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Erythrocyte sedimentation rate and anaemia are independent predictors of survival in patients with clear cell renal cell carcinoma

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Background: The 1997 international consensus conference on renal cell cancer (RCC) prognosis suggested erythrocyte sedimentation rate (ESR), alkaline phosphatase (ALP), and anaemia as prognostic biomarkers, but most studies reviewed were limited by small sample sizes.

Methods: The Cox proportional hazards model was used to evaluate whether ESR, ALP, haemoglobin (Hb), and haematocrit (Hct) could predict survival outcomes in 1307 patients with clear cell RCC (ccRCC) who underwent nephrectomy during 1994–2008.

Results: During a median follow-up of 43 months, we found that the patients with preoperative high levels of ESR, had a 2.10-fold (95% confidence interval (CI): 1.21–3.67) greater risk of dying from RCC compared with patients with low levels (normal range). Patients with preoperative anaemia, assessed by Hb and Hct, had a 3.11-fold (95% CI: 1.17–8.25) and 6.20-fold (95% CI: 2.30–16.72) greater risk of dying from other illnesses, respectively, compared with patients without anaemia. ALP levels were not associated with ccRCC patients' survival. These associations for ESR and anaemia were more pronounced in patients with body mass index (BMI) < 25 compared with patients with BMI $\ge 25 \text{ kg m}^{-2}$.

Conclusion: Preoperative high ESR, but not ALP, was a significant predictor for cancer-specific survival among ccRCC patients. Anaemia increases the risk of death from other illness.

The age-standardised kidney cancer incidence rates per 100 000 population have risen from 3.0 in 1999 to 5.1 in 2009 in Korea (Jung *et al*, 2012). During a similar time period, the 5-year relative survival rates have also increased from 62% during 1993–1995 to 77.1% during 2005–2009 (Jung *et al*, 2012). Of the renal cell carcinoma (RCC) subtypes, clear cell RCC (ccRCC) is the most common, representing 70% of all RCCs (Cheville *et al*, 2003), and is characterised by the loss of the short arm of chromosome 3p (Presti *et al*, 1991).

Several biomarkers for RCC patients were proposed to be associated with RCC prognosis in the 1997 international consensus conference, convened by the American Joint Committee on Cancer (AJCC) and the Union Internationale Contre le Cancer (UICC); such factors included erythrocyte sedimentation rate (ESR), anaemia (defined by haemoglobin; Hb), serum calcium, and alkaline phosphatase (ALP) (Srigley *et al*, 1997). Anaemia has been identified in 35–52% of RCC patients and is associated with more advanced disease and worse survival (Kim *et al*, 2003; Magera *et al*, 2008; Jensen *et al*, 2009). The ESR is a non-specific measure of inflammatory response (Brigden, 1999) and RCC patients with high-stage and high-grade tumour had high levels of ESR (Sengupta *et al*, 2006). A recent meta-analysis has shown that elevated ESR levels were associated with poor overall survival (OS), cancer-specific survival (CSS), and recurrence-free survival (RFS)

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(Wu *et al*, 2011). Some studies, but not all (Magera *et al*, 2008), have observed that elevated ALP levels, a possible sign of paraneoplastic syndrome of RCC patients, were associated with poor RCC survival (Lee *et al*, 2006; Kume *et al*, 2011).

Most studies published to date on RCC prognosis included small sample sizes or did not take into account distinct histological subtypes or stages of RCC. We therefore evaluated whether the preoperative high levels of ESR, ALP, or anaemia, which are routinely measured parameters, could predict worse survival outcomes of ccRCC patients treated with radical or partial nephrectomy in a clinical-based cohort study containing large numbers of patients and information about histological subtypes and stages of RCC.

MATERIALS AND METHODS

Study patients. This study has been described elsewhere (Choi *et al*, 2012). We included 1307 patients who underwent initial nephrectomy for ccRCC at the Samsung Medical Center, Seoul, Republic of Korea, between October 1994 and December 2008. Patients were excluded if they had missing information on the main exposures of interest, ESR (n = 81) or ALP variables (n = 1), leaving 1226 and 1306 patients, respectively. In all, 1307 patients were included for analyses of Hb and haematocrit (Hct).

The primary end points were OS, CSS, and other-cause survival (OCS), defined as the elapsed time between the date of surgery and either the date of death from any cause, from RCC, and from causes other than RCC, respectively, or 31 December 2008. This study was approved by an institutional review board at the Samsung Medical Centre.

Data collection. All patients received a clinical and pathological review. Data were manually abstracted from the electronic medical records using a standardised data collection form. At diagnosis, patients were asked about their smoking and alcohol habits, and whether they ever had RCC-related symptoms or weight loss compared with their usual weight. Height and weight were directly measured. All patients had a clinical abdominal computed tomography scan before surgery for the status of regional lymph nodes near the kidney and the presence of distant metastases. Tumour staging was confirmed by microscopic examination of surgically resected specimens of RCC. The size and location of tumours were measured at the time of macroscopic examination. The histological subtypes were classified as: clear cell, papillary, chromophobe, collecting duct RCC, and unclassified cell carcinoma (Kovacs et al, 1997). The tumour grade was based on the Fuhrman nuclear grading system (Fuhrman et al, 1982). The American Society of Anaesthesiologists (ASA) score was assessed by anaesthesiologists.

Cause of death. Death information of all patients was collected based on our institution's vital records data, which incorporated both in-hospital death data at our institution and data from the Korean National Statistical Office (KNSO). If the cases where the death occurred out of our institution, the patient's medical records were electronically linked to death certificates via unique 13-digit personal identification number in the KNSO, the system of which complete ascertainment of cause for deaths reached to 96.2% (Korean National Statistical Office, 2010). Causes of death were ascertained and verified by a medical record review by physicians, the patient's death certificate, or autopsy report, and coded according to the Korean Standard Classification of Causes of Death (Korean National Statistical Office, 1995), which was based on the International Classification of Disease for Oncology 10th edition (World Health Organization, 1992). Laboratory assays. Venous blood samples were collected as part of routine clinical procedures before surgery at our institution and laboratory parameters for this study were abstracted from medical records. The ESR was measured using the quantitative capillary photometry method (TEST-1 system, Alifax, Italy) with the interand intra-assay coefficient of variation (CV) for ESR was $\leq 10\%$. Haemoglobin was measured using the cyanide-free haemoglobin spectrophotometry method and Hct was measured using electric impedance (SYSEMX XE-2100, Kobe, Japan) with the inter- and intra-assay CVs of $\leq 3\%$ for Hb and Hct. ALP was measured using the International Federation of Clinical Chemistry method (Roche modular DP-210, Mannheim, Germany) with the inter- and intraassay CVs of $\leq 1.4\%$.

To define cutoff points for high levels (abnormal laboratory values) of ESR and ALP, the gender-specific cutoff values from *a priori* study were referred to: ESR—males >22 mm per hour, females >29 mm per hour (Magera *et al*, 2008); the cutoff point for ALP was >115Ul⁻¹ for both sexes (Motzer *et al*, 1999). The anaemia was defined using the following cutoff points: Hb—males <13.5 g dl⁻¹, females <12 g dl⁻¹; and Hct—males <41%, females <36% (Magera *et al*, 2008). We also analysed the data with reference values used at our institution as follows: ESR—males 0-22 mm per hour; females $42-98 \text{ Ul}^{-1}$; Hb—males $13.6-17.4 \text{ g dl}^{-1}$; females $11.2-14.8 \text{ g dl}^{-1}$; Hct—males 40.4-51.3%; females 31.8-43.8%.

Statistical analysis. Because ccRCC represents a distinct clinical entity in relation to pathogenesis and genetic alterations from other histological subtypes of RCC (Presti *et al*, 1991; Cheville *et al*, 2003), we restricted the analysis to only patients with ccRCC in the main analysis. The Kaplan–Meier model was used to estimate survival rates, and the Cox proportional hazard regression model was used to estimate multivariate-adjusted HRs and 95% confidence intervals (CIs).

We conducted three separate multivariate analyses. The first model was stratified by age at diagnosis (years) and sex. The second model was further adjusted for body mass index (BMI) (continuous), smoking (never or ever), weight loss (yes or no), tumour stage (pT1, pT2, or pT3-4), nephrectomy type (radical or partial), Fuhrman grade (G1-2 or G3-4), symptom presence (yes or no), tumour size (<5 or ≥ 5 cm), and ASA class (<2 or ≥ 2). The third model additionally simultaneously adjusted for the other all exposures of interest (ESR, ALP, and anaemia) in addition to the covariates listed above. Adjustment for alcohol did not appreciably change the results; therefore, we did not include this in the analyses. We used the false discovery rate (FDR) adjustment < 0.05 as the significance threshold in each of the tests to account for multiple comparisons (Benjamini and Hochberg, 1995). The study also evaluated whether tumour stage (pT-2 or pT3-4) or preoperative BMI (<25 or ≥ 25 kg m⁻²) (Steering Committee of the Western Pacific Region of the World Health Organization, the International Association for the Study of Obesity, the International Obesity Task Force, 2000), modified the associations. In the sensitivity analyses, patients who had metastases (n = 78) or those who died due to any cause within the first 2-year follow-up period after surgery (n = 79) were excluded to reduce the potential effects of reverse causality. All P-values reported are two sided, with P < 0.05 used to denote statistical significance. The analyses were performed using the STATA (version 11; Stata Corp., College Station, TX, USA).

RESULTS

Patients' characteristics prior to surgery. During a median follow-up of 43 months, 165 of 1307 patients (12.6%) died from

any cause, 135 patients (10.3%) died from RCC, and 30 patients (2.3%) died from causes unrelated to RCC. Based on the cutoff points for preoperative laboratory values, high levels of ESR and ALP were observed in 31.2% and 7% of patients, respectively. Approximately 25.7% and 28.9% of patients were classified as anaemic based on the levels of Hb and Hct, respectively. Patients who died from any cause during the follow-up period were more likely to be older, non-alcohol drinkers and had lower BMI, weight loss, higher ASA class (≥ 2), larger RCC (≥ 5 cm), radical nephrectomy, higher stage (pT3-4), higher Fuhrman grade (G3-4), and RCC symptom or metastases compared with those who were still alive during the study follow-up period (Table 1). Patients who died also had higher levels of ESR and ALP, but lower levels of Hb and Hct compared with those who were still alive.

Survival outcomes. Patients with high levels of ESR and ALP had a significantly lower 5-year CSS rates (95% CI) compared with patients with low levels: 73.9% (67.7–79.1) and 95.7% (93.6–97.2) for ESR and 65.0% (51.8–75.3) and 90.6% (88.3–92.4) for ALP values ($P_{log rank test} < 0.01$) (Figure 1). The patients who had anaemia before surgery, defined by either low Hb or Hct, also had significantly poorer 5-year CSS rates compared with those without anaemia: 74.5% (68.4–79.5) and 93.9% (91.7–95.6) for Hb and 78.7% (73.4–83.1) and 93.1% (90.7–94.9) for Hct ($P_{log rank test} < 0.01$). The corresponding 5-year OS rates were 71.5% (65.2–76.8) and 93.5% (91.1–95.2) for ESR values, 62.4% (49.4–73.0) and 88.1% (85.7–90.2) for ALP values, 70.5% (64.3–75.8) and 92.1% (89.7–93.9) for Hb, and 73.4% (67.8–78.1) and 92.1% (89.6–94.0) for Hct ($P_{log rank test} < 0.01$).

Multivariate analyses. Age- and sex-adjusted models showed poorer OS and CSS with high levels of ESR or ALP and poorer OS, CSS, and OCS with low levels of Hb or Hct, compared with high levels of each (Model 1). Further adjustment for clinical and pathological factors attenuated these associations (Model 2). With analyses considering all exposure variables simultaneously (Model 3), we found that patients with high ESR levels had poorer CSS compared with patients with low levels, with a HR of 2.10 (95% CI: 1.21-3.67) (Table 2). Anaemic patients before surgery had lower OS and OCS compared with non-anaemic patients. Comparing patients with anaemia to patients without, the HRs (95% CIs) were 2.01 (1.22-3.29) for OS and 3.11 (1.17-8.25) for OCS in relation to Hb levels; and 1.90 (1.18-3.05) for OS and 6.20 (2.30-16.72) for OCS in relation to Hct levels. However, we found no significant associations between ALP levels and survival outcomes. Multiple comparison correction by controlling the FDR, which provides a useful alternative to traditional multiple testing method, further corroborated that preoperative high ESR levels, but not ALP, were a significant predictor for CSS ($P_{FDR adjusted} < 0.01$). Anaemia was a significant predictor for OS ($P_{\text{FDR adjusted}} < 0.05$ for both Hb and Hct) as well as OCS ($P_{\text{FDR adjusted}} < 0.05$ for both Hb and Hct). In additional analyses, we analysed the data using our institution's cutoff values for further comparisons and observed similar associations as shown in Model 3 of Table 2. Compared patients with high ESR levels to patients with low ESR levels, HR was 2.15 (95% CI: 1.25-3.69). Compared patients with anaemia to patients without, HRs (95% CIs) were 1.80 (1.13-2.86) for OS and 3.38 (1.33-8.60) for OCS in relation to Hb levels; and 1.50 (0.93-2.40) for OS and 3.14 (1.23–7.98) for OCS in relation to Hct levels. In addition, we repeated the above main analyses by including the patients with all histological subtypes and found that the associations were slightly attenuated (data not shown).

When stratifying the analyses by stages (pT1-2 or pT3-4), a significant interaction was observed between ESR and CSS (*P* interaction = 0.027), with HRs (95% CI) of 2.30 (1.07–4.93) for patients with low stage and 1.12 (0.25–5.04) for patients with high stage (Table 3). A significant interaction was observed for ALP levels associated with OS (*P* interaction = 0.028), but the

association did not reach statistical significance. When stratifying the analyses by BMI (<25 or $\ge 25 \text{ kg m}^{-2}$), the associations between ESR levels, OS, and CSS varied by BMI (*P* interaction <0.05, Table 4): compared to patients with low ESR levels, those with high ESR levels had a 3.53 times (95% CI: 1.51–8.23) higher risk of death from RCC in the group with BMI of <25 kg m⁻². The associations between anaemia and CSS varied by BMI (*P* interaction <0.05). Although there was no interaction for OS, patients with anaemia (defined by levels of Hb and Hct) had a 2.22 times (95% CI: 1.12–4.40) and 2.19 times (95% CI: 1.09– 4.38) higher risk of death from RCC in the group with BMI of <25 kg m⁻² compared with patients without anaemia, respectively. The exclusion of patients with metastasis or those who died within the first 2 years of follow-up did not substantially alter the main findings (data now shown).

DISCUSSION

We found that high ESR levels and anaemia before surgery had adverse prognostic significance for RCC survival among Korean patients with ccRCC. The patients with preoperative high ESR levels had a 2.10-fold higher risk of dying from RCC compared to those with low ESR levels. The anaemic patients, defined by levels of Hb and Hct, had a 3.11-fold and 6.20-fold higher risk of dying from other illness compared with those without anaemia, respectively. We also found that the patients with preoperative high ALP levels had poorer survival outcomes compared to those low ALP levels, but there were no statistically significance. Additionally, restricting the analysis to patients with metastases did not change the results materially (data not shown). Poorer CSS with high ESR levels and poorer OS with anaemia were more pronounced among patients with BMI $< 25 \text{ kg m}^{-2}$.

The AJCC/UICC concluded that ALP, ESR, and anaemia could be used as prognostic markers in RCC patients (Srigley et al, 1997). However, the conclusion made by the experts was based on a narrative review of a limited number of studies, which included small sample sizes and did not consider important clinical and pathological characteristics. A recent meta-analysis of 14 studies has indicated that ESR could be a prognostic indicator for OS, CSS, and RFS, with the summary HRs (95% CIs) of 1.86 (1.34-2.59), 3.85 (3.31-4.48), and 3.88 (1.52-9.92), respectively (Wu et al, 2011). In this study, high ESR levels were a stronger prognostic factor for CSS compared with OS or OCS. In a simultaneous analysis of all three exposure variables (ESR, ALP, and Hb or Hct), only ESR was significantly associated with CSS, which suggests an important role of inflammation in RCC progression. Inflammatory cells have been suggested to promote carcinogenesis, possibly by increasing DNA damage, stimulating tumour cell proliferation, and promoting metastasis and angiogenesis (Coussens and Werb, 2002; Colotta et al, 2009).

ALP, an enzyme produced naturally by the body, may be a sensitive indicator of bone or liver metastasis, as ALP levels can reflect liver or bone dysfunction (Kaplan, 1972). High ALP levels were frequently observed in kidney patients and may be linked to paraneoplastic syndrome due to a tumour *per se*, or bone or liver metastases (Stolbach *et al*, 1969; Laski and Vugrin, 1987; Sufrin *et al*, 1989). Some studies have indicated that a high ALP level may be an adverse independent predictor of CSS in non-metastatic patients, of progression-free survival in overall patients (Lee *et al*, 2006), and of OS in patients with bone metastasis (Kume *et al*, 2011). The lack of associations between ALP and survival outcomes in the present analysis, however, did not support the hypothesis that ALP can be a useful prognostic marker for RCC, suggesting that ESR rather than ALP may be the more relevant factor in RCC prognosis.

| Table 1. Patients characteristics at initial diagnosis ^a | | | | | | |
|---|---|---|---|--------------------------------------|--|--|
| Variable | All patients | Patients alive | Patients died | P -value | | |
| N Age (years) | 1307 55 (46–63) | 1134 54 (45–62) | 60 (52–66) | < 0.001 | | |
| | I | 1 | 1 | | | |
| Sex (%) | 240 (20 2) | 224 (20 0) | (24.0) | 0.200 | | |
| Males | 938 (71.8) | 808 (71.3) | 130 (75.1) | 0.207 | | |
| BMI (kg m ^{-2}) | 24.6 (22.5–26.6) | 24.8 (22.8–26.7) | 23.1 (21.4–25.4) | <0.001 | | |
| Cigarette smoking (%) | | | | | | |
| Never Ever (former + current) | 913 (69.9) 394 (30.2) | 791 (69.8) 343 (30.3) | 122 (70.5) 51 (29.5) | 0.838 | | |
| Alcohol (%) | | | | | | |
| Never Ever (former + current) | 793 (60.7) 514 (39.3) | 675 (59.5) 459 (40.5) | 118 (68.2) 55 (31.8) | 0.029 | | |
| Weight loss (%) ^b | 1 | 1 | I | J | | |
| No Yes | 900 (86.5) 141 (13.5) | 814 (89.5) 96 (10.6) | 86 (65.7) 45 (34.4) | < 0.001 | | |
| ASA class (%) | | | | | | |
| <2 ≥2 | 572 (43.8) 735 (56.2) | 515 (45.4) 619 (54.6) | 57 (33.0) 116 (67.1) | 0.002 | | |
| Tumour location (%) | I | 1 | I | | | |
| Right Left Bilateral | 637 (48.7) 659 (50.4) 11 (0.8) | 565 (49.8) 560 (49.4) 9 (0.8) | 72 (41.6) 99 (57.2) 2 (1.2) | 0.126 | | |
| Tumour size, cm (%) | I | 1 | I | | | |
| <5 ≥5 | 773 (59.1) 534 (40.9) | 725 (63.9) 409 (36.1) | 48 (27.8) 125 (72.3) | < 0.001 | | |
| Nephrectomy type (%) | | | | | | |
| Radical nephrectomy Partial nephrectomy | 1061 (81.2) 246 (18.8) | 894 (78.8) 240 (21.2) | 167 (96.5) 6 (3.5) | < 0.001 | | |
| Pathological tumour stage (%) | | | - | | | |
| pT1 pT2 pT3-4 | 952 (72.8) 166 (12.7) 189 (14.5) | 891 (78.6) 125 (11.0) 118 (10.4) | 61 (35.3) 41 (23.7) 71 (41.0) | < 0.001 | | |
| Fuhrman grade (%) ^b | I | 1 | L | | | |
| Low (G1-2) High (G3-4) | 634 (49.1) 657 (50.9) | 585 (51.9) 542 (48.1) | 49 (29.9) 115 (70.1) | < 0.001 | | |
| Symptom presence (%) | | | | | | |
| No Yes | 881 (67.4) 426 (32.6) | 805 (71.0) 329 (29.0) | 76 (43.9) 97 (56.1) | < 0.001 | | |
| Metastasis (%) | | | | | | |
| No Yes | 1229 (94.0) 78 (6.0) | 1109 (97.8) 25 (2.2) | 120 (69.4) 53 (30.6) | < 0.001 | | |
| Laboratory values | | | | | | |
| $ \begin{array}{l} {\displaystyle \operatorname{ESR}}^{\mathbf{b}} \ (\operatorname{mm} \ \operatorname{per} \ \operatorname{hour}) \\ {\displaystyle \operatorname{ALP}}^{\mathbf{b}} \ (U ^{-1}) \\ {\displaystyle \operatorname{Hb}} \ (g \ dl^{-1}) \\ {\displaystyle \operatorname{Hct}} \ (\%) \end{array} $ | 16 (8–30) 72 (60–87) 14.1 (12.7–15.3) 41.8 (38.3–45) | 14 (7–26) 71 (59–85) 14.3 (12.9–15.4) 42.3 (38.9–45.3) | 33 (14–67) 81 (68–104) 12.7 (11.4–14.2) 38.5 (34.8–42.2) | <0.001 <0.001 <0.001 <0.001 | | |

Abbreviations: BMI = body mass index; TNM = tumour node metastasis; G = grade; ESR = erythrocyte sedimentation rate; ALP = alkaline phosphatase; Hb = haemoglobin; Hct = haematocrit; ASA = The American Society of Anestesiologists Physical Status.

 a All values are median (interquartile range) or proportion.

^bThe presence of missing data on the variable.



Figure 1. Cancer-specific survival curves for ESR, ALP, and anaemia. (A) ESR; (B) ALP; (C) Hb; (D) Hct. ESR = erythrocyte sedimentation rate. ALP = alkaline phosphatase; Hb = haemoglobin, and Hct = haematocrit. Note: Cutoff points for high levels (abnormal laboratory values) were as follows: ESR:>22 mm per hour for males, >29 mm per hour for females; ALP:>115UI⁻¹ for both males and females; Cutoff points for anaemia were as follows: Hb:<13.5 g dl⁻¹ for males, <12 g dl⁻¹ for females; Hct:<41% for males, <36% for females.

| No. of death Model 1 ^a Model 2 ^b Model 3 ^c Variable Low/high Low High Low High Low High High ESR ^d State | Table 2. Hazard ratios (HRs) and 95% confidence intervals (CIs) for associations between ESR, ALP, anaemia, and survival outcomes | | | | | | | |
|--|---|--------------------------|--|---|--|---|--|---|
| Variable Low/high Low High Low High Low High High ESR ^d | | No. of death | Mo | odel 1ª | Model 2 ^b | | Model 3 ^c | |
| High ESR ^d Solution | Variable | Low/high | Low | High | Low | High | Low | High |
| Overall survival Cancer-specific survival Other-cause survival 60/86 41/78 19/8 1.00 (Ref) 1.00 (Ref) 3.46 (2.37–5.04) 4.86 (3.12–7.56) 1.00 (Ref) 1.85 (1.21–2.83) 1.00 (Ref) 1.44 (0.90–2.3) Other-cause survival 19/8 1.00 (Ref) 4.86 (3.12–7.56) 1.00 (Ref) 2.41 (1.46–3.98) 1.00 (Ref) 2.10 (1.21–3.6 Other-cause survival 19/8 1.00 (Ref) 0.88 (0.35–2.23) 1.00 (Ref) 0.75 (0.26–2.17) 1.00 (Ref) 0.53 (0.16–1.7) High ALP ^d U U <thu< th=""><th>High ESR^d</th><th></th><th>•</th><th>•</th><th>•</th><th>•</th><th>•</th><th></th></thu<> | High ESR ^d | | • | • | • | • | • | |
| High ALP ^d 135/30 1.00 (Ref) 3.92 (2.43–6.30) 1.00 (Ref) 1.71 (0.99–2.92) 1.00 (Ref) 1.18 (0.64–2.1 Cancer-specific survival 107/28 1.00 (Ref) 4.39 (2.64–7.30) 1.00 (Ref) 1.63 (0.91–2.95) 1.00 (Ref) 1.04 (0.53–2.00) | Overall survival Cancer-specific survival Other-cause survival | 60/86 41/78 19/8 | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 3.46 (2.37–5.04) 4.86 (3.12–7.56) 0.88 (0.35–2.23) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 1.85 (1.21–2.83) 2.41 (1.46–3.98) 0.75 (0.26–2.17) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 1.44 (0.90–2.31) 2.10 (1.21–3.67) 0.53 (0.16–1.73) |
| Overall survival 135/30 1.00 (Ref) 3.92 (2.43-6.30) 1.00 (Ref) 1.71 (0.99-2.92) 1.00 (Ref) 1.18 (0.64-2.1 Cancer-specific survival 107/28 1.00 (Ref) 4.39 (2.64-7.30) 1.00 (Ref) 1.63 (0.91-2.95) 1.00 (Ref) 1.04 (0.53-2.0) | High ALP ^d | 1 | | | 1 | | 1 | |
| Other-cause survival 28/2 1.00 (Ref) 1.76 (0.38–8.06) 1.00 (Ref) 1.59 (0.29–8.62) 1.00 (Ref) 1.39 (0.21–9.0 | Overall survival Cancer-specific survival Other-cause survival | 135/30 107/28 28/2 | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 3.92 (2.43–6.30) 4.39 (2.64–7.30) 1.76 (0.38–8.06) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 1.71 (0.99–2.92) 1.63 (0.91–2.95) 1.59 (0.29–8.62) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 1.18 (0.64–2.18) 1.04 (0.53–2.01) 1.39 (0.21–9.07) |
| High/low High Low High Low High Low | | High/low | High | Low | High | Low | High | Low |
| Anaemia (Hb) ^e | Anaemia (Hb) ^e | | | | | | | |
| Overall survival 73/92 1.00 (Ref) 4.13 (2.88–5.94) 1.00 (Ref) 2.32 (1.53–3.51) 1.00 (Ref) 2.01 (1.22–3.2 Cancer-specific survival 56/79 1.00 (Ref) 4.33 (2.89–6.48) 1.00 (Ref) 1.98 (1.23–3.21) 1.00 (Ref) 1.44 (0.80–2.6 Other-cause survival 17/13 1.00 (Ref) 3.44 (1.52–7.77) 1.00 (Ref) 3.07 (1.28–7.38) 1.00 (Ref) 3.11 (1.17–8.2 | Overall survival Cancer-specific survival Other-cause survival | 73/92 56/79 17/13 | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 4.13 (2.88–5.94) 4.33 (2.89–6.48) 3.44 (1.52–7.77) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 2.32 (1.53–3.51) 1.98 (1.23–3.21) 3.07 (1.28–7.38) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 2.01 (1.22–3.29) 1.44 (0.80–2.61) 3.11 (1.17–8.25) |
| Anaemia (Hct) ^e | Anaemia (Hct) ^e | | | | | | | |
| Overall survival 67/98 1.00 (Ref) 3.45 (2.42–4.91) 1.00 (Ref) 2.31 (1.55–3.45) 1.00 (Ref) 1.90 (1.18–3.0 Cancer-specific survival 56/79 1.00 (Ref) 3.11 (2.11–4.60) 1.00 (Ref) 1.67 (1.05–2.66) 1.00 (Ref) 1.00 (0.56–1.8) Other-cause survival 11/19 1.00 (Ref) 5.43 (2.30–12.80) 1.00 (Ref) 5.21 (2.16–12.57) 1.00 (Ref) 6.20 (2.30–16.10) | Overall survival Cancer-specific survival Other-cause survival | 67/98 56/79 11/19 | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 3.45 (2.42–4.91) 3.11 (2.11–4.60) 5.43 (2.30–12.80) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 2.31 (1.55–3.45) 1.67 (1.05–2.66) 5.21 (2.16–12.57) | 1.00 (Ref) 1.00 (Ref) 1.00 (Ref) | 1.90 (1.18–3.05) 1.00 (0.56–1.80) 6.20 (2.30–16.72) |

Abbreviations: BMI = body mass index; ESR = erythrocyte sedimentation rate; ALP = alkaline phosphatase; Hct = haematocrit; Hb = haemaglobin; Ref = reference.

^aThe model was stratified by age in years at diagnosis and sex.

^bThe model was stratified by age in years at diagnosis and sex, and adjusted for BMI (continuous), smoking (never or ever), weight loss (yes or no), symptom presence (yes or no), tumour stage (pT1, pT2, or pT3-4), tumour size (< 5 or ≥5 cm), ASA class (< 2 or ≥2), nephrectomy, type (radical or partial), and Fuhrman grade (low or high).

^c For ESR, the Model 3 was adjusted for levels of ALP and Hb in addition to covariates listed in the Model 2; For ALP, the Model 3 was adjusted for levels of ESR and Hb in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 2; For Hb, the Model 3 was adjusted for ESR and ALP in addition to covariates listed in the Model 3.

^d The following cutoff points were chosen to identify high levels (abnormal laboratory values): ESR > 22 mm per hour for males, > 29 mm per hour for females; ALP > 115 U I⁻¹ for both males and females.

^eThe following cutoff points were chosen to identify low levels (abnormal laboratory values): Hb <13.5 g dl⁻¹ for males, <12 g dl⁻¹ for females; Hct <41% for males, <36% for females.

Table 3. Adjusted associations^a between ESR, ALP, anaemia, and survival outcomes, stratified by stages

| Variable | pT1-2 | | рТ3-4 | | P for interaction |
|--|--------------------------|--------------------------------------|--------------------------|--------------------------------------|--------------------------|
| No. of death ^b | | | | | |
| Overall survival Cancer-specific survival | 97 74 | | 68 61 | | |
| | Low | High | Low | High | |
| High ESR ^c | | | | | |
| Overall survival Cancer-specific survival | 1.00 (Ref) 1.00 (Ref) | 1.39 (0.75–2.57) 2.30 (1.07–4.93) | 1.00 (Ref) 1.00 (Ref) | 1.19 (0.32–4.38) 1.12 (0.25–5.04) | 0.209 0.027 |
| High ALP ^c | - | | | | |
| Overall survival | 1.00 (Ref) | 1.67 (0.65–4.26) | 1.00 (Ref) | 0.50 (0.12–2.04) | 0.028 |
| Cancer-specific survival | 1.00 (Ref) | 1.28 (0.43–3.82) | 1.00 (Ref) | 0.67 (0.14–3.05) | 0.076 |
| | High | Low | High | Low | |
| Anaemia (Hb) ^d | | | | | |
| Overall survival | 1.00 (Ref) | 2.62 (1.38–4.97) | 1.00 (Ref) | 1.72 (0.39–7.64) | 0.281 |
| Cancer-specific survival | 1.00 (Ref) | 2.68 (1.14–6.26) | 1.00 (Ref) | 1.13 (0.21–6.15) | 0.141 |
| Anaemia (Hct) ^d | | | | | |
| Overall survival | 1.00 (Ref) | 2.31 (1.29–4.13) | 1.00 (Ref) | 1.24 (0.31–5.01) | 0.210 |
| Cancer-specific survival | 1.00 (Ref) | 1.57 (0.71–3.45) | 1.00 (Ref) | 1.04 (0.23–4.76) | 0.191 |

 $Abbreviations: ESR = erythrocyte \ sedimentation \ rate; \ ALP = alkaline \ phosphatase; \ Hct = haematocrit; \ Hb = haemoglobin; \ Ref = reference.$

^aFor ESR, the model was adjusted for levels of ALP and Hb in addition to covariates listed in the Model 2 (except for tumour stage and surgical types); For ALP, the model was adjusted for levels of ESR and Hb in addition to covariates listed in the Model 2 (except for tumour stage and surgical types); For Hb, the model was adjusted for levels of ESR and ALP in addition to covariates listed in the Model 2 (except for tumour stage and surgical types); For Hb, the model was adjusted for levels of ESR and ALP in addition to covariates listed in the Model 2 (except for tumour stage and surgical types); For Hct, the model was adjusted for ESR and ALP in addition to covariates listed in the Model 2 (except for tumour stage and surgical types).

^bFor ESR variable, total no. of deaths from any cause were 87 for pT1-2 and 59 for pT3-4; total no. of deaths from RCC were 67 for pT1-2 and 52 for pT3-4; for others, total no. of deaths were same as above.

^cThe following cutoff points were chosen to identify high levels (abnormal laboratory values): ESR >22 mm per hour for males, >29 mm per hour for females; ALP >115 UI⁻¹ for both males and females.

^dThe following cutoff points were chosen to identify low levels (abnormal laboratory values): Hb <13.5 g dl⁻¹ for males, <12 g dl⁻¹ for females; Hct <41% for males, <36% for females.

The presence of anaemia at diagnosis has been hypothesised to be an independent adverse prognostic indicator for CSS in patients with ccRCC (Kim et al, 2003; Magera et al, 2008) and for RFS in patients with all subtypes (Jensen et al, 2009). In our study, anaemic patients at diagnosis had a greater risk of death due to other illnesses, but not for CSS, compared with those without anaemia. Anaemia may be an important contributor to a worse cancer prognosis, presumably by affecting tumour hypoxia, a low quality of life, or poor responses to treatment (Littlewood, 2001). There is evidence that tumour hypoxia may play a negative role in cancer treatment and survival, either directly by the scarcity of oxygen that is observed to be resistant to ionising radiationinduced DNA damage in tumours, or indirectly through stimulating proteomic and genomic changes that subsequently lead to tumour progression, metastatic potential, and a poor clinical prognosis (Hockel and Vaupel, 2001; Littlewood, 2001). Anaemia may also be an indicative of patient's physical weakness, undernutrition, and susceptibility of infection; therefore, a preoperative predisposition to poor general health condition may result in poor prognosis.

Given that a high BMI was related to a better OS and CSS (Choi *et al*, 2012), we examined whether BMI modified the associations and found more apparent associations for high ESR and anaemia with survival among patients with BMI <25 than among patients with BMI \geq 25 kg m⁻². This may be partly because patients with a low weight could be more susceptible to inflammation, undernutrition, or anaemia, but the potential mechanism by which the

combination of inflammation or anaemia and low BMI worsens survival outcomes needs to be further elucidated.

The present study has several limitations. There may be the possibility that a confounding bias by unmeasured or residual confounding factors may exist, but efforts were made to adjust for important known clinical and pathological features for RCC prognosis. We also conducted stratified analyses by BMI and stage, which were associated with RCC survival in our data (Choi *et al*, 2012), and found significant associations for patients with low stage or low BMI. Because results from our study may not necessarily be generalised to other patient populations, further studies are needed to replicate the results of the present study in different ethnicities. However, the inclusion of patients at the large general hospital that is well-equipped with medical care facilities, an electronic medical record system, and highly precise mortality data could be the strengths of this study, minimising misclassification bias. Also, this study included large sample sizes, with a long-term follow-up.

In conclusion, our findings suggest that preoperative ESR is an independent prognostic indicator for CSS and anaemia is related to a high risk of death due to other causes than RCC among patients with ccRCC. These prognostic markers could allow the identification of optimal therapeutic strategies on clinical outcomes for ccRCC patients with preoperative high levels of ESR and anaemia and, at the same time, improve patients' prognosis. Further studies are needed to replicate the results of the present study in different patient populations.

| Table 4. Adjusted associations ^a between ESR, ALP, anaemia, and survival outcomes, stratified by BMI | | | | | | |
|---|-------------------------------|------------------|----------------------------|-------------------|--------------------------|--|
| Variable | BMI $<$ 25 kg m ⁻² | | $BMI \geqslant 25kgm^{-2}$ | | P for interaction | |
| No. of death ^b | | | | | | |
| Overall survival | 119 | | 46 | | _ | |
| Cancer-specific survival | 99 | | 36 | | _ | |
| | Low | High | Low | High | | |
| High ESR ^c | | | | | | |
| Overall survival | 1.00 (Ref) | 1.90 (0.97–3.72) | 1.00 (Ref) | 1.09 (0.41–2.87) | 0.037 | |
| Cancer-specific survival | 1.00 (Ref) | 3.53 (1.51–8.23) | 1.00 (Ref) | 1.84 (0.56–6.01) | 0.028 | |
| High ALP ^c | | | | | | |
| Overall survival | 1.00 (Ref) | 0.97 (0.44–2.12) | 1.00 (Ref) | 1.35 (0.28–6.47) | 0.433 | |
| Cancer-specific survival | 1.00 (Ref) | 0.64 (0.26–1.56) | 1.00 (Ref) | 3.04 (0.51–18.27) | 0.210 | |
| | High | Low | High | Low | | |
| Anaemia (Hb) ^d | - | | | | | |
| Overall survival | 1.00 (Ref) | 2.22 (1.12–4.40) | 1.00 (Ref) | 1.35 (0.47–3.87) | 0.097 | |
| Cancer-specific survival | 1.00 (Ref) | 2.09 (0.90–4.85) | 1.00 (Ref) | 0.45 (0.10–2.05) | 0.046 | |
| Anaemia (Hct) ^d | | | | | | |
| Overall survival | 1.00 (Ref) | 2.19 (1.09–4.38) | 1.00 (Ref) | 1.49 (0.58–3.87) | 0.071 | |
| Cancer-specific survival | 1.00 (Ref) | 1.26 (0.55–2.89) | 1.00 (Ref) | 0.27 (0.05–1.47) | 0.023 | |

Abbreviations: BMI = body mass index; ESR = erythrocyte sedimentation rate; ALP = alkaline phosphatase; Hct = haematocrit; Hb = haemoglobin; Ref = reference.

^aFor ESR, the model was adjusted for levels of ALP and Hb in addition to covariates listed in the Model 2 (except for BMI); For ALP, the model was adjusted for levels of ESR and Hb in addition to covariates listed in the Model 2 (except for BMI); For Hct, the model was adjusted for levels of ESR and ALP in addition to covariates listed in the Model 2 (except for BMI); For Hct, the model was adjusted for levels of ESR and ALP in addition to covariates listed in the Model 2 (except for BMI); For Hct, the model was adjusted for ESR and ALP in addition to covariates listed in the Model 2 (except for BMI); For Hct, the model was adjusted for ESR and ALP in addition to covariates listed in the Model 2 (except for BMI).

^bFor ESR variable, total no. of deaths from any cause were 102 for BMI<25 kg m⁻² and 44 for BMI ≥ 25 kg m⁻²; total no. of deaths from RCC were 85 for BMI<25 kg m⁻² and 34 for BMI ≥ 25 kg m⁻²; for others, total no. of deaths were same as above.

^cThe following cutoff points were chosen to identify high levels (abnormal laboratory values): ESR > 22 mm per hour for males, > 29 mm per hour for females; ALP > 115 UI⁻¹ for both males and females.

^dThe following cutoff points were chosen to identify low levels (abnormal laboratory values): Hb <13.5 g dl⁻¹ for males, <12 g dl⁻¹ for females; Hct <41% for males, <36% for females.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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