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# Cervical Laminoplasty: The History and the Future

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#### Abstract

Cervical laminoplasty was developed as an alternative to cervical laminectomy for treatment of cervical myelopathy, in which hinges are created to lift the lamina. Various techniques of laminoplasty have since been developed after two prototype techniques: Hirabayashi's open-door laminoplasty and Kurokawa's spinous process splitting (double-door) laminoplasty. Several *in vitro* studies report superior biomechanical stability of the cervical spine after laminoplasty compared with laminectomy. In clinical situation, randomized control studies are scarce and superiority of one procedure over another is not uniformly shown. Lack of hard evidence supporting the purported advantages of laminoplasty over laminectomy, that is, reduced rate of postoperative instability and kyphosis development, while preserving range of motion (ROM), has been a weak selling point. Currently, laminoplasty is performed by majority of spine surgeons in Japan, but is rarely performed in the United States and Europe. Recent development in laminoplasty is preservation of muscle attachment, which enabled dynamic stabilization of the cervical spine by neck extensor muscles. After treatment with new laminoplasty techniques with active postoperative neck ROM exercises, postoperative instability, kyphosis, axial neck pain, and loss of ROM seems minimal. Well-designed clinical trials to show the effectiveness and long-term outcome of this surgical procedure are warranted.

Key words: cervical spine, spinal cord, myelopathy, radiculopathy, laminectomy

### Definition

The term "laminoplasty" denotes several operative procedures in which vertebral lamina is reconstructed after opening the spinal canal. The word "laminoplasty" most commonly means creating hinge(s) on which the lamina is lifted but not removed.<sup>1–4</sup> This review will focus on this type of laminoplasty, and will not discuss about "reconstructive laminoplasty," which involves en bloc removal of the lamina with subsequent reattachment used mainly for pediatric intradural procedures,<sup>5,6</sup> which others consider that it should be termed "laminotomy."<sup>7,8</sup>

#### History

Cervical laminoplasty was devised to avoid problems associated with laminectomy such as postoperative segmental instability, kyphosis, perineural adhesions, and late neurological deterioration.<sup>3)</sup> The first laminoplasty technique was the modification of Kirita's technique for laminectomy, in which the laminae were thinned and then partially removed

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in the midline using an air drill. The lateral edges of the laminae close to the pedicles were further thinned until the laminae could be bent and lifted up. It was considered important to lift multiple laminae expeditiously so that multiple segments of the cord could be simultaneously decompressed. The laminae were then removed with scissors.<sup>9)</sup> Based on this technique, Oyama et al. developed z-plasty method of laminoplasty.<sup>1)</sup> After thinning the laminae, z-shaped cuts were made in each laminae, which were lifted and then fixed with sutures to reconstruct the expanded spinal canal (Fig. 1). They reported that all the 15 cases were neurologically improved after the operation.

Tsuji reported a variation of en bloc laminectomy in which laminae were cut bilaterally along the imaginary line separating laminar arches and articular processes and made completely free from their bony attachments. The lamiae were reflected as a flap and then permitted to float on the cord without fixing sutures or bone grafting.<sup>2)</sup> Based on this technique, Hirabayashi et al. reported expansive open-door laminoplasty.<sup>3)</sup> In this procedure, bony gutters are drilled bilaterally at the border of laminae corresponding to the medial portion



Fig. 1 Schematic drawing showing the posterior aspect of the cervical spine and z-laminoplasty. a: Laminae of C2 to C7 are exposed after subperiosteal dissection. b: The laminae are thinned with drill and z-shaped cuts are made in each laminae. c: The split laminae are lifted from the spinal canal and fixed with sutures, reconstructing the expanded spinal canal.



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Fig. 2 Schematic drawing depicting the procedure for Hirabayshi's open-door laminoplasty. a: Bony gutters are drilled bilaterally at the lateral borders of laminae, on one side completely removing the bone and on the other side thinned inner cortex is preserved as a hinge. b: The laminae are lifted on the hinges to expand the spinal canal.

of the pedicles. The lamina border on one side is excised, and then the laminae are pushed laterally towards the other side as if to open a door, so that the spinal canal is enlarged. The yellow ligaments and deep muscles around the facets of the hinge side are supported by sutures to prevent the laminar door from being closed (Fig. 2). Kurokawa et al.

Fig. 3 Schematic drawing showing Kurokawa's doubledoor laminoplasty. a: Spinous processes and underlying laminae are drilled, making a midline cut. The lateral borders of laminae are drilled leaving thin inner cortex to make hinge gutters. b: The laminae are lifted on the hinges bilaterally.

developed spinous process splitting laminoplasty,<sup>4)</sup> in which the spinous processes and laminae are split in the midline and hinges are made bilaterally along the lateral borders of the laminae, which are lifted bilaterally. This is also called double-door laminoplasty,<sup>10)</sup> French-window,<sup>11)</sup> or French-door laminoplasty<sup>12)</sup> (Fig. 3). There are many reports of "new method" of laminoplasty in the literature, but the basic technique to expand the spinal canal falls into either Hirabayashi's open-door technique with a hinge on one side or Kurokawa's double-door technique with hinges on both sides. Many modifications were also developed to keep the expanded lamina from being closed, for example by using sutures,<sup>3,11)</sup> autologous bone grafts,<sup>4,13)</sup> hydroxyapatite or other ceramic materials,<sup>14,15)</sup> titanium miniplates<sup>16)</sup> or spacers,<sup>17)</sup> allograft and titanium plates,<sup>18)</sup> and hydroxyapatite and screws.<sup>19)</sup>

It had been noted that detachment of posterior cervical muscles, especially the semispinalis cervicis muscle on the C2 spinous process, was associated with the development of postoperative kyphosis<sup>20)</sup> and axial neck pain.<sup>21-23)</sup> Detachment of semispinalis cervicis from the C2 spinous process followed by reattachment was advocated by some authors,<sup>24,25)</sup> but it was later found that the results of preservation of the attachment was superior to repairing.<sup>20,22,26)</sup> Preservation of muscle attachments on C7 spinous processes was also noted to be important to prevent axial neck pain.27,28) Awareness of importance of functional cervical muscles prompted development of various techniques to preserve muscle attachment on the spinous processes. In one of such techniques, the spinous processes and the laminae were exposed by subperiosteal dissection on one side, and then the spinous processes were cut off from the laminae and retracted to the contralateral side to expose the lamina bilaterally. It was possible to preserve attachment of the semispinalis muscles on the spinous processes on one side.<sup>29-33)</sup> A prospective randomized study found this technique to result in significantly decreased axial pain compared with

open-door laminoplasty in which muscle attachments were completely dissected.<sup>34)</sup>

Up to this development, reconstruction was limited to that of the bony structures, and muscles responsible for maintenance of static and dynamic motor functions basically were not preserved.

A meta-analysis study has found that there was no significant difference in clinical improvement between open-door and double-door laminoplasty techniques.<sup>35)</sup> Multiple systematic reviews of literature showed that clinical improvement of cervical myelopathy and/or radiculopathy after cervical laminoplasty was essentially the same as those after laminectomy with or without fusion or anterior cervical discectomy or corpectomy with fusion.<sup>36-40)</sup> But the purported advantage of laminoplasty, that is, reduced instability and preventing kyphosis as well as preserving range of motion (ROM), could not be verified due to lack of high-quality evidence.

In 2002, Shiraishi reported a technique for exposure of cervical spine laminae that preserved the attachments of semispinalis cervicis and multifidus muscles on the cervical spinous processes and limiting the damage to the attachments of interspinous and rotator muscles<sup>41)</sup> (Fig. 4). He showed that it was possible to perform interlaminar decompression, intervertebral foraminotomy, hemilaminectomy, and double-door laminoplasty. Kim et al. developed myoarchitectonic spinolaminoplasty, in which the spinous processes are cut in the midline and then cut off from the laminae without severing the muscular attachments and then the laminae are exposed, without damaging the attachments of the semispinalis cervicis, multifidus, interspinalis, and rotator muscles<sup>42</sup> (Fig. 5). Development of the



Fig. 4 Schematic drawing showing Shiraishi's techniques for posterior exposure of the cervical spine while preserving semispinalis muscle attachments. a: A midline gap between the interspinalis muscles is opened with retractors to expose the interlaminar space. b: By severing the attachments of the interspinalis muscles, the cephalad aspect of the spinous process and parts of lamina and rotator muscles can be exposed.

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Fig. 5 Schematic drawing depicting Kim's myoarchitectonic spinolaminoplasty, in which attachments of all posterior muscles are preserved while expanding the spinal canal. Cross section of the cervical spine and layers of nuchal muscles: the trapezius in the 1st layer, the splenius in the 2nd layer, and the semispinalis capitis in the 3rd layer of the nuchal ligament. a: Semispinalis cervicis and multifidus are attached to the sides of the spinous process. b: The fascia in the midline of the trapezius is sharply cut. c: The midline fascia of the splenius is sharply divided and the tip of the spinous process is proved. d: The spinous process is split in the midline with a sagittal saw, and through this opening the spinous process is cut off from the lamina. e: The spinous process is retracted laterally with the semispinalis cervicis attachment intact. The lamina is drilled in the midline. f: Hinge gutters are made at the border between the lamina and the lateral mass, preserving the attachment of the multifidus. g: The laminal flaps are elevated on the hinges and are inter-bridged using a hydroxyapatite implant. h: The split halves of the spinous process with the attached semispinalis cervicis is attached to the hydroxyapatite implant. i: The Three layers of the nuchal ligament is firmly reconstructed in the midline.

technique perfected reconstruction and preservation of the entire musculoskeletal elements in the posterior neck. It became possible to expand the spinal canal effectively while preserving the attachments of semispinalis cervicis, multifidus, semispinalis capitis, and reconstructing the stressbearing midline fascia of the splenius cervicis and trapezius. They showed that after myoarchitectonic spinolaminoplasty, neurosurgical cervical spine scale score improved from 10.7 to 13.4 with an improvement rate of 87.3%. Deep extensor muscles did not become atrophic, postoperative loss of lordosis was less than 1°, the ROM of C2–C7 angle 1 year after surgery was 67.7% of preoperative value, and the incidence of axial neck pain was 2.36%. This development seems to overcome the weakness of posterior decompression procedures in preventing postoperative instability and preserving ROM, which was previously pointed out in systematic review.<sup>36)</sup> Further clinical study comparing the results of laminoplasty with that of laminectomy or anterior decompression is warranted.

# **Indication for Laminoplasty**

Laminoplasty is most effective in decompressing the spinal cord when lordosis of the cervical spine is maintained as with any methods of dorsal approach decompression.<sup>43)</sup> It is, therefore, indicated in patients with cervical spinal canal stenosis under 12 mm of the anterior-posterior diameter of the spinal canal, continuous type of ossification of posterior longitudinal ligaments (OPLL), and multiple spondylotic lesions over three or four intervertebral spaces, in lordotic cervical spine.<sup>3,44)</sup> However, laminoplasty improves myelopathy even in the presence of kyphosis<sup>45)</sup> and is indicated for patients with cervical kyphosis if more than three levels needs to be decompressed, because anterior decompression and fusion spanning over three levels is associated with higher incidence of complications related to graft and fixation.46-49) Clinical improvement after laminoplasty may be unsatisfactory if the focal kyphosis angle is larger than  $13^{\circ 43}$  or if the thickness of ossification of posterior longitudinal ligament exceeds the line that connects the midpoints of the spinal canal at C2 and C7 (K-line).<sup>50)</sup> In such cases, anterior decompression with fusion may be performed at the most compressed segment after laminoplasty.<sup>3,51</sup>

# **Postoperative Outcome**

In most published studies, clinical status of cervical myelopathy is assessed using Nurick grade,<sup>52)</sup> Japanese Orthopedic Association (JOA) scale,<sup>53)</sup> or Neurosurgical Cervical Spine Scale (NCSS)<sup>54)</sup> (Table 1). The recovery rate (%) is calculated as  $100 \times [(JOA scale)]$ score at follow-up – JOA scale score before surgery)  $\div$  (17 – JOA scale score before surgery)]. In the earliest report by Hirabayashi, of 40 patients undergoing open-door laminoplasty, most of the patients had good results, with a recovery rate of 66% in JOA scale score. Others reported similar results on neurological recovery after various techniques of laminoplasty, the improvement being stable for more than 10 years in most patients.<sup>10,55-58)</sup> In neurosurgical literatures, NCSS is often employed to describe the status because of its simplicity and clarity.<sup>42,54)</sup>

### ROM

In early reports, patients were kept in bed for 1 week to 3 months after laminoplasty and then allowed to ambulate with a cervical brace for 3-6 months.<sup>3,4,59</sup> The follow-up studies in the early case series reported decrease in the ROM to 53% at 1 year after surgery and to 35% at average of 7 years after

#### Table 1 Various scales used for neurological assessment of patients with cervical myelopathy

#### (a) Nurick grades

- Grade 0: Signs or symptoms of root involvement but without evidence of spinal cord disease
- Grade 1: Signs of spinal cord disease but no difficulty in walking
- Grade 2: Slight difficulty in walking which did not prevent full-time employment
- Grade 3: Difficulty in walking which prevented full-time employment or the ability to do all housework, but which was not as severe as to require someone else's help to walk
- Grade 4: Able to walk only with someone else's help or with the aid of a frame Grade 5: Chairbound or bedridden

#### (b) Modified Japanese Orthopedic Association scoring system for cervical myelopathy

- A. Motor function
- I. Fingers
  - 0 = Unable to feed oneself with any tableware including chopsticks, a spoon, or fork; and/or unable to fasten buttons of any size
  - 1 = Can manage to feed oneself with a spoon and/or a fork but not with chopsticks
  - 2 = Either chopstick feeding or writing is possible but not practical, and/or large buttons can be fastened
  - 3 = Either chopstick feeding or writing is clumsy but practical, and/or cuff buttons can be fastened
  - 4 = Normal
- II. Shoulder and elbow

Evaluated by MMT score of the deltoid or biceps muscles, whichever is weaker

- $-2 = MMT^2$  or below
- -1 = MMT 3
- -0.5 = MMT 4
  - 0 = MMT 5
- III. Lower extremity
  - 0 = Unable to stand up and walk by any means
  - 0.5 = Able to stand up, but unable to walk
    - 1 = Unable to walk without a cane or other support on a level

### Table 1 (Continued)

- 1.5 = Able to walk without a support but with clumsy gait
- 2 = Walks independently on a level but needs support on stairs
- 2.5 = Walks independently when going upstairs, but needs support when going downstairs
- 3 = Capable of fast walking but clumsy
- 4 = Normal
- B. Sensory function
- I. Upper extremity
  - 0 = Complete loss of touch and pain sensation
  - 0.5 = 50% or below of normal sensation and/or severe pain or numbress
  - $1 = {\rm More \ than \ } 60\%$  of normal sensation and/or moderate pain or numbness
  - 1.5 = Subjective numbness of a slight degree without any objective sensory deficit
- 2 = Normal II. Trunk
  - 0 = Complete loss of touch and pain sensation
  - 0.5 = 50% or below of normal sensation and/or severe pain or numbness
  - 1 = More than 60% of normal sensation and/or moderate pain or numbness
  - 1.5 = Subjective numbness of a slight degree without any objective sensory deficit
  - 2 = Normal
- III. Upper extremity
  - 0 = Complete loss of touch and pain sensation
  - 0.5 = 50% or below of normal sensation and/or severe pain or numbress
  - 1 = More than 60% of normal sensation and/or moderate pain or numbness
  - 1.5 = Subjective numbness of a slight degree without any objective sensory deficit
  - 2 = Normal
- C. Bladder function
  - 0 = Urinary retention and/or incontinence
  - 1 = Sense of retention and/or dribbling and/or thin stream and/or incomplete continence
  - 2 = Urinary retardation and/or pollakiuria
  - 3 = Normal

### (c) Neurosurgical Cervical Spine Scale

- A. Neurological status
- 1) Lower extremity motor function
  - Total disability (Score 1): Chair bound or bedridden

Severe disability (Score 2): Needs support in walking on flat, and unable to ascend or descend stairways Moderate disability (Score 3): Difficulty in walking on flat and needs support in ascending or descending stairway Mild disability (Score 4): No difficulty in walking on flat, but mild difficulty in ascending or descending stairways Normal (Score 5): Normal walking, with or without abnormal reflexes

 Upper extremity motor function Total disability (Score 1): Totally unable to perform daily activities Severe disability (Score 2): Severe difficulty in daily activities with motor weakness Moderate disability (Score 3): Moderate difficulty in daily activities with hand and/or finger clumsiness Mild disability (Score 4): No difficulty in daily activities, but mild hand and/or finger clumsiness Normal (Score 5): Normal daily activities, with or without abnormal reflexes
Sensory function and/or pain

Severe disturbance (Score 1): Severe difficulty in daily activities with incapacitating sensory disturbances and/or pain Moderate disturbance (Score 2): Moderate difficulty in daily activities with sensory disturbance and/or pain Mild disturbance (Score 3): Normal daily activities, but mild sensory disturbance and/or pain Normal (Score 4): Neither sensory disturbance nor pain

MMT: manual muscle testing.

surgery.<sup>60–62)</sup> The decrease was especially prominent among patients with OPLL, decreasing from 36° to 8° at a mean follow-up of 153 months.<sup>10)</sup> One study revealed that the decrease in ROM was correlated significantly with the decrease in cervical lordosis.<sup>59)</sup> In modern practice, patients are allowed to sit up and walk on the first postoperative day while wearing a Philadelphia collar for 2 weeks<sup>30,63)</sup> or no orthosis at all.<sup>42)</sup> In a large series of 520 patients who were instructed to perform cervical ROM exercises starting on the after the laminoplasty operation, the ROM decreased from 40.1° to 33.5° (87.9%) at an average follow-up period of 33.3 months.<sup>63)</sup> In a series of patients undergoing myoarchitectonic spinolaminoplasty, the ROM was 67.7% of preoperative value 1 year after operation.<sup>42)</sup> There is no controlled study that shows the effect of different postoperative cervical immobilization programs on the long-term results of cervical ROM, but it seems that shorter bed rest and cervical orthosis and early neck ROM exercises results in less restriction of ROM in the long term.

# **Kyphosis Development**

Early report of series of patients undergoing cervical laminoplasty with up to 2 years follow-up reported that no patients developed kyphosis.<sup>3,13,46,64)</sup> However, others found kyphosis development in 28% of patients 5 years after laminoplasty, the incidence being similar to laminectomy.<sup>11)</sup> In a series of patients who were instructed to perform ROM exercises after surgery, kyphosis developed in 33 (7.2%) out of 457 patients whose cervical spine was lordotic before surgery, at average follow-up period of 33.3 months. In the same series, 31 (49.2%) of 63 patients whose cervical spine was kyphotic before surgery showed lordosis after surgery.<sup>63)</sup> In patients who underwent myoarchitectonic spinolaminoplasty, the loss of lordosis in the C2-C7 angle was 0.71° at 1 year after surgery.<sup>42)</sup>

Holmes et al. showed in a cadaver study that the volume of spinal canal space was correlated with C2–C7 angle and the volume capacity decreased with increasing extension angle, and it was greatest at full flexion.<sup>65)</sup> Cervical kyphosis may be a result of body's protective reaction to increase the spinal canal volume when the spinal cord is under compression. By expanding the spinal canal inherent lordosis may be restored, if the posterior musculature is functionally preserved.

The mechanical force that maintains cervical lordosis is exerted by extensor muscles, especially the semispinalis cervicis and capitis.<sup>66)</sup> Repairing of semispinalis cervicis attachment on C2 spinous process by sutures resulted in dehiscence of the muscle attachment and loss of lordosis in 18% of patients after laminoplasty.<sup>20)</sup> It is important to preserve the ability of the muscles to apply force to the cervical vertebra in physiological orientation to maintain lordosis. It is not surprising to find that the method in which all the posterior muscle attachment is preserved (myoarchitectonic spinolaminoplasty) resulted in the least loss of lordosis.<sup>42)</sup>

# **Biomechanical Studies**

*In vitro* biomechanical studies using cadavers revealed that range of movement in response to physiologic load became larger after laminectomy with 25% facetectomy <sup>67)</sup> or without foraminotomy,<sup>68,69)</sup> but was not significantly different from intact spine after open-door laminoplasty. Double-door laminoplasty also results in more stable cervical spine than laminectomy.<sup>70)</sup> However, load to failure was significantly smaller in spines after laminoplasty than intact spine.<sup>71)</sup> Importance of nuchal ligament in resisting flexion moment was noted by other investigator.<sup>72)</sup>

The importance of extensor muscles of the cervical spine was also noted, especially those attached to the occiput and spinous processes of C2 and C7.<sup>66</sup>

Animal studies showed that kyphotic deformity developed after laminectomy in goats<sup>73)</sup> and rabbits,<sup>74)</sup> but not after laminoplasty.

Clinical comparative studies reveal contradictory results, some showing no difference between laminectomy and laminoplasty,<sup>11,64,75)</sup> some showing comparable neurological outcome with more complications in laminoplasty than laminectomy,<sup>38,39)</sup> and others showing better improvement with laminoplasty than laminectomy.<sup>76,77)</sup> The reason for lack of consistent difference between laminectomy and laminoplasty may be that laminoplasty procedures in these studies did not preserve or reconstruct muscle attachments. Reconstructing laminar arches without muscle insertion would not be so different from removing laminar arches in terms of forces exerted onto the cervical vertebrae.

### **Issues for Future Studies**

Surgical techniques for treatment of cervical myelopathy caused by spondylosis or OPLL include anterior cervical discectomy with fusion, anterior cervical corpectomy with fusion, laminectomy, laminectomy with fusion, and laminoplasty.<sup>78</sup> Cervical laminoplasty is by far the most commonly performed surgery for compressive cervical myelopathy in Japan,<sup>58,79</sup> but it is not performed by majority of spine surgeons in the United States and Europe.<sup>80–82</sup>

The theoretical advantage of laminoplasty is that it can preserve stability of the cervical spine preventing postoperative kyphosis which can be seen after laminoplasty, and at the same time, it can preserve motion preventing adjacent segment diseases observed as late sequelae after anterior or posterior fusion surgery. For laminoplasty to be an ideal option to classic laminectomy and anterior decompression and fusion, it must prove to be better than laminectomy in preventing instability and kyphosis, and better than anterior fusion in preserving cervical ROM. Early laminoplasty technique did not preserve dynamic stabilizing effect of extensor muscles and failed to show the stability of the cervical spine superior to laminectomy. Postoperative care in early clinical series required long periods of bed rest and cervical orthosis immobilization and resulted in loss of ROM similar to anterior fusion. Critical literature review found laminoplasty to be as unstable as laminectomy and at the same time as immobilizing as fusion surgery.<sup>36)</sup> Laminoplasty as practiced today, with myoarchitectonic techniques and early postoperative mobilization, may be able

to show better stability than laminectomy and better preserved ROM than anterior fusion.

The literature lacks randomized control study comparing laminectomy and laminoplasty, laminectomy with fusion and laminoplasty, or anterior corpectomy with fusion and laminoplasty. There is one randomized control study comparing laminectomy with fusion and laminoplasty and showed similar neurological improvement and preservation of ROM to be better in laminoplasty, but the number of patients were small, with seven patients undergoing laminectomy with fusion and nine patients undergoing laminoplasty.<sup>81)</sup> Many studies are conducted as comparison between different methods of laminoplasty<sup>34,42,83)</sup> or lack a control group.<sup>58)</sup> The modern methods of laminoplasty are better than early laminoplasty practices in preserving motion and stability. Its advantages should be scientifically shown in wellcoordinated randomized control trial comparing with other surgical techniques that can stand the test of the world.

# **Conflicts of Interest Disclosure**

The authors have no personal, financial, or institutional interest in any of the drugs, materials, or devices used in the article. All authors who are members of the Japan Neurosurgical Society (JNS) have registered online self-reported COI disclosure statement forms through the website for JNS members.

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