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**Problem Solving Protocol** 

# Ensemble learning based on matrix completion improves microbe-disease association prediction

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#### **Abstract**

Microbes have a profound impact on human health. Identifying disease-associated microbes would provide helpful guidance for drug development and disease treatment. Through an enormous experimental effort, limited disease-associated microbes have been determined. Accurate computational approaches are needed to predict potential microbe-disease associations for biomedical screening. In this study, we present an ensemble learning framework entitled SABMDA to improve microbe-disease association inference. We first integrate multi-source of information from both microbes and diseases, and develop two matrix completion algorithms to predict microbe-disease associations successively. Ablation tests show combining the two matrix completion algorithms can receive better prediction performance. Moreover, comprehensive experiments, including cross-validations and independent test, demonstrate that SABMDA outperforms seven recent baseline methods significantly. Finally, we apply SABMDA to three diseases to predict their associated microbes, and results show SABMDA's remarkable prediction ability in real situations.

Keywords: microbe-disease association; ensemble learning; matrix completion

## Introduction

Microbes widely exist on our planet, including in oceans, soils, and the human body [1]. It is estimated that the human body hosts approximately 350 trillion microbial cells [2]. With recent advances in sequencing and new bioinformatics development, significant progress has been made in revealing how microbiome composition and function affect human health. For example, studies showed that changes in the composition of human microbiota might impact immunological and pathological conditions [3–5]. Additionally, it has been discovered that hepatic metabolism was regulated by microbiota in the liver through decreasing energy expenditure and promoting adiposity [6]. Because of their fundamental roles in human health, accumulating studies have been conducted to elucidate the relationships between microbes and various types of diseases (see review [7] for more details).

Biomedical efforts to discover disease-associated microbes are often time-consuming and costly. Computational approaches to inferring potential microbe-disease associations would therefore bring benefits to the scientific communities. So far, developing algorithms for microbe-disease association prediction has aroused enormous interest in bioinformatics field [8]. Generally, these computational methods apply Random Walk [9–11], bipartite local models (BLMs) [12–14], matrix factorization [15–17], and machine learning [18, 19] for association prediction. These methods prioritize the potential associations according to their received scores based on graph theory, or consider the association prediction as a classification or regression problem in machine learning.

Even though, increasing prediction accuracy has gradually been received from the above computational methods. Some shortcomings should be mentioned. For example, the graph-based algorithms are sensitive to noise and spareness in data, which can lead to misleading predictions or severely impact performance. For the machine learning methods, selecting relevant features from high-dimensional biomedical data can be difficult. Poor feature selection can lead to suboptimal prediction performance.

More recently, with the rapid development of molecular biology science, new biomedical data about microbes and diseases is continuously emerging. The heterogeneous data provides complementary information while may contain noise. Integrating the biomedical information from different sources would improve the accuracy of microbe-disease association prediction. Meanwhile, improved prediction performance is required to offer useful guidance for biomedical researchers. More reliable prediction algorithms therefore need to be developed with the fast advance of modern computer science.

In this study, we present an ensemble learning method entitled SABMDA based on matrix completion to improve microbedisease association prediction. Specifically, SABMDA first fuses multiple biomedical information of microbes and diseases to form a microbe-disease matrix. It then applies a singular value thresholding (SVT) algorithm to complete the original microbedisease matrix. We finally use a bounded nuclear norm regularization (BNNR) algorithm with constraints to predict microbedisease associations. By 5-CV, 10-CV, and independent validation tests, SABMDA receives the best prediction performance when compared to seven baseline methods. In addition, case studies

are conducted and results show that SABMDA exhibits reliable inference ability in real situations. In summary, the excellent performance of SABMDA suggests that it is a powerful and effective computational tool for inferring new microbe-disease associations.

# Materials and methods Data preparation

We first download the benchmark dataset from reference [19], in which 4499 experimentally confirmed microbe-disease associations were collected and four categories of similarities of both microbe-microbe and disease-disease were calculated. For the 4499 associations, there exist 1177 microbes and 134 diseases. For the four kinds of similarities in microbes, we refer to as functional similarity (FS), cosine similarity (COS MS), Gaussian interaction profile similarity (GIP\_MS), and sigmoid kernel function similarity (SIG\_MS). For disease similarities, semantic similarity (DS), cosine similarity (COS\_DS), Gaussian interaction profile similarity (GIP\_DS), and sigmoid kernel function similarity (SIG\_DS) were computed.

We then fuse the four similarity matrices of microbes and diseases as follows:

$$SM = \frac{FS + COS\_MS + GIP\_MS + SIG\_MS}{4}$$
 (1)

$$SD = \frac{DS + COS\_DS + GIP\_DS + SIG\_DS}{4}$$
 (2)

where SM denotes the fused microbe similarity matrix and SD represents the fused disease similarity matrix. Finally, we integrate the two fused similarity matrices with the microbe-disease association matrix A' to obtain a new matrix X:

$$X = \begin{bmatrix} SD & {A'}^T \\ A' & SM \end{bmatrix}$$
 (3)

## The framework of SABMDA

The workflow of SABMDA for microbe-disease association predictions is shown in Fig. 1. After similarity fusion, SABMDA combines the similarity matrices with the adjacency matrices, and performs matrix completion using a SVT algorithm. Then, a BNNR algorithm is applied to further optimize the complemented matrices with meta-type integration, and finally a score matrix for predicting microbe-disease associations is obtained. We prioritize the scores to screen potential associations.

Specifically, we consider the microbe-disease association prediction as a matrix completion problem and first apply a SVT algorithm to solve this problem. The algorithm was previously proposed as a solution to the famous Netflix problem [20]. It hierarchizes the data with different features and finds the optimal threshold value based on the specificity of each feature to achieve an accurate classification of the relevant data.

We update the matrix values by iterations, and in each iteration a matrix  $X_i \in \mathbb{R}^{N_{m+d} \times N_{m+d}}$  (i denotes the number of current iterations) is generated. When the iteration ends, a matrix  $X_n$  (n denotes the number of final iterations) is obtained showcasing all microbe-disease association scores. In order to ensure that the association score matrix  $\boldsymbol{X}_{\boldsymbol{A}}$  in  $\boldsymbol{X}_{\boldsymbol{n}}$  is close to the score in the adjacency matrix A, the following optimization problem needs to

be solved:

$$\min_{X_A} f_t(X_A) 
s.t.P_{\Omega}(X_A) = P_{\Omega}(A)$$
(4)

where X<sub>A</sub> is the training matrix after removing the validation component, and  $P_{\Omega}$  is the orthogonal projector over the span of the matrix that vanishes outside  $\Omega$ . The (i, j)<sub>th</sub> component of  $P_{\Omega}$  $(X_A)$  is equal to X(i, j), if  $(i,j) \in \Omega$  and zero otherwise.  $f_t(X_A)$  is a nonlinear function of X<sub>A</sub> and is defined in the following form:

$$f_{t}(X_{A}) = \tau \|X_{A}\|_{*} + \frac{1}{2} \|X_{A}\|_{F}^{2}$$
 (5)

where  $\|X_A\|_*$  is the sum of singular values of XA,  $\|X_A\|_F$  denotes the Frobenius form of  $X_A$ , which can also be denoted as  $\|X_A\|_F =$  $\sqrt{\sum_{i=1}^{n_d} \sum_{j=1}^{n_m} X_A(i,j)^2}$ , and  $\tau$  is a threshold value.

Then, we introduce the SVT operator and consider the singular value decomposition of a matrix  $X \in \mathbb{R}^{N_{m+d} \times N_{m+d}}$  of rank r. The definition is as follows:

$$X = U\Sigma V^*, \Sigma = diag(\{\sigma_i\}_{1 \le i \le r})$$
 (6)

where U and V represent  $N_{m+d} \times r$  matrices with orthogonal columns and  $\sigma_i$  is singular and greater than zero. For each  $\tau \geq 0$ , we introduce the soft threshold operator  $D_{\tau}$  defined as follows:

$$D_{\tau}(X) := UD_{\tau}(\Sigma) V^*, D_{\tau}(\Sigma) = diag(\{\sigma_i - \tau\}_+)$$
 (7)

where  $\{\sigma_i - \tau\}_+$  represents the positive part of  $\{\sigma_i - \tau\}$ . This operation is able to apply the soft threshold rule to the singular values of X, effectively shrinking these singular values to zero.

According to reference [21], SVT can be optimized using the Lagrange multiplier method, and the Lagrange multiplier Y can be obtained as follows:

$$L(X,Y) = f_t(X) + \langle Y, P_{\Omega}(M) - P_{\Omega}(X) \rangle$$
(8)

where M is defined as  $M = \begin{bmatrix} SD & A^T \\ A & SM \end{bmatrix}$ . In each iteration, we apply two key steps from Uzawa's algorithm [22]. The first one is to update X with Y:

$$X^{k} = D_{\tau} \left( Y^{k-1} \right) \tag{9}$$

Then we use X to update Y:

$$Y^{k} = Y^{k-1} + \delta_{k} \left( M - X^{k} \right) \tag{10}$$

where  $Y^0$  is the zero matrix [23], and  $\delta_k$  is the step size. We assume that the iterations converge to a unique solution when  $0 < \delta_k < 2$ . We show the best performance of our model can be received when  $\delta_k = 0.1$  in parametric experiments. Subsequently, we set the iteration period n to limit the maximum number of iterations to avoid infinite loops, and details about the setting of n will be described in the Results part. Finally, we obtain the matrix  $X_n$ , which is then sigmoid, normalized to obtain  $X_n'$  using the following equation:

$$X_{n}' = \frac{1}{1 + e^{-X_{n}}} \tag{11}$$

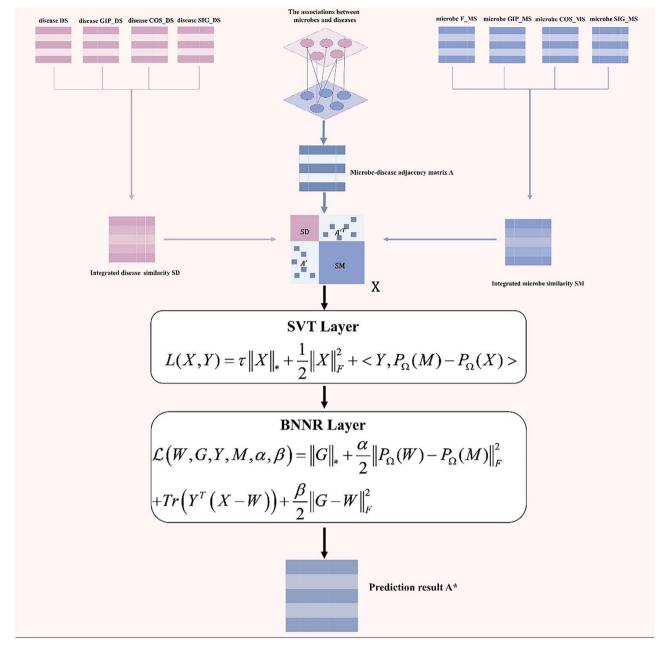


Figure 1. The workflow of SABMDA for microbe-disease association prediction.

We extract the score matrix  $X_A$  from the corresponding position in  $X_n'$ . We further construct a heterogeneous network G that integrates disease-disease similarity network, microbe-disease network, and microbe-microbe similarity network, as follows:

$$G = \begin{bmatrix} SD & X_A^T \\ X_A & SM \end{bmatrix}$$
 (12)

As the elements in the microbe similarity matrix SM and the disease similarity matrix SD are in the interval [0,1], and the elements in X<sub>A</sub> are also in the interval [0,1], we expect the predicted values of the unknown associations to be in the interval [0,1] too. Therefore, we add boundary treaties to our model to ensure that the elements to be predicted are also in the interval [0,1].

In addition, since there is a large amount of noise in the data, especially when measuring similarities, our proposed model should effectively tolerate this noise. We therefore redefine the

model as:

$$\min_{G} \|G\|_{*} \tag{13}$$

Where  $\varepsilon$  measures the level of noise. Meanwhile, because the level of noise is unknown, it is not easy to calculate the effective noise level. Therefore, in order to solve the above problems, inspired by references [24, 25], we further optimize the score matrix X<sub>A</sub> with meta-level type integration. The model is defined as follows:

$$\min_{G} \|G\|_{*} + \frac{\alpha}{2} \|P_{\Omega}(G) - P_{\Omega}(M)\|_{F}^{2}$$
s.t.0 \( \le G \le 1 \) (14)

where  $\alpha$  is the parameter that balances the kernel paradigm and the error term. To make sure all elements in G belong to the interval [0,1], we use ADMM [26] to solve this problem.

Before using the ADMM framework for problem solving, we introduce an auxiliary function W for optimization:

$$\min_{G} \|G\|_{*} + \frac{\alpha}{2} \|P_{\Omega}(W) - P_{\Omega}(M)\|_{F}^{2} 
s.t.G = W, 0 \le W \le 1$$
(15)

Thus, the augmented Lagrangian function can be written in the following form:

$$\mathcal{L}(W, G, Y, M, \alpha, \beta) = \|G\|_* + \frac{\alpha}{2} \|P_{\Omega}(W) - P_{\Omega}(M)\|_F^2$$

$$+ \text{Tr}(Y^T(X - W)) + \frac{\beta}{2} \|G - W\|_F^2$$
(16)

where Y is the Lagrange multiplier and  $\beta$  is the penalty parameter and is greater than 0. After the Kth time, the model computes  $W_{k+1}$ ,  $G_{k+1}$ , and  $Y_{k+1}$ .

ADMM is then applied to iteratively update  $W_{k+1}$ ,  $G_{k+1}$ , and  $Y_{k+1}$ . Their iterative procedures are denoted as follows:

$$W_{k+1} = \arg\min_{0 \le W \le 1} \mathcal{L}(W, G, Y, M, \alpha, \beta)$$

$$G_{k+1} = \arg\min_{G} \mathcal{L}(W, G, Y, M, \alpha, \beta)$$
(17)
(18)

$$G_{k+1} = \arg\min_{\alpha} \mathcal{L}(W, G, Y, M, \alpha, \beta)$$
 (18)

$$Y_{k+1} = Y_k + \beta (G_{k+1} - W_{k+1})$$
(19)

Based on Equation (17), we can obtain the optimal solution W\* for  $W_{k+1}$ , which is computed as follows:

$$W^* = \left(\frac{1}{\beta} Y_k + \frac{\alpha}{\beta} P_{\Omega}(M) + G_k\right) - \frac{\alpha}{\alpha + \beta}$$
$$\left(\frac{1}{\beta} Y_k + \frac{\alpha}{\beta} P_{\Omega}(M) + G_k\right)$$
(20)

According to Equation (18),  $G_{k+1}$  can be calculated by the following equation:

$$G_{k+1} = D_{\frac{1}{\beta}} \left( W_{k+1} - \frac{1}{\beta} Y_k \right)$$
 (21)

Finally, we can get the microbe-disease score matrix  $X_A{}'$  from  $G_{k+1}$  after iterations. We prioritize the received scores for association prediction.

## **Results**

## Experimental setting

To evaluate the prediction ability of SABMDA, we perform 5fold cross-validation (5-CV), 10-fold cross-validation (10-CV), and independent test based on the benchmark dataset. For 5-CV, we randomly divide all the microbe-disease associations into 5 equal portions, of which 4 portions are used to train the model, and the remaining 1 portion is used for testing. We take the similar steps in 10-CV. For the independent test, where the microbe-disease association matrix is divided into training, testing, and validation sets by rows (diseases) according to the ratio of 8:1:1, in which 8 parts are for the training set, 1 part is for the testing set and 1 part is for the validation set. We calculate AUC (area under the ROC curve), AUPR (area under the Precision-Recall curve), Recall, Precision (Pre), Accuracy (ACC), and F1-score as the metrics for evaluating the performance of the model.

## Parameter analysis

Since parameters exist in our method SABMDA, we set the initial values for the parameters based on references [27, 28], and subsequently empirically perform parameter sensitivity analysis for adjustment. We examine the effects of the threshold value

 $\tau$ , step size  $\delta_k$ , iteration period n, as well as the parameter  $\alpha$ that balances the kernel paradigm and the error term, and the penalty parameter  $\beta$ . While keeping all other parameters fixed, we conduct experiments on the benchmark dataset and evaluate the impact of the above parameters on the performance under 10-CV.

Firstly, we test the threshold value  $\tau$  and step size  $\delta_k$ . We take the threshold value  $\tau$  as 10, 50, 100, and 150, and the step size  $\delta_k$ as 0.1, 0.5, 1.0, and 1.5, respectively. The results are shown in Fig. 2 and Table 1. We discover that the AUC, AUPR, ACC, and F1-Score of our model will reach the best when the threshold value  $\tau = 10$ , and the step size  $\delta_k = 0.1$ .

Secondly, we carry out experiments on the iteration period n, and select its value from 50, 100, 200, 500, 1000, and 2000. Figure 3 and Table 2 show that the performance of our method will be optimal when the iteration period n = 500.

Finally, we analyze the effects of two parameters  $\alpha$  and  $\beta$ . We take their values as 1.0, 10.0, 50.0, and 100.0, and the results are shown in Fig. 4 and Table 3, in which when  $\alpha = 1.0$  and  $\beta = 50.0$ , the best performance can be received for our model.

To summarize, we finally set the parameters  $\tau = 10$ ,  $\delta_k = 0.1$ , n = 500,  $\alpha = 1.0$  and  $\beta = 50.0$  in our model.

## Ablation test

Our computational framework applies two matrix completion strategies (i.e. SVT and BNNR) for prediction. We therefore conduct ablation experiments based on 10-CV to investigate the impact of these components on model performance. Below are the three models we compare:

SABMDA-SVT model: we remove the SVT algorithm and predict microbe-disease associations only by the BNNR algorithm.

SABMDA-BNNR model: we remove the BNNR algorithm and make predictions only through the SVT algorithm.

SABMDA-SO model: we first perform the BNNR algorithm to generate the score matrix and then apply the SVT algorithm to further meta-type integration of the generated score matrix with the parameters kept unchanged.

It can be concluded from Fig. 5 that both the two matrix completion strategies are excellent in filling in the missing values in our study. After the first round of matrix completion, some of the missing values in the original matrix are recovered. Based on the new matrix, the second round of matrix completion makes more missing values recovered. Our ensemble learning framework SABMDA finally demonstrate superior performance.

## Performance comparison with other methods

In this section, we compare our model SABMDA with the following baseline methods:

SGJMDA [29]: a method based on similarity fusion using graph convolution networks and jumping knowledge networks for microbe-disease association predictions.

DSAE\_RF [19]: a method combining deep sparse autoencoder neural network (DSAE) and random forest (RF) for microbedisease association predictions.

AMHMDA [30]: a method based on attention aware multi-view similarity networks and hypergraph learning for MiRNA-Disease Associations identification.

MHCLMDA [31]: a multihypergraph contrastive learning method for miRNA-disease association predictions.

MNNMDA [32]: a method to predict microbe-disease associations (MDAs) by applying a Matrix Nuclear Norm method into known microbe and disease data.

LRLSHMDA [13]: a method using Laplacian regularized least squares for human microbe-disease association predictions.

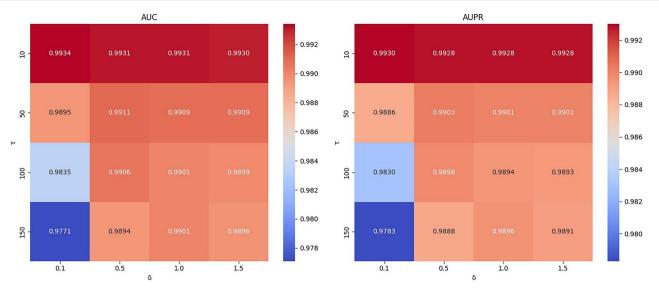


Figure 2. The effect of  $\tau$  and  $\delta_{k}$  on AUC and AUPR.

Table 1. The effect of  $\tau$  and  $\delta_k$  on model performance

	AUC	AUPR	ACC	Pre	Recall	F1-score
$\tau = 10, \delta_k = 0.1$	0.9934	0.9930	0.9658	0.9616	0.9706	0.9660
$\tau = 10, \delta_k = 0.5$	0.9931	0.9928	0.9655	0.9617	0.9697	0.9656
$\tau = 10, \delta_k = 1.0$	0.9931	0.9928	0.9655	0.9618	0.9698	0.9657
$\tau = 10, \delta_k = 1.5$	0.9930	0.9928	0.9653	0.9598	0.9713	0.9655
$\tau = 50, \delta_k = 0.1$	0.9895	0.9886	0.9563	0.9543	0.9586	0.9564
$\tau = 50, \delta_k = 0.5$	0.9911	0.9903	0.9596	0.9546	0.9653	0.9599
$\tau = 50, \delta_k = 1.0$	0.9909	0.9901	0.9588	0.9536	0.9648	0.9591
$\tau = 50, \delta_k = 1.5$	0.9909	0.9901	0.9587	0.9533	0.9649	0.9590
$\tau = 100, \delta_k = 0.1$	0.9835	0.9830	0.9436	0.9389	0.9495	0.9439
$\tau = 100, \delta_k = 0.5$	0.9906	0.9898	0.9579	0.9549	0.9615	0.9581
$\tau = 100, \delta_k = 1.0$	0.9901	0.9894	0.9571	0.9534	0.9613	0.9572
$\tau = 100, \delta_k = 1.5$	0.9899	0.9893	0.9569	0.9521	0.9624	0.9572
$\tau = 150, \delta_k = 0.1$	0.9771	0.9783	0.9328	0.9365	0.9290	0.9325
$\tau = 150, \delta_k = 0.5$	0.9894	0.9888	0.9538	0.9491	0.9593	0.9541
$\tau = 150, \delta_k = 1.0$	0.9901	0.9896	0.9562	0.9524	0.9604	0.9563
$\tau = 150, \delta_k = 1.5$	0.9896	0.9891	0.9556	0.9523	0.9595	0.9558

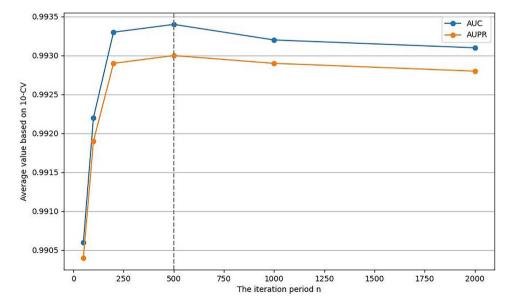


Figure 3. The effect of iteration period n in parameter analysis.

Table 2. The effect of iteration period n on model performance

	AUC	AUPR	ACC	Pre	Recall	F1-score
50	0.9906	0.9904	0.9589	0.9525	0.9662	0.9592
100	0.9922	0.9919	0.9631	0.9536	0.9735	0.9634
200	0.9933	0.9929	0.9663	0.9599	0.9733	0.9665
500	0.9934	0.9930	0.9658	0.9616	0.9706	0.9660
1000	0.9932	0.9928	0.9655	0.9594	0.9722	0.9657
2000	0.9931	0.9928	0.9654	0.9600	0.9713	0.9656

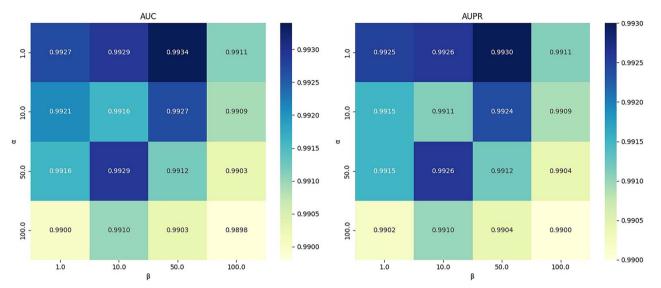


Figure 4. The effect of  $\alpha$  and  $\beta$  on AUC and AUPR.

Table 3. The effect of  $\alpha$  and  $\beta$  on model performance

	AUC	AUPR	ACC	Pre	Recall	F1-score
$\alpha = 1.0, \beta = 1.0$	0.9927	0.9925	0.9616	0.9625	0.9608	0.9616
$\alpha = 1.0, \beta = 10.0$	0.9929	0.9926	0.9631	0.9600	0.9731	0.9635
$\alpha = 1.0, \beta = 50.0$	0.9934	0.9930	0.9658	0.9616	0.9706	0.9660
$\alpha = 1.0, \beta = 100.0$	0.9911	0.9911	0.9614	0.9546	0.9688	0.9617
$\alpha = 10.0, \beta = 1.0$	0.9921	0.9919	0.9630	0.9536	0.9730	0.9623
$\alpha = 10.0, \beta = 10.0$	0.9916	0.9911	0.9604	0.9552	0.9667	0.9612
$\alpha = 10.0, \beta = 50.0$	0.9927	0.9924	0.9645	0.9585	0.9711	0.9647
$\alpha = 10.0, \beta = 100.0$	0.9909	0.9909	0.9608	0.9542	0.9682	0.9611
$\alpha = 50.0, \beta = 1.0$	0.9916	0.9915	0.9624	0.9534	0.9724	0.9628
$\alpha = 50.0, \beta = 10.0$	0.9929	0.9926	0.9647	0.9579	0.9722	0.9650
$\alpha = 50.0, \beta = 50.0$	0.9912	0.9912	0.9614	0.9541	0.9695	0.9617
$\alpha = 50.0, \beta = 100.0$	0.9903	0.9904	0.9590	0.9517	0.9673	0.9594
$\alpha = 100.0, \beta = 1.0$	0.9900	0.9902	0.9589	0.9531	0.9655	0.9592
$\alpha = 100.0, \beta = 10.0$	0.9910	0.9910	0.9611	0.9544	0.9684	0.9613
$\alpha = 100.0, \beta = 50.0$	0.9903	0.9904	0.9592	0.9515	0.9677	0.9595
$\alpha = 100.0, \beta = 100.0$	0.9898	0.9900	0.9583	0.9513	0.9662	0.9586

Note: The best results are marked in bold.

NTSHMDA [33]: a method to predict Human Microbe-Disease Associations based on Random Walk by Integrating Network Topological Similarity.

All methods are compared based on the same experimental setup. For the 5-CV experiment, we plot the ROC and PR curves in Fig. 6. The results show that SABMDA has the highest AUC and AUPR values, where the AUC value is 0.9919 and the AUPR value is 0.9920, which are 4.52% and 5.43% higher than the second-best method SGJMDA, respectively. The values of all the performance indicators for the 5-CV are shown in Table 4. We

further calculate P-values (Table 5) based on the AUC and AUPR results received from the 5-CV experiments, which indicates the significant differences between our method and the other seven baseline methods. All the results show that SABMDA outperforms the seven state-of-the-art methods based on 5-CV.

For 10-CV, we plot ROC and PR curves in Fig. 7. The detailed results are listed in Table 6. As can be seen from Table 6, SABMDA outperforms the other seven methods in all assessment metrics, with an AUC value of 0.9934, which is 4.39% higher than SGJMDA, which has the second best results, and an AUPR value of 0.9930,

# Ablation experiment based on 10-CV

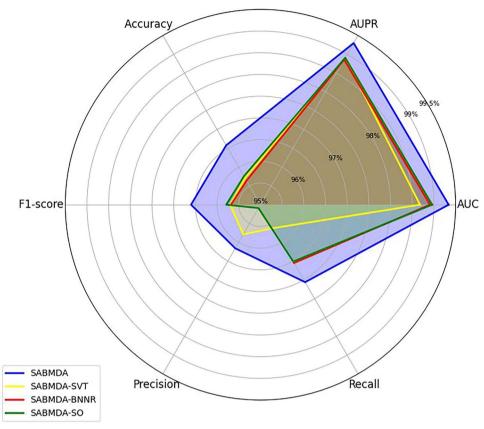


Figure 5. Results of ablation experiments based on 10-CV.

Table 4. Performance comparison based on 5-CV

Method	AUC	AUPR	ACC	Pre	Recall	F1-score
SABMDA	0.9919	0.992	0.9614	0.9488	0.9648	0.9755
SGJMDA	0.9467	0.9377	0.8851	0.8673	0.9099	0.8879
DSAE_RF	0.9238	0.9178	0.8484	0.849	0.8482	0.8481
AMHMDA	0.8883	0.8813	0.7902	0.8339	0.7313	0.775
MHCLMDA	0.8841	0.8763	0.7178	0.7787	0.8635	0.8187
MNNMDA	0.9107	0.9196	0.8886	0.902	0.8726	0.8867
LRLSHMDA	0.8233	0.7906	0.7617	0.7195	0.8606	0.7832
NTSHMDA	0.7972	0.772	0.7128	0.6646	0.8657	0.7507

Note: The best results are marked in bold.

Table 5. Statistical test results based on 5-CV between SABMDA and the other seven methods

	SGJMDA	DSAE_RF	AMHMDA	MHCLMDA	MNNMDA	LRLSHMDA	NTSHMDA
1	$2.69 \times 10^{-10}$ $2.05 \times 10^{-7}$	$1.00 \times 10^{-7}$ $9.11 \times 10^{-9}$	$4.95 \times 10^{-11}$ $7.68 \times 10^{-10}$	$6.62 \times 10^{-9}$ $1.90 \times 10^{-7}$	$1.40 \times 10^{-6}$ $1.78 \times 10^{-7}$	$1.78 \times 10^{-10}$ $1.83 \times 10^{-9}$	$1.80 \times 10^{-13}$ $3.40 \times 10^{-11}$

which is 5.02% higher than SGJMDA, which has the second best results. Further statistical test results (Table 7) also indicate the significant differences between our method and the other seven baseline methods.

## Independent test

To further validate the prediction performance of SABMDA, we conduct independent tests on our model SABMDA by dividing the microbe-disease association matrix by rows (diseases) into a training set, a test set, and a validation set, with a ratio of 8:1:1. We plot the ROC and PR curves of the independent tests in Fig. 8. In addition, the detailed results of all metrics are displayed in Table 8. It can be found that SABMDA receives scores of 0.8570, 0.8726, 0.8034, 0.7968, 0.8195, and 0.8065 for AUC, AUPR, ACC, Pre, Recall, and F1-Score, respectively. Compared with the other seven models, the other metrics are optimal, except for Recall, which does not reach the highest value. Taken together, these significant advantages highlight the effectiveness and excellence of SABMDA compared with existing methods.

Table 6. Performance comparison based on 10-CV

Method	AUC	AUPR	ACC	Pre	Recall	F1-score
SABMDA	0.9934	0.993	0.9658	0.9616	0.9706	0.966
SGJMDA	0.9495	0.9428	0.8901	0.8698	0.919	0.8933
DSAE_RF	0.9255	0.9199	0.848	0.8486	0.848	0.8478
AMHMDA	0.8922	0.8854	0.7955	0.8443	0.7274	0.7794
MHCLMDA	0.8817	0.8673	0.7295	0.7723	0.8844	0.8237
MNNMDA	0.9209	0.9347	0.892	0.8986	0.885	0.8914
LRLSHMDA	0.8292	0.7949	0.7747	0.7338	0.8628	0.7928
NTSHMDA	0.7962	0.7695	0.7148	0.6663	0.8762	0.7548

Table 7. Statistical test results based on 10-CV between SABMDA and the other seven methods

	SGJMDA	DSAE_RF	AMHMDA	MHCLMDA	MNNMDA	LRLSHMDA	NTSHMDA
p-value based on AUC results p-value based on AUPR results				$1.08 \times 10^{-17}$ $3.43 \times 10^{-15}$			$5.77 \times 10^{-20}$ $1.30 \times 10^{-19}$

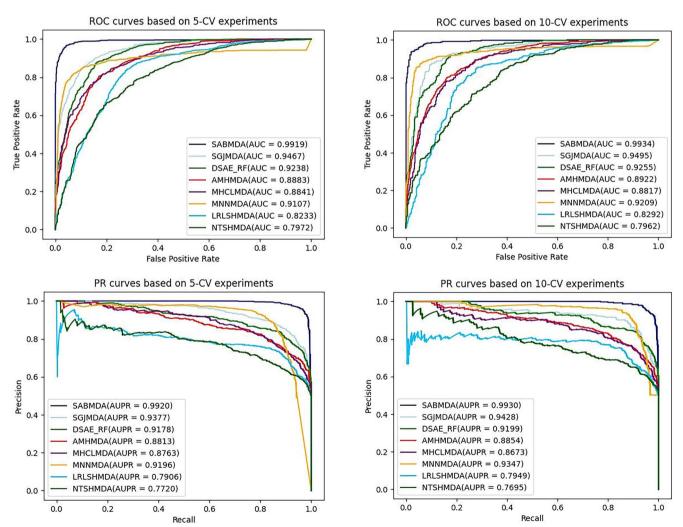
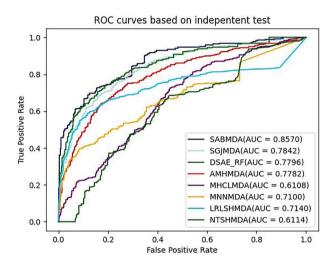


Figure 6. ROC and PR curves based on 5-CV experiments.

Figure 7. ROC and PR curves based on 10-CV experiments.

Table 8. Performance comparison based on independent test

Method	AUC	AUPR	ACC	Pre	Recall	F1-score
SABMDA	0.857	0.8726	0.8034	0.7968	0.8195	0.8065
SGJMDA	0.7842	0.7796	0.7043	0.6552	0.8799	0.7492
DSAE_RF	0.7796	0.8038	0.7271	0.7324	0.7271	0.7254
AMHMDA	0.7782	0.7847	0.6807	0.7919	0.6537	0.6005
MHCLMDA	0.6108	0.5912	0.5714	0.5565	0.926	0.693
MNNMDA	0.71	0.743	0.629	0.5907	0.8848	0.7053
LRLSHMDA	0.714	0.7754	0.6863	0.6997	0.7086	0.6948
NTSHMDA	0.6114	0.5711	0.5777	0.5449	0.9429	0.6906



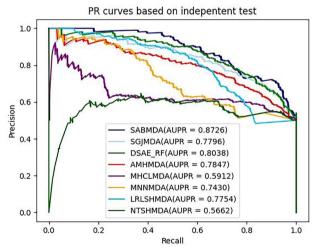


Figure 8. ROC and PR curves based on independent test.

## Robustness analysis

To further evaluate the generalization ability of SABMDA for association prediction, we apply our model to the HMDD v3.2 dataset [34] for inference, and the results based on 10-CV are shown in Table 9. The results show that SABMDA also exhibits excellent prediction performance on other datasets, which validates SABMDA's wide application.

## Case studies

In this section, we further test the prediction ability of SAB-MDA based on case studies. We first conduct the experiments

Table 9. The performance of SABMDA on the HMDD v3.2 dataset

Dataset	AUC	AUPR	ACC	Pre	Recall	F1-score
HMDD v3.2	0.9475	0.9540	0.8885	0.8831	0.8961	0.8894

Table 10. The top 20 predicted microbes associated with OBESITY

Ranking	Microbe	Evidence	Description
1	Streptococcus	NA	NA
2	Faecalibacterium	NA	NA
3	Haemophilus	PMID:31976177	Increased
4	Streptococcus mitis	NA	NA
5	Paraprevotella	PMID:30525950	Increased
6	Dialister	PMID:32624568	Decreased
7	Parabacteroides	PMID:31530820	Increased
8	Prevotella	PMID:31024514	Decreased
9	Akkermansia	PMID:30810328	Decreased
10	Roseburia	PMID:34978141	Increased
11	Streptococcus salivarius	PMID:36264094	Decreased
12	Streptococcus gordonii	NA	NA
13	Bifidobacterium	PMID:29280312	Decreased
14	Alloprevotella	PMID:30611080	Decreased
15	Ruminococcus	PMID:31315227	Increased
16	Megasphaera	PMID:39033197	Increased
17	Bacteroidetes	PMID:17183312	NA
18	Actinomyces	PMID:35880087	Increased
19	Fusobacterium	PMID:29280312	Increased
20	Veillonella	PMID:31024514	Decreased

Note: NA indicates not available. Different conditions of obesity, such as childhood and adult obesity, are not distinguished in this table as only obesity is included in the benchmark dataset.

by removing the association information of two specific diseases (i.e. OBESITY [35] and ASTHMA [36]) from the benchmark dataset and then apply our model to predict the microbes associated with the two diseases. We search the latest version of PubMed (https:// pubmed.ncbi.nlm.nih.gov/) for confirmation, and the increased or decreased microbiota profile level is manually extracted from the related papers. The validation results are listed in Tables 10 and 11, respectively.

Moreover, we use the whole information in the benchmark dataset and then apply SABMDA to predict potential microbedisease associations. We check the top 20 potential microbes for CROHN'S DISEASE [37] and the top 20 potential microbedisease associations, and Tables 12 and 13 show the results of our experiments. The results of the four case studies indicate that SABMDA is an effective tool in predicting new microbe-disease associations.

Table 11. The top 20 predicted microbes associated with ASTHMA

Ranking	Microbe	Evidence	Description	
1	Bifidobacterium	PMID:30290688	Increased	
2	Helicobacter pylori	NA	NA	
3	Haemophilus	PMID:32072252	Increased	
1	Faecalibacterium	PMID:26424567	Decreased	
	Streptococcus	PMID:25329665	Decreased	
5	Bifidobacterium adolescentis	PMID:26840903	Decreased	
7	Akkermansia muciniphila	PMID:35265071	Decreased	
3	Rothia	PMID:26424567	Decreased	
)	Faecalibacterium prausnitzii	PMID:30208875	Decreased	
10	Prevotella	NA	NA	
11	Dialister	PMID:36969260	Increased	
12	Bacteroidetes	PMID:20052417	Decreased	
13	Veillonella dispar	NA	NA	
.4	Veillonella	PMID:29445257	NA	
.5	Pseudomonas	PMID:25329665	Increased	
16	Neisseria	PMID:37287344	Decreased	
.7	Burkholderia	PMID:39549985	Increased	
.8	Pseudomonas aeruginosa	NA	NA	
19	Roseburia	PMID:29031597	NA	
20	Parascardovia	NA	NA	

Table 12. The top 20 potential microbes for CROHN'S DISEASE

Ranking	Microbe	Evidence	Description	
1	Actinobacteria	NA	NA	
2	Prevotellaceae	PMID:35890149	Increased	
3	Porphyromonas gingivalis	NA	NA	
4	Alcaligenaceae	NA	NA	
5	Methanobrevibacter smithii	NA	NA	
6	Streptococcus sanguinis	NA	NA	
7	Lactobacillus crispatus	NA	NA	
8	Fusobacterium periodonticum	PMID:37932491	Increased	
9	Tanerella forsythia	NA	NA	
10	Streptococcus gordonii	PMID:39438255	Increased	
11	Treponema denticola	PMID:23060013	Increased	
12	Streptococcus oralis	PMID:34646784	Increased	
13	Lactobacillus iners	NA	NA	
14	Streptococcus constellatus	PMID:34725610	NA	
15	Campylobacter rectus	PMID:31522142	NA	
16	Eikenella corrodens	PMID:29574823	Increased	
17	Selenomonas noxia	NA	NA	
18	Aggregatibacter	PMID:36768711	Increased	
	actinomycetemcomitans			
19	Capnocytophaga sputigena	NA	NA	
20	Capnocytophaga ochracea	NA	NA	

## Conclusion

In this study, we develop a computational approach SABMDA based on ensemble learning for microbe-disease association prediction. Our method first fuses multiple information from both microbes and diseases as input features. We then develop two matrix completion strategies to recover unknown microbedisease associations. We conduct comprehensive experiments, and results demonstrate the superiority of our model in inferring new associations between microbes and diseases.

The excellent performance of our method can be attributed to three factors. The first is that we use reliable biomedical information as benchmark datasets in this study. The second

is that we integrate multiple information from both microbes and diseases as input features. The third factor is that we apply ensemble learning for association prediction. Combining two matrix completion algorithms improve the inference performance. Meanwhile, it should be noted that the mechanism of how microbes affecting human diseases is complex. Our method predicts only microbe-disease associations. These associations are not true causal relationships between microbes and diseases. Details about how microbes positively or negatively contribute to human health need to be further investigated. Revealing the real causal effects between them would provide more useful help for biomedical research, which is a future research direction.

Ranking	Microbe	Disease	Evidence	Description
1	Veillonella	Ankylosing spondylitis	PMID:30944880	Increased
2	Lactobacillus	Ankylosing spondylitis	PMID:36548483	Decreased
3	Blautia	Autoimmune hepatitis	PMID:32640728	Increased
4	Veillonella	Biliary atresia	PMID:34630385	Increased
5	Actinomyces	Obesity	NA	NA
6	Coprococcus	Ankylosing spondylitis	PMID:37875269	Increased
7	Faecalibacterium	Autoimmune hepatitis	PMID:37945156	Increased
8	Parabacteroides	Ulcerative colitis	PMID:36547911	Increased
9	Faecalibacterium	Alzheimer's disease	NA	NA
10	Ruminococcaceae	Major depressive disorder	PMID:32229219	Decreased
11	Leptotrichia	Crohn's disease	PMID:38849764	Increased
12	Lactobacillus	Pancreatitis	NA	NA
13	Bifidobacterium	Primary biliary cholangitis	PMID:36287108	Increased
14	Rothia	Colorectal cancer	PMID:33844851	Increased
15	Parabacteroides	Primary biliary cholangitis	NA	NA
16	Veillonella	Colorectal cancer	PMID:36539569	Increased
17	Coprococcus	Short bowel syndrome	NA	NA
18	Parabacteroides	Coronary artery disease	PMID:35343796	Decreased
19	Bifidobacterium	Osteoporosis	PMID:37118342	Decreased
20	Faecalibacterium	Osteoporosis	PMID:37810879	Decreased

Table 13. The top 20 potential microbe-disease associations predicted by SABMDA

## **Key Points**

- We propose an ensemble learning method SABMDA to predict novel disease-associated microbes, in which two matrix completion strategies are developed and used for prediction.
- Combination of the two matrix completion strategies improves microbe-disease association prediction.
- Comprehensive experiments demonstrate SABMDA outperforms recent state-of-the-art methods significantly.

## Supplementary data

Supplementary data is available at Briefings in Bioinformatics

Conflict of interest: The authors have declared that no competing interests exist.

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# Data availability

The data and source code for this study are available at https:// github.com/IamChenHailin/SABMDA.

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