

RESEARCH ARTICLE

Predictive model and determinants of odds of neonates dying within 28 days of life in Ghana

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Abstract

Background: One of the priorities and important current problem in public health research globally is modeling of neonatal mortality and its risk factors in using the appropriate statistical methods. It is believed that multiple risk factors interplay to increase the risk of neonatal mortality. To understand the risk factors of neonatal mortality in Ghana, the current study carefully evaluated and compared the predictive accuracy and performance of two classification models.

Methods: This study reviewed the birth history data collected on 5884 children born in the 5 years preceding the 2014 Ghana Demographic and Health Survey (GDHS). The 2014 GDHS is a cross-sectional nationally representative household sample survey. The relevant variables were selected using leaps-and-bounds method, and the area under curves were compared to evaluate the predictive accuracy of unweighted penalized and weighted single-level multivariable logistic regression models for predicting neonatal mortality using the 2014 GDHS data.

Results: The study found neonatal mortality prevalence of 2.8%. A sample of 4514 children born in the 5 years preceding the 2014 GDHS was included in the inferential analysis. The results of the current study show that for the unweighted penalized single-level multivariable logistic model, there is an increased risk of neonatal death among babies born to mothers who received prenatal care from non-skilled worker [OR: 3.79 (95% CI: 2.52, 5.72)], multiple births [OR: 3.10 (95% CI: 1.89, 15.27)], babies delivered through caesarian section [OR: 2.24 (95% CI: 1.30, 3.85)], and household with 1 to 4 members [OR: 5.74 (95% CI: 3.16, 10.43)], respectively. The predictive accuracy of the unweighted penalized and weighted single-level multivariable logistic regression models was 82% and 80%, respectively.

Conclusion: The study advocates that prudent and holistic interventions should be institutionalized and implemented to address the risk factors identified in order to reduce neonatal death and, by large, improve child and maternal health outcomes to achieve the SDG target 3.2.

Abbreviations: AUC, area under the receiver operating characteristic curve; DHS, Demographic and Health Surveys; EAs, enumeration areas; GDHS, Ghana Demographic and Health Survey; MICS, Multiple Indicator Cluster Survey; PSU, primary sampling unit.

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KEYWORDS

Ghana, neonatal mortality, risk factors, under-five mortality, unweighted penalized multivariable logistic regression, weighted multivariable logistic regression

1 | BACKGROUND

One of the priorities and important current problem in public health research globally is modeling of neonatal mortality and its risk factors using the appropriate statistical methods to inform targeted child health policy and intervention strategies. To model and understand the associated factors and variation in child mortality in Ghana, household surveys such as the Multiple Indicator Cluster Surveys (MICS) and the Demographic and Health Surveys (DHS), which ask about survival of children, have been carried out over the years.¹

It is critical to note that the most vulnerable time for a child's survival is the first 28 days of life (the neonatal period). Globally, children face the highest risk of dying in their first month of life at an average rate of 18 deaths per 1000 live births in 2017.² In 2018, an estimated 2.5 million newborns died worldwide during the first 28 days of life.³ Similarly, a review of the literature indicates that 2.6 million babies die every year worldwide before turning 1 month old.⁴ There are approximately 7000 newborn deaths every day, amounting to 47% of all child deaths under the age of 5 years, up from 40% in 1990.³ Every year globally, approximately 30 million newborns are at risk, and those who are born too soon or too small, or who become sick, are at the greatest risk of death and disability and need specialized care to survive.⁵

UNICEF indicated in a new report on newborn mortality that global deaths of newborn babies among the world's poorest countries remain alarmingly high.⁶ It was highlighted in the report that 8 of the 10 most dangerous places to be born are in sub-Saharan Africa, where pregnant women are much less likely to receive assistance during delivery due to poverty, conflict, and weak institutions.

It is evident that data from 2014 GDHS show a marginal decline in neonatal mortality by 3% over the 15-year period preceding the survey, from 30 to 29 deaths per 1000 live births. On the contrary, there were substantial declines in post-neonatal, infant, and under-5 mortality over the same 15-year period (41%, 21%, and 31%), respectively.⁷

Achieving the SDG target 3.2 more specifically on neonatal mortality requires deeper understanding of the risk factors. A review of the literature indicates that implementing evidence-based interventions for the reduction of neonatal mortality requires that factors which influence the neonatal mortality should be investigated.⁸ A case-control study was conducted in Maceió, Northeastern Brazil to determine risk factors of neonatal mortality. The logistic regression analysis applied by the researchers of the study identified the following as determining factors for neonatal mortality: mothers with a history of previous children who died in the first year of life (OR = 3.08), hospitalization during pregnancy (OR = 2.48), inadequate prenatal care (OR = 2.49), lack of ultrasound examination during prenatal care

(OR = 3.89), transfer of the newborn to another unit after birth (OR = 5.06), admittance of the newborn at the ICU (OR = 5.00), and low birth weight (OR = 2.57).⁹ Multivariate analyses performed in another study conducted in Pakistan showed that living in Punjab province (Adj HR = 2.10, $P = .015$), belonging to the poorest household wealth index quintile (Adj HR = 1.95, $P = .035$), male infants (Adj HR = 1.57, $P = .014$), first rank baby (Adj HR = 1.59, $P = .049$), smaller than average birth size (Adj HR = 1.61, $P = .023$), and mothers with delivery complications (Adj HR = 1.93, $P = .001$) had significantly higher hazards of neonatal death.¹⁰

A regression model was developed to predict the risk of neonatal death with characteristics known at (a) the start of pregnancy, (b) start of delivery, and (c) 5 minutes post-partum in South Asia. The researchers assessed the models' discriminative ability by the area under the receiver operating characteristic curve (AUC), using cross-validation between sites. The study found that the predictors of neonatal deaths at the start of the pregnancy were low maternal education and economic status, short birth interval, primigravida, and young and advanced maternal age. The predictors at the start of delivery as identified by the study were prematurity and multiple pregnancy. And lastly, multiple birth, prematurity, and a poor condition of the infant at 5 minutes were identified as strong predictors.¹¹

In order to understand the functional relationship between neonatal mortality and the risk factors, and to also explore the unit of change for the risk factors,¹⁰ statistical models such as unweighted penalized and weighted single-level multivariable logistic regression models were applied to the 2014 GDHS data. Thus, in this paper, we compared the performance of unweighted penalized and weighted single-level multivariable logistic regression models for predicting neonatal mortality.

2 | METHODS

2.1 | Study population

The required data for the current study were extracted from the 2014 Ghana Demographic and Health Survey (GDHS) dataset created and archived by Ghana Statistical Service, UNICEF, and the DHS program. The 2014 GDHS is a nationally representative household sample survey. The 2014 GDHS successfully interviewed 11 385 occupied households, of which 9396 women aged 15 to 49 were interviewed, and 4388 identified men aged 15 to 49 were successfully interviewed. However, this study reviewed the birth history data collected using the children under 5 years questionnaire, which obtained data on 5884 children born in the 5 years preceding the 2014 GDHS.¹² The inferential analysis for the current study was performed on a reduced

sample of 4514 children born in the 5 years preceding the 2014 GDHS due to the fact that some key variables such as child size and preceding birth interval had missing data values or no data values were recorded for some observations. The 2010 population and Housing census frame was used for the selection of enumeration areas (EAs). The survey design employed a two-stage sampling technique, where the first stage of sampling involved the selection of EAs within each rural and urban areas, and the second stage involved systematic selection of households (secondary sampling units) from each cluster (EAs) at random.¹³

2.2 | Outcome variable

The outcome variable is neonatal mortality. Neonatal mortality is defined as death of the infant within 28 completed days. Neonates who died within 28 days were categorized as “dead” and coded as “1”, and those survived 28 days were categorized as alive and coded as “0”.

2.3 | Independent variables

The selection of predictor variables that influence neonatal mortality was based on the conceptual framework for the study of child survival in developing countries and predictor variables used in previous studies¹⁴⁻¹⁶ and available in the 2014 GDHS datasets. The explanatory variables selected for the analysis included sex of child, birth type, birth interval, health insurance status of mother, size of child, maternal age, ever terminated pregnancy, mode of delivery, maternal marital status, parity, household size, maternal religion, wealth index, and prenatal care assistance.

2.4 | Statistical analysis

Data cleaning and validation were done to ensure data quality before analysis was carried out using STATA version 14. The categorical variables were checked for possible errors due to collection and data entry. These checks were done to ensure that the categories were mutually exclusive and exhaustive, and their corresponding frequencies were accurate. Quantitative variables were checked for outliers using histogram.

Since the design of the GDHS is complex, “svyset” command implemented in STATA was used to declare survey design characteristics for the dataset, incorporating all information about the primary sampling unit (PSU), sampling weight, and stratification in the “svyset” syntax. The “svyset” command in STATA was designed especially for analyzing data from complex sample surveys to adjust for sampling weights.¹⁷ Thus, the first part of the analysis was performed on demographic variables using descriptive statistics such as weighted simple frequency to display and summarize categorical variables while weighted mean and SD were used to summarize the quantitative variables.

Additionally, in the descriptive analysis, decimal values were recorded for neonatal death and neonate alive because weights applied to each sampling unit (k) was used to obtain the weighted estimate of the parameters. Therefore, estimating parameters such as population total using mathematical expression: $\hat{Y} = \sum_{k \in S} w_k Y_k$, where Y_k is a variable of interest for unit k , produces decimal values. The second part of the analysis focused on inferential statistics. The models examined to determine the magnitude of the association between risk factors and neonatal mortality were unweighted penalized and weighted single-level multivariable logistic regression models. To describe standard (unweighted) single-level multivariable logistic regression model, let y_i represent a binary outcome variable (neonatal death) and predictor variables x_1, x_2, \dots, x_p . Thus, the logistic function or model is defined as follows:

$$\Pr(y_i = 1|x_i) = \frac{e^{\beta_0 + \beta_1 X_1 + \dots + \beta_p X_p}}{1 + e^{\beta_0 + \beta_1 X_1 + \dots + \beta_p X_p}} = \frac{1}{1 + e^{-(\beta_0 + \beta_1 X_1 + \dots + \beta_p X_p)'}}$$

where $x_i = x_1, x_2, \dots, x_p$, $\Pr(y_i = 1|x_i)$ is the probability of neonates dying within 28 days of life conditional on the predictor variables x_i , $\beta_0, \beta_1, \dots, \beta_p$, which are the model parameters that are determined using maximum likelihood methods.¹⁸

In order to make a valid statistical inference from the complex survey data, there is the need to enforce some adjustments to the standard single-level logistic regression model that compensates for the survey design due to clustering, stratification, and unequal probability sampling. Thus, the parameters were estimated in logistic regression model by incorporating adjusted weights.

The information criterion-based procedures such as Akaike Information Criterion (AIC), the Bayes Information Criterion (BIC), and the Log-Likelihood (LL) were displayed for the best regressions at each predictor quantity.¹⁹ A lower AIC or BIC value indicates a better fit. To evaluate the predictive accuracy of the unweighted penalized and weighted single-level multivariable logistic regression models, the area under curves (AUC) were compared. The model with the highest AUC score was selected as the best performing model that fits the data well. The fit of the models was also tested using McFadden's adjusted R^2 , and a model with a value between 0.2 and 0.4 is considered an excellent fit. The dataset was divided into training (80%) and validation (20%) sets. The predictive ability of the model was compared using AUC on the training and the validation datasets. Additionally, calibration plot and Hosmer-Lemeshow goodness of fit (GOF) test were performed to assess the fit of the model on the training and validation dataset. The discrimination ability of the unweighted penalized and weighted single-level multivariable logistic models were evaluated using diagnostic metrics such as sensitivity, specificity, false positive, and false negative rates.

2.5 | Ethics approval

The 2014 GDHS protocol was reviewed and approved by the Ghana Health Service Ethical Review Committee and the Institutional Review Board of ICF International.

TABLE 1 Weighted percentage distribution of neonatal mortality by risk factors selected for births within 5 years preceding the 2014 Ghana Demographic and Health Survey (0-59 months)

Characteristics	Weighted frequency		Neonatal death				Total	
			Dead		Alive			
	<i>n</i>	(%)	<i>n</i>	(%)	<i>n</i>	%	<i>N</i>	%
Characteristics			159.6	2.8	5535.30	97.2	5694.90	100
Neonatal factors								
Sex of child								
Male	2970	52.2	88.8	3.0	2881.0	97.0	2970.0	100
Female	2725	47.8	70.8	2.6	2654.0	97.4	2725.0	100
Size of child								
Average/large	4799	84.3	116.6	2.4	4682.0	97.6	4799.0	100
Small	895.5	15.7	43.0	4.8	852.5	95.2	895.5	100
Low birth weight								
No	3107	90.5	21.1	0.7	3085.0	99.3	3107.0	100
Yes	327.6	6.1	9.2	2.8	318.4	97.2	327.6	100
Type of birth								
Single birth	5403	94.9	130.0	2.4	5273.0	97.6	5403.0	100
Multiple birth	291.8	5.1	29.6	10.1	262.2	89.9	291.8	100
Maternal factors								
Birth interval								
<24 months	561.5	13.0	27.5	4.9	534.0	95.1	561.5	100
24-47	2203	51.1	72.6	3.3	2130.0	96.7	2203.0	100
48+ months	1544	35.8	20.4	1.3	1524.0	98.7	1544.0	100
Covered by health insurance								
No	1847	32.4	32.8	1.8	1815.0	98.2	1847.0	100
Yes	3847	67.6	126.8	3.3	3721.0	96.7	3847.0	100
Ever terminated pregnancy								
No	4235	74.4	116.2	2.7	4118.0	97.3	4235.0	100
Yes	1460	25.6	43.4	3.0	1417.0	97.0	1460.0	100
Mode of delivery								
No caesarean section	4966	87.2	124.3	2.5	4842.0	97.5	4966.0	100
Caesarean section	728.7	12.8	35.3	4.9	693.3	95.1	728.7	100
Children ever born								
1	944	16.6	16.3	1.7	927.6	98.3	944.0	100
2	1213	21.3	24.6	2.0	1188.0	98.0	1213.0	100
3	1108	19.5	28.0	2.5	1080.0	97.5	1108.0	100
4	827.2	14.5	28.6	3.5	798.6	96.5	827.2	100
5+	1603	28.1	62.0	3.9	1541.0	96.1	1603.0	100
Prenatal care by								
Non-skilled worker	1664	29.2	101.8	6.1	1562.0	93.9	1664.0	100
Skilled worker	4031	70.8	57.8	1.4	3973.0	98.6	4031.0	100
Sociodemographic factors								
Maternal marital status								
Single	436.6	7.7	11.1	2.6	425.5	97.4	436.6	100
Currently married	4879	85.7	141.2	2.9	4738.0	97.1	4879.0	100
Formally married	379.4	6.7	7.3	1.9	372.2	98.1	379.4	100

TABLE 1 (Continued)

	Weighted frequency		Neonatal death				Total	
			Dead		Alive			
	<i>n</i>	(%)	<i>n</i>	(%)	<i>n</i>	%	<i>N</i>	%
Maternal age								
15-24	1175	20.6	29.0	2.5	1146.0	97.5	1175.0	100
25-34	2839	49.9	70.4	2.5	2768.0	97.5	2839.0	100
35-49	1681	29.5	60.2	3.6	1621.0	96.4	1681.0	100
Household Wealth Index								
Poor	2459	43.2	69.3	2.8	2390.0	97.2	2459.0	100
Medium	1114	19.6	25.8	2.3	1088.0	97.7	1114.0	100
Rich	2122	37.3	64.5	3.0	2057.0	97.0	2122.0	100
Maternal highest level of education								
No education	1561	27.4	39.2	2.5	1522.0	97.5	1561.0	100
Primary education	1141	20.0	36.8	3.2	1104.0	96.8	1141.0	100
Secondary Education	2739	48.1	80.1	2.9	2659.0	97.1	2739.0	100
Higher education	253.9	4.5	3.4	1.4	250.5	98.6	253.9	100
Maternal occupation								
Employed	4679	82.4	135.9	2.9	4543.0	97.1	4679.0	100
Unemployed	1002	17.6	23.4	2.3	978.5	97.7	1002.0	100
Household size								
1-4 members	2118	37.2	73.1	3.4	2045.0	96.6	2118.0	100
5-7 members	2634	46.3	60.3	2.3	2574.0	97.7	2634.0	100
8+ members	943.1	16.6	26.2	2.8	916.9	97.2	943.1	100
Maternal religion								
No religion	238.6	4.2	2.0	0.8	236.6	99.2	238.6	100
Christian	4303	75.6	129.6	3.0	4174.0	97.0	4303.0	100
Islam	969.8	17.0	26.8	2.8	943.0	97.2	969.8	100
Traditional	183.4	3.2	1.1	0.6	182.2	99.4	183.4	100

3 | RESULTS

3.1 | Sample characteristics

Data on a total number of 5694.90 (weighted) children under 5 years extracted from 2014 GDHS database were included in the descriptive analysis. The prevalence of neonatal mortality estimated was 159.6 (2.8%).

Table 1 shows the distribution of association between neonatal death and the risk factors. It is observed that neonatal deaths recorded among the male and female neonates were 88.8 (3.0%) and 70.8 (2.6), respectively. The multiple birth neonates recorded the highest neonatal death (10.1%), while only 2% of neonatal death occurred in the single birth category. Variation in the neonatal deaths across maternal factors was observed in Table 1. For instance, approximately 43.4 (3.0%) neonatal deaths were recorded among infants born to mothers who had ever terminated pregnancy relative to those who never terminated pregnancy, 116.2 (2.7%). The findings also revealed that the highest neonatal mortality occurred within the birth

interval of less than 24 months, 27.5 (4.9%), while the lowest occurred in 48 or more month's category. Additionally, babies born by caesarean section, 35.3 (4.9), are more likely to die within 28 days of life than those born via vaginal delivery, 124.3 (2.5).

3.2 | Variable selection for the model

Table 2 depicts the variable selection process and its associated statistics. It is observed that out of the 14 variables under study, the model with 8 predictor variables was favored by AIC, while the model with 6 predictor variables was favored by BIC. As a remedy, one can select between these 6 and 8 predictors. We consider the eight predictor model as the most prudent choice in our case. Thus, the effect of the eight predictor variables (ie, child size, prenatal care assistants, household size, parity, type of birth, preceding birth interval, mode of delivery, and health insurance status of mother) selected by AIC was investigated using unweighted penalized and weighted single-level multivariable logistic regression models.

3.3 | Evaluation of model fit on training and validation datasets

The calibration test for the training data was tested using Hosmer-Lemeshow goodness of fit (GOF) test ($H-L = 1.41$, $P = .18$) (Table 3). The model fits very well on the training set, thus there is no evidence of lack of fit. The AUC for the fitted model applied to the training set to evaluate predictive ability was 79.4%. On the other hand, the predictive accuracy for the validation data was higher (AUC = 82.30%) than the AUC score (79.4%) for the training data (see Figures 1 and 2).

3.4 | Model comparison between the unweighted penalized and weighted single-level multivariable logistic regression models

The McFadden's adjusted R^2 for unweighted penalized and weighted single-level multivariable logistic regression models are 0.13 and 0.15, respectively, indicating that the weighted logistic model explained higher variability in neonatal death compared with the unweighted penalized

logistic model. Also, the McKelvey and Zavoina's R^2 , Cragg and Uhler's R^2 AIC, BIC values, and other model fit statistic favored the weighted logistic model (Table 4). Thus, for the risk factor identification, the weighted single-level multivariable logistic model is preferred over the unweighted penalized single-level multivariable logistic model.

3.5 | Risk factors influencing neonatal mortality

Table 5 compares the results from unweighted penalized and weighted single-level multivariable binary logistic regression models for predicting the odds of neonate dying within 28 days of life. The results show that multiple birth babies are more likely to die within 28 days of life relative to a single birth babies in the unweighted penalized single-level multivariable logistic model [OR: 3.10 (95% CI: 1.89, 15.27)] and weighted single-level multivariable logistic model [OR: 1.65 (95% CI: 0.67, 4.05)].

Additionally, for the unweighted penalized single-level multivariable logistic model, there is an increased risk of neonatal death among babies born to mothers who received prenatal care from non-skilled worker [OR: 3.79 (95% CI: 2.52, 5.72)], babies delivered through caesarian section [OR: 2.24 (95% CI: 1.30, 3.85)], and household with 1 to 4 members [OR: 5.74 (95% CI: 3.16, 10.43)], respectively. Comparatively, the weighted single-level multivariable logistic model shows that there is a higher risk of neonatal death among babies born to mothers who received prenatal care from non-skilled worker [OR: 4.56 (95% CI: 2.44, 8.52)], babies delivered through caesarian section [OR: 2.84 (95% CI: 1.24, 6.54)], and household with 1 to 4 members [OR: 6.47 (95% CI: 2.84, 14.70)], respectively. Generally, the results from both models differ slightly in terms of the odds ratios.

3.6 | Assessing the predictive performance of the unweighted penalized and weighted single-level multivariable logistic models

The results in Table 6 showed an evaluation of the performance of unweighted penalized and weighted single-level multivariable logistic models using AUC scores. The AUC score associated with the unweighted penalized single-level multivariable logistic regression model was 0.818, which is higher than AUC score (0.804) for weighted logistic regression model. On the other hand, AUC = 0.818 means that the unweighted penalized single-level multivariable logistic regression model had 81.78% chance of accurately predicting neonatal mortality and AUC = 0.804 means that there was 80.4% likelihood that the weighted single-level logistic regression model would

TABLE 2 Optimal models for variable selection

No. of predictors	Optimal models		
	LL	AIC	BIC
1	-504.85	1013.70	1026.53
2	-491.53	989.06	1008.31
3	-476.58	961.16	986.82
4	-465.00	940.00	972.07
5	-459.52	931.05	969.54
6	-454.15	922.29	967.20
7	-451.54	919.08	970.41
8	-450.13	918.25	975.99
9	-449.33	918.65	982.80
10	-448.71	919.43	989.99
11	-448.23	920.45	997.43
12	-448.08	922.16	1005.56
13	-448.03	924.05	1013.86
14	-447.98	925.95	1022.18

Note: Bold values are the lower AIC and BIC values that indicate better fit. This means that the optimal model with 8 predictors was favored by AIC because the lowest AIC score was 918.25. Also the optimal model with 6 predictors was selected by the lowest BIC (967.20) value. Abbreviations: AIC, Akaike's Information Criterion; BIC, Bayesian Information Criterion; LL, Log-likelihood.

Data set	n	ROC	Calibration belt (plot)		Goodness of fit	
			Test statistics	P value	H-L (F)	P value
Training data	3605	0.794	1.72	.190	1.41	.181
Validation data	909	0.823	2.41	.120	1570.48	<.0001

Abbreviation: H-L, Hosmer-Lemeshow test.

TABLE 3 Area under operating characteristic curve (AUC-ROC), calibration plot and Hosmer-Lemeshow goodness of fit test for comparing training and validation set

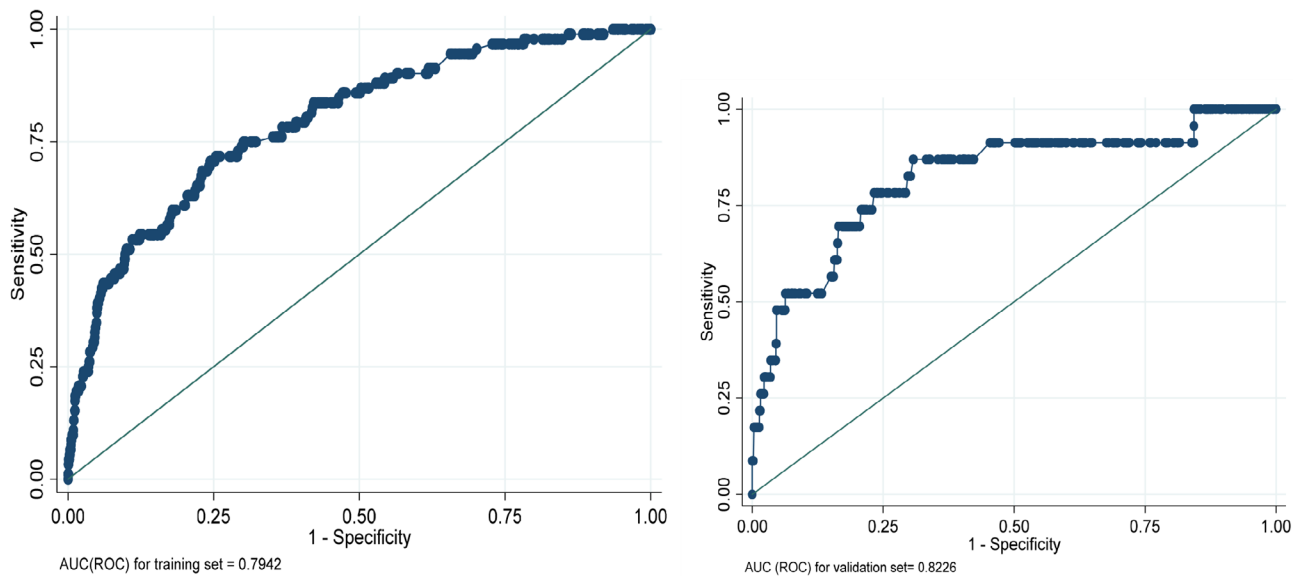


FIGURE 1 Area under the ROC curve comparing the predictive accuracy of training and validation set

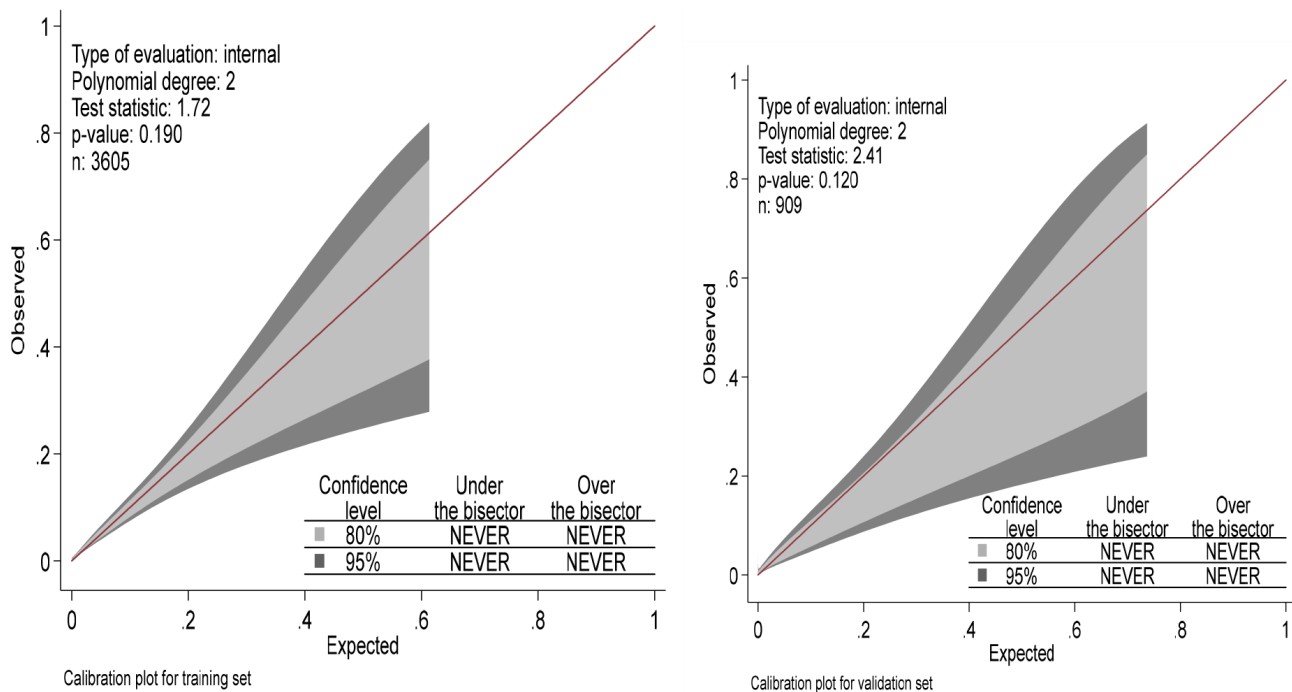


FIGURE 2 Calibration plot for training and validation test

accurately predict neonatal mortality. The significant test indicated that both models differ significantly in their predictive accuracy ($\chi^2 = 6.10, P = .014$).

Figure 3 shows that the AUC for the unweighted penalized single-level multivariable logistic model is slightly larger than AUC for the weighted single-level multivariable logistic model. This indicates that the unweighted penalized single-level multivariable logistic model has a slightly better predictive accuracy than the weighted single-level multivariable logistic model.

Table 7 shows that the proportion of neonate alive being classified as dead by the unweighted penalized single-level multivariable logistic model was 49.70%, which was almost the same as the false positive rate (49.55) for the weighted logistic model. The false negative rates for the unweighted penalized single-level multivariable logistic model and weighted single-level multivariable logistic model were 18.8% and 18.23%, respectively. The sensitivity rates for the unweighted penalized (81.22%) and weighted (81.77%) single-level multivariable logistic models were good but the specificity rates

TABLE 4 Model comparison between the unweighted penalized and weighted single-level multivariable logistic regression model

Model	Model 1	Model 2	Difference
N	4514	4514	0
Log-likelihood intercept only	-543.43	-517.34	26.09
Log-likelihood full model	-452.40	-419.98	32.42
McFadden's R^2	0.17	0.19	0.02
McFadden's Adj R^2	0.13	0.15	0.02
Cragg and Uhler's R^2	0.18	0.21	0.03
McKelvey and Zavoina's R^2	0.27	0.33	0.06
AIC	940.80	0.19	-940.61
BIC	1056.319	-36 993.60	-38 049.92

Note: Model 1 = unweighted penalized single-level multivariable logistic model, Model 2 = weighted single-level multivariable logistic model.

(50.30% and 50.45% respectively) recorded were poor. Both models were not very good because of low values of true negative (specificity). Additionally, the false positive values for both models were a bit high, indicating where the models wrongly classified neonatal deaths and neonates alive in the dataset.

4 | DISCUSSION

4.1 | Principal findings

The current study analyzed data on a sample of 4514 children born in the 5 years preceding GDHS to specifically examined factors associated with neonatal mortality in Ghana using both unweighted penalized and weighted single-level multivariable logistic regression models. The evaluation of the models indicated that the predictive accuracy rates of the unweighted penalized and weighted single-level multivariable logistic models were 82% and 80%, respectively. The results of the current study showed that for the unweighted penalized single-level multivariable logistic model, the major risk factors such as type of birth, preceding birth interval, health insurance status of mothers, mode of delivery, prenatal care assistance, parity, and household size were found to have positive association with neonatal mortality.

4.2 | Interpretation

The neonatal mortality estimated in the 5 years preceding 2014 GDHS in this study was 2.8%. This means that 28 per 1000 newborns in the period under consideration died within 28 days of life. A similar study carried out using 2008 Nigeria Demographic and Health Survey (NDHS) dataset suggested that the probability of neonatal death was 3.3%.²⁰ This finding is similar to our current study. Another previous study conducted in Myanmar using Myanmar Demographic and

TABLE 5 Unweighted penalized and weighted single-level multivariable logistic regression models for predicting the odds of neonate dying within 28 days of life

Variable	Model 1 OR (95% CI)	Model 2 OR (95% CI)
Neonatal factors		
Size of child		
Large	1.00 (Reference)	1.00 (Reference)
Small	1.42 (0.90, 2.23)	1.64 (0.83, 3.24)
Type of birth		
Single births	1.00 (Reference)	1.00 (Reference)
Multiple births	3.10 (1.89, 15.27)	1.65 (0.67, 4.05)
Maternal factors		
Birth interval		
<24 months	2.45 (1.36, 4.42)	3.73 (1.75, 7.00)
24-47	1.99 (1.24, 3.20)	2.93 (1.61, 5.34)
48+ month	1.00 (Reference)	1.00 (Reference)
Covered by health insurance		
No	1.00 (Reference)	1.00 (Reference)
Yes	1.85 (1.18, 2.89)	2.60 (1.45, 4.69)
Parity	1.33 (1.21, 1.46)	1.36 (1.21, 1.53)
Mode of delivery		
No caesarean section	1.00 (Reference)	1.00 (Reference)
Caesarean section	2.24 (1.30, 3.85)	2.84 (1.24, 6.54)
Prenatal care by		
Skilled worker	1.00 (Reference)	1.00 (Reference)
Non-skilled worker	3.79 (2.52, 5.72)	4.56 (2.44, 8.52)
Sociodemographic factors		
Household size		
5-7 members	1.47 (0.86, 2.50)	1.57 (0.78, 3.18)
1-4 members	5.74 (3.16, 10.43)	6.47 (2.84, 14.70)
8+ members	1.00 (Reference)	1.00 (Reference)

Note: Model 1 = unweighted penalized single-level multivariable logistic model, Model 2 = weighted single-level multivariable logistic model.

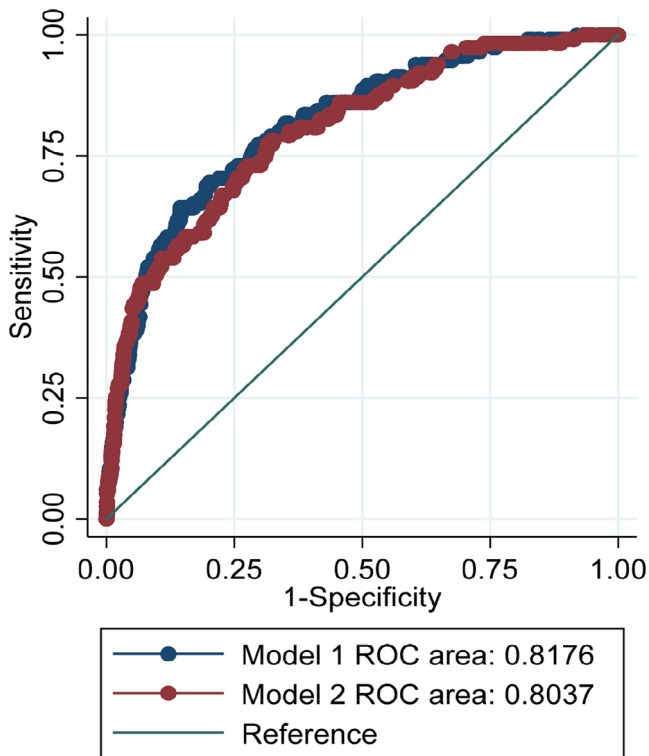
Health Survey 2015 to 2016 data indicated that the overall probability of neonatal death was 1.4%, and this is about half the prevalence obtained in the current study.²¹ It is worthwhile to note that child mortality is a key indicator not only for child health and well-being, but for overall progress toward the Sustainable Development Goals (SDGs).²² However, the decline in neonatal mortality from 1990 to 2017 has been slower than that of post-neonatal under-5 mortality (51% compared with 62% globally).²³

Statistical models such as unweighted penalized and weighted single-level multivariable logistic regression models were evaluated to investigate the influence of risk factors on neonatal mortality. These two models were compared to determine the one that fits the data

TABLE 6 Models evaluation using area under the receiver operating characteristic (ROC) curves

Model	N	ROC (AUC)	SE	95% CI	Chi-square	P value
Model 1	4514	0.818	0.021	0.778 0.858	6.10	.014
Model 2	4514	0.804	0.021	0.762 0.845		

Note: Model 1 = unweighted penalized single-level multivariable logistic model, Model 2 = weighted single-level multivariable logistic model.

**FIGURE 3** Area under the ROC curve comparing the predictive accuracy of unweighted penalized and weighted single-level multivariable logistic regression model

well using McFadden's R^2 and other model fit statistic such as the McKelvey and Zavoina's R^2 , Cragg and Uhler's R^2 , AIC, BIC values. The weighted single-level multivariable logistic regression fit the data well with adjusted McFadden's adjusted R^2 value of 15% compared to McFadden's adjusted R^2 value of 13% for unweighted penalized single-level multivariable logistic regression model. The remaining model fit statistic also favored the weighted single-level multivariable logistic model. The AUC were compared to evaluate the predictive accuracy of the unweighted penalized and weighted single-level multivariable logistic regression models. The model evaluation using AUC scores revealed that the unweighted penalized single-level logistic regression model had the highest AUC score (AUC = 82%) and improved predictive ability better than the weighted logistic regression model (AUC = 80%). This implies that even though the data used in this study emanated from a complex survey design, there was no need to incorporate design effects such as sampling weight, clustering, and stratification in the model for the examination of predictive accuracy in our case. This confirms a remark made in an article that no

TABLE 7 Diagnostic metric for model validation

Diagnostic metrics	Model 1	Model 2
Sensitivity	81.22	81.77
Specificity	50.30	50.45
False positive	49.70	49.55
False negative	18.78	18.23

Note: Model 1 = unweighted penalized single-level multivariable logistic model, Model 2 = weighted single-level multivariable logistic model.

analytical tool is more superior in all circumstances.²⁴ Thus, the discussion of the results in Table 5 basically focused on the unweighted penalized single-level multivariable logistic regression model. The model results were used to understand the contribution of the relevant risk factors to predicting the odds of neonatal mortality.

The current study showed that type of birth, birth interval, health insurance status of mothers, mode of delivery, prenatal care assistance, parity, and household size were found to be the major risk factors that were positively associated with neonatal mortality. Findings from a similar study were consistent with the results of the current study.²⁵

The unweighted penalized single-level multivariable logistic modeling of the risk factors of neonatal mortality revealed that a small body size baby was more likely to increase the risk of neonatal mortality (Table 5). Similarly, the results of previous studies^{19,26} intimated that a small body size baby had a higher risk of neonatal death. There is the need to put in place measures to avert the risk of having a small body size baby, which in a long run will reduce the risk of neonates dying.

Additionally, the current study revealed that multiple births were found to increase the risk of neonatal mortality relative to single births in the current study [OR: 3.10 (95% CI: 1.89, 15.27)]. On the contrary, the effect size obtained in the current study is lower than what was obtained in a previous study.²⁷ Babies born multiple births have been identified in the literature as a major risk factor of low birth weight and preterm, which are highly associated with neonatal mortality.

Furthermore, the unweighted penalized single-level multivariable logistic regression model showed that prenatal care assisted by non-skilled worker was found to increase the risk of neonatal mortality four times the risk of prenatal care assisted by skilled worker in the current study. The findings reported in the current study agreed with the findings of a previous study.²⁸ A review of an article indicated that antenatal (ANC) services include risk identification; prevention and management of pregnancy-related or concurrent diseases; and health education and health promotion.²⁹ Although estimates of coverage of skilled attendance at birth and institutional delivery in Ghana were

79% and 80%, respectively, in 2017,³⁰ the burden of neonatal mortality remains high.

In the current study, the odds of babies delivered by caesarean section dying within 28 days of life is 2.24 times the odds of babies who were delivered through the vagina. The results of the current study confirm the findings reported by References 31-33 regarding the influence of caesarean section on neonatal mortality. A review of the literature shows that most of caesarean sections were done as a result of pregnancy complications.³⁴

The odds of a neonate dying within 28 days of life among a household of 1 to 4 members were 5.74 times the odds of a neonate dying among a household of eight or more members. It is observed that household size was inversely related to neonatal mortality in the current study. The results of this study were consistent with the results of a previous study.³⁵ More so, the results showed that a short preceding birth interval (<24 months) and preceding birth intervals between 24 and 47 months increased neonatal mortality risk. The results of the current study agreed with the findings of previous studies.^{23,36} A shorter birth interval or even a preceding birth interval of 47 months or less are key predictors of neonatal mortality. The possible reasons adduced for this could be that mothers whose previous birth (baby) did not survive resumed sex and eventually became pregnant again, even though they did not recover completely from previous birth.

4.3 | Strengths of the study

The use of 2014 GDHS dataset, which is a cross-sectional nationally representative household sample survey, and a large sample size are strengths of the current study. Another important strength of the current study is declaring survey design characteristics for the dataset by incorporating all information about the primary sampling unit (PSU), sampling weight, and stratification since the dataset used for the analysis emanated from a complex design.

4.4 | Limitations of the data

Some of the major limitations to the data such as recall bias and other data that were contingent on the memory of past events were considered. Ghana to date remains without a fully functioning vital registration system to accurately record all births and deaths.¹ Thus, the current study used the 2014 GDHS dataset; however, it is fraught with quality issues and as a result some relevant variables such as birth weight and hemoglobin or anemic status of mothers recorded substantial missing values and could not be included in the analysis.

5 | CONCLUSION

The predictive accuracy rates of unweighted penalized and weighted single-level multivariable logistic regression models were examined

using AUC scores and the unweighted penalized single-level multivariable logistic regression model recorded the highest AUC score (82%) and was selected as the best model for predicting neonatal mortality. We recommend that researchers explore both weighted and unweighted logistic regression models for datasets with complex survey designs for their merit and demerit so as to identify the “best” model in their research work.

The risk of neonatal mortality was found to increase among babies delivered by caesarean section, prenatal care assisted by non-skilled worker, a small body size baby, multiple births, a household of 1 to 4 members, a short preceding birth intervals (<24 months), and preceding birth intervals between 24 and 47 months. Achieving the SDG target 3.2 on neonatal mortality requires in-depth understanding of the risk factors and renewed commitment toward achieving these goals by way of mapping out strategies that will enhance further and rapid reduction in neonatal mortality.

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CONFLICT OF INTEREST

All authors report no conflict of interest in this study.

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All authors were involved in revising the manuscript and approved the final version of the manuscript.

The corresponding author, Wisdom Kwami Takramah, has access to all of the data in this study and takes complete responsibility for the integrity of the data and the accuracy of the data analysis.

TRANSPARENCY STATEMENT

I, Wisdom Kwami Takramah, affirm that this manuscript is an honest, accurate, and transparent account of the study being reported; that

no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

DATA AVAILABILITY STATEMENT

The GDHS datasets are available on the Demographic and Health Surveys (DHS) program website (<https://dhsprogram.com/data/Registration-Rationale.cfm>) and can be accessed at no cost. However, registration is required for access to the data files.

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