



Call Off the Dog(ma): M1/M2 Polarization Is Concurrent following Traumatic Brain Injury

Josh M. Morganti^{1,2}, Lara-Kirstie Riparip^{1,2}, Susanna Rosi^{1,2,3}*

1 Brain and Spinal Injury Center, University of California San Francisco, San Francisco, CA, United States of America, 2 Departments of Physical Therapy and Rehabilitation Science, University of California San Francisco, San Francisco, CA, United States of America, 3 Neurological Surgery, University of California San Francisco, San Francisco, CA, United States of America



GOPEN ACCESS

Citation: Morganti JM, Riparip L-K, Rosi S (2016) Call Off the Dog(ma): M1/M2 Polarization Is Concurrent following Traumatic Brain Injury. PLoS ONE 11(1): e0148001. doi:10.1371/journal. pone.0148001

Editor: Cesar V Borlongan, University of South Florida, UNITED STATES

Received: December 15, 2015

Accepted: January 11, 2016

Published: January 25, 2016

Copyright: © 2016 Morganti et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper.

Funding: Funded by National Institute Of Neurological Disorders And Stroke and National Institute on Aging of the National Institutes of Health under award numbers F32NS090805 (J.M.M.), R21NS087458 (S.R.); R21AG042016 (S.R.).

Competing Interests: The authors have declared that no competing interests exist.

Abstract

Following the primary mechanical impact, traumatic brain injury (TBI) induces the simultaneous production of a variety of pro- and anti-inflammatory molecular mediators. Given the variety of cell types and their requisite expression of cognate receptors this creates a highly complex inflammatory milieu. Increasingly in neurotrauma research there has been an effort to define injury-induced inflammatory responses within the context of *in vitro* defined macrophage polarization phenotypes, known as "M1" and "M2". Herein, we expand upon our previous work in a rodent model of TBI to show that the categorization of inflammatory response cannot be so easily delineated using this nomenclature. Specifically, we show that TBI elicited a wide spectrum of concurrent expression responses within both pro- and anti-inflammatory arms. Moreover, we show that the cells principally responsible for the production of these inflammatory mediators, microglia/macrophages, simultaneously express both "M1" and "M2" phenotypic markers. Overall, these data align with recent reports suggesting that microglia/macrophages cannot adequately switch to a polarized "M1-only" or "M2-only" phenotype, but display a mixed phenotype due to the complex signaling events surrounding them.

Introduction

Consistently, neuroinflammation is an axiomatic physiological response following traumatic brain injury (TBI). This response is propagated by a variety of cells types in the injured brain via upregulation and release of soluble cellular constituents to the surrounding parenchyma [1, 2]. Principally, these constituents are produced by CNS-resident microglia as well as astrocytes, which perpetuate the activation of the innate immune response in the injured brain [3]. By nature this networked response is inherently complex given the multitude of factors and cognate receptors involved, however much attention and research has been devoted to defining this complex inflammatory response within the dichotomous and linear constraints of 'M1' versus 'M2' innate polarization phenotypes. Innate immune polarization was originally described using *in vitro* examinations of the differential effects of singular stimuli (e.g. LPS, IL-4, IFN) on macrophage gene expression [4]. The "M1/M2" nomenclature was later derived [5],

^{*} susanna.rosi@ucsf.edu



and then expanded into several subgroups [6–9] to accommodate for an ever-increasing spectrum of stimuli and subsequent gene expression responses of *in vitro* macrophages. Altogether, this was an effort to group tissue macrophage response akin to the synchronized responses of polarized lymphocytes [5]. Ultimately, gene profiling techniques have recently elucidated that the stabile polarization states formed by lymphocytes [10] do not map sufficiently to macrophages, which are distinctly plastic by comparison [11–14]. More recently, a review by Martinez and Gordon [15] recapitulated these findings suggesting, *in vivo*, that the inflammatory milieu present in disease or injury requires these cells to react to a variety of stimuli simultaneously, shaping their responses in complex and perhaps mixed phenotypes.

In light of these recent findings, we profiled the inflammatory response of the brain in the context of simultaneous or mixed macrophage phenotypes following TBI. Herein, we used our rodent model of moderate TBI [16] to define the temporal inflammatory profiles of the injured brain through multiple time points following injury. To examine inflammatory response we examined over ninety targets spanning the M1/M2 macrophage inflammatory spectrum, curated from highly cited manuscripts focused on macrophage polarization [6, 8, 11, 12, 15, 17–21]. In the current study we show that TBI initiates a broad-spectrum inflammatory response, simultaneously up-regulating the expression of both "M1" and "M2" associated genes in the TBI-injured brain. Importantly, the concurrent expression of both activation states spanned multiple time points following injury, creating a common node of differential gene expression. Furthermore, we show that microglia/macrophages in the injured parenchyma mirror these responses antigenically via concurrent expression of M1/M2 markers on the same cell across multiple time points. Taken together, these data further draw into question the viability of a dichotomous system to define parenchymal macrophage response in TBI given the complex signaling milieu surrounding these cells and their Janus-faced plasticity.

Materials

Animals

All experiments were conducted in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee of the University of California (San Francisco, CA). Adult 3-month-old C57BL6/J (wild type; WT) male mice were used for all experiments, purchased from Jackson Laboratories (Bar Harbor, ME). Mice were group housed in environmentally controlled conditions with reverse light cycle (12:12 h light:dark cycle at $21 \pm 1^{\circ}$ C) and provided food and water ad libitum.

Surgical Procedure

Animals were anesthetized and maintained with 2.5% isofluorane with a non-rebreathing nose cone and passive exhaust system connected to a stereotaxic frame (David Kopf). Once animals were secured with non-traumatic ear bars, eye ointment was applied and their heads were cleared of any hair around the scalp. Following betadine application, a midline incision was made through the scalp. TBI was reproduced in the parietal lobe using the controlled cortical impact (CCI) model [22]. Mice received a craniectomy approximately 3.5mm in diameter using an electric microdrill with the center point determined by a digitally calibrated manipulator arm (Leica) to the coordinates anteroposterior, -2.0mm; mediolateral, +2.0mm, with respect to bregma. Explicit attention was paid to prevent damage to the dura during craniectomy; any animal in which the dura was disrupted, as assessed by excessive bleeding, was omitted from the study and replaced by another littermate. Following craniectomy, contusion was achieved using a 3.0mm convex tip attached to an electromagnetic impactor (Leica) mounted to the digitally



calibrated manipulator arm. In order to impact flush with the natural curvature of the head/tissue, the manipulator arm was rotated 20° on the vertical axis. The parameters for impact were for a contusion depth of $0.95 \, \mathrm{mm}$ (from dura), velocity was constant at $4.0 \, \mathrm{m/s}$ and the impact was sustained for $300 \, \mathrm{ms}$. Importantly, these injury parameters penetrated all layers of the cortex stopping short of disrupting the dorsal hippocampal structure (AP -2.0 mm; ML +2.0 mm; DV -.95 mm). Following CCI injury, the scalp was sutured and each animal received $0.5 \, \mathrm{mL}$ of physiologic saline (i.p.) before being placed in a water-heated incubation chamber ($37^\circ \, \mathrm{C}$) until they fully recovered as exhibited by resumption of movement and grooming. Sham animals were treated to the above parameters except that the CCI injury was omitted. Animals were euthanized exactly at 1 day (n = 10), 2 days (n = 10), and 7 days (n = 10) after surgery.

qRT-PCR

For qRT-PCR gene expression endpoints all mice were euthanized via rapid cervical dislocation; brain tissues were quickly removed from the skull for dissection. Ipsilateral cortical tissue comprising the perilesional site and analogous area in sham mice were dissected and rapidly frozen in liquid nitrogen before long-term storage at -80°C. For RNA isolation, ipsilateral cortical tissues were homogenized in Trizol lysis buffer (Invitrogen) using disposable plastic pestle in 1.5mL microcentrifuge tubes. RNA was isolated using DirectZol columns (Zymo) with on-column DNAse treatment according to manufacturer's instructions. RNA concentration and quality were determined using a NanoDrop Lite (Thermo Scientific). 300ng of RNA was reverse transcribed using High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems). For inflammatory profiling arrays (Qiagen, #330131) equal volumes of cDNA for each sample were pooled and run on a single plate per condition (e.g. sham, 1d, 2d, and 7d); cycling conditions were followed as suggested by manufacturer. Selected targets from the profiling arrays were validated using individual samples: ARG1 (GAACACGGCAGTGGCTTTAAC/TGCTTAGCTCTGTCTGC TTTGC), B-Actin (ACCCACACTGTGCCCATCTACG/GCCACGCTCGGTCAGGATCTTC), CCL2 (GCTGACCCCAAGAAGGAATG/GTGCTTGAGGTGGTTGTGGA), CCL3 (ACTGCCTGCT GCTTCTCCTACA/ATGACACCTGGCTGGGAGCAAA), CCL4 (ACCCTCCCACTTCCTGCTGTTT/ CTGTCTGCCTCTTTTGGTCAGG), CCL5 (CCTGCTGCTTTGCCTACCTCTC/ACACACTTGG CGGTTCCTTCGA), CCL7 (CAGAAGGATCACCAGTAGTCGG/ATAGCCTCCTCGACCCACTTCT), CCL12 (CAGTCCTCAGGTATTGGCTGGA/TCCTTGGGGTCAGCACAGAT), CD36 (GGACATTG AGATTCTTTTCCTCTG/GCAAAGGCATTGGCTGGAAGAAC), CX3CL1 (GGCTAAGCCTCAGAGC ATTG/CTGTAGTGGAGGGGGACTCA), CXCL2 (CATCCAGAGCTTGAGTGTGACG/GGCTTCA GGGTCAAGGCAAACT), CXCL3 (TGAGACCATCCAGAGCTTGACG/CCTTGGGGGTTGAGGC AAACTT), CXCL10 (CCTCATCCTGCTGGGTCTG/CTCAACACGTGGGCAGGA), CXCL12 (GGAGGATAGATGTGCTCTGGAAC/AGTGAGGATGGAGACCGTGGTG), DUSP1 (CAACCACAA GGCAGACATCAGC/GTAAGCAAGGCAGATGGTGGCT), GM-CSF (ACCACCTATGCGGATTT CAT/TCATTACGCAGGCACAAAAG), IL1B (TGTAATGAAAGACGGCACACC / TCTTC TTTGGGTATTGCTTGG), IL4R (ACCAGATGGAACTGTGGGCTGA/AGCAGCCATTCGTCGGAC ACAT), PTX3 (CGAAATAGACAATGGACTTCATCC/CATCTGCGAGTTCTCCAGCATG), TGB1 (TGATACGCCTGAGTGGCTGTCT/CACAAGAGCAGTGAGCGCTGAA), TGM2 (GAAGGAACAC GGCTGTCAGCAA/ GATGAGCAGGTTGCTGTTCTGG), TLR1 (GGTAGCAAGAGAAGTGGTG GAG/ CGATGGTGACAGTCAGCAGAAC), TLR2 (ACAGCAAGGTCTTCCTGGTTCC/GCTCCC TTACAGGCTGAGTTCT), TLR8 (AAGTGCTGGACCTGAGCCACAA/CCTCTGTGAGGGTGT AAATGCC), TNFA (TGCCTATGTCTCAGCCTCTTC/GAGGCCATTTGGGAACTTCT). Amplifications of these gene transcripts were carried out in duplicate using SYBR Green Master mix (Applied Biosystems). All primer pairs were independently validated using a standard curve of serially diluted mouse cDNA before use in any endpoint. In each PCR analysis, template and RT



controls were included to account for contamination. Cycling conditions were run as previously described [23]. Gene expression was normalized to beta-actin for all samples; fold change per condition was calculated using the $2^{-\Delta\Delta Ct}$ method, with sham group as the reference.

Immunofluorescence Staining and Imaging

Mice were lethally overdosed using a mixture of ketamine (150 mg/kg)/xylazine (15 mg/kg). Each animal was transcardially perfused with phosphate-buffered saline (PBS), followed by 4% paraformaldehyde in PBS. Brain tissues were carefully removed and post-fixed in 4% paraformaldehyde for 16h at 4°C., after which they were transferred into 30% sucrose in PBS for another 16h at 4°C. Coronal sections were made at 40µm using a Microm cryostat (Richard-Allan Scientific) spanning the perilesional cortex. Standard staining procedures [24] were conducted on free-floating sections (n = 4-6/animal) using the following primary antibodies; CD68 (1:100, BioRad, MCA1957, lot#0812), MARCO (1:100, BioRad, MCA1849T, lot#0315), CD36 (1:100, BioRad, MCA2748GA, lot#0115), F4/80 (1:100, MCA497R, lot#1112), CD206 (1:100, BioRad, MCA2235, lot#0812), Iba1 (1:500, Wako, 019-19741, lot#CTR6026), Ym1 (1:100, StemCell Technologies, 14014, lot#13C48567). Detection of primary antibodies was achieved using appropriate conjugated secondary antibodies; anti-rabbit AF647 (1:200, Life Technologies, A21244, lot#1654324), anti-rat AF488 (1:200, Life Technologies, A11006, lot#1605895), and anti-rat 570 Fab (1:50, Jackson ImmunoResearch, 112-297-003, lot#85985). Following standard procedure, [24] serial incubations were conducted with each primary overnight at 4°C. Briefly, labeling was conducted using a sequential process such that a combination of a rabbit and rat antibody were incubated simultaneously overnight, following a series of washes and blocking as previously described [24], the primary-labeled antigens were revealed with anti-rabbit AF647 dye and the anti-rat 570 Fab secondaries for 2 hours at room temperature. Following a series of washes as described above, the second rat-host antibody was applied for overnight incubation at 4°C, which was revealed in the same manner above but with the anti-rat AF488 secondary. Nuclei were counterstained using DAPI (Invitrogen, D1306). Stained sections were mounted onto Superfrost Plus slides (Fisher, 12-550-15) and coverslipped with fluorescent mounting medium (Vector, H1000). Explicit care was taken to confirm the specificity of all antibody combinations by using 'no-primary' controls for each staining condition. Images were acquired using a Zeiss Imager.Z1 Apotome microscope controlled by Zen software (Zeiss 2014) for single plane images and the Zeiss LSM780 for confocal images. Only linear adjustments were made during image acquisition. All images were acquired immediately adjacent to the CCI-induced cavitation in the gray matter.

Data Analysis

Target genes of interest were determined by examining responses representing \pm 1.5 fold change or greater, relative to sham. Comparative analysis was generated in Ingenuity IPA software (Qiagen) using simple-set theory to render common overlapping nodes among the three time points. Proportional Venn diagram was generated using euler APE [25]. Expression of single gene targets was analyzed using one-way ANOVA with Dunnett's posthoc test for multiple comparisons in GraphPad Prism software (v6.0d; La Jolla, CA). Significance was set to p<0.05.

Results

Morphological Characterization of microglia/Macrophages Is Not Sufficient to Determine Inflammatory Profile

In the young, healthy CNS microglia display quite a dynamic capability [26] in an effort to survey their local microenvironment [27], however upon injury these highly ramified cells



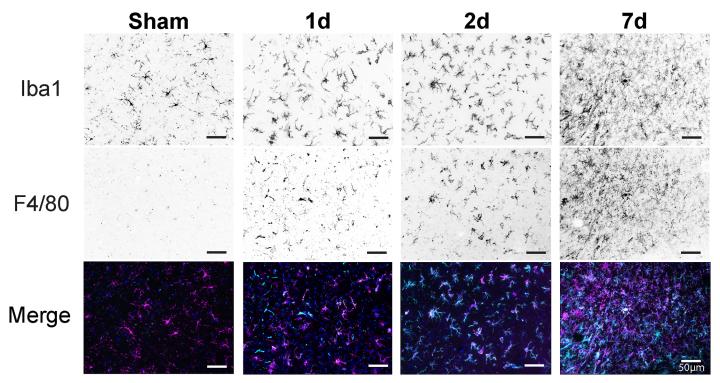


Fig 1. Morphology of microglia/macrophages after TBI across time. Representative images of perilesion from sham and injured animals were stained using pan markers for microglia (Iba1) and microglia/macrophages (F4/80) and visualized with fluorescently conjugated antibodies. Sham animals displayed the morphology of "resting" microglia with compact soma and long, skinny processes. There were a few dual labeled Iba1⁺ microglia with a punctate labeling of F4/80 in the sham animals. Comparatively, there was a drastic change in morphology as a response to injury and across time for both markers. Injury induced a visual increase in the number of F4/80⁺ cells as a progression of time. Representative pseudocolored images were taken adjacent to the contusion site and converted to black and white, with black representing positive staining for each respective marker as labeled. Merged fluorescent images show the degree of coalescence for both markers. Iba1 = magenta, F4/80 = aquamarine.

doi:10.1371/journal.pone.0148001.g001

contract their processes in a visibly altered morphological arrangement. We labeled injuryinduced alterations in microglia/macrophage morphology using a combination panel of panmarkers for microglia and macrophages, Iba1 and F4/80, respectively. TBI induced substantial morphological changes in the innate effectors of the parenchyma at each time point following injury (Fig 1). Moreover, as a progression of time after injury, there was a visual increase in coalescence of co-labeling in terms of these two pan markers for microglia/macrophages, which we have recently shown via flow cytometry [16]. We profiled ipsilateral perilesional cortical tissue (ipsi-Ctx) over three time points following injury; one day (1d), two days (2d), and 7 days (7d). Whole tissue was examined to define the inflammatory milieu in this perilesional environment. We set an arbitrary fold change cutoff such that only gene targets whose expression was greater than ±1.5 fold change were considered. Cumulatively, this filtered gene responses to yield 55, 71, and 59 differentially regulated genes for 1d, 2d, and 7d groups, respectively (Fig 2A). These genes were compared to determine common expression patterns, which yielded a common-node of 32 genes similarly expressed among the three time points; black zone of proportional Venn diagram (Fig 2A). Proportionally, there were more differentially expressed genes in common across all time points than any other inter-node. Comparatively, there was a spillover of gene products as a progression of time such that 48h, being the intermediate, had the most genes in common with 24h and 7d. While there were relatively few differentially expressed genes in common between 24h and 7 d. Importantly, for the common-



node both pro- and anti-inflammatory mediators were differentially expressed across all time points (Fig 2B).

Simultaneous M1/M2 Profiles Induced by TBI

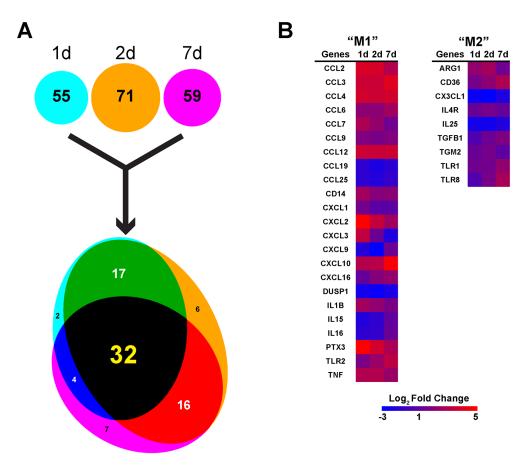
We next validated several selected targets from the common-node for each composite time point analyzed in the mini arrays. Very closely mirroring the pooled responses in the mini arrays, TBI initiated a heterogeneous inflammatory response via differential expression of both pro- and anti-inflammatory genes. At each time point, TBI induced significant expression changes of each analyte for both pro- (Fig 3A) and anti-inflammatory (Fig 3B) gene markers. Although each gene was significantly affected by TBI, there were some expression differences across time, comparatively, as we have previously shown [16]. Given the overlapping expression of a variety of inflammatory markers, we next examined if the mixed inflammatory phenotype was present on microglia/macrophages. Using the same time course, we co-labeled microglia/macrophages (Fig 1) with commonly used antigenic markers of "M1" or "M2" activation states. Herein, we show that that microglia/macrophages immediately adjacent to the CCI-induced cavitation display a mixed phenotype by co-labeling with both polarization markers across the three time points (Fig 4). Moreover, these techniques revealed a very heterogeneous parenchymal landscape such that the population of labeled cells visually created instances of dually labeled "M1/M2" cells along with a "M1" cell adjacent to "M2" cells.

Discussion

Using a two-pronged approach, we highlighted the multifaceted inflammatory milieu of the parenchyma in the TBI-injured brain. With these methods we demonstrated that the binary categorization of "M1/M2" polarization phenotypes cannot be delineated in this injury model as both the microenvironment and within the same cell there is concurrent differential expression of both "M1" and "M2" phenotypes. Despite the commonly interpreted "activated" appearance of microglia/macrophages following injury; increased Iba1 and F4/80 staining, each time point examined showed marked heterogeneity in terms of gene expression as well as antigenic markers commonly associated with the *in vitro* established M1/M2 polarization paradigm. Common to each time point examined was the simultaneous expression of multiple chemokine signaling mechanisms involved in the induction, recruitment, and resolution of neuroinflammation, further highlighting the central and contributing role of these molecules in TBI-induced inflammation. Similarly, recent work using a reporter mouse model for Arginase1 expression paired with focal TBI showed that brain infiltrating macrophages, identified as Arg1+ or Arg1-, revealed concomitant gene expression of pro- and anti-inflammatory chemokine signatures [28], similar to overlapping inflammatory signatures of infiltrated macrophages in the injured spinal cord [29]. Moreover, in a diffuse injury model similar concurrent antigenic profiles were found on isolated microglia [30].

There is a great degree of concordance regarding the role of inflammatory response initiated by TBI between various animal models and human studies [31–36]. However, despite the highly complex inflammatory responses revealed by microarray [34, 37, 38] and bioinformatic approaches [16, 39], there is an increasing trend to adopt a binary approach to categorize these reactions based upon decade-old understanding of *in vitro*-derived stimulus responses of isolated macrophages [5]. However, if tissue resident microglia/macrophages are incapable of remaining in a tonically polarized phenotype [15] due to a lack of defined molecular mechanisms [11, 14], it becomes a problematic endeavor trying to maintain *in vitro* defined responses in animal models of disease [40]. Furthermore, these inconsistencies were recapitulated in two recent reviews by Martinez and Gordon [15] and Murray et al. [41].





1	r	•
ı	L	_
٦		•

ARG1	CCL3	CD40LG	CXCL2	IL10	IRF8	SLAM
NM_007482	NM_011337	NM_011616	NM_009140	NM_010548	NM_008320	NM_013730
BMP2	CCL4	CD80	CXCL3	IL13	JAK2	STAT1
NM_007553	NM_013652	NM_009855	NM_203320	NM_008355	NM_008413	NM_009283
CCL1	CCL5	CD86	CXCL5	IL15	JMJD3	STAT3
NM_011329	NM_013653	NM_019388	NM_009141	NM_008357	NM_001017426	NM_011486
CCL11	CCL6	CX3CL1	CXCL9	IL16	LIF	STAT5
NM_011330	NM_009139	NM_009142	NM_008599	NM_010551	NM_008501	NM_011488
CCL12	CCL7	CXCL1	DC-SIGN	IL1B	MARCO	STAT6
NM_011331	NM_013654	NM_008176	NM_133238	NM_008361	NM_010766	NM_009284
CCL17	CCL8	CXCL10	DUSP1	IL25	MCSF	TGFB1
NM_011332	NM_021443	NM_021274	NM_013642	NM_080729	NM_007778	NM_011577
CCL19	CCL9	CXCL11	FIZZ1	IL33	MIF	TGM2
NM_011888	NM_011338	NM_019494	NM_020509	NM_133775	NM_010798	NM_009373
CCL2	CD14	CXCL12	FPR1	IL4	MMP2	TIMP1
NM_011333	NM_009841	NM_021704	NM_013521	NM_021283	NM_008610	NM_011593
CCL20	CD163	CXCL13	GATA3	IL4RA	MMP9	TIMP3
NM_016960	NM_053094	NM_018866	NM_008091	NM_001008700	NM_013599	NM_011595
CCL22	CD206	CXCL14	GATA6	IL6	NOS2	TLR1
NM_009137	NM_008625	NM_019568	NM_010258	NM_031168	NM_010927	NM_030682
CCL24	CD36	CXCL15	GMCSF	IRF4	PPARG	TLR2
NM_019577	NM_007643	NM_011339	NM_009969	NM_013674	NM_011146	NM_011905
CCL25	CD40	CXCL16	IFNG	IRF5	PTX3	TLR3
NM_009138	NM_011611	NM_023158	NM_008337	NM_012057	NM_008987	NM_126166
TLR4	TLR7	TLR8	TLR9	TNFA	VEGF	YM1
NM_021297	NM_133211	NM_133212	NM_031178	NM_013693	NM_009505	NM_009892

Fig 2. Gene profiling of inflammatory response after TBI across time. qRT-PCR gene profiling of pooled ipsilateral perilesion cortices after injury. (A) Using a custom curated qRT-PCR mini array of 91 genes identified 55, 71, and 59 genes of interest with a fold change greater than 1.5 over sham values for 1d, 2d, and 7d, respectively (n = 8/group). These genes were then grouped using simple-set theory, displayed graphically as a proportional Venn diagram. Therein, there were multiple overlapping nodes between each time point. The greatest degree of commonly expressed genes was shared with the 2d time point between both 1d and 7d. Comparatively, there were relatively few commonly expressed genes between 1 and 7 days. Importantly, across all time points there were 32 commonly expressed genes as response to TBI. (B) Representative heatmap of Log₂ normalized fold changes for the 32 common-node genes. (C) Gene table for all genes in the curated profiling array. Bold items indicate gene name, with their respective RefSeq identifier listed immediately below. Red = upregulated, Blue = downregulated gene expression.

doi:10.1371/journal.pone.0148001.g002



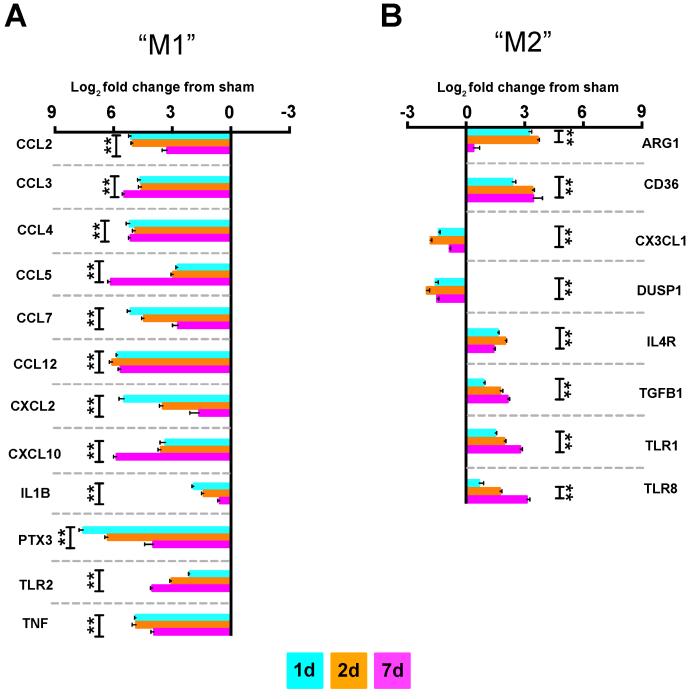


Fig 3. Concomitant expression of pro- and anti-inflammatory genes after TBI. Selected single gene expression analyses from TBI common-node. (A/B) Select genes comprising both pro- and anti-inflammatory mediators were validated using n = 8/time point, including sham. Log₂ normalized fold change of these genes shows markedly similar expression profiles as produced by the pooled samples examined in the array. Of the genes displayed, all time points examined had significant differential expression, relative to sham, for each analyte except for Arg1 at 7d and TLR8 at 1d. Data are displayed as Log₂ normalized fold change relative to sham + SEM. Sham is equivalent to 0. Data were analyzed using one-way ANOVA with Dunnett's posthoc test for multiple comparisons wherein each mean is compared to the mean of the sham group. **p<0.01. Dumbbell-style brackets indicate same level of significance for each group relative to sham. 1d = aquamarine, 2d = orange, 7d = magenta.

doi:10.1371/journal.pone.0148001.g003



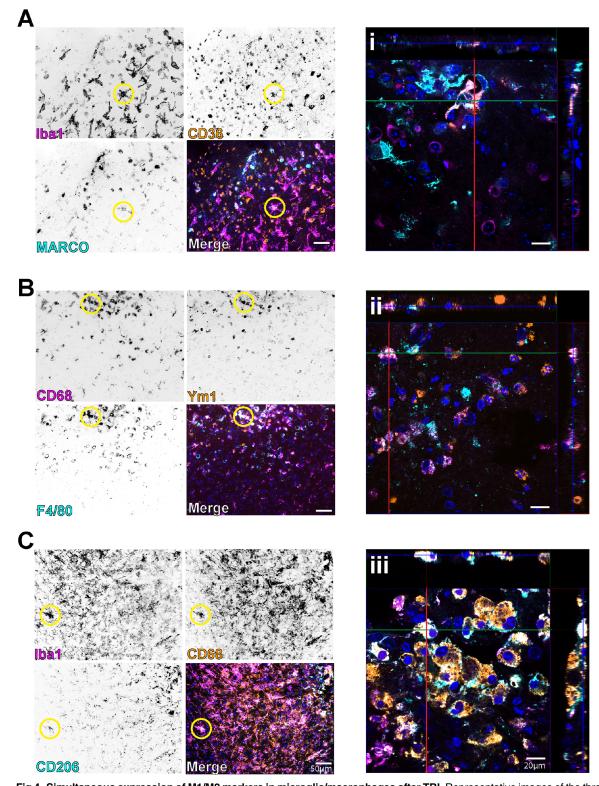


Fig 4. Simultaneous expression of M1/M2 markers in microglia/macrophages after TBI. Representative images of the three time points following injury, sequentially labeled for M1 and M2 markers. (A/i) 1d. (B/ii) 2d. (C/iii) 7d. The lower left hand corner of each representative image indicates the respectively labeled marker and pseudocolor for that image when viewed in the "merge" panel, with "merge" indicating that all three pseudocolored images are coalesced. (i-iii) High magnification confocal images of representative colocalized staining for each adjacent staining; pseudocolors are kept consistent between single plane images and confocal z-stacks, for clarity. Yellow circles indicate representative cells of interest displaying simultaneous labeling of all three markers for



each panel. Iba1 = pan marker for microglial/macrophages, CD36 = M2 marker, MARCO = M1 marker, CD68 = M1/lysomal macrophage, Ym1 = M2 marker, F4/80 = pan marker for macrophages, CD206 = M2 marker. All representative images were taken adjacent to the contusion site. Pseudocolored images were converted to black and white for single markers, with black representing positive staining for each respective marker as labeled.

doi:10.1371/journal.pone.0148001.g004

Herein, we have purposefully expanded our previous observations that TBI induces inflammatory responses that do not neatly fall within the linear constraints of the M1/M2 paradigm [16]. Moreover, our current work is not the first to expand these findings as other models of neurotrauma have reported similar discord with this dogma [28, 30, 42-44]. Despite a variety of differentiating factors including time course, species, and injury location (e.g., brain or spinal cord) these studies [28, 30, 42-44] have shown that neurotrauma induces an inflammatory phenotype with simultaneous profiles. Admittedly, our work herein is by no means comprehensive in terms of examining every mediator previously reported to alter or represent M1/M2 bias. However, the targets examined comprise an ever-expanding repertoire of molecular mechanisms that are commonly reported in various animal models of neuroinflammation. Our data show that there is not a consistent bias towards one polarization phenotype versus another in this model. In the context of neurotrauma, these concomitant gene expression and antigenic profiles call into question the validity of examining a single antigenic marker of microglia/macrophage morphology (e.g., Iba1 and F4/80) to derive the inflammatory status of these cell populations. As such, our data show that although the microglia/macrophage population have acquired an 'activated' appearance, they are responding to both pro- and anti-inflammatory milieu simultaneously. Therefore, these markers of morphology must be used in the context of discerning, in a snapshot sense, the degree of perturbation of these cells and not as an index of inflammatory bias.

In conclusion, we have shown that the binary inflammatory phenotype of M1/M2 response is not clearly delineated in the context of neurotrauma. Similar to recent work in LPS-stimulated and ALS models of neuroinflammation [13] and spinal cord injury [29], we were unable to demonstrate an unambiguous inflammatory profile in both injured tissue and representative labeling of microglia/macrophages. Our findings support recent work acknowledging a disconnect between in vitro modeling of macrophage phenotypes and in vivo tissue response in disease [15, 40]. Importantly, our findings are not meant to discredit or draw into question previous studies examining M1/M2 bias following neurotrauma, we fully acknowledge the role of neuroinflammation in the propagation of neuropathophysiology following TBI. However, we believe that trying to fit highly complex trauma-induced inflammatory molecular mechanisms within the binary constraints of M1/M2 nomenclature are inherently restrictive. To this end, the concurrent differential expression of inflammatory profiles in our current study demonstrates that both semantically and biologically the dogma of 'polarization' is not pertinent to TBI. While it is not feasible to perform large profiling experiments for each study, drawing systems-wide inflammatory categorization (e.g. M1, M2a, M2b, M2c, M2d) based upon a few cherry-picked inflammatory markers is not expedient either. This dated dogma should be eschewed in favor of defining the specific regulatory or mechanistic roles of these markers in the context of trauma-induced neuroinflammatory sequelae.

Acknowledgments

Research reported in this publication was supported by the National Institute Of Neurological Disorders And Stroke and National Institute on Aging of the National Institutes of Health under award numbers F32NS090805 (J.M.M.), R21NS087458 (S.R.); R21AG042016 (S.R.). The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.



Author Contributions

Conceived and designed the experiments: JM SR. Performed the experiments: JM LKR. Analyzed the data: JM SR. Wrote the paper: JM SR.

References

- Hernandez-Ontiveros DG, Tajiri N, Acosta S, Giunta B, Tan J, Borlongan CV. Microglia activation as a biomarker for traumatic brain injury. Front Neurol. 2013; 4:30. doi: 10.3389/fneur.2013.00030 PMID: 23531681; PubMed Central PMCID: PMC3607801.
- Giunta B, Obregon D, Velisetty R, Sanberg PR, Borlongan CV, Tan J. The immunology of traumatic brain injury: a prime target for Alzheimer's disease prevention. J Neuroinflammation. 2012; 9:185. doi: 10.1186/1742-2094-9-185 PMID: 22849382; PubMed Central PMCID: PMC3458981.
- Gyoneva S, Ransohoff RM. Inflammatory reaction after traumatic brain injury: therapeutic potential of targeting cell-cell communication by chemokines. Trends Pharmacol Sci. 2015; 36(7):471–80. doi: 10.16/j.tips.2015.04.003 PMID: 25979813; PubMed Central PMCID: PMC4485943.
- Stein M, Keshav S, Harris N, Gordon S. Interleukin 4 potently enhances murine macrophage mannose receptor activity: a marker of alternative immunologic macrophage activation. J Exp Med. 1992; 176 (1):287–92. PMID: 1613462; PubMed Central PMCID: PMC2119288.
- Mills CD, Kincaid K, Alt JM, Heilman MJ, Hill AM. M-1/M-2 macrophages and the Th1/Th2 paradigm. Journal of immunology. 2000; 164(12):6166–73. PMID: 10843666.
- Gordon S. Alternative activation of macrophages. Nat Rev Immunol. 2003; 3(1):23–35. doi: 10.1038/nri978 PMID: 12511873.
- Stout RD, Jiang C, Matta B, Tietzel I, Watkins SK, Suttles J. Macrophages sequentially change their functional phenotype in response to changes in microenvironmental influences. Journal of immunology. 2005; 175(1):342–9. PMID: 15972667.
- Mosser DM, Edwards JP. Exploring the full spectrum of macrophage activation. Nat Rev Immunol. 2008; 8(12):958–69. doi: 10.1038/nri2448 PMID: 19029990; PubMed Central PMCID: PMC2724991.
- Biswas SK, Mantovani A. Macrophage plasticity and interaction with lymphocyte subsets: cancer as a paradigm. Nat Immunol. 2010; 11(10):889–96. doi: 10.1038/ni.1937 PMID: 20856220.
- Josefowicz SZ. Regulators of chromatin state and transcription in CD4 T-cell polarization. Immunology. 2013; 139(3):299–308. doi: <u>10.1111/imm.12115</u> PMID: <u>23590627</u>; PubMed Central PMCID: PMC3701176.
- Lawrence T, Natoli G. Transcriptional regulation of macrophage polarization: enabling diversity with identity. Nat Rev Immunol. 2011; 11(11):750–61. doi: 10.1038/nri3088 PMID: 22025054.
- Xue J, Schmidt SV, Sander J, Draffehn A, Krebs W, Quester I, et al. Transcriptome-based network analysis reveals a spectrum model of human macrophage activation. Immunity. 2014; 40(2):274–88. doi: 10.1016/j.immuni.2014.01.006 PMID: 24530056; PubMed Central PMCID: PMC3991396.
- 13. Chiu IM, Morimoto ET, Goodarzi H, Liao JT, O'Keeffe S, Phatnani HP, et al. A neurodegeneration-specific gene-expression signature of acutely isolated microglia from an amyotrophic lateral sclerosis mouse model. Cell Rep. 2013; 4(2):385–401. doi: 10.1016/j.celrep.2013.06.018 PMID: 23850290; PubMed Central PMCID: PMC4272581.
- 14. Butovsky O, Jedrychowski MP, Moore CS, Cialic R, Lanser AJ, Gabriely G, et al. Identification of a unique TGF-beta-dependent molecular and functional signature in microglia. Nat Neurosci. 2014; 17 (1):131–43. doi: 10.1038/nn.3599 PMID: 24316888; PubMed Central PMCID: PMC4066672.
- Martinez FO, Gordon S. The M1 and M2 paradigm of macrophage activation: time for reassessment. F1000Prime Rep. 2014; 6(13):13. doi: 10.12703/P6-13 PMID: 24669294; PubMed Central PMCID: PMC3944738.
- Morganti JM, Jopson TD, Liu S, Riparip LK, Guandique CK, Gupta N, et al. CCR2 antagonism alters brain macrophage polarization and ameliorates cognitive dysfunction induced by traumatic brain injury. J Neurosci. 2015; 35(2):748–60. doi: 10.1523/JNEUROSCI.2405-14.2015 PMID: 25589768; PubMed Central PMCID: PMC4293420.
- 17. Mantovani A, Sica A, Sozzani S, Allavena P, Vecchi A, Locati M. The chemokine system in diverse forms of macrophage activation and polarization. Trends Immunol. 2004; 25(12):677–86. doi: 10.1016/j.it.2004.09.015 PMID: 15530839.
- Mantovani A, Sozzani S, Locati M, Allavena P, Sica A. Macrophage polarization: tumor-associated macrophages as a paradigm for polarized M2 mononuclear phagocytes. Trends Immunol. 2002; 23 (11):549–55. PMID: 12401408.



- Gordon S, Taylor PR. Monocyte and macrophage heterogeneity. Nat Rev Immunol. 2005; 5(12):953–64. doi: 10.1038/nri1733 PMID: 16322748.
- Shi C, Pamer EG. Monocyte recruitment during infection and inflammation. Nat Rev Immunol. 2011; 11 (11):762–74. doi: 10.1038/nri3070 PMID: 21984070; PubMed Central PMCID: PMC3947780.
- Geissmann F, Gordon S, Hume DA, Mowat AM, Randolph GJ. Unravelling mononuclear phagocyte heterogeneity. Nat Rev Immunol. 2010; 10(6):453–60. doi: 10.1038/nri2784 PMID: 20467425; PubMed Central PMCID: PMC3032581.
- Smith DH, Soares HD, Pierce JS, Perlman KG, Saatman KE, Meaney DF, et al. A model of parasagittal controlled cortical impact in the mouse: cognitive and histopathologic effects. J Neurotrauma. 1995; 12 (2):169–78. PMID: 7629863.
- 23. Belarbi K, Jopson T, Arellano C, Fike JR, Rosi S. CCR2 deficiency prevents neuronal dysfunction and cognitive impairments induced by cranial irradiation. Cancer Res. 2013; 73(3):1201–10. doi: 10.1158/0008-5472.CAN-12-2989 PMID: 23243025; PubMed Central PMCID: PMC3563875.
- 24. Morganti JM, Nash KR, Grimmig BA, Ranjit S, Small B, Bickford PC, et al. The soluble isoform of CX3CL1 is necessary for neuroprotection in a mouse model of Parkinson's disease. J Neurosci. 2012; 32(42):14592–601. doi: 10.1523/JNEUROSCI.0539-12.2012 PMID: 23077045; PubMed Central PMCID: PMC3501652.
- Micallef L, Rodgers P. eulerAPE: drawing area-proportional 3-Venn diagrams using ellipses. PLoS One. 2014; 9(7):e101717. doi: 10.1371/journal.pone.0101717 PMID: 25032825; PubMed Central PMCID: PMC4102485.
- Davalos D, Grutzendler J, Yang G, Kim JV, Zuo Y, Jung S, et al. ATP mediates rapid microglial response to local brain injury in vivo. Nat Neurosci. 2005; 8(6):752–8. doi: 10.1038/nn1472 PMID: 15895084.
- Nimmerjahn A, Kirchhoff F, Helmchen F. Resting microglial cells are highly dynamic surveillants of brain parenchyma in vivo. Science. 2005; 308(5726):1314–8. doi: 10.1126/science.1110647 PMID: 15831717.
- Hsieh CL, Kim CC, Ryba BE, Niemi EC, Bando JK, Locksley RM, et al. Traumatic brain injury induces macrophage subsets in the brain. Eur J Immunol. 2013; 43(8):2010–22. doi: 10.1002/eji.201243084 PMID: 23630120; PubMed Central PMCID: PMC4210355.
- Shechter R, Miller O, Yovel G, Rosenzweig N, London A, Ruckh J, et al. Recruitment of beneficial M2 macrophages to injured spinal cord is orchestrated by remote brain choroid plexus. Immunity. 2013; 38 (3):555–69. doi: 10.1016/j.immuni.2013.02.012 PMID: 23477737; PubMed Central PMCID: PMC4115271.
- Fenn AM, Hall JC, Gensel JC, Popovich PG, Godbout JP. IL-4 signaling drives a unique arginase+/IL-1beta+ microglia phenotype and recruits macrophages to the inflammatory CNS: consequences of age-related deficits in IL-4Ralpha after traumatic spinal cord injury. J Neurosci. 2014; 34(26):8904–17. doi: 10.1523/JNEUROSCI.1146-14.2014 PMID: 24966389; PubMed Central PMCID: PMC4069360.
- Helmy A, Carpenter KL, Menon DK, Pickard JD, Hutchinson PJ. The cytokine response to human traumatic brain injury: temporal profiles and evidence for cerebral parenchymal production. J Cereb Blood Flow Metab. 2011; 31(2):658–70. doi: 10.1038/jcbfm.2010.142 PMID: 20717122; PubMed Central PMCID: PMC3049520.
- Johnson VE, Stewart JE, Begbie FD, Trojanowski JQ, Smith DH, Stewart W. Inflammation and white matter degeneration persist for years after a single traumatic brain injury. Brain. 2013; 136(Pt 1):28–42. doi: 10.1093/brain/aws322 PMID: 23365092; PubMed Central PMCID: PMC3562078.
- Dalgard CL, Cole JT, Kean WS, Lucky JJ, Sukumar G, McMullen DC, et al. The cytokine temporal profile in rat cortex after controlled cortical impact. Front Mol Neurosci. 2012; 5:6. doi: 10.3389/fnmol.2012. 00006 PMID: 22291617; PubMed Central PMCID: PMC3265961.
- 34. Crack PJ, Gould J, Bye N, Ross S, Ali U, Habgood MD, et al. The genomic profile of the cerebral cortex after closed head injury in mice: effects of minocycline. J Neural Transm (Vienna). 2009; 116(1):1–12. doi: 10.1007/s00702-008-0145-1 PMID: 19018450.
- **35.** Woodcock T, Morganti-Kossmann MC. The role of markers of inflammation in traumatic brain injury. Front Neurol. 2013; 4:18. doi: 10.3389/fneur.2013.00018 PMID: 23459929; PubMed Central PMCID: PMC3586682.
- Israelsson C, Bengtsson H, Kylberg A, Kullander K, Lewen A, Hillered L, et al. Distinct cellular patterns
 of upregulated chemokine expression supporting a prominent inflammatory role in traumatic brain
 injury. J Neurotrauma. 2008; 25(8):959–74. doi: 10.1089/neu.2008.0562 PMID: 18665806.
- Shojo H, Kaneko Y, Mabuchi T, Kibayashi K, Adachi N, Borlongan CV. Genetic and histologic evidence implicates role of inflammation in traumatic brain injury-induced apoptosis in the rat cerebral cortex following moderate fluid percussion injury. Neuroscience. 2010; 171(4):1273–82. doi: 10.1016/j. neuroscience.2010.10.018 PMID: 20950674.



- White TE, Ford GD, Surles-Zeigler MC, Gates AS, Laplaca MC, Ford BD. Gene expression patterns following unilateral traumatic brain injury reveals a local pro-inflammatory and remote anti-inflammatory response. BMC Genomics. 2013; 14:282. doi: 10.1186/1471-2164-14-282 PMID: 23617241; PubMed Central PMCID: PMC3669032.
- Helmy A, Antoniades CA, Guilfoyle MR, Carpenter KL, Hutchinson PJ. Principal component analysis of the cytokine and chemokine response to human traumatic brain injury. PLoS One. 2012; 7(6):e39677. doi: 10.1371/journal.pone.0039677 PMID: 22745809; PubMed Central PMCID: PMC3382168.
- Davies LC, Jenkins SJ, Allen JE, Taylor PR. Tissue-resident macrophages. Nat Immunol. 2013; 14 (10):986–95. doi: 10.1038/ni.2705 PMID: 24048120; PubMed Central PMCID: PMC4045180.
- Murray PJ, Allen JE, Biswas SK, Fisher EA, Gilroy DW, Goerdt S, et al. Macrophage activation and polarization: nomenclature and experimental guidelines. Immunity. 2014; 41(1):14–20. doi: 10.1016/j. immuni.2014.06.008 PMID: 25035950; PubMed Central PMCID: PMC4123412.
- Wang G, Zhang J, Hu X, Zhang L, Mao L, Jiang X, et al. Microglia/macrophage polarization dynamics in white matter after traumatic brain injury. J Cereb Blood Flow Metab. 2013; 33(12):1864–74. doi: 10.38/jcbfm.2013.146 PMID: 23942366; PubMed Central PMCID: PMC3851898.
- 43. Kumar A, Stoica BA, Sabirzhanov B, Burns MP, Faden AI, Loane DJ. Traumatic brain injury in aged animals increases lesion size and chronically alters microglial/macrophage classical and alternative activation states. Neurobiol Aging. 2013; 34(5):1397–411. doi: 10.1016/j.neurobiolaging.2012.11.013 PMID: 23273602; PubMed Central PMCID: PMC3572914.
- 44. Turtzo LC, Lescher J, Janes L, Dean DD, Budde MD, Frank JA. Macrophagic and microglial responses after focal traumatic brain injury in the female rat. J Neuroinflammation. 2014; 11:82. doi: 10.1186/1742-2094-11-82 PMID: 24761998; PubMed Central PMCID: PMC4022366.