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# A narrative review of retinal vascular parameters and the applications (Part I): Measuring methods

Yuan Gao<sup>1,2</sup>, Lijun Xu<sup>3</sup>, Ning He<sup>4</sup>, Yuchuan Ding<sup>5</sup>, Wenbo Zhao<sup>6</sup>, Tingting Meng<sup>2</sup>, Ming Li<sup>6</sup>, Jiaqi Wu<sup>1</sup>, Yazeed Haddad<sup>5</sup>, Xuxiang Zhang<sup>7</sup>, Xunming Ji<sup>1,6</sup>

## Abstract:

The retina is often used to evaluate the vascular health status of eyes and the whole body directly and noninvasively *in vivo*. Retinal vascular parameters included caliber, tortuosity and fractal dimension. These variables represent the density or geometric characteristics of the vascular network apart from reflecting structural changes in the retinal vessel system. Currently, these parameters are often used as indicators of retinal disease, cardiovascular and cerebrovascular disease. Advanced digital fundus photography apparatus and computer-assisted analysis techniques combined with artificial intelligence, make the quantitative calculation of these parameters easier, objective, and labor-saving.

## Keywords:

Caliber, fractal dimension, retina, tortuosity

## Introduction

Retinal vascular parameters including R diameter, tortuosity, and fractal dimension (FD) can reflect the changes in the retinal vascular network structure. Several studies have reported that these parameters were associated with ocular and other diseases, such as glaucoma,<sup>[1]</sup> diabetic retinopathy,<sup>[2]</sup> hypertension,<sup>[3]</sup> cardiovascular<sup>[4]</sup> and cerebrovascular disease.<sup>[5]</sup> Particularly, carotid stenosis is a significant contribution to the risk of ischemic stroke, which can lead to neurological disability and death in adult.<sup>[6,7]</sup> The retinal vasculature can be regarded as a part of the cerebral vasculature, thus their associations may contribute to further studying the pathological mechanism of cerebrovascular diseases. However, there is no uniform measurement method for these parameters. Thus, it is very important to choose a simple and accurate

calculation method for quantitative measurements of these parameters. Besides, it is difficult to quantify these parameters by manual methods. The development of computer-assisted analysis of digital fundus images enables retinal vascular parameters measurement in a timely, accurate, and reliable manner while reducing subjective human error.<sup>[8]</sup> Diameter is one of the most commonly used indexes to evaluate vascular characteristics.<sup>[9]</sup> Currently, several methods and formulas were put forward for retinal vascular caliber quantitative calculation, such as Full width half-maximum (FWHM) or half-height at full-width,<sup>[10,11]</sup> Gaussian fitting function,<sup>[12]</sup> Sobel edge detection,<sup>[13]</sup> sliding linear regression filter (SLRF),<sup>[14]</sup> Parr-Hubbard<sup>[15]</sup> and Revised Parr-Hubbard formulas.<sup>[16]</sup> Retinal vascular tortuosity, is another indicator of retinal vessel morphology.<sup>[3,17]</sup> Some techniques for assessing retinal vessel tortuosity can be divided to three major groups: based on ration of curve length over chord line,<sup>[18]</sup> curvature-based methods,<sup>[19]</sup> and based on slope chain code (SCC).<sup>[20]</sup> FD is often used

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<sup>1</sup>Department of Biomedical Engineering, School of Biological Science and Medical Engineering, Beihang University, Beijing, China, <sup>2</sup>Department of Ophthalmology, Xuanwu Hospital, Capital Medical University, Beijing, China, <sup>3</sup>School of Instrumentation and Optoelectronic Engineering, Beihang University, Beijing, China, <sup>4</sup>Engineering Research Center of Molecular and Neuro Imaging, Ministry of Education, School of Life Science and Technology, Xidian University, Xi'an, Shaanxi, China, <sup>5</sup>Department of Neurosurgery, Wayne State University School of Medicine, Detroit, Michigan, USA, <sup>6</sup>Department of Neurology, Xuanwu Hospital, Capital Medical University, Beijing, China, <sup>7</sup>Department of Ophthalmology, Beijing Tiantan Hospital, Capital Medical University, Beijing, China

### Address for correspondence:

Prof. Xunming Ji,  
Department of Biomedical Engineering, Beijing Advanced Innovation Center for Big Data-Based Precision Medicine, School of Biological Science and Medical Engineering, Beihang University, No.37, Xueyuan Road, Haidian District, Beijing 100191, China.  
E-mail: jixm@ccmu.edu.cn

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to quantitative assessment of the complexity and branch self-similarity of retinal microvasculature pattern.<sup>[21]</sup> The box-counting technique is the most common approach to calculate FD.<sup>[22,23]</sup> In this review, we conclude the current methods of retinal caliber, tortuosity, and FD measurement, providing important reference values for using these metrics as an indicator of retinal disease and systemic disease in subsequent clinical studies.

## Measuring Methods of Retinal Vasculature

### Vascular caliber in retina

According to the dependence of the caliber calculation method on a particular value parameter, retinal caliber calculation methods include two principal groups: nonparametric and parametric methods.<sup>[24]</sup> In addition, the Parr-Hubbard formula, revised Parr-Hubbard formula and commercially available measurement software based on them were also usually used to measure retinal vascular caliber.

#### Nonparametric methods

The nonparametric method used the edge detection technique and consider the cross-sectional caliber to be the smallest Euclidean distance between the two places on the vascular edges.<sup>[24]</sup> The Sobel and "Canny," are the two most common edge detection methods to track or emphasize the boundaries between the two sides of a blood vessel. The Sobel operator is a two-dimensional spatial gradient detector, including horizontal and vertical edge detectors with a pair of three-dimensional convolution masks that is primarily applied to calculate the area of an image which has significant volume differences in both the X and Y directions.<sup>[13]</sup> It has the advantage of rapid detecting. However, it cannot detect thin and smooth edges accurately.<sup>[25]</sup> Canny operator is a classical detector on account of gradient and Laplacian methods.<sup>[26]</sup> The method has three characteristics of single detection edge response, good edge positioning, and low detection errors.<sup>[27]</sup> However, when the noise in an image is removed using the Canny method and Gaussian smoothing, it is unable to discern the somewhat hazy edges.<sup>[25]</sup> To address the problem, Rahman proposed an approach that relied on histogram processing as an image preprocessing step before the canny edge detector and confirmed promising outcomes.<sup>[25]</sup> Moreover, other detection techniques such as Edge pixel Grouping<sup>[28]</sup> and multi-scale segmentation methods.<sup>[29]</sup> These methods have some limitations such as difficulty for fine vessels measurement and sub-pixel accuracy. Besides, high-intensity central light reflexes in high-resolution fundus images cannot be accurately obtained as vessel boundaries.<sup>[24]</sup>

#### Parametric methods

Calculate a caliber by Gaussian function fitting intensity samples curve across a blood vessel cross-section.<sup>[24]</sup>

Gang *et al.* have modeled retinal vessel cross-section using an amplitude-modified second-order Gaussian function.<sup>[30]</sup> The diameter is then calculated using FWHM method, which is introduced by Brinchmann-Hansen and defined as the distance along either of the vessel's edges between the center points of highest intensity variation.<sup>[10]</sup> The spreading factor (sigma) of the Gaussian best fit is linearly associated with caliber and thus can be applied to make diameter estimation simple. Nevertheless, it cannot capture the central light reflex of the vessels, also known as "specular reflection."<sup>[31]</sup> To cope with this problem, some researchers created twin and piecewise Gaussian functions to describe the gray level profile distributions over a vessel cross-section and quantify the vessel diameter.<sup>[32,33]</sup> SLRF was another method for caliber calculation. This method appears to be the most reproducible and consistent in measurements of retinal caliber diameter compared to the other two automated methods (Gaussian function and Sobel detection algorithm) (Sobel).<sup>[14]</sup> However, the approach grows unreliable when the total vessel width is <10 pixels.<sup>[13]</sup> For compensation, Aliahmad proposed a multi-step regression method for retinal vessel diameter calculation that a three-criteria function provides the best evaluation of the vessel profiles while reducing residual fitting errors brought on by uneven illumination and background noise to address the issue of sigma.<sup>[24]</sup>

#### Parr-hubbard and revised parr-hubbard formulas

Parr *et al.* put forward a method for computing the width of the central retinal artery (CRA) (the width of their single parent trunk) from the widths of all the retinal arteries measurement:<sup>[15]</sup>

$$\hat{W} = (0.87W_1^2 + 1.01W_2^2 - 0.22W_1W_2 - 10.76)^{1/2} \quad (1)$$

$W_1$ , represents the narrower branch;  $W_2$ , represents the wider branch;  $W$ , represents the parent trunk. The equivalent CRA width represents the general diameter of the retinal arteries and enables comparison of the arterial diameter between different eyes since it is unaffected by the number and pattern of the retinal larger arteries' branching.<sup>[15]</sup> Nevertheless, the Parr-Hubbard equation is influenced by the number of vessels measurement and sensitive to scale due to constant terms in the equations. Knudtson *et al.* proposed a revised equation for summarizing caliber measurement using the six largest arterioles and venules from fundus images:<sup>[16]</sup>

$$\text{Arterioles: } \hat{W} = 0.88^*(W_1^2 + W_2^2)^{1/2} \quad (2)$$

$$\text{Venules: } \hat{W} = 0.95^*(W_1^2 + W_2^2)^{1/2}$$

$W_1$ , the widths of the narrower branch;  $W_2$ , the wider branch;  $\hat{W}$ , the parent trunk arteriole or venule. The revised Parr-Hubbard formula is closely related to the

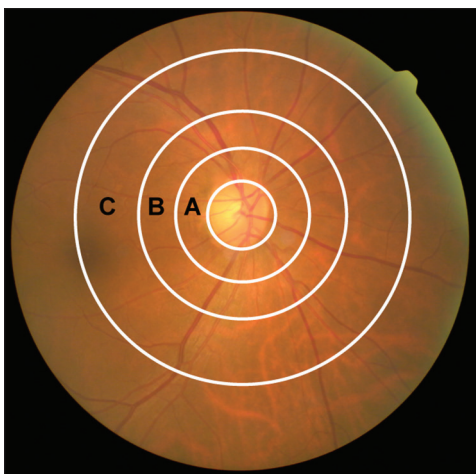
previous Parr-Hubbard formula, but has the benefits of being independent of image scale, more resilient against the number of vessels variations, and thus being simpler to apply.<sup>[16]</sup> It has been used for caliber measurement by computer software in numerous studies.

Retinal vascular caliber can be calculated from the vessels inside the region of interest (ROI) in the computer software. The commonly used ROIs are concentric zones centered on the optic disc including the regions from the disc margin to ½ disc diameter from the disc (Zone A), ½ disc diameter from the disc to 1 disc diameter from the disc (Zone B), and 2 disc diameter from the disc (Zone C).<sup>[29,34-36]</sup> Among them, Zone B and Zone C are the two most popular regions for calculation<sup>[29]</sup> [Figure 1]. Although there are many methods for calculating retinal vessel diameter, the methods based on the Parr-Hubbard formula and the revised Parr-Hubbard formula in the ROI region were the two most commonly applied in clinical research, especially the latter.

With the rise of artificial intelligence and its application in the medical field, the measurement method of retinal vascular caliber has developed from the original semi-automatic to fully automatic. Cheung *et al.* developed and validated an automated measurement method of retinal vascular caliber based on deep-learning algorithm without the need for vessel segmentation (SIVA-DLS), which has high agreement with expert human graders.<sup>[37]</sup> Besides, fully automated software also included QUARTZ<sup>[38]</sup> and ALTAIR.<sup>[39]</sup>

### Retinal vascular tortuosity

There is no uniform definition of retinal vascular tortuosity since it always varies with different measurement



**Figure 1:** An image from fundus photography shows that the ROI are concentric zones centered on the optic disc including the regions from the disc margin to ½ disc diameter from the disc (Zone A), ½ disc diameter from the disc to 1 disc diameter from the disc (Zone B), and 2 disc diameter from the disc (Zone C). ROI: Region of interest

methods. Tortuosity is a degree of vascular curvature in a qualitative manner. Ophthalmologists in clinical practice usually divide tortuosity into a qualitative scale including mild, moderate, and severe according to a vessel segment’s curvature, number of twists, frequency, and amplitude.<sup>[40,41]</sup> There are main four types of measurement methods: arc-length over chord length ratio methods, curvature-based, angle-based, and other domain-based methods.<sup>[42]</sup>

The arc length over chord length ratio, first reported by Lotmar *et al.*, is the simplest and most commonly used method.<sup>[18,43]</sup>

$$\text{Tortuosity} = \frac{\text{Arc length}}{\text{Chord length}} \tag{3}$$

$$\text{Arc length} = \sum_{i=0}^{n-1} \sqrt{(x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2}$$

$$\text{Chord length} = \sqrt{(x_n - x_1)^2 + (y_n - y_1)^2}$$

However, this approach is deficient for the calculation of vessels with smooth curvature and variation in curvature direction.<sup>[42]</sup> Bullitt *et al.* noted that limitation, then proposed a method to calculate the number of inflection points (twists), which can calculate it caused by the blood vessel when the blood vessel changes direction, and then sum the total angle of each effective point on the curve, and normalize the result by the total curve length.<sup>[44]</sup> Grisan *et al.* raised the tortuosity density (TD) index to evaluate vascular tortuosity by calculating the contributions to tortuosity of uniformly convex or concave arcs:<sup>[45,46]</sup>

$$\text{TD} = \frac{n-1}{n} \frac{1}{L_c} \sum_{i=1}^n \left[ \frac{L_{csi}}{L_{xsi}} - 1 \right] \tag{4}$$

$$\tau = \frac{n-1}{L_c} \sum_{i=1}^n \left[ \frac{L_{csi}}{L_{xsi}} - 1 \right] \tag{5}$$

$n$  represents the number of “turns;”  $L_{csi}$  represents the arc length of segment  $i$ ;  $L_{xsi}$  represents the chord length of segment  $i$ ;  $L_c$  represents the total length of the vessel’s centerline;  $\tau$  represents the tortuosity. Curvature-based measurement is a calculation of how curved a curve is at a certain coordinate and is an integral function of the estimated curvature along the vascular skeleton, usually a weighted sum of absolute or squared curvatures.<sup>[19,42]</sup> Hart *et al.* proposed two tortuosity measurement methods which are the integral of vessel centerline curvature and curvature squared, and they found that the squared curvature was the closest to the eye doctor’s concept of curvature.<sup>[19]</sup> Trucco *et al.* pointed out that tortuosity can increase with thickness, thus its measurement not only needs skeleton curvature but also need vessel caliber.<sup>[47]</sup> Some researchers thought curvature-based tortuosity measurements are more reliable but more

computationally intensive and particularly rely on the technique of tortuosity measurement in comparison with the method of the arc length over chord length ratio.<sup>[48,49]</sup> Besides, some methods measured tortuosity by calculating the direction variations of the vessel. Foracchia *et al.* assessed tortuosity by a simulated annealing optimization technique identifying the model parameter and computing the number of changes in direction more than a predetermined threshold.<sup>[50]</sup> Rodriguez introduced the fast Fourier transform of the vessel's curvature to compute tortuosity.<sup>[51]</sup> Bribiesca *et al.* put forward a new approach to tortuosity measurement based on SCC which is not affected by translation, rotation, or scaling.<sup>[20]</sup> "The accumulated slope  $A_{cc}$  of a chain is the sum of the slope changes  $n$  around the curve, is defined by:

$$A_{cc} = \sum_{i=1}^n ai \quad (6)$$

" $n$  is the number of slope changes;  $ai$ : "the slope change of the contiguous straight-line segments of the curve at that element position" and the tortuosity  $\tau$  "is the sum of all the absolute values of the chain elements, and is defined by

$$\tau = \sum_{i=1}^n |ai| \quad (7)$$

Lisowska *et al.*<sup>[20]</sup> assessed five retinal vascular tortuosity measurement methods including distance measure, two curvature-based measures, TD, and slope chain coding with the retinal vessel tortuosity dataset public dataset.<sup>[46]</sup> They discovered that the curvature approach performs best to high-frequency resampling, but is the most sensitive to variations in sample number; the TD index has excellent performance as a whole, but not always the best; Slope-chain coding is most effective at low sampling rates, but need to choose the appropriate length of the linear elements.<sup>[46]</sup>

In addition, some methods calculated the curvature regions outside the space domain without vessel extraction such as the Hough transform.<sup>[52]</sup> Mustafa *et al.* proposed a fully automated retinal tortuosity measurement method based on nonlinear curvature that compares the mean tortuosity value with a computer threshold value,  $T$ , and if the average tortuosity value is less than the  $T$ , the retinal vascular network is considered normal, and vice versa.<sup>[53]</sup> Aras *et al.* calculated retinal vascular tortuosity using the relative length variation method, then used  $K$  nearest neighbor to classify the retinal images as normal, tortuosity, moderate, and severe tortuosity, and the best accuracy reached more than 90%.<sup>[54]</sup> Nowadays, based on the above calculation methods, a variety of commercial computer-assisted analysis software has been developed and will be introduced in the later section.

## Fractal dimensions in retina

The geometric complexity and branch self-similarity of the vascular network, which have been employed to characterize physiological processes as well as various anatomical structures, were measured using the FD.<sup>[55]</sup> Retinal vascular FD reflects the overall branching pattern of retinal vessels and may aid in the detection of subclinical vascular abnormalities.<sup>[56]</sup> A mono-fractal or a multifractal method was used to evaluate FDs.<sup>[57,58]</sup> In mono-fractal method, classical FD estimation methods included box-counting methods, area measurement methods, and fractional Brownian motion methods. The box-counting technique is the most commonly used method to calculate FD and the mathematical formula is expressed as:

$$D = \lim_{\epsilon \rightarrow 0} \frac{\log N(\epsilon)}{-\log \epsilon} \quad (8)$$

$N(\epsilon)$ , the number of boxes; scales  $\epsilon$ , the number of pixels.<sup>[59]</sup> Konatar *et al.* implemented three methods including standard nonoverlapping, gliding or overlapping box scanning, and random box scanning to measure FD.<sup>[59]</sup> By examining photographs of the retinal microvasculature from public databases and comparing the findings to those of Image J, they confirmed that the results of the three approaches were rather near to the anticipated theoretical values.<sup>[59]</sup> In addition, Zode *et al.* demonstrated that the results obtained using box-counting method were more accurate than using the mass-radius method.<sup>[60]</sup> However, although this method is simple, it is sensitive to box size and requires signal binarization and the segmentation of retinal vessels' images.<sup>[61]</sup> Fractional Brownian motion methods are based on the variogram (Gaussian modeling) or the Fourier transform algorithms of the image to compute the fractional dimension. Azemin *et al.* used the Fourier FD approach to quantify the grayscale images projected on to 3D fractal surface and compute the retinal FD.<sup>[62]</sup> The superiority of Fourier FD is that it calculates FD of grayscale images without image segmentation and relatively insensitive to noise and thus handles effectively data with low signal-to-noise ratio.<sup>[62]</sup> The Isarithm method, blanket method, and triangular prism method are the three algorithms commonly used in area measurement methods.<sup>[61]</sup> The retinal vascular tree, which may merge several mono-FD, is one example of a complicated spatial arrangement that multifractal approaches are thought to be better suited to characterize.<sup>[57]</sup> The measurement methods include box-counting methods such as generalized FD, multifractal spectrum, the "sandbox" or cumulative mass method, the large-deviation multifractal spectrum method and wavelets.<sup>[61]</sup> Among these methods, the generalized sandbox method is commonly used to

compute the multifractal dimensions of the retinal vascular tree.<sup>[57]</sup> Employing a nonlinear SVM classifier, Ding *et al.* accomplished picture categorization into healthy group and pathological group according to the characteristics retrieved from multi-fractal and Fourier spectra of retinal arteries.<sup>[63]</sup> Relan *et al.* used the multi-fractal analysis quantified retinal FD in high-resolution fundus images to distinguish between two subgroups in images of healthy, diabetic retinopathy, and glaucoma patients through segmented and skeletonized images.<sup>[64]</sup> Bhat *et al.* showed how the Pixel-Based Multi Fractal Analysis method can aid in precisely locating the center of the optical disc and then tracing the entire disc.<sup>[65]</sup> In conclusion, there are many calculation methods and clinical applications of mono-fractal FD, while there are few studies on multi-FDs, and further research is needed in future.

### Computer Software for Retinal Vascular Parameters

Currently, there are numerous developed semi-automatic and automatic software to measure retinal vascular parameters. For instance, the Retinal Analysis (RA; University of Wisconsin, Madison, WI),<sup>[66]</sup> the Integrative Vessel Analysis (IVAN; University of Wisconsin, Madison);<sup>[66,67]</sup> the Singapore I Vessel Assessment (SIVA1.0,2.0,3.0,4.0; National University of Singapore),<sup>[2,56,68-72]</sup> the Computer-Aided Image Analysis of the Retina program (CAIAR, Imperial College London, City University, London, UK),<sup>[73,74]</sup> Retinal Vessel Analyser (RVA; IMEDOS Systems UG, Jena, Germany);<sup>[75,76]</sup> VesselMap (ImedosSystems, Jena, Germany),<sup>[77-79]</sup> Vessel assessment and measurement platform for images of the retina (VAMPIRE),<sup>[80-82]</sup> QUantitative Analysis of Retinal vessel Topology and siZe (QUARTZ;UK)<sup>[38,83]</sup> Automatic Retinal Image Analysis (US Patent 8787638B2).<sup>[84,85]</sup>

Yip *et al.* found that the associations between retinal vessel calibers with systemic factors were similar by evaluating the consistency among three software (RA, SIVA, and IVAN), despite absolute measurement differences.<sup>[66]</sup> They proposed an algorithm that enabled RA and IVAN calculations to be converted to SIVA approximates, which is crucial for future data pooling and the definition of normative values.<sup>[66]</sup> French C and Heitmar R also compared the retinal vessel caliber measurement using the VesselMap and MONA REVA (VITO Health, Mol, Belgium), and confirmed the good consistency between the two platforms.<sup>[77]</sup> However, McGrory *et al.* found that the poor agreement in estimation results of these parameters employing the SIVA and the VAMPIRE software from fundus camera images.<sup>[68]</sup> To make retinal vascular parameters trustworthy and independent of

different computer applications, they must be measured according to a standard,<sup>[68]</sup> which need further research.

### Conclusion

There are many challenges in retinal vascular parameters measurement from fundus photographs. For example, there is no uniform calculation method and standardized protocol for retinal vascular parameters. A lack of consistent comparison between different methods and different software for retinal vascular parameters measurements in the same data set. In addition, many software is not free and expensive for user, which limits its clinical application. Furthermore, although artificial intelligence has been used widely to classify and identify the qualitative assessment of retinal diseases, few are applied to retinal arteriovenous segmentation and then retinal vascular parameters evaluation based on the segmented vessels in clinical studies. The reason may be related to short of public, large, annotated datasets which take lots of work by trained ophthalmologists. It is still very challenging to compare algorithms thoroughly and objectively because different cameras have varying luminous exposure, focus, quality, and pixels. Thus, simple, economic, fully automated software based on AI to measure retinal vascular parameters are needed to further develop and apply to the clinical studies and practice.

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### Conflicts of interest

Prof. Xunming Ji is an Editor-in-Chief, Prof. Yuchuan Ding is an Editorial Board member of Brain Circulation. The article was subject to the journal's standard procedures, with peer review handled independently of them and their research groups.

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