

ARTICLE

Received 11 Sep 2013 | Accepted 16 Jan 2014 | Published 6 Feb 2014

DOI: 10.1038/ncomms4268

OPEN

# Nuclear magnetization in gallium arsenide quantum dots at zero magnetic field

G. Sallen<sup>1</sup>, S. Kunz<sup>1</sup>, T. Amand<sup>1</sup>, L. Bouet<sup>1</sup>, T. Kuroda<sup>2</sup>, T. Mano<sup>2</sup>, D. Paget<sup>3</sup>, O. Krebs<sup>4</sup>, X. Marie<sup>1</sup>, K. Sakoda<sup>2</sup> & B. Urbaszek<sup>1</sup>

Optical and electrical control of the nuclear spin system allows enhancing the sensitivity of NMR applications and spin-based information storage and processing. Dynamic nuclear polarization in semiconductors is commonly achieved in the presence of a stabilizing external magnetic field. Here we report efficient optical pumping of nuclear spins at zero magnetic field in strain-free GaAs quantum dots. The strong interaction of a single, optically injected electron spin with the nuclear spins acts as a stabilizing, effective magnetic field (Knight field) on the nuclei. We optically tune the Knight field amplitude and direction. In combination with a small transverse magnetic field, we are able to control the longitudinal and transverse components of the nuclear spin polarization in the absence of lattice strain—that is, in dots with strongly reduced static nuclear quadrupole effects, as reproduced by our model calculations.

<sup>1</sup>Université de Toulouse, INSA-CNRS-UPS, LPCNO, 135 Avenue Rangueil, 31077 Toulouse, France. <sup>2</sup>National Institute for Material Science, Namiki 1-1, Tsukuba 305-0044, Japan. <sup>3</sup>LPMC, Ecole Polytechnique, CNRS, 91128 Palaiseau, France. <sup>4</sup>CNRS Laboratoire de Photonique et de Nanostructures, Route de Nozay, 91460 Marcoussis, France. Correspondence and requests for materials should be addressed to B.U. (email: [urbaszek@insa-toulouse.fr](mailto:urbaszek@insa-toulouse.fr)).

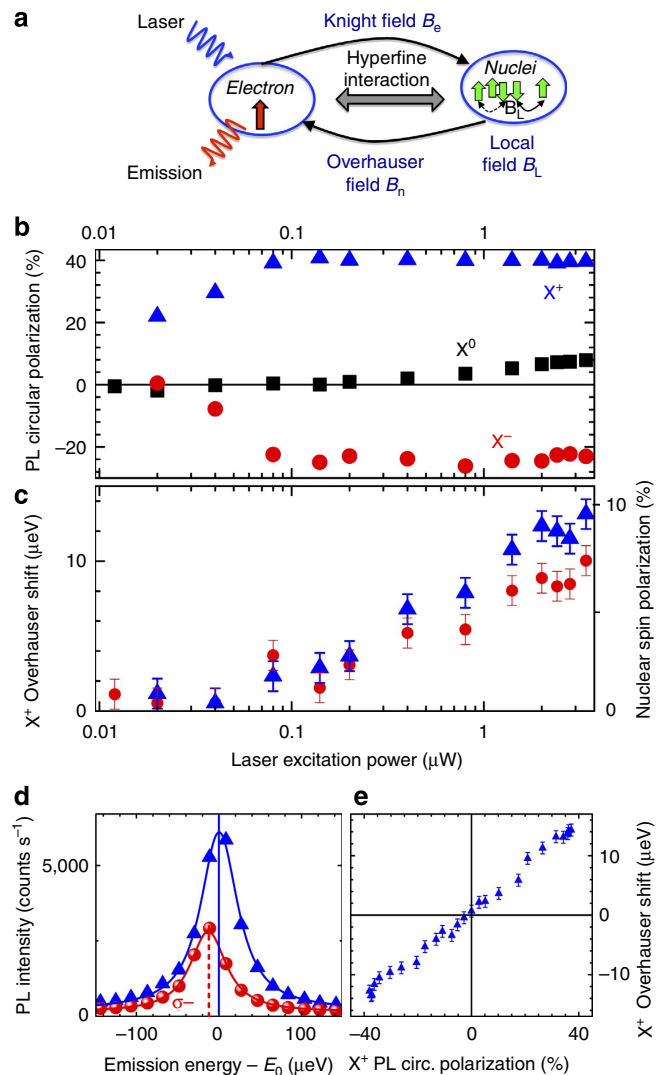
The investigation of dynamic nuclear polarization (DNP) effects in bulk semiconductors<sup>1,2</sup>, in quantum wells<sup>3,4</sup> and in quantum dots (QDs)<sup>5,6</sup> under circularly polarized light excitation has been a very active field of research for more than 30 years, both from a fundamental point of view and for potential applications in quantum computing and NMR imaging<sup>7,8</sup>. Electrical control of the nuclear spins allows to access complementary information<sup>9,10</sup>. These effects, predicted originally for metals<sup>11</sup>, manifest themselves by giant hyperfine fields of nuclear origin experienced by localized electrons<sup>12</sup> and can influence their spin polarization in the same way as an external magnetic field. In unstrained bulk III–V semiconductors, the magnitude<sup>13,14</sup> and the orientation<sup>15</sup> of the nuclear magnetization, and therefore of the large hyperfine nuclear field  $B_n$ , can be manipulated in a small external magnetic field, of the order of the local field  $B_L$  ( $\approx 0.15$  mT in bulk GaAs<sup>12</sup>), which characterizes nuclear spin–spin interactions, see Fig. 1a.

The interactions among nuclear spins of the atoms that form a semiconductor QD are, in addition, strongly influenced by the presence of strain in the widely studied InGaAs/GaAs and InP/GaInP dots<sup>16,17</sup>. Static nuclear quadrupole splittings correspond to effective internal magnetic fields  $B_q^{\text{stat}}$  of hundreds of mT. They are thought to be at the origin of intriguing nuclear spin physics, not observed in bulk structures, such as line dragging in absorption due to the non-collinear hyperfine interaction<sup>18,19</sup>. DNP at zero magnetic field<sup>20–24</sup>, the anomalous Hanle effect<sup>25,26</sup> and the effective blocking of nuclear spin diffusion, see ch. 11 of the book by Dyakonov<sup>27</sup>. Controlling nuclear spin polarization is also important for semiconductor-based quantum emitters, usually operating at zero applied magnetic fields, as the Overhauser field and its fluctuations will alter the polarization basis of the emitted photons in entanglement experiments<sup>28,29</sup>. Strain is an inherent feature of all the samples grown in the Stranski–Krastanov (SK) growth mode, and the influence of the strong strain and strong quantum confinement on the hyperfine interaction cannot be separated experimentally.

Here we report efficient optical initialization of a nuclear spin polarization of  $\sim 10\%$  at zero applied magnetic field in strain-free, isolated GaAs QDs (no wetting layer) grown by droplet epitaxy<sup>30</sup>. In transverse magnetic fields we are able to tilt the optically generated Overhauser field, in stark contrast to strained dots. This implies that in the absence of strain, static nuclear quadrupole effects are reduced by at least two orders of magnitude in GaAs/AlGaAs droplet dots, see Table 1 for comparison with strained dots and bulk GaAs. Effective nuclear spin polarization build-up is made possible by the very large Knight field  $B_e$  on the order of 15 mT, the effective field experienced by the nuclei in the presence of a spin-polarized electron<sup>31</sup>. Rotation and tilting of a strong Overhauser field is usually achieved in systems such as donor-bound electrons in GaAs-bulk for which a spin temperature can be established<sup>1,32</sup>. Our model calculations show that to tilt the Overhauser field away from the optical axis the establishment of a global spin temperature in the dot is not strictly necessary. We show that strong Knight field gradients between one nucleus and its nearest neighbour can prevent both the establishment of a temperature and of spin diffusion among the nuclear spins throughout the dot<sup>33,34</sup>. Our findings suggest that zero field DNP can occur in other spintronic devices with highly polarized electrons such as gate-defined QDs<sup>35–39</sup> and ferromagnet/semiconductor hybrid structures<sup>40,41</sup>.

## Results

**DNP at zero applied magnetic field.** One of the outstanding features of QDs is the possibility to achieve DNP in zero external magnetic fields<sup>20–24</sup>. In Maletinsky *et al.*<sup>42</sup>, DNP was first



**Figure 1 | Dynamic nuclear polarization at zero applied field.**

(a) Hyperfine interaction between a single electron and the nuclear spins of the quantum dot lattice. (b) Circular polarization degree of emission (error  $\pm 1\%$  included in symbol height) of neutral exciton  $X^0$  (squares) and charged excitons  $X^+$  (triangles) and  $X^-$  (circles) as a function of excitation laser power using  $\sigma^+$  polarization. (c) Energy difference between  $\sigma^+$  and  $\sigma^-$  polarized emission for  $X^+$  (triangles) and  $X^-$  (circles): Overhauser shift  $\propto$  average nuclear spin  $\langle I_z \rangle$ . Error bars correspond to spectral precision (d)  $X^+$  PL spectra  $\sigma^+$  (triangles) and  $\sigma^-$  (circles) polarized at max. laser power. (e) Overhauser shift as a function of the measured  $X^+$  PL polarization with varying laser excitation polarization at constant power of  $2 \mu\text{W}$ . Error bars correspond to spectral precision.

constructed via optical pumping in the presence of a well-defined electron spin in a charge-tunable InGaAs QD. Subsequently, the electron was removed from the dot and the nuclear spin polarization remained stable in the absence of any electron spin. In this case the Knight field is also absent and strong static nuclear quadrupole effects in strained dots with alloy disorder have been put forward as the main source for stabilizing the nuclear spin system<sup>22,27</sup>.

Here as shown in Fig. 1c–e, we provide the first experimental evidence for substantial DNP in zero external field, in dots with strongly reduced  $B_q^{\text{stat}}$ , see Table 1. All the results shown in the present work were taken in *unstrained* GaAs droplet dots with negligible alloy intermixing<sup>43</sup>. Our sample is subjected to charge

**Table 1 | Orders of magnitude of main nuclear spin interactions in III-V semiconductors.**

	Strained InGaAs and InP QDs*	Donor bound e <sup>-</sup> in bulk GaAs <sup>†</sup>	Unstrained GaAs droplet QDs <sup>‡</sup>
$B_{\text{q}}^{\text{stat}}$ (mT)	250	~0	≤1
$B_{\text{q}}^{\text{dep}}$ (mT)	≤0.3	0.3	≤0.3
$B_{\text{e}}^{\text{av}}$ (mT)	1	0.05	15
$B_{\text{L}}$ (mT)	0.15	0.15	0.15

QD, quantum dot.  
\* Taken from refs 1,16,20,42,45.  
† Taken from refs 1,15,45,46.  
‡ This work and  $B_{\text{L}}$  from ref. 1.

fluctuations<sup>30,44</sup>, which can give rise to small (typ.  $B_{\text{q}}^{\text{dep}}$  below 1 mT), fluctuating nuclear quadruple shifts<sup>45,46</sup>. During the 1-s photoluminescence (PL) integration time, we observe charged ( $X^+$  and  $X^-$ ) and neutral ( $X^0$ ) emission of a single QD. The  $X^+$  (two holes in a spin singlet, one electron) with a well-orientated electron spin can polarize the nuclei via the Fermi-contact hyperfine interaction, as the electron partially preserves its spin polarization during capture<sup>6</sup>. Note that the PL polarization of the  $X^-$  (two electrons in a spin singlet, one hole) is negative in Fig. 1b—that is, cross-polarized with respect to the excitation laser<sup>47–50</sup>. The resident conduction electron left behind after  $X^-$  recombination has therefore the same orientation as the conduction electron that exists during the radiative lifetime of the  $X^+$ . The DNP resulting from this single electron (that will eventually tunnel out of the dot) and the DNP built up during the  $X^+$  radiative lifetime have the same direction, note also the very similar Overhauser shifts measured for  $X^+$  and  $X^-$  in Fig. 1c. The contributions of the  $X^+$  and  $X^-$  excitons to the nuclear polarization are thus additive. We can neglect the small contribution of the hole spin hyperfine interaction in a first approximation<sup>51–53</sup>. The neutral  $X^0$  will feel the nuclear field created by the charged excitons<sup>23</sup>. In the remainder of the paper we concentrate on the  $X^+$  exciton and hence focus on the interaction of the unpaired electron with the nuclei during the radiative lifetime, the mean electron spin is related to the  $X^+$  PL circular polarization degree  $\rho$  simply as  $\langle S_z \rangle = -\rho/2$  (see Methods).

In the absence of any internal or applied magnetic field, the  $X^+$  transition shows a single line. As we increase the laser excitation power, a clear splitting between the circularly  $\sigma^+$  and  $\sigma^-$  polarized components emerges (Overhauser shift), as seen in Fig. 1c,d. We measure an Overhauser shift of up to 16  $\mu\text{eV}$  (see Fig. 1c–e), which corresponds to a nuclear polarization of 12%, taking into account that the Overhauser shift for 100% nuclear polarization in GaAs is 135  $\mu\text{eV}$ <sup>5</sup>. In Fig. 1e, we effectively tune the injected electron spin polarization via the excitation laser polarization  $P_{\text{c}}^{\text{L}}$  as  $\langle S_z \rangle^0 \propto -\frac{P_{\text{c}}^{\text{L}}}{2}$  and we observe zero field DNP for an electron spin polarization as low as 10%. This average spin is low compared with the electron spin injected electrically in hybrid ferromagnet/GaAs devices<sup>40,41</sup>. In these systems, zero field DNP has not been clearly identified, in part due to permanent stray magnetic fields in the device<sup>54</sup>.

**Knight field tuning.** In the absence of strain (that is,  $B_{\text{q}}^{\text{stat}}$  reduced by several orders of magnitude), DNP at zero magnetic field could be enabled by a strong, inhomogeneous Knight field, which acts as a spin diffusion barrier<sup>33,34</sup>. The time averaged Knight field of an electron in a state  $\psi(\vec{r}_j)$  acting on one specific nucleus  $j$  given by<sup>27</sup>:

$$\mathbf{B}_{e,j} \equiv \Gamma_t \frac{v_0 A^j}{g_N \mu_N} |\psi(\vec{r}_j)|^2 \langle \hat{\mathbf{S}} \rangle \equiv \Gamma_t b_e^j(\vec{r}_j) \langle \hat{\mathbf{S}} \rangle \quad (1)$$

where  $v_0$  is the two-atom unit cell volume,  $\vec{r}_j$  is the position of nucleus  $j$ ,  $A^j$  is the hyperfine interaction constant  $\simeq 50 \mu\text{eV}$  on average for Ga and As.  $g_N$  and  $\mu_N$  are the nuclear g-factor and magneton, respectively. The mean electron spin  $\langle \hat{\mathbf{S}} \rangle$  averaged over the  $X^+$  lifetime is expressed in units of  $\hbar$ :  $0 \leq \Gamma_t \leq 1$  represents the fraction of time during which the dot is occupied by an electron; therefore,  $\Gamma_t$  increases with laser power until saturation underlining that the Knight field is zero in the absence of electrons. The time and spatially averaged Knight field determined in single dot spectroscopy can be written as:

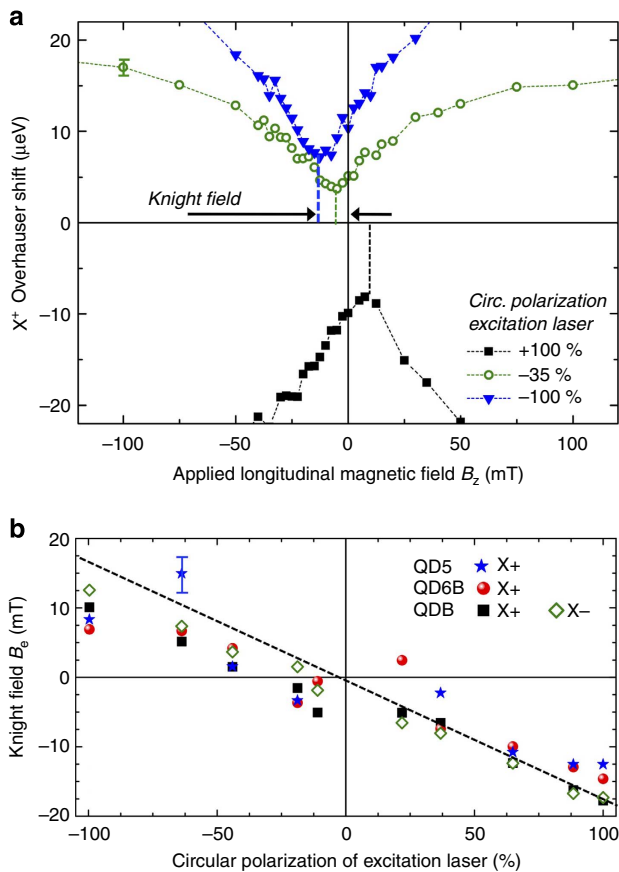
$$\langle B_e(\mathbf{r}) \rangle_{\text{QD}} = \Gamma_f \Gamma_t b_e^j(0) \langle \hat{\mathbf{S}} \rangle \quad (2)$$

where  $b_e^j(0) \langle S \rangle$  is the Knight field in the centre of the dot where the electron probability density is at a maximum. The form factor for a dot with harmonic confinement is  $\Gamma_f = \frac{1}{2^{3/2}} \simeq 0.35$ . For the case of electrons localized near donors—that is, a Coulomb potential—the form factor is about three times smaller as  $\Gamma_f \simeq \frac{1}{2^3} \simeq 0.125$ . This is one of the reasons why the Knight fields reported here in dots are stronger than those reported in bulk GaAs for electrons localized on donors<sup>12,15,46</sup> and are likely to be larger than the local field  $B_{\text{L}}$ , thus stabilizing the nuclear spins, see Supplementary Methods. This would imply that it is possible to compensate the Knight field by a longitudinal magnetic field  $B_z$  in the mT range<sup>12,20</sup>, such that when  $\langle B_e \rangle_{\text{QD}} = -B_z$  the nuclei experience a zero total field and that nuclear depolarization due to the local field  $B_{\text{L}}$  becomes possible. One expects the global minimum of the measured DNP as a function of  $B_z$  to appear at this point and not at zero field.

This is indeed what we find in the experiments, see Fig. 2a. When exciting the dot with a  $\sigma^-$  polarized laser, the Overhauser shift passes through a minimum at  $B_z \approx -10$  mT. When changing the helicity of the light polarization, the minimum in absolute value is observed at  $B_z \approx +10$  mT, with intermediate values for elliptical polarization (circles in Fig. 2a). Electron spin relaxation in these small longitudinal applied fields is negligible. The Knight field depends on the average electron spin  $\langle \hat{\mathbf{S}} \rangle$ , see equations 1 and 2. The amplitude and direction of the mean electron spin in the  $X^+$  are controlled by the polarization of the excitation laser. This allows for Knight field tuning<sup>55</sup>. The dependence of the applied field  $B_z$  at which the minimum Overhauser shift is observed as a function of injected mean electron spin (that is, laser polarization) is roughly linear in Fig. 2b. This is a strong indication that the Knight field compensation indeed determines the global minimum of the DNP as a function of  $B_z$ . With respect to the work on zero field DNP in strained InGaAs dots by Lai *et al.*<sup>20</sup>, the Knight field amplitudes reported here of up to  $\langle B_e \rangle_{\text{QD}}^{\text{max}} = 18$  mT are one order of magnitude higher. The initial explanation of Lai *et al.*<sup>20</sup> was based on the Knight field stabilization of zero field DNP; this interpretation has subsequently evolved as static nuclear quadrupole effects seem to be dominant in strained dots<sup>6,42</sup>.

**Knight field inhomogeneity.** The measured nuclear spin polarization—that is, the Overhauser shift—does not drop abruptly to zero in Fig. 2a. The Knight field experienced by each individual nucleus will depend on its position in the QD as the electron wavefunction density decreases away from the dot centre. Owing to this spatial inhomogeneity of the Knight field, the exact compensation by the external field occurs only for a fraction of the total number of nuclei in the dot. The other nuclei can still be dynamically polarized and therefore contribute to the remaining Overhauser shift.

An immediate consequence of the large spatial inhomogeneities of the Knight field is that, contrary to the general case of



**Figure 2 | Knight field determination and tuning.** (a)  $X^+$  Overhauser shift as a function of the applied field  $B_z$ . Circles (squares) for  $\sigma^-$  ( $\sigma^+$ ) laser polarization, triangles for elliptically polarized laser excitation. The magnetic field  $B_z$ , for which this dip occurs, is a measure of the Knight field amplitude. Error bars are given by spectral precision. (b) Knight field amplitudes extracted by fitting Gaussians to data in **a** for three typical dots ( $X^+$  transitions for QDs five and 6B,  $X^+$  (solid squares) and  $X^-$  (hollow diamond) transitions for QD B), demonstrating Knight field tuning. The data show a roughly linear dependence as  $B_e \propto \langle S \rangle \propto (-P_c^2/2)$ , typical error bars due to precision of fitting procedure are indicated.

electrons localized on donors in bulk GaAs<sup>12</sup>, the concept of a nuclear spin temperature<sup>32</sup> among the whole nuclear spin system is not applicable, as detailed in the Supplementary Methods. On the basis of our measurements (see Fig. 2), we estimate that the Knight field gradient between two lattice sites of the same nuclear species in a typical dot in our sample is about one order of magnitude larger than  $B_L$  (except close to the dot centre and the edges where  $\vec{\nabla}_r |\psi(r)|^2$  vanishes). As a result, the nuclear spin diffusion from the dot centre to the outer layers is expected to be suppressed and no global spin temperature can be established in the dot. We therefore assume that only nuclei located on ellipsoids with equal electron wavefunction density can thermalize among themselves, as indicated in Fig. 3g, so that no nuclear spin coherence appears (in the cw regime). As an important consequence, the average nuclear spin polarization in a given shell will be collinear with the total field experienced by the nuclei, as shown in the experiments in the next section, see Fig. 3h. It is important to underline that despite the apparent Knight field inhomogeneity, the Knight field  $B_e$  stabilization of the nuclear spin system at zero field is very efficient and nuclear spin polarization  $>10\%$  can be achieved, equivalent to Overhauser fields  $B_n$  of several hundred mT.

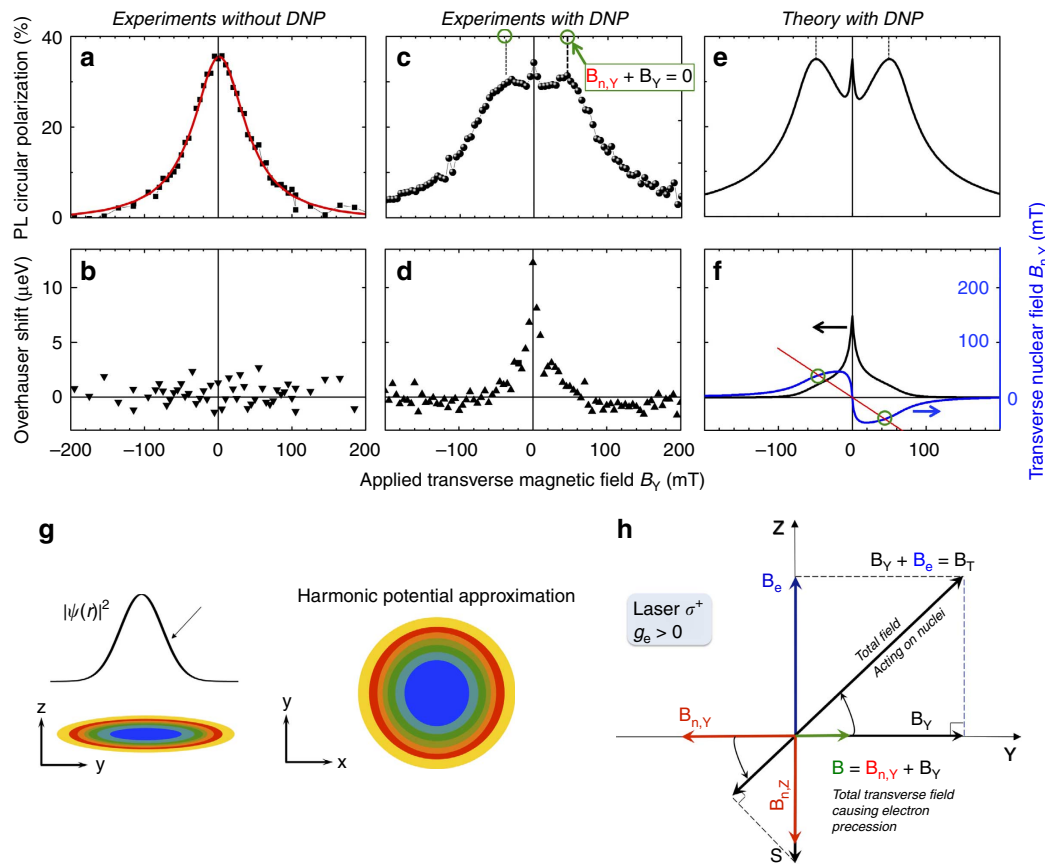
**Electron depolarization in transverse magnetic fields.** In strained InGaAs dots in the presence of DNP, measurements of the electron depolarization in a transverse magnetic field (Voigt geometry) have led to the discovery of the anomalous Hanle effect<sup>25</sup>. In the presence of  $B_q^{\text{stat}}$  of several hundred mT, the average nuclear polarization was *not* collinear with the strong applied field. Our aim is to verify whether the anomalous Hanle effect is also observed in strain-free dots or whether we can simply tilt the substantial Overhauser field generated at zero applied field in transverse fields in the mT range<sup>12</sup>.

For Hanle measurements, two situations have to be distinguished: with and without optical carrier spin pumping. In the absence of strong carrier spin pumping, and hence nuclear spin pumping, the only field acting on the spin is the applied magnetic field  $B_Y$  and a Lorentzian-shaped electron depolarization curve is observed<sup>1,47</sup> as in Fig. 3a in the *absence* of DNP. This is achieved by lowering the laser power to such an extent that the Overhauser shift completely disappears (Fig. 3b). In Fig. 3a, we plot the circular polarization degree of the  $X^+$  PL as a function of the applied transverse magnetic field  $B_Y$ . Having determined the  $g_{e,\perp}$  factor beforehand<sup>56</sup>, fitting the Hanle curve with a Lorentzian allows to extract the electron spin lifetime  $\tau_s^*$  as  $\Delta B = \hbar / (g_{e,\perp} |\mu_B| \tau_s^*) = 43$  mT. The obtained value of  $\tau_s^* = 350$  ps corresponds roughly to the radiative lifetime of the  $X^+$  in these structures<sup>28</sup>, which means that the electron spin is stable during the radiative lifetime.

In Fig. 3c, we repeated the same measurements but with a higher laser excitation power—that is, more efficient carrier and nuclear spin pumping. This results in a strong Knight field (as we have increased the filling factor  $\Gamma_f$  in equation 1) and strong DNP as confirmed by a substantial Overhauser shift (Fig. 3d). Several striking changes as compared with the standard Hanle effect without DNP emerge for the dots investigated: first, the Hanle curve is broadened. An increase in  $\Delta B$  is expected if  $\tau_s^*$  decreases. This is plausible as the higher laser excitation power makes trapping of additional charges more likely; therefore, the effective time the  $X^+$  complex exists might be shortened as biexcitons are formed. Second, around zero field we observe a pronounced W-shape as can be verified in Fig. 3c.

**Tilting the nuclear magnetization.** These substantial changes of the electron polarization in applied transverse magnetic fields of only a few mT are surprising. We have developed a model (see Methods for a brief and the Supplementary Methods for a detailed description) based on the intricate interplay between the applied transverse field  $B_Y$ , the Knight field  $B_e$  and the Overhauser field  $B_n$  that qualitatively reproduces the main features of the measured electron depolarization curve, compare Fig. 3c,e.

In the simulations, we use (i) the Knight field amplitude determined in the longitudinal magnetic field measurements in Fig. 2 and (ii)  $\Delta B$  that we determine in the high transverse field part of the Hanle curve where nuclear effects are negligible. We are able to reproduce qualitatively the measured nuclear spin polarization (Fig. 3f) and electron polarization (Fig. 3e), namely the characteristic W-shape for  $|B_Y| \leq 20$  mT. At low  $B_Y$ , the total field  $B_T$  acting on the nuclei is determined by  $B_Y$  and  $B_e$ . This introduces a tilt in the strong nuclear field  $B_n$  and hence a strong transverse field component  $B_{n,Y}$  that points in the opposite direction of  $B_Y$ , as indicated in Fig. 3h. The opposite sign of the transverse nuclear field  $B_{n,Y}$  with respect to the applied field  $B_Y$  can be directly verified in Fig. 3f. This configuration is responsible for the sudden drop in the electron polarization. As  $B_Y$  is increased and eventually fully compensates  $B_{n,Y}$ , the electron experiences a total field that is zero. In the experiments, we observe at this field a local maximum, as indicated in Fig. 3c.



**Figure 3 | Hanle measurements under optical pumping conditions.** (a) Circular polarization degree of the PL emission as a function of the applied transverse magnetic field. Measurement (black circles) at low laser power (13 nW). Red line: Lorentzian fit. (b) Overhauser shift  $\approx 0$ —that is, there is no measurable DNP. (c) Same measurement as a but using higher laser excitation power (1.2  $\mu$ W). (d) Strong Overhauser shift under high excitation power. (e) Calculated Hanle depolarization curve using equation 8—see Methods; (f) calculated longitudinal Overhauser field (black line) using equation 7 and transverse nuclear field (blue line). The compensation points where  $B_{n,y} + B_y = 0$  are marked by green circles. Red line:  $-B_y$ . (g) Spin temperature within ellipsoids of equal electron probability density in the dot. (h) Effective and applied magnetic fields acting on electron and nuclear spin systems for one iso-Knight shell—see Methods.

The applied field value  $B_y$ , for which this maximum occurs, serves as an experimental determination of the transverse Overhauser field in the range of 50 mT as  $B_{n,y} = -B_y$ , as reproduced by our model that allows to determine the compensation points in Fig. 3f. The good overall agreement between the model and the experiments indicates that we included the key interactions in our model: Knight field  $B_e$ , Overhauser field  $B_n$  and applied field  $B_y$  and we can infer that  $B_q^{stat} \leq 1$  mT has negligible influence. We can clearly see that the total nuclear field is collinear with the field experienced by the nuclei, in strong contrast to the findings in strained dots<sup>22,25,26</sup>. This allows us to finely tune the orientation (tilt) of the Overhauser field in the  $z$ - $y$  plane by varying the laser polarization and  $B_y$ .

At the compensation point  $B_{n,y} = -B_y$ , the experimentally observed polarization is lower than the calculated one as we have neglected the nuclear field fluctuations  $\delta B_n$  in our theoretical analysis. When the electron is not protected by a strong external and/or internal magnetic field, it will precess around  $\delta B_n$ , resulting in a decrease in the measured electron spin polarization<sup>6,57,58</sup>. As  $B_y$  is further increased, we enter the regime of the standard Hanle effect as the electron precesses around a strong applied magnetic field in the absence of any substantial DNP. Comparison between data and theory allows us to extract the sign of the transverse electron  $g$ -factor, which is positive. In the opposite case, ( $g_{e,\perp} < 0$ ) compensation between  $B_{n,y}$  and  $B_y$  is impossible as they would have the same sign, so that the striking W-shape would not appear.

**Discussion**

The combination of zero field DNP and the application of small transverse magnetic fields allow control of the longitudinal and transverse components of the magnetization of the mesoscopic nuclear spin ensemble in isolated (no wetting layer), strain-free GaAs dots. All deviations from the standard Hanle curve reported here occur for applied transverse fields in the tens of mT range. On one hand this indicates that both the Knight and the Overhauser fields are 1–2 orders of magnitude stronger than in the case of electrons localized at donors in bulk GaAs<sup>12</sup>. On the other hand, the effects reported here occur for external magnetic fields one order of magnitude smaller compared with the very anomalous Hanle effect reported for strained InGaAs dots<sup>25,26</sup>. Our measurements confirm that strain and the associated static nuclear quadrupole effects are at the origin of the intriguing observations in InGaAs dots.

The coupled electron to nuclear spin system shows highly nonlinear dynamics<sup>6</sup> and should be investigated in the future under (quasi-)resonant pumping conditions in order to achieve an electron spin polarization  $> 50\%$ . This would allow to verify the exciting predictions of phase transitions of the mesoscopic nuclear spin ensemble<sup>59</sup>, which might be enhanced due to the negligible static nuclear quadrupole effects in the strain-free GaAs droplet dots investigated here. Another extension of this work is the investigation of spin diffusion between two GaAs droplet dots through the AlGaAs barrier<sup>60</sup>, in the presence<sup>61</sup> or absence of a

wetting layer<sup>30</sup>, a comparison that cannot be made in the SK dot systems. Zero field DNP reported here is also expected to occur during all-electrical manipulations of nuclear and electron spin polarization<sup>40,41</sup>, although it has not been reported yet.

## Methods

**Samples and experiments.** The sample was grown by droplet epitaxy using a molecular beam epitaxy system<sup>30,44</sup> on a GaAs(111)A substrate. The dots are grown on 100-nm thick Al<sub>0.3</sub>Ga<sub>0.7</sub>As barriers and are covered by 50 nm of the same material. In this model system, dots (typical height  $\approx 2$ –3 nm, radius  $\approx 15$  nm) are truly isolated as they are not connected by a two-dimensional quantum well (wetting layer)<sup>30</sup>, contrary to SK dots and dots formed at quantum well interface fluctuations<sup>5,47</sup>. Single dot PL at 4 K is recorded with a home-built confocal microscope with a detection spot diameter of  $\approx 1$   $\mu$ m. The detected PL signal is dispersed by a spectrometer and detected by a Si-CCD camera. Optical excitation is achieved by pumping the AlGaAs barrier with a HeNe laser at 1.96 eV. Laser polarization control and PL polarization analysis are performed with Glan–Taylor polarizers and liquid crystal waveplates. The PL circular polarization degree is defined as  $\rho = \frac{I_{\sigma^+} - I_{\sigma^-}}{I_{\sigma^+} + I_{\sigma^-}}$ , where  $I_{\sigma^+}$  ( $I_{\sigma^-}$ ) is the  $\sigma^+$  ( $\sigma^-$ ) polarized PL intensity. As we excite heavy and light hole transitions in the AlGaAs barrier, 100% laser polarization results in a maximum PL polarization  $\rho_{\max} = 50\%$  for the  $X^+$ , corresponding to a maximum initial electron spin polarization of 50%. In our experiment, we find typically  $\rho \approx 40\%$  for most dots at zero applied magnetic field. The PL emission energy is determined with a precision of 1  $\mu$ eV from Gaussian fits to the spectra (signal to noise ratio  $10^4$ , full-width at half-maximum  $\approx 40$   $\mu$ eV limited by spectrometer resolution). The spectral precision is calibrated in experiments with a Fabry–Perot interferometer with the resolution of 0.2  $\mu$ eV<sup>23</sup>. Magnetic fields up to 9 T can be applied both parallel (Faraday geometry) and perpendicular (Voigt geometry) to the growth axis [111] that is also the angular momentum quantization axis and the light propagation axis.

**Model for experiments in transverse magnetic fields.** See Supplementary Methods for a more detailed description. We assume for simplicity, harmonic carrier confinement in the three spatial directions. We include in our model the possibility of a small tilt angle  $\theta \leq 3^\circ$  in the sample holder used for transverse magnetic fields (Voigt geometry). We define the following bases  $\mathcal{B} = \{\mathbf{x}, \mathbf{y}, \mathbf{z}\}$ , where  $\mathbf{z}$  is normal to the sample surface and  $\mathbf{y}$  is in the plane  $(O, \mathcal{B}, \mathbf{z})$ ;  $\mathcal{B}' = \{\mathbf{x}', \mathbf{y}', \mathbf{z}'\}$ , where  $\mathbf{x}' = \mathbf{x}$  and  $\mathbf{y}' \parallel \mathcal{B}$  obtained by rotation of  $\mathcal{B}$  around axis  $Ox$  by an angle  $\theta = (\mathbf{y}, \mathcal{B})$ ;  $\mathcal{B} = \{\mathbf{X}, \mathbf{Y}, \mathbf{Z}\}$  where  $\mathbf{Y} = \mathbf{y}'$  and  $\mathbf{Z} \parallel \mathcal{S}$  obtained by rotation of  $\mathcal{B}'$  around axis  $Oy'$  by an angle  $\phi = (\mathbf{z}', \mathcal{B})$ . Here  $\mathcal{B}$  is the total field experienced by the electron of average spin  $\langle S \rangle$ . We neglect throughout our analysis the hyperfine coupling between nuclei and valence holes, which is about one order of magnitude weaker than that for electrons<sup>6</sup>. The initial, photogenerated electron spin can be written as:

$$[S_0]_{\mathcal{B}} = S_0 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad (3)$$

The rotation angle  $\phi$  given by the Bloch equations quantifies the precession of the electron in the total field  $B = B_Y + B_{n,Y}$  (see scheme in Fig. 3h) can be expressed as<sup>32</sup>:

$$\cos^2 \phi = \frac{\Delta B^2}{\Delta B^2 + B_Y^2} = \frac{\Delta B^2}{\Delta B^2 + (B_Y + B_{n,Y})^2} \quad (4)$$

where  $\Delta B = \hbar / (g_{e,\perp} |\mu_B| \tau_s^*)$  and  $1/\tau_s^* = 1/\tau_s + 1/\tau$ , where  $\tau$  is the lifetime of the trion  $X^+$ . The final results of the calculation are expressed in reduced magnetic field units  $\beta_{n,Y} = \frac{B_{n,Y}}{\Gamma_t b_e(0) S_0}$  and  $\beta_{n,Z} = \frac{B_{n,Z}}{\Gamma_t b_e(0) S_0}$  and the dimensionless Hanle width (without DNP), which is  $\Delta \beta = \frac{B_{n,Y}}{\Gamma_t b_e(0) S_0}$ . We can calculate the nuclear field components as:

$$\beta_{n,Y} = K \{ \sin \theta + \cos \theta \cos \phi \operatorname{Im}[G(\Xi)] \} \quad (5)$$

$$\beta_{n,Z} = K \cos \theta \cos \phi \{ 1 + \operatorname{Re}[G(\Xi)] \} \quad (6)$$

where

$$\Xi = \frac{\sin \theta + i \cos \theta \cos \phi}{\beta_Y}$$

$$\cos \phi = \frac{\Delta \beta}{\sqrt{\Delta \beta^2 + (\beta_Y + \beta_{n,Y})^2}}$$

$$G(\Xi) = \frac{1}{\Xi} \operatorname{Li}_{\frac{3}{2}}(-\Xi)$$

$$K = -2^{-\frac{5}{2}} \frac{\tilde{f}}{\Gamma_t g_{e,\perp} |\mu_B|} \frac{\tilde{g}_N \mu_N}{\mu_B} Q N_L$$

Here  $\operatorname{Li}_{\frac{3}{2}}$  is the polylogarithmic function,  $\tilde{g}_N$  is the average nuclear g-factor,  $N_L$  is the number of nuclei in the dot and  $Q = 5$  for a GaAs dot<sup>6</sup>.  $\tilde{f}$  is an average leakage

factor taking into account all relevant nuclear spin relaxation mechanisms. Equation 5 is an implicit equation, since the complex variable  $\Xi$  is a function of  $\beta_{n,Y}$ . The constant  $K$  represents the feedback coefficient of the nuclear magnetization of the QD on  $\beta_{n,Y}$  itself. We obtain the longitudinal Overhauser field using  $\beta_{n,Y}$  and  $\beta_{n,Z}$ :

$$B_{n,z} = \Gamma_t b_e(0) S_0 \beta_{n,z} = \Gamma_t b_e(0) S_0 (\beta_{n,Y} \sin \theta + \beta_{n,Z} \cos \theta \cos \phi) \quad (7)$$

which can be compared with the measurements, see Fig. 3d,f. Finally, we also obtain an expression for the circular polarization degree of the  $X^+$  emission:

$$\rho(B_Y) = -2S_z = P_0 (\cos^2 \theta \cos^2 \phi + \sin^2 \theta) \quad (8)$$

Equation 8 reproduces the measured polarization qualitatively, compare Fig. 3c,e, using the the experimentally determined parameters ( $B_e^{\max}$ )<sub>QD</sub> = 18 mT,  $g_{e,\perp} = 0.78$ ,  $\delta_n = 12$   $\mu$ eV and  $\Delta B = 80$  mT. The following parameters have been adjusted within plausible bounds to reproduce closely the PL experiments:  $N_L = 40,000$  (given by atomic force microscopy measurements),  $\tilde{f} = 0.22$ ,  $\theta = 0.5^\circ$ ; the value of  $\Gamma_t = 0.3$  is determined by  $(B_e^{\max})_{\text{QD}}$ .

## References

- Meier, F. & Zakharchenya, B. Optical orientation. *Modern Problems in Condensed Matter Sciences*, Vol. 8 (North Holland, 1984).
- King, J. P., Li, Y., Meriles, C. A. & Reimer, J. A. Optically rewritable patterns of nuclear magnetization in gallium arsenide. *Nat. Commun.* **3**, 918 (2012).
- Kalevich, V. K., Korenev, V. L. & Fedorova, O. M. Optical polarization of nuclei in GaAs/AlGaAs quantum-well structures. *JETP Lett.* **52**, 349–354 (1990).
- Salis, G. *et al.* Optical manipulation of nuclear spin by a two-dimensional electron gas. *Phys. Rev. Lett.* **86**, 2677–2680 (2001).
- Gammon, D. *et al.* Nuclear spectroscopy in single quantum dots: nanoscopic raman scattering and nuclear magnetic resonance. *Science* **277**, 85–88 (1997).
- Urbaszek, B. *et al.* Nuclear spin physics in quantum dots: an optical investigation. *Rev. Mod. Phys.* **85**, 79–133 (2013).
- Reimer, J. A. Nuclear hyperpolarization in solids and the prospects for nuclear spintronics. *Solid State Nucl. Magn. Reson.* **37**, 3–12 (2010).
- Kaur, G. & Denninger, G. Dynamic nuclear polarization in III-V semiconductors. *Appl. Magn. Reson.* **39**, 185–204 (2010).
- Machida, T., Yamazaki, T. & Komiyama, S. Local control of dynamic nuclear polarization in quantum hall devices. *Appl. Phys. Lett.* **80**, 4178–4180 (2002).
- Chen, Y. S. *et al.* Manipulation of nuclear spin dynamics in *n*-GaAs using an on-chip microcoil. *J. Appl. Phys.* **109**, 016106 (2011).
- Overhauser, A. W. Polarization of nuclei in metals. *Phys. Rev.* **92**, 411–415 (1953).
- Paget, D., Lampel, G., Sapoval, B. & Safarov, V. I. Low field electron-nuclear spin coupling in gallium arsenide under optical pumping conditions. *Phys. Rev. B* **15**, 5780–5796 (1977).
- Berkovits, V. L., Hermann, C., Lampel, G., Nakamura, A. & Safarov, V. I. Giant overhauser shift of conduction-electron spin resonance due to optical polarization of nuclei in semiconductors. *Phys. Rev. B* **18**, 1767–1779 (1978).
- Dyakonov, M. I., Perel, V. I., Berkovits, V. L. & Safarov, V. I. Optical effects due to polarization of nuclei in semiconductors. *Sov. Phys. JETP* **40**, 950–955 (1975).
- Giri, R. *et al.* Giant photoinduced faraday rotation due to the spin-polarized electron gas in an *n*-GaAs microcavity. *Phys. Rev. B* **85**, 195313 (2012).
- Chekhovich, E. A. *et al.* Structural analysis of strained quantum dots using nuclear magnetic resonance. *Nat. Nanotech.* **7**, 646–650 (2012).
- Bulutay, C. Quadrupolar spectra of nuclear spins in strained InGaAs quantum dots. *Phys. Rev. B* **85**, 115313 (2012).
- Latta, C. *et al.* Confluence of resonant laser excitation and bidirectional quantum-dot nuclear-spin polarization. *Nat. Phys.* **5**, 758–763 (2009).
- Högele, A. *et al.* Dynamic nuclear spin polarization in the resonant laser excitation of an InGaAs quantum dot. *Phys. Rev. Lett.* **108**, 197403 (2012).
- Lai, C. W., Maletinsky, P., Badolato, A. & Imamoglu, A. Knight-field-enabled nuclear spin polarization in single quantum dots. *Phys. Rev. Lett.* **96**, 167403 (2006).
- Moskalenko, E. S., Larsson, L. A. & Holtz, P. O. Spin polarization of the neutral exciton in a single inas quantum dot at zero magnetic field. *Phys. Rev. B* **80**, 193413 (2009).
- Dzhioev, R. I. & Korenev, V. L. Stabilization of the electron-nuclear spin orientation in quantum dots by the nuclear quadrupole interaction. *Phys. Rev. Lett.* **99**, 037401 (2007).
- Belhadj, T. *et al.* Controlling the polarization eigenstate of a quantum dot exciton with light. *Phys. Rev. Lett.* **103**, 086601 (2009).
- Oulton, R. *et al.* Subsecond spin relaxation times in quantum dots at zero applied magnetic field due to a strong electron-nuclear interaction. *Phys. Rev. Lett.* **98**, 107401 (2007).
- Krebs, O. *et al.* Anomalous hanle effect due to optically created transverse overhauser field in single InAs/GaAs quantum dots. *Phys. Rev. Lett.* **104**, 056603 (2010).
- Nilsson, J. *et al.* Voltage control of electron-nuclear spin correlation time in a single quantum dot. *Phys. Rev. B* **88**, 085306 (2013).

27. Dyakonov, M. *Spin Physics in Semiconductor Nanostructures*, Vol. 157 (Springer Series in Solid-State Science, Springer, 2008).
28. Kuroda, T. *et al.* Symmetric quantum dots as efficient sources of highly entangled photons: violation of bell's inequality without spectral and temporal filtering. *Phys. Rev. B* **88**, 041306 (2013).
29. Stevenson, R. M. *et al.* Coherent entangled light generated by quantum dots in the presence of nuclear magnetic fields. Preprint at: <http://arxiv.org/abs/1103.2969> (2011).
30. Mano, T. *et al.* Self-assembly of symmetric GaAs quantum dots on (111)α substrates: suppression of fine-structure splitting. *Appl. Phys. Express* **3**, 065203 (2010).
31. Knight, W. D. Nuclear magnetic resonance shift in metals. *Phys. Rev.* **76**, 1259–1260 (1949).
32. Abragam, A. *Principles of Nuclear Magnetism* (Oxford University Press, 1961).
33. Lu, J. *et al.* Nuclear spin-lattice relaxation in *n*-type insulating and metallic GaAs single crystals. *Phys. Rev. B* **74**, 125208 (2006).
34. Ramanathan, C. Dynamic nuclear polarization and spin diffusion in nonconducting solids. *Appl. Magn. Reson.* **34**, 409–421 (2008).
35. Kobayashi, T., Hitachi, K., Sasaki, S. & Muraki, K. Observation of hysteretic transport due to dynamic nuclear spin polarization in a GaAs lateral double quantum dot. *Phys. Rev. Lett.* **107**, 216802 (2011).
36. Petersen, G. *et al.* Large nuclear spin polarization in gate-defined quantum dots using a single-domain nanomagnet. *Phys. Rev. Lett.* **110**, 177602 (2013).
37. Hanson, R., Kouwenhoven, L. P., Petta, J. R., Tarucha, S. & Vandersypen, L. M. K. Spins in few-electron quantum dots. *Rev. Mod. Phys.* **79**, 1217–1265 (2007).
38. Bluhm, H. *et al.* Dephasing time of GaAs electron-spin qubits coupled to a nuclear bath exceeding 200 micro s. *Nat. Phys.* **7**, 109–113 (2010).
39. Hermelin, S. *et al.* Electrons surfing on a sound wave: an experimental platform for quantum optics with flying electrons. *Nature* **447**, 435–438 (2011).
40. Shiojagi, J. *et al.* Dynamic nuclear spin polarization in an all-semiconductor spin injection device with (Ga,Mn)As/n-GaAs spin Esaki diode. *Appl. Phys. Lett.* **101**, 212402 (2012).
41. Salis, G., Fuhrer, A. & Alvarado, S. F. Signatures of dynamically polarized nuclear spins in all-electrical lateral spin transport devices. *Phys. Rev. B* **80**, 115332 (2009).
42. Maletinsky, P., Kroner, M. & Imamoglu, A. Breakdown of the nuclear-spin-temperature approach in quantum-dot demagnetization experiments. *Nat. Phys.* **5**, 407–411 (2009).
43. Keizer, J. G. *et al.* Atomic scale analysis of self assembled GaAs/AlGaAs quantum dots grown by droplet epitaxy. *Appl. Phys. Lett.* **96**, 062101 (2010).
44. Sallen, G. *et al.* Dark-bright mixing of interband transitions in symmetric semiconductor quantum dots. *Phys. Rev. Lett.* **107**, 166604 (2011).
45. Paget, D., Amand, T. & Korb, J.-P. Light-induced nuclear quadrupolar relaxation in semiconductors. *Phys. Rev. B* **77**, 245201 (2008).
46. Chen, Y. S., Reuter, D., Wieck, A. D. & Bacher, G. Dynamic nuclear spin resonance in *n*-GaAs. *Phys. Rev. Lett.* **107**, 167601 (2011).
47. Bracker, A. S. *et al.* Optical pumping of the electronic and nuclear spin of single charge-tunable quantum dots. *Phys. Rev. Lett.* **94**, 047402 (2005).
48. Laurent, S. *et al.* Negative circular polarization as a general property of *n*-doped self-assembled InAsGaAs quantum dots under nonresonant optical excitation. *Phys. Rev. B* **73**, 235302 (2006).
49. Cortez, S. *et al.* Optically driven spin memory in *n*-doped InAs/GaAs quantum dots. *Phys. Rev. Lett.* **89**, 207401 (2002).
50. Verbin, S. Y. *et al.* Dynamics of nuclear polarization in InGaAs quantum dots in a transverse magnetic field. *J. Exp. Theor. Phys.* **114**, 681–690 (2012).
51. Chekhovich, E. A. *et al.* Element-sensitive measurement of the hole nuclear spin interaction in quantum dots. *Nat. Phys.* **9**, 74–78 (2013).
52. Fischer, J., Coish, W. A., Bulaev, D. V. & Loss, D. Spin decoherence of a heavy hole coupled to nuclear spins in a quantum dot. *Phys. Rev. B* **78**, 155329 (2008).
53. Eble, B. *et al.* Hole nuclear spin interaction in quantum dots. *Phys. Rev. Lett.* **102**, 146601 (2009).
54. Van Dorpe, P., Van Roy, W., De Boeck, J. & Borghs, G. Nuclear spin orientation by electrical spin injection in an AlGaAs/GaAs spin-polarized light-emitting diode. *Phys. Rev. B* **72**, 035315 (2005).
55. Makhonin, M. N. *et al.* Optically tunable nuclear magnetic resonance in a single quantum dot. *Phys. Rev. B* **82**, 161309 (2010).
56. Durnev, M. V. *et al.* Magnetic field induced valence band mixing in [111] grown semiconductor quantum dots. *Phys. Rev. B* **87**, 085315 (2013).
57. Merkulov, I. A., Efros, A. L. & Rosen, M. Electron spin relaxation by nuclei in semiconductor quantum dots. *Phys. Rev. B* **65**, 205309 (2002).
58. Khaetskii, A. V., Loss, D. & Glazman, L. Electron spin decoherence in quantum dots due to interaction with nuclei. *Phys. Rev. Lett.* **88**, 186802 (2002).
59. Kessler, E. M. *et al.* Dissipative phase transition in a central spin system. *Phys. Rev. A* **86**, 012116 (2012).
60. Malinowski, A., Brand, M. A. & Harley, R. T. Nuclear effects in ultrafast quantum-well spin-dynamics. *Physica E* **10**, 13–16 (2001).
61. Belhadj, T. *et al.* Optically monitored nuclear spin dynamics in individual GaAs quantum dots grown by droplet epitaxy. *Phys. Rev. B* **78**, 205325 (2008).

### Acknowledgements

This work was partially funded by the ERC Starting Grant No.306719, ITN SpinOptronics and ANR QUAMOS.

### Author contributions

T.K., T.M. and K.S. developed and grew the samples. T.A., X.M. and B.U. conceived the experiments. G.S., S.K., L.B. and B.U. carried out the experiments. O.K. and G.S. analysed the data. T.A. and D.P. developed the model. B.U., and X.M. wrote the manuscript with input from all authors.

### Additional information

**Supplementary Information** accompanies this paper at <http://www.nature.com/naturecommunications>

**Competing financial interests:** The authors declare no competing financial interests.

**Reprints and permission** information is available online at <http://ngp.nature.com/reprintsandpermissions/>

**How to cite this article:** Sallen, G. *et al.* Nuclear magnetization in gallium arsenide quantum dots at zero magnetic field. *Nat. Commun.* 5:3268 doi: 10.1038/ncomms4268 (2014).



This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivs 3.0 Unported License. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-nd/3.0/>