

ARTICLE

# Biochemically deleterious human *NFKB1* variants underlie an autosomal dominant form of common variable immunodeficiency

Juan Li<sup>1</sup>, Wei-Te Lei<sup>1,2,3</sup>, Peng Zhang<sup>1</sup>, Franck Rapaport<sup>1</sup>, Yoann Seeleuthner<sup>4,5</sup>, Bingnan Lyu<sup>1</sup>, Takaki Asano<sup>1</sup>, Jérémie Rosain<sup>4,5</sup>, Boualem Hammad<sup>6</sup>, Yu Zhang<sup>7</sup>, Simon J. Pelham<sup>1</sup>, Andrés N. Spaan<sup>1</sup>, Mélanie Migaud<sup>4,5</sup>, David Hum<sup>1</sup>, Benedetta Bigio<sup>1</sup>, Maya Chrabieh<sup>4,5</sup>, Vivien Béziat<sup>1,4,5</sup>, Jacinta Bustamante<sup>1,4,5,8</sup>, Shen-Ying Zhang<sup>1,4,5,8</sup>, Emmanuelle Jouanguy<sup>1,4,5,8</sup>, Stephanie Boisson-Dupuis<sup>1,4,5</sup>, Jamila El Baghdadi<sup>9</sup>, Vishukumar Aimananda<sup>10</sup>, Katharina Thoma<sup>11</sup>, Manfred Fliegau<sup>11,13</sup>, Bodo Grimbacher<sup>11,12,13,14</sup>, Anne-Sophie Korganow<sup>15</sup>, Carol Saunders<sup>16,17,18</sup>, V. Koneti Rao<sup>7</sup>, Gulbu Uzel<sup>7</sup>, Alexandra F. Freeman<sup>7</sup>, Steven M. Holland<sup>7</sup>, Helen C. Su<sup>7</sup>, Charlotte Cunningham-Rundles<sup>19</sup>, Claire Fieschi<sup>20</sup>, Laurent Abel<sup>1,4,5</sup>, Anne Puel<sup>1,4,5</sup>, Aurélie Cobat<sup>1,4,5</sup>, Jean-Laurent Casanova<sup>1,4,5,21\*</sup>, Qian Zhang<sup>1\*</sup>, and Bertrand Boisson<sup>1,4,5\*</sup>

**Autosomal dominant (AD) *NFKB1* deficiency is thought to be the most common genetic etiology of common variable immunodeficiency (CVID). However, the causal link between *NFKB1* variants and CVID has not been demonstrated experimentally and genetically, as there has been insufficient biochemical characterization and enrichment analysis. We show that the cotransfection of *NFKB1*-deficient HEK293T cells (lacking both p105 and its cleaved form p50) with a  $\kappa$ B reporter, *NFKB1/p105*, and a homodimerization-defective *RELA/p65* mutant results in p50:p65 heterodimer-dependent and p65:p65 homodimer-independent transcriptional activation. We found that 59 of the 90 variants in patients with CVID or related conditions were loss of function or hypomorphic. By contrast, 258 of 260 variants in the general population or patients with unrelated conditions were neutral. None of the deleterious variants displayed negative dominance. The enrichment in deleterious *NFKB1* variants of patients with CVID was selective and highly significant ( $P = 2.78 \times 10^{-15}$ ). *NFKB1* variants disrupting *NFKB1/p50* transcriptional activity thus underlie AD CVID by haploinsufficiency, whereas neutral variants in this assay should not be considered causal.**

## Introduction

Common variable immunodeficiency (CVID) is a clinically and genetically heterogeneous disorder characterized by hypogammaglobulinemia, impaired production of specific antibodies, and susceptibility to various infections (Bonilla et al., 2016). Patients with CVID commonly have abnormal B and T cell phenotypes, such as small numbers of class-switched memory B cells and

regulatory T cells (Cunningham-Rundles, 2019). Other features, such as autoimmunity, are seen in a subset of patients (Gathmann et al., 2014; Resnick et al., 2012). As one of the most frequently diagnosed inborn errors of immunity (IEI; Bousfiha et al., 2020; Tangye et al., 2020; Tangye et al., 2021), CVID is estimated to affect between 1 in 10,000 and 1 in 50,000

<sup>1</sup>St. Giles Laboratory of Human Genetics of Infectious Diseases, Rockefeller Branch, The Rockefeller University, New York, NY; <sup>2</sup>Department of Pediatrics, Hsinchu Mackay Memorial Hospital, Hsinchu City, Taiwan; <sup>3</sup>Graduate Institute of Clinical Medical Sciences, College of Medicine, Chang Gung University, Taoyuan City, Taiwan; <sup>4</sup>Laboratory of Human Genetics of Infectious Diseases, Necker Branch, Institut National de la Santé et de la Recherche Médicale U1163, Necker Hospital for Sick Children, Paris, France; <sup>5</sup>University of Paris, Imagine Institute, Paris, France; <sup>6</sup>General Chemistry Laboratory, Department of Clinical Chemistry, Necker Hospital for Sick Children, Assistance Publique-Hôpitaux de Paris, Paris, France; <sup>7</sup>Laboratory of Clinical Immunology and Microbiology, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, MD; <sup>8</sup>Study Center for Primary Immunodeficiencies, Necker Hospital for Sick Children, Paris, France; <sup>9</sup>Genetics Unit, Military Hospital Mohamed V, Rabat, Morocco; <sup>10</sup>Molecular Mycology Unit, Pasteur Institute, Centre National de la Recherche Scientifique UMR 2000, Paris, France; <sup>11</sup>Institute for Immunodeficiency, Center for Chronic Immunodeficiency, Medical Center, Faculty of Medicine, Albert Ludwigs University of Freiburg, Freiburg, Germany; <sup>12</sup>German Center for Infection Research, Satellite Center Freiburg, Freiburg, Germany; <sup>13</sup>Centre for Integrative Biological Signalling Studies, Albert Ludwigs University, Freiburg, Germany; <sup>14</sup>RESIST – Cluster of Excellence 2155 to Hanover Medical School, Satellite Center Freiburg, Freiburg, Germany; <sup>15</sup>Department of Clinical Immunology and Internal Medicine, National Reference Center for Autoimmune Diseases, University Hospitals of Strasbourg, Strasbourg, France; <sup>16</sup>Center for Pediatric Genomic Medicine, Children’s Mercy Hospital, Kansas City, MO; <sup>17</sup>Department of Pathology and Laboratory Medicine, Children’s Mercy Hospital, Kansas City, MO; <sup>18</sup>School of Medicine, University of Missouri-Kansas City, Kansas City, MO; <sup>19</sup>Division of Clinical Immunology, Icahn School of Medicine at Mount Sinai, New York, NY; <sup>20</sup>Department of Clinical Immunology, Saint-Louis Hospital, Paris, France; <sup>21</sup>Howard Hughes Medical Institute, New York, NY.

\*J.-L. Casanova, Q. Zhang, and B. Boisson contributed equally to this paper; Correspondence to Bertrand Boisson: [bebo283@rockefeller.edu](mailto:bebo283@rockefeller.edu); Qian Zhang: [qzhang02@rockefeller.edu](mailto:qzhang02@rockefeller.edu).

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individuals, typically beginning in early adulthood and affecting a wide range of organs, including the respiratory and gastrointestinal tracts in particular (Bogaert et al., 2016; Resnick et al., 2012). Overall, 22 monogenic disorders have been reported to account, collectively, for at least 10% of CVID cases (Abolhassani et al., 2020; Cunningham-Rundles, 2019; Maffucci et al., 2016; van Schouwenburg et al., 2015). According to the International Union of Immunological Societies Expert Committee, the loci involved are *ARHGEF1*, *ATP6API*, *CD19*, *CD20*, *CD21*, *CD81*, *IKZF1*, *IRF2BP2*, *MOGS*, *NFKB1*, *NFKB2*, *PIK3CD*, *PIK3R1*, *PTEN*, *RAC2*, *SEC61A1*, *SH3KBPI*, *TNFSF13*, *TNFRSF13B*, *TNFRSF13C*, *TNFSF12*, and *TRNT1* (Bousfiha et al., 2020; Tangye et al., 2020; Tangye et al., 2021). It has been suggested that monoallelic *NFKB1* variants, including predicted loss-of-expression or predicted loss-of-function (pLOF) variants, first reported in 2015 (Fliegauf et al., 2015), are the most common cause of CVID in the European Union (4%; Tuijnburg et al., 2018) and the second most prevalent cause of CVID in the United States of America (5%; Abolhassani et al., 2020). More recently, an association with monoallelic *NFKB1* variants was identified in a cohort of 157 individuals displaying a broader clinical spectrum than CVID, including opportunistic infections, autoimmunity, noninfectious enteropathy, autoinflammation, lymphoproliferation, and cancer (Lorenzini et al., 2020).

The *NFKB1* gene encodes nuclear factor of  $\kappa$  light polypeptide gene enhancer in B cells 1 (NF- $\kappa$ B1), one of the five REL homology domain (RHD)-containing proteins. The full-length precursor, p105 (amino acids 1–969), gives rise to p50 (amino acids 1–433), which, like the other four REL proteins (RELA/p65, RELB, c-REL, and NF- $\kappa$ B2/p100/p52), contains the RHD (Zhang et al., 2017). However, NF- $\kappa$ B1 and NF- $\kappa$ B2 differ from the other three REL proteins in not having a transactivation domain (Zhang et al., 2017). As a result, p50 and p52 have transcriptional activity only when dimerized with other REL proteins (Hoffmann et al., 2006). Following phosphorylation with the upstream trimeric I $\kappa$ B kinase (IKK) complex (composed of IKK $\alpha$ , IKK $\beta$ , and IKK $\gamma$ /NF- $\kappa$ B essential modulator), p105 undergoes polyubiquitination, and its C-terminal portion is subjected to proteasomal degradation, with cleavage at approximately amino acid 433 to release the p50 subunit (Hayden and Ghosh, 2008). Various stimuli, such as cytokine and antigen receptors, activate the IKK complex (Taniguchi and Karin, 2018). This activation leads to translocation of the p50-containing dimers to the nucleus, where they bind to response elements in the promoter regions of specific target genes (Taniguchi and Karin, 2018). The most abundant and best-studied NF- $\kappa$ B dimers, p65:p50 heterodimers, usually promote gene expression (Siggers et al., 2011; Smale, 2012; Zhao et al., 2014). By contrast, p50:p50 homodimers have no transactivation domain and act as transcriptional repressors, unless bound to other proteins (Smale, 2012). The C-terminal part of the p105 precursor contains ankyrin repeats that can also repress expression by sequestering NF- $\kappa$ B dimers in the cytosol (Rice et al., 1992).

Heterozygous *NFKB1* variants in patients with CVID or other clinical phenotypes have been reported in 15 studies (Boztug et al., 2016; Christiansen et al., 2020; Dieli-Crimi et al., 2018; Duan and Feanny, 2019; Fliegauf et al., 2015; Kaustio et al., 2017;

Lorenzini et al., 2020; Lougaris et al., 2017a; Lougaris et al., 2017b; Maffucci et al., 2016; Maréchal et al., 2020; Rae et al., 2017; Schipp et al., 2016; Schröder et al., 2019; Tuijnburg et al., 2018), but none of these studies experimentally characterized the function of these variants without interference from other REL proteins. Indeed, exogenously or endogenously expressed p65 can form p65:p65 homodimers with transcriptional activity indistinguishable from that of p65:p50 heterodimers (Ganchi et al., 1993; Phelps et al., 2000). In previous studies, the impact of *NFKB1* variants on the transcriptional activity of NF- $\kappa$ B dimers was not measured in the absence of p65:p65 homodimers (Kaustio et al., 2017; Lorenzini et al., 2020). Moreover, the *NFKB1* variants were tested in cells carrying WT *NFKB1* alleles, resulting in a mixture of dimers, including p50<sup>mut</sup>:p65, p50<sup>wt</sup>:p65, and p65:65, in the same cells (Kaustio et al., 2017; Lorenzini et al., 2020). We therefore aimed to develop a new, robust, and accurate functional assay for classifying *NFKB1* variants according to their ability to activate NF- $\kappa$ B-mediated transcription in a strictly p50-dependent manner. We used this assay to evaluate the biochemical impact of 365 reported nonsynonymous *NFKB1* variants found in our database and other databases of healthy and sick individuals. Finally, we tested the hypothesis that patients with CVID may display enrichment in *NFKB1* variants experimentally demonstrated to be deleterious. Indeed, a second limitation of previous studies is that no genetic evidence has been provided for an enrichment in pLOF, let alone experimentally proven loss-of-function (LOF) variants, exclusively or even selectively, in patients with CVID. Overall, there is suggestive, but not conclusive, evidence that deleterious variants of *NFKB1* can cause CVID. We tested the validity of this hypothesis biochemically and genetically.

## Results

### *NFKB1* variants present in our and other databases of healthy and sick individuals

To date, 97 heterozygous variants of *NFKB1* have been reported in patients with CVID, autoinflammation, autoimmunity, or unspecified phenotypes (Fig. 1; and Table S1 and Table S2; Boztug et al., 2016; Christiansen et al., 2020; Dieli-Crimi et al., 2018; Duan and Feanny, 2019; Fliegauf et al., 2015; Kaustio et al., 2017; Lorenzini et al., 2020; Lougaris et al., 2017a; Lougaris et al., 2017b; Maffucci et al., 2016; Maréchal et al., 2020; Rae et al., 2017; Schipp et al., 2016; Schröder et al., 2019; Tuijnburg et al., 2018). One homozygous variant of *NFKB1* was recently reported in a patient with combined immunodeficiency (Fig. 1 and Table S1; Mandola et al., 2021). All these reported variants were private (i.e., not found in public databases) or rare (i.e., with a minor allele frequency [MAF] < 0.01; Fig. 1 and Table S1). In our Human Genetics of Infectious Diseases (HGID) CVID (HGID-CVID) cohort of 305 patients with CVID, we identified six new private or rare heterozygous variants (Fig. 1 and Table S1). In addition, by searching our HGID infectious disease (HGID-ID) cohort of 7,966 individuals with various infectious diseases, we found 54 new heterozygous and five new homozygous variants of this gene, all of which were private or rare (Fig. 1 and Table S1). In addition to the published variants and those found in the

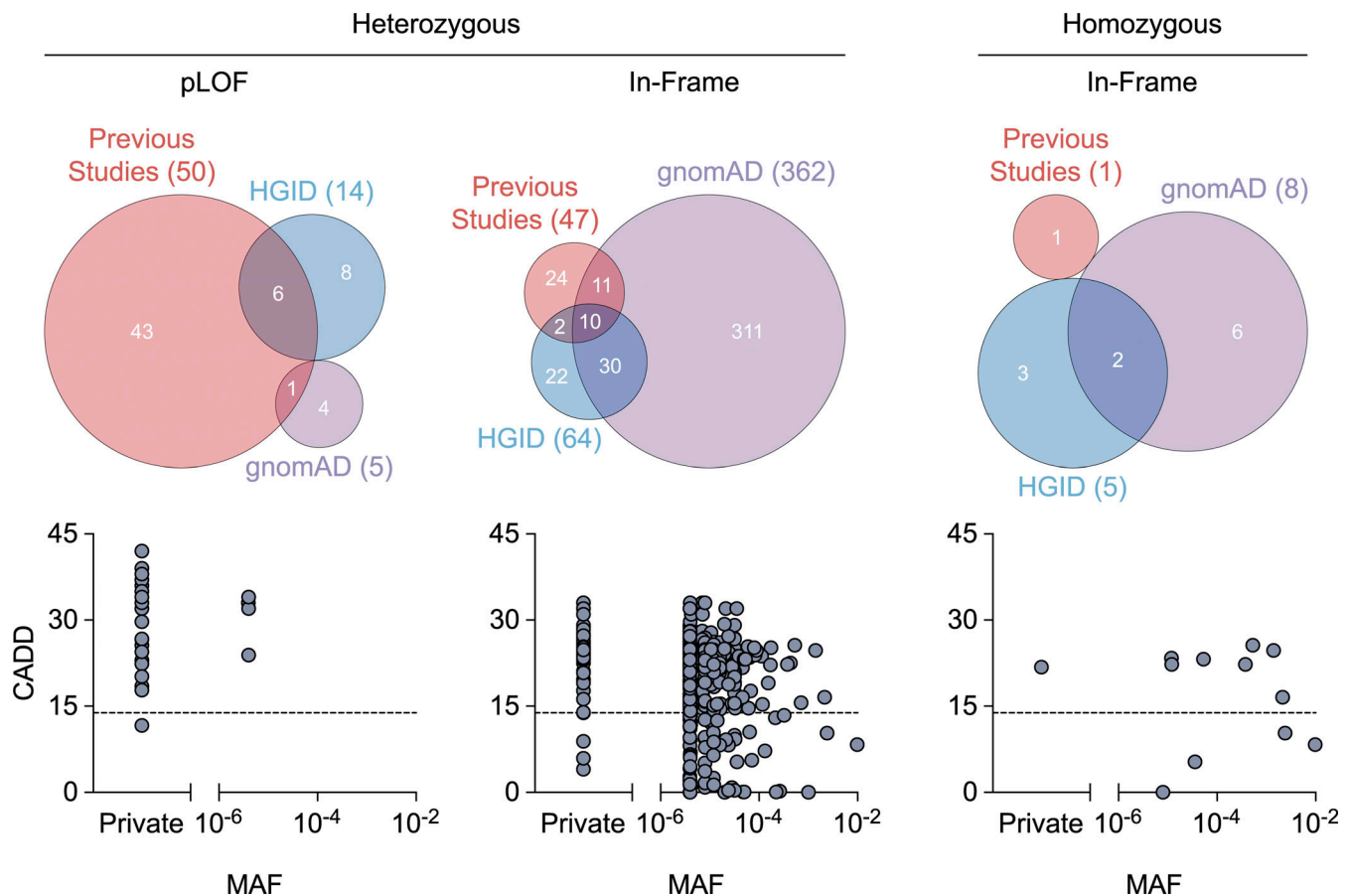


Figure 1. ***NFKB1* variants present in our and other databases of healthy and sick individuals.** Heterozygous and homozygous *NFKB1* variants reported in previous studies or present in our HGID cohorts (HGID-CVID and HGID-ID) or gnomAD v2.1.1. The numbers in parentheses indicate the total number of variants identified in each database. pLOF variants include nonsense, frameshift, and splice-site variants, whereas in-frame variants comprise start-loss, in-frame indel, and missense variants. 10 in-frame variants are present in both the heterozygous and homozygous states (CADD score v1.6; Kircher et al., 2014; Rentzsch et al., 2019). The black dashed line represents the mutation significance cutoff threshold for *NFKB1* (13.85; Itan et al., 2016).

HGID-CVID and HGID-ID cohorts, an additional 315 heterozygous and six homozygous rare variants are reported in the public Genome Aggregation Database (gnomAD; v2.1.1; Fig. 1 and Table S1). Thus, in total, 474 private or rare, heterozygous or homozygous variants have been found in private or public databases for patients or healthy individuals (Fig. 1 and Table S1). The large number of variants present in public databases demonstrates that *NFKB1* variants are not uncommon in individuals and cannot therefore necessarily be considered causal for any disease, including CVID. The 474 variants identified comprised two large deletions, 60 pLOF variants (17 nonsense, 29 frameshift, and 14 splice site), and 412 in-frame variants (1 start loss, 4 in-frame indels, and 407 missense; Table S1). Only 5 of the 98 variants reported in patients (with CVID, combined immunodeficiency, or other phenotypes) and none of the 368 variants reported in the gnomAD were functionally tested. We therefore evaluated the expression and function of all 157 variants reported in previous studies or present in the HGID cohort, with the exception of the two large deletions. We also tested 208 variants reported in gnomAD that had a MAF  $\geq 10^{-5}$ , were reported in at least two individuals, or were reported in the gnomAD control cohort. In total, we selected 365 variants for functional testing.

### Expression of mutant *NFKB1* alleles

We first used an exon-trapping assay to determine whether the 14 splice-site variants generated abnormal transcripts. We showed that all 14 variants led to abnormally spliced products, including 10 in-frame and 7 frameshift transcripts (Table S3 and Data S1). We then cloned these abnormal transcripts, along with all the other 351 variants, to test their expression. We designed an assay for assessing the expression of mutant *NFKB1* alleles without interference due to endogenous *NFKB1* expression. For this purpose, we generated an *NFKB1*-deficient (*NFKB1*<sup>-/-</sup>) human embryonic kidney (HEK) 293T cell line with CRISPR-Cas9 technology (Fig. 2, A and B). We transfected *NFKB1*<sup>-/-</sup> cells with cDNAs encoding the WT p105 and all 368 mutant alleles. We used an intron-less overexpression plasmid for this purpose and were not, therefore, able to detect nonsense-mediated mRNA decay triggered by premature stop codons (Maquat, 2004). Our system would therefore be expected to underestimate defects due to variants introducing premature stop codons, particularly those occurring downstream from the proteolytic cleavage site (amino acid 433). We detected both p50 and p105 when the WT sequence was overexpressed in *NFKB1*<sup>-/-</sup> cells, demonstrating that proteolytic cleavage was functional (Fig. S1, A-C; and Fig.



WT p105 to determine whether overexpression can up-regulate the transcriptional level of the luciferase. The overexpression of p105 did not induce detectable NF- $\kappa$ B activation, even though a large amount of p105 was cleaved to yield p50, as shown by Western blotting (Fig. S3). These results are consistent with the previous observation that p65 contains a transactivation domain whereas p50 does not, and that p50:p50 homodimers do not have transcriptional activity (Pereira and Oakley, 2008). We then transfected *NFKB1*<sup>-/-</sup> cells with p105 in the presence of p65 in an attempt to increase the dimerization of p65:p50 and drown out the effects of p50:p50 homodimers by sheer numbers. However, the addition of p65 alone resulted in high levels of luciferase activity (Fig. 2 C), consistent with the previous observation that p65:p65 homodimers have potent transcriptional activity (Ganchi et al., 1993). We therefore designed a new luciferase assay for assessing the function of *NFKB1* alleles with p65:p50 heterodimers while minimizing interference from p65:p65 homodimers. We transfected *NFKB1*<sup>-/-</sup> cells with two p65 mutants, C216S and S276A, which have been reported to form p50:p65 heterodimers, but not p65:p65 homodimers (Ganchi et al., 1993). To test NF- $\kappa$ B activity, we used a reporter construct that contains an NF- $\kappa$ B consensus site (5'-GGGACTTCC-3') that not only is capable of binding p65:p50 heterodimers (Siggers et al., 2011) but also is found in several NF- $\kappa$ B-regulated genes with important immunological functions, such as *NFKB2*, *CSF-1*, *TAP1*, *LMP2*, and *PLCD1* (<https://bioinfo.lifl.fr/NF-KB/>). Consistent with previous findings (Ganchi et al., 1993), both these p65 mutants displayed minimal NF- $\kappa$ B activity following transfection on their own (Fig. 2 C) and significant NF- $\kappa$ B activity following co-transfection with p105 (Fig. 2 C). S276A p65 elicited slightly stronger activity than C216S p65 (Fig. 2 C). We therefore chose to use S276A p65 in subsequent experiments. By transfecting *NFKB1*<sup>-/-</sup> cells with a combination of various amounts of WT p105 and S276A p65, we determined the optimal conditions for the assay (30 ng WT p105 with 100 ng S276A p65) as those yielding the highest fold-change difference in luciferase activity (30-fold) relative to empty vector (EV; Fig. 2 D). We therefore established this new luciferase assay, using a homodimer-defective p65 mutant and a *NFKB1*-deficient cell line, as a robust assay for measuring the specific transcription activity of p50 proteins in a strictly p50/p65 heterodimer-dependent manner.

### Functional characterization of *NFKB1* variants

Using the new luciferase reporter assay described above, we investigated 365 nonsynonymous variants reported in previous studies or present in our HGID cohort or gnomAD (Table S1). We defined the deleterious variants as LOF if they had a luciferase activity equivalent to that of EV, and as hypomorphic if their luciferase activity was less than half that of the WT allele. We identified 44 LOF variants: 10 nonsense, 15 frameshift, 8 splice site, 10 missense, and 1 in-frame indel variants (Fig. 3 and Table 2). 3 nonsense, 10 frameshift, 2 splice site, and 4 missense variants were hypomorphic, and 5 of these variants were severely hypomorphic (<25% WT activity; Fig. 3 and Table 2). Therefore, 63 variants in total were found to be deleterious, 5 of which had normal levels of p105 and p50 expression and were

located in the RHD of p50 (Fig. S1, A-C; and Fig. S2, A-C). The remaining 302 variants were neutral (Fig. 3 and Table 2). However, five pLOF variants with a premature stop codon downstream from the proteolytic cleavage site expressed a p50-like subunit (Fig. S1, A-C) and were found to be neutral in our luciferase assay (Fig. 3), possibly due to overexpression from intron-less plasmids. We then investigated whether LOF or hypomorphic mutant alleles could have dominant-negative (DN) effects on the WT allele. We assessed the impact of increasing the amount of cDNA for 66 mutant forms of p105 while keeping the amount of cDNA encoding WT p105 constant. Two p105 mutants (R57K;F58A and Y60A;V61S) were generated to serve as positive controls, as p50 harboring the same mutations had already been reported to have a DN effect on NF- $\kappa$ B activity (Bressler et al., 1993). As expected, both R57K;F58A and Y60A;V61S were LOF and DN (Fig. S4, A and B), and none of the mutant alleles tested had a DN effect on the WT allele at a mutant/WT ratio of 1:1 (Fig. S4 C). Finally, we found no significant correlation between the activity of mutant alleles and their combined annotation-dependent depletion (CADD), Polymorphism Phenotyping v2 (PolyPhen-2), and sorting tolerant from intolerant (SIFT) scores (Fig. S4, D-F). The discordance between the predicted and experimental deleteriousness of variants is consistent with previous reports (Miosge et al., 2015). These scores cannot, therefore, be used to predict whether a rare *NFKB1* allele will be deleterious. The functional assay thus identified 63 *NFKB1* variants as deleterious and suggested that CADD, PolyPhen-2, and SIFT do not accurately predict the functional activity of *NFKB1* variants. We therefore established a new functional test indispensable for determining the deleteriousness and, by inference, pathogenicity of human *NFKB1* variants.

### Population genetics of human *NFKB1*

Consistent with the above findings, *NFKB1* has a gene damage index of 1.96 (Itan et al., 2015), a neutrality index of 0.2 (McDonald and Kreitman, 1991), and a SnIPRE *f* parameter of 0.41 (within the top 33% of genes within the genome subject to the greatest constraints; Eilertson et al., 2012), indicating that it is highly conserved in human populations and has evolved under purifying selection. In addition, *NFKB1* has a probability of LOF intolerance score of 1 (Lek et al., 2016) and an LOF observed/expected upper bound fraction of 0.044 (Karczewski et al., 2020), suggesting that it is extremely intolerant to LOF variants, with a probability of LOF intolerance > 0.9 considered to be associated with genes operating by haploinsufficiency. Moreover, *NFKB1* has a consensus negative selection score of -1.11 (Rapaport et al., 2021), placing it within the group of IEI genes operating by haploinsufficiency (Fig. S5 A). Finally, sub-region residual variation intolerance score (subRVIS) varies considerably between *NFKB1* domains (SD percentile = 56.9; Gussow et al., 2016), but the RHD and death domain were found to be under stronger constraint (subRVIS percentiles = 11.48 and 12.86 relative to all protein domains; Fig. S5 B). This finding is consistent with our data suggesting that all the LOF variants are located in the RHD. Our results therefore suggest that heterozygous deleterious *NFKB1* variants can cause autosomal dominant (AD) disease by haploinsufficiency.

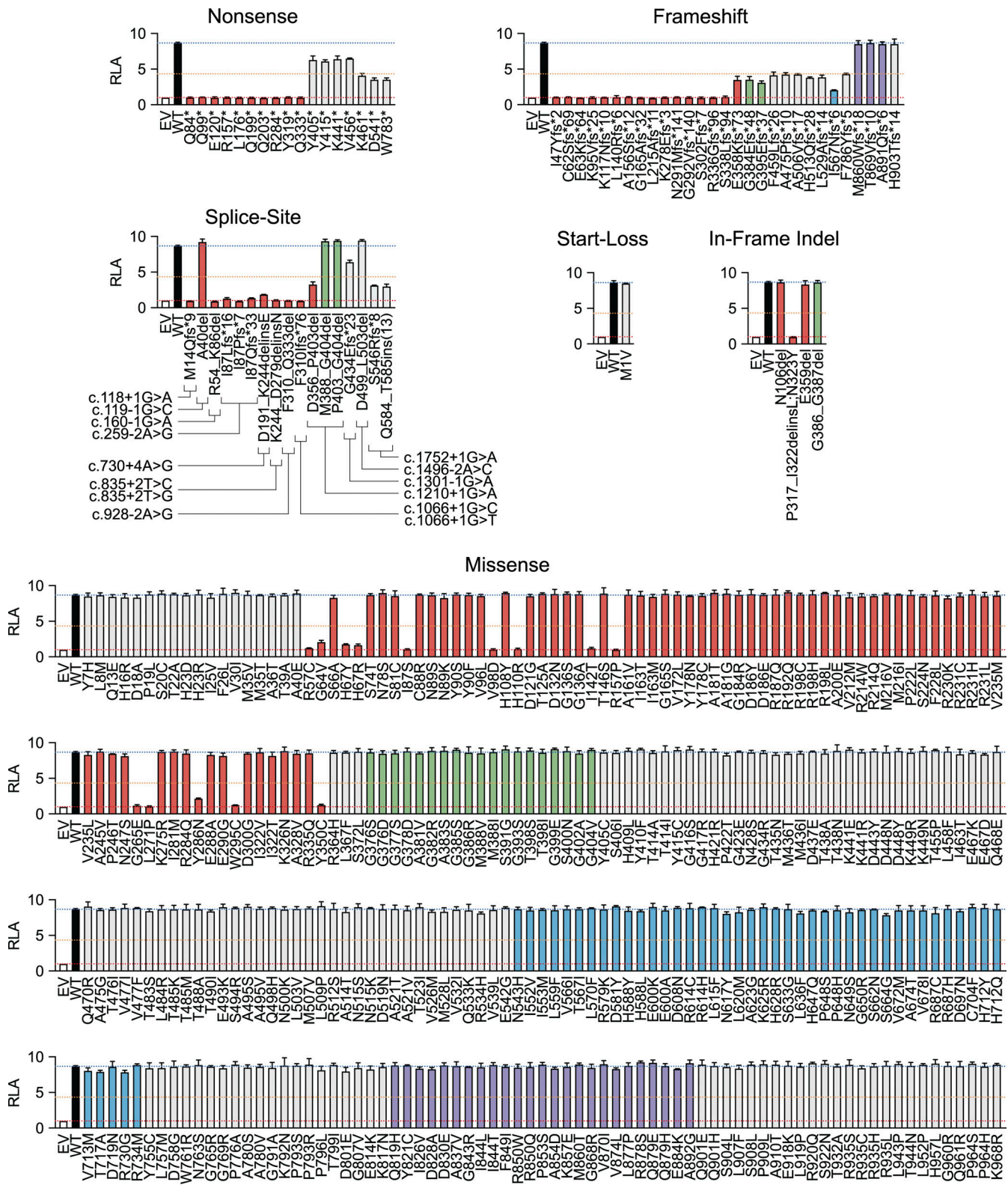


Figure 3. **Functional characterization of NFKB1 variants.** RLA of NFKB1-deficient HEK293T cells transfected with  $\kappa$ B-luc in the presence of plasmids encoding S276A p65 (100 ng) plus WT (30 ng) or mutant p105 (30 ng). The red dashed line represents the activity of EV. The orange dashed line represents the activity of WT p105. The blue dashed line represents the activity of WT p105. Columns corresponding to variants in the RHD, glycine-rich region (GRR), ankyrin repeat domain (ARD), death domain (DD), and other regions of p105 are colored red, green, blue, purple, and gray, respectively. The values shown are the means  $\pm$  SEM of three independent experiments.

Table 2. **Functional activity of *NFKB1* variants**

Variant	Tested	LOF	Hypomorphic	Neutral
Nonsense	17	10	3	4
Frameshift	29	15	10	4
Splice site	14	8	2	4
Start loss	1	0	0	1
In-frame indel	4	1	0	3
Missense	300	10	4	286
Total	365	44	19	302

### Enrichment in deleterious *NFKB1* variants in patients with CVID

Following the functional characterization of all 365 variants, we tested the hypothesis of an enrichment in heterozygous deleterious *NFKB1* variants in patients with CVID. For the enrichment analysis, we counted the number of experimentally proven deleterious *NFKB1* variants in our HGID-CVID cohort of 305 patients with CVID and in the gnomAD control cohort of 60,146 individuals. We found seven deleterious variants in the HGID-CVID cohort and only two in the gnomAD control cohort (Table 3). There was a strong enrichment in deleterious *NFKB1* variants in the HGID-CVID cohort relative to the gnomAD control cohort ( $P = 2.78 \times 10^{-15}$ ; odds ratio [OR] = 697.9; 95% confidence interval [CI] = 133.2 to 8,192; Table 4). This enrichment was also observed when we restricted our analysis to Europeans ( $P = 5.73 \times 10^{-11}$ ; OR =  $\infty$ ; 95% CI = 102.2 to  $\infty$ ), which account for 66% of the HGID-CVID cohort and 40% of the gnomAD control cohort, respectively (Table 4). Strikingly, we identified six deleterious variants in the HGID-ID cohort of patients with various infectious diseases, five of whom were later found to satisfy the diagnostic criteria for CVID (Table 3). We found that 55 of the 97 published variants (57%) were deleterious (including the 2 large deletions not tested with our assay) and 42 (43%) were neutral (Table 3). The 55 deleterious variants included 51 identified in patients with CVID, and 2 were identified in patients without

CVID at the time of study, but with the related presentation of cytopenia, splenomegaly, lymphadenopathy, and autoinflammatory disorder (Table 3; Duan and Feanny, 2019; Lorenzini et al., 2020). The remaining 2 variants were identified in patients without specified phenotypes (Table 3; Lorenzini et al., 2020). Only 3 of the 63 deleterious variants identified were reported in the whole gnomAD, each with a  $MAF < 4 \times 10^{-6}$  (Table 3). One of these three variants has also been reported in patients with CVID (Table 3; Rae et al., 2017; Schröder et al., 2019; Tuijnburg et al., 2018). Finally, none of the variants with a  $MAF \geq 10^{-5}$  or reported in at least two individuals in the whole gnomAD ( $n = 141,456$  individuals) were deleterious (Table S1), consistent with our hypothesis that deleterious *NFKB1* variants are extremely rare in the general population. Overall, these results firmly demonstrate that deleterious *NFKB1* variants can cause AD CVID.

### Discussion

We systematically studied the impact of 365 known *NFKB1* variants with a newly developed NF- $\kappa$ B reporter assay specifically evaluating p65:p50-dependent transcription activity. This assay demonstrated that only 80% (48/60) of the pLOF variants were LOF or hypomorphic but also revealed that 5% (15/305) of the in-frame variants were LOF or hypomorphic (Fig. S5 C). We also showed that none of the LOF or hypomorphic variants tested exerted any detectable negative dominance. All LOF variants were found to be confined to the RHD of p105/p50, which is responsible for dimerization, nuclear localization, DNA binding, and interaction with I $\kappa$ B proteins (Pereira and Oakley, 2008). These findings clearly support the hypothesis that the disruption of p65:p50 heterodimers is the key molecular mechanism underlying the deleteriousness of *NFKB1* variants. Together with population genetic data, they further show that AD *NFKB1* deficiency operates by haploinsufficiency, as opposed to negative dominance.

Any new *NFKB1* variant can be tested with this reporter assay to determine its functional significance. Nevertheless, this assay has three limitations: (1) It cannot evaluate variants that affect

Table 3. **Heterozygous *NFKB1* variants experimentally demonstrated to be deleterious or neutral**

Source	Phenotype	Total	Tested	LOF	Hypomorphic	Neutral	
Previous studies	CVID	78	76	33	16	27	
	IDD	4	4	2	0	2	
	Unspecified	15	15	1	1	13	
		97	95	36	17	42	
HGID	HGID-CVID	CVID	15	15	6	1	8
		ID	5	5	4	1	0
	HGID-ID	ID	64	64	1	0	63
gnomAD	Control	209	209	2 <sup>a</sup>	0	207	
	Case	158	51	0	1	50	
		367	260	2 <sup>a</sup>	1	257	

IDD, immune dysregulation disorder.

<sup>a</sup>One variant has been reported in patients with CVID.

Table 4. Analysis of enrichment in *NFKB1* variants experimentally demonstrated to be deleterious

Cohort	Total exomes	Exomes with deleterious variant	Fisher's test	OR (95% CI)
HGID-CVID	305	7	$2.78 \times 10^{-15}$	697.9 (133.2–8,192)
gnomAD control	60,146	2 <sup>a</sup>		
HGID-CVID EUR	220	5	$5.73 \times 10^{-11}$	$\infty$ (102.2– $\infty$ )
gnomAD control NFE	24,146	0		

EUR, European; NFE, non-Finnish European.

<sup>a</sup>One variant has been reported in patients with CVID.

the function of p105 in a p50-independent manner, through the inhibition of TPL-2 kinase, for example (Beinke et al., 2003; Waterfield et al., 2003). I553M, the only mutation for which it has been suggested that disease is caused by alterations to the phosphorylation and stability of p105 rather than p50 function (Kaustio et al., 2017), was neutral in our assay. (2) It cannot evaluate the repressive transcriptional effect of p50:p50 homodimers. (3) It may underestimate the deleteriousness of variants generating premature stop codons, because the intron-less cDNAs used in our assay fail to elicit nonsense-mediated mRNA decay (Maquat, 2004). The five pLOF variants that were neutral in our in vitro assay may thus, nevertheless, be deleterious in vivo. Notwithstanding, this new in vitro functional assay can accurately identify deleterious *NFKB1* variants. Additional ex vivo tests on leukocytes from patients can further delineate the biological impact of these and other variants in the heterozygous state.

Our study provides compelling evidence that heterozygosity for a deleterious *NFKB1*/p50 variant underlies AD CVID and that it does so by haploinsufficiency. Our study establishes a reference database of 365 known *NFKB1* variants based on a new NF- $\kappa$ B reporter assay. Any new *NFKB1* variant found in CVID patients can now be tested for deleteriousness and, by inference, for causality. Very few of the patients with *NFKB1*/p50 variants identified as deleterious in our assay had immunological conditions related to, but not designated as, CVID (Duan and Feanny, 2019; Lorenzini et al., 2020). It is tempting to speculate that these patients were misdiagnosed or diagnosed before the onset of bona fide CVID. Patients with any condition found to carry a deleterious *NFKB1* variant should thus also be repeatedly tested for CVID phenotypes (e.g., hypogammaglobulinemia). Conversely, with the caveats mentioned above, patients with CVID carrying *NFKB1* variants that are functionally neutral in our assay should not be diagnosed as *NFKB1* deficient, even if the variant is rare and predicted to be deleterious in silico, unless further experimental investigation reveals molecular and biochemical defects.

## Materials and methods

### Patient cohorts

Patients enrolled in the HGID-CVID cohort were diagnosed with CVID according to established criteria, including serum IgG and IgA and/or IgM deficiency with a proven loss of antibody production (Bonilla et al., 2016; Tangye et al., 2020). Written informed consent was obtained from each patient, in accordance with local regulations and protocols approved by the

institutional review board of Mount Sinai, Saint-Louis Hospital, and the National Institute of Allergy and Infectious Diseases of the National Institutes of Health. The individuals studied in the HGID-ID cohort had a wide range of different infectious diseases and recruited by clinicians for analysis in our laboratories. All study participants provided written informed consent for the use of their DNA in studies aiming to identify genetic risk variants for disease. Institutional review board approval was obtained from The Rockefeller University and the Institut National de la Santé et de la Recherche Médicale (INSERM) and various collaborating institutions.

### Whole-exome sequencing

Genomic DNA was extracted from whole blood and sheared with an S2 focused ultrasonicator (Covaris). An adapter-ligated library was prepared with the TruSeq DNA Sample Prep Kit (Illumina). Exome capture was performed with the SureSelect Human All Exon V5 kit (Agilent Technologies). Paired-end sequencing was performed on a HiSeq 2500 System (Illumina) generating 100-base reads. The sequences were aligned with the GRCh37 build of the human genome reference sequence, with the Burrows-Wheeler Aligner (Li and Durbin, 2009). Downstream processing and variant calling were performed with the Genome Analysis Toolkit (McKenna et al., 2010), SAMtools (Li et al., 2009), and Picard Tools (Broad Institute). All variants were annotated with in-house annotation software.

### Plasmids

RELA/p65 and *NFKB1*/p105 were amplified from cDNA derived from HEK293T cells (American Type Culture Collection). The full-length WT and mutant p65 sequences were inserted into the pLenti-III-EF1 $\alpha$  vector (Applied Biological Materials) with a C-terminal HA tag. The full-length WT p105 and M1V mutant sequences were inserted into the pLenti-III-EF1 $\alpha$  vector (Applied Biological Materials). The full-length WT and all other mutant p105 sequences were inserted into the pLenti-III-EF1 $\alpha$  vector (Applied Biological Materials) with an N-terminal FLAG tag. The  $\kappa$ B reporter construct ( $\kappa$ B-luc) was generated by inserting the sequence (5'-GATCCGGAGGGACTTTCCGCTGGGGACTTTCCAGCG-3') containing HIV-1  $\kappa$ B enhancer motif (Stein et al., 1989) into the pGL4.23[luc2/minP] vector (E8411; Promega) between KpnI and BglII sites. The pGL4.32[luc2P/NF- $\kappa$ B-RE/Hygro] and pRL-SV40 vectors were obtained from Promega (E8491 and E2231, respectively). Site-directed mutagenesis was performed as previously described (Zheng et al., 2004).



### Cell culture and transfection

HEK293T and COS-7 cells (American Type Culture Collection) were maintained in DMEM (Gibco) supplemented with 10% FBS (Gibco). Transient transfection was performed with Lipofectamine 2000 Transfection Reagent (Thermo Fisher Scientific), in accordance with the manufacturer's instructions.

### Generation of NFKB1-deficient (*NFKB1*<sup>-/-</sup>) HEK293T cells

*NFKB1*<sup>-/-</sup> HEK293T cells were generated with the CRISPR-Cas9 system. Guide RNAs designed to target exon V (sense: 5'-CAC CGGGCACCAGGTAGTCCACCAT-3'; antisense: 5'-AAACATGGT GGACTACCTGGTGGCC-3') were inserted into the lentiCRISPR v2 vector (52961; Addgene). HEK293T cells were transfected with the resulting plasmid with the aid of Lipofectamine 2000 Transfection Reagent (Thermo Fisher Scientific) and cultured at low density for clonal selection. Individual clones were picked, expanded, and analyzed by genomic PCR and subsequently tested for an absence of p105/p50 expression.

### Exon-trapping assay

A genomic fragment containing the WT sequence corresponding to each variant and its surrounding exons and introns was amplified from HEK293T cells by PCR with specific primers (Table S4) and inserted into the pSPL3 vector (Life Technologies). Each variant was reintroduced by site-directed mutagenesis. COS-7 cells were transfected with the resulting plasmids in the presence of Lipofectamine 2000 Transfection Reagent (Thermo Fisher Scientific). Cells were harvested 24 h after transfection, and total RNA was extracted with the RNeasy Mini Kit (QIAGEN). Reverse transcription was performed with the iScript Reverse Transcription Supermix (Bio-Rad), according to the manufacturer's instructions. After cDNA synthesis, PCR was performed with the SD6 (5'-TCTGAGTCACCTGGACAACC-3') and SA2 (5'-ATCTCAGTGGTATTTGTGAGC-3') primers. The amplified cDNAs were inserted into the pCR4-TOPO vector (Thermo Fisher Scientific) and subsequently sequenced with the M13 forward (5'-GTAAAACGACGGCCAG-3') and M13 reverse (5'-CAGGAAACAGCTATGAC-3') primers. For each variant, we analyzed 100 colonies, to determine the ratio of spliced products from WT and mutant minigenes.

### Luciferase reporter assay

The luciferase reporter assay was performed as previously described (Béziat et al., 2018). WT and *NFKB1*<sup>-/-</sup> HEK293T cells were transfected with a reporter plasmid (100 ng/well for a 96-well plate), the pRL-SV40 vector (10 ng/well), and a WT or mutant p105 and/or WT or mutant p65 in the presence of Lipofectamine 2000 Transfection Reagent (Thermo Fisher Scientific). After 24 h, cells were harvested, and luciferase activity was measured with the Dual-Glo Luciferase Assay System (Promega).

### Western blotting

Western blotting was performed as previously described (Li et al., 2019). Whole-cell lysates were prepared in RIPA buffer (50 mM Tris-HCl, pH 7.5, 150 mM NaCl, 1% Nonidet P40, 0.5% sodium deoxycholate, and 0.1% SDS) supplemented with cComplete Protease Inhibitor Cocktail (Roche). Proteins were

separated by electrophoresis in 4–20% Mini-PROTEAN TGX Precast Protein Gels (Bio-Rad), and the resulting bands were transferred onto Immobilon-P polyvinylidene fluoride membrane (Millipore). All blots were incubated overnight with primary antibodies and developed with the Pierce ECL Western Blotting Substrate (Thermo Fisher Scientific). The antibodies used in this study included antibodies against p105/p50 (N terminus; 3035; Cell Signaling Technology), p105/p50 (residues surrounding amino acid 415; 12540; Cell Signaling Technology), p65 (sc-372; Santa Cruz Biotechnology), RELB (sc-48366; Santa Cruz Biotechnology), c-REL (sc-6955; Santa Cruz Biotechnology), p100/p52 (4882; Cell Signaling Technology), FLAG (A8592; Millipore Sigma), and  $\beta$ -actin (5125; Cell Signaling Technology) and the following secondary antibodies: Amersham ECL mouse IgG, HRP-linked whole antibody (from sheep; NA931; GE Healthcare Life Sciences) and Amersham ECL rabbit IgG, HRP-linked whole antibody (from donkey; NA934; GE Healthcare Life Sciences).

### Online supplemental material

Fig. S1 shows the protein expression of pLOF mutant *NFKB1* alleles. Fig. S2 shows the protein expression of in-frame mutant *NFKB1* alleles. Fig. S3 shows the effect of p105 on TNF-induced NF- $\kappa$ B activation. Fig. S4 shows that none of the deleterious *NFKB1* variants exert negative dominance and the absence of correlation between the activity and CADD, PolyPhen-2, and SIFT scores of *NFKB1* alleles. Fig. S5 shows population genetics of human *NFKB1* and a summary of *NFKB1* variants in patients with COVID or related conditions. Table S1 lists *NFKB1* variants in our and other databases of healthy and sick individuals. Table S2 lists the genotype and the phenotype in individuals with *NFKB1* variants. Table S3 shows the alternative transcripts generated by *NFKB1* splice-site variants. Table S4 lists the primers used for the amplification of *NFKB1* genomic DNA. Data S1 illustrates *NFKB1* splice-site variants and their corresponding alternative transcripts (described in Table S3), based on the results of exon trapping assays.

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Supplemental material

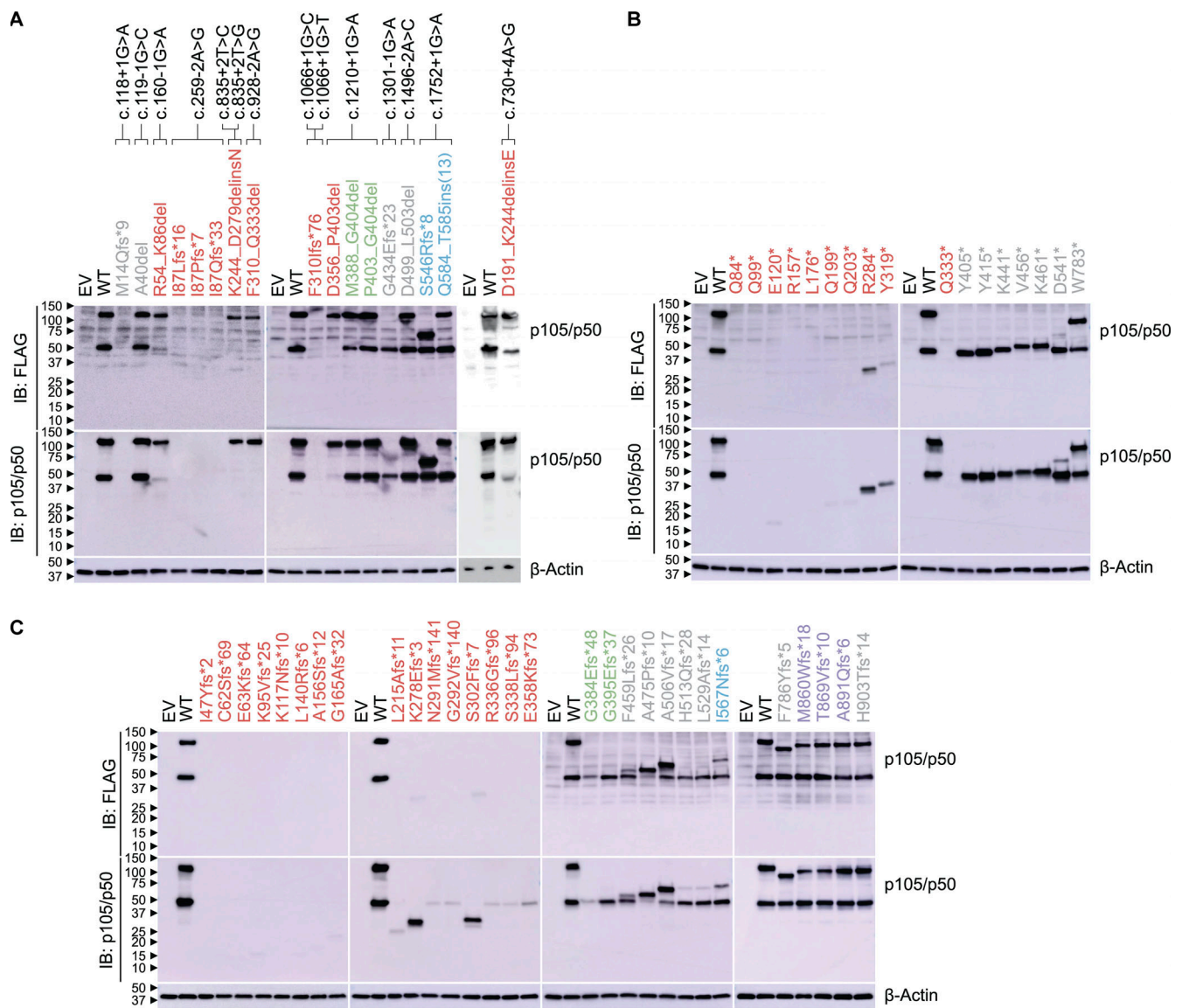


Figure S1. **Expression of pLOF mutant NFKB1 alleles.** (A–C) Immunoblot of p105/p50 and β-actin in NFKB1-deficient HEK293T cells transfected with plasmids encoding WT or mutant p105. WT and mutant p105 cDNAs were inserted into the pLenti-III-EF1α vector with an N-terminal FLAG tag (A–C). p105/p50 was detected with an anti-FLAG antibody (A–C) or an anti-p105/p50 antibody recognizing the N terminus of p105 (A–C). Variants in the RHD, GRR, ARD, DD, and other regions of p105 are colored red, green, blue, purple, and gray, respectively. The data shown are representative of two independent experiments. IB, immunoblot.

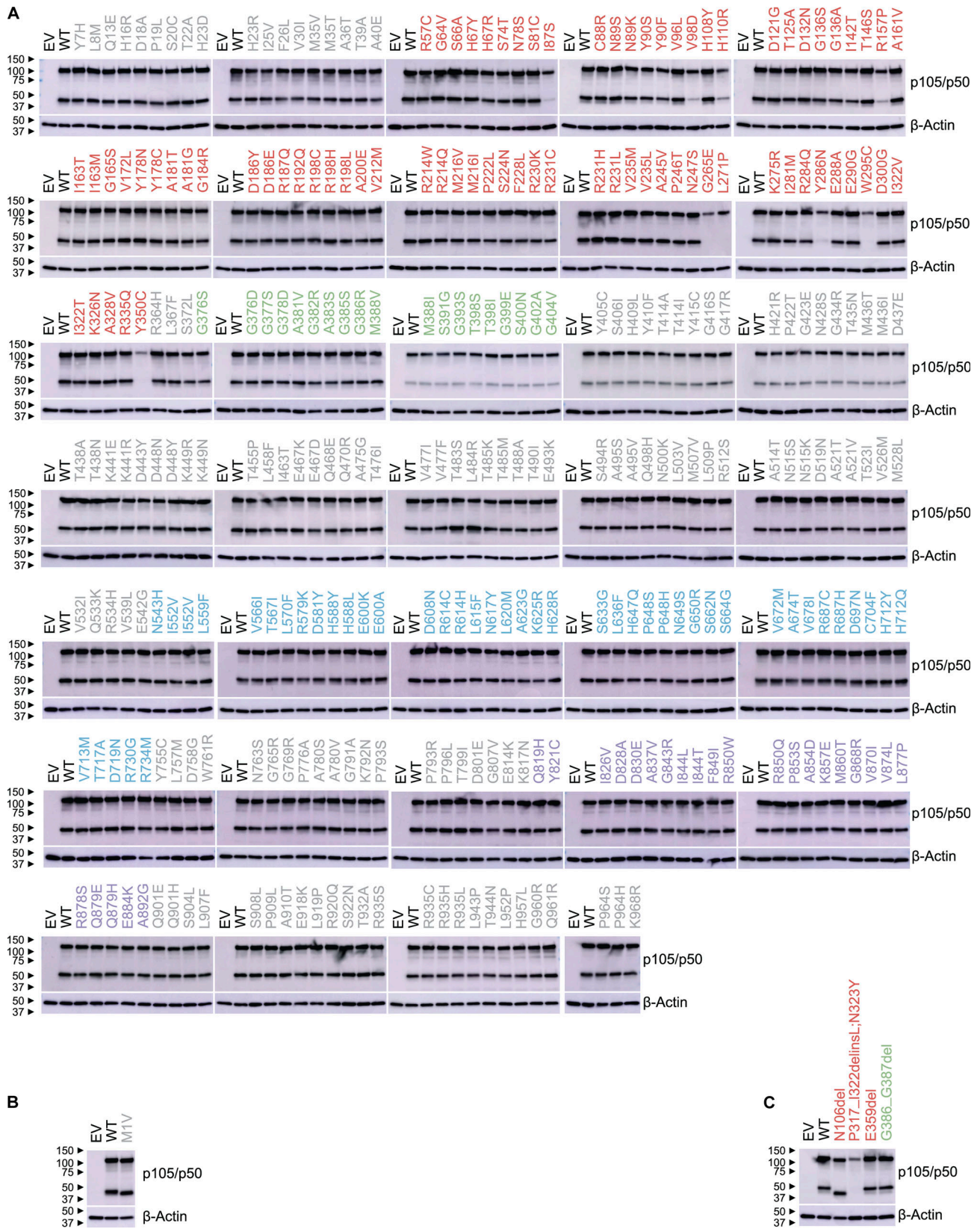


Figure S2. **Expression of in-frame mutant *NFKB1* alleles.** (A–C) Immunoblot of p105/p50 and  $\beta$ -actin in *NFKB1*-deficient HEK293T cells transfected with plasmids encoding WT or mutant p105. WT and mutant p105 cDNAs were inserted into the pLenti-III-EF1 $\alpha$  vector without (B) or with an N-terminal FLAG tag (A and C). p105/p50 was detected with an anti-p105/p50 antibody recognizing the N terminus of p105 (A) or with a p105/p50 antibody recognizing residues surrounding amino acid 415 of p105 (B and C). Variants in the RHD, GRR, ARD, DD, and other regions of p105 are colored red, green, blue, purple, and gray, respectively. The data shown are representative of two independent experiments.

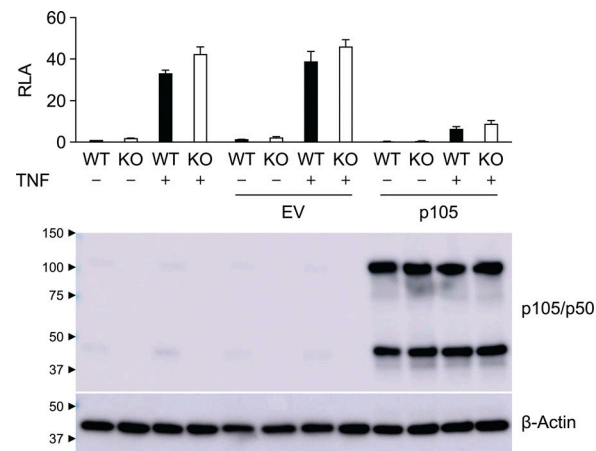
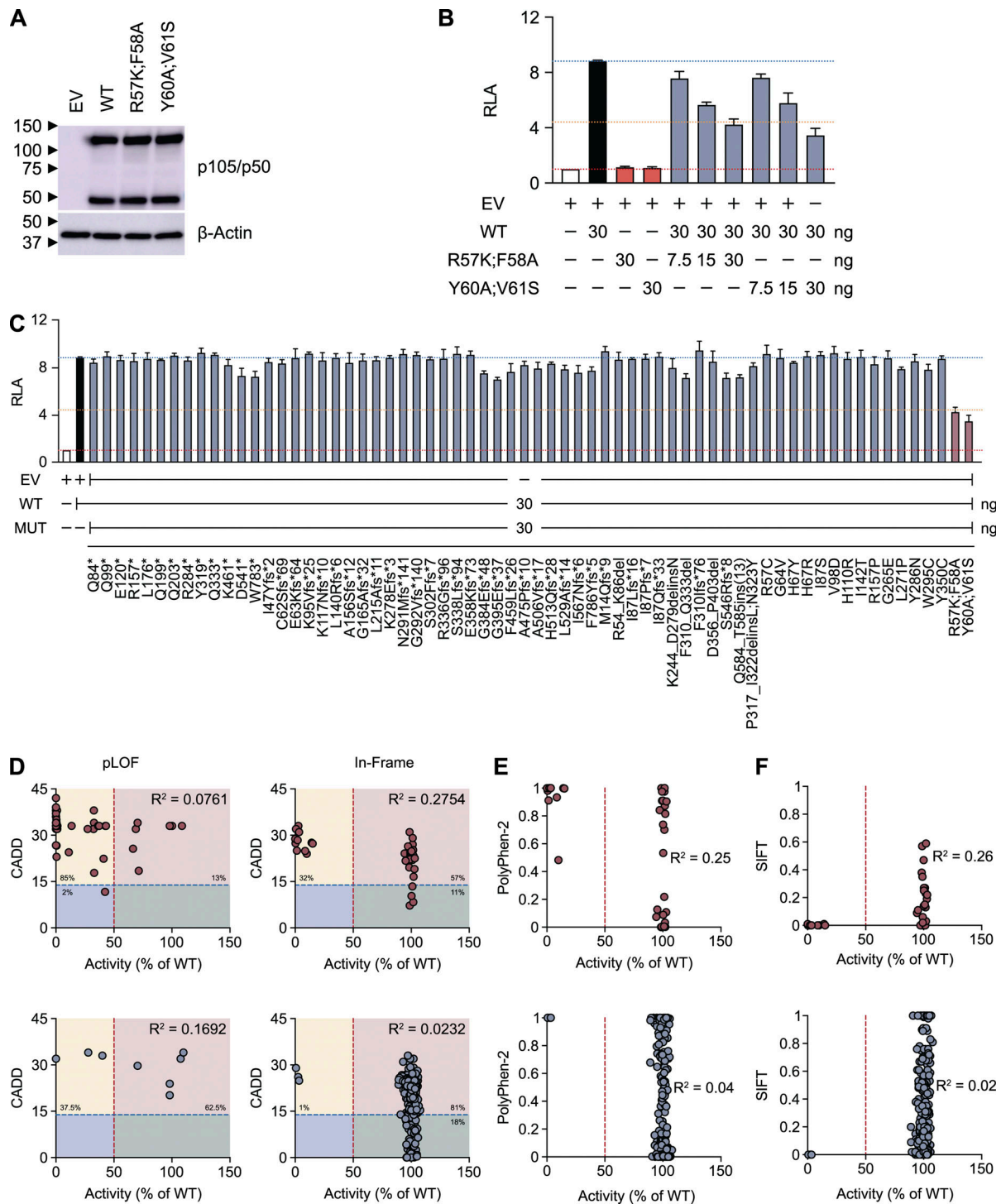


Figure S3. **Effect of p105 on TNF-induced NF- $\kappa$ B activation.** RLA of WT and NFKB1-deficient (KO) HEK293T cells transfected with pGL4.32[luc2P/NF- $\kappa$ B-RE/Hygro] in the presence or absence of plasmids encoding p105 and left unstimulated or stimulated with TNF (20 ng/ml) for 6 h. p105/p50 was detected with an anti-p105/p50 antibody recognizing the N terminus of p105. The values shown are the means  $\pm$  SEM of two independent experiments.



**Figure S4. Negative dominance and correlation between predicted score and the activity of the *NFKB1* variants.** (A) Immunoblot of p105/p50 and  $\beta$ -actin in *NFKB1*-deficient HEK293T cells transfected with WT, R57K;F58A, or Y60A;V61S p105. WT and mutant p105 cDNA was inserted into the pLenti-III-EF1a vector with an N-terminal FLAG tag. p105/p50 was detected with an anti-p105/p50 antibody recognizing the N terminus of p105. (B) RLA of *NFKB1*-deficient HEK293T cells transfected with  $\kappa$ B-luc in the presence of plasmids encoding S276A p65 (100 ng) plus WT and/or mutant p105, as indicated. The total amount of plasmid in each transfection was kept constant by adding EV. (C) RLA of *NFKB1*-deficient HEK293T cells transfected with  $\kappa$ B-luc in the presence of plasmids encoding S276A p65 (100 ng) plus WT and mutant p105, as indicated. The total amount of plasmid in each transfection was kept constant by adding EV. (D-F) The transcriptional activity of all mutant alleles was normalized against that of the WT allele. Red and blue dots denote the variants associated with CVID and other phenotypes, respectively. The red dashed line indicates half the activity of the WT allele. (D) CADD score. The blue dashed line represents the mutation significance cutoff threshold for *NFKB1* (13.85; [Itan et al., 2016](#)). The yellow, pink, green, and blue rectangles represent the true-positive, false-positive, true-negative, and false-negative areas, respectively. The data shown are representative of two independent experiments (A). The values shown are the means  $\pm$  SEM of three independent experiments (B and C). The  $R^2$  values were obtained in a correlation analysis performed with Prism software (D-F). No significant difference between the mutant and WT p105 by one-way ANOVA performed with Prism software (C).

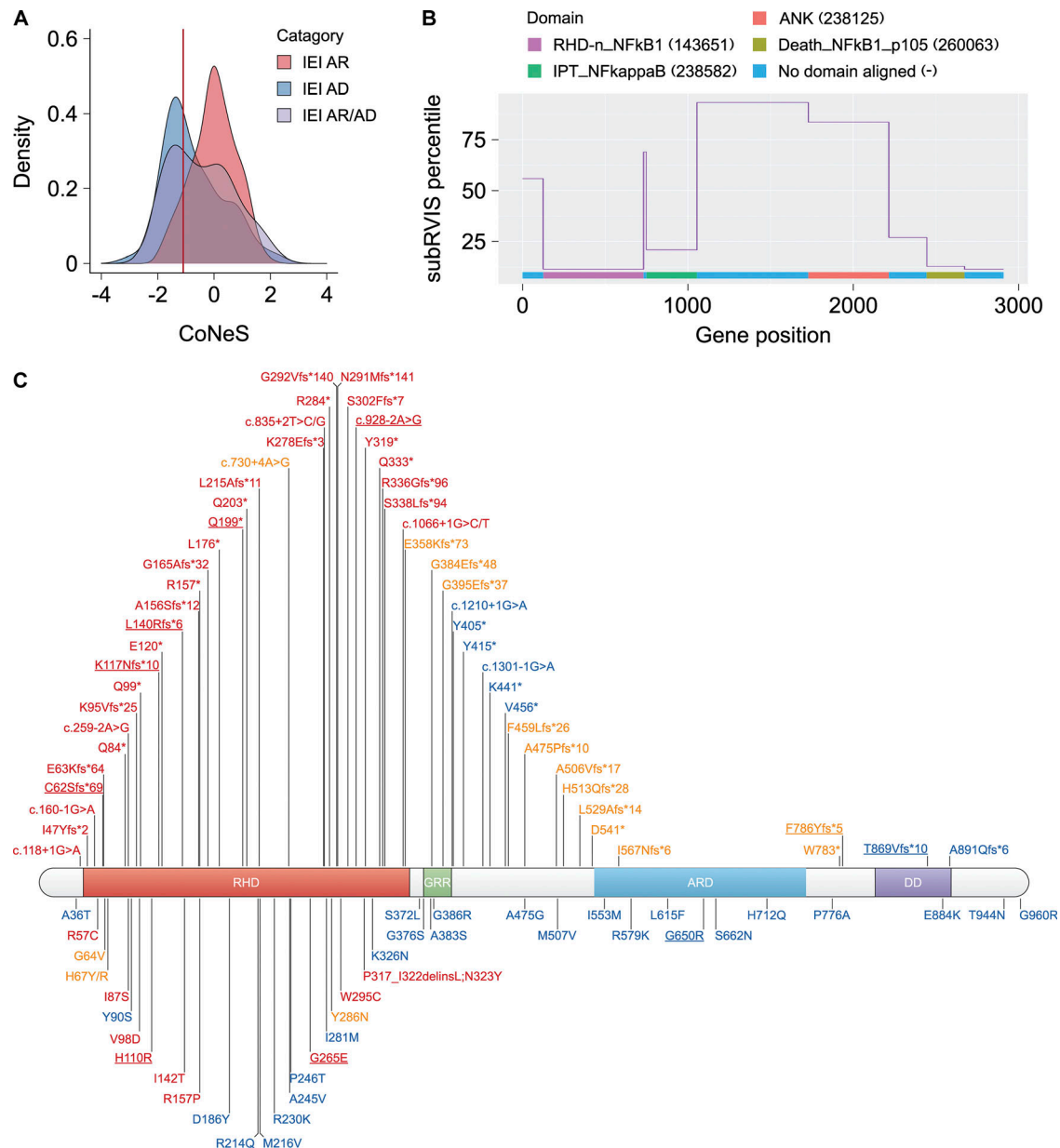


Figure S5. **Population genetics of human *NFKB1* and *NFKB1* variants in patients with CVID or related conditions.** (A) The distribution of consensus negative selection (CoNeS) for genes underlying autosomal recessive (AR) IEI, AD IEI, and AR/AD IEI. *NFKB1* (red line) falls within the category of AD IEI. (B) Intolerance to functional variation along the *NFKB1* genic region, as measured by the subRVIS percentiles. The protein domains were annotated with the Conserved Domain Database (CDD). The subregions were defined as the regions within the gene aligning with the CDD and the unaligned regions were the regions lying between CDD alignments. The numbers in parentheses indicate the ID of the sequence in the CDD. (C) Schematic illustration of *NFKB1* variants in the *NFKB1* gene. Variants tested LOF, hypomorphic, and neutral are colored red, orange, and blue, respectively. New variants identified in this study are underlined.

Tables S1–S4 are provided online as separate Excel files. Table S1 lists all *NFKB1* variants in our and other databases of healthy and sick individuals. Table S2 lists *NFKB1* genotype–phenotype correlation in individuals with *NFKB1* variants. Table S3 lists alternative transcripts generated by *NFKB1* splice-site variants. Table S4 lists primers used for the amplification of *NFKB1* genomic DNA. Data S1 is provided online as a PDF and illustrates *NFKB1* splice-site variants and their corresponding alternative transcripts (described in Table S3), based on the results of exon trapping assays.