, (B) mRS score, (C) EQ-5D-3L VAS and (D) EQ-5D-3L index score over time through 1 year in the combined PREVENT and OLE eculizumab group. \*, \*\*, and \*\*\* represent the 2-sided nominal *p* value of 0.05, 0.01, and 0.001, respectively, testing whether the LS mean change from baseline equals 0. The LS mean, 95% CI, and *p* value are from a restricted maximum likelihood based repeated-measures analysis of change from eculizumab baseline. The repeated-measures model included terms of visit and baseline score. OLE visits at weeks 26, 40, and 52 are shown as weeks 24, 36, and 48, respectively. CI = confidence interval; EQ-5D-3L = 3-level 5-dimension EuroQol questionnaire; HAI = Hauser Ambulation Index; LS = least-squares; mRS = modified Rankin Scale; OLE = open-label extension; VAS = visual analog scale.



(2 patients each) in the eculizumab group during PREVENT, and 0.286 (95% CI = 0.173–0.475) for i.v. methylprednisolone (15 patients), 0.134 (95% CI = 0.064–0.280) for plasma exchange (7 patients) and 0.114 (95% CI = 0.051–0.255) for high-dose oral corticosteroids (6 patients) in the PREVENT placebo group.

**Impact on Disability Outcomes and Health-Related Quality of Life.** The trends toward improvement in mean EDSS, HAI, mRS, and EQ-5D-3L scores with eculizumab observed during PREVENT were maintained in the eculizumab/eculizumab group and replicated in the placebo/eculizumab group during the OLE (data not shown). In the combined eculizumab group, these trends toward improvement were consistently observed for 1 year from eculizumab baseline (Fig 4 and Fig 5).

**Impact on IST Use.** Of the 119 OLE participants, 49 (41.2%) changed their IST use during open-label eculizumab treatment: 17 (14.3% of OLE participants) stopped using ISTs (corticosteroids, azathioprine, mycophenolate mofetil, or cyclophosphamide), 27 (22.7%) decreased their IST use, 1 (0.8%) started an IST, and 4 (3.4%) had multiple IST changes (none only increased an IST dose). More than one-fifth of OLE participants (27/119, 22.7%) used no concomitant IST throughout the OLE.

## Discussion

This interim analysis of the OLE provides data on the long-term safety and efficacy of eculizumab from more than twice the number of PY of eculizumab exposure than in PREVENT (median treatment duration of almost 2.5 years). The combined PREVENT and interim OLE eculizumab safety data reported here are consistent with its known safety profile in patients with AQP4-IgG+ NMOSD<sup>11,15</sup> and with its well-characterized safety profile in its other approved indications, paroxysmal nocturnal hemoglobinuria, atypical hemolytic uremic syndrome, and generalized myasthenia gravis, based on clinical trial data and more than 10 years of postmarketing experience.<sup>19–24</sup> No new safety signals were observed between the primary analysis of PREVENT and this interim analysis of the OLE. Rates of AEs and serious infections did not change with continued eculizumab exposure. In addition, many patients were able to reduce or stop their use of concomitant IST during the PREVENT OLE, thus relieving the overall treatment burden associated with NMOSD. All OLE participants received *N. meningitidis* vaccinations and there were no meningococcal infections through June 1, 2020. As reported previously, one patient receiving eculizumab died during PREVENT (pulmonary

empyema)<sup>11</sup> and no deaths have been reported during the OLE through June 1, 2020.

During PREVENT and the OLE combined, the proportion of patients free from adjudicated relapse remained high (94.4%) through 192 weeks. Interestingly, most of the adjudicated relapses that occurred during PREVENT and the OLE were optic neuritis (7/9; only 1 of the 5 major optic neuritis events was not followed by an improvement in OSIS VA score) and 2 were myelitis. In contrast, during the 24 months before PREVENT in the overall study population, 56% of patients experienced optic neuritis relapses and 81% experienced transverse myelitis relapses.<sup>11</sup>

Both the adjudicated ARR (0.016 in the PREVENT eculizumab group<sup>11</sup> and 0.025 in the combined PREVENT and OLE eculizumab group) and the physician-determined ARR (0.066 in PREVENT and 0.089 in PREVENT and the OLE) remained low with long-term eculizumab treatment (median = 2.5 years), demonstrating the durability of eculizumab's efficacy in reducing relapse risk.

Because the effect of rituximab on B cells may be sustained for up to 6 to 12 months, peripheral B cells may still have been depleted during PREVENT in some members of both the eculizumab and placebo groups who had received rituximab more than 3 months (equivalent to approximately 5 half-lives of rituximab) before study screening. Rates of serious infections were, however, similarly low with eculizumab and placebo, and relapse risks were reduced with eculizumab compared with placebo regardless of prior rituximab use.<sup>15</sup> These results indicate that use of rituximab more than 3 months before study entry did not impact the safety profile or the efficacy of eculizumab during PREVENT.

The trends toward improvements in disability and health-related quality of life outcomes with eculizumab observed during PREVENT<sup>11</sup> were replicated in the placebo/eculizumab group after eculizumab initiation and were maintained in the eculizumab/eculizumab group during the OLE; these trends were consistent in the combined PREVENT and OLE eculizumab group. This demonstrates that the reduced relapse rate in eculizumab-treated patients is associated with stabilization of disability.

The limitations of PREVENT have been discussed previously.<sup>11</sup> The main limitation of the OLE study is its open-label design, which could allow unconscious reporting bias. The blinded induction phase, however, preserved the blinded nature of PREVENT during the first 4 weeks of the OLE. In addition, because MRI was not performed systematically during PREVENT and its OLE, the impact of eculizumab on MRI lesion activity in the brain and spinal cord could not be assessed.

In conclusion, the results of this interim analysis of the PREVENT OLE demonstrate the long-term tolerability of eculizumab and an additional clinical benefit of reducing dependence on concomitant ISTs. Furthermore, this analysis extends the evidence from PREVENT for eculizumab's substantial efficacy in reducing relapse risk to demonstrate the durability of this effect in patients with AQP4-IgG+ NMOSD. The trends toward improvements in disability and health-related quality of life measures observed during PREVENT<sup>11</sup> were maintained through a median of 2.5 years in patients receiving long-term eculizumab in the OLE. These are the first prospective data that support the hypothesis that there is no disease progression in the absence of relapse, and the disability stability reported here represents a key component of the full clinical benefit provided by long-term eculizumab treatment for NMOSD.<sup>1,5,6</sup> These interim data from the ongoing OLE therefore provide robust evidence of a favorable benefit–risk profile for long-term eculizumab therapy for patients with AQP4-IgG+ NMOSD.

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## Author Contributions

S.J.P., L.M., S.S., and A.B. contributed to the conception and design of the study. S.J.P., L.M., and S.S. contributed to the drafting of the manuscript and figures. All authors contributed to the acquisition and analysis of data, and to revising the manuscript critically for important intellectual content. PREVENT Study Group members are listed in Table S1.

## Potential Conflicts of Interest

This work was funded by Alexion Pharmaceuticals, which owns patent rights to eculizumab that was used in this study. D.M.W., J.P., A.B., M.L., K.-C.W., and S.J.P. report grants, clinical trial compensation, or research support from Alexion Pharmaceuticals. K.F., J.P., A.B., M.L., H.J.K., and S.J.P. report personal fees from Alexion

Pharmaceuticals. K.F. and S.J.P. report other support from Alexion Pharmaceuticals. All personal compensation for S.J.P. from Alexion Pharmaceuticals is paid to the Mayo Clinic. S.J.P. has a patent, Patent #9,891,219B2 (Application #12–573942, Methods for Treating Neuro-myelitis Optica [NMO] by Administration of Eculizumab to an individual that is Aquaporin-4 [AQP4]-IgG Autoantibody positive) – issued. L.M., S.S., G.S., and M.Y. are employees of and hold stock in Alexion Pharmaceuticals. I.N. and C.O.-G. have no conflicts of interest to report.

## Data Availability

Alexion will consider requests for disclosure of clinical study participant-level data provided that participant privacy is assured through methods like data de-identification, pseudonymization, or anonymization (as required by applicable law), and if such disclosure was included in the relevant study informed consent form or similar documentation. Qualified academic investigators may request participant-level clinical data and supporting documents (statistical analysis plan and protocol) pertaining to Alexion-sponsored studies. Further details regarding data availability and instructions for requesting information are available in the Alexion Clinical Trials Disclosure and Transparency Policy at <http://alexion.com/research-development>. Link to data request form: <https://alexion.com/contact-alexion/medical-information>.

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