

1 **Intravaginal artesunate pessaries for treatment of cervical intraepithelial neoplasia 2/3**
2 **among HIV-positive and HIV-negative women in Kenya: Study protocol for a pilot trial**

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24 **Abstract**

25 **Background:** Cervical cancer disproportionately affects women in low- and middle-income
26 countries (LMICs), which bear 90% of deaths. Current precancer treatments rely on healthcare
27 workers who may be out of reach for many women. Development of a patient-controlled cervical
28 precancer treatment can significantly improve access in remote areas and promote secondary
29 prevention of cervical cancer.

30 **Methods:** This is a phase I trial among 18 HIV-positive and HIV-negative women in Kenya,
31 investigating use of artesunate vaginal pessaries as treatment for cervical precancer among
32 women screening positive for cervical precancer who need excisional treatment. The primary
33 objective will be the safety of self-administered artesunate pessaries. Participants will self-
34 administer 200mg of artesunate vaginally daily for 5 days, followed by a drug-free week,
35 repeated for a total of 4 cycles (artesunate self-administration on weeks 1, 3, 5, 7). The total
36 study duration, including participant follow-up is 48 weeks. Safety and adherence will be
37 assessed through review of symptom diaries and biweekly follow-ups during the treatment
38 phase. Data analysis will include quantitative and qualitative methods. Figure 1 illustrates the
39 study schema.

40 **Discussion:** Considering the challenges associated with excisional treatments for cervical
41 precancer in LMICs where access to care is limited, this study proposes an alternative approach
42 using intravaginal Artesunate. This clinical trial will provide important safety and efficacy data
43 on using artesunate as a topical therapy for both HIV-positive and HIV-negative women.

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45 **Trial Registration:** ClinicalTrials.gov identifier: NCT06165614

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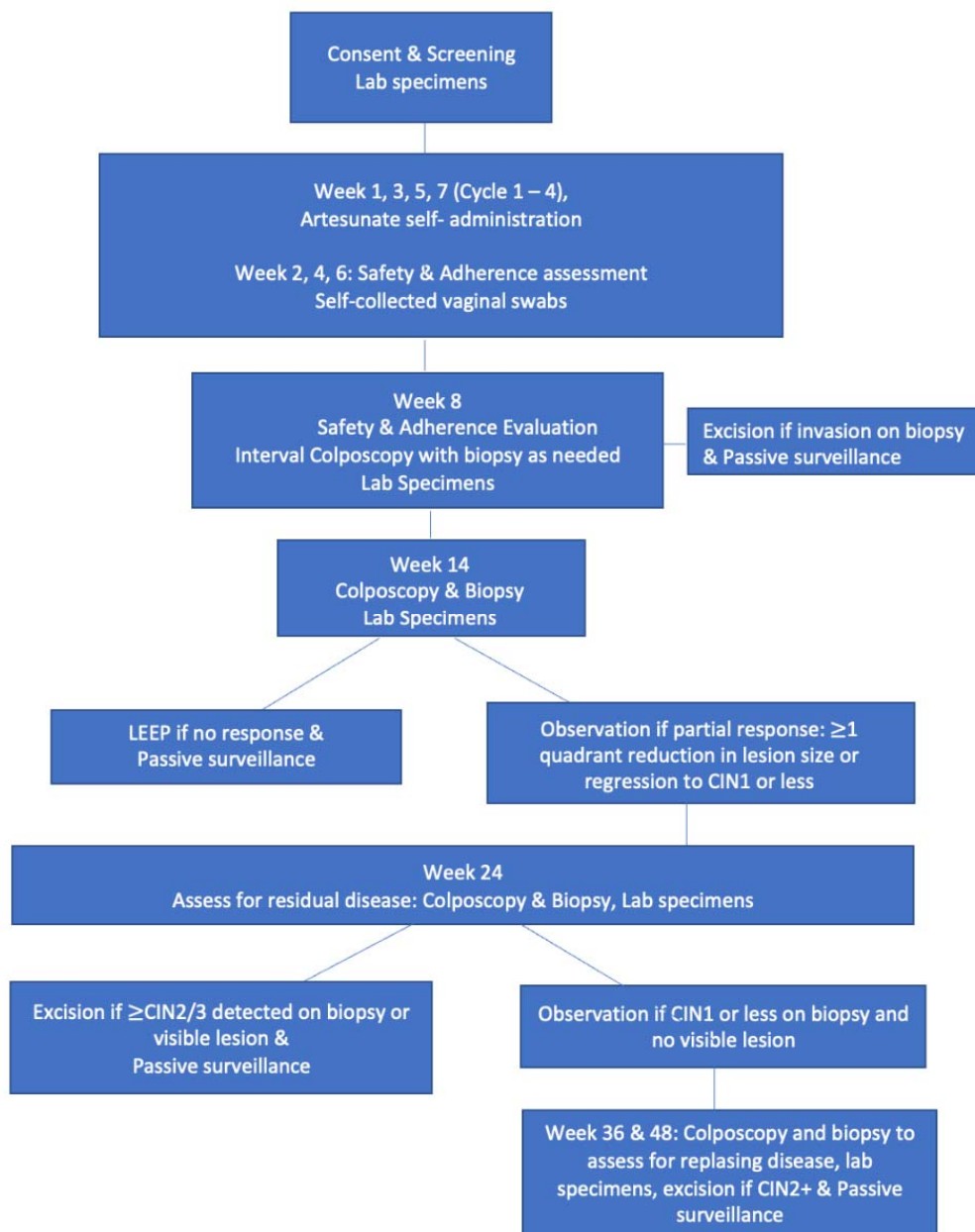
47 **Keywords:** Artesunate pessaries; Cervical precancer treatment; Human Immunodeficiency Virus

48 (HIV); Cervical Intraepithelial Neoplasia (CIN); Low- and middle-income countries; Human

49 papillomavirus (HPV)

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53 *Figure 1. Study schema*

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56 **Introduction**

57 Although cervical cancer, defined as cancer occurring in cells of the cervix - the lower
58 part of the uterus - is preventable, low- and middle-income countries (LMICs) bear a
59 disproportionate burden, accounting for 85% of an estimated 570,000 incident cases, and 90% of
60 deaths annually.¹ In Kenya, cervical cancer accounts for 5,240 (12.9%) of new cancer cases
61 annually and 3,286 (11.4%) of all cancer deaths annually.² Cervical cancer is caused by infection
62 with human papillomavirus (HPV), and primary prevention is obtained through vaccination
63 against the HPV virus. Secondary prevention of cervical cancer is achieved by regular screening,
64 during which time the cervical precancer lesion – cervical intraepithelial neoplasia – can be
65 detected and treated to prevent progression to cervical cancer. Women living with HIV (WLWH),
66 the majority of whom live in LMICs, have increased incidence and persistence of human
67 papillomavirus (HPV) infection,³ and a six to eightfold increased risk of developing invasive
68 cancer compared to HIV-negative women.^{4,5} Cervical precancer is categorized into low grade
69 disease – cervical intraepithelial neoplasia grade 1 (CIN1) – and high-grade disease, cervical
70 intraepithelial neoplasia grade 2 and 3.⁶ Both cervical precancer and cancer diagnoses are
71 established through pathology evaluation of cells from the cervix obtained through a biopsy.

72 The standard of care in Kenya for treatment of cervical precancer, recommended by the
73 World Health Organization, involves ablation using cryotherapy or thermal ablation, or excision,
74 using the loop electrosurgical excision procedure (LEEP) or cold knife cone (CKC).⁷ In LMICs
75 including Kenya, ablative treatments are performed by nurses in lower level facilities as they do
76 not require anesthesia or technical expertise, including the ability to control bleeding.² However,
77 women who do not meet criteria for ablation, which includes large lesions covering more than
78 75% of the cervix, are referred for excisional treatment. Excisional treatment is often only

79 available in tertiary facilities staffed by doctors and consultants, and access to these facilities in
80 LMICs is challenging due to distance and costs associated with referrals, especially for women
81 living in rural regions. In a 2018 study from rural Western Kenya, with a sample of 100 women
82 with abnormal lesions referred to a gynecologist in a tertiary facility, 64% were ultimately lost to
83 follow-up,⁸ highlighting significant challenges in the referral process. If women make it to
84 tertiary centers, delays are common due to limited long wait times. In one study from Kenyatta
85 National Hospital, a referral hospital in Kenya, the median time from an abnormal cervical cancer
86 screening result to excisional treatment was 167 days.⁹ These delays increase the risk of
87 progression to cervical cancer where curative options are limited.

88 Unlike ablative treatment, excisional treatment, which involves surgical removal of the
89 diseased part of the cervix, is a surgical procedure which requires local anesthesia and the ability
90 to control bleeding. Complications related to excisional treatments include increased risk of
91 infection and pain associated with the procedure. For women of childbearing age, a significant
92 long term complication of excisional treatments involves future obstetric risks, including
93 premature delivery, premature rupture of membranes, low birth weight, and admission of the
94 newborn to the intensive care unit.^{10,11} In comparison to women who undergo no excision, those
95 with a history of loop excision face a 1.56 increased risk of premature delivery, while those with
96 a cold knife cone have 2.70 increased risk of premature delivery.¹² This risk is particularly
97 consequential in LMICs including Kenya where access to neonatal intensive care units – where
98 premature infants are cared for, is severely limited – as a result, many infants born prematurely
99 do not survive.¹³ In the context of HIV infection, women develop cervical precancer lesions
100 earlier in age, often before childbearing, increasing their obstetric risks following an excisional
101 procedure.¹⁴ Additionally, women with HIV face up to 18.5% (95% CI 11.6-28.8) rate of high-

102 grade cervical precancer (CIN2/3) recurrence at 12-months following excision.³ This recurrence
103 often requires repeat excision, and in a systematic review and meta-analysis which included
104 65,082 women, the risk of premature birth was increased as a result of this.¹¹

105 To mitigate the complications associated with excisional treatments for HPV-associated
106 precancer, scientists are investigating the use of non-excisional treatments including topical
107 therapies with cytotoxic properties. Recent evidence, primary from studies in high-income
108 countries (HICs), demonstrate that topical therapies – which can both be self- or provider-
109 administered - may be utilized as treatment of HPV-associated anogenital lesions including
110 cervical precancer.^{15–20}

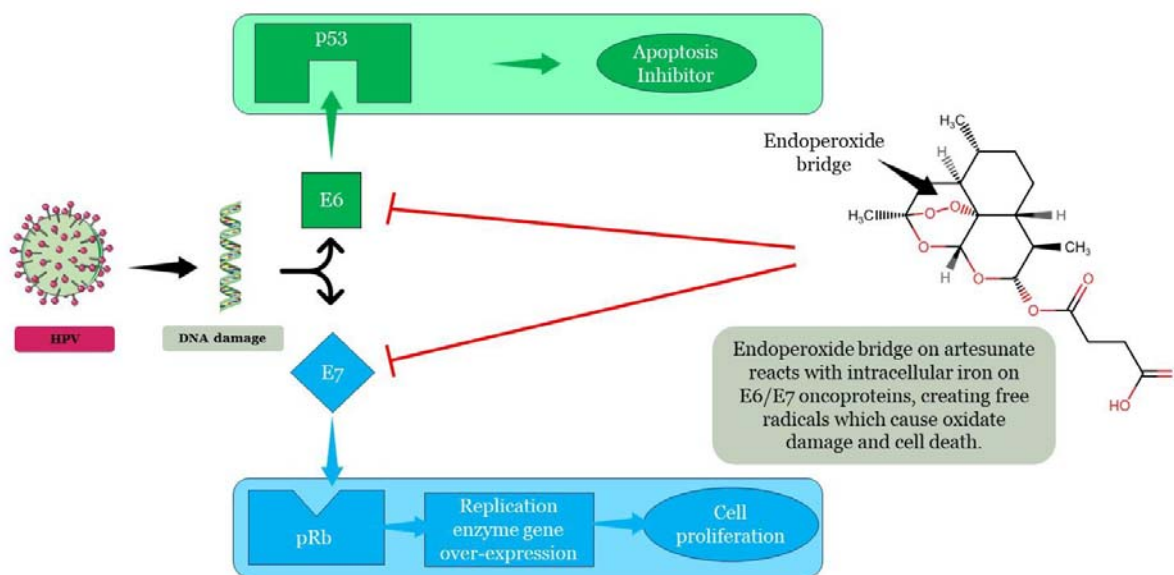
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112 Intravaginal Artesunate for Cervical Precancer Treatment

113 A potential topical therapy for which early studies are available is Artesunate, a semi-
114 synthetic derivative of artemisinin. This World Health Organization (WHO)-approved drug is
115 widely used to treat malaria in LMICs, and there is growing evidence demonstrating cytotoxic
116 effects against numerous cancer cell lines both *in vitro* and *in vivo*.^{21,22,23,24} Its proposed
117 mechanisms of action include suppressing cell proliferation by inducing G1 and G2/M phase cell
118 cycle arrest in the human breast, nasopharyngeal, and renal cell cancer. It also modulates key
119 inflammatory pathways characteristic of uncontrolled proliferation and carcinogenesis.^{25,26}
120 Ferroptosis – a type of iron-dependent cell death – is thought to be a key anticancer mechanism
121 relevant to HPV-infected cells.²⁷ Cancer cells are highly proliferative, requiring a heavy iron load
122 which acts as a cofactor in synthesizing deoxyriboses before cell division.²⁸ Development of both
123 high-grade cervical intraepithelial neoplasia (CIN2/3), the precursor lesion of cervical cancer, and
124 cervical cancer are associated with the expression of two viral proteins in the HPV lifecycle, E6

125 and E7.²⁹ Epithelial cells that express either or both of these oncoproteins also overexpress the
126 transferrin receptor, and have been shown to have increased levels of intracellular iron compared
127 with normal cells.²⁷ This observation has been exploited to investigate whether preinvasive
128 cervical cancer (CIN2/3), can be treated with Artesunate, which contains an endoperoxide bridge
129 that reacts with intracellular ferrous iron to generate free radicals, capable of inducing direct
130 oxidative damage resulting in cell death.²⁶ Figure 2 illustrates a conceptual framework detailing
131 the proposed mechanism of action of artesunate on HPV-infected cells, specifically targeting E6
132 or E7 oncoproteins. In vivo studies have shown Artesunate's ability to induce cytotoxicity and
133 apoptosis in HeLA cervical cancer cells.³⁰ Additionally, preclinical studies in oral mucosa of dogs
134 challenged with canine oral papillomavirus demonstrated that dihydroartemisinin (DHA), the
135 bioactive form of Artesunate, inhibits tumor growth with little to no effect on normal
136 epithelium.³⁰

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138
139 *Figure 2.* Conceptual framework of artesunate action on HPV-infected cells, specifically
140 targeting E6 & E7 oncoproteins. Image adapted from Bedell et al (2020).

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143 These findings, coupled with Artesunate's favorable safety profile, led to a 2020 proof-of-
144 concept study of intravaginal artesunate suppositories for treatment of CIN2/3 among U.S. HIV-
145 negative women prior to planned standard-of-care excision.²⁴ In this Phase I trial dose-escalation
146 study involving 28 women with biopsy-confirmed CIN2/3, the self-administration of three five-
147 day cycles of intravaginal 200 mg artesunate pessaries (vaginal inserts) proved to be safe and
148 well-tolerated. Results from this study included the histologic regression of CIN2/3 among 67.9%
149 of participants in an intention-to-treat analysis, with 47.4% experiencing clearance of HPV
150 genotypes detected at baseline.²⁴ Participants who received three treatment cycles (12.9 weeks)
151 experienced a shorter mean time to CIN2/3 regression compared to those who received one cycle
152 (20.4 weeks). The CIN2/3 regression rate of 67.9% is clinically relevant compared to the 28%
153 spontaneous regression rate observed in a similar group of women with CIN2/3 undergoing close
154 observation for 15 weeks prior to a planned standard of care resection.²⁴ Furthermore, in this
155 'first-in-human' study of intravaginal Artesunate for CIN2/3 treatment, treatment was safe, well
156 tolerated, and all reported adverse events (AEs) were grade I or II and self-limited. Reported AEs
157 among participants who used three five-day artesunate cycles included chills and flu-like
158 symptoms (n=3, grade 1), vaginal (yeast) infection (n=1, grade II), dizziness or headache (n=2,
159 grade 1), non-infective cystitis (n=1, grade 2), vaginal pain or uterine cramping (n=9, grade I),
160 vaginal discharge (n=4, grade 1), vaginal pruritis (n=9, grade 1). In summary, 37 drug-related
161 AEs were observed in this Phase I trial, of which 34 (92%) were grade I, and 3 (8%) were grade
162 2. No grade 3 or 4 AEs were reported, and there were no intolerable side effects that resulted in
163 study withdrawal. Other studies in high-income countries have shown that use of four treatment
164 cycles improves treatment efficacy, particularly in immunocompromised patients. There are no
165 clinical trials of Artesunate among HIV-positive women.

166 The risks associated with excisional treatments for cervical precancer, coupled with the
167 challenges associated with accessing these treatments in countries like Kenya demonstrate a need
168 for studies on alternative treatments that may be more readily available and have fewer
169 complications for young women. The objective of this study is to build on the evidence from US-
170 based studies which demonstrate safety and early efficacy of artesunate for cervical precancer
171 and perform a Phase I trial in Kenya to evaluate whether artesunate – which is readily available
172 in LMICs and has an excellent safety profile – can be used as a self-administered treatment for
173 cervical precancer among both HIV-positive and HIV-negative women who are referred for
174 excisional treatment. The rationale for performing a Phase I trial in Kenya, despite a previous
175 Phase I trial in the U.S, includes the need to get early safety and efficacy data in WLWH, given
176 their exclusion from the U.S trial. Additionally, this trial aims to obtain data on feasibility,
177 considering the different social contexts in Kenya that may impact the acceptability and
178 adherence to this intervention. Finally, differences between women in Kenya and the U.S., such
179 as variations in the vaginal microbiome which play a key role in HPV acquisition and adherence,
180 may influence treatment responses that are important to establish before a larger trial is
181 conducted.¹⁻⁴ These reasons justify a Phase I trial in this setting.

182

183 **Materials and Methods**

184 *Research Objectives*

185 *Primary Objective*

186 This study primarily aims to investigate the safety of self-administered artesunate
187 pessaries among HIV-positive and HIV-negative women with cervical precancerous lesions
188 referred for excision in Kenya.

189

190 *Secondary Objectives*

- 191 1. Investigate adherence to self-administered artesunate pessaries for cervical precancer
192 treatment among HIV-positive and HIV-negative women.
- 193 2. Evaluate change in lesion size following self-administered artesunate pessaries for cervical
194 precancer treatment among HIV-positive and HIV-negative women.
- 195 3. Investigate the rate of histologic regression to CIN1 or less following self-administered
196 artesunate pessaries among participants with CIN2 or worse on biopsy, among HIV-positive and
197 HIV-negative women.
- 198 4. Investigate acceptability of self-administered artesunate pessaries for cervical precancer
199 treatment among HIV-positive and HIV-negative women in Kenya.

200

201 *Exploratory objectives*

- 202 1. Investigate longitudinal changes in Human Papillomavirus (HPV) infection following
203 intravaginal artesunate pessary use among HIV-positive and HIV-negative women.
- 204 2. Investigate longitudinal changes in cervical microbiome following intravaginal artesunate
205 pessary use among HIV-positive and HIV-negative women.
- 206 3. Investigate longitudinal changes in the expression of biomarkers of local immune activation
207 following intravaginal artesunate pessary use among HIV-positive and HIV-negative women.
- 208 4. Investigate changes in artemisinin drug resistance patterns for treatment of malaria, if any,
209 among study participants among HIV-positive and HIV-negative women.

210

211 *Study Design and Setting*

212 This is a single arm, open-label phase I, non-randomized study. Participants meeting the
213 inclusion criteria will be sequentially enrolled. The study will take place at the Lumumba Sub-
214 County Hospital in Kisumu County, while enrollment will be at local hospitals in Kisumu, Siaya,
215 and Migori counties.

216 As reported in the 2019 National Consensus, Kisumu County had a population of about
217 1,155,574 and a land area of 2085.9km³¹. The county has a shoreline on Lake Victoria,
218 occupying northern, western and a part of the southern shores of the Winam Gulf. There is one
219 teaching and referral hospital, 5 County referral hospitals, 14 sub-county hospitals, 74
220 dispensaries and 18 health centers in the county. While cervical cancer screening is offered in all
221 public hospitals and some health centers, Loop Electrosurgical Excision Procedure (LEEP)
222 treatment is only available at the referral hospital in Kisumu town serving the whole county. All
223 women in Kisumu County who need excision are referred to this referral hospital.

224 Siaya County has a population of approximately 993,183, based on 2019 data from the
225 National Consensus.³¹ In Siaya County, cervical cancer screening is offered at the referral
226 hospital as well as in the approximately 5 sub-county hospitals and a few health centers. While
227 all hospitals and some health facilities in Siaya County which all offer cervical cancer screening,
228 at present, all women who need excision are referred to the teaching and referral hospital in
229 Kisumu County.

230 According to the same 2019 National Consensus report previously referenced, Migori
231 County has a population of 1,108,950.³¹ Public hospitals in Migori include Migori County
232 Referral Hospital and subcounty hospitals including Oyani and Awendo sub-county hospitals.
233 While most hospitals offer cervical cancer screening and ablation for cervical precancer
234 treatment is available in most, no hospital in Migori County offers LEEP treatment. All women

235 who need LEEP are referred to neighboring counties including Kisii County as well as Kisumu
236 County.

237

238 ***Participant Recruitment and Eligibility***

239 Participants will be recruited from local hospitals in Siaya, Migori, and Kisumu counties
240 where the study team will give educational talks about the study protocol as part of community
241 outreach activities. Women interested in participating will be screened for eligibility and
242 subsequently enrolled and consented if all eligibility criteria are met. Enrollment for this study
243 will be done on a rolling basis at the study sites with no limit to participant enrollment per site.

244 The study population will include women aged 18 years and older with cervical lesions
245 referred for excision, including those with biopsy-confirmed CIN2/3. Prospective participants
246 must meet additional inclusion criteria to qualify for enrollment in this study. These criteria
247 include a minimum weight of 50 kg at the start of the study, a weight confirmed as safe by
248 comprehensive safety data for the planned artesunate dosing of ≤ 4 mg/kg. Additionally,
249 participants must express willingness to use contraception (barrier or hormonal) until week 24 if
250 of childbearing age and must possess the capacity and willingness to provide informed consent.

251 Potential participants will be excluded if they meet any of the following criteria: current
252 pregnancy or breastfeeding; current or past history of invasive cervical cancer; history of total
253 hysterectomy; CD4 count < 200 at time of study entry if HIV positive; presence of
254 adenocarcinoma in situ on cervical biopsy; currently undergoing systemic chemotherapy or
255 radiation therapy for another cancer; current use of systemic immunosuppressants or steroids
256 (> 10 mg of prednisone or equivalent); have a medical comorbidity that, in the opinion of the
257 investigator, would interfere with study participation; received chemotherapy within < 1 month

258 prior to day 1 of study treatment; identify as male at birth; or current using efavirenz
259 antiretroviral therapy.

260

261 ***Study Procedures by visit***

262 Screening Visits:

263 Once a participant has been deemed eligible for participation and has provided their
264 informed consent to the study procedures, they will go through various screening activities
265 before they complete their first self-administration of artesunate. During these visits, basic
266 demographic and medical history information will be collected. A comprehensive physical
267 examination will be conducted, including blood collection for HIV testing and malaria
268 surveillance, pregnancy testing, a colposcopy and biopsy, self-collection of vaginal swabs, and
269 the collection of cervicovaginal specimens. Alongside these various medical tests, study staff
270 will collect updated locator information, review concomitant medication, review potential
271 adverse events that could result from this study drug, and will counsel participants on artesunate
272 self-administration and the participant symptom diary.

273

274 Week 1, 3, 5, and 7

275 Weeks 1, 3, 5, and 7 mark the four treatment cycles in this study. Each of these weeks
276 will see the administration of a 200 mg pessary (vaginal insert) of artesunate nightly for five
277 consecutive days, each five-day period marking one treatment cycle. To ensure proper usage, all
278 study participants will receive detailed counseling and instructions on artesunate use prior to
279 administration, including instructions to wash their hands before and after inserting the pessaries.

280 Following the application of the artesunate pessary using a study-provided applicator,
281 participants will be encouraged to insert a tampon overnight to keep the pessary at the cervix,
282 removing the tampon the next morning. In ensuring the participant's safety, non-superabsorbent
283 tampons will be provided, with clear instructions to not keep them in for longer than 10 hours to
284 minimize the risk of toxic shock syndrome. Participants will also be instructed to abstain from
285 sexual intercourse after applying the pessary to minimize irritation, however, sexual intercourse
286 before pessary insertion is not prohibited.

287 Literate participants will record artesunate use on a study-provided calendar. Weekly
288 phone calls from study staff will serve to review usage instructions, document any adverse events
289 (AE's), and address participant questions. Participants will be advised against douching during
290 the dosing phase and will be told to refrain from using any ointments, gels, or other types of
291 pessaries. In cases of bacterial or yeast vaginal infections, participants should use oral medication
292 as prescribed by the study investigator.

293 The selected dose for this study (200 mg) was chosen based on a combination of
294 published clinical and pharmacokinetic data regarding intra-rectal administration of artesunate
295 suppositories setting along with clinical data demonstrating the safety and tolerability of
296 intravaginal artesunate (the same drug/formulation used in this study) among women with
297 CIN2/3.^{24, 32-34}

298 The frequency of treatment, occurring once every other week, allows for a drug-free
299 week between treatment cycles. This intentional break not only accommodates a break for
300 menstrual bleeding in women with menses but also offers participants relief if they experience
301 local adverse events, in hopes to ensure compliance. Additionally, while sexual activity is not
302 prohibited while using the pessaries, this treatment frequency allows for flexibility in intimacy

303 schedules, especially for participants who do not want to have sexual intercourse during
304 treatment. The intermittent dosing proposed for this study are also consistent with existing
305 literature on topical therapies for cervical or anal HPV lesions like 5-Fluorouracil (5FU) and
306 Imiquimod.^{15,16,19}

307

308 Weeks 2, 4, and 6

309 Weeks 2, 4, and 6 mark the off weeks of artesunate treatment. During these weeks,
310 participants will return to the study clinic for safety and adherence assessments. These
311 evaluations include malaria surveillance tests, pregnancy testing, pelvic exams to assess adverse
312 events, and the collection of self-collected vaginal swabs. Also, during these weeks, the study
313 staff will update participant locator information, re-review concomitant medication, and maintain
314 regular telephone contact with the participants to support adherence.

315

316 Week 8

317 This visit is intended to serve as a built-in safety check for participants. During this visit,
318 participants will return to the study clinic for the final safety and adherence assessments. Similar
319 safety and adherence assessments will be done as in weeks 2, 4, and 6 (malaria surveillance tests,
320 pregnancy testing, pelvic exams to assess adverse events, and the collection of self-collected
321 vaginal swabs), with the addition of a colposcopy and collection of cervicovaginal specimens for
322 HPV testing and microbiome testing. Participants will return their unused pessaries, used
323 applicators, and packaging from used pessaries to the clinic. An acceptability questionnaire will
324 be administered, and an in-depth interview performed as part of acceptability assessment. If a
325 lesion appears larger or more severe compared to baseline, a biopsy will be taken to test for

326 invasion. If invasion is present – which is unlikely due to the speed at which cervical precancer
327 progresses³⁵ – the participant will be referred for immediate treatment.

328

329 Week 14

330 Participants will return to the clinic for the following safety assessments: pelvic exam, pregnancy
331 testing, collection of self-collected vaginal swabs and cervicovaginal specimens, a colposcopy
332 and cervical biopsy, and review of adverse events. During this visit, it will be determined whether
333 a participant requires a LEEP or continued surveillance based on the result from the biopsy. If
334 there is regression noticed, the participant will be monitored again in week 24. If the lesion has
335 progressed or remains persistent, then they will receive a LEEP. The rationale behind the 10-
336 week observation period following regression detection is to allow time to monitor the impact of
337 Artesunate. This decision is based on existing data that indicates that participants with partial
338 response may take up to 22 to 38 weeks to achieve regression. This observation period is safe
339 given the known prolonged time to progression to cancer.²⁴

340

341 Weeks 24, 36, and 48

342 The exams and specimens to be collected during these weeks will be the same as in week 14.

343 Participants will return to the clinic for the following safety assessments: pregnancy testing,
344 collection of self-collected vaginal swabs and cervicovaginal specimens, and a colposcopy and
345 biopsy. Similar to Week 14, during these visits it will be determined whether a participant
346 requires a LEEP or continued surveillance based on the result from the biopsy. At Week 24, any
347 participant with CIN2 or higher or a visible lesion on colposcopy will have standard of care
348 excision. Participants with regression to CIN1 or less and no visible lesion – which demonstrates

349 cure – will be monitored for relapse at Week 36 and Week 48 and will have excision if CIN2 or
350 higher is diagnosed. At each follow-up period, at least two (and up to 4) cervical biopsies (in each
351 quadrant) and an endocervical curettage will be done to improve diagnostic accuracy. Participants
352 whose lesions resolve completely will have biopsies at the sites of the lesion.

353 *Statistical Analysis*

354 Being a single-arm phase I study, our design is not meant to demonstrate differences in
355 outcomes. Instead, our goal is to calculate the precision needed to demonstrate the primary
356 endpoint of safety. With our sample size of 18 participants and assuming no serious AEs (grade 3
357 or higher) are observed (as was the case in a US-based phase I intravaginal artesunate study), we
358 anticipate a one-sided upper 95% confidence bound for the prevalence of serious AEs to be 15%.
359 Assuming a potential 15% drop off, with 15 participants completing the study, the one-sided
360 upper 95% confidence bound for the prevalence of adverse events would be 18%. Based on our
361 prior studies on cervical cancer in this region of Kenya, where we anticipate enrolling 1-2
362 participants a week, we expect to enroll 18 participants in 9-18 weeks (2.25-4.5 months). The
363 follow-up period for participants with a complete response is expected to be up to 12 months.

364 Safety will be assessed by evaluating the type, frequency, severity, and duration of
365 adverse events (AEs) using the U.S National Cancer Institute Common Terminology Criteria for
366 Adverse Events, v5.0 (CTCAE 5.0). Adverse event counts for each participant, categorized by
367 severity (grades 1-5), will be tabulated in both HIV status groups. The proportion of participants
368 experiencing severe AEs (grade 3 or higher) within each HIV status group will be reported along
369 the exact (Clopper-Pearson) one-sided upper 95% confidence bounds. Additionally, the
370 proportion of participants reporting severe AEs (if any) will be reported, along with an exact one-
371 sided upper 95% confidence bound. The safety assessment period will begin at study week 1

372 (first artesunate cycle) and will continue through study week 14 (8 weeks post-artesunate use), or
373 until the last attended safety visit in the case of premature study exit. Safety data will be reported
374 for all participants who start artesunate use.

375 Secondary endpoints will be analyzed as follows. Adherence will be evaluated based on
376 participant self-report, examination of returned packaging for used pessaries, and inspection of
377 vaginal applicators under ultraviolet light for evidence of intravaginal insertion. A participant
378 will be considered adherent if both methods substantiate the use of 80% (16 of 20) of the
379 pessaries provided. The proportion of participants meeting this 80% adherence criterion will be
380 reported along with a precise two-sided 95% confidence interval (CI), stratified by HIV status.
381 Additionally, the difference in the proportion of participants who meet the adherence criterion
382 between the HIV-positive and HIV-negative groups will be reported, along with an exact two-
383 sided 95% CI.

384 To evaluate the change in lesion size, colposcopy images of cervical lesions from
385 baseline and time of excision, or week 24 (whichever comes earlier), will be compared. This
386 evaluation will note the changes in number and size of lesions as well as the cervical quadrants
387 involved. Blinded evaluations of pre and post treatment images will be done by two
388 gynecologists not involved in the study to determine evidence of reduced lesion size. If the two
389 gynecologists do not agree, then a third will be used as a tiebreaker. The proportion of
390 participants with a reduction in lesion size will be reported with an exact two-sided 95% CI,
391 stratified by HIV status. The difference in proportions with reduction in lesion size between the
392 HIV-positive and HIV-negative groups will be reported, along with an exact two-sided 95% CI.

393 Histologic regression will be evaluated by comparing the initial diagnostic biopsy at
394 study entry with the exit biopsy or excisional specimen final diagnosis. Participants with

395 complete regression at follow-up will have a confirmatory biopsy at the lesion site which will be
396 used for final diagnosis, defining regression as CIN1 or less in the resection or biopsy specimen.
397 All specimens will be reviewed by an in-country pathologist and slides scanned for further
398 review by a second pathologist at UNC. A third pathologist will be used for consensus if the first
399 two pathologists disagree. The proportion of participants with histologic regression will be
400 reported with corresponding 95% CI, stratified by HIV status and differences in proportions with
401 histologic regression between the HIV groups will be estimated with corresponding exact two-
402 sided 95% CIs.

403 Acceptability will be assessed using a close-ended structured questionnaire and in-depth
404 interviews with study participants performed at the end of artesunate use in week 8. Responses to
405 the acceptability questionnaire will be summarized, including means and standard deviation for
406 responses to questions graded on a Likert scale, and proportions and 95% CIs for yes/no
407 questions. In-depth interviews will be transcribed, coded, and analyzed using thematic analysis.

408 Exploratory outcomes will include longitudinal changes in HPV infection, markers of
409 local immune activation, and the cervical microbiome throughout the study period. Specimens
410 will be collected and stored for future analysis. This includes the measurement of changes in the
411 expression of biomarkers associated with local immune activation, such as IFN- α 2, IFN- γ , IL-
412 10, IL-12, IL-1 α , TNF, CD8 (effector T cells), CD71 (transferrin receptor), and cleaved caspase 3
413 (apoptotic cell death) The analysis of these biomarkers will be stratified based on HIV status.

414 Changes in the cervical microbiome will be assessed using several techniques that
415 include evaluating the diversity of the bacterial taxa by identifying community state types (CSTs)
416 and evaluating changes in Lactobacillus-dominant environment. To quantify changes in

417 artemisinin resistance patterns between baseline and week 8, genotypic and phenotypic changes
418 in isolated parasites will be compared during two specific time periods.

419

420 **Discussion**

421 This pilot trial will examine the safety and early efficacy of artesunate – a widely
422 available WHO-approved drug used to treat malaria in LMICs – as a self-administered therapy
423 for cervical precancer among women referred for excisional treatment, including both HIV-
424 positive and HIV-negative women. This study will build upon existing research in HICs but will
425 expand its scope to obtain safety data of this drug in WLWH, as studies in the U.S. did not
426 include participants with HIV. Additionally, this research will explore the feasibility of
427 implementing this topical therapy in Kenya where social contexts for women are notably
428 different than in the U.S. Existing data on artesunate indicates a favorable safety profile and
429 cytotoxic effects against numerous cancer cell lines both in vitro and in vivo. For these reasons,
430 it is anticipated that self-administered artesunate pessaries will be safe and effective for both
431 HIV-positive and HIV-negative women with cervical precancer in LMICs such as Kenya.

432

433 **Current Status**

434 The study opened for enrollment in March 2024.

435

436 **Trial registration:** The trial is registered under U.S Clinical trial registry (clinicaltrials.gov,
437 NCT06165614).

438

439 **List of abbreviations**

440	LMIC:	low- and middle-income countries
441	HIV:	human immunodeficiency virus
442	HPV	human papillomavirus
443	WLWH:	women living with HIV
444	CIN2/3:	cervical intraepithelial neoplasia grade 2 and 3
445	LEEP:	Loop Electrosurgical Excision Procedure
446	CKC:	Cold Knife Cone
447	DHA:	Dihydroartemisinin
448	AEs:	adverse events
449	5FU:	5-Fluorouracil
450	CTCAE	U.S National Cancer Institute Common Terminology Criteria for Adverse Events
451	CI	Confidence Interval
452	CSTs	Community state types
453	WHO:	World Health Organization

454

455 **Data Availability Statement**

456 Data

457

458 **Ethics Statement**

459 This clinical trial has full ethics review board approval from the University of North Carolina

460 Chapel Hill and Amref Health Africa. Written informed consent will be obtained from all study

461 participants.

462

463 **Competing interests**

464 “The authors declare they have no competing interests.”

465

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472

473 **Authors’ contributions:**

474 CM, LR, and JT conceived and designed the study, providing subject matter expertise and
475 overseeing all aspects of protocol development. JO provided guidance on protocol development
476 and will lead protocol implementation in country. LR (Co-Investigator) provided expertise on
477 study design and protocol development. JO (Co-Investigator) contributed subject matter
478 expertise, study design, protocol implementation, and capacity building for providers. KS and
479 CH contributed to manuscript writing. All authors, in their respective roles, contributed to study
480 and manuscript preparation and have collectively approved the final manuscript.

481

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