



# Single-cell transcriptome sequencing reveals the immune microenvironment in bronchoalveolar lavage fluid of checkpoint inhibitor-related pneumonitis

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## Abstract

**Background and objectives** Immune checkpoint inhibitors (ICIs) bring cancer patients tumor control and survival benefits, yet they also trigger immune-related adverse effects (irAEs), notably checkpoint inhibitor-related pneumonitis (CIP), affecting about 5% of patients among whom 1–2% experiencing severe grade 3 or higher pneumonitis. Current research points to potential links with T cell subset dysfunction and autoantibody increase, but the specific mechanisms underlying different grades of CIP are understudied.

**Methods** Herein, we employed single-cell RNA sequencing (scRNA-seq) on bronchoalveolar lavage fluid (BALF) from CIP patients across varying severity levels, aiming to elucidate underlying immune environment and mechanisms of CIP progression at cellular and molecular levels.

**Findings** Totally, 121,409 high qualified cells from BALF of 11 patients were annotated and categorized into five major cell types. Severe CIP (CIP-S) cases have a significant increase in the percentage of unreported epithelial cells in their bronchoalveolar lavage fluid compared with mild CIP (CIP-M) cases. These cells were defined as aberrant basaloid cells. They upregulated SOX9, increased the expression of CXCL3/5, recruited neutrophils, and activated the immune system. Additionally, macrophages in the CIP-S group had stronger antigen-presenting abilities and resulted in more CD8<sup>+</sup> effective T cells infiltrated.

**Conclusions** Utilizing single-cell sequencing of BALF, we discovered an enriched population of aberrant basaloid cells in CIP-S patients, which had not been previously reported. Aberrant basaloid cells may upregulate SOX9 via CXCL3/5-CXCR2 to recruit and activate neutrophils, and further activate the immune system, resulting in CIP-S. This finding could identify new targets for stratified treatment of CIP patients, holding promise of a novel approach for clinical guidance.

**Keywords** Checkpoint inhibitor-related pneumonitis · Single-cell RNA sequencing · Aberrant basaloid cell · Neutrophil · T cell · Macrophage

## Introduction

Immune checkpoint inhibitors (ICIs) bring both tumor control and survival advantages to cancer patients, but they also result in immune-related adverse effects (irAEs) [24]. One of the common side effects is checkpoint inhibitor-related pneumonitis (CIP), which has a total incidence rate of about 5% and a rate of 1–2% for grade 3 or higher pneumonitis [12, 14]. Current research indicates that CIP may be associated with T cell subsets dysfunction, increase in existing and new autoantibodies, programmed death ligand 2 (PD-L2), and so forth [5, 20, 32, 33]. However, few studies focus on the specific mechanisms of different grades of CIP. Bronchoalveolar

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lavage fluid (BALF) in respiratory diseases, particularly lower airway diseases, is important for diagnosis, efficacy observation, prognosis judgment, and pathogenesis study [6, 29, 34, 39]. Single-cell sequencing technology can reveal the genetic structure and gene expression state of individual cells, reflecting the heterogeneity that cannot be obtained from the mixed samples sequenced, and thereby is used to explore the occurrence and development of diseases at a more microscopic, direct, and precise level [11, 18, 26, 42, 44, 43, 43].

Herein, we collected BALF from CIP patients at different grades and performed single-cell RNA sequencing (scRNA-Seq) to uncover the underlying mechanisms among the various stages of CIP.

## Methods

### Patient cohort and characteristics

This study had been registered at ClinicalTrials.gov. (NCT05455034) and received ethical approval from the hospital (NO. 2022-022-01). Patients who were diagnosed with CIP and consenting to a BALF procedure from March 1, 2023 to May 31, 2024 were enrolled into this study in the Department of Oncology at Xinqiao Hospital. All of them were diagnosed according to the Management of Immune Checkpoint inhibitor-related Toxicity Guidelines published by Chinese Society of Clinical Oncology, 2023 (CSCO). The main diagnostic criteria include: having a history of ICIs use; newly appeared pulmonary shadows, such as ground-glass opacities, patchy consolidation shadows, interlobular septal thickening, reticular shadows, traction bronchiectasis, and fibrous streak shadows; excluding pulmonary infections, progression of pulmonary tumors, interstitial lung diseases caused by other reasons, pulmonary vasculitis, pulmonary embolism, and pulmonary edema, etc. Symptom grading is based on the *r*=following criteria. Grade1: Asymptomatic; limited to 1 lobe or <25% lung parenchyma. Grade2: New or worsened symptoms (e.g., dyspnea, cough, chest pain, fever, hypoxia); multiple lobes involved (25–50% parenchyma), impacts daily life, needs drug treatment. Grade3: Severe symptoms, all lobes or >50% parenchyma affected, self-care limited, hospitalization needed. Grade4: Life-threatening dyspnea, ARDS, requires emergency intubation. Exclusion criteria included having any diseases requiring systemic corticosteroids or other immunosuppressive drugs for treatment within 14 days before enrollment, experiencing serious infection, including but not limited to complications of infection, bacteremia, or severe pneumonia, resulting in hospitalization for treatment within 4 weeks before enrollment, or receiving any other investigational drug treatments or participating in other clinical trials within

4 weeks before enrollment. Grades 3 and 4 were classified as severe CIP (CIP-S) group and Grades 1 and 2 were assigned to mild CIP (CIP-M) group. More detailed cohort characteristics are shown in Table 1.

### Bronchoalveolar lavage fluid collection

The lung segment underwent local anesthesia, and then the bronchoscope tip was inserted into the opening of the lobule or sub-lobule. Sterile saline at 37 °C was rapidly injected, usually 25 to 50 ml each time, totaling 100 to 250 ml, and immediate aspiration recovery of the wash liquid was realized using a pressure of 50 to 100 mmHg, which is typically about 50% recovered. About 30 ml of lavage fluid from the lung lobe, where pneumonia occurred will be collected from each patient. Subsequently, BALF is promptly put into an ice-filled foam box for brief preservation.

### Single-cell RNA sequencing and preprocessing data

BALF was filtered through a 70-micron cell strainer, and red blood cells were lysed. Trypan blue was used to assess cell viability, and then cell concentration was adjusted to  $2 \times 10^5$  cells/mL before withdrawing 100 µl for Singleron Matrix® Single-Cell Processing System. Following extraction from a microwell chip, barcoded beads facilitated the reverse transcription of captured mRNA into cDNA, which was amplified via PCR. Fragmented and adapter-ligated cDNA formed scRNA-seq libraries per the GEXSCOPE® protocol [10]. After being diluted to 4 nM and pooled, these libraries were sequenced on an Illumina Novaseq 6000, yielding 150 bp paired-end reads for cellular and expression analyses.

**Table 1** Characteristics of patient cohorts for scRNA - seq analysis

	CIP-S (n=4)	CIP-M (n=7)
<i>Sex</i>		
Men	4 (100%)	6 (85.7%)
Women	0	1 (14.3%)
<i>Age</i>	70.8	64.1
<i>Smoking history</i>		
Former	3 (75%)	5 (71.4%)
Never	1 (25%)	2 (28.6%)
<i>COPD</i>	0	0
<i>ICIs</i>		
Pembrolizumab	2 (50%)	2 (28.6%)
Toripalimab	1 (25%)	3 (42.9%)
Duralumab	1 (25%)	1 (14.3%)
Tislelizumab	0	1 (14.3%)
Prior thoracic radiotherapy	1 (25%)	4 (57.1%)
Median time from treatment to CIP	249.5	290.4
Median time from CIP to OS	58.5	NA

Using CeleScope (version 1.9.0), available at <https://github.com/singleron-RD/CeleScope>, the initial transcriptomic data obtained from scRNA-seq was refined to create matrices of gene expression. In summary, the process involved pre-processing the raw reads through CeleScope to eliminate low-quality sequences, which included using Cutadapt (V1.17) to trim both poly-A tails and adapter remnants. Subsequently, the cell barcode and Unique Molecular Identifiers (UMIs) were isolated. Following this, STAR (V2.6.1a) was employed to align the reads to the reference genome GRCh38 (hg38), specifically the Ensembl version 92 annotation [8]. The quantification of UMIs and genes within each cell was achieved via the application of featureCounts (V2.0.1), culminating in the formation of expression matrix that were further used for downstream analyses [22].

### Quality control, dimension-reduction and clustering

Using Scanpy v1.8.1 and Python 3.7, we conducted quality control, dimensionality reduction, and clustering on sample datasets [37]. After filtering out cells with low gene counts (< 200), high UMI counts (top 2%), excessive mitochondrial content (> 50%), and poorly expressed genes (< 5), we retained 121,409 cells. Normalization, selection of variable genes, and PCA were followed by batch effect removal [38]. Cells were clustered into 20 groups via Louvain algorithm with a resolution parameter of 1.2 and visualized using Uniform Manifold Approximation and Projection (UMAP).

### Differentially expressed genes (DEGs) and pathway enrichment analyses

Using scanpy's rank genes groups, we identified DEGs via Wilcoxon rank-sum tests. Criteria included expression in > 10% cells, mean log (FC) > 0.25, and Benjamini–Hochberg correction  $p$  value < 0.05.

To investigate gene functions, GO and KEGG assessments were conducted using the “clusterProfiler” R package v3.16.1 [31]. Pathways with an adjusted  $p$ -value under 0.05 were marked as significantly enriched. GSEA was performed in Neutrophils clusters.

### Cell–cell interaction analysis (CellChat and CellPhoneDB)

The CellChat software with a version number of 0.0.2 was employed for examining the networks of cellular interactions derived from scRNA-Seq data [17]. An instance of CellChat was established through the utilization of the R package's processing capabilities. Information about cells was incorporated into the metadata section of this instance. Subsequently, the database containing information on

ligand–receptor interactions was configured, and calculations to infer receptor associations were executed.

When it comes to CellPhoneDB, permutation number for calculating the null distribution of average ligand–receptor pair expression in randomized cell identities was set to 1000. Ligand or receptor expression for individual genes was thresholded by using a cutoff derived from the average log gene expression across all genes for each cell type. Predicted interaction pairs with  $p$  value < 0.05 and of average log expression > 0.1 were considered as significant and visualized by heatmap plot and dot plot in CellphoneDB.

### UCell gene set scoring

Gene set scoring was performed using the R package UCell v 1.1.0 [3]. UCell scores were based on the Mann–Whitney  $U$  statistic by ranking query genes in order of their expression levels in individual cells.

### Statistical analysis

To measure cell distribution in clusters, we used the Observed to Expected Ratio (Ro/e), calculated as Observed/Expected, with values > 1 signifying enrichment in the group. Observed is actual cell count, and expected is chi-square predicted count [41].

### CNV

The InferCNV package [35] was used to detect the CNAs in aberrant basaloid cells. Lymphocytes cells were used as baselines to estimate the CNAs of malignant cells. Genes expressed in more than 20 cells were sorted based on their loci on each chromosome. The relative expression values were centered to 1, using 1.5 standard deviation from the residual-normalized expression values as the floor and ceiling. A slide window size of 101 genes was used to smoothen the relative expression on each chromosome, to remove the effect of gene-specific expression. The inferred CNAs on each short or long arm or full length of the chromosomes were visualized with heatmaps generated by *Rp* heatmap function. The clonal relationships of malignant cell clusters in each sample were determined by the accumulation of CNAs. The CNV score of each cell was calculated as quadratic sum of CNA region.

### Flow cytometry

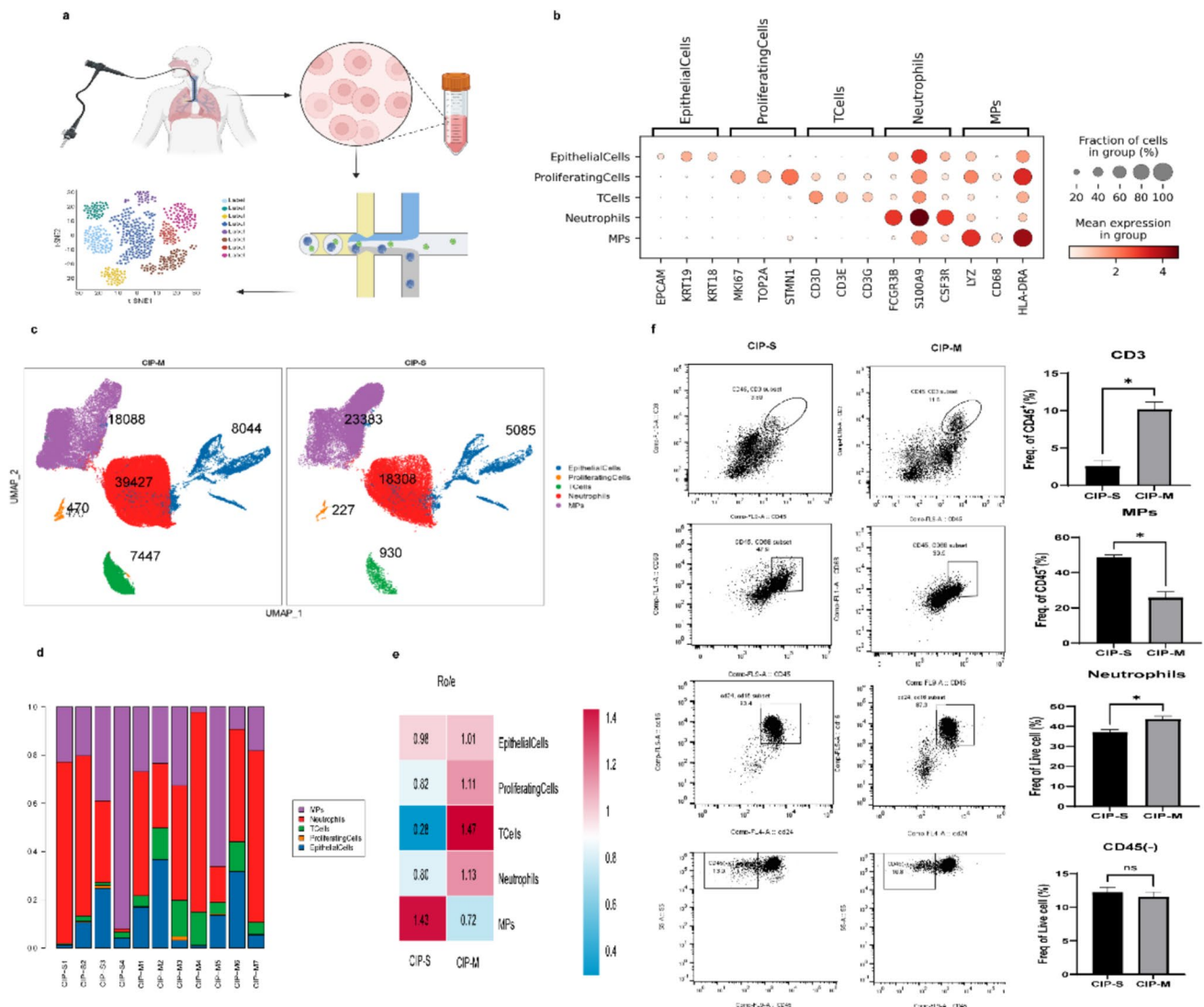
In order to verify our results, the following flow cytometry antibodies were employed. MIEN1 Antibody (PE, NBP2-74899PE, Novus), MMP-7 (AF647, sc-515703AF647, SantaCruz), ERBB2 (AF488, 324,410, BioLegend), CD45 (Pacific Blue, 304,022, BioLegend), CD14 (FITC, 301,804,

BioLegend), CD16 (PE-Cy7, 557,744, BD Pharmingen), CD24 (BB700, 566,524, BD Pharmingen), CD206 (PE, 321,105, BioLegend), CD68 (AF488, 333,811, BioLegend), CD3 (PO, CD0330TR, Thermo), CD8(PE-Cy7, 557,746, BD Pharmingen), CD4 (Percp-cy5.5, 300,530, BioLegend), L/D (FVS780, 565,388, BioLegend), Fixation and Permeabilization Kit (554,714, BD Pharmingen). Detected by Beckman coulter (Gallios) and analyzed by FlowJo software (Version10.8.1).

## Results

### Main cell types of CIP in BALF

We collected BALF from 11 donors, including four CIP-S and seven CIP-M patients (Fig. 1a). The median age was 70.8 years in CIP-S group and 64.1 in CIP-M. In the CIP-S group, two patients were diagnosed with lung cancer, one was esophageal cancer, one was laryngeal cancer, three patients had a history of smoking, two used Pembrolizumab,



**Fig. 1** Main cell type of immune checkpoint inhibitor-related pneumonitis in BALF. **a** The flowchart illustrating the procedure of gathering Bronchoalveolar Lavage Fluid (BALF) to conduct single-cell sequencing analysis. **b** Five major cell type annotation of 121,409 high qualified BALF cells. **c** UMAP presentation of the main cell types (Epithelial cells, Proliferating cells, T cells, Neutrophils, MPs (Mononuclear phagocytes)) in CIP-S and CIP-M group. **d** Relative proportions of main cell types identified on each patient. **e** The Ro/e

(Observed to Expected Ratio) method was employed to compare the relative cell type abundance between the CIP-S and CIP-M groups. (Ro/e > 1 signifying enrichment in the group.). **f** CD3, MPs, Neutrophils and CD45 negative cells (Epithelial cells and Proliferating cells) were detected by Flow cytometry between CIP-S and CIP-M group. (n=4, P-value was assessed by Mann-Whitney U test)



one used Durvalumab, one used Tislelizumab and one had a history of thoracic radiotherapy. In the CIP-M group, six patients were diagnosed with lung cancer, one was gastric cancer, five patients smoked, four had thoracic radiotherapy history, two received Pembrolizumab, three received Toripalimab, one received Duralumab, and one received Tislelizumab treatment (Table 1). The median time from treatment to CIP was 249.5 days in CIP-S group and 290.4 days in CIP-M group. The median overall survival time was 58.5 days in CIP-S group, while not reached for CIP-M group. After quality control, we obtained a total of 121,409 high-quality cells. Through clustering and visualization, we annotated five cell types, including epithelial cells (EPCAM, KRT19, KRT18), proliferating cells (MKI67, TOP2A, STMN1), T cells (CD3E, CD3E, CD3G), neutrophils (FCGR3B, S100A9, CSF3R), and mononuclear phagocytes (LYZ, CD68, HLA-DRA) according to their canonical gene markers (Fig. 1b). All the cases had these five clusters. Ro/e method was used to compare the distribution of cells between two groups (Fig. 1e). It was found that the CIP-S group had a higher percentage of mononuclear phagocytic system (MPs) cells, while the CIP-M group had a higher percentage of T cells and neutrophils (Fig. 1c). Flow cytometry validation analysis supported the above results (Fig. 1f).

### Epithelial cell landscape in the BALF of different grades of CIP patients

A total of 13,129 epithelial cells were annotated, and then reclustered and identified into five clusters. AlveolarEpi (SFTPC, SFTPB, NAPS), ciliated cells (C20orf85, RSPH1, SNTN), ionocytes (CEL, ASCL3, STAP1), secretory cells (SCGB1A1, SCGB3A1, MSMB), squamous cells (SPRR3, MAL, KRT13), and aberrant basaloid cells (MIEN1, MMP7, ERBB2) (Fig. 2a). In the CIP-S group, aberrant basaloid and AlveolarEpi cells accounted for a higher percentage, whereas ciliated cells and secretory cells predominate in the CIP-M group (Fig. 2b and e). To our knowledge, this is the first study to report aberrant basaloid cells in the BALF of CIP. CNV analysis revealed that aberrant basaloid cells have a higher copy number of variants (Fig. 2c and d). Compared with other epithelial cells, aberrant basaloid cells exhibited a significantly larger number of differentiated genes, both upregulated and downregulated (Fig. 2f). The results of flow cytometry verified the significant enrichment of aberrant basaloid cells in CIP-S group (Fig. 2g). We performed GO analysis on the differences in highly expressed genes between CIP-S and CIP-M. Results showed enrichment of MHC class II pathway in CIP-S group. Comparing MHC-II expression with UCell method in we found that CIP-S group had higher MHC-II expression score than CIP-M (Fig S2a, Table S2). GSEA analysis between aberrant basaloid cells and the other epithelial cells showed that

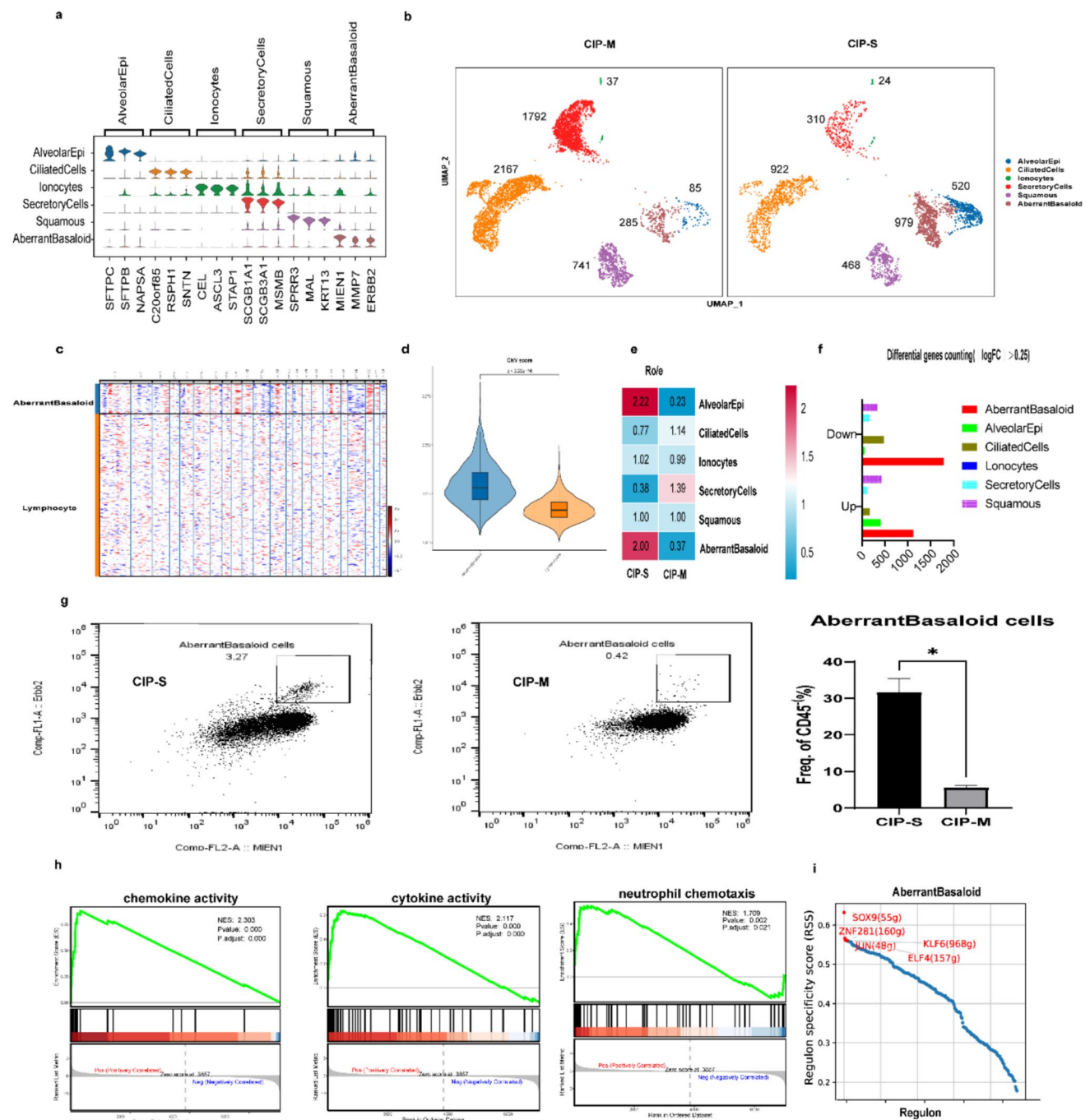
neutrophil chemotaxis and the activity of chemokines and cytokines were raised in aberrant basaloid cells (Fig. 2h). CXCL3, CXCL5, and CXCL8 in the neutrophil chemotaxis pathway had indeed higher scores in aberrant basaloid cells than in other epithelial cells (Fig. S2b-d). All of these findings indicate that aberrant basaloid cells may secrete more chemokines and cytokines, featuring robust antigen presentation and activation of the immune system. We conducted further analysis on the transcription factor regulatory network of aberrant basaloid cells. SOX9, ZNF281, JUN, KLF6 and ELF4 were the top 5 regulons (pySCENIC) (Fig. 2i). We analyzed the target genes of these transcription factors, and discovered that CXCL3, and CXCL5 were highly ranked targets of SOX9, with CXCL3 in particular positioned as the second most important gene (Table S1). Whereafter, pathway enrichment analysis on the target genes of SOX9 showed that the molecular functions of these genes were primarily focused on CXCR chemokine receptor binding and chemokine activity (Fig. S2f).

### Characteristics of neutrophils in BALF of different grades of CIP patients

Reclustering of neutrophils resulted in the identification of four distinct subclusters, which were labeled as Neutrophils\_CXCR2, Neutrophils\_CCL3, Neutrophils\_MMP9, and Neutrophils\_ISG15 based on their top three DEGs (Fig. 3a). Compared with CIP-M group, Neutrophils in the CIP-S group showed more enrichment of immune activation-related pathways, such as the Toll-like receptor signaling pathway and leukocyte chemotaxis (Fig. 3b and c). Furthermore, Neutrophil\_CXCR2 subcluster in CIP-S group was related to T cell activation, chemokine signaling pathway and leukocyte transendothelial migration (Fig. 3d and e). Then Gene set scoring showed that Neutrophils in the CIP-S group have a higher score of antigen presentation and pro-inflammatory signatures and a lower score of pro-angiogenic signature (Fig. 3f–h, Table S2). Further, we analyzed the peripheral blood routine parameters of patients at the time of BALF collection. Percentage and absolute value of neutrophils in the CIP-S group were higher than those in the CIP-M group, while the percentage of lymphocytes in the CIP-S group was elevated, but there was no significant difference in their absolute values (Fig. 3i–l).

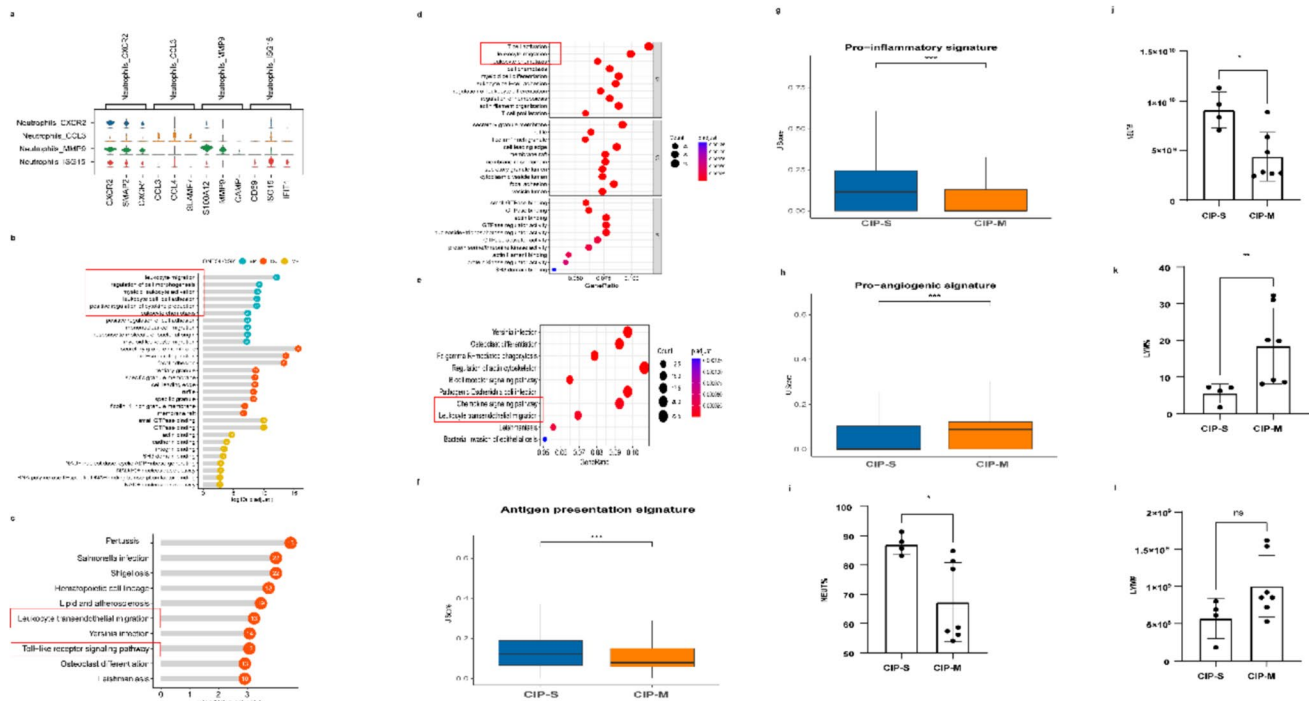
### T cell subtypes and differential gene set enrichment analysis on different grades of CIP patients

Further analysis of T cells annotated 4 clusters: NK (TRDC, KLRF1, TYROBP), CD4 NaiveT (CCR7, LTB, IL7R), CD4 Treg (IL2RA, MAF, TNFRSF4), and CD8 Teff (CD8A, CD8B, TRGC2) cells (Fig. 4a). CD8 Teff and CD4 Treg were enriched in CIP-S group, while NK and CD4 Naive T



**Fig. 2** Aberrant basaloid cells were enriched in the BALF of CIP-S patients, potentially activating neutrophils. **a** Epithelial cells were sub-clustered into six clusters according to canonical marker gene expression. **b** UMAP presentation showed aberrant basaloid cells and AlveolarEpi cells were enriched in CIP-S groups. **c/d** CNV analysis revealed aberrant basaloid cells had a higher copy number of variants. **e** Ro/e method was employed to compare the relative abundance between CIP-S and CIP-M group. **f** Aberrant basaloid cells had the highest number of differentially expressed genes between the CIP-S and CIP-M groups. ( $|\log FC| > 0.25$ ) **g** Flow cytometry analysis indicated CIP-S group was enriched with aberrant basaloid cells (Marked

by MMP7, MIEN1 and ERBB2, flow cytometry plot shows the MIEN1<sup>+</sup> and ERBB2<sup>+</sup> cells' proportion of MMP7<sup>+</sup> cells. And statistical analysis shows the proportion of aberrant basaloid cells in CD45 negative cells), **h** GSEA analysis between aberrant basaloid cells and the other epithelial cells found that neutrophil chemotaxis, the activity of chemokines and cytokines are raised in aberrant basaloid cells. **i** Transcription factor network was constructed (pySCENIC V0.11.0) between aberrant basaloid cells and the other epithelial cells. And SOX9, ZNF281, JUN, KLF6 and ELF4 were the top 5 regulon



**Fig. 3** Neutrophils were activated in the BALF of severe CIP patients. **a** Neutrophils were sub-clustered into four clusters. **b/c** GO and KEGG analysis showed neutrophils in the CIP-S group were enriched in immune activation-related pathways, such as the Toll-like receptor signaling pathway, leukocyte chemotaxis. **d/e** Further analysis showed that neutrophil\_CXCR2 subcluster in CIP-S group was related to T cell activation, chemokine signaling pathway and leukocyte transendothelial migration. **f-h** Gene set scoring showed

neutrophils in the CIP-S group have a higher score of antigen presentation signature and pro-inflammatory and lower score of Pro-angiogenic signature. **i-l**. Percentage and absolute value of peripheral neutrophils in the CIP-S group were higher than those in the CIP-M group, while the percentage of lymphocytes in the CIP-S group was elevated, but there was no statistical significance for their absolute values

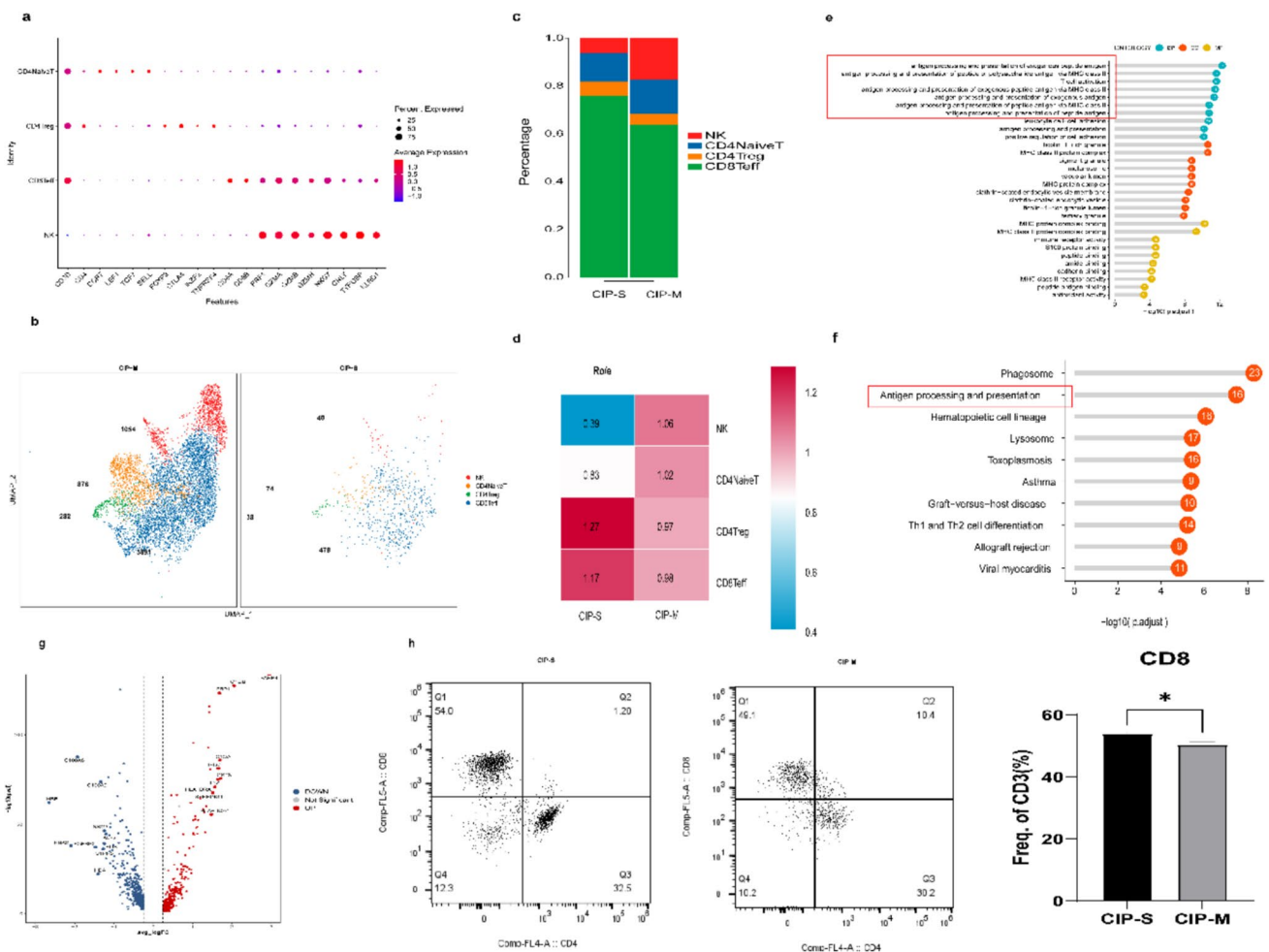
were enriched in CIP-M group (Fig. 4b–d). Flow cytometry verified the significant enrichment of CD8 T cells in the CIP-S group (Fig. 2h). GO analysis showed that upregulated genes in CIP-S group were enriched in T cell activation and antigen processing (Fig. 4e). Similarly, KEGG revealed enrichment of antigen processing and presentation signaling pathways. Therefore, T cells should be more active in the CIP-S group (Fig. 4f).

### MPs subtypes and differential gene set enrichment analysis on different grades of CIP patients

MPs were further annotated as mast cells (TPSAB1, CPA3, TPSB2), macrophages (CD68, C1QA, C1QB), and monocytes (CD14, LYZ, FCN1) (Fig. 5a). Macrophages were the main population of MPs and seemed to be more enriched in the CIP-S group, while Monocytes were concentrated in the CIP-M group (Fig. 5b–d). GO analysis of upregulated genes in macrophages of the CIP-S group showed macrophage activation, T cell activation, leukocyte migration, and antigen processing and presentation (Fig. 5e and f).

### Cell communications between aberrant basaloid and immune cells

To determine whether communications exist between aberrant basaloid and immune cells, we analyzed the ligand-receptor interaction using Cellchat and CellPhone DB. We found that CD8 T cells and neutrophils were the top two immune cells that interact intensely with aberrant basaloid cells (Fig. 6a). According to information flow, the chemokine pathways increased more obviously in the CIP-S group than in the CIP-M group (Fig. 6e). Further, UCell scoring was performed on the CXCL pathway of aberrant basaloid cells and neutrophils (Table S2). The statistical results showed that the CXCL pathway was indeed more enriched in the CIP-S group than in the CIP-M group (Fig. 6c). Heatmap of the CXCL signaling pathway network demonstrated that aberrant basaloid cells were the sender and neutrophils were both the mediator and the receiver (Fig. 6e). CellPhoneDB revealed that CXCL1/2/3/5/8-CXCR2 and CXCL8-CXCR1 were the top ligand-receptor pairs for chemokines (Fig. 6d).



**Fig. 4** T cell landscape in the BALF of different grade of CIP patients. **a** T cells were sub-clustered into four clusters according to canonical marker gene expression. **b–d** Relative proportions, UMAP presentation and Ro/e analysis showed CD8<sup>+</sup>T effective cells were enriched in CIP-S group. **e/f** GO and KEGG analysis of upregulated genes of T cells in the CIP-S group revealed that T cell activation and antigen processing and presentation were the most significant processes. **g** Volcano plot shows the differentially expressed genes between the CIP-S and CIP-M groups. ( $\log_{2}FC > 0.25$ ). **h** Flow cytometry validates the above results ( $n=4$ , P value was assessed by Mann-Whitney  $U$  test)

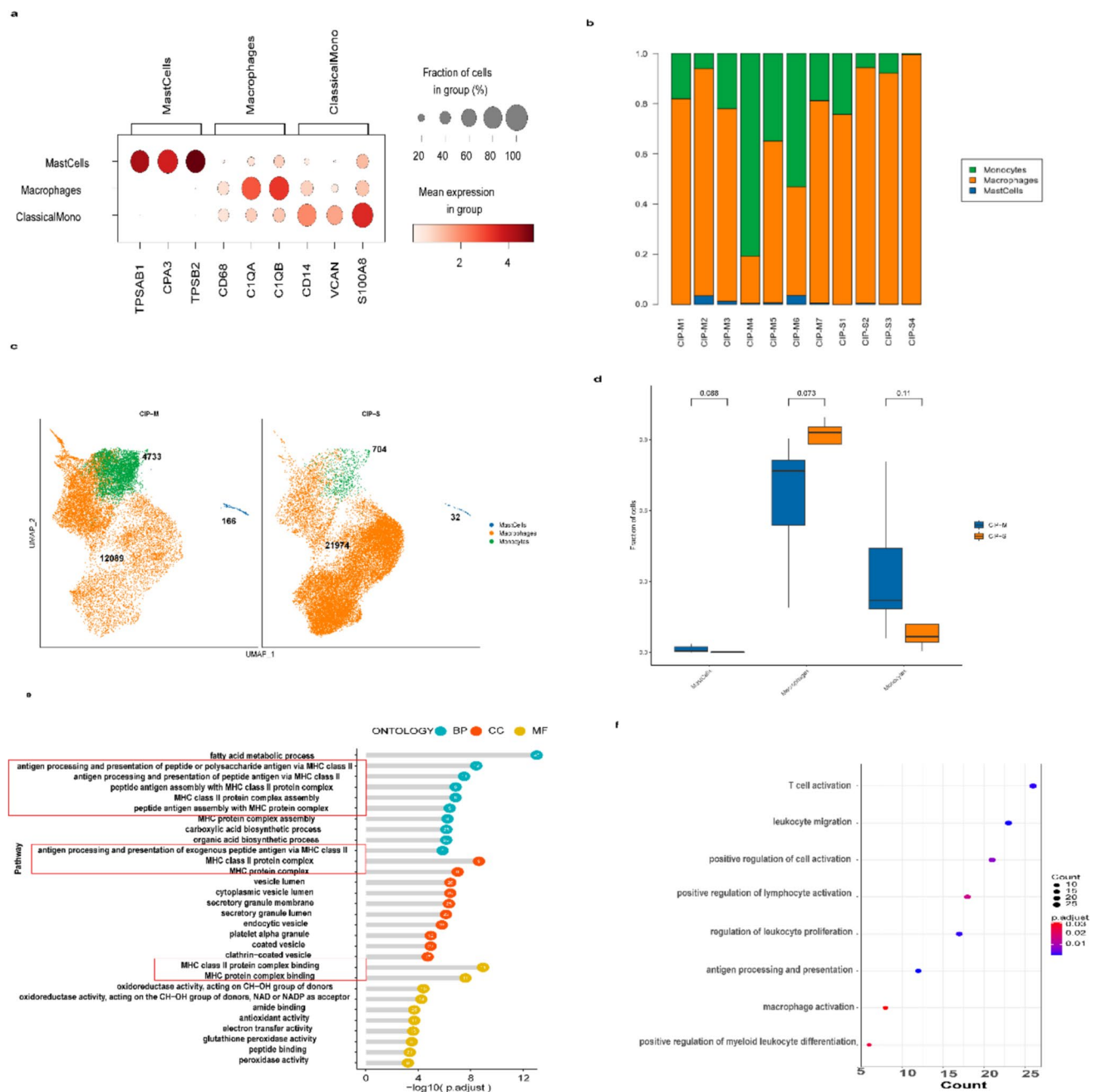
Based on the above findings, we deduced that aberrant basaloid cells increased in CIP-S patients' BALF, which highly expressed SOX9 and increased CXCL3/5 expression, and then interacted with the CXCR2 receptors on neutrophils, leading to the activation of the patients' immune system.

## Discussion

CIP is one of the common irAEs, and also the leading cause of patient death related to PD-1/PD-L1 antibodies [25, 32, 33, 36]. Interestingly, despite the relatively low incidence of CIP in clinical trials, which is around 5%, the actual data from the real world suggest that the incidence of CIP could be as high as 20%, and the percentage of patients with severe

pneumonia is quite significant [4, 15, 16, 28]. Therefore, analyzing the pathogenesis of various levels of CIP is of great clinical significance. Here we focus on the pneumonitis after the immunotherapy, whatever the cancer type. Although, the biases of cancer types and sex in the cohort could be an impact. But it was really difficult to collect enough samples. In previous studies, comparative research was mainly conducted between patients who developed CIP and those who did not. For example, Karthik Suresh et al. indicated that there was an increase in CD4 T cells and central memory T cells in the bronchoalveolar lavage fluid (BALF) of CIP patients [32, 33]. Franken and Pengfei Cui et al. suggested that monocytes with pro-inflammatory effects were enriched in CIP [7, 13]. It is known that the clinical outcomes for severe and mild CIP patients can be markedly different, with severe cases often resulting in



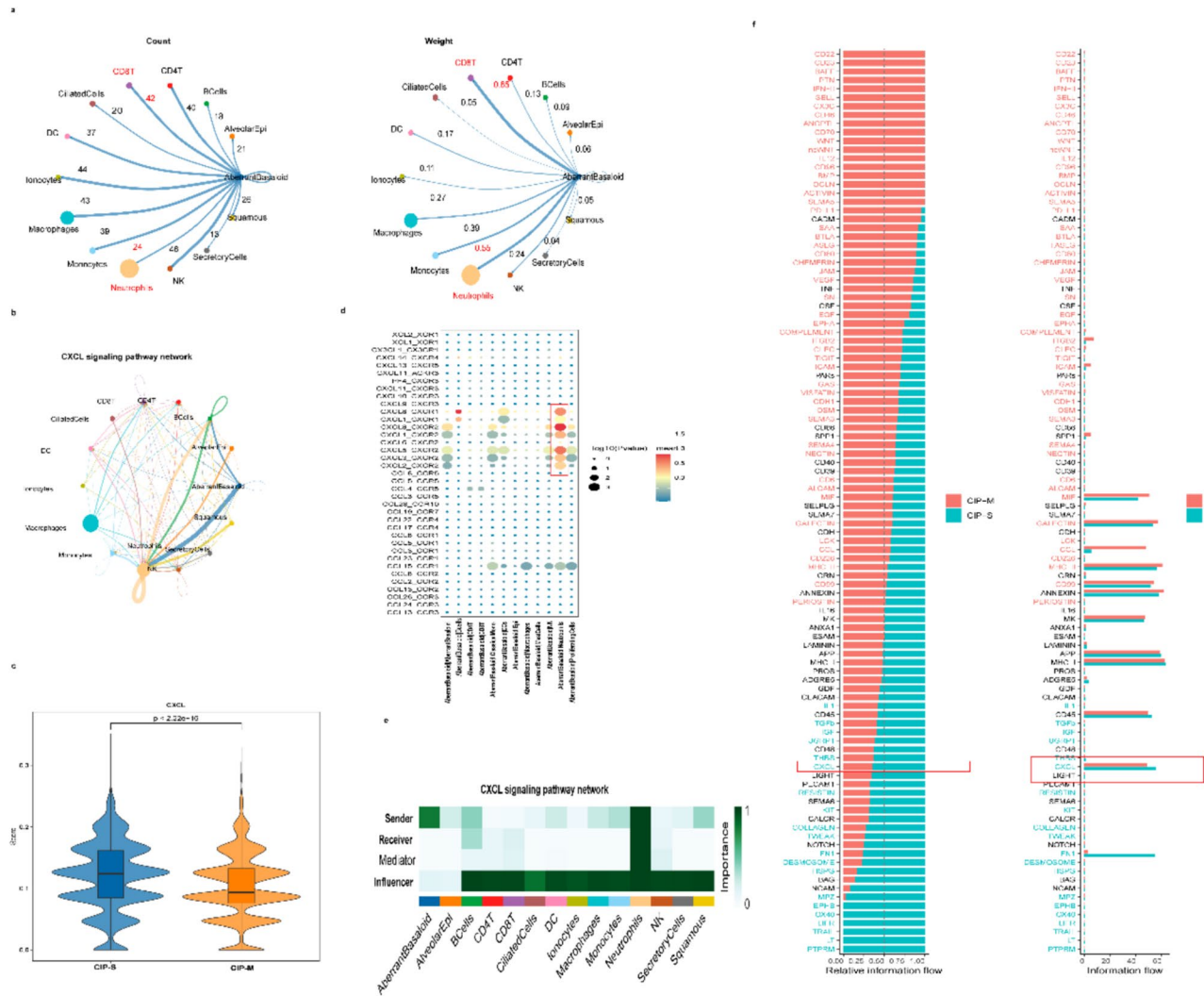


**Fig. 5** Mononuclear phagocytic system landscape in the BALF of different grade of CIP patients. **a** Mononuclear phagocytic system was sub-clustered into Macrophages, mast cells and Classical monocytes according to canonical marker gene expression **b/d** Relative proportions of each patient and relative abundancy between groups using Wilcoxon rank-sum test. **c** Macrophages tended to be enriched in the

CIP-S group, whereas mast cells and classical monocytes seemed to be enriched in the CIP-M group. **e/f** GO analysis of upregulated genes in macrophage of CIP-S group showed macrophage activation, T cell activation, leukocyte migration, antigen processing and presentation

fatal outcomes. To our knowledge, our study is the first to explore the differences in the immune microenvironments of BALF between severe and mild CIP patients. This approach may provide valuable insights into the mechanisms of CIP and directions for future research. In the current study, we identified a population of epithelial cells enriched in CIP-S

patients, named aberrant basaloid cells, highly expressing MIEN1, MMP7 and ERBB2 (Fig. S1). Aberrant basaloid cells were first reported in idiopathic pulmonary fibrosis, co-expressing basal epithelial, mesenchymal, senescence, and developmental markers [1]. In our study, aberrant basaloid cells significantly expressed MIEN1 and MMP7,



**Fig. 6** Cell communications between epithelial cells and immune cells. **a** Ligand-receptor interactions analysis by using Cellchat showed CD8T cells and neutrophils were the top two immune cells that interact intensely with aberrant basaloid cells. (Thickness of the lines represents the intensity of the interaction between cells, and the size of the circles represents the number of interacting cells). **b** Further analysis showed CXCL signaling pathway was the major crosstalk pathway between aberrant basaloid cells and neutrophils. **c**

CXCL pathway of aberrant basaloid cells and neutrophils scored by UCell method. (*P* value was assessed by Wilcoxon rank-sum test) **d** CellPhone DB results showed that CXCL1/2/3/5/8CXCR2 and CXCL8-CXCR1 were the top ligand-receptor pairs of chemokines. **e** Aberrant basaloid cells were the sender and neutrophils were the receiver. **f** Information flow showed chemokine pathway in the CIP-S group was stronger increased than that in the CIP-M group

which were related to epithelial-mesenchymal transition (EMT). The protein encoded by the MIEN1 gene is related to the migration and invasion abilities of epithelial cells [21]. In damaged lung epithelial cells, MMP7 functions as an inducer of syndecan-1 shedding, carrying CXCL1 on its glycosaminoglycan chain. This leads to the release of the syndecan-1-CXCL1 complex, which is essential for the intrapulmonary infiltration of neutrophils. MMP7 involvement in neutrophil infiltration promotes epithelial cell damage and fibrosis [23]. SOX9 as a transcription factor plays a crucial role in cellular differentiation and development, and functions in a variety of physiological

processes, including sex determination, chondrocyte differentiation, and maintenance of stem cell stability (Du G et al., 2024; [27, 30]). In addition, SOX9 has recently been reported to play a vital role in the formation and development of alveolar epithelium, not only guiding the differentiation of stem cells but affecting the early structural development of the alveoli. SOX9-positive stem cells also exert a significant effect on lung regeneration [2, 40]. We speculate that during the onset of CIP-S, alveolar epithelial injury triggers the upregulation of SOX9, in turn leading to the differentiation of an aberrant population of basal cells. In this study, these cells are designated as aberrant

basaloid cells. Meanwhile, SOX9 upregulates the expression of chemokines such as CXCL3, and 5, which subsequently bind to the CXCR2 receptor on neutrophils, promoting their migration and activation. These findings suggest that blocking SOX9 upregulation, chemokine secretion, or neutrophil binding may potentially prevent CIP-S.

## Limitation

This study has several limitations. First, the collection of BALF from patients with CIP is difficult, especially from CIP-S patient, thus limiting the sample size. Our research is still ongoing, and future analysis may enlarge the sample size to verify the current results. Second, these results are based on transcriptome sequencing, and mechanistic studies showing that aberrant basaloid cells are causal to tissue damage in severe CIP animal experiments are required for further validation. But no standard animal model is available for CIP. Finally, not all the cases in this study used PD-1 antibody, and it has been reported that the incidence of CIP or CIP-S is lower when using PD-L1 antibody than other ICIs [19]. The mechanisms of CIP may not be the same among different types of ICIs including PD-1, PD-L1 and CTLA-4 antibodies.

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**Author contributions** Jianguo Sun designed the study and approved the final manuscript for publication. Linpeng Zheng analyzed the results and wrote the manuscript. Dingqin Cai and Jianbo Zhu enrolled patients (Table 1). Fenglin Lin, Longyao Zhang, Chenrui Yin, Yaxian, Qi and Lingyou Sun collected the BALF samples (Table 1 and Fig. 1). Lingchen Li and Xiewan Chen drew the figures and modified the manuscript (Fig. 1, Fig. 2, Fig. 3, Fig. 4, Fig. 5, and Fig. 6). Authors have given their consent to the submission of the manuscript and have thoroughly reviewed and endorsed the final draft. Furthermore, they collectively assume full accountability for the manuscript's content, ensuring the precision of the data and the accuracy of its statistical analysis.

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**Data availability** No datasets were generated or analyzed during the current study.

## Declarations

**Conflict of interest** The authors declare no competing interests.

**Consent for publication** All the authors approved the publication of the manuscript.

**Ethical approval** This study had been registered at ClinicalTrials.gov. (NCT05455034) and received ethical approval from the hospital (NO. 2022–022–01).

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