

Utilizing Machine Learning and Diode Physics to Investigate the Effects of Stoichiometry on Photovoltaic Performance in Sequentially Processed Perovskite Solar Cells

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Cite This: *ACS Omega* 2023, 8, 41558–41569



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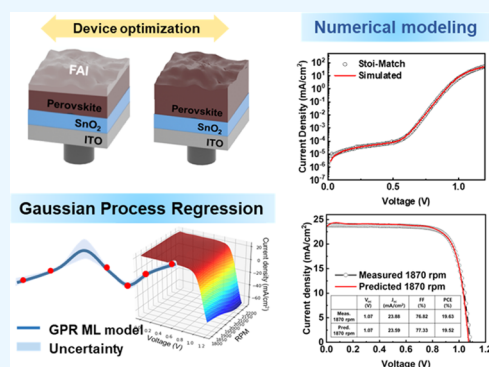
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ABSTRACT: Organic–inorganic metal halide perovskite solar cells are renowned for their extensive solution processability, although the production of uniformly crystalline perovskite films can necessitate intricate deposition methods. In our study, we harmonized Shockley diode-based numerical analysis with machine learning techniques to extract the device characteristics of perovskite solar cells and optimize their photovoltaic performance in light of the experimental variables. The application of the Shockley diode equation facilitated the extraction of photovoltaic parameters and the prediction of power conversion efficiencies, thus aiding the understanding of device physics and charge recombination. Through machine learning, specifically Gaussian process regression, we trained models on current–voltage curves sensitive to variations in fabrication conditions, thereby pinpointing the optimal settings for enhanced device performance. Our multifaceted approach not only clarifies the interplay between experimental conditions and device performance but also streamlines the optimization process, diminishing the need for exhaustive trial-and-error experiments. This methodology holds substantial promise for advancing the development and fine-tuning of next-generation perovskite solar cells.



1. INTRODUCTION

Organic–inorganic perovskite photovoltaics are considered the next-generation solar cells due to their rapid increase in power conversion efficiency (PCE), reaching over 25.7%, and their low-cost solution-based processing methods.^{1–3} The most widely used perovskite structure, ABX_3 , is composed of formamidinium iodide (FAI) and lead iodide (PbI_2) and is processed using solution-based perovskite conversion methods such as the antisolvent quenching one-step method and the sequential deposition two-step method.^{4,5} The one-step deposition method allows for easy control of the perovskite film stoichiometry by changing the molar ratio of PbI_2 and organic salts (FAI, MAI, and MABr) in the perovskite precursor solution. However, controlling the antisolvent quenching treatment during the spin-casting of the perovskite solution can be difficult, leading to kinetically frozen perovskite phases and increased complexity and variability in device performance. Additionally, antisolvents used for perovskite conversion, such as chlorobenzene, toluene, and diethyl ether, are known to be environmentally toxic.⁶

The sequential deposition method involves separate coating processes for PbI_2 and FAI layers, which are converted to perovskite films through ion diffusion during thermal annealing. Compared to the one-step deposition method, the sequential deposition method produces thermodynamically

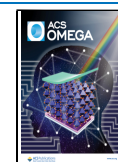
stable perovskite crystals, resulting in high-quality perovskite films with high reproducibility. This advantage makes the method more suitable for large-area coatings, such as roll-to-roll and spray coating.^{7–10} However, a disadvantage of the sequential deposition method is the difficulty in accurately determining the stoichiometric ratio of the perovskite film, as the relative molar quantity of each component cannot be precisely controlled by the thickness of each layer. Consequently, depending on the processing parameters, the two-step procedure can cause excess PbI_2 (Ex- PbI_2) and excess FAI (Ex-FAI) on the perovskite surface,¹⁰ which may also induce the formation of trap states and act as a charge-blocking layer between the perovskite layer and charge transport layer.^{11,12}

To address the stoichiometric challenges in the sequential deposition method, several studies have been conducted to optimize deposition parameters such as PbI_2 and FAI solution concentrations, spin-coating speeds, and annealing temper-

Received: August 1, 2023

Accepted: October 6, 2023

Published: October 26, 2023



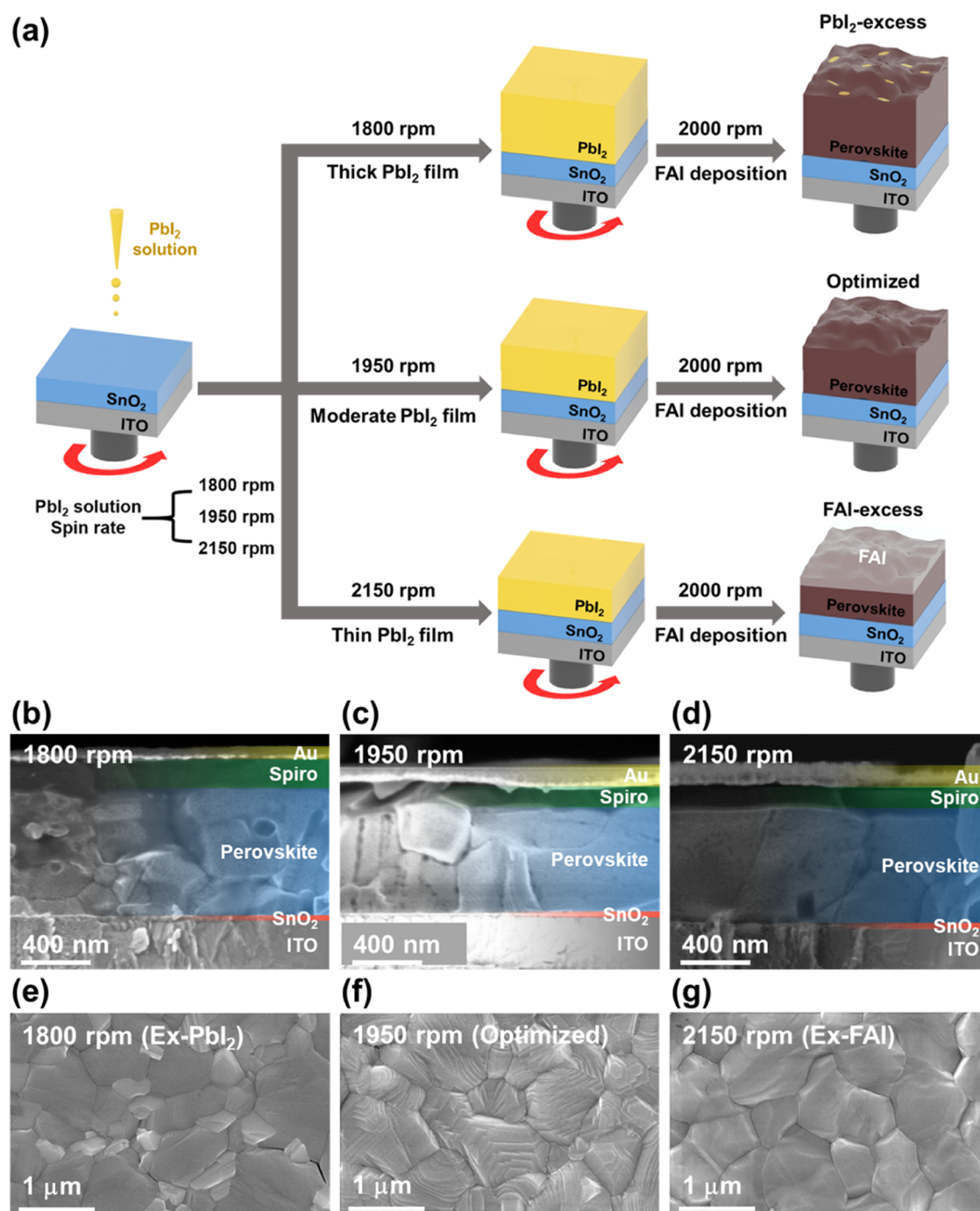


Figure 1. (a) Schematic of the device fabrication for different PbI_2 rpms (1800, 1950, and 2150 rpm). Cross-sectional SEM images of the perovskite layer processed from PbI_2 at (b) 1800, (c) 1950, and (d) 2150 rpm. SEM images of the perovskite layer processed from PbI_2 at (e) 1800, (f) 1950, and (g) 2150 rpm.

atures.^{13,14} However, most of these studies have relied on trial-and-error approaches, which are time-consuming and resource intensive. Recently, machine learning techniques have emerged as powerful tools for optimizing complex experimental parameters and predicting material properties, enabling the more efficient exploration of parameter spaces. By integrating experimental data with machine learning models, researchers can rapidly identify optimal processing conditions for perovskite solar cells, thereby improving their performance and reproducibility.

In this study, we propose a synergistic approach that integrates the Shockley diode equation with machine learning techniques to optimize sequentially processed perovskite solar cells. First, we use a numerical method for fitting that allows us to extract device characteristics of stoichiometrically modified perovskite solar cells. This diode-equation-based fitting provides a comprehensive understanding of how stoichiometry influences device performance and charge recombination within these solar cells. Second, we apply Gaussian process regression to directly train $J-V$ curves of the perovskite devices under various experimental conditions, facilitating predictions

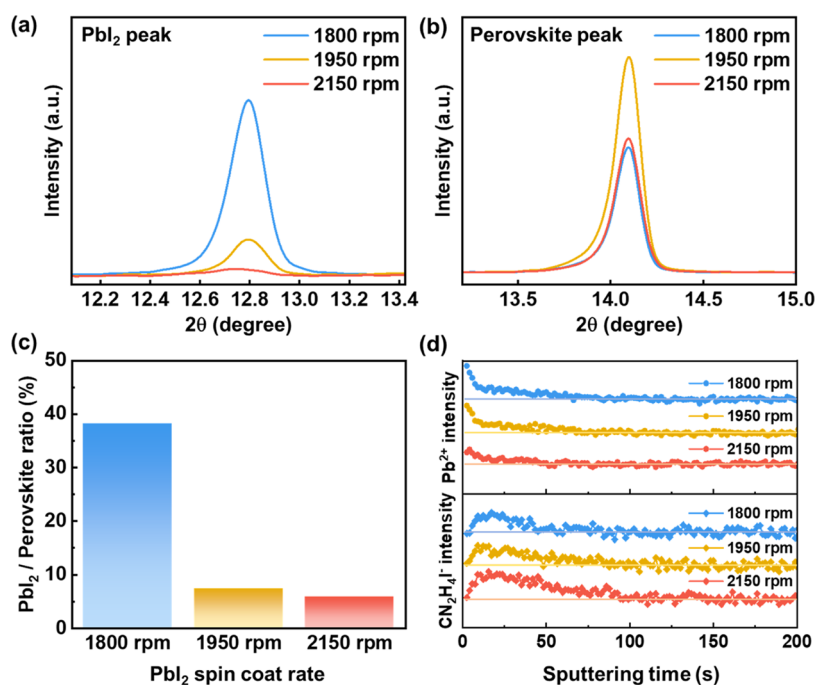


Figure 2. XRD patterns of the perovskite layer for the (a) PbI₂ peak and (b) perovskite peak, depending on PbI₂ (1800, 1950, and 2150 rpm). (c) Intensity ratio of perovskite to PbI₂ XRD peaks. (d) TOF-SIMS profiles of the perovskite layer for Pb²⁺ and CN₂H₄I⁻.

of $J-V$ curves in unexplored parameter spaces and identification of optimal conditions. Our research demonstrates the potential of machine learning-assisted optimization in developing high-performance optoelectronic devices, thus significantly contributing to the evolution of perovskite solar cell technology.

2. RESULTS AND DISCUSSION

2.1. Stoichiometric Variations of Sequentially Processed Perovskite Films. We adopted the sequential deposition process of the perovskite film (FAPbI₃)_{0.97}(MAPbBr₃)_{0.03}, which involves the spin-coating of PbI₂ and organic salts in a layer-by-layer manner. Considering that 97% of the organic cations are FA⁺ ions, the organic salt layer was designated FAI as a representative. To deviate the relative compositional ratio of PbI₂ and FAI from that of the FAPbI₃ structure, the thickness of the PbI₂ and FAI layers can be controlled by varying the spin-coating parameters, such as the spinning rate, concentration, and solvent viscosity.^{15,16} In this study, we controlled the spin-coating rate of the PbI₂ layer from 1800 to 2150 rpm while fixing the spin-coating rate of the FAI layer at 2000 rpm. The lower the spin-coating rate, the thicker the resulting film. Therefore, by simply controlling the spin-coating rate of PbI₂, we can control the relative composition ratio of the perovskite components, resulting in excess-PbI₂, excess-FAI, and optimized perovskite phases. We chose three rpm parameters: 1800 rpm for the thick PbI₂ film (resulting in PbI₂-excess perovskite, briefly Ex-PbI₂), 1950 rpm for the moderate PbI₂ film (Optimized), and 2150 rpm for the thin PbI₂ film (FAI-excess perovskite, briefly Ex-FAI) (Figure 1a).

Cross-sectional scanning electron microscopy (SEM) images were obtained to characterize the thickness of the perovskite films. Most of the fabricated high-performance PSCs have perovskite film thicknesses of approximately 500–700 nm.^{17,18} The thickness of the perovskite film processed from the 1800-

rpm PbI₂ film was approximately 600 nm (Figure 1b), and the thickness of the perovskite films processed from 1950- and 2150 rpm PbI₂ films was 500–550 nm (Figure 1c,d). Top-view SEM images were obtained to observe the morphologies of the perovskite films. All the perovskite films had similar grain sizes of 0.8 to 1 μm. PbI₂ crystals (bright color) were observed among the perovskite grains in the perovskite film coated at 1800 rpm (Figure 1e). On the 1950 rpm, the SEM image shows a few PbI₂ crystals on the surface of the perovskite film, and a clear pattern of perovskite crystals was observed (Figure 1f). Similarly, the 2150 rpm SEM image has few PbI₂ crystals; however, the pattern of perovskite crystals is not observed clearly because the perovskite grains are covered by excess-FAI (Figure 1g). PbI₂-excess perovskite exhibits a higher surface roughness than the 1950 rpm and FAI-excess perovskite films owing to the spiky formation of PbI₂ crystals (Figure S1).

Crystal structure and elemental analyses were carried out to confirm the optimization of the PbI₂/FAI stoichiometry. X-ray diffraction (XRD) measurements were conducted to quantify the relative amount of PbI₂ crystals and the crystallinity of the perovskite films. The diffraction peak intensity designated to the PbI₂ crystal (12.8°) also decreased with decreasing thickness of the PbI₂ layer and a spin rate from 1800 to 2150 rpm (Figure 2a). In the case of perovskite processed from 2150 rpm PbI₂, the PbI₂ diffraction peak disappears, indicating that the PbI₂ phase is completely converted into the perovskite phase. The (100) crystalline peak of the perovskite was compared, which showed an increase only when the perovskite was processed from the 1950 rpm PbI₂ layer (Figure 2b). We also calculated the ratio of PbI₂ to perovskite peak intensity (Figure 2c). The intensity ratio of the perovskite to PbI₂ XRD peaks is 38.21% at 1800 rpm, 7.32% at 1950 rpm, and 5.84% at 2150 rpm, which indicates that the amount of excess-PbI₂ is reduced with a decreasing thickness of the PbI₂ layer.

Additionally, we analyzed the depth profile of each perovskite film using time-of-flight secondary ion mass

Table 1. Average and Champion Device's Photovoltaic Parameters of Perovskite Solar Cells for 1800 rpm (Ex-PbI₂), 1950 rpm (Optimized), and 2150 rpm (Ex-FAI)^a

		V _{oc} (V)	J _{sc} (mA/cm ²)	FF (%)	PCE (%)	H _{index} (%)
1800 rpm (Ex-PbI ₂)	average	1.01 (±0.02)	23.40 (±0.10)	76.55 (±1.98)	18.12 (±0.44)	2.41
	champion	1.05	23.46	78.22	19.27	
1950 rpm (optimized)	average	1.06 (±0.03)	23.47 (±0.29)	77.62 (±1.61)	19.16 (±0.53)	3.96
	champion	1.10	23.84	78.32	20.54	
2150 rpm (Ex-FAI)	average	0.96 (±0.04)	22.92 (±0.24)	73.92 (±1.15)	16.05 (±0.65)	6.59
	champion	1.03	23.23	76.48	18.30	

$${}^a H_{\text{index}} (\%) = (\text{PCE}_{\text{reverse}} - \text{PCE}_{\text{forward}}) / \text{PCE}_{\text{reverse}} \times 100.$$

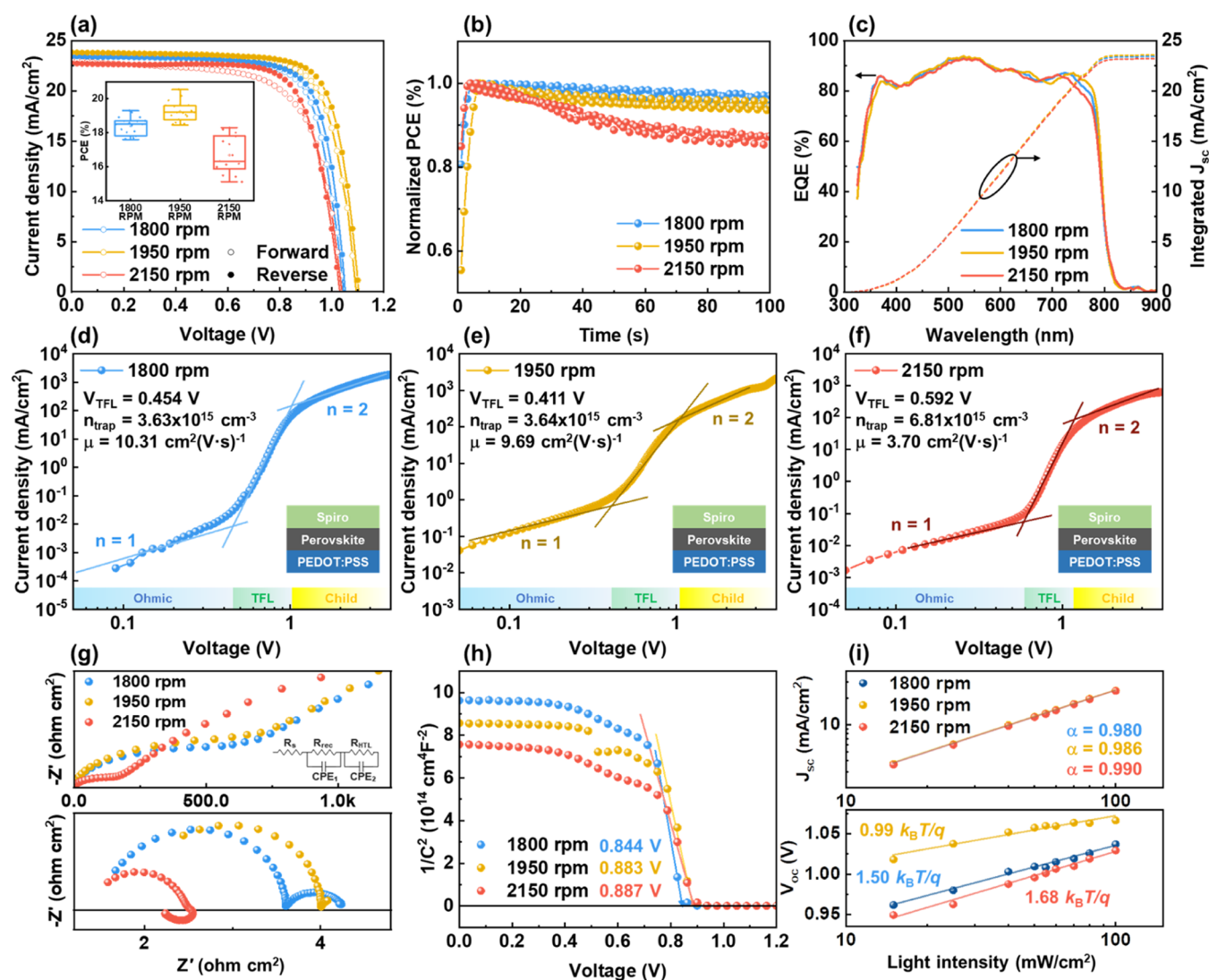


Figure 3. (a) Forward and reverse photo J - V characteristics of the devices. The inset shows the PCE histogram for different PbI₂ spin-coating rates. (b) Normalized PCE curves of the devices obtained from maximum power point (MPP) tracking measurement. (c) EQE and integrated J_{sc} spectra of the devices. Space-charge-limited current (SCLC) measurement of hole-only devices processed from (d) 1800, (e) 1950, and (f) 2150 rpm. (g) Nyquist plot of the devices measured under dark (top) and 1 sun illumination (bottom). (h) Mott-Schottky plot. (i) Light intensity-dependent J_{sc} , V_{oc} , and ideality factor of the devices.

spectrometry (TOF-SIMS) (Figure 2d). In these profiles, Pb²⁺ ions were chosen to represent both PbI₂ and the bulk perovskite, while CN₂H₄I⁻ ions were selected as representatives for FAI, in accordance with the prior literature.¹⁹ We introduced a baseline on the graph to highlight the stabilized signals of Pb²⁺ and CN₂H₄I⁻ within the bulk of the perovskite film, enabling a clear contrast between the surface and bulk ion intensities. Corroborating the findings from our XRD

measurements, the intensity of the Pb²⁺ signal on the perovskite surface decreased with an increasing spin-coating rate of the PbI₂ deposition process. Intriguingly, an excess of FAI was detected across all perovskite films, likely due to the sequential deposition method used. However, the film spun at 2150 rpm exhibited the highest surface concentration of FAI.

Through a combination of SEM, XRD, and TOF-SIMS analyses, we confirmed that the perovskite film produced at

1800 rpm had an excess of PbI_2 (Ex- PbI_2), while the film at 2150 rpm was characterized by an excess of FAI (Ex-FAI). The film fabricated at 1950 rpm was identified as the optimized perovskite film, given its relatively lower concentrations of both PbI_2 and FAI compared to those at 1800 and 2150 rpm. This suggests that the individual coated layers of PbI_2 and FAI are efficiently converted to a uniform perovskite film at a spin-coating speed of 1950 rpm.

2.2. Photovoltaic Characteristics of the Perovskite Solar Cells. To thoroughly investigate the photovoltaic characteristics of the processed perovskite solar cells, current density–voltage (J – V), space-charge-limited current (SCLC), and electrochemical impedance spectroscopy (EIS) were performed. The photovoltaic properties of the processed perovskite devices with 1800, 1950, and 2150 rpm are collected under one sun illumination (Table 1). The PCE histogram reveals that 1800 (19.27%) and 2150 (18.30%) rpm decreased the PCE compared to the 1950 rpm devices (20.54%), and 2150 rpm devices have a broad distribution of photovoltaic parameters (Figure 3a). The optimized device achieved an open-circuit voltage (V_{oc}) of 1.10 V, a short-circuit current density (J_{sc}) of 23.84 mA/cm^2 , a fill factor (FF) of 78.32%, and a PCE of 20.54%. The 1800 and 2150 rpm devices have lower V_{oc} and J_{sc} values, with the 2150 rpm device having the lowest photovoltaic values (V_{oc} of 1.03 V, J_{sc} of 23.23 mA/cm^2). The reason for this is that the Ex-FAI phase leads to a significant reduction in PCE due to the insulating property of FAI at the device interfaces, as compared to the Ex- PbI_2 p-type semiconductor phase.^{20–25} The hysteresis index (H_{index}) was calculated from the difference between the forward and reverse I – V scans, which is related to the charge accumulation between the perovskite layer and charge transport layer.^{26–30} As the relative amount of PbI_2 phases decreases, H_{index} continuously increases, which indicates that Ex- PbI_2 phase accelerates carrier extraction and inhibits charge accumulation, in accordance with previous results.^{31–34}

Maximum power point (MPP) tracking measurements were conducted to investigate the device's operational stability at the maximum power point under constant illumination of 100 mW/cm^2 for 100 s (Figure 3b). The 1800 and 1950 rpm devices retained over 95% of the initial PCE, whereas it reduced to 85% in 2150 rpm devices. This indicates that Ex-FAI degrades the stability of the device under light and causes charge accumulation, which agrees well with the increase in the hysteresis index.^{31–34} EQE spectra were also obtained to calculate the J_{sc} values of the devices (Figure 3c). Although the devices have similar EQE edges, the 1950 rpm device shows the highest integrated J_{sc} of 23.58 mA/cm^2 , compared to 1800 rpm (23.42 mA/cm^2) and 2150 rpm (23.21 mA/cm^2). The trends of the integrated J_{sc} from the EQE spectra match well with those of J_{sc} from the photo J – V curves.

We fabricated hole-only devices, ITO/PEDOT: PSS/perovskite/Spiro-OMeTAD, for SCLC measurements to confirm the trap density (n_{trap}) and mobility (μ) of the devices (Figure 3d–3f).^{35,36} The equations used for the calculation of trap density and mobility from SCLC measurements are

$$n_{trap} = \frac{2\epsilon\epsilon_0 V_{TFL}}{eL^2} \quad (1)$$

and

$$J_{SCL} = \frac{9\epsilon\epsilon_0\mu V^2}{8L^3} \quad (2)$$

where ϵ and ϵ_0 are the dielectric constants of the perovskite and the vacuum permittivity, respectively, L (600 nm for Ex- PbI_2 , 550 nm for 1950 rpm, and 500 nm for Ex-FAI) is the thickness of the obtained perovskite film, e is the elementary charge, and J_{SCL} is the measured current density. The trap-filled limit voltage (V_{TFL}) was 0.454 V for 1800 rpm, 0.411 V for 1950 rpm, and 0.592 V for 2150 rpm. The 2150 rpm device shows the highest trap density of $6.81 \times 10^{15} \text{ cm}^{-3}$ compared to 1800 rpm ($3.63 \times 10^{15} \text{ cm}^{-3}$) and 1950 rpm ($3.64 \times 10^{15} \text{ cm}^{-3}$). The calculated mobility from SCLC measurements is $10.31 \text{ cm}^2 (\text{V}\cdot\text{s})^{-1}$ for 1800 rpm, $9.69 \text{ cm}^2 (\text{V}\cdot\text{s})^{-1}$ for 1950 rpm, and $3.70 \text{ cm}^2 (\text{V}\cdot\text{s})^{-1}$ for 2150 rpm. As the amount of excess- PbI_2 increased from 2150 to 1800 rpm, the mobility also increased. This indicates that excess- PbI_2 induces few trap states and reduces charge accumulation, which agrees with the MPP tracking and a decrease in the hysteresis index.^{31–34}

Additionally, we conducted steady-state photoluminescence (PL) measurement on perovskite films processed at 1800, 1950, and 2150 rpm (Figure S4). The film processed at 1950 rpm displayed the highest PL intensity, when compared to those processed at 1800 and 2150 rpm. This suggests that there is reduced nonradiative recombination due to trap states in the 1950 rpm film. Moreover, the PL peak shifts toward longer wavelengths as the spin-coating speed decreases. According to prior studies, an excess of PbI_2 leads to this shift in the PL peak toward longer wavelengths.^{37–39} Notably, the PL peak of the 1800 rpm film, which contains the largest amount of PbI_2 , appears at the longest wavelength. These observations are entirely consistent with results from SEM, XRD, TOF-SIMS, and SCLC analyses.

Electrochemical impedance spectroscopy analysis was performed to further elucidate carrier transfer and recombination characteristics within the devices. Nyquist plots were acquired over a frequency range of 100 Hz to 1 MHz at a bias of 0.6 V, both under dark conditions and under 1 sun illumination (Figure 3g). As established in previous studies, the series resistance (R_s) can be determined at the point where the Nyquist plot intersects the real axis, and our analysis found no significant difference in R_s among the devices.^{40–42} Two distinctive arcs were noticeable in the Nyquist spectra; the one in the high-frequency range corresponds to charge recombination resistance (R_{rec}).^{40–42} The spectra, when measured under dark conditions, were fitted to an equivalent circuit model. The device processed at 1800 rpm exhibited a slight decrease in R_{rec} , falling to 583 $\Omega \text{ cm}^2$. A more pronounced reduction was observed in the device spun at 2150 rpm, with a value of 144 $\Omega \text{ cm}^2$, in comparison to the 1950 rpm device, which had an R_{rec} of 662 $\Omega \text{ cm}^2$. Regarding shunt resistance, R_{sh} values for devices processed at 1800, 1950, and 2150 rpm under 1 sun illumination closely paralleled those obtained under dark conditions, with values of 2.04, 2.14, and 0.96 $\Omega \text{ cm}^2$, respectively. A reduction in R_{rec} is indicative of increased carrier recombination in PSCs, and higher recombination rates typically result in lower and more variable V_{oc} .^{40–42} Additionally, a negative value was noted in the device processed at 2150 rpm when measured under illumination, suggesting charge accumulation at the interface.⁴³ These results are consistent with our conclusion that the excess FAI impacts charge extraction, as evidenced by the broad distribution of photovoltaic parameters (Figure S3).

Mott–Schottky plots were collected and analyzed to investigate the built-in potential (V_{bi}) based on the following equation^{44–46}

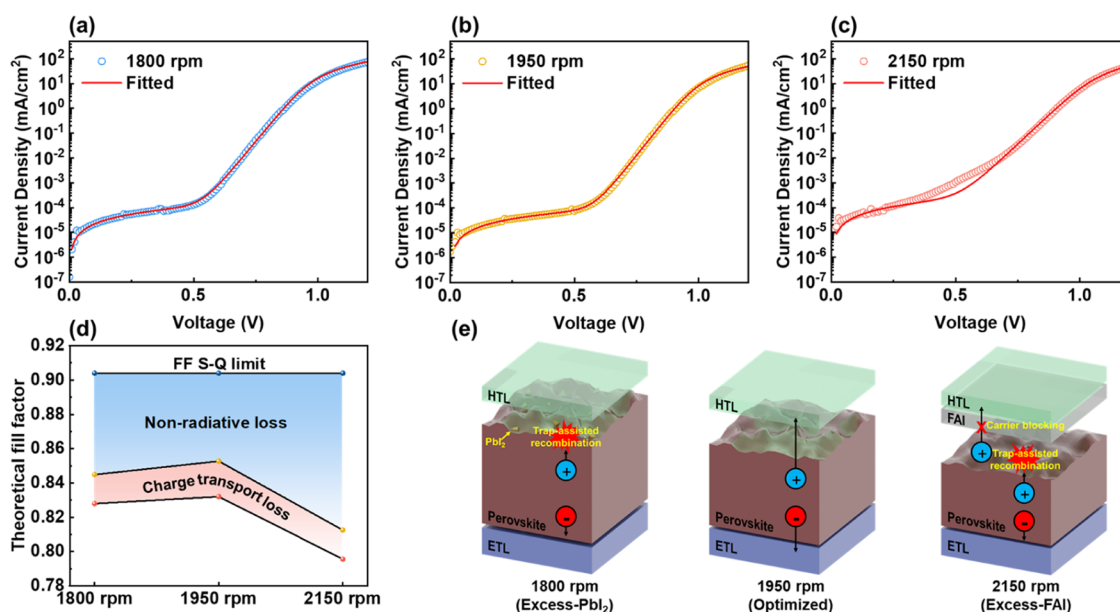


Figure 4. Dark J - V curves of devices and fitted dark J - V curves with the Shockley's diode equation from (a) 1800 rpm (Ex-PbI₂), (b) 1950 rpm (optimized), and (c) 2150 rpm (Ex-FAI). (d) Theoretical fill factor of each device calculated by using extracted parameters from fitted dark J - V curves. (e) Schematics of the carrier transport mechanism of the 1800, 1950, and 2150 rpm devices.

$$\frac{1}{C^2} = \frac{2}{q\epsilon\epsilon_0 A^2 N} (V_{bi} - V) \quad (3)$$

where C is the capacitance, N the carrier density, ϵ the static permittivity, and ϵ_0 the static permittivity. The x -intercept of the Mott-Schottky plot indicates the built-in potential. The 1800 rpm decreases V_{bi} by 0.844 V compared to that of 1950 rpm (0.883 V), which is in accordance with a lower V_{oc} value of the 1800 rpm device than that of the 1950 rpm device (Figure 3h).^{47,48} On the other hand, the 2150 rpm device exhibits a higher built-in potential of 0.887 V than the 1950 rpm device, even though the 2150 rpm device has a lower V_{oc} than the 1800 rpm device. This conflicting result can be attributed to the fact that the 2150 rpm device has a high trap density and low shunt resistance, leading to significant nonradiative recombination and leakage current. This stronger recombination and leakage result in a V_{oc} of 2150 rpm that is lower than that of 1800 rpm in photovoltaic devices. Moreover, electron lifetime (τ) was calculated from the Bode plots following the eq (Figure S5)

$$\tau = \frac{1}{2\pi f} \quad (4)$$

where τ is the electron lifetime and f is the peak frequency at the minimum phase angle. The calculated τ values of 1800, 1950, and 2150 rpm are 6.34, 7.98, and 3.18 μ s, respectively, and the longer lifetime indicates the reduction of the electron recombination.

To further understand the carrier recombination mechanism in the devices, a light intensity-dependent J_{sc} - V_{oc} plot was obtained. The light intensity-dependent J_{sc} follows the power law ($J_{sc} \propto I^\alpha$): A decrease in the slope indicates bimolecular recombination under short-circuit conditions, and all of the devices have similar values of α (Figure 3i).⁴⁹⁻⁵¹ Slope of light intensity-dependent V_{oc} plot was calculated as $1.50 k_B T/q$ for 1800 rpm, $0.99 k_B T/q$ for 1950 rpm, and $1.68 k_B T/q$ for 2150 rpm (Figure 3i). The ideality factor (n_{id}) was also obtained

from the light intensity-dependent J_{sc} - V_{oc} plot based on the following equation

$$V_{oc} = \frac{n_{id} k_B T}{q} \ln \left(\frac{J_{sc}}{J_0} \right) \quad (5)$$

where J_0 is the saturation current density, q is the elementary charge, n_{id} is the ideality factor, k_B is the Boltzmann constant, and T is the absolute temperature. When compared to the 1800 rpm (1.53) and 2150 rpm (1.67) devices, the computed ideality factor of the 1950 rpm device is 1.00, indicating suppression of trap-induced recombination.⁵²⁻⁵⁶

2.3. Shockley Diode-Based Numerical Analysis of the Perovskite Solar Cells. The multivariable diode model equation was utilized to characterize the relationship between dark J and V of the device. The four photovoltaic parameters (diode saturation current, J_0 , ideality factor, n_{id} , shunt, R_{sh} , and series resistance, R_s) were obtained, which were further used for the calculation of theoretical fill factor and power conversion efficiency. For numerical modeling, dark J - V curves of photovoltaic devices without illumination have been studied to characterize diode properties. The dark J - V curves of 1800, 1950, and 2150 rpm were fitted based on the dark J - V curves using the Shockley's diode equation, as follows^{57,58}

$$J = J_0 \left\{ \exp \left[\frac{q}{n_{id} k_B T} (V - JAR_s) \right] - 1 \right\} + \frac{V - JAR_s}{AR_{sh}} - J_{ph}(V) \quad (6)$$

where J is the current density, J_0 is the dark saturation current density, q is the elementary charge, n_{id} is the ideality factor, k_B is the Boltzmann constant, T is the absolute temperature, A is the active area of the solar cell, R_s is the series resistance, R_{sh} is the shunt resistance, and $J_{ph}(V)$ is the voltage-dependent photogenerated current density (Figure 4a-4c). By fitting the dark J - V curves, we extracted the values of J_0 , n_{id} , R_s , and R_{sh} and summarized them (Table 2).

Table 2. Extracted Parameters of 1800 rpm (Ex-PbI₂), 1950 rpm (Optimized), and 2150 rpm (Ex-FAI) from the Dark *J*–*V* Curve by Using Shockley's Diode Equation (eq 6)

	<i>J</i> ₀ (mA/cm ²)	<i>n</i> _{id}	<i>R</i> _s (Ω·cm ²)	<i>R</i> _{sh} (Ω·cm ²)	calc. <i>V</i> _{oc} (V)	meas. <i>V</i> _{oc} (V)
1800 rpm (Ex-PbI ₂)	4.17 × 10 ⁻¹¹	1.40	2.5	4.58 × 10 ⁶	0.97	1.01
1950 rpm (optimized)	5.02 × 10 ⁻¹²	1.35	3.2	6.90 × 10 ⁶	1.00	1.06
2150 rpm (Ex-FAI)	3.02 × 10 ⁻⁹	1.79	2.1	2.28 × 10 ⁶	0.95	0.96

The 1950 rpm device exhibits the lowest value of dark saturation current density, which represents the total of all recombination processes, such as Shockley–Read–Hall (SRH), surface, contact, and Auger recombination mechanisms.^{59–62} The ideality factor indicates how closely the device adheres to the diode equation, with radiative recombination being favorable when the value is close to 1.^{52–56} Both 1800 and 2150 rpm devices display an increase in the ideality factor, with the 2150 rpm device having the highest *n*_{id} value of 1.79. These ideality factors are consistent with the calculated *n*_{id} values from the light intensity-dependent *J*_{sc}–*V*_{oc} plot. The increase in *J*₀ and *n*_{id} suggests that excess-PbI₂ and excess-FAI induce nonradiative recombination.^{52–56,59–62}

Although the devices exhibit similar *R*_s, *R*_{sh} is reduced in both 1800 and 2150 rpm devices compared to the 1950 rpm device. *R*_{sh} is mainly affected by defects in the perovskite layer, significantly influencing the solar cell efficiency. Lower *R*_{sh} results in lower *V*_{oc} and *J*_{sc} values for 1800 and 2150 rpm devices compared to the 1950 rpm devices.^{63–68} The changes in *R*_s and *R*_{sh} for each device are consistent with the results from the Nyquist plot analysis. Moreover, we calculated *V*_{oc} using the extracted parameters from the dark *J*–*V* curve^{69,70} based on

$$V_{oc} = \frac{n_{id} k_B T}{q} \ln \left[\frac{J_{ph}(V_{oc})}{J_0} + 1 \right] \quad (7)$$

The calculated *V*_{oc} values for each device are 0.97 V for 1800 rpm, 1.00 V for 1950 rpm, and 0.95 V for 2150 rpm, which exhibit similar trends to the measured *V*_{oc} values (Table 2). However, since this equation does not include resistance parameters, the calculated *V*_{oc} values do not perfectly match the measured values.

The perovskite solar cell with a band gap energy of 1.59 eV can achieve a FF of 0.904, which is the Shockley–Queisser limit for FF. We calculated the theoretical FF, considering nonradiative loss and charge transport loss, using parameters extracted from the dark *J*–*V* curves and the following eqs (Figure 4d)^{19,71}

$$FF_{max} = \frac{v_{oc} - \ln(v_{oc} + 0.72)}{v_{oc}} \quad \text{with } v_{oc} = \frac{qV_{oc}}{n_{id}k_B T} \quad (8)$$

$$FF_s = FF_{max}(1 - 1.1r_s) + \frac{r_s^2}{5.4} \quad \text{with } r_s = \frac{J_{sc}R_s}{V_{oc}} \quad (9)$$

and

$$FF = FF_s \left(1 - \frac{v_{oc} + 0.72}{v_{oc}} \frac{FF_s}{r_{sh}} \right) \quad \text{with } r_{sh} = \frac{J_{sc}R_{sh}}{V_{oc}} \quad (10)$$

The FF_{max} values with neglected charge transport losses were 0.845, 0.853, and 0.813 for 1800, 1950, and 2150 rpm devices, respectively (Table 3), indicating that excess-PbI₂ and excess-FAI induced nonradiative recombination. The FF values, considering both nonradiative recombination and charge

Table 3. Measured and Calculated Fill Factor of the 1800, 1950, and 2150 rpm Devices by Using eqs 8, 9, and 10

devices	FF _{max}	calc. FF	meas. FF
1800 rpm	0.845	0.828	0.765
1950 rpm	0.853	0.832	0.776
2150 rpm	0.813	0.796	0.739

transport recombination loss, were 0.828, 0.832, and 0.796 for the 1800, 1950, and 2150 rpm devices, respectively. The calculated FF values showed a similar tendency to the measured FF, revealing that excess-PbI₂ and excess-FAI induced nonradiative recombination.

Compared to the 1950 rpm device, the 1800 rpm device had similar *R*_s and *R*_{sh}, and high *n*_{id} and *J*₀. Changes in these parameters suggest that excess-PbI₂ induces trap-assisted recombination, degrading *V*_{oc} and *J*_{sc} of the photovoltaic device (Figure 4e). On the other hand, the 2150 rpm device had a small *R*_{sh}, high *n*_{id}, and a high *J*₀. Excess-FAI not only induces trap-assisted recombination like excess-PbI₂ but also impedes charge transport to the charge transport layer, resulting in a leakage current. This increases charge accumulation at interfaces, induces hysteresis, and decreases light stability. It demonstrates that excess-FAI significantly degrades the *V*_{oc} and *J*_{sc} of PSCs and shows a broad distribution of photovoltaic parameters.

Furthermore, we calculated the PCE of each device using the calculated *V*_{oc}, *J*_{sc}, and FF without estimating photo *J*–*V* curves and compared these calculated values with the measured values (Table 4). The calculated PCE values for the 1800, 1950, and

Table 4. Calculated Photovoltaic Parameters and Measured PCE of the 1800, 1950, and 2150 rpm Devices

devices	calc. <i>V</i> _{oc} (V)	EQE <i>J</i> _{sc} (mA/cm ²)	calc. FF	calc. PCE (%)	meas. PCE (%)
1800 rpm	0.97	23.42	0.828	18.80	18.12
1950 rpm	1.00	23.58	0.832	19.61	19.16
2150 rpm	0.95	23.21	0.796	17.54	16.05

2150 rpm devices were 18.80, 19.61, and 17.54%, respectively. When compared to the measured PCE values, we observed a small discrepancy, with errors of 3.62, 2.29, and 8.49% for the 1800, 1950, and 2150 rpm devices, respectively. Through numerical modeling, we can efficiently predict carrier recombination dynamics and device performance using a dark *J*–*V* curve of device.

2.4. Machine Learning Analysis of the Perovskite Solar Cells. While numerical modeling provides a valuable tool for predicting and optimizing photovoltaic device performance, its application is not without challenges. This method often demands the use of complex equations and may not accurately predict the performance of devices yet to be fabricated. As an alternative, machine learning models offer the potential to simplify this process, using existing data and experimental variables to predict unknown data, thereby

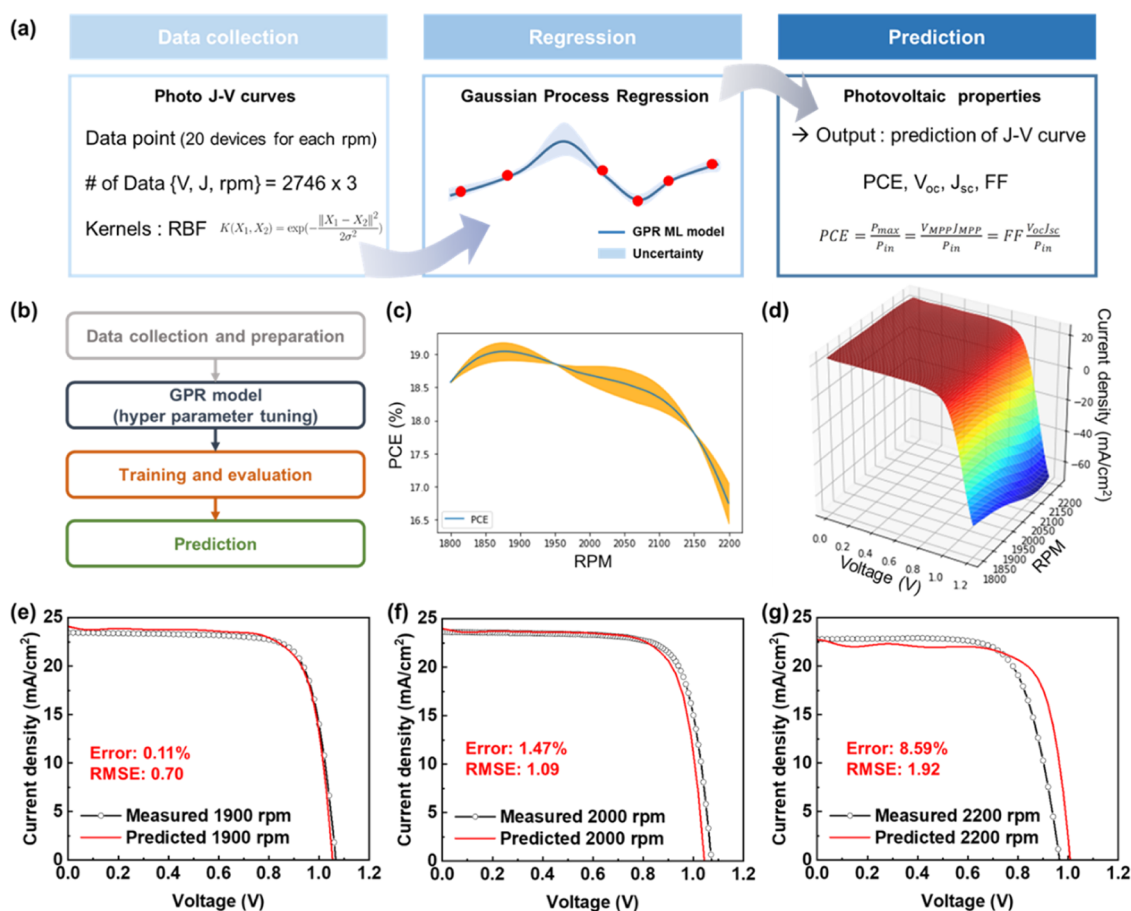


Figure 5. (a) Illustration of the machine learning model training process for device performance prediction. (b) Work flow of photo J - V curve prediction using the machine learning model. (c) Predicted PCE and (d) predicted J - V curves with various rpm by machine learning. (e-g) Comparison of predicted and fabricated device's photo J - V curves measured under 1 sun illumination.

circumventing the need for intricate equations such as the Shockley diode equation. To further enhance our analytical approach, we have implemented a machine learning model to predict the photo J - V curve, allowing us to efficiently anticipate the performance of untested devices without the necessity of complex equation fitting.

Our methodology, as depicted in the flowchart (Figure 5a,5b), involves predicting the device's J - V curve as a function of the spin speed (rpm). We utilized photo J - V curves of devices operating at 1800, 1950, and 2150 rpm to train our machine learning model. These curves, measured in 0.02 steps from -0.1 to 1.2 V, were collected from 20 devices varying in rpm. We trained the machine learning model using the Linear Regression, Random Forest, Support Vector Machine, Neural Network, Decision Tree, and Gaussian Process Regression (GPR) algorithm and evaluated their accuracy (Figure S6). Among them, the GPR algorithm was selected for the machine learning training because this algorithm is adept at managing small data sets and excels at resolving complex regression problems, including high-dimensional and nonlinear predictions.⁷²

During the training phase focused on identifying the maximum power point (P_{max}), we found that the Linear and SVM algorithms did not fit the model well. Conversely, the Random Forest and Decision Tree algorithms demonstrated higher R^2 values compared with the GPR model. However, upon conducting performance predictions for perovskite solar

cells using these algorithms, we encountered limitations due to our relatively small data set and large data intervals. Specifically, the Random Forest and Decision Tree algorithms yielded inaccurate predictions under these conditions. As a result, we chose to continue using the GPR algorithm, as it is better suited for training models with smaller data sets and provides an uncertainty measure for predictions. It not only offers predicted values but also assesses the uncertainty of these predictions. Given the bell-shaped nature of GPR, this approach is particularly suitable for identifying maximum points.⁷³ GPR offers uncertainty quantification, providing an extra layer of reliability in predictions, and is particularly efficient at making accurate predictions even when the available data set is small or includes noise. We selected the radial basis function kernel, a generalized form of kernelization for the Gaussian distribution, for our analysis.

During the model evaluation phase, we calculated the root-mean-square error (RMSE) and the coefficient of determination (R^2) values to assess the performance using the training and testing data sets. The results are summarized in Table 5, with the RMSE calculated as

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2} \quad (11)$$

and the R^2 calculated as

Table 5. Computed Trained and Tested R^2 and RMSE Value of the Machine Learning Model

devices	train_ R^2	train_RMSE	test_ R^2	test_RMSE
1800 rpm	0.9798	2.57	0.9627	3.31
1950 rpm	0.9692	2.95	0.9608	3.35
2150 rpm	0.9504	8.14	0.8936	8.40

$$R^2 = 1 - \frac{\sum_{i=1}^n (\hat{y}_i - y_i)^2}{\sum_{i=1}^n (\bar{y}_i - y_i)^2} \quad (12)$$

where n is the number of instances in the data set, \hat{y}_i represents the measured value of the instance, y_i is the true value, and \bar{y}_i is the mean value of y_i .

RMSE is sensitive to both small and large errors, with smaller values indicating a better fit.⁷⁴ The trained model yielded RMSE values of 2.57, 2.95, and 8.14, and the computed tested RMSE values were 3.31, 3.35, and 8.40 for the devices operating at 1800, 1950, and 2150 rpm, respectively (Figure S7). The relatively high RMSE value of our model may be attributed to the broad data distribution between 1.0 and 1.2 V (Figure S3).

The R^2 value provides another performance metric, with values closer to 1 indicating better regression fitting.^{74,75} Generally, values above 0.8 are considered to be indicative of good fitting. The R^2 values obtained from the trained model were 0.9798, 0.9692, and 0.9504, and the tested R^2 values was 0.9627, 0.9608, and 0.8936 for the devices operating at 1800, 1950, and 2150 rpm, respectively. This level of accuracy is sufficient to consider the model as predictive and suggests that it also performs well on new data.⁷⁵

Leveraging the trained two-dimensional (2D) GPR model, we were able to predict the power conversion efficiency (PCE) of the device (Figure 5c) by identifying the maximum point of the product of current density and voltage from the predicted photo J - V curves as a function of the PbI_2 spin speed (rpm) (Figure 5d). The blue line in Figure 5c represents the predicted value, while the orange range denotes the 95% confidence region of the predicted value. According to the machine learning model, a device fabricated with a PbI_2 layer processed at 1870 rpm is anticipated to exhibit an optimal performance. In addition, we fabricated devices at 50 rpm intervals within the range of 1750–2200 rpm and obtained J - V curves to further validate the accuracy of the machine learning model (Figures S9 and S10). The predictions from the machine learning model trained with three points (1850, 1950, and 2150 rpm) were similar to those from the model trained at 50 rpm intervals, indicating that our machine learning model can efficiently predict device performance with a small amount of training data and narrow intervals.

In order to assess the accuracy of the machine learning model's predictions, we extracted the photo J - V curves from the predicted data at 1900, 2000, and 2200 rpm. These were then compared with the photo J - V curve from the actual device (Figure 5e–g). The difference between the measured and predicted PCE for the 1900 and 2000 rpm devices was 0.11 and 1.47%, respectively, with corresponding RMSE values of 0.70 and 1.09. The predicted J - V curve for the 2200 rpm device, which falls outside the data range, showed an error of 8.59% and an RMSE of 1.92. This indicates that the machine learning model can effectively predict the performance of unexplored devices with minimal error within the range of the training data. However, when these predictions extend beyond

the range of training data, they become substantially less accurate. By broadening the scope of the training data and increasing the number of data points, we anticipate an improvement in the predictive accuracy of the model.

Ultimately, the machine learning model forecasted a maximum PCE of 19.52% with V_{oc} of 1.07 V, J_{sc} of 23.59 mA/cm^2 , and FF of 0.773 at a spin rate of 1870 rpm (Figure S11). These predictions were then experimentally verified with a fabricated device, which exhibited a PCE of 19.63% with a V_{oc} of 1.07 V, a J_{sc} of 23.88 mA/cm^2 , and a FF of 0.768. These results suggest that the machine learning models employing Gaussian Process Regression provide efficient tools for predicting device performance and optimizing design parameters. This makes them particularly valuable in the context of multiple experiments concerning next-generation optoelectronic devices.

3. CONCLUSIONS

In summary, we fabricated and analyzed perovskite films at 1800 rpm (Ex- PbI_2), 1950 rpm (Optimized), and 2150 rpm (Ex-FAI) to optimize sequentially processed perovskite solar cells. To streamline the optimization process, we employed numerical modeling and machine learning techniques. These models can efficiently predict device performance using a single data type. Numerical modeling provides parameters that reveal carrier recombination dynamics in the devices, while the machine learning model can predict the J - V curves of previously unexplored RPM without the need for complex equation fitting. This study presents a simple and efficient approach for optimizing and predicting the performance of next-generation photovoltaic devices.

4. MATERIALS AND METHODS

4.1. Materials. SnO_2 (15% H_2O colloidal dispersion) was purchased from Alfa Aesar. PbI_2 (99.99%) was purchased from TCI. FAI and MABr were purchased from GreatCell Solar. Spiro-OMeTAD was purchased from the Luminescence Technology Co. DMF (99.8%), DMSO (99.9%), 2-propanol (IPA, 99.5%), MACI (99.8%), bis(trifluoromethane)-sulfonimide lithium salt (Li-TFSI), and 4-*tert*-butylpyridine (TBP, 99.8%) were purchased from Sigma-Aldrich.

4.2. Device Fabrication. Indium-doped SnO_2 (ITO) conductive substrates were cleaned by using deionized water, acetone, and IPA. The cleaned substrate was then treated with UV-ozone for 20 min. For the electron transport layer (ETL), a SnO_2 colloidal solution diluted with deionized water (1:4 v/v %) was spin-coated at 3000 rpm for 30 s on the UV-treated substrate and then annealed at 180 °C for 30 min. The cells were then treated with ultraviolet (UV) ozone for 10 min. The perovskite layer was fabricated by sequential deposition. The PbI_2 precursor solution was prepared by mixing 691.5 mg of PbI_2 , 0.95 mL of DMF, and 0.05 mL of DMSO. An organic salt solution was prepared as FAI/MABr/MACI (90/9/9 mg) in 1 mL IPA. The PbI_2 solution was deposited on the SnO_2 layer at 1800, 1950, and 2150 rpm for 30 s and then annealed at 70 °C for 1 min under inert conditions. An organic salt solution was deposited on the PbI_2 layer at 2000 rpm for 30 s and then annealed at 150 °C for 25 min. The hole-transporting layer (HTL) was spin-coated at 4000 rpm for 30 s on the perovskite layer using a solution of 72.3 mg of Spiro-OMeTAD, 28.8 μL of TBP, and 17.5 μL of Li-TFSI stock solution (520 mg of Li-TFSI in 1 mL of acetonitrile) in 1 mL of CB. An Au metal

contact with a thickness of 60 nm was deposited by thermal evaporation.

4.3. Characterization of Devices. To determine the structure and analyze the composition of the perovskite films, X-ray diffraction (XRD) spectra were obtained. Time-of-flight secondary ion mass spectrometry (TOF-SIMS) experiments were performed with a TOF-SIMS 5 (ION-TOF GmbH, Münster, Germany) at the KBSI Busan Center using a pulsed 30 keV Bi_1^+ primary beam with a current of 1.02 pA. The analyzed area used in this work is a square of $300 \mu\text{m} \times 300 \mu\text{m}$, and the data acquisition time is about 1638.40 s. Negative ion spectra were internally calibrated by using H^- , C_2^- , C_3^- , and C_4^- normalized to the respective secondary total ion yields. During data acquisition, chemical images of the analyzed area were captured with a 128×128 pixel resolution. Field emission-SEM (FE-SEM) (S-4700, Hitachi) images, Mott–Schottky spectra, and External quantum efficiency (EQE) spectra were obtained at the Future Energy Convergence Core Center (FECC) at Jeonbuk National University. To evaluate the photovoltaic performance of the device, photocurrent density–voltage (J – V) curves were measured with a voltage source meter (Keithley 4200) under AM 1.5G illumination (100 mA cm^{-2}) using a 1 kW Oriol solar simulator (with respect to a reference silicon photodiode calibrated with NREL). Atomic force microscopy (AFM, Multimode-8, and BRUKER) was conducted to confirm the roughness of the perovskite film installed at the Centre for University-wide Research Facilities (CURF) at Jeonbuk National University.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsomega.3c05622>.

AFM; XRD; photovoltaic statistics; Bode plots; trained data of J – V curves; and optimized J – V curve (PDF)

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Author Contributions

All authors have given approval to the final version of the manuscript. J.C.: conceptualization, methodology, formal analysis, investigation, validation, writing—original draft. D.B.: conceptualization, methodology, formal analysis, investigation, validation, writing—original draft. H.J.: conceptualization, formal analysis, writing—original draft, writing—review and editing. H.N.: data curation, formal analysis, visualization. G.Y.P.: data curation, formal analysis, visualization. D.S.H.: writing—review and editing. M.K.: project administration, supervision, writing—review and editing.

Notes

The authors declare no competing financial interest.

Any additional relevant notes should be placed here.

■ ACKNOWLEDGMENTS

This study was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (No. 2022M3J7A1066428) and “Human Resources Program in Energy Technology” of the Korea Institute of Energy Technology Evaluation and Planning (KETEP), which was granted financial resources from the Ministry of Trade, Industry and Energy, Republic of Korea (No. 20204010600470). We also thank Hyeongmin Park and Prof. Sung-Kon Kim for offering Impedance measurement for our study.

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