



Prevalence and risk factors for atherosclerotic carotid stenosis and plaque

A population-based screening study

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Abstract

Atherosclerotic carotid stenosis (ACS) is a major cause of ischemic stroke. Screening for asymptomatic ACS is important to identify the patients who require longitudinal surveillance, medication, or endovascular surgery. The aim of this study was to assess the prevalence and risk factors for ACS and carotid plaque (CP) in Korea using a population-based screening study.

We recruited participants during visits to several community welfare centers in Korea. The baseline characteristics of the study population were collected. All patients underwent duplex ultrasonography to examine their bilateral carotid arteries. ACS was defined as the presence of plaque with \geq 50% vessel diameter reduction and peak systolic velocity (PSV) \geq 125 cm/s or PSV ratio \geq 2.0. CP was defined as the presence of plaque with <50% vessel diameter reduction. The Mann–Whitney test, χ^2 test, Fisher exact test, and logistic regression were used in the statistical analysis.

A total of 3030 participants were enrolled in this study (male 43.7% and female 56.3%). The prevalence of ACS and CP was 1.1% and 5.7%, respectively. Significant risk factors for CP included age ≥ 80 years (odds ratio [OR], 8.11; 95% confidence interval [CI], 3.45-18.93), male sex (OR, 2.16; 95% CI, 1.29-3.61), hypertension (OR, 1.72; 95% CI, 1.21-2.45), and hyperlipidemia (OR, 1.84; 95% CI, 1.30-2.62). The presence of ACS was significantly associated with age (OR, 1.07; 95% CI, 1.03-1.12), hypertension (OR, 3.16; 95% CI, 1.34-7.46), and being an ex-smoker (OR, 6.81; 95% CI, 1.66-27.93) or current smoker (OR, 6.97; 95% CI, 1.78-27.31) after adjusting for confounding factors.

This population-based screening study revealed that ACS was uncommon and had a prevalence of 1.1% in the study population. Age, hypertension, and smoking were risk factors for ACS. Further investigations into the prevalence and risk factors of ACS are required, as are studies on the cost-effectiveness of a national screening program.

Abbreviations: ACS = atherosclerotic carotid stenosis, BMI = body mass index CCA = common carotid artery, CDUS = carotid duplex ultrasound, CI = confidence interval, CP = carotid plaque, CVD = cerebrovascular disease, DM = diabetes mellitus, ECA = external carotid artery, ICA = internal carotid artery, OR = odd ratio, PSV = peak systolic velocity, RPVI = Registered Physician in Vascular Interpretation, RVT = Registered Vascular Technologist.

Keywords: atherosclerosis, carotid artery plaque, carotid stenosis, risk factor

1. Introduction

Cerebrovascular disease (CVD) is a major cause of death in Korea. More than 80% of strokes are ischemic, with the rest being hemorrhagic, and atherosclerotic stenosis of the extracranial carotid artery accounts for 15% to 20% of ischemic strokes. Given that plaque formation is integral to the development of atherosclerosis, it is evident that the presence of carotid plaque (CP) may predict future cardiovascular events. [2]

Editor: Yutang Wang.

The authors report no conflicts of interest.

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Medicine (2017) 96:4(e5999)

Received: 21 June 2016 / Received in final form: 26 August 2016 / Accepted: 5 January 2017

http://dx.doi.org/10.1097/MD.000000000005999

Carotid duplex ultrasound (CDUS) is a noninvasive imaging modality that can safely and accurately measure atherosclerotic carotid stenosis (ACS). As such, it is often used in the early detection of atherosclerosis. However, the cost-effectiveness of using CDUS to screen for ACS has long been debated.^[3,4] CDUS is not recommended for screening asymptomatic individuals in the general adult population. [5–7] Symptomatic individuals or adults with high risk factors for carotid atherosclerotic disease are recommended to undergo CDUS screening. [5,8]

Screening individuals with asymptomatic but significant ACS is important, so that patients who require longitudinal surveillance, medication, or surgical treatment can be identified. Several studies regarding the prevalence of ACS have been conducted. However, most of these are based on health maintenance examinations in the hospital, [9–12] and the prevalence of ACS in the general population has not been reported. The purpose of this study was to investigate the prevalence of asymptomatic ACS and CP in the general population, as well as to assess the risk factors for these conditions.

2. Materials and methods

Between January 2008 and December 2012, we visited community welfare halls in Seoul, Gyeonggi-do, Ulsan, and Pohang to conduct the ACS screening. Figure 1 presents a

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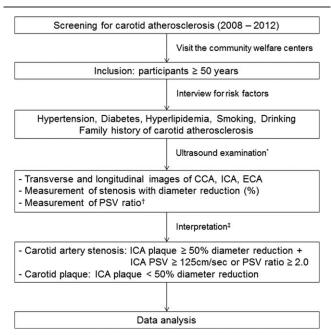


Figure 1. Flowchart of summarizing this study. CCA=common carotid artery, ECA=external carotid artery, ICA=internal carotid artery, PSV= peak systolic velocity. *Ultrasound examination was performed by an experienced sonographer who earned the certification of Registered Vascular Technologist. [†]The PSV ratio was calculated with the PSV of ICA divided by the PSV of CCA obtained at the CCA, 2cm proximal to the carotid bifurcation. *Ultrasound results were interpreted by a vascular surgeon who earned the certification of Registered Physician for Vascular Interpretation.

flowchart summarizing this study. All participants older than 50 years who consented to CDUS were included in the study. To avoid selection bias, recruitment took place only with the official document of authority, and without any advertisements or rewards. Interviews were used to collect the baseline characteristics of the participants, as well as the presence of any underlying diseases. In this study, hypertension was defined as having a history of diagnosed hypertension or the current use of antihypertensive pharmacological therapy. Diabetes mellitus (DM) was defined as having a history of diabetes or the use of oral hypoglycemic agents to treat diabetes. Hyperlipidemia was defined as having a history of diagnosed hyperlipidemia and/or treatment by a physician. Current smoking was defined as smoking or having stopped smoking cigarettes in the 1 year before the survey took place, and ex-smoking as having stopped smoking cigarettes >1year before the survey. Drinking was defined as the consumption of any type of alcohol.

CDUS was used to examine both of each participant's carotid arteries. We used 2 types of ultrasound equipment—Zonare (Zonare Medical Systems, Mountain View, CA) and HD7 (Philips, Amsterdam, the Netherlands) equipped with a 5 to 12 MHz linear array transducer. CDUS was performed by 2 experienced sonographers who had earned the certification of Registered Vascular Technologist from the American Registry for Diagnostic Medical Sonography (ARDMS). These scans were interpreted by a vascular surgeon who had earned the certification of Registered Physician in Vascular Interpretation from ARDMS. During the scan, the patient was positioned supine with the head resting flat on the bed with a rolled-up towel under the neck. The carotid arteries were imaged in the transverse and

longitudinal planes. The common carotid artery (CCA), its bifurcation, and the internal carotid artery (ICA) on each side were examined for the presence of ACS or CP. Caliper measurements were used to estimate the reduction in vessel diameter caused by the plaque. The pulsed Doppler sample volume was swept continuously throughout the length of the vessels to search for areas of increased velocity or flow disturbance in the longitudinal orientation. The peak systolic velocities (PSV) of the CCA and ICA were obtained at the areas of increased velocity. The PSV ratio was calculated with the PSV of the ICA divided by the PSV obtained at the CCA, 2 cm proximal to the carotid bifurcation.

ACS was defined as the presence of plaque with ≥50% vessel diameter reduction and increased PSV ≥125 cm/s or increased PSV ratio ≥ 2.0 . CP was defined as presence of plague with < 50%vessel diameter reduction and a focal thickening that encroached into the lumen by 50% of the surrounding intima-media thickness. Figure 2 showed the typical images of ACS and CP. The baseline characteristics of the participants were compared using the Mann–Whitney test, χ^2 test, and Fisher exact test. After each factor had been tested in a simple logistic regression, potential confounders in the univariate models were adjusted for, and a multivariate model was used to examine the association between ACS or CP and underlying disease or demographic factors. The results of the logistic models were presented as odds ratio (OR) and 95% confidence interval (CI). All statistical tests were conducted at the 0.05 level. All statistical analyses were conducted using SPSS software version 21 (SPSS PC version 21.0, SPSS Inc, Chicago, IL). This study was approved by Kyung Hee University Hospital at Gangdong Institutional Review Board, Seoul, Korea.

3. Results

Three thousand and thirty participants were enrolled in this study. This comprised 1323 (43.7%) males and 1707 (56.3%) females with a combined median age of 70.0 years (range, 50–100 years). Table 1 lists the general characteristics of the study population according to sex. The median age was higher in the male participants than in the female participants (P < 0.001). The body mass index (BMI) was higher in the female group (P < 0.001). In both sexes, the highest-frequency age group was the 70- to 79 years' group. In the male group, the commonest risk factors included diabetes mellitus (DM), smoking (ex-smoker and current smoker), and drinking, and these were all statistically significant.

The prevalence of ACS and CP by age and sex is shown in Table 2. The overall prevalence of ACS was 1.1%. The prevalence of ACS in males and females was 1.9% and 0.5%, respectively. In both sexes, the prevalence of ACS increased with age. The overall prevalence of CP was 5.7%, and was higher in males than in females. The prevalence of ACS and CP increased with age.

Male sex, increased age, hypertension, DM, hyperlipidemia, smoking, and drinking were significantly associated with the presence of CP (Table 3). Participants with CP had a median age of 74 years. The prevalence of CP was 3 times higher in the male sex than in the female sex, and this was statistically significant (P < 0.001). In the multivariate analysis, risk factors including increased age, male sex, hypertension, and hyperlipidemia were independently associated with CP (Table 4).

Similarly, in the analysis of variables for ACS, risk factors including male sex, increased age, hypertension, smoking, and

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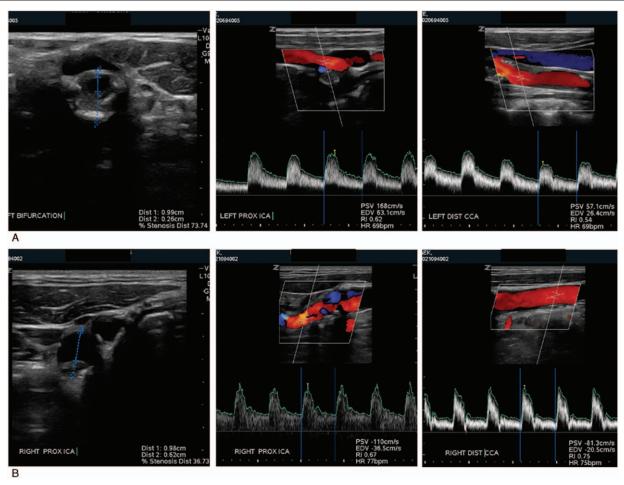


Figure 2. Ultrasound images of carotid artery stenosis and plaque. (A) Atherosclerotic carotid stenosis: diameter reduction 73%, peak systolic velocity of internal carotid artery 168 cm/s, PSV ratio 2.9 (168 cm/s ÷ 57 cm/s). (B) Carotid plaque: diameter reduction 36%, peak systolic velocity of internal carotid artery 110 cm/s, PSV ratio 1.3 (110 cm/s ÷ 81 cm/s).

Table 1 Characteristics of the study population.

Variable	Men n=1323 (43.7%)	Women n=1707 (56.3%)	P
Age, y (median)	70.0	69.0	<0.001*
Body mass index, kg/m ² (median)	24.0	24.4	<0.001*
Age group, y	n (%)	n (%)	< 0.001 [†]
50–59	181 (13.7)	310 (18.2)	
60–69	416 (31.4)	582 (34.1)	
70–79	576 (43.5)	694 (40.7)	
≥80	150 (11.3)	121 (7.1)	
Hypertension, n (%)	643 (48.6)	876 (51.3)	0.138^{\dagger}
Diabetes mellitus n (%)	302 (22.8)	300 (17.6)	< 0.001 [†]
Hyperlipidemia, n (%)	281 (21.2)	498 (29.2)	< 0.001 [†]
CVD family history, n (%)	198 (15.0)	313 (18.3)	0.014^{\dagger}
Smoking, n (%)			< 0.001 [†]
Nonsmoker	321 (24.3)	1639 (96.0)	
Ex-smoker	463 (35.0)	27 (1.6)	
Currentsmoker	539 (40.7)	41 (2.4)	
Drinking, n (%)	914 (69.1)	197 (11.5)	< 0.001 [†]

CVD = cerebrovascular disease.

drinking were significantly associated with ACS (Table 5). Participants with ACS had a median age of 73 years. The frequency of ACS was 4 times higher in males than in females (P < 0.001). The multivariate analysis showed that increased age, hypertension, and smoking (being an ex-smoker or a current smoker) were independent risk factors for the occurrence of ACS (Table 6).

4. Discussion

The aim of this study was to evaluate the prevalence of ACS and CP in a population-based study, as well as to investigate the risk factors associated with these conditions. The overall prevalence of ACS was 1.1%, with a prevalence of 1.9% in males and 0.5% in females. The prevalence of ACS was lower in this study compared to other population-based studies conducted in Asian countries. One population-based study using ultrasound found that the prevalence of ACS was 7.9% in males and 1.3% in females. Another study of 1499 subjects over 60 years of age reported a prevalence of 12.7% in males and 6.2% in females. This difference may stem from the different definitions of ACS used in each study. In the present study, ACS was defined as the presence of plaque with ≥50% vessel diameter reduction and PSV ≥125 cm/s or PSV ratio ≥2.0. In the other 2 studies, ACS was

^{*} Mann-Whitney test.

[†] Test.

Table 2

The prevalence of the atherosclerotic carotid stenosis and plaque by age and sex.

		Men (n=1323)		Women (n = 170	17)	Total (I	N = 3030)
Prevalence n (%)		Stenosis [*]	Plaque [†]		Stenosis	Plaque	Stenosis	Plaque
		25 (1.9)	119 (9.0)		9 (0.5)	53 (3.1)	34 (1.1)	172 (5.7)
Age, y		n (%)			n (%)		n (%)	
50-59	181	0	6 (3.3)	310	0	1 (0.3)	0	7 (1.4)
60-69	416	4 (1.0)	24 (5.8)	582	3 (0.5)	13 (2.2)	7 (0.7)	37 (3.7)
70–79	576	16 (2.8)	63 (10.9)	694	4 (0.6)	31 (4.5)	20 (1.6)	94 (7.4)
≥80	150	5 (3.3)	26 (17.3)	121	2 (1.7)	8 (6.6)	7 (2.6)	34 (12.5)

^{*}Presence of plague with ≥50% diameter reduction and peak systolic velocity (PSV) ≥125 cm/s or PSV ratio ≥2.0.

Table 3

Univariate analysis of risk factors for carotid plaque.

Variables	Carotid plaque (+) (n=172)	Carotid plaque ($-$) (n = 2858)	P
Age, y (median)	74	69	<0.001*
Body mass index, kg/m ² (median)	23.9	24.3	0.076*
Sex, n (%)			< 0.001 [†]
Male	119 (9.0)	1204 (91.0)	
Female	53 (3.1)	1654 (96.9)	
Age group, y			<0.001 [‡]
50–59	7 (1.4)	484 (98.6)	
60–69	37 (3.7)	961 (96.3)	
70–79	94 (7.4)	1176 (92.6)	
≥80	34 (12.5)	237 (87.5)	
Hypertension (yes:no)	116 (7.6):56 (3.7)	1403 (92.4):1455 (96.3)	< 0.001 [†]
Diabetes mellitus (yes:no)	48 (8.0):124 (5.1)	554 (92.0):2304 (94.9)	0.007^{\dagger}
Hyperlipidemia (yes:no)	59 (7.6):113 (5.0)	720 (92.4):2333 (95.0)	0.008^{\dagger}
Family history of	34 (6.7):138 (5.5)	477 (93.3):2381 (94.5)	0.295 [†]
CVD (yes:no)			
Smoking (yes:no)	99 (9.3):73 (3.7)	971 (90.7):1887 (96.3)	< 0.001 [†]
Drinking (yes:no)	94 (8.5):78 (4.1)	1017 (91.5):1841 (95.9)	< 0.001 [†]

CVD = cerebrovascular disease.

defined as ≥50% vessel diameter reduction without PSV. B-mode can be detected independent of the operator's skills, and so the prevalence of ACS may appear artificially high. Therefore, it is

Table 4

Multivariate analysis of risk factors for carotid plaque.

Risk factors	Crude odds ratio (95% confidence interval)	Adjusted odds ratio (95% confidence interval)	
Age group, y			
50–59	1.00	1.00	
60-69	2.67 (1.18-6.02)	2.33 (1.02-5.31)	
70–79	5.53 (2.55-12.00)	4.68 (2.12-10.31)	
≥80	9.92 (4.33-22.71)	8.11 (3.45-18.93)	
Body mass index, kg/m ²	0.96 (0.91-1.01)	0.97 (0.91-1.02)	
Male sex	3.08 (2.21-4.30)	2.16 (1.29-3.61)	
Hypertension	2.15 (1.55-2.98)	1.72 (1.21-2.45)	
Diabetes mellitus	1.61 (1.14-2.28)	1.17 (0.81-1.69)	
Hyperlipidemia	1.55 (1.12-2.15)	1.84 (1.30-2.62)	
Smoking			
Nonsmoker	1.00	1.00	
Ex-smoker	2.17 (1.45-3.26)	1.08 (0.63-1.85)	
Current smoker	3.04 (2.13-4.33)	1.46 (0.89-2.40)	
Drinking	2.18 (1.60–2.97)	1.29 (0.87–1.93)	

important to use increased PSV parameters to provide a more accurate definition of ACS. [15]

The prevalence of ACS in Western studies was slightly higher than that found in the present study. A population-based study in Italy reported a prevalence of 2.7% in males and 1.5% in females. [16] The Tromso study in Norway reported a prevalence of 3.8% and 2.7% in 3016 males and 3404 females, respectively. [17] A published meta-analysis of 4 population-based studies reported a prevalence of 0% to 3.1%.[18] A large population-based Swedish study of 65-year-old men reported a prevalence of 2%. [19] A possible reason for the higher prevalence of ACS in Western populations is that their average BMI tends to be higher than that of Asian populations. In the present study, the BMI was 24.0 kg/m² for males and 24.3 kg/m² for females, which is lower than the BMI of 25.9 to 26.7 kg/m² reported in the aforementioned meta-analysis. [18] In addition, ethnic differences may also contribute to this discrepancy. Previous studies have shown that the prevalence of ACS varies by race, and tends to be lower in Asian populations. [20,21]

In this study, increased age, hypertension, and smoking were independent risk factors associated with ACS. [22] Increased age was a significant risk factor for ACS in both genders. This is consistent with the findings of many previous studies, which have suggested that the increasing stiffness of

[†] Presence of plaque with diameter reduction <50%.

^{*} Mann-Whitney test.

 $^{^{\}dagger}$ χ^2 test.

[‡] Linear by linear association.

Table 5
Univariate analysis of risk factors for atherosclerotic carotid stenosis.

Variable	Stenosis (+) (=34)	Stenosis (-) (=2996)	P
Age (years, median)	73	70	<0.001*
BMI, kg/m ² (median)	23.6	24.2	0.329*
sex			<0.001 [†]
Male	25 (1.9)	1298 (98.1)	
Female	9 (0.5)	1698 (99.5)	
Age group, y			0.001‡
50-59	0	491 (100)	
60–69	7 (0.7)	991 (99.3)	
70–79	20 (1.6)	1250 (98.4)	
≥80	7 (2.6)	264 (97.4)	
Hypertension (yes:no)	27 (1.8):7 (0.5)	1492 (98.2):1504 (99.5)	0.001 [†]
Diabetes mellitus (yes:no)	9 (1.5):25 (1.0)	593 (98.5):2403 (99.0)	0.332^{\dagger}
Hyperlipidemia (yes:no)	11 (1.4):23 (1.0)	768 (98.6):2228 (99.0)	0.373 [†]
Family history of CVD (yes:no)	5 (1.0):29 (1.2)	506 (99.0):2490 (98.8)	0.735 [†]
Smoking (yes:no)	26 (2.4):8 (0.4)	1044 (97.6):1952 (99.6)	< 0.001
Drinking (yes:no)	23 (2.1):11 (0.6)	1088 (97.9):1908 (99.4)	<0.001 [†]

BMI = body mass index, CVD = cerebrovascular disease.

blood vessel walls with increasing age may be the underlying mechanism. $^{[16,17,21]}$

Hypertension was another independent risk factor for ACS, which is also consistent with results of previous studies. [13,16,17,19] High blood pressure leads to the deposition of cholesterol in the arteries, which increases the risk of atherosclerotic plaque rupture and stroke. [23]

It is well known that smoking is a strong risk factor for ACS. Being an ex-smoker or current smoker carries a more than 6-fold risk of ACS than in nonsmokers. Several Western studies have also confirmed this.^[24]

Screening for carotid atherosclerosis has been highly debated. Several guidelines do not recommend the screening of general population. [4,6,7,2.5] But there are recommendations for screening of high-risk population wit cardiovascular risk factors. [8] This study showed that the significant risk factors for carotid artery stenosis were old age, hypertension, and smoker. Therefore, it might be possible to recommend the ultrasound screening for carotid artery stenosis in this high risk group. There are several limitations in this study. The variables for risk factors were obtained from questionnaires. Although the interviews had been conducted by medical professionals, self-reported data may inadvertently include

Table 6
Multivariable analysis of risk factors for atherosclerotic carotid stenosis.

Risk factors	Crude odds ratio (95% confidence interval)	Adjusted odds ratio (95% confidence interval)
Age, y	1.08 (1.04–1.13)	1.07 (1.03–1.12)
Male sex	3.63 (1.69-7.81)	0.52 (0.14-1.95)
Hypertension	3.89 (1.69-8.96)	3.16 (1.34-7.46)
Diabetes mellitus	1.46 (0.68-3.14)	0.97 (0.44-2.15)
Hyperlipidemia	1.39 (0.67-2.86)	1.52 (0.71-3.26)
Smoking		
Nonsmoker	1.00	1.00
Ex-smoker	5.60 (2.24-14.0)	6.81 (1.66-27.93)
Current smoker	6.48 (2.73-15.36)	6.97 (1.78-27.31)
Drinking	3.67 (1.78–7.55)	1.91 (0.76–4.84)

an inherent recall bias. And this study was performed with visiting the welfare centers. Therefore, the selection bias exists because the welfare users can be interested in the health maintenance. In addition, because of the cross-sectional study design, the clinical significance throughout time could not be determined.

In conclusion, the prevalence of ACS and CP in our study was 1.1% and 5.7%, respectively. ACS was observed in 1.9% of males and 0.5% of females included in the study. Age, hypertension, and smoking were independent risk factors for ACS. Further investigations into the cost-effectiveness of a national screening program are required.

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^{*} Mann-Whitney test.

 $^{^{\}dagger}$ χ^2 test.

^{*}Linear by linear association.

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