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Vaccine-boosted convalescent plasma therapy for patients with immunosuppression and COVID-19

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Abstract:

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Vaccine-boosted convalescent plasma therapy for patients with immunosuppression and COVID-19

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1 Although severe coronavirus disease 19 (COVID-19) and death from COVID-19 are generally
2 preventable in immunocompetent people in areas of the world with sufficient supply of
3 COVID-19 vaccines and therapeutics, patients with immunosuppression have impaired
4 immunogenicity to COVID-19 vaccines and remain at high risk of complications and death^{1,2}.
5 Convalescent plasma represents a passive antibody therapy that has been widely used to treat
6 COVID-19^{3,4} and is recommended for use in immunosuppressed patients or those who lack
7 antibodies against SARS-CoV-2^{5,6}. The beneficial effects associated with passive antibody
8 therapy, including both COVID-19 convalescent plasma and anti-spike monoclonal-antibody
9 treatments, are primarily conferred by neutralizing antibodies which target SARS-CoV-2 and
10 promote viral clearance^{7,8}. As SARS-CoV-2 evolves, new variants of concern (VOCs) have
11 emerged which evade available anti-spike monoclonal antibodies, particularly among
12 immunosuppressed patients^{9,10}. However, high-titer COVID-19 convalescent plasma continues
13 to be effective against VOCs because of its broad-spectrum immunomodulatory properties¹¹.

14 In this context, there is interest in COVID-19 convalescent plasma collected from persons who
15 have been both naturally infected with SARS-CoV-2 and vaccinated against SARS-CoV-2 (herein
16 referred to as “vax-plasma”). Vax-plasma typically has 10 to 100 times higher antibody titers
17 than standard COVID-19 convalescent plasma¹² and may be a promising COVID-19 treatment
18 among immunosuppressed patients¹³⁻¹⁵. As a proof of concept, we report the clinical course of
19 31 immunocompromised patients who were hospitalized with COVID-19 and treated with vax-
20 plasma after previously receiving other COVID-19 specific therapeutics without durable
21 symptom resolution.

22 This retrospective single-center study was conducted at Mayo Clinic (Rochester, Minnesota)
23 from 1 July 2021 to 1 September 2022. Patient follow-up was continued until death or most
24 recent follow-up and the median follow-up time was 176 days. Immunocompromised patients
25 with active COVID-19 infection, confirmed by SARS-CoV-2-specific reverse transcription
26 polymerase chain reaction, were eligible to receive vax-plasma. The Mayo Clinic Institutional
27 Review Board determined that this study met the criteria for exemption. Informed consent was
28 waived. Only Mayo Clinic patients with research authorization were included.

29 Eligible vax-plasma donors included individuals who had a PCR-confirmed diagnosis of COVID-
30 19 and had received at least one dose of a SARS-CoV-2 vaccine. All donors experienced mild to
31 moderate symptoms and met the national blood donor selection criteria. Vax-plasma was
32 collected at least 2 weeks and up to 6 months after the complete resolution of COVID-19
33 symptomatology. Antibody titers of vax-plasma units met the minimum threshold required by
34 US FDA for high titer anti-SARS-CoV-2 antibodies, but precise antibody titers were not
35 evaluated. The treatment schedule of vax-plasma transfusions was not standardized. Patients
36 received the number of vax-plasma units deemed appropriate by their clinicians.

37 Thirty-one consecutive patients treated with vax-plasma were included. Key demographic and
38 clinical characteristics of the study population are provided in **Tables 1 and 2**. The median age
39 of patients treated with vax-plasma was 63 years (range, 16 to 84 years), and 35% (11 of 31
40 patients) were female. Twenty-one patients were treated for hematologic malignancies, six
41 patients were treated for rheumatic diseases, three patients had received a solid organ
42 transplant, one patient was treated for multiple sclerosis, one patient was treated for a brain
43 tumor, and one patient was diagnosed with common variable immune deficiency during COVID-
44 19 disease. Sixteen patients had received anti-CD20 monoclonal antibodies within the last six
45 months and five patients had been treated with Bruton tyrosine kinases inhibitors within the
46 last six months—both of which have been associated with impaired immunogenicity^{2,16}.

47 Key descriptions of the clinical course of COVID-19 and vax-plasma transfusion are provided in
48 **Tables 1 and 2**. Patients had COVID-19 symptoms for a median of 29 days (range, 1 to 389 days)
49 before receiving vax-plasma transfusion, and about half (16 of 31 patients) had protracted
50 COVID-19 with symptomology persisting for four weeks or more before receiving vax-plasma
51 transfusion. All patients received previous or concomitant therapies for COVID-19 specific
52 treatments, including remdesivir (30 of 31 patients), neutralizing anti-spike monoclonal
53 antibodies (7 of 31 patients), or steroids (26 of 31 patients). Due to the persistent nature of
54 their infections, many patients had received combinations and multiple rounds of COVID-19
55 specific treatments.

56 At the time of vax-plasma transfusion, 6% (2 of 31 patients) had been previously hospitalized
57 and treated in the outpatient setting, 14 patients were hospitalized and not receiving
58 supplemental oxygen, 12 patients were hospitalized and required oxygen by noninvasive
59 ventilation, and 3 patients were hospitalized and required invasive mechanical ventilation.
60 Patients were transfused with a median of 2 units of vax-plasma (range, 1 to 11 units).

61 No serious adverse effects associated with transfusion of vax-plasma were observed. The
62 overall survival rate after transfusion of vax-plasma was 84% (26 of 31 patients), including 7 of
63 12 patients (58%) who had been admitted to the intensive care unit. Both patients who
64 received transfusion of vax-plasma in the outpatient setting after COVID-19-related
65 hospitalization survived and demonstrated rapid improvement in symptoms within 5 days of
66 vax-plasma transfusion. Among patients who received vax-plasma transfusion in the inpatient
67 setting, 59% (17 of 29 patients) demonstrated rapid clinical improvement and were discharged
68 within 5 days of vax-plasma transfusion. Among the 7 patients who survived and did not show
69 rapid clinical improvement, the median time to discharge from date of vax-plasma transfusion
70 was 30 days (range, 7 to 109 days). Five patients died despite transfusion of vax-plasma. All five
71 of these patients were admitted to the intensive care unit at the time of vax-plasma

72 transfusion, including all three patients who required invasive mechanical ventilation and two
73 patients who required oxygen by noninvasive ventilation.

74 This case series reports the clinical benefit associated with transfusion of vax-plasma in 31
75 consecutive immunocompromised patients, many with protracted COVID-19 disease. Although
76 COVID-19-specific treatments induced a transient improvement in symptomatology for all
77 patients, all COVID-19-specific treatments failed to sustainably improve the clinical course of
78 COVID-19. However, vax-plasma was associated with rapid improvement of clinical symptoms
79 and hospital discharge in 17 of 29 patients treated in the inpatient setting. Although it is
80 possible that patients were recovering before transfusion of vax-plasma, the close temporal
81 association between transfusion, and rapid clinical improvement and hospital discharge,
82 suggest that vax-plasma was likely associated with a meaningful clinical benefit in this patient
83 population^{17,18}.

84 Importantly, we did not find a clinical benefit associated with transfusion of vax-plasma among
85 the 3 patients who required invasive mechanical ventilation. In line with previous findings^{14,19-21}
86 and the biological principles of antibody therapy²², the benefit of vax-plasma was most
87 apparent in patients who were treated before disease progression to invasive mechanical
88 ventilation.

89 Several limitations resulted from the design of this study and the contextual challenges of
90 clinical research during a pandemic²³, which may highlight areas for future investigation. First,
91 SARS-CoV-2 serology was not performed. However, it is highly likely that these patients were
92 unable to generate an endogenous antibody response to COVID-19 infection. Second, the
93 interpretation of these results is limited by the open-label design, the lack of a randomized
94 placebo (control) group, and the small sample size. However, it should be noted that vax-
95 plasma was a therapy of last resort in these patients, and the patients had multiple co-
96 morbidities beyond their immune suppression.

97 Despite the enumerated limitations of this study, our data suggest that transfusion of vax-
98 plasma is safe and effectively transfers COVID-19-neutralizing antibodies to patients with
99 immunosuppression and protracted COVID-19. From the amalgam of evidence^{4,5}, it is clear that
100 the benefit of vax-plasma (or standard convalescent plasma or anti-spike monoclonal antibody
101 therapy) is least apparent in patients who receive antibody therapy later in the disease course
102 after the need for invasive mechanical ventilation. The extent to which vax-plasma might be a
103 preferred first line therapy in patients with immunosuppression or reserved for use in patients
104 that have failed other therapies warrants further discussion and systematic study.

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Authorship

Study conception and design: J.G.R., E.K.G., M.J.J., and J.W.S. Acquisition, analysis, or interpretation of data: J.G.R., E.K.G., J.E.J., E.S.T., and J.R.S. Drafting of the manuscript: J.G.R., E.K.G., M.J.J., and J.W.S. Administrative, technical, or material support: J.E.J., R.R.R., R.G., R.T.H., E.S.T., J.R.S., J.L.W., S.A.P., and N.E.K. All authors contributed to revising the manuscript, and all authors approved the final version of the manuscript.

Conflict of interest

The authors declare no conflict of interest.

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Data Availability

Datasets generated during this study may be available from corresponding authors on reasonable request: Joyner.Michael@mayo.edu and Senefeld.Jonathon@mayo.edu. Requestors may be required to sign a data use agreement. Data sharing must be compliant with all applicable Mayo Clinic policies and those of the Mayo Clinic Institutional Review Board.

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Table 1. Characteristics of 31 immunocompromised patients with protracted COVID-19 who received vax-plasma transfusion.

Characteristics (N = 31)	Data
Age, median (range), years	63 (16-84)
Females/males, n	11 / 20
Hematological malignancies, n	21 [†]
Chronic lymphocytic leukemia	5 (24)
Mantle cell lymphoma	5 (24)
Multiple myeloma	4 (19)
Acute myeloid leukemia	2 (10)
Diffuse large B-cell lymphoma	2 (10)
MALT lymphoma	1 (5)
Acute lymphoblastic leukemia	1 (5)
Follicular lymphoma	1 (5)
Other immunosuppressive conditions, n	12 [†]
Rheumatoid arthritis	4 (33)
Systemic lupus erythematosus	2 (17)
Solid organ transplant*	3 (25)
Multiple sclerosis	1 (8)
Common variable immune deficiency	1 (8)
Brain tumor (DL-GNT)	1 (8)
Active immunosuppressive treatment[‡], n (%)	
Anti-CD20 therapy	16 (52)
Bruton tyrosine kinase inhibitors	5 (16)
CAR T-cell therapy	3 (10)
COVID-19 severity (WHO score[§])	
3	2 (6)
4	14 (45)
5 to 6	12 (39)
7	3 (10)
Previous COVID-19-specific treatments, n (%)	
Remdesivir	30 (97)
Steroids	26 (84)
Neutralizing anti-spike monoclonal antibodies	7 (23)
Vaccinated against SARS-CoV-2, n (%)	21 (68)
Hospital admission, n (%)	
Inpatient	29 (94)

ICU	12 (39)
Overall survival, n (%)	26 (84)

Unless otherwise noted, data are n (%).

Abbreviations: CAR, chimeric antigen receptor; COVID-19, coronavirus disease 2019; DL-GNT, diffuse leptomeningeal disseminated glioneuronal tumor; ICU, intensive care unit; MALT, mucosa-associated lymphoid tissue; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

*Solid organ transplants included heart (1 patient), both liver and kidney transplant (1 patient), and kidney transplant (1 patient)

†Note that hematological malignancies and other immunosuppressive conditions are not mutually exclusive. Two patients had multiple malignant diagnoses— common variable immune deficiency and MALT lymphoma (1 patient) and lupus and multiple kidney transplants (1 patient).

‡Active immunosuppressive treatment included immunosuppressive treatment received any time during the 6 months before vax-plasma transfusion.

Active immunosuppressive treatment

§WHO Disease Severity Scale: 3, not hospitalized; 4, hospitalized, no supplemental oxygen; 5, hospitalized, non-high flow supplemental oxygen; 6, hospitalized, high flow supplemental oxygen; 7, hospitalized, intubated or extracorporeal membrane oxygenation; 8, deceased.

Table 2. Characteristics of 31 immunocompromised patients with protracted COVID-19 who received vax-plasma transfusion stratified by patient.

		Before vax-plasma					Vax-Plasma				Outcomes	
		Prev. Hosp.	Previous Therapies				WH O	IC U	Time to Tx, days	Transfusions, No.	Discharge, days	Survival
No.	Diagnosis		R	S	mAb	CCP19						
1	CLL	1	●	●			6	Yes	2	2	3	Yes
2	CLL	1	●	●		●	4	--	386	1	1	Yes
3	CLL	0	●		●		4	--	31	2	5	Yes
4	CLL	0	●				4	--	41	2	1	Yes
5	CLL	0	●	●	●		7	Yes	29	2	--	No
6	MCL	11	●	●		●	3	--	281	6	--	Yes
7	MCL	1	●	●		●	4	--	389	2	3	Yes
8	MCL	0	●				4	--	1	2	1	Yes
9	MCL	0	●	●			5	Yes	14	1	3	Yes
10	MCL	0	●	●			5	--	1	1	3	Yes
11	MM	1	●	●	●		5	Yes	13	3	16	Yes
12	MM	0	●	●			4	--	3	1	4	Yes
13	MM	0	●	●	●		4	--	25	1	20	Yes
14	MM	0					4	--	55	1	4	Yes

			● ●							
15	AML	2	● ●		5	--	32	2	13	Yes
16	AML	0	● ●		7	Yes	2	1	--	No
17	DLBCL	2	● ● ●		5	--	41	2	4	Yes
18	DLBCL	1	●		6	Yes	32	2	--	No
19	ALL	0	●		4	--	1	1	19	Yes
20	FL	1	● ● ●		4	--	161	2	1	Yes
21	RA	2	● ●		3	--	340	2	--	Yes
22	RA	1	● ● ●		5	--	31	3	4	Yes
23	RA	0	● ●		4	--	3	2	1	Yes
24	RA	1	● ●		5	Yes	65	1	--	No
25	Lupus	1	● ●		4	Yes	35	1	109	Yes
26	SOT	2	● ●		6	Yes	6	1	23	Yes
27	SOT	0	● ●		5	Yes	3	2	7	Yes
28	SOT, Lupus	0	● ●		7	Yes	19	2	--	No
29	MS	0	● ●		4	--	23	1	4	Yes
30	CVID, MALT	2	● ● ●		5	Yes	384	11	5	Yes
31	DL-GNT	0	●		4	--	2	1	3	Yes

Abbreviations: ALL, acute lymphoblastic leukemia; AML, acute myeloid leukemia; CCP19; coronavirus disease 2019 convalescent plasma; CLL, chronic lymphocytic leukemia; CVID, common variable immune deficiency; DL-GNT, diffuse leptomeningeal disseminated glioneuronal tumor; DLBCL, diffuse large B-cell lymphoma; FL, follicular lymphoma; mAb, neutralizing anti-spike monoclonal antibody; ICU, intensive care unit admission; MCL, mantle cell lymphoma; MM, multiple myeloma; No., number; Prev. Hosp., number of previous hospitalizations for COVID-19; R, remdesivir; RA, rheumatoid arthritis; S, steroid; SOT, solid organ transplant; Tx, treatment.

*WHO Disease Severity Scale: 3, not hospitalized; 4, hospitalized, no supplemental oxygen; 5, hospitalized, non-high flow supplemental oxygen; 6, hospitalized, high flow supplemental oxygen; 7, hospitalized, intubated or extracorporeal membrane oxygenation; 8, deceased.