REVIEW ARTICLE

Invasive pulmonary aspergillosis in the COVID-19 era: An expected new entity

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Abstract

Objectives: Information on the recently COVID-19-associated pulmonary aspergillosis (CAPA) entity is scarce. We describe eight CAPA patients, compare them to colonised ICU patients with coronavirus disease 2019 (COVID-19), and review the published literature from Western countries.

Methods: Prospective study (March to May, 2020) that included all COVID-19 patients admitted to a tertiary hospital. Modified AspICU and European Organization for Research and Treatment of Cancer/Mycoses Study Group (EORTC/MSG) criteria were used.

Results: COVID-19-associated pulmonary aspergillosis was diagnosed in eight patients (3.3% of 239 ICU patients), mostly affected non-immunocompromised patients (75%) with severe acute respiratory distress syndrome (ARDS) receiving corticosteroids. Diagnosis was established after a median of 15 days under mechanical ventilation. Bronchoalveolar lavage was performed in two patients with positive Aspergillus fumigatus cultures and galactomannan (GM) index. Serum GM was positive in 4/8 (50%). Thoracic CT scan findings fulfilled EORTC/MSG criteria in one case. Isavuconazole was used in 4/8 cases. CAPA-related mortality was 100% (8/8). Compared with colonised patients, CAPA subjects were administered tocilizumab more often (100% vs. 40%, p = .04), underwent longer courses of antibacterial therapy (13 vs. 5 days, p = .008), and had a higher all-cause mortality (100% vs. 40%, p = .04). We reviewed 96 similar cases from recent publications: 59 probable CAPA (also putative according modified AspICU), 56 putative cases and 13 colonisations according AspICU algorithm; according EORTC/MSG six proven and two probable. Overall, mortality in the reviewed series was 56.3%.

Conclusions: COVID-19-associated pulmonary aspergillosis must be considered a serious and potentially life-threatening complication in patients with severe COVID-19 receiving immunosuppressive treatment.

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antifungal therapy, *Aspergillus* infection, COVID-19, fungal diseases, fungal infections, intensive care, invasive pulmonary aspergillosis, SARS-CoV-2 infection

1 | INTRODUCTION

Coronavirus disease 2019 (COVID-19) patients with acute respiratory distress syndrome (ARDS), usually admitted to intensive care units (ICUs), frequently receive corticosteroids, broad-spectrum antibiotics and immunomodulatory agents. Under these circumstances, it is not surprising that the patients develop secondary complications like invasive pulmonary aspergillosis (IPA). A new term has been coined for this condition: COVID-19-associated pulmonary aspergillosis (CAPA).

COVID-19-associated pulmonary aspergillosis has been described to occur in patients that do not fulfil typical risk factors for IPA (such as underlying haematological malignancy or neutropenia).¹⁻¹⁸ The criteria described by Blot et al and Schauwvlieghe et al may help differentiate between patients who are just colonised with *Aspergillus* spp. and real CAPA cases.

In this work, we aim to report a series of eight CAPA cases among our COVID-19 patients, review other cases reported in literature, and compare invasive and colonisation episodes.

2 | MATERIALS AND METHODS

2.1 | Hospital setting

This study was conducted at a tertiary hospital in Madrid (Spain) during the COVID-19 pandemic, between March 1 and May 31, 2020. The normal capacity of our hospital is 1200 beds, 67 in adults ICUs. With the pandemic, the number of beds was increased to 1572 beds in hospitalisation areas and 135 adult ICU beds.

The Department of Clinical Microbiology and Infectious Diseases has maintained a surveillance and registry of invasive fungal infections and an antifungal stewardship programme since 2010.

2.2 | Study design and data collection

We carried out a prospective single-centre, observational study. Every COVID-19 adult patient with suspected IPA, based on the isolation of *Aspergillus* spp. from one or more respiratory samples, were followed-up and classified as proven/probable/putative aspergillosis or colonisation.

Clinical records were reviewed, including demographic, clinical and radiological features, treatment and outcomes. The number of IPA cases during the COVID-19 pandemic was compared to the previous incidence at our centre.

Finally, we reviewed the literature regarding CAPA cases in which enough individual data were reported.

2.3 | Definitions

COVID-19 confirmed cases were those with a positive result in the reverse transcription-polymerase chain reaction (RT-PCR) assay for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in



FIGURE 1 Monthly registry of invasive pulmonary aspergillosis in Hospital General Universitario Gregorio Marañón (COMIC study group) [Colour figure can be viewed at wileyonlinelibrary.com]

TABLE 1 Epidemiological and clinical characteristics of 8 patients with COVID-19-associated pulmonary aspergillosis

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Age (years)/ Gender	Medical History	ARDS Severity (P/F ratio)	Cortico steroids ^a (days)	Prone position (number of times)	CRRT	Concomitant/ previous superinfection (days to CAPA)	Antimicrobial treatment before IPA
#1 74/M	HTA, COPD	Severe (85mmHg)	Yes (8)	Yes (4)	Yes	CoNS CR-BSI (-3)	Ceftriaxone Piperacillin/tazobactam Ceftaroline fosamil
#2 52/M	Obesity	Severe (93 mmHg)	Yes (8)	Yes (7)	No	No	Ceftriaxone Piperacillin/tazobactam Linezolid
#3 66/M	HTA, obesity	Moderate (102 mmHg)	Yes (11)	Yes (7)	No	No	Ceftriaxone Meropenem Linezolid Trimethoprim/sulfamethoxazole
#4 63/M	HTA, CKD, asthma, CLL without active treatment	Severe (95 mmHg)	Yes (25)	Yes (3)	No	Pseudomonas aeruginosa VAP and BSI (–2)	Ceftriaxone Linezolid Meropenem Ceftolozane/tazobactam
#5 73/M	НТА	Severe (74 mmHg)	Yes (8)	Yes (2)	Yes	No	Ceftriaxone Piperacillin/tazobactam Meropenem Linezolid
#6 60/F	HTA, CKD, asthma	Severe (98 mmHg)	Yes (18)	Yes (4)	Yes	Enterococcus faecium UTI (–5)	Ceftriaxone Meropenem Linezolid Vancomycin
#7 74/M	HTA, obesity	Severe (73 mmHg)	Yes (37)	No	Yes	Enterococcus faecium BSI (-33) CMV reactivation (-24)	Ceftriaxone Piperacillin/tazobactam Meropenem Vancomycin Ganciclovir
#8 62/F	HTA, DM, obesity, CKD, CNS disease, NAFLD with liver SOT recipient- (08/02/20)	Severe (74 mmHg)	Yes (30)	No	No	CDI (-14)	Ceftriaxone Meropenem Oral vancomycin

Abbreviations: ARDS, Acute Respiratory Distress Syndrome; BAL, Bronchoalveolar Lavage fluid; BAS, Bronchial Aspirate; BDG, 1,3 β-D-glucan; BSI, Bloodstream Infection; CAPA, COVID-19-associated pulmonary aspergillosis; CDI, *Clostridioides difficile* infection; CKD, Chronic Kidney Disease; CLL, Chronic Lymphocytic Leukaemia; CoNS, Coagulase-Negative *Staphylococci*; CR-BSI, Catheter-related Bloodstream Infection; CRRT, Continuous Renal Replacement Therapy; CSP, caspofungin; DM, Diabetes Mellitus; GGO, Ground-Glass Opacities; GM, Galactomannan; HTA, Hypertension; ISV, isavuconazole; L-AMB, liposomal Amphotericin B; LPV/r, Lopinavir/ritonavir; N/C, Not Classifiable; NAFLD, Non-Alcoholic Fatty Liver Disease; P/F, PaO2/FiO2; TA, Tracheal Aspirate; UTI, Urinary Tract Infection; VAP, ventilator-associated pneumonia; VRC, voriconazole.

Values marked in bold means that are positive results.

^aEquivalent >20 mg/day of prednisone.

respiratory samples (nasopharyngeal swab, tracheal aspirate, bronchial aspirate or bronchoalveolar lavage fluid).

COVID-19-associated CAPA was classified as possible/probable/proven aspergillosis according to the European Organization for Research and Treatment of Cancer/Invasive Fungal Infections Cooperative Group and the National Institute of Allergy and Infectious Diseases Mycoses Study Group (EORTC/MSG) if the patient was immunosuppressed.¹⁹ In non-immunocompromised patients, the modified AspICU algorithm was used.²⁰ Accordingly, patients could be classified as: 2. Putative aspergillosis: all four criteria had to be met: (i) Aspergillus-positive lower respiratory tract culture; (ii) compatible signs and symptoms—one or more of the following: fever refractory to at least three days of appropriate antibiotic therapy, recrudescent fever after a period of defervescence, pleuritic chest pain, pleuritic rub, dyspnoea, haemoptysis, worsening respiratory insufficiency despite appropriate antibiotic therapy and ventilatory support; (iii) abnormal chest X-ray or computed tomography (CT) scan; (iv) either presence of host risk factors—one of the following (neutropenia, underlying haematological or oncological malignancy, glucocorticoid treatment with prednisone equivalent >20 mg/day, congenital or acquired

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Anti-COVID-19 treatment	Thoracic CT scan	EORTC/ MSG criteria	AspICU	Modified AspICU	Microbiology results	Antifungal treatment	CAPA- related mortality
LPV/r → Remdesivir Hydroxychloroquine IFN-B1b	Not performed	N/C	Putative	N/C	TA: Aspergillus fumigatus Serum: GM: 0.10/BDG: 2.6	No	Yes
LPV/r Hydroxychloroquine IFN-B1b	Not performed	N/C	Putative	Putative	BAS: A. <i>citrinoterreus</i> Serum: GM: 1.94 /BDG: 2.6	$VRC \rightarrow ISV$	Yes
LPV/r Hydroxychloroquine IFN-B1b	Not performed	N/C	Putative	Putative	BAL: A. fumigatus (GM 2.80) Serum: GM: 0.21/BDG: 2.5	$VRC\toISV$	Yes
LPV/r Hydroxychloroquine Azithromycin	Bilateral patchy areas of GGO and pneumothorax	Probable	Putative	Putative	BAS: A. fumigatus + A. awamori + A. terreus Serum: GM: 0.56 /BDG: 5.4	ISV	Yes
LPV/r Hydroxychloroquine Azithromycin	Bilateral patchy areas of GGO and pneumothorax	N/C	Putative	N/C	BAS: A. fumigatus Serum: GM: 0.12/BDG: 2.6	L-AMB	Yes
LPV/r Hydroxychloroquine IFN-B1b	Bilateral patchy areas of GGO and lung fibrosis	N/C	Putative	Putative	BAS: A. fumigatus Serum: GM: 0.56/ BDG: 17.8	$\text{L-AMB} \rightarrow \text{ISV}$	Yes
LPV/r Hydroxychloroquine IFN-B1b	Bilateral patchy areas of GGO and cavitated nodule	Probable	Putative	Putative	BAS: A. <i>lentulus</i> Serum: GM: 1.94/ BDG: 15.7	No	Yes
Hydroxychloroquine Azithromycin	Bilateral patchy areas of GGO	Probable	Putative	Putative	BAL: A. fumigatus (GM > 7) Serum: GM: 0.05/BDG: 3.7	No	Yes

immunodeficiency) or microbiological criterion with *Aspergillus*positive culture of bronchoalveolar lavage (BAL) fluid without bacterial growth and a positive cytological smear showing branching hyphae. Galactomannan (GM) detection values in BAL and serum were added to this algorithm as a microbiological criterion (modified AspICU).²⁰

Based on the literature, we accepted COVID-19-induced ARDS as a host risk factor. 7

Aspergillus colonisation: Isolation of Aspergillus spp. in one or more respiratory samples for which the former criteria was not fulfilled. Acute respiratory distress syndrome diagnosis was based on the Berlin definition²¹ which requires the occurrence of timing, radiological, and clinical criteria.

COVID-19-associated pulmonary aspergillosis-related mortality was defined as death with current signs of IPA. $^{\rm 22}$

Obesity was defined as a body mass index $>25 \text{ kg/m}^2$.

2.4 | Microbiology data

Presence of SARS-CoV-2 in respiratory samples was confirmed by RT-PCR (TaqManTM 2019-nCoV Assay; Applied Biosystems).

Detection of 1,3- β -d-glucan (BDG) in serum was performed with the Wako β -glucan test (Fujifilm Wako Pure Chemical Corporation). A cut-off value of 11 pg/mL was used. GM testing was performed using PlateliaTM Aspergillus (Bio-Rad Laboratories) with a cut-off value of \geq 0.5 in serum and \geq 1.0 in BAL. Serum GM and BDG were performed in cases of suspected fungal infection. Culture of respiratory samples was performed upon clinical request on Sabouraud dextrose agar and BHI (Brain Heart Infusion) agar.

Selected isolates – one isolate per species and patient – were molecularly identified after amplification and further sequencing of the beta-tubulin gene.²³ Antifungal susceptibility testing to amphotericin B, itraconazole, voriconazole, posaconazole (Sigma-Aldrich), and isavuconazole (Basilea Pharmaceutica International Ltd.) was performed according to EUCAST 9.3.2 methodology.²⁴ Resistant isolates defined based on updated 2020 EUCAST breakpoints.²⁵

2.5 | Statistical analysis

Clinical records were recorded onto a data collection form and transferred to an anonymised database for statistical analysis with the IBM SPSS Statistics package version 24.0 for Macintosh. The Shapiro-Wilk normality test was applied; considering that some variables did not have a normal distribution, non-parametric tests were performed. Categorical variables are presented as frequencies and percentages. Continuous variables results are expressed as medians and interquartile range (IQR).

To detect significant differences between groups, the Mann-Whitney *U* test for continuous variables and χ^2 or Fisher's exact test (when at least one expected frequency in a fourfold table is less than five) for categorical variables were used.

2.6 | Ethical approval

The study was approved by the Research Ethics Committee with Medicines of Hospital General Universitario Gregorio Marañón (study MICRO.HGUGM.2020-027) and granted a waiver of informed consent from study participants.

3 | RESULTS

During the study period, 2723 patients were admitted to our hospitalisation wards and of those, 239 to ICUs (8.7%) due to COVID-19.

3.1 | Aspergillus spp. cultures and invasive infection during the COVID-19 pandemic

Culture of respiratory samples yielded positive results for *Aspergillus* spp. in 17 COVID-19 patients, corresponding to eight cases with

probable CAPA and nine colonisations (52.9%). CAPA prevalence was 0.3% among all COVID-19 hospitalised patients (8/2723) and 3.3% in COVID-19 patients admitted to the ICU (8/239).

When a comparison of IPA cases was made considering monthly records, the mean number increased 4.4 fold in non-immunocompromised patients (from 0.5 cases/month in 2019 to 2.2 cases/ month in 2020) during the pandemic (Figure 1), and all were CAPA cases. The incidence of IPA in haematological patients doubled (0.3 cases/month in 2019 and 0.6 cases/month in 2020).

3.2 | Description of COVID-19-associated pulmonary aspergillosis patients

The characteristics of the eight CAPA patients are detailed in Table 1. Median age was 64.5 years (IQR 61.0–73.5) and 75% were male. Underlying conditions were cardiovascular disease (7/8), chronic kidney disease (3/8) and severe asthma (2/8). Obesity was present in 4/8 and it was the only pre-existing comorbidity in one of the cases. Two patients were immunosuppressed (25%): one patient with past chronic lymphocytic leukaemia without active treatment and one liver transplant recipient.

All CAPA patients were admitted to the ICU and received mechanical ventilation (MV) after a median of two days (IQR 2.0–14.3) since hospital admission due to ARDS [Median 89 mmHg (IQR 74.0– 97.3) P/F ratio]. Median duration of ICU stay plus MV was eight days until the first *Aspergillus* spp. isolation and 15 days until the definite CAPA diagnosis.

Previous or concomitant bacterial and/or viral infections were found in 5/8 patients (62.5%), as described in Table 1. All patients received antimicrobials and corticosteroids (≥20 mg/day of prednisone equivalent) during a median of 13 and 10 days before *Aspergillus* spp. isolation, respectively. Four patients required continuous renal replacement therapy before CAPA diagnosis.

Regarding COVID-19 treatment, all patients received hydroxychloroquine [median 12 days (IQR 11.0–12.5)] plus lopinavir/ritonavir [median 12 days (IQR 11.0–13.0)]. Additionally, five patients received interferon beta-1b (IFN- β -1b) every 48 h [median 7 days (IQR 5.0–7.5)] as established by the local protocol for severe COVID-19 pneumonia. Due to IFN- β -1b stock depletion and updated scientific evidence,²⁶ IFN- β -1b was replaced by azithromycin in the remaining three patients. All CAPA patients received tocilizumab.

Thoracic CT scans were performed in five patients showing bilateral ground-glass opacities (five patients), pneumothorax (two patients), lung fibrosis and a cavitary nodule (one patient in each case).

Microbiological results are detailed in Table 1. All patients had positive Aspergillus fumigatus (6/8) or other species (A. lentulus, A. citrinoterreus) respiratory tract cultures. Direct examination of respiratory samples with calcofluor white staining was not performed to avoid contamination of laboratory personnel/technician. BAL was performed in two patients with positive A. fumigatus culture and positive GM index (2.8 and >7). Serum GM was positive in 4/8 patients (50%) and serum BDG in 2/8 (25%). All studied isolates were TABLE 2 Comparison between COVID-19 patients colonised by Aspergillus spp. (AC) and with COVID-19-associated pulmonary aspergillosis (CAPA)

	CAPA patients n = 8	AC n = 5	p
Age years – mean (SD)	64.5 (60.5-73.8)	64 (42.5-74.0)	.77
Gender (male %)	6 (75.0)	5 (100)	.49
Comorbidity			
Hypertension	7 (87.5)	4 (80.0)	1.00
Diabetes mellitus	1 (12.5)	1 (20.0)	1.00
COPD	1 (12.5)	0	1.00
Asthma	2 (25.0)	0	.49
Obesity	4 (50.0)	4 (80.0)	.56
Lymphocytes at admission (cell/mm ³)	700 (700–1200)	500 (400-1000)	.22
Immunomodulation			
Tocilizumab	8 (100)	2 (40.0)	.04
Corticosteroids (>=20 mg/day Prednisone)	8 (100)	4 (80.0)	.38
Days of corticosteroids till diagnosis	10 (7.3–26.3)	5.5 (0.5–11.3)	.20
Time since COVID-19 detection ^a (median days, IQR)	21 (15.8–37.5)	6 (3.0–10.5)	.003
ICU stay before diagnosis ^a (median days, IQR)	15 (9.75–19.0)	3 (2.0-8.0)	.02
MV before diagnosis ^a (median days, IQR)	15 (9.75–19.0)	3 (2.0-8.0)	.02
Antimicrobial therapy before diagnosis ^a (median days, IQR)	13 (10.5–18.8)	5 (2.0-5.5)	.008
Microbiological findings			
Aspergillus fumigatus	6 (75.0)	3 (60.0)	.51
Positive serum BDG	2/6 (33.3)	0/2	1.00
Positive serum GM	3/7 (42.9)	0/3	.47
Outcome			
Overall mortality	8 (100)	2 (40.0)	.04
Days from diagnosis ^a till death	4 (2.0–15.0)	21 (16.0–26.0)	.08

Note: p values comparing *Aspergillus* colonisation and invasive aspergillosis are tested by Kruskal–Wallis (continuous variables) or Chi-square test (categorical variables).

p values numbers marked in bold indicate numbers that are significant (p<.05).

Abbreviations: AC, Aspergillus colonisation; BDG, 1,3 β-D-glucan; CAPA, COVID-19-associated pulmonary aspergillosis; COPD, chronic obstructive pulmonary disease; GM, galactomannan; ICU, intensive care unit; IQR, interquartile range; MV, mechanical ventilation; SD, standard deviation. ^aUntil diagnosis of CAPA or until Aspergillus culture (AC).

fully susceptible to the azoles tested, with the exception of the *A. lentulus* isolate that showed resistance to voriconazole (Table S1).

Antifungal (AF) treatment was administered to 5/8 patients (the three non-treated patients were in ominous clinical state and died within the first 48 hours following CAPA diagnosis). Isavuconazole was given to four patients due to difficulties with voriconazole therapeutic drug monitoring (TDM) during the COVID-19 pandemic. Median duration of AF treatment was 11 days (IQR 8-17).

All patients died supposedly due to CAPA, a median of 4 days (IQR 2-15) since diagnosis.

3.3 | Differences between COVID-19-associated pulmonary aspergillosis and *Aspergillus* colonisation

All CAPA patients were admitted to the ICU. Thus, we compared the characteristics of the eight CAPA patients with the five

COVID-19 patients also in the ICU but who were only colonised by *Aspergillus* spp. (AC) (Table 2). Patients with CAPA stayed significantly longer on MV before obtaining a positive culture for *Aspergillus* spp. (15 days vs. 3 days, p = .02); had received tocilizumab more frequently (100% vs. 40%, p = .04), and longer courses of antibacterial therapy before the isolation of *Aspergillus* spp. (13 days vs. 5 days, p = .008). There were no differences on serum GM and BDG positivity. All-cause mortality was higher in CAPA patients (100% vs. 40%, p = .04).

3.4 | Review of the literature

Table 3 summarises the cases reported in Western literature, containing the ones described here. Overall, 96 potentially eligible for CAPA cases have been reported, from which 93 had been admitted in ICUs. The disease was detected after a mean of 7 days of WILEY-mycoses

stay in the ICU. Seven patients (7.3%) had EORTC/MSG host risk factors and eight fulfilled criteria for IPA (8.3%), six proven and two probable. We analysed each case individually and classified them according to the recent definition of influenza-associated pulmonary aspergillosis²⁷ and also according to previous definition of putative IPA (AspICU and modified AspICU): 59 cases fulfilled probable CAPA definition, same as modified AspICU algorithm, and according to AspICU algorithm, 56 cases are putative and 13 would be colonisations. Six patients are considered proven in all classifications. The remaining patients who do not meet the definitions in the different categories could be also considered as colonisations.

The following species were isolated: 61 A. *fumigatus*, seven Aspergillus spp, four A. *flavus*, three A. *niger*, one A. *citrinoterreus*, one A. *terreus*, one A. *lentulus*, one A. *nidulans* and one A. *awamori*.

As for biomarker performance, BAL-GM was positive in 49/63 (77.8%) and 17/81 (21%) in serum samples; BDG was positive in 9/22 (40.9%). Thoracic CT scan was performed in 31/96 cases and showed lesions compatible with angioinvasive IPA in four cases. Antifungal therapy was given to 62/96 patients, and overall mortality rate was 56.3% (54/96).

4 | DISCUSSION

This is the fourth largest study in number of CAPA cases published in the literature and the second in Spain. CAPA was diagnosed in 0.3% of the 2723 patients with COVID-19 hospitalised at our centre, accounting for 3.3% of the 239 patients in the ICU. All patients were under MV, had received tocilizumab and corticosteroids, and eventually died.

There are no strong diagnostic criteria for this type of cases as tissue-proven diagnosis is rare in this scenario and CT scans are not sensitive enough. Accordingly, different algorithms and non-uniform criteria have been used to classify these patients.^{20,27,28} Analysing the reports case-by-case in the literature review, 21 cases (21.8%) with AspICU algorithm and 31 cases (32.3%) with modified AspICU and CAPA definition were not classifiable.

In our institution, we observed an important increase in the number of IPA cases in non-immunosuppressed patients during the COVID-19 pandemic. However, the incidence rate in ICU patients with COVID-19 (3.3%) is lower than the reported in other series from Western countries (3.8%–33.3%) (Table 3).¹⁻⁸ In a case series from China, the rate of CAPA was 7.7% among 104 COVID-19 patients and 30.7% among 26 ICU patients.²⁹ The main difference may rely on the fact that there was no patient selection in our study and we used as the denominator all patients admitted to an ICU during the pandemic months. Moreover, in some series, *Aspergillus* colonisation may have been misclassified as CAPA, since 5/9 patients survived despite not receiving AF treatment.² We believe that our figure of 3.3% reflects more accurately current CAPA incidence.

Our study indicates that CAPA develops in ICU patients with COVID-19-related ARDS *Aspergillus* colonisation occurs soon after the patient did placed under MV in COVID-19 patients, while CAPA usually appears later (3 days vs. 15 days under MV). As usually seen in ICU aspergillosis,^{20,30} only a small proportion of patients with CAPA fulfilled EORTC/MSG's criteria (eight out of 96 cases).

The physiopathology of CAPA infection remains undetermined. Immune dysregulation associated with ARDS³¹ or its treatment (tocilizumab, corticosteroids) may predispose to infections with opportunists.³² A recent study³³ analyses two possible explanations for this: first, the release of danger-associated molecular patterns (DAMPs) in ARDS, which promote and exacerbate the immune and inflammatory response leading to lung injury. DAMPs have also been shown to regulate inflammation in fungal diseases; second, the involvement of IL-1 and IL-6 in immune dysregulation. Early hyperactivation of IL-1 induced by SARS-CoV-2 infection may promote permissive inflammatory environment for developing fungal infection. Moreover, IL-6 is also observed in epithelial cells following infection with A. fumigatus, suggesting that co-infection may contribute to the increased levels of this cytokine in severe COVID-19 patients.³⁴ The use of tocilizumab, a monoclonal antibody against IL-6 receptor used as a therapeutic strategy in the immunomodulation of COVID-19 patients, was detected in high concentrations in patients with CAPA.¹ Beside fungal infections, IL-6 inhibition may increase the risk of tuberculosis or other viral aetiologies.^{35,36} In our study, tocilizumab use was more common in infected than in colonised patients.

Risk factors described in CAPA patients include older age, lymphopenia, chronic respiratory diseases, corticosteroid therapy, antimicrobial therapy, MV or cytokine storm.^{29,37,38}

Due to the severity of the clinical situation, thoracic CT scans were performed only in 5/8 (62.5%) patients of our series, and globally in 31/96 patients (32.3%). Thoracic CT scans may be difficult to assess in patients with ARDS-associated COVID-19,³⁹ usually showing ground-glass opacities, a crazy-paving pattern and patchy consolidations.⁴⁰ As described in Table 3, typical COVID-19 radiological findings are usually present in CAPA cases, sometimes with consolidating peribronchial patterns. However, classic findings of angioinvasive fungal infection (infarct shaped consolidation, cavity, halo signs, mass or nodules)⁴¹ are anecdotic (four cases). The possibility of airway invasive aspergillosis has to be taken into account in order to improve diagnostic accuracy, but more data are needed.

Most cases of CAPA from our series and the literature with positive culture are caused by A. *fumigatus* (61/80, 76.3%). The usefulness of biomarkers in the diagnosis of CAPA is limited. In our cases, serum GM and the BDG were positive in 42% and 33%, respectively. Similar results are observed in the literature,^{2,5–7,9–12} (serum GM 21% and BDG 40.9%). However, positive GM results in BAL samples are higher (77.8%), suggesting the possibility that CAPA patients are more prone to have an airway invasive infection than an angioinvasive one.^{2,42,43} In our experience, fungal biomarkers are undoubtedly useful, but CAPA diagnosis in critical patients should not be exclusively based on them due to potential multiple causes that may

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TABLE

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Outcome	44% 30-day mortality rate	3/9 Deaths (33.3%)	7/10 Deaths (70%)	8/8 Deaths (100%)	2/7 Detahs (28.5%)	4/7 Deaths (57.1%)	3/6 Deaths (50%)
AF treatment	13 VRC	1 VRC 1 CSP	$\begin{array}{c} 2 \text{ VRC} \\ 1 \text{ VRC} + \text{ CSP} \\ 1 \text{ L-AMB} \\ 1 \text{ L-AMB} \rightarrow \text{ ISV} \\ 1 \text{ L-AMB} \rightarrow \text{ VRC} \\ 1 \text{ L-AMB} \rightarrow \text{ VRC} \\ 1 \text{ ANI} \rightarrow \text{ L-AMB} \\ 1 \text{ MICA} \rightarrow \text{ VRC} \rightarrow \text{ IS} \\ \text{ SV} \rightarrow \text{ L-AMB} \end{array}$	3 ISV 2 L-AMB (one switched to ISV)	VRC or ISV	4 VRC (two switched to ISV)	5 VRC + Anidulaf. 1 L-AMB
CT scan performed and results	N	3/9. Bilateral COVID-19 pneumonia One with peripheral nodule	1/10 Interstitial infiltrates and GGO	5/8. Typical bilateral COVID-19 pneumonia One pneumothorax and other with cavitated nodule	Diffuse reticular or alveolar opacities, nodules in half of putative cases, and non- specific signs in colonised patients.	1/7; Results NR	1/6; No signs of IFI
Serum BDG (+)	ЧN	4/7	a Z	2/8	ЧN	d X	å Z
Serum GM (+)	1/30	1/9	1/2	4/8	2/7	1/6	0/3
BAL GM (+)	30/30	1/7	2/2	2/8	٩	5/6	2/3 NP in 3 patients
Aspergillus LRT culture	15 A. fumigatus 3 A. niger 1 A. flavus	7/9 A. fumigatus in (5 BAL, 2 TA)	9 A. fumigatus (BAS) 1 A. nidulans (BAS)	5/8 A. fumigatus (2 BAS, 2 BAL, 1 TA); 1 A. citrinoterreus (BAS); 1 A. lentulus (BAS) 1 A. fumigatus + A. awamori + A. terreus (BAS)	7 patients with positive Aspergillus spp cultures and/or PCR	5 A. fumigatus (5 BAL); 1 A. flavus (TA) 1 (-) BAL	5/6 A. fumigatus in (2 TA, 2 BAL, 1 sputum) 1 (-) BAL
EORTC/ MSG	0	1 Probable	1 Probable	3 Probable	o	4 proven	0
Modified AspICU (Schauwvlieghe)	30 Putative	6 Putative	0	6 Putative	3 Putative	4 Proven 2 Putative	3 Putative
AspICU (Blot)	19 Putative	5 Putative 2 Colonised	7 Putative	8 Putative	9 Putative	4 Proven 1 Putative 1 Colonised	2 Putative 3 Colonised 1 NC
CAPA definition (Verweij)	30 Probable	6 Probable	0	6 Probable	3 Probable	4 Proven 2 Probable	3 Probable
ICU stay before CAPA-days (IQR)	4 (2-8)	z	X	15 (9.7-19.0)	X	8 (5-13)	5 (3-14)
Number of patients included	30/108 ICU patients (27.7%)	9/27 ICU patients (33.3%)	10 COVID-19 patients with positive A. <i>fumigatus</i> culture (7 under MV)	8/239 ICU patient (3.3%)	7/45 MV patients (15.5%)	7/34 ICU patients (20.6%)	6/31 ICU patients (19.3%)
Reference	Bartoletti M. (Italy) ¹	Alanio A. (France) ²	Falces-Romero 1. (Madrid, Spain) ³	Machado M. (Spain) Present report	Gangneux JP. (France) ⁴	Rutsaert L. (Belgium) ⁵	Van Arkel A. (The Netherlands) ⁶

E3 (Cont	inued)												
	Number of patients included	ICU stay before CAPA-days (IQR)	CAPA definition (Verweij)	AspICU (Blot)	Modified AspICU (Schauwvlieghe)	EORTC/ MSG	Aspergillus LRT culture	BAL GM (+)	Serum GM (+)	Serum BDG (+)	CT scan performed and results	AF treatment	Outcome
² (Au	5/19 ICU patients (26.3%)	X	4 Probable	1 Putative 2 Colonised	4 Putative	o	3/5 A. fumigatus (1 BAL, 2 TA)	3/3 NP in 2 patients	2/5	AN	5/5. Typical COVID-19. pneumonia One patient with cavitated nodules and air crescent	2 VRC 1 ISV 2 CSP switched to VRC	3/5 Deaths (60%)
erland) ⁸	3/80 MV patients (3.8%)	7 (3-8)	1 Probable	3 colonised	1 Putative	o	3/3 A. fumigatus (BAS)	Å	1/3	1/3	2/3 Multiple consolidations 1/3 Interstitial infiltrates and GGO	3/3 VRC	1/3 Deaths (33%)
any) ⁹	2 ICU patients	5 and 6	2 Probable	2 Putative	2 Putative	0	A. fumigatus in 2 BAL	2/2	1/2	٩	2/2: Typical signs of COVID-19 pneumonia. No signs of IFI	2 L-AMB	2/2 Deaths (100%)
.e) ¹⁰	1 ICU patient	4	0	Colonised	0	0	A. fumigatus (TA)	NP	Neg	Neg	ЧN	None	Death
ia) ¹¹	1 ICU patient	ę	0	Colonised	0	0	A. fumigatus (TA)	ЧN	Neg	Neg	Reversed halo sign	VRC	Death
 .e) ¹²	1 ICU patient	х Х	0	Colonised	o	o	A. flavus (TA)	٩	d Z	d	Pleural effusion, alveolar condensations, ground-glass opacities, and pulmonary cysts	VRC switched to ISV	Death
The erlands) ¹³	1 ICU patient	1	0	Putative	0	0	A. fumigatus (TA) azole resistant	Pos	ea Nea	Pos	Bilateral GGO No specific suggestions of aspergillosis	VRC + CSP→Oral VRC → L-AMB	Death
S. (Italy) ¹⁴	1 ICU patient	9	Proven	Proven	Proven	Proven	A. fumigatus (BAL)	NP	Pos	NP	NP	$L-AMB \rightarrow ISV$	Death
۸. ralia) ¹⁵	1 ICU patient	10	0	Colonised	0	0	A. fumigatus (TA)	ЧN	NP	ЧN	٩N	VRC	Alive
d A. id) ¹⁶	1 ICU patient	ი	Probable	Colonised	Putative	0	A. fumigatus tri-azole resistant (TA)	Pos (TA)	Pos	Pos	ЧР	L-AMB	Death
ez NB. htina) ¹⁷	1 ICU patient	25	Probable	Putative	Putative	0	A. flavus (TA)	ЧN	Pos	ЧN	AP	ANI → VRC	Death
4F.) ¹⁸	1 ICU patient	ო	Proven	Proven	Proven	Proven	Histopathological findings of <i>Aspergillus</i> spp in lungs (autopsy) confirmed by PCR.	д	۵ Z	d Z	٩	None	Death

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(Continues)

TABLE 3 (Continued)

Outcome	54/96 deaths (56.3%)
AF treatment	62/96 treated (39 azoles, 10 L-AMB, 5 VRC + ANI, 2 VRC + CSP, 6 candins) ^b
CT scan performed and results	31/96 had CT scan Four with data suggestive of angioinvasive IFI (EORTC/MSG)
Serum BDG (+)	9/22 (40.9%)
Serum GM (+)	17/81 (21%)
BAL GM (+)	49/63 (77.8%)
Aspergillus LRT culture	61 A. fumigatus 7 Aspergillus spp 4 A. flavus 3 A. niger 1 A. citrinoterreus 1 A. erreus 1 A. erreuus 1 A. indulous 1 A. indulous
EORTC/ MSG	6 proven 2 probable 88 NC
Modified AspICU (Schauwvlieghe)	6 proven 59 putative 31 NC
AspICU (Blot)	6 proven 56 putative 13 colonised 21 NC
CAPA definition (Verweij)	6 CAPA proven 59 CAPA probable 31 NC
ICU stay before CAPA-days (IQR)	Mean of 7 days
Number of patients included	96 patients (93 ICU)
Reference	Overall, including present report

COVID-19-associated pulmonary aspergillosis; CSP, caspofungin; GGO, ground-glass opacities; GM, galactomannan; ICU, intensive care unit; ISV, Abbreviations: AF, antifungal; ANI, Anidulafungin; BAL, bronchoalveolar lavage; BAS, bronchial aspirate; BDG, 1,3-β-D-glucan; CAPA,

liposomal Amphotericin B; MICA, micafungin; MV, mechanical ventilation; NC, Not classifiable; NP, not performed; NR, isavuconazole; L-AMB,

not reported; PIPA, putative invasive pulmonary aspergillosis; TA, tracheal aspirate; VRC, voriconazole.

^aUse of corticosteroids >0.3 mg/kg of prednisone during 3 weeks.

^bAntifungal used as first line.

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lead to false positives. Thus, we do not currently recommend systematic screening of fungal biomarkers in COVID-19 ICU patients until further scientific evidence is available. However, the use of GM in BAL, when feasible, may help physicians to properly identify aspergillosis cases.

Due to high CAPA-related mortality, we support prompt initiation of AF treatment in ICU patients for whom respiratory *Aspergillus* spp. has been isolated and fulfil CAPA criteria. This becomes particularly relevant in patients with prolonged MV, high-dose corticosteroids, long courses of antibiotic therapy and/or previous tocilizumab use. In our centre, isavuconazole proved to be very convenient due to the difficulties with voriconazole TDM. Its attractive pharmacokinetic profile is especially relevant in patients with variable volume of distribution, such as critical or obese subjects. Unfortunately, all our patients died soon after the diagnosis. The reported mortality in the literature is 65%, although several cases of patients who even survive without treatment may represent colonisations rather than real CAPA cases.

The limitations of this study are that it is based on data from a single centre and there is absence of tissue-proven diagnosis.

In summary, severe COVID-19 pneumonia requiring MV may be complicated due to the occurrence of a form of invasive aspergillosis that is difficult to diagnose because of its non-specific clinical and radiological presentation. The current definitions of CAPA and modified algorithms derived from severe influenza infection allow to get closer to the proper diagnosis of this disease. Given the very high mortality and the difficult treatment due to coexisting comorbidity, it is necessary to promote the creation of internationally accepted diagnostic and therapeutic criteria.

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CONFLICT OF INTEREST

Dr Machado reports personal speaker fees from Pfizer, outside the submitted work. Dr Valerio reports personal speaker fees from GSK, speaker fees from Pfizer, and speaker fees from MSD, outside the

submitted work. Dr Muñoz reports personal fees from PFIZER, personal fees from GILEAD, outside the submitted work.

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AUTHOR CONTRIBUTIONS

Marina Machado: Conceptualization (equal); Data curation (lead); Formal analysis (lead); Investigation (equal); Methodology (equal); Writing-original draft (lead); Writing-review & editing (equal). Maricela Valerio: Conceptualization (equal); Methodology (equal); Writing-review & editing (equal). Ana Álvarez-Uría: Investigation (equal); Methodology (equal). María Olmedo: Investigation (equal). Cristina Veintimilla: Investigation (equal). Belén Padilla: Investigation (equal). Sofía de la Villa: Investigation (equal). Jesus Vicente Guinea Ortega: Investigation (equal); Methodology (equal); Writing-review & editing (equal). Pilar Escribano: Investigation (equal); Methodology (equal). Maria Jesús Ruiz-Serrano: Methodology (equal); Resources (equal). Elena Reigadas: Investigation (supporting); Resources (supporting). Roberto Alonso: Investigation (equal); Resources (equal). José Eugenio Guerrero: Resources (equal). Javier Hortal: Resources (equal). Emilio Bouza: Supervision (supporting); Writing-review & editing (supporting). Patricia Muñoz: Conceptualization (equal); Supervision (lead); Writing-review & editing (supporting).

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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