# 1 Molecular basis of SARS-CoV-2 Omicron variant evasion from shared

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# neutralizing antibody response

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## 31 Keywords

- 32 COVID-19, SARS-CoV-2 variants, human monoclonal antibodies, Cryo-EM structure,
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#### 34 Abstract

A detailed understanding of the molecular features of the neutralizing epitopes 35 36 developed by viral escape mutants is important for predicting and developing vaccines 37 or therapeutic antibodies against continuously emerging SARS-CoV-2 variants. Here, we 38 report three human monoclonal antibodies (mAbs) generated from COVID-19 recovered 39 individuals during first wave of pandemic in India. These mAbs had publicly shared near 40 germline gene usage and potently neutralized Alpha and Delta, but poorly neutralized Beta and completely failed to neutralize Omicron BA.1 SARS-CoV-2 variants. Structural 41 analysis of these three mAbs in complex with trimeric spike protein showed that all three 42 mAbs are involved in bivalent spike binding with two mAbs targeting class-1 and one 43 44 targeting class-4 Receptor Binding Domain (RBD) epitope. Comparison of 45 immunogenetic makeup, structure, and function of these three mAbs with our recently 46 reported class-3 RBD binding mAb that potently neutralized all SARS-CoV-2 variants 47 revealed precise antibody footprint, specific molecular interactions associated with the 48 most potent multi-variant binding / neutralization efficacy. This knowledge has timely 49 significance for understanding how a combination of certain mutations affect the binding 50 or neutralization of an antibody and thus have implications for predicting structural 51 features of emerging SARS-CoV-2 escape variants and to develop vaccines or therapeutic 52 antibodies against these.

#### 53 Introduction

54 SARS-CoV-2 Omicron subvariants are continuously emerging and escaping therapeutic 55 monoclonal antibodies (mAbs) and vaccines (1-3). Mutations acquired in the spike 56 protein of SARS-CoV-2 variants, a target for neutralizing antibodies (nAbs), are primarily 57 responsible for this immune escape (1, 4). Identifying nAbs / non-nAbs to these variants 58 and determining their prevalence in human population allows us to understand the 59 shared mechanisms of immune protection among diverse populations (5, 6). Since the 60 emergence of COVID-19, >11,000 SARS-CoV-2 mAbs have been identified (7). Among these, nAbs encoded by human antibody heavy chain variable germline genes such as 61 62 IGHV3-53/3-66, IGHV1-58, IGHV3-30 and IGHV1-69 are commonly observed in many 63 individuals across the globe (7). These related rearrangements, known as a public 64 antibody response, suggest a shared immune response with a similar genetic makeup and 65 modes of antigen recognition that has been found in large number of individuals infected with influenza, dengue, malaria, HIV and SARS-CoV-2 (5, 6, 8-13). Mapping the 66 immunogenetic makeup, structure, and function of these public clonotypes allows us to 67 68 better understand how certain mutations affect the binding of an antibody and thus potentially expedite antibody re-purposing for emerging variants. It is established that 69 70 SARS-CoV-2 variants bearing K417N/N501Y mutations evade IGHV3-53/3-66 RBD mAbs (5, 13). These antibodies are primarily encoded by near germline sequences and are 71 72 commonly found in populations residing in distinct geographical regions (5, 12, 13). 73 However, SARS-CoV-2 variant evasion from the IGHV3-30 shared antibody response is 74 unclear.

75 We recently published a panel of 92 RBD-binding monoclonal antibodies (mAbs) isolated 76 from five individuals infected with the ancestral SARS-CoV2 strain in India and identified a potent class-3 broad-spectrum antibody capable of neutralizing all highly evasive 77 78 Omicron variants (14, 15). Here, we focused on three mAbs that potently neutralize the 79 ancestral WA.1 strain, but differentially neutralize SARS-CoV-2 variants for further 80 characterization. The immunogenetic analysis confirms that all three mAbs were encoded by IGHV3-53/66 and IGHV3-30 genes and were publicly shared (14). While the 81 82 Cryo-EM structure of all three mAbs showed bivalent spike binding, two mAbs (002-02 83 and 034-32) targeted the class-1 RBD epitope whereas mAb 002-13 targeted a relatively conserved class-4 epitope. Detailed look of molecular interactions at each mAb's epitope-84 85 paratope surface allowed us to predict how mutations of certain residues in key variants 86 of concern (VOCs) might impact antibody functionality and their role in immune evasion.

#### 87 Results

## 88 Identification and characterization of shared human mAbs to SARS-CoV-2

In this study, we have selected three out of 92 previously identified RBD-specific mAbs for further characterization (14). These three mAbs, referred to as 002-13, 002-02, and 034-32 have heavy chain VJ pairings encoded by IGHV3-30, IGHD2-8, IGHJ4; IGHV3-66, IGHD4-17, IGHJ4 and IGHV3-53, IGHD1-1, IGHJ6 immunoglobulin genes, respectively, whereas their light chain VJ pairings were encoded by IGLV6-57, IGLJ2; IGK3-20, IGKJ4 and IGK1-9, IGKJ3 genes, respectively (**Figure 1A**). Genetic analysis of these three mAbs showed that heavy chain variable (V)-genes of all three mAbs were encoded by a shared

96 public antibody response (Figure 1B, 1C, 1D, 1E and S1) as documented in the CoV-97 AbDab database of all RBD-specific mAbs (n=6520) isolated from SARS-CoV-2 98 infected/vaccinated individuals (7). Interestingly, the antibody gene IGHV3-30, IGHJ4 of 99 002-13 mAb is the most frequent VI pairing used by SARS-CoV-2 RBD mAbs (Figure 1D). Heavy chain V-gene IGHV3-30 of mAb 002-13 is the second most frequently used IGHV 100 101 gene among all RBD mAbs (Figure 1B). Interestingly, 002-13 like shared mAbs exhibit 102 the presence of a conserved CxGGxC motif in their 22-residue long complementarity 103 determining region (CDR) H3 (CDRH3) (Figure S1A) encoded by a IGHD2-8 gene (7). The 104 IGHV genes of 002-02 (IGHV3-66) and 034-32 (IGHV3-53) have already been described 105 earlier in detail as a shared clonotype antibody response that shows the characteristic 106 motifs of NY and SGGS in their CDRH1 and CDRH2 regions, respectively, preferred IGHD4-107 17 gene and a short CDRH3 length of 9 – 12 amino acids with high sequence diversity (5, 108 12, 13) (Figure S1B and S1C) (7).

Next, we revealed that all three mAbs strongly bind spike protein with Kd values in low nM to pM range, by both BLI (Figure S2) and Mesoscale binding assay (Mesoscale Discovery) (Figure 2A). Additionally, in agreement with binding data they all potently neutralize the ancestral WA.1 live virus in a focus-reduction neutralization mNeonGreen (FRNT-mNG) assay (Figure 2B and 2C) (14, 15). Taken together, these results confirm high binding affinity and potent neutralizing capacity of all three shared mAbs against the SARS-CoV-2 WA.1 strain.

## 116 *Epitope mapping of mAbs 002-02, 002-13 and 034-32*

To delineate the molecular determinants conferring epitope recognition and to understand the mechanism of their potent neutralization against WA.1 strain, we solved the Cryo-EM structures of WA.1 spike-6P (Spike-hexapro) in complex with each of the three mAbs (002-13, 002-02 and 034-32) in their native full-length IgG form (**Figure 3 and 4**). The structures show bivalent binding modes for all three mAbs, revealing two distinct neutralization mechanisms (**Figure S4**). Below we summarize our observations.

mAb 002-13: The Cryo-EM structure of 002-13 in complex with WA.1 spike-6P (Figure
 3 and S3) resolved at 3.8 Å global resolution revealed a conserved epitope on the inner
 face of the RBD, aligning with RBD-7/class-4 epitopes only accessible in up conformation.

126 There is clear intra-spike bivalent binding, where each Fab region of the full-length IgG

127 recognizes adjacent RBDs in a spike trimer (Figure 3A and S4). 002-13 mAb, belongs to 128 the public clonotype encoded by IGHV3-30 and IGLV6-57 that have not been structurally 129 characterized before. Notably, the 22-residue long CDRH3 region encoded by IGHD2-8 130 gene of 002-13 mAb contains a CxGGxC motif which is shared by other 81 RBD-specific 131 mAbs (Figure S1A) documented in CoV-AbDab database (7). Like other class-4 132 antibodies, 002-13 RBD binding is dominated by the heavy chain contributing ~76% of total interaction with a total buried surface area of ~887 Å<sup>2</sup> (Figure 3B) (16, 17). Most of 133 the heavy chain interactions are mediated through the CDR3 region that forms a foot-like 134 135 loop, stabilized by an intra-loop disulfide bond between residues C105 and C110 of 136 CxGGxC motif (**Figure 3C and 3D**). We observe that multiple interactions involving the 137 residues in RBD region S371- C379 and the heavy chain CDR3 loop are responsible for 138 epitope recognition (Figure 3C). Heavy chain CDR2 residues D57 and S56 engage RBD residue K386 through a salt bridge and hydrogen bond (Figure 3E). The light chain of 139 140 002-13 contributes minimally to RBD binding, only the CDR2 loop of light chain comes 141 into RBD proximity to make a hydrogen bond with the side chain of RBD residue T415 (Figure 3F). Although 002-13 binds outside the Receptor Binding Motif (RBM) surface, 142 143 it can sterically block ACE2 binding through its light chain orientation as previously 144 observed in ACE2 competition profiling (14).

Structural comparison of 002-13 with another class-4 monoclonal antibody (COVA1-16 and CR3032) shows a distinct binding pose for 002-13 (**Figure 3G**), additionally, a unique small side chain-containing sequence in CxGGxC motif of the heavy chain CRD3 loop allows it to go much deeper into the RBD pocket facilitating extensive interactions in this region compared to other class-4 mAbs (**Figure 3H**).

150 We then marked key mutations present in Beta (yellow), Delta (red) and Omicron (green) 151 variants within the 002-13 epitope surface and observed that while all VOC except for 152 Omicron carry no mutations, Omicron carries three mutations (S371L, S373P and S375F) 153 within the 002-13 epitope (Figure 3I). This suggests that while the binding and 154 neutralization of 002-13 mAb will be preserved for most SARS-CoV-2 variants, it might 155 be impacted towards Omicron as these mutations are known to induce a local 156 conformational change in the Omicron RBD structure and thus, could exclusively evade Omicron (18, 19). 157

158 mAb 002-02 and 034-32: Antibodies 002-02 and 034-32 were isolated from two 159 different individuals and are encoded by public clonotype genes IGHV3-53/3-66 (Figure 160 **1A**). They both show very similar properties with high binding specificity towards SARS-161 CoV-2 RBD, effectively compete ACE2 and potently neutralize WA.1 (14) (Figure 2). To 162 define the details of epitope recognition, we determined the Cryo-EM structure of each 163 002-02 (Figure 4 and S5) and 034-32 (Figure S6 and S7) in complex with WA.1 Spike-6P at a resolution of 3.8 and 4.3 Å, respectively. For both complexes, we observe intra-164 spike bivalent binding, where each Fab region of IgG binds two neighboring RBDs in the 165 166 spike trimer in the "up" conformation. The RBD that does not engage in binding Fab remains in the "down" conformation (Figure 4A). Both mAb structures recognize 167 168 epitopes in the top RBD pocket that aligns with the RBM surface suggesting direct ACE2 169 competition and based on this they are classified as RBD-2/class-1 antibodies. Since 002-170 02 and 034-32 recognize the RBD in a very similar manner, we focus our structural 171 analysis on mAb-RBD recognition in the locally refined map for 002-02.

172 While all CDR loops are involved in epitope recognition (Figure 4A and 4B), most RBD contacts are dominated by the heavy chain, contributing  $\sim$ 70% of the total of 1058 Å<sup>2</sup> of 173 174 buried surface between mAb and RBD (Figure 4B). Primary interactions in the heavy 175 chain are mediated by CDR1 and CDR2 regions. Most mAbs that belong to class-1 176 antibodies are encoded by public clonotype genes IGHV3-53/IGHV3-66 (5, 13). The common features among these mAbs include a conserved NY and SGGS motif in CDR1 and 177 178 CDR2 regions, respectively, that contribute significantly toward RBD binding (12). We also observed a network of hydrogen bonds with the RBD through the CDR2 SGGS motif. 179 180 The side chain of S53 and S56 in the CDR2 heavy chain engages in a hydrogen bond with 181 side chains of Y421 and D420 in RBD, respectively (Figure 4C). However, the CDR3 loop 182 heavy chain residues in this mAb class varies more. In 002-02, the heavy chain CDR3 183 residue D101 forms a hydrogen bond with K417 and Y453 in the RBD (Figure 4D). In the light chain, CDR1 and CDR3 make some contact with the inner left side of the RBD. The 184 185 S30 and Y32 residue in the CDR1 region of the light chain makes a hydrogen bond with 186 Q498 and R403 in RBD, respectively (Figure 4E). Also, the S93 in the CDR3 region of the light chain interacts with Y505 and D405 (Figure 4F). 187

Like 002-13, we also mapped mutations found in Beta (yellow), Delta (red) and Omicron
(green) variants onto the 002-02 / 034-32 epitope (Figure 4G). While Delta carries no

mutation within 002-02 / 034-32 epitope surface, three of the Beta mutations (K417N,
E484K, N501Y) fell within its epitope, suggesting no variation in binding and
neutralization for Delta but weakened binding and neutralization for Beta. However, six
Omicron mutations (K417N, S477N, Q493R, G496S, Q498R and N501Y) lied within the
002-02/ 034-32 epitope surface and predicted to evade Omicron binding and
neutralization. Collectively, based on these observations both 002-02 and 034-32 mAbs
will be less or ineffective towards both Beta and Omicron variants.

#### 197 Assessing binding and neutralization breadth towards SARS-CoV-2 variants

198 To link the paratope mutation landscape in VOC to the antibody function, we tested 199 binding and neutralization of these three mAbs against SARS-CoV-2 variants. In 200 agreement with the structure-based prediction, the binding of 002-13 (class-4 antibody) 201 remained unaffected towards Alpha, Beta and Delta variants as these variants contain no 202 mutations within the 002-13 epitope and showed moderately reduced ( $\sim$ 2.7-fold) 203 binding to Omicron (Figure 3I, Figure 5A and 5G). In agreement with binding data, the 204 neutralization potency of 002-13 remained unperturbed in Alpha, Beta, Delta and 205 showed no observable neutralization of the Omicron virus (Figure 5E and 5G). Along 206 that line, binding of 002-02 and 034-32 (class-1 antibodies) retained for Alpha and Delta 207 variants to the same affinity as of WA.1, showed 3-fold and 150-fold reduced affinity to 208 Beta, respectively, and no observable binding to Omicron (Figure 5B, 5C and 5G). 209 Following this tread both 002-02 and 034-32 neutralize Alpha and Delta variants with 210 the same potency as WA.1, showed 4-fold and 17-fold reduced potency to Beta, 211 respectively and complete loss of neutralization to Omicron (Figure 5E, 5F and 5G). This 212 is further supported by the fact that unique K417N mutation (present in Beta but not in 213 Delta) would result in a loss of a hydrogen bond with D101 in heavy chain CDR3 (Figure 214 **4D**) and subsequent Beta-variant specific loss of binding and neutralization for 002-02 215 and 34-32. This was also confirmed by a 2-fold decrease in the calculated ddG value of -216 46.23 +/- 10.5 kcal/mol based on molecular mechanics/ Poisson-Boltzmann surface area 217 (MM/PBSA) free energy for the single K417N mutant in 002-02-spike structure 218 compared to the WA.1 ddG value of -82.62 +/- 9.57 (Figure S8).

Altogether, this data catalogues the epitope class-specific antibody susceptibility towards
existing SARS-CoV-2 variant and can inform their action on a newly emerging variant.

#### 221 **Discussion**

222 Understanding how SARS-CoV-2 mAbs achieve broad neutralization or are rendered 223 ineffective by viral mutations provides insight not only about natural immunity, but is 224 critical to develop broadly effective therapeutic mAbs and guide vaccine design (5, 12, 225 20-22). Moreover, defining antibody-antigen interactions is critical for the rapid re-226 evaluation of existing antibody-based therapeutics towards continuously emerging 227 SARS-CoV-2 variants. This, overlaid with the immuno-genetic makeup of the antibodies 228 shared by large population further informs our understanding of the public immune 229 response and their antigenic drift from variants. For example, certain antibody responses 230 are repeatedly shared among large number of individuals regardless of their genetic 231 origins, as has been observed previously during different pathogen infections including 232 influenza, dengue, HIV and Malaria (8–11). With SARS-CoV-2, these are encoded by 233 IGHV3-53/66, IGHV1-58, IGHV3-30 and IGHV1-69 which are found both following 234 natural infection and post-vaccination (5, 6, 12). Such information can be collectively 235 used to fine tune the immune response focused on broad and potent neutralizing 236 epitopes through antigen design for a universal vaccine (20, 22). Recently, based on the 237 information from shared public clonotypes of HIV-1 bnAbs, a V2-apex region specific 238 immunogen has been successfully designed (23).

239 We recently reported the isolation of 92 SARS-CoV-2 RBD-specific mAbs from COVID-19 240 recovered individuals from India during the first wave of the pandemic and identified a 241 broadly neutralizing class-3 antibody (002-S21F2), capable of neutralizing all omicron 242 subvariants (14). Out of 92, three SARS-CoV-2 nAbs (002-13, 002-02 and 034-32) 243 characterized in this study, belong to shared public antibody responses. Sequence 244 analysis of 6520 published SARS CoV-2 RBD specific mAbs define 002-13 as a public 245 clonotype encoded by IGHV3-30, IGHJ4 genes with >80% of these exhibiting IGHD2-8 gene usage and presence of CxGGxC motif in their CDRH3 region that have not been 246 247 structurally characterized (7). While the other two mAbs, 002-02 and 034-32 mAbs are encoded by shared IGHV3-53/3-66 antibody genes as previously shown by others (5, 12, 248 249 13). The Cryo-EM structures for these three mAbs in complex with trimeric spike protein 250 show class-4 epitope recognition by 002-13 and class-1 epitope recognition by 002-02 and 034-32. The structures further allowed us to define their epitope-paratope interfaces 251 252 in detail in relation to the locations of SARS-CoV-2 variants mutations to predict viral 253 immune escape. While there was no observable difference in the antibody functionality 254 for variants containing mutations that lie outside the mapped epitope surface of a particular antibody, there was a remarkable drop in binding affinity, and neutralization 255 256 of the antibody when the mutations mapped to the antibody footprint. Most broad 257 neutralizing antibodies recognize all variants antigen that either carry no mutations 258 within their epitopes or the mutations in epitope region are favored by mAb specific 259 molecular interactions as we observed for class-3 mAb 002-S21F2 (14). Here, we show 260 all three mAbs potently neutralized the ancestral WA.1 strain, but differentially 261 neutralize other variants, primarily due to the presence of evading mutations present in 262 their epitope antigenic sites, similar to the other well characterized mAbs recognizing the 263 same epitope classes (Figure 5H) (7). Major mutations responsible for Beta evasion are 264 K417N, E484A for 002-02 and 034-32 mAbs, also observed previously for IGHV3-53/3-265 66 shared antibody responses (5, 12). Omicron, which contains six epitope mutations (K417N, S477N, Q493R, G496S, Q498R and N501Y) within 002-02/034-32 and three 266 267 mutations (S371L, S373P and S375F) within 002-13 binding site, would collectively lead to major immuno-escape, especially as some mutation residues participate in direct 268 269 interaction with mAb. Although 002-13 showed only moderate reduction in binding 270 affinity, it showed no neutralization towards Omicron suggesting additional factors might 271 play a role in 002-13 specific Omicron escape. One explanation could be that Omicron 272 mutations that favor Spike "up" conformation would likely promote ACE2 interaction and 273 reduce 002-13 mAb competition (24). Our findings suggest that immune pressures 274 exerted by the shared antibody response to SARS-CoV-2 are likely to cause evolution 275 variants with mutations in the class-4 antibody epitope residues S371, S373 and S375. 276 These mutations must be tracked to find effective solutions to combat emerging variants. 277 Further, the structure guided prediction made for three SARS-CoV-2 shared nAbs that 278 potently neutralized the WA.1 strain holds true towards the functional efficacy of these 279 mAbs against SARS-CoV-2 variants, including Omicron.

In summary, this study vastly improves our understanding of how Omicron escaped from
shared antibody responses to SARS-CoV-2 elicited during the natural infection and has
implications towards concepts for fast-tracking effective broad range therapeutics
against continuously emerging SARS-CoV-2 variants.

#### 284 Materials and Methods

#### 285 SARS-CoV-2 RBD-specific ELISA binding assays

286 The recombinant SARS-CoV-2 RBD gene was cloned, expressed, purified and ELISAs were 287 performed as previously described (14, 15, 25). Briefly, purified RBD was coated on 96well MaxiSorp plates (Thermo Fisher, #439454) at a concentration of 1 µg/mL in 288 289 phosphate-buffered saline (PBS) at 4°C overnight. The plates were washed with PBS 290 containing 0.05% Tween-20. Three-fold serially diluted purified mAb was added and 291 incubated at room temperature for 1 hr. Plates were washed and the SARS-CoV-2 RBD 292 specific IgG signal was detected by incubating with horseradish peroxidase (HRP) 293 conjugated - anti-human IgG (Jackson ImmunoResearch Labs, #109-036-098). Plates 294 were then washed thoroughly and developed with o-phenylenediamine (OPD) substrate 295 (Sigma, #P8787) in 0.05M phosphate-citrate buffer (Sigma, #P4809) pH 5.0, containing 296 0.012% hydrogen peroxide (Fisher Scientific, #18755). Absorbance was measured at 297 490 nm.

#### 298 Live SARS-CoV-2 neutralization assay

299 Neutralization titers to SARS-CoV-2 were determined based on either a focus-reduction 300 neutralization mNeonGreen (FRNT-mNG) assay on Vero cells or FRNT assays based on 301 Vero TMPRSS2 cells as previously described (14, 15). Briefly, 100 pfu of SARS-CoV-2 302 (2019-nCoV/USA\_WA1/2020), Alpha, Beta, Gamma, Delta and Omicron variants were 303 used on Vero TMPRSS2 cells. Purified monoclonal was serially diluted three-fold in 304 duplicate starting at 10 µg/ml in a 96-well round-bottom plate and incubated for 1 h at 37°C. This antibody-virus mixture was transferred into the wells seeded with Vero-305 306 TMPRSS2 cells the previous day at a concentration of  $2.5 \times 10^4$  cells/well. After 1 hour, the antibody-virus inoculum was removed and 0.85% methylcellulose in 2% FBS 307 308 containing DMEM was overlaid onto the cell monolayer. Cells were incubated at 37°C for 309 16-40 hours. Cells were washed three times with 1X PBS (Corning Cellgro) and fixed with 310 125 µl of 2% paraformaldehyde in PBS (Electron Microscopy Sciences) for 30 minutes. 311 Following fixation, plates were washed twice with PBS and 100 µl of permeabilization buffer, was added to the fixed cells for 20 minutes. Cells were incubated with an anti-312 SARS-CoV spike primary antibody directly conjugated with alexaflour-647 (CR3022-313 314 AF647) for up to 4 hours at room temperature. Plates were then washed twice with 1x 315 PBS and imaged on an ELISPOT reader (CTL Analyzer). Foci were counted using Viridot 316 (counted first under the "green light" set followed by background subtraction under the 317 "red light" setting). IC<sub>50</sub> titers were calculated by non-linear regression analysis using the 318 4PL sigmoidal dose curve equation on Prism 9 (Graphpad Software). Neutralization titers 319 were calculated as 100% x [1- (average foci in duplicate wells incubated with the 320 specimen) ÷ (average number of foci in the duplicate wells incubated at the highest 321 dilution of the respective specimen).

## 322 Immunogenetic analyses of antibody genes

The plasmid sequences were verified by Sanger sequencing (Macrogen sequencing, South Korea). The immunogenetic analysis of both heavy chain and light chain germline assignment, framework region annotation, determination of somatic hypermutation (SHM) levels (nucleotides) and CDR loop lengths (amino acids) was performed with the aid of IMGT/HighV-QUEST (<u>www.imgt.org/HighV-QUEST</u>) (26).

#### 328 Expression of human monoclonal antibodies

329 All transfections were done as described earlier (14). Briefly, expi293F cells were transfected with antibody expression plasmids at a density of 2.5 million cells per/ml 330 using 1 mg/ml PEI-Max transfection reagent (Polysciences). Supernatants were 331 harvested 4-5 days post-transfection and tested for their SARS-CoV-2 RBD binding 332 333 potential by enzyme-linked immunosorbent assay (ELISA). Supernatant with positive 334 RBD binding signals was next purified using Protein A/G beads (Thermo Scientific), 335 concentrated using a 30 kDa or 100 kDa cut-off concentrator (Vivaspin, Sartorius) and 336 stored at 4°C for further use.

#### 337 Electrochemiluminescence antibody binding assay

Binding analysis of SARS-CoV-2 mAb to spike protein was performed using an electrochemiluminescence assay as described earlier (14). Briefly, V-PLEX COVID-19 Panel 24 (Meso Scale Discovery) was used to measure the IgG1 mAb binding to SARS-CoV-2 spike antigens following the manufacturer's recommendations. antigen coated plates were blocked with 150  $\mu$ l/well of 5% BSA in PBS for 30 minutes. Plates were washed 3x with 150  $\mu$ l/well of PBS with 0.05% Tween between each incubation step. mAbs were serially diluted for concentrations ranging from 10  $\mu$ g/ml to 0.1 pg/ml and

50 μl/well were added to the plate and incubated for two hours at room temperature
with shaking at 700rpm. mAb antibody binding was then detected with 50 μl/well of MSD
SULFO-TAG anti-human IgG antibody (diluted 1:200) incubated for one hour at room
temperature with shaking at 700rpm. 150 μl/well of MSD Gold Read Buffer B was then
added to each plate immediately before reading on an MSD QuickPlex plate reader.

#### **Octet BLI analysis**

351 Octet biolayer interferometry (BLI) was performed using an Octet Red96 instrument (ForteBio, Inc.) as described earlier (14). A 5 µg/ml concentration of each mAb was 352 353 captured on a protein A sensor and its binding kinetics were tested with serial 2-fold 354 diluted RBD (600 nM to 37.5 nM) and spike hexapro protein (100 nM to 6.25 nM). The 355 baseline was obtained by measurements taken for 60 s in BLI buffer (1x PBS and 0.05%) 356 Tween-20), and then, the sensors were subjected to association phase immersion for 357 300 s in wells containing serial dilutions of RBD or trimeric spike hexapro protein. Then, 358 the sensors were immersed in BLI buffer for as long as 600 s to measure the dissociation 359 phase. The mean Kon, Koff and apparent KD values of the mAbs binding affinities for RBD 360 and spike hexapro were calculated from all the binding curves based on their global fit to 361 a 1:1 Langmuir binding model using Octet software version 12.0.

#### 362 Spike protein expression and purification

363 SARS-CoV-2 Spike-6P trimer protein carrying WA.1 was expressed and purified by 364 transfecting expi293F cells using WA.1-spike-6P plasmids as described previously (14). Transfections were performed as per the manufacturer's protocol (Thermo Fisher). 365 Briefly, expi293F cells (2.5x10<sup>6</sup> cells/ml) were transfected using ExpiFectamine<sup>™</sup> 293 366 transfection reagent (ThermoFisher, cat. no. A14524). The cells were harvested 4-5 days 367 368 post-transfection. The spike protein was purified using His-Pur Ni-NTA affinity 369 purification method. Column was washed with Buffer containing 25 mM Imidazole, 6.7 370 mM NaH<sub>2</sub>PO<sub>4</sub>.H2O and 300 mM NaCl in PBS followed by spike protein elution in elution 371 buffer containing 235 mM Imidazole, 6.7 mM NaH<sub>2</sub>PO<sub>4</sub>.H2O and 300 mM NaCl in PBS. 372 Eluted protein was dialyzed against PBS and concentrated. The concentrated protein was 373 loaded onto a Superose-6 Increase 10/300 column and protein eluted as trimeric spike 374 collected. Protein quality was evaluated by SDS-PAGE and by Negative Stain-EM.

#### 375 Negative Stain – Electron Microscopy (NS-EM)

Spike protein was diluted to 0.05 mg/ml in PBS before grid preparation. A 3 μL drop of diluted protein (~0.025 mg/ml) was applied to previously glow-discharged, carboncoated grids for ~60 sec, blotted and washed twice with water, stained with 0.75% uranyl formate, blotted and air-dried. Between 30-and 50 images were collected on a Talos L120C microscope (Thermo Fisher) at 73,000 magnification and 1.97 Å pixel size. Relion-

381 3.1 (27) or Cryosparc v3.3.2 (28) was used for particle picking and 2D classification.

#### 382 Sample preparation for Cryo-EM

383 SARS-CoV-2 spike-6P trimer incubated with the mAb (full-length IgG) at 0.7 mg/ml 384 concentration. The complex was prepared at a 0.4 sub-molar ratio of mAb to prevent 385 inter-spike crosslinking, mediated by bi-valent binding of intact antibody. The complex 386 was incubated at room temperature for  $\sim 5$  min before vitrification. Three  $\mu$ L of the 387 complex was applied onto a freshly glow-discharged (PLECO easiGLOW) 400 mesh, 388 1.2/1.3 C-Flat grid (Electron Microscopy Sciences). After 20 s of incubation, grids were 389 blotted for 3 s at 0 blot force and vitrified using a Vitrobot IV (Thermo Fisher Scientific) 390 under 22°C with 100% humidity.

## 391 **Cryo-EM data acquisition**

392 Single-particle Cryo-EM data for WA.1 spike-IgG complexes of mAb 002-02, 002-13 and 393 034-32 were collected on a 300 kV Titan Krios transmission electron microscope 394 (ThermoFisher Scientific) equipped with Gatan K3 direct electron detector behind 30 eV 395 slit width energy filter. Multi-frame movies were collected at a pixel size of 1.0691 Å per 396 pixel with a total dose of 63 e/Å<sup>2</sup> at defocus range of -0.7 to -2.7  $\mu$ m.

#### 397 Cryo-EM data analysis and model building

398 Cryo-EM movies were motion-corrected by Patch motion correction implemented in
399 Cryosparc v3.3.1 (28). Motion-corrected micrographs were corrected for contrast
400 transfer function using Cryosparc's implementation of Patch CTF estimation.
401 Micrographs with poor CTF fits were discarded using CTF fit resolution cutoff to ~6.0 Å.
402 Particles were picked using a Blob picker, extracted and subjected to an iterative round
403 of 2D classification. Particles belonging to the best 2D classes with secondary structure

404 features were selected for heterogeneous 3D refinement to separate IgG bound Spike 405 particles from non-IgG bound Spike particles. Particles belonging to the best IgG bound 406 3D class were refined in non-uniform 3D refinement with per particle CTF and higher-407 order aberration correction turned on. To further improve the resolution of the RBD-IgG 408 binding interface a soft mask was created covering one RBD and interacting Fab region 409 of IgG and refined locally in Cryosparc using Local Refinement on signal subtracted particles. All maps were density modified in Phenix (29) using Resolve Cryo-EM. The 410 411 combined Focused Map tool in Phenix was used to integrate high resolution locally 412 refined maps into an overall map. Additional data processing details are summarized in 413 Figure S3-S6 and Table S1-2.

414 The initial spike models for WA.1 (PDB:7lrt)) as well as individual heavy and light chains 415 of the Fab region of an IgG (generated with Alphafold) (30) were docked into combine 416 focused Cryo-EM density maps using UCSF ChimeraX (31). The full Spike-mAb model was 417 refined using rigid body refinement in Phenix, followed by refinement in Isolde (32). The 418 final model was refined further in Phenix using real-space refinement. Glycans with 419 visible density were modelled in Coot and validated by Privateer (33, 34). Model 420 validation was performed using Molprobity (35). PDBePISA (36) was used to identify 421 mAb-RBD interface residue, to calculate buried surface area and to identify polar 422 interaction. Figures were prepared in ChimeraX (31) and PyMOL (37).

#### 423 Molecular dynamics simulation

Molecular dynamics simulations were carried out to understand the effect of RBD 424 425 mutations on the mAb binding. MD simulations were carried using AMBER99SB force field as implemented in GROMACS 2019. The system was solvated with TIP3P water 426 427 model and neutralized with salts ([NaCl] = 0.15 M,). Electrostatics were calculated using the PME method [24] with a real space cut-off of 10 Å. Van der Waals interactions were 428 modelled using Lennard–Jones 6–12 potentials with a 14 Å cut-off. The temperature was 429 430 maintained at 300 K using V-rescale; hydrogen bonds were constrained using the LINCS 431 algorithm [25]. Energy minimization was carried out to reach a maximum force of no more than 10 kJ/mol using steepest descent algorithm. The time step in all molecular 432 dynamics simulations was set to 2 fs. Prior to the production run, the minimized systems 433 434 were equilibrated for 5ns with NVT and followed with NPT at 300 K.

435 To calculate the Binding energies for the wild and the mutants, 200 snapshots were 436 extracted from the last 20 ns of the 80ns production run. The extracted 400 frames for 437 the wild and the variant subjected to MM/PBSA calculations using the gmx\_MMPBSA tool. 438 Before executing the calculations using gmx MMPBSA, PBC conditions were removed 439 from the GROMACS output trajectory and protein-mAB complex were indexed. The 440 AMBER99SB force field5 was used to determine the internal term (Eint), van der Waals 441 (EvdW), and electrostatic (Eele) energies. Whereas GB-Neck2 model (igb = 8) was used 442 to estimate the polar component of the solvation energy (GGB), while the non-polar 443 solvation free energy (GSA) was calculated using the equation:  $\Delta GSA = \gamma \cdot \Delta SASA + \beta$ . Here the values of  $\gamma$  and  $\beta$  are 0.0072 kcal·Å<sup>-2</sup>·mol<sup>-1</sup> and 0. 444

## 445 **Data availability**

446 Atomic coordinates and Cryo-EM maps for reported structures are deposited into the 447 Protein Data Bank (PDB) and the Electron Microscopy Data Bank (EMDB) with accession 448 codes PDB-7U0Q and EMD-26263 for WA.1 Spike-6P in complex with mAb 002-02, PDB-449 7U0X and EMD-26267 for WA.1 Spike-6P in complex with mAb 002-013, PDB-7UOW and 450 EMD-26656 for WA.1 Spike-6P in complex with mAb 034-32. Immunoglobulin sequences are available in GenBank under accession numbers ON882061 - ON882244. All data 451 452 needed to evaluate the conclusions in the paper are present in the paper and/or the 453 Supplementary Materials. For materials requests, please reach out to the corresponding 454 author.

## 455 Statistical/Data analysis

456 Statistical analysis was performed with Prism 9.0.

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#### 496 Author contributions

- 497 Experimental work, data acquisition and analysis of data by A.P., S.K., L.L., C.R.C., R.V.,
- 498 E.S.R., K.V.G., D.R.R., P.B., V.V.E., M.E.D.G., K.D., P.S., G.M., F.F., N.C., H.V., A.S.N., J.D.R., C.W.D.,
- 499 J.W., M.S.S., and E.A.O. Conceptualization and implementation by S.K., A.P., E.O., M.S.S., A.S.,
- 500 R.A., M.K.K., A.C. Manuscript writing by S.K., A.P., E.A.O., A.C., and M.K.K. All authors
- 501 contributed to reviewing and editing the manuscript.

#### 502 **Competing interests**

- The International Centre for Genetic Engineering and Biotechnology, New Delhi, India,
  Emory Vaccine Center, Emory University, Atlanta, USA, Indian Council of Medical
  Research, India and Department of Biotechnology, India have filed a provisional patent
  application on human monoclonal antibodies mentioned in this study on which A.C., S.K.,
  M.K.K., and A.S. are inventors (Indian patent 202111052088). N.C., H.V., A.S.N., and J.D.R.
- 508 are co-inventors on a pending patent related to SARS-CoV-2 WT, Delta and Omicron spike
- 509 protein structures and ACE2 Interactions from BoAb assay technology filed by Emory
- 510 University (US Patent Application No. 63/265,361, Filed on 14 December 2021). M.S.S.
- Site oniversity (ob Fatene Application No. 05/200,501, Filed on FF Detember 2021). Molo
- 511 has previously served as a consultant for Moderna and Ocugen. J.D.R. is a Co-founder and
- 512 Consultant for Cambium Medical Technologies. J.D.R. is a Consultant for Secure
- 513 Transfusion Services. All other authors declare no competing interests.

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- understanding of protein structure. *Biochem Mol Biol Educ* **44**, 433–437 (2016).

## 601 Figure Legends

602 Figure 1: Genetic information of SARS-CoV-2 RBD specific shared mAbs. (A) 603 Immunogenetic information of the three SARS-CoV-2 mAbs. (B) Heavy chain variable 604 gene distribution of SARS-CoV-2 RBD-specific human mAbs (N=6520) documented in 605 CoV-AbDab dataset. (C) Light chain variable gene distribution of SARS-CoV-2 RBD-606 specific human mAbs documented in CoV-AbDab dataset. (**D**) Heavy chain VI-gene bar plot of SARS-CoV-2 RBD-specific human mAbs documented in CoV-AbDab dataset. (E) 607 608 Light chain VJ-gene bar plot of SARS-CoV-2 RBD-specific human mAbs documented in 609 CoV-AbDab dataset.

610 Figure 2: Binding, neutralization and affinity analysis of selected mAbs towards the 611 WA.1 strain. (A) Three SARS-CoV-2 mAbs were tested for binding to the WA.1 RBD 612 protein. **(B)** Live virus neutralization curves of the three mAbs against live WA.1 SARS-613 CoV-2. Neutralization was determined on using a focus reduction neutralization mNeonGreen (FRNT-mNG) assay on Vero cells. (C) 50% focus reduction neutralization 614 615 titres (FRNT-mNG<sub>50</sub>) for the three SARS-CoV-2 mAbs against WA.1 are shown. 616 Figure 3. Cryo-EM structure of 002-13 in complex with WA.1 Spike trimer explains 617 its broad neutralization activity. (A) Cryo-EM structure of WA.1 Spike-6P trimer in 618 complex with mAb 002-13. Overall density map at contour level of 5.4  $\sigma$  showing the

619 antibody binding in the RBD "up" conformation. Each Spike protomer is shown in gray, 620 yellow or green; light and heavy chains of each Fab region are shown in blue/ magenta 621 and light blue/ pink, respectively. A model for one complex between Fab and RBD is shown to the right. The positions of all Fab complementarity-determining region (CDR) 622 623 regions are labelled. **(B)** Surface representation of RBD with relative positions of all CDR 624 loops. The mapped epitope surface in the RBD is highlighted in magenta. (C, E, F) 625 Interaction details at the 002-13-RBD interface. (D) Heavy chain CDR3 loop in density map. (G) Comparison of 002-13 binding mode with other Class-4 mAbs. (H) Zoom in view 626 627 comparing the heavy chain CDR3 loop positions of 002-13 vs COVA1-16. CDR3 amino acid 628 sequence of 002-13 and COVA1-16 is shown below. (I) Locations of beta (yellow), delta 629 (red) and omicron (green) mutations on the RBD relative to the 002-13 epitope site 630 (black outline).

Figure 4. Cryo-EM structure of 002-02 in complex with WA.1 spike trimer. (A) Cryo-631 EM structure of WA.1 spike-6P trimer in complex with mAb 002-02. Overall density map 632 633 at contour level of 3.6  $\sigma$  showing the antibody binding two RBDs in the "up" conformation. 634 Each protomer of Spike is shown in gray, yellow or green; the light and heavy chains of each Fab region are shown in blue/ magenta and light blue/ pink, respectively. A model 635 one Fab-RBD complex is shown to the right and the positions of all Fab CDR regions are 636 637 labelled. (B) Surface representation of the RBD showing the relative positions of all CDR loops. The mapped epitope surface in the RBD is highlighted in orange. (C-F) Interaction 638 639 details of the 002-02-RBD interface. (G) locations of Beta (vellow), Delta (red) and 640 Omicron (green) mutations on RBD relative to the 002-02 epitope site (black outline).

Figure 5: Binding affinity and neutralization analysis of selected mAbs against
SARS-CoV-2 variants. (A-C) Three potent neutralizing mAbs were tested for binding to
spike proteins of SARS-CoV-2 WA.1, Alpha, Beta, Delta and Omicron (BA.1) variants of
concern (VOCs). Curves shown are the best fit one-site binding curves calculated by Prism
9.0 (D-F) Live virus neutralization curves and FRNT<sub>50</sub> values of three potent mAbs for

- 646 WA.1, Alpha, Beta, Delta and Omicron (BA.1) SARS-CoV-2 VOCs are shown. Neutralization
- 647 was determined on Vero-TMPRSS2 cells using a focus reduction neutralization assay. **(G)**
- 648 Table summarizing the dissociation constant (K<sub>D</sub>) and neutralization potency of mAbs
- against SARS-CoV-2 variants. **(H)** Comparison of three mAbs with other similar RBD
- epitope class recognizing mAbs and their reported neutralization to SARS-CoV-2 variants(variants in Bold show reduced potency) (7).
- 652
- 653

#### 654 Figures

## **Figure-1**

## Α

	Heavy Chain					Light Chain					
mAbs	V-gene	D-gene	J-gene	CDRH3	CDRH3 Length	SHM (%)	V-gene	J-gene	CDRH3	CDRL3 Length	SHM (%)
002-13	IGHV3-30*03	IGHD2-8*02	IGHJ4*02	ARDLSAGHCTGGVCYTAGGIDY	22	1.7	IGLV6-57*03	IGLJ2*01	HSYDSDNVV	9	1.7
002-02	IGHV3-66*02	IGHD4-17*01	IGHJ4*02	ARDYGDFYFDY	11	3.1	IGKV3-20*01	IGKJ2*02	QQYGSSPRT	9	1.0
034-32	IGHV3-53*01	IGHD1-1*01	IGHJ6*02	ARDLDYYGMDV	11	3.8	IGKV1-9*01	IGKJ3*01	QQVNSYPPIT	10	2.8







## 

## **Figure-2**



SARS-CoV-2 mAbs	FRNT₅₀ (µg/ml)
002-02	0.039
002-13	0.022
034-32	0.031

#### Figure-3 669



672

#### Figure-4



#### 681 Figure-5



	002	-13	002	2-02	032-32		
SARS-CoV-2 variants	K₀ (nM)	FRNT₅₀ (µg/ml)	K₀ (nM)	FRNT₅₀ (µg/ml)	K₀ (nM)	FRNT₅₀ (µg/ml)	
WA.1	0.17	0.20	0.08	0.43	0.08	0.28	
Alpha	0.20	0.27	0.13	0.32	0.17	0.28	
Beta	0.21	0.30	0.25	1.64	12.0	4.90	
Delta	0.23	0.21	0.13	0.26	0.10	0.32	
Omicron (BA.1)	0.46	>10	264.1	>10	>300	>10	

#### Н

RBD Epitope	mAbs	VH-Gene CDRH3		VL-Gene CDRL3		SARS-CoV-2 variant neutralization	
	002-02	IGHV3-66	ARDYGDFYFDY	IGKV3-20	QQYGSSPRT	Alpha, <b>Beta</b> , Delta	
	034-32	IGHV3-53	ARDLDYYGMDV	IGKV1-9	QQVNSYPPIT	Alpha, <b>Beta</b> , Delta	
Class 1	COVOX-158	IGHV3-53	ARDLGSGDMDV	IGKV1-9	QQLNSYRYT	Alpha, <b>Beta</b> , Delta	
	BD604	IGHV3-53	ARDLGPYGMDV	IGKV1-9	QQLNSDLYT	Alpha, <b>Beta</b> , Delta	
	BD56-1916	IGHV3-66	ARDYGDFYFDF	IGKV1-NL1	QQYYNTPRT	Alpha, <b>Beta</b> , Delta	
	002-13	IGHV3-30	ARDLSAGHCTGGVCYTAGGIDY	IGLV6-57	HSYDSDNVV	Alpha, Beta, Delta	
Class 4	BD56-1427	IGHV3-30	AKGSGYCSGGRCYPEGYFDY	IGLV3-21	QVWDSSSNLYWV	Alpha, Beta, Delta	
	BD56-1950	IGHV3-30	AKDWVAGYCRGGRCNSYNGLDV	IGLV6-57	QSHDGSKMI	Alpha, Beta, Delta	
	BD56-967	IGHV3-30	AKTVAPYCSGGNCLSGYFDY	IGKV1-33	QQYDSLPLT	Alpha, Beta, Delta	
Class 3	002-S21F2	IGHV5-51	ARGEMTAVFGDY	IGKV1-33	QQYKILLTWT	Alpha, Beta, Delta, Omicron	

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