

Matthieu Schmidt  
Elie Zogheib  
Hadrien Rozé  
Xavier Repesse  
Guillaume Lebreton  
Charles-Edouard Luyt  
Jean-Louis Trouillet  
Nicolas Bréchet  
Ania Nieszkowska  
Hervé Dupont  
Alexandre Ouattara  
Pascal Leprince  
Jean Chastre  
Alain Combes

## The PRESERVE mortality risk score and analysis of long-term outcomes after extracorporeal membrane oxygenation for severe acute respiratory distress syndrome

Received: 1 June 2013  
Accepted: 19 July 2013  
Published online: 2 August 2013  
© Springer-Verlag Berlin Heidelberg and ESICM 2013

### Electronic supplementary material

The online version of this article (doi:10.1007/s00134-013-3037-2) contains supplementary material, which is available to authorized users.

M. Schmidt · X. Repesse · C.-E. Luyt · J.-L. Trouillet · N. Bréchet · A. Nieszkowska · J. Chastre · A. Combes (✉)  
Medical-Surgical Intensive Care Unit, iCAN, Institute of Cardiometabolism and Nutrition, Service de Réanimation Médicale, Groupe Hôpital de la Pitié-Salpêtrière, Assistance Publique-Hôpitaux de Paris, Université Pierre et Marie Curie, Paris 6, 47, bd de l'Hôpital, 75651 Paris CEDEX 13, France  
e-mail: alain.combes@psl.aphp.fr  
Tel.: +33-1-42163816  
Fax: +33-1-42163817

E. Zogheib · H. Dupont  
Anesthesiology and Critical Care Medicine Department, Amiens University Hospital, INSERM U-1088, Université de Picardie Jules Verne, 80054 Amiens CEDEX, France

H. Rozé · A. Ouattara  
Department of Anesthesia and Critical Care II, CHU de Bordeaux, Université de Bordeaux, Adaptation cardiovasculaire à l'ischémie, U1034, 33604 Pessac CEDEX, France

G. Lebreton · P. Leprince  
Cardiac Surgery Department, iCAN, Institute of Cardiometabolism and Nutrition, Hôpital de la Pitié-Salpêtrière, Assistance Publique-Hôpitaux de Paris, Université Pierre et Marie Curie, Paris 6, 47, bd de l'Hôpital, 75651 Paris CEDEX 13, France

**Abstract Purpose:** This study was designed to identify factors associated with death by 6 months post-intensive care unit (ICU) discharge and to develop a practical mortality risk score for extracorporeal membrane oxygenation (ECMO)-treated acute respiratory distress syndrome (ARDS) patients. We also assessed long-term survivors' health-related quality of life (HRQL), respiratory symptoms, and anxiety, depression and post-traumatic stress disorder (PTSD) frequencies. **Methods:** Data from 140 ECMO-treated ARDS patients admitted to three French ICUs (2008–2012) were analyzed. ICU survivors contacted >6 months post-ICU discharge were assessed for HRQL, psychological and PTSD status. **Results:** Main ARDS etiologies were bacterial (45 %), influenza A[H<sub>1</sub>N<sub>1</sub>] (26 %) and post-operative (17 %) pneumonias. Six months post-ICU discharge, 84 (60 %) patients were still alive. Based on multivariable logistic regression analysis, the PRESERVE (PREdicting dEath for SEvere ARDS

on VV-ECMO) score (0–14 points) was constructed with eight pre-ECMO parameters, i.e. age, body mass index, immunocompromised status, prone positioning, days of mechanical ventilation, sepsis-related organ failure assessment, plateau pressure and positive end-expiratory pressure. Six-month post-ECMO initiation cumulative probabilities of survival were 97, 79, 54 and 16 % for PRESERVE classes 0–2, 3–4, 5–6 and ≥7 ( $p < 0.001$ ), respectively. HRQL evaluation in 80 % of the 6-month survivors revealed satisfactory mental health but persistent physical and emotional-related difficulties, with anxiety, depression or PTSD symptoms reported, by 34, 25 or 16 %, respectively. **Conclusions:** The PRESERVE score might help ICU physicians select appropriate candidates for ECMO among severe ARDS patients. Future studies should also focus on physical and psychosocial rehabilitation that could lead to improved HRQL in this population.

**Keywords** Extracorporeal membrane oxygenation · Acute respiratory distress syndrome · Outcome assessment · Long-term quality of life

## Introduction

Patients who develop the most severe forms of acute respiratory distress syndrome (ARDS) still have mortality rates exceeding 50 % [1] and survivors have persistently impaired physical, functional and psychological components of health-related quality of life (HRQL), despite optimal supportive care provided during their intensive care unit (ICU) stays [2–6]. In this setting, extracorporeal membrane oxygenation (ECMO) has been proposed as a possible therapeutic option allowing ultraprotective mechanical ventilation (MV) and potentially less ventilator-induced lung damage [7–9]. Indeed, major technological improvements in ECMO machines [7, 8, 10, 11], the conventional ventilation or ECMO for severe adult respiratory failure (CESAR) trial's positive results [9] and recourse to ECMO-rescue therapy for the most severe cases of A(H<sub>1</sub>N<sub>1</sub>) influenza-induced ARDS that failed on conventional ventilation [12–14] have renewed recent interest in this technique.

Because extended use of ECMO, which requires highly specialized staff and equipment, may increase resource utilization and hospitals costs, early identification of mortality risk factors and detailed analyses of long-term outcomes of survivors are needed. However, to date, studies reporting factors associated with death for severe ARDS adult patients who received ECMO are scarce [15, 16], did not evaluate the impact of pre-ECMO MV settings or rescue maneuvers such as prone positioning [17] and are based on data collected before the development of modern ECMO devices and the widespread adoption of protective MV recommendations based on ARDSnet studies [18]. Additionally, only a few studies based on limited numbers of patients evaluated long-term outcomes in ECMO-treated ARDS survivors [9, 19–21]. Therefore, this study was designed to identify factors associated with death by 6 months post-ICU discharge for ARDS patients treated with the latest generation ECMO systems and to assess long-term survivors' HRQL and psycho-emotional sequelae.

## Patients and methods

### Study design and objectives

The primary objective of this multicenter retrospective study, which included all consecutive severe ARDS patients who received ECMO in three adult ICUs in French university hospitals between May 2008 and January 2012, was to identify factors associated with death by 6 months following ICU discharge and to derive a practical mortality risk score that might help ICU physicians select appropriate candidates for ECMO. Long-term survivors' HRQL, respiratory symptoms, and anxiety, depression and post-traumatic stress disorder (PTSD) frequencies were also evaluated.

### Patients

The decision to initiate ECMO was based on the following: persistent hypoxemia, defined as PaO<sub>2</sub>/FiO<sub>2</sub> <80 mmHg with FiO<sub>2</sub> ≥80 % for >6 h, despite optimization of MV (V<sub>t</sub> set at 6 mL/kg and trial of positive end-expiratory pressure [PEEP] ≥10 cm H<sub>2</sub>O), and despite possible recourse to adjunctive therapies (NO, prone position, HFO ventilation) and/or pH <7.25 (with PaCO<sub>2</sub> ≥60 mmHg) for >6 h resulting from MV settings adjusted to keep plateau pressure (P<sub>plat</sub>) ≤32 cm H<sub>2</sub>O [22]. Exclusion criteria for ECMO were patients with chronic respiratory insufficiency treated with oxygen therapy and/or long-term respiratory assistance, malignancies with fatal prognosis within 5 years, moribund patients or those with irreversible neurological pathologies and decisions to limit therapeutic interventions. In accordance with the ethical standards of our hospital's Institutional Review Board, obtaining informed consent for demographic, physiological and hospital-outcome data analyses was not necessary, because this retrospective observational study did not modify existing diagnostic or therapeutic strategies. Additional information on patients' data and descriptions of ECMO management [23] are detailed in the electronic supplementary material (ESM).

### Outcome variables

We recorded ICU events, including cardiac arrest, bleeding, infections [24] and ECMO-related hemolysis, durations of ECMO and MV support, ICU and hospital lengths of stay, and mortality. Patients discharged from the ICU were contacted >6 months later and oral consent was obtained to complete long-term HRQL, pulmonary symptoms and psychological assessment questionnaires. The same investigators (MS, EZ, HR) interviewed all patients, asking the questions in the questionnaire in the same order by telephone.

Long-term HRQL was assessed with the French version of the Medical Outcome Short-Form (SF)-36 [25–27] and our patients' SF-36 scores were compared with age- and sex-matched French control subjects [27]. Pulmonary symptoms were evaluated with the St George's Respiratory Questionnaire (SGRQ) [28]. Anxiety and depression symptoms were assessed with the Hospital Anxiety and Depression (HAD) Scale [29], with respective HAD-A and HAD-D subscale scores ≥8/21 considered clinically significant [29]. PTSD-related symptoms were assessed with the Impact of Event Scale (IES) [30], and patients with a total IES score ≥30/75 points were considered at high risk for PTSD. Lastly, activities of daily living (ADL) were evaluated with the basic ADL and instrumental ADL (IADL) scales [31, 32]. To put questionnaire scores of our ARDS ECMO-treated patients into perspective, we searched the literature for other studies reporting long-term

outcomes of patients who survived ARDS or other severe diseases treated in the ICU. Details on outcome-variable evaluations and on series selected as comparators [3, 4, 9, 19, 20, 25, 33–39] are provided in the ESM.

### Statistical analyses

Continuous variables were compared with Student's *t* test or the Mann-Whitney *U* test, as appropriate. For comparisons of patients' mean SF-36 scores with those of their age- and sex-matched control subjects, paired *t* tests or Wilcoxon tests were used. To examine the effects of patients' clinical characteristics associated in the univariable analysis with death by 6 months post-ICU discharge, a logistic regression model was used to test each characteristic. Thereafter, multiple logistic regression using backward stepwise variable elimination (with the variable exit threshold set at  $p > 0.05$ ) was applied. Factors achieving  $p \leq 0.20$  in our univariable analysis were entered into the model. Prone positioning was forced in the model because a study recently showed that it was associated with better outcomes in moderate to severe ARDS patients [40]. All potential explanatory variables included in the multivariable analyses were subjected to a correlation matrix for analysis of collinearity. Variables with association among each other were not included in the multivariable model. Then, to derive a simple and practical score to predict survival, i.e. the PRESERVE (PRedicting dEath for SEvere ARDS on VV-ECMO) score, we re-ran the final logistic regression model with continuous variables transformed into categorical variables (by defining best thresholds after analyzing mortality in each quartile of the corresponding variable). PRESERVE subscores for each factor were the  $\beta$ -coefficients of the logistic regression model divided with the smallest coefficient of the model and rounded to the nearest integers. The discriminative performance of the PRESERVE score was evaluated with receiver operating characteristics (ROC) curve and quantified by calculating the area under the curve and 95 % CI. Lastly, Kaplan–Meier survival analysis was used to estimate the probability of survival after ECMO initiation among quartiles of the PRESERVE score.  $P < 0.05$  defined statistical significance. Analyses were computed with StatView v5.0 (SAS Institute Inc., Cary, NC, USA) and SPSS 11.0 (SPSS Inc., Chicago, IL, USA) software.

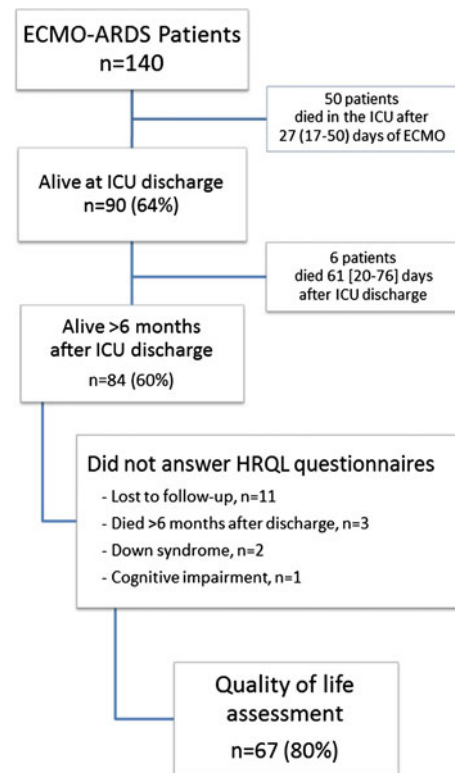
## Results

### Study population

During the study period, 140 patients (Fig. 1) with refractory ARDS [age 44 (30–56) years, 61 % male]

received ECMO in three French university ICUs (Amiens,  $n = 33$ ; Bordeaux,  $n = 23$ ; Paris,  $n = 84$ ). Patients' characteristics at ICU admission are given in Table 1. Infectious diseases were the leading causes of ARDS: 45 % bacterial and 26 % A(H<sub>1</sub>N<sub>1</sub>) influenza pneumonias. Before ECMO implantation, median PaO<sub>2</sub>/FiO<sub>2</sub> was 53 (43–60). Median time between intubation and ECMO cannulation was 5 (1–11) days. Notably, refractory hypoxemia occurred despite the recourse to prone positioning for almost two-thirds of our patients (Table 2). Venovenous (VV) ECMO was used in 95 % of patients, most frequently with femoral–jugular cannulation ( $n = 121$ ); six patients received Avalon cannulae and six had cannulae inserted in both femoral veins. Venoarterial (VA)-ECMO was used for the seven patients whose ARDS was associated with severe cardiovascular dysfunction [41].

ECMO support allowed 'ultraprotective' MV settings: Pplat was decreased from 32 (30–35) to 24 (22–26) cm H<sub>2</sub>O, tidal volume from 5.9 (5.2–6.7) to 2.8 (2.0–4.4) mL/kg, while PEEP remained stable. Blood gases normalized within 6 h of ECMO initiation (Table 2; Table E1[see ESM]).



**Fig. 1** Study flowchart. Durations are median (interquartile range). ARDS acute respiratory disease syndrome, ECMO extracorporeal membrane oxygenation, HRQL health-related quality of life, ICU intensive care unit

**Table 1** Clinical characteristics of ECMO-treated ARDS patients according to survival status 6 months post-ICU discharge

Characteristic	All patients (n = 140)	Status at 6 months post-ICU		p-Value
		Alive (n = 84)	Dead (n = 56)	
Age, years	44 (30–56)	37 (28–47)	53 (44–60)	0.0001
Men	86 (61)	46 (55)	40 (71)	0.04
Body mass index, kg/m <sup>2</sup>	27 (24–32)	29 (24–36)	26 (24–31)	0.04
Charlson score	1 (0–2)	1 (0–2)	2 (1–3)	0.0001
McCabe and Jackson score $\geq 2$	23 (16)	6 (7)	17 (30)	0.0004
SAPS II	59 (49–71)	57 (47–68)	64 (55–74)	0.04
SOFA score	12 (10–15)	12 (10–15)	13 (11–15)	0.13
Chronic lung disease	21 (15)	11 (13)	10 (18)	0.59
Pregnant or postpartum	7 (5)	7 (8)	0	0.02
Diabetes mellitus	14 (10)	5 (6)	9 (16)	0.05
Renal insufficiency	9 (6)	6 (7)	3 (5)	0.67
Immunocompromised <sup>a</sup>	43 (31)	14 (17)	29 (52)	<0.0001
Hematological malignancies	13 (9)	3 (4)	10 (18)	
Solid tumor	10 (7)	2 (2)	8 (14)	
Solid organ transplantation	8 (6)	4 (5)	4 (7)	
High-dose or long-term CS/IS	8 (6)	3 (4)	5 (9)	
Human immunodeficiency virus	4 (3)	2 (2)	2 (4)	
ARDS etiology				0.01
Peri-/post-operative	24 (17)	13 (15)	11 (20)	
2009 A(H <sub>1</sub> N <sub>1</sub> ) influenza	36 (26)	30 (36)	6 (11)	
Bacterial infection	63 (45)	34 (40)	29 (52)	
Others	17 (12)	7 (8)	10 (18)	

Values are expressed as median (interquartile range) or n (%)

ARDS acute respiratory disease syndrome, CS/IS corticosteroids or immunosuppressants, ECMO extracorporeal membrane oxygenation, ICU intensive care unit, SAPS simplified acute physiology score, SOFA sepsis-related organ failure assessment

<sup>a</sup> Immunocompromised status included hematological malignancies, solid tumors, solid-organ transplantation, high-dose or long-term corticosteroid and/or immunosuppressant use, or human immunodeficiency virus infection

### Intensive care unit and 6-month outcomes

Complications during ECMO are listed in Table E1 (see ESM). Bleeding events occurred in 46 % of patients. Respectively, 74 and 16 % of patients developed ventilator-associated pneumonia and cannula infection. Renal replacement therapy was required by 56 % of patients and 63 % were tracheostomized. Ninety (64 %) patients survived to ICU discharge. In-ICU deaths were attributed to multiple organ failure for 28, septic shock for 18, hemorrhagic shock for 6, intracranial bleeding for 4 and brain-hypoxia sequelae for 4. Respective median durations of ECMO and MV support were 15 (8–30) and 40 (23–68) days, and median hospital length of stay was 65 (39–111) days.

Six patients died 61 (20–76) days after ICU discharge (two had left the hospital before dying). Six months after ICU discharge, 84 (60 %) patients were still alive. The 36 patients with A(H<sub>1</sub>N<sub>1</sub>)-associated ARDS had the lowest reported mortality to date (17 %). Multivariable analysis (Table E2 [see ESM]; Table 3) retained older age, immunocompromised status, higher simplified acute physiology score (SAPS II) [calculated excluding the age component], higher pre-ECMO Pplat, lower pre-ECMO PEEP, absence of pre-ECMO prone positioning and the number of days on MV before ECMO as factors

independently associated with death by 6 months while higher body mass index (BMI) was associated with lower mortality (see ESM for details). The PRESERVE score developed after transforming continuous into categorical variables (Table E3 [see ESM]) is displayed in Table 4. Cumulative probabilities of survival by 6 months following ECMO initiation were 97, 79, 54 and only 16 % for PRESERVE score classes 0–2 (n = 34), 3–4 (n = 38), 5–6 (n = 26) and  $\geq 7$  (n = 38), respectively (Fig. 2). ROC curve analysis of the performance of this scoring system (Figure E1) is shown in the ESM. The area under the curve was 0.89 (95 % CI 0.83–0.94).

Interestingly, patients retrieved by our mobile ECMO teams had lower unadjusted mortality than patients who received ECMO inhouse (34 vs. 53 %, p = 0.027, respectively). However, retrieved patients had significantly less comorbidities and suffered A(H1N1) infection more frequently (33 vs. 13 %, p = 0.016). After adjusting for other confounders, this variable was not associated with death at 6 months (Table 3).

### Long-term outcomes

Long-term evaluation was conducted a median of 17 (11–28) months after ICU discharge, when three more



**Table 2** Ventilation characteristics at the time of ECMO initiation according to survival status

Characteristic	Status at 6 months post-ICU			p-Value
	All patients (n = 140)	Alive (n = 84)	Dead (n = 56)	
<b>Ventilation parameters</b>				
PaO <sub>2</sub> /FiO <sub>2</sub>	53 (43–60)	53 (42–58)	54 (45–69)	0.15
FiO <sub>2</sub>	100 (100–100)	100 (100–100)	100 (100–100)	0.76
PEEP, cm H <sub>2</sub> O	10 (8–12)	10 (9–12)	8 (8–10)	0.001
Tidal volume, mL/kg	5.9 (5.2–6.7)	5.9 (5.1–6.6)	5.9 (5.2–6.8)	0.99
Respiratory rate, /min	30 (26–30)	30 (26–30)	30 (25–32)	0.42
Plateau pressure, cm H <sub>2</sub> O	32 (30–35)	32 (30–35)	34 (31–35)	0.009
Driving pressure, cm H <sub>2</sub> O	22 (19–27)	21 (18–24)	24 (22–28)	0.0006
Compliance, mL/cm H <sub>2</sub> O	18 (14–21)	19 (15–21)	16 (12–20)	0.04
<b>Pre-ECMO blood gases</b>				
pH	7.22 (7.15–7.32)	7.23 (7.16–7.32)	7.22 (7.14–7.30)	0.30
PaO <sub>2</sub> , mmHg	53 (44–58)	52 (42–55)	53 (45–68)	0.11
PaCO <sub>2</sub> , mmHg	63 (51–77)	60 (50–70)	70 (53–80)	0.02
HCO <sub>3</sub> <sup>-</sup> , mmol/L	27 (23–32)	26 (23–30)	27 (23–34)	0.19
SaO <sub>2</sub> (%)	80 (74–85)	80 (74–85)	80 (73–88)	0.18
Arterial lactate, mmol/L	2.2 (1.5–3.4)	2.3 (1.8–3.8)	2.1 (1.2–3.1)	0.10
Quadrants with infiltrate, n	4 (4–4)	4 (4–4)	4 (4–4)	1
<b>Rescue therapy</b>				
Any	131 (94)	81 (96)	50 (89)	0.11
Prone positioning	82 (59)	52 (62)	30 (54)	0.32
Nitric oxide	127 (91)	77 (92)	50 (89)	0.63
Almitrine	11 (8)	9 (11)	2 (4)	0.12
HFOV	1 (1)	0	1 (2)	–
Pre-ECMO steroids	35 (25)	14 (17)	21 (38)	0.006
Vasopressors	98 (70)	61 (73)	37 (66)	0.40
Pre-ECMO pneumothorax	5 (4)	2 (2)	3 (5)	0.35
Mobile ECMO team	95 (68)	63 (75)	32 (57)	0.03
<b>Interval (days)</b>				
Hospital–ICU admission	0 (0–1)	0 (0–1)	0.5 (0–3)	0.08
Hospital admission–ECMO	7 (3–14)	5 (2–11)	13 (7–27)	<0.0001
ICU admission–ECMO	6 (2–13)	4 (1–9)	9 (4–17)	<0.0001
MV–ECMO	5 (1–11)	3 (1–9)	7 (3–15)	0.0008

Values are expressed as median (interquartile range) or n (%)

ECMO extracorporeal membrane oxygenation, HFOV high-frequency oscillation ventilation, ICU intensive care unit, MV mechanical ventilation, PEEP positive end-expiratory pressure

patients had died. HRQL and psychosocial questionnaires were administered to 67 (80 %) of the 6-month survivors (Fig. 1). Among these 67 patients, 7 were retired, 3 were unemployed and 57 were working full-time before their critical illness. At follow-up, 41/57 (72 %) of the latter had returned to work (35/57 [52 %] to their previous work) and most reported normal functions (Table E1 [see ESM]).

SF-36 assessment of HRQL is reported in Fig. 3. Compared with age- and sex-matched controls, our responding ARDS survivors had significantly lower ( $p < 0.001$ ) SF-36 physical domain scores. Their psychological domain scores were comparable with those of the general population, with the exception of their role-emotional component, which was lower ( $p < 0.001$ ). Their SF-36 physical aggregate scores were also significantly lower ( $p < 0.001$ ), while their mental aggregate scores were comparable. Patients with longer follow-up (>503 days) had significantly improved role-physical

( $p = 0.001$ ) and role-emotional ( $p = 0.049$ ) domain scores (Table E4 [see ESM]). Patients whose ECMO support lasted longer tended to have lower bodily pain domain and aggregate physical scores (Table E5 [see ESM]).

During follow-up questioning, 24 (36 %) patients reported persistent dyspnea. In addition, 20 (30 %) patients were still taking pulmonary medications (long-acting  $\beta_2$ -agonists for 16 patients, inhaled corticosteroids for 7, home oxygen therapy for 1 and nocturnal MV for 3). The SGRQ scores indicated that patients with longer ECMO support had more pulmonary symptoms (Table E5 [see ESM]).

Twenty-three (34 %), 17 (25 %) and 11 (16 %) of the respondents (Fig. 4), respectively, exhibited significant anxiety and depression symptoms or were at risk for PTSD. HAD and IES scores did not differ significantly for patients with longer versus shorter follow-up or those who had longer ECMO support (Tables E4 and E5 [see ESM]).

**Table 3** Factors available at ECMO institution independently associated with death by 6 months post-ICU discharge

Factor	OR (95 % CI)	p-Value
Age	1.08 (1.04–1.12)	<0.001
Body mass index	0.90 (0.84–0.97)	0.004
Immunocompromised <sup>a</sup>	4.33 (1.55–12.12)	0.005
SAPS II <sup>b</sup>	1.04 (1.00–1.08)	0.028
Days of MV	1.07 (1.01–1.14)	0.015
No prone positioning before ECMO	2.93 (1.04–8.25)	0.043
PEEP, cm H <sub>2</sub> O	0.84 (0.71–0.99)	0.039
Plateau pressure, cm H <sub>2</sub> O	1.18 (1.05–1.32)	0.006

CI confidence interval, ECMO extracorporeal membrane oxygenation, ICU intensive care unit, MV mechanical ventilation, OR odds ratio, PEEP positive end-expiratory pressure, SAPS II simplified acute physiology score

<sup>a</sup> Immunocompromised status included hematological malignancies, solid tumors, solid organ transplantation, high-dose or long-term corticosteroid and/or immunosuppressant use, or human immunodeficiency virus infection

<sup>b</sup> Age was not included in SAPS II calculation for multivariable analysis

**Table 4** The PRESERVE score calculated with parameters available at the time of decision to initiate ECMO

Parameter	Score
Age (years)	
<45	0
45–55	2
>55	3
Body mass index >30	–2
Immunocompromised	2
SOFA >12 <sup>a</sup>	1
MV >6 days	1
No prone positioning before ECMO	1
PEEP < 10 cm H <sub>2</sub> O	2
Plateau pressure >30 cm H <sub>2</sub> O	2
Total score <sup>c</sup>	0–14

ECMO extracorporeal membrane oxygenation, ICU intensive care unit, MV mechanical ventilation, PEEP positive end-expiratory pressure, PRESERVE PRedicting dEath for SEvere ARDS on VV-ECMO, SAPS II simplified acute physiology score, SOFA sepsis-related organ failure assessment

<sup>a</sup> Immunocompromised status included hematological malignancies, solid tumors, solid organ transplantation, high-dose or long-term corticosteroid and/or immunosuppressant use, or human immunodeficiency virus infection

<sup>b</sup> SOFA score was preferred over SAPS II (excluding the age component) for simpler use of the score at the bedside

<sup>c</sup> Higher score indicates higher probability of death by 6 months post-ICU discharge; PRESERVE scores –1 and –2 converted to 0 for simplification

## Discussion

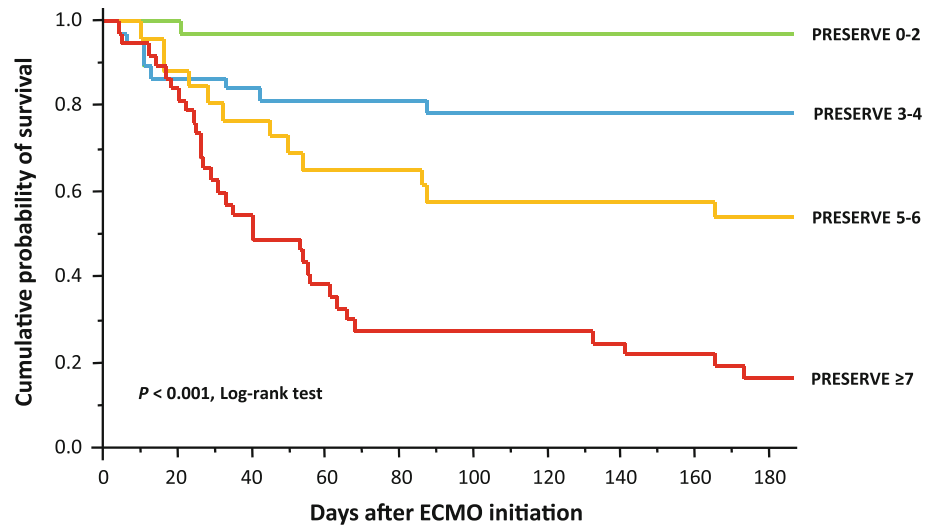
To our knowledge, this is the largest ( $n = 140$ ), comprehensive, multicenter, follow-up study on ECMO-treated severe ARDS patients. Despite very severe disease at ECMO initiation, the 40 % 6-month mortality we observed for this series is lower than the 50 % hospital

mortality rate recently reported in the Oscillation in ARDS (OSCAR) trial (where mean PaO<sub>2</sub>/FiO<sub>2</sub> was 113 mmHg at randomization) [42]. It is also lower than those reported in previous series of ECMO-treated ARDS patients [15, 43, 44], and comparable with that of the ECMO arm of the CESAR trial [9], in which initial PaO<sub>2</sub>/FiO<sub>2</sub> was higher (76 vs. 53 mmHg). Interestingly, A(H<sub>1</sub>N<sub>1</sub>)-induced ARDS patients included in this study had the lowest mortality rate (17 %) reported to date [12–14, 45].

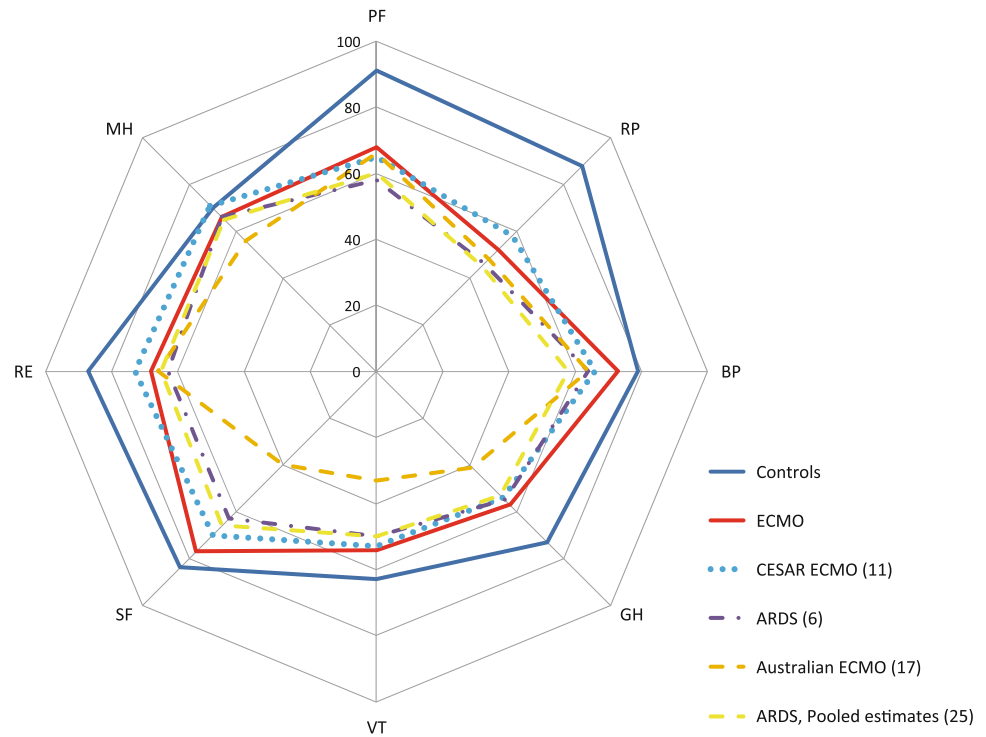
The first objective of this study was to identify pre-ECMO outcome predictors to help ICU physicians select, for ECMO support, very severe ARDS patients with reasonable chance of survival. The PRESERVE scoring system we propose herein, which combines eight simple variables easily available at the time of ECMO decision, identified four subgroups of patients with significantly different probabilities of survival (Fig. 3). Age, immunocompromised status, and pre-ECMO Pplat >30 cm H<sub>2</sub>O and PEEP <10 cm H<sub>2</sub>O (despite optimization of MV settings according to the recommendations based on the ARDSnet studies [18]) had the highest impact on the outcomes of our patients. As opposed to previous studies [15], pre-ECMO PaO<sub>2</sub>/FiO<sub>2</sub> was not associated with survival after adjusting for the latter parameters, suggesting that alterations in lung mechanics are more important prognostic factors than severity of hypoxemia. Furthermore, because a recent study indicated major survival improvement with early prone positioning of ARDS patients [40], we forced this parameter into our multivariable model and observed that it was independently associated with lower mortality. Interestingly, prone-positioned patients had significantly higher PEEP and lower Pplat and driving pressures before ECMO (data not shown). While prone placement did not prevent refractory hypoxemia leading to ECMO in nearly two-thirds of our severe ARDS patients (the highest rate in the ECMO series reported to date), it might have protected their lungs from further MV-induced lung injuries and ultimately resulted in better long-term survival. Our study also confirmed that pre-ECMO MV for more than 1 week was associated with lower survival [15, 16]. In severely hypoxemic patients with profound alteration in lung mechanics and not, or only partially, responding to prone placement, ECMO might therefore be discussed very early in the course of the disease. Notably, BMI >30 kg/m<sup>2</sup> was associated with better outcomes, independently of pre-ECMO Pplat and PEEP. Although it has frequently been reported that obese patients have better ICU outcomes than normal-weight patients [46], this observation might suggest that Pplat might not be a valid surrogate of transpulmonary pressure in obese patients and, therefore, might not necessarily mean more severe respiratory failure, because their chest wall elastance is higher than normal reference values.

HRQL evaluated after a median follow-up of 17 months for 80 % of the 6-month ICU survivors was

**Fig. 2** Kaplan–Meier estimates of cumulative probabilities of survival for patients with pre-ECMO PRESERVE score classes 0–3 ( $n = 34$ ), 4–6 ( $n = 38$ ), 7–9 ( $n = 31$ ) and 10–15 ( $n = 32$ ). The  $p$ -value was calculated by means of the log-rank test. *ECMO* extracorporeal membrane oxygenation, *PRESERVE* PRedicting dEath for SEvere ARDS on VV-ECMO

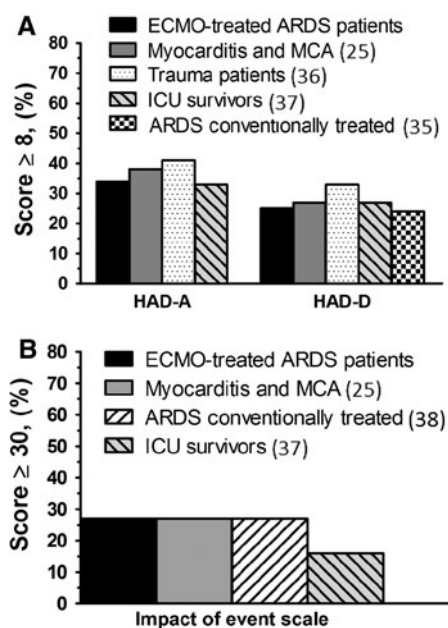


**Fig. 3** Comparison of median SF-36 scores of 67 of our ARDS survivors treated by ECMO after a median follow-up of 17 months after intensive care unit discharge and their 67 age- and sex-matched control subjects [27], and 80 conventionally treated ARDS survivors at 1-year of follow-up [4], 57 ECMO-arm ARDS patients included in the conventional ventilation or ECMO for severe adult respiratory failure (CESAR) trial [9], 15 ECMO-treated Australian patients with refractory hypoxemia [19] and a pooled estimated score of five follow-up studies on ARDS survivors [33]. Higher scores denote better health-related quality of life. *ARDS* acute respiratory distress syndrome, *BP* body pain, *ECMO* extracorporeal membrane oxygenation, *GH* general health, *MH* mental health, *PF* physical functioning, *RE* role-emotional, *RP* role-physical, *SF* social functioning, *SF-36* Short Form-36, *VT* vitality



still impaired compared with that of sex- and age-matched controls, especially concerning SF-36 physical health and vitality domains, while social functioning and mental health were considered satisfactory. Although differences in case-mixes make comparisons between series difficult, we observed that our patients' SF-36 scores were better (Fig. 3) than those of eighty 1-year ARDS survivors evaluated by Herridge et al. [4], or a pooled estimated SF-36 score based on five ARDS-survivor cohorts [33]. In agreement with Herridge et al. [4, 5], we also found that

physical and emotional domains improved with longer follow-up. Data on long-term HRQL of ECMO-treated ARDS patients are scarce. Although the 57 ECMO-arm survivors included in the CESAR trial [9] and 15 ECMO-treated A(H<sub>1</sub>N<sub>1</sub>)-induced ARDS patients from the French réseaux européen de recherche en ventilation artificielle (REVA) cohort [21] had SF-36 scores comparable with those of our patients (Fig. 3), a recent study on 15 Australian ARDS survivors [19] reported lower social function, vitality and general health-domain scores.



**Fig. 4 a** Mean percentages of our ARDS survivors treated by ECMO (evaluated after a median follow-up of 17 months after ICU discharge) with clinically significant anxiety and/or depression (HAD-A/D subscale scores  $\geq 8/21$ ) compared with those of 156 conventionally treated ARDS patients [35], 26 myocarditis survivors treated by MCA [25], 153 trauma patients [36] and 194 ICU survivors [37]. **b** Mean percentages of our ECMO-treated ARDS survivors at risk of post-traumatic stress disorder compared with those of 80 conventionally treated ARDS patients [38], 26 myocarditis survivors [25] and 194 ICU survivors [37]. ARDS acute respiratory distress syndrome, ECMO extracorporeal membrane oxygenation, HAD Hospital Anxiety and Depression, ICU intensive care unit, MCA mechanical circulatory assistance

Notably, less than half of those Australian patients had returned to work, compared with 72 % of our patients.

Regular episodes of dyspnea were reported herein by 36 % of the respondents, and SGRQ scores revealed a perception of physical limitations comparable with that reported in previous ARDS series with different case-mixes [3, 6, 9, 20, 34, 39] (Fig. E2 [see ESM]). ARDS survivors frequently complain of subjective symptoms such as ‘shortness of breath’ even during light exercise, despite minor residual abnormalities on pulmonary computed tomography scan [21, 47]. ICU-acquired diaphragmatic dysfunction and respiratory muscle weakness may therefore contribute to the persistence of these symptoms despite improvement in pulmonary function. Future studies should now focus on the pathophysiology of musculoskeletal sequelae of critical illnesses, and establishment of customized, patient-centered, rehabilitation programs that might help improve long-term outcomes.

The burden of ARDS-induced psychological sequelae in our ECMO-treated patients was still perceptible

17 months post-ICU discharge. Notably, 34 and 25 % reported symptoms of severe anxiety and/or depression, and 15 % were at risk of PTSD. Although they were more severely ill during the acute disease phase, their probabilities of anxiety, depression and/or PTSD were similar to those reported in other post-ICU studies [25, 35–37, 48, 49] (Fig. 3a, b). Identification of anxious and/or depressive patients and those at risk of developing PTSD might allow prescription of specific medications and cognitive and/or behavioral strategies to control their emotional and psychological distress.

Our study’s strengths are the large number of patients included, detailed characterization of the population considered, and its multicenter and longitudinal design, with high rates of follow-up 17 months after ICU discharge. However, our study also has limitations. First, since it was not possible to measure HRQL before disease onset, the lower HRQL observed could be attributable to pre-existing health conditions and not directly related to severe ARDS and/or ECMO. Second, the self-assessed, persistently impaired physical health and vitality might not be specific to ARDS but may represent sequelae of any severe disease requiring prolonged ICU stay, including critical illness, muscle-wasting and weakness. Third, Papazian et al. [50] demonstrated that 48 h of intravenous cisatracurium besylate significantly improved outcomes of ARDS patients. However, prescription of neuromuscular blocking agents was not recorded in our database and therefore this variable was not tested into our multivariable models. Lastly, the PRESERVE score should now be tested in other populations of severe ARDS patients receiving ECMO since its performance might be overestimated if only based on the analysis of our cohort of patients.

In conclusion, long-term survival of this multicenter cohort of 140 ECMO-treated patients with refractory ARDS reached 60 %. HRQL evaluation in 80 % of the 6-month survivors revealed persistent physical and emotional-related difficulties, with anxiety, depression or PTSD symptoms reported by 34, 25 or 16 %, respectively. The PRESERVE score we developed might help ICU physicians select appropriate candidates for ECMO among severe ARDS patients. However, before widespread utilization, this scoring system should now be tested in other groups of ECMO-treated severe ARDS patients with different case-mixes. Ongoing randomized studies might also help better define indications of ECMO for severe ARDS patients [22].

**Conflicts of interest** Professor Combes is the primary investigator of the ECMO to rescue lung injury in severe ARDS (EOLIA) trial, NCT01470703, a randomized trial of VV-ECMO supported in part by MAQUET. He has received honoraria for lectures by MAQUET. All other authors have no conflicts of interest to declare.



## References

1. Sud S, Friedrich JO, Taccone P, Polli F, Adhikari NK, Latini R, Pesenti A, Guerin C, Mancebo J, Curley MA, Fernandez R, Chan MC, Beuret P, Voggenreiter G, Sud M, Tognoni G, Gattinoni L (2010) Prone ventilation reduces mortality in patients with acute respiratory failure and severe hypoxemia: systematic review and meta-analysis. *Intensive Care Med* 36:585–599
2. Adhikari NK, McAndrews MP, Tansey CM, Matte A, Pinto R, Cheung AM, Diaz-Granados N, Barr A, Herridge MS (2009) Self-reported symptoms of depression and memory dysfunction in survivors of ARDS. *Chest* 135:678–687
3. Davidson TA, Caldwell ES, Curtis JR, Hudson LD, Steinberg KP (1999) Reduced quality of life in survivors of acute respiratory distress syndrome compared with critically ill control patients. *JAMA* 281:354–360
4. Herridge MS, Cheung AM, Tansey CM, Matte-Martyn A, Diaz-Granados N, Al-Saïdi F, Cooper AB, Guest CB, Mazer CD, Mehta S, Stewart TE, Barr A, Cook D, Slutsky AS (2003) One-year outcomes in survivors of the acute respiratory distress syndrome. *N Engl J Med* 348:683–693
5. Herridge MS, Tansey CM, Matte A, Tomlinson G, Diaz-Granados N, Cooper A, Guest B, Mazer CD, Mehta S, Stewart TE, Kudlow P, Cook D, Slutsky AS, Cheung AM (2011) Functional disability 5 years after acute respiratory distress syndrome. *N Engl J Med* 364:1293–1304
6. Heyland DK, Groll D, Caeser M (2005) Survivors of acute respiratory distress syndrome: relationship between pulmonary dysfunction and long-term health-related quality of life. *Crit Care Med* 33:1549–1556
7. Combes A, Bacchetta M, Brodie D, Muller T, Pellegrino V (2012) Extracorporeal membrane oxygenation for respiratory failure in adults. *Curr Opin Crit Care* 18:99–104
8. MacLaren G, Combes A, Bartlett RH (2012) Contemporary extracorporeal membrane oxygenation for adult respiratory failure: life support in the new era. *Intensive Care Med* 38:210–220
9. Peek GJ, Mugford M, Tiruvoipati R, Wilson A, Allen E, Thalanany MM, Hibbert CL, Truesdale A, Clemens F, Cooper N, Firmin RK, Elbourne D (2009) Efficacy and economic assessment of conventional ventilatory support versus extracorporeal membrane oxygenation for severe adult respiratory failure (CESAR): a multicentre randomised controlled trial. *Lancet* 374:1351–1363
10. Brodie D, Bacchetta M (2012) Extracorporeal membrane oxygenation for ARDS in adults. *N Engl J Med* 365:1905–1914
11. Combes A, Brechot N, Luyt CE, Schmidt M (2012) What is the niche for extracorporeal membrane oxygenation in severe acute respiratory distress syndrome? *Curr Opin Crit Care* 18:527–532
12. Pham T, Combes A, Roze H, Chevret S, Mercat A, Roch A, Mourvillier B, Arasomahano C, Bastien O, Zogheib E, Clavel M, Constan A, Marie Richard JC, Brun-Buisson C, Brochard L (2013) Extracorporeal membrane oxygenation for pandemic influenza A(H1N1)-induced acute respiratory distress syndrome: a Cohort study and propensity-matched analysis. *Am J Respir Crit Care Med* 187:276–285
13. Noah MA, Peek GJ, Finney SJ, Griffiths MJ, Harrison DA, Grieve R, Sadique MZ, Sekhon JS, McAuley DF, Firmin RK, Harvey C, Cordingley JJ, Price S, Vuylsteke A, Jenkins DP, Noble DW, Bloomfield R, Walsh TS, Perkins GD, Menon D, Taylor BL, Rowan KM (2011) Referral to an extracorporeal membrane oxygenation center and mortality among patients with severe 2009 influenza A(H1N1). *JAMA* 306:1659–1668
14. Davies A, Jones D, Bailey M, Beca J, Bellomo R, Blackwell N, Forrest P, Gattas D, Granger E, Herkes R, Jackson A, McGuinness S, Nair P, Pellegrino V, Pettila V, Plunkett B, Pye R, Torzillo P, Webb S, Wilson M, Ziegenfuss M (2009) Extracorporeal membrane oxygenation for 2009 influenza A(H1N1) acute respiratory distress syndrome. *JAMA* 302:1888–1895
15. Hemmila MR, Rowe SA, Boules TN, Miskulin J, McGillicuddy JW, Schuerer DJ, Haft JW, Swaniker F, Arbabi S, Hirschl RB, Bartlett RH (2004) Extracorporeal life support for severe acute respiratory distress syndrome in adults. *Ann Surg* 240:595–605 discussion 605–597
16. Brogan TV, Thiagarajan RR, Rycus PT, Bartlett RH, Bratton SL (2009) Extracorporeal membrane oxygenation in adults with severe respiratory failure: a multi-center database. *Intensive Care Med* 35:2105–2114
17. Guerin C, Reignier J, Richard JC, Beuret P, Gacouin A, Boulain T, Mercier E, Badet M, Mercat A, Baudin O, Clavel M, Chatellier D, Jaber S, Rosselli S, Mancebo J, Sirotod M, Hilbert G, Bengler C, Richecoeur J, Gannier M, Bayle F, Bourdin G, Leray V, Girard R, Baboi L, Ayzac L (2013) Prone positioning in severe acute respiratory distress syndrome. *N Engl J Med* 368:2159–2168
18. (2000) Acute respiratory distress syndrome network. Ventilation with lower tidal volumes as compared with traditional tidal volumes for acute lung injury and the acute respiratory distress syndrome. *N Engl J Med* 342:1301–1308
19. Hodgson CL, Hayes K, Everard T, Nichol A, Davies AR, Bailey MJ, Tuxen DV, Cooper DJ, Pellegrino V (2012) Long-term quality of life in patients with acute respiratory distress syndrome requiring extracorporeal membrane oxygenation for refractory hypoxaemia. *Crit Care* 16:R202
20. Linden VB, Lidegran MK, Frisen G, Dahlgren P, Frenckner BP, Larsen F (2009) ECMO in ARDS: a long-term follow-up study regarding pulmonary morphology and function and health-related quality of life. *Acta Anaesthesiol Scand* 53:489–495
21. Luyt CE, Combes A, Becquemin MH, Beigelman-Aubry C, Hatem S, Brun AL, Zraïk N, Carrat F, Grenier PA, Richard JC, Mercat A, Brochard L, Brun-Buisson C, Chastre J (2012) Long-term outcomes of pandemic 2009 influenza A(H1N1)-associated severe ARDS. *Chest* 142:583–592
22. EOLIA trial, Accessed May 15th, 2013. <http://www.clinicaltrials.gov/ct2/show/NCT01470703?term=eolia&rank=1>
23. Schmidt M, Tachon G, Devilliers C, Muller G, Hekimian G, Brechot N, Merceron S, Luyt CE, Trouillet JL, Chastre J, Leprince P, Combes A (2013) Blood oxygenation and decarboxylation determinants during venovenous ECMO for respiratory failure in adults. *Intensive Care Med* 39:838–846
24. Schmidt M, Brechot N, Hariri S, Guiguet M, Luyt CE, Makri R, Leprince P, Trouillet JL, Pavie A, Chastre J, Combes A (2012) Nosocomial infections in adult cardiogenic shock patients supported by venoarterial extracorporeal membrane oxygenation. *Clin Infect Dis* 55:1633–1641

25. Mirabel M, Luyt CE, Leprince P, Trouillet JL, Leger P, Pavie A, Chastre J, Combes A (2011) Outcomes, long-term quality of life, and psychologic assessment of fulminant myocarditis patients rescued by mechanical circulatory support. *Crit Care Med* 39:1029–1035
26. Trouillet JL, Luyt CE, Guiguet M, Ouattara A, Vaissier E, Makri R, Nieszkowska A, Leprince P, Pavie A, Chastre J, Combes A (2011) Early percutaneous tracheotomy versus prolonged intubation of mechanically ventilated patients after cardiac surgery: a randomized trial. *Ann Intern Med* 154:373–383
27. Leplege A, Ecosse E, Verdier A, Perneger TV (1998) The French SF-36 Health Survey: translation, cultural adaptation and preliminary psychometric evaluation. *J Clin Epidemiol* 51:1013–1023
28. Jones PW, Quirk FH, Baveystock CM (1991) The St George's Respiratory Questionnaire. *Respiratory medicine* 85(Suppl B):25–31 discussion 33–27
29. Zigmond AS, Snaith RP (1983) The hospital anxiety and depression scale. *Acta Psychiatr Scand* 67:361–370
30. Horowitz M, Wilner N, Alvarez W (1979) Impact of Event Scale: a measure of subjective stress. *Psychosom Med* 41:209–218
31. Katz S, Ford AB, Moskowitz RW, Jackson BA, Jaffe MW (1963) Studies of illness in the aged: the index of ADL: a standardized measure of biological and psychosocial function. *JAMA* 185:914–919
32. Lawton MP, Brody EM (1969) Assessment of older people: self-maintaining and instrumental activities of daily living. *Gerontologist* 9:179–186
33. Dowdy DW, Eid MP, Dennison CR, Mendez-Tellez PA, Herridge MS, Guallar E, Pronovost PJ, Needham DM (2006) Quality of life after acute respiratory distress syndrome: a meta-analysis. *Intensive Care Med* 32:1115–1124
34. Tansey CM, Louie M, Loeb M, Gold WL, Muller MP, de Jager J, Cameron JL, Tomlinson G, Mazzulli T, Walmsley SL, Rachlis AR, Mederski BD, Silverman M, Shainhouse Z, Ephantios IE, Avendano M, Downey J, Styra R, Yamamura D, Gerson M, Stanbrook MB, Marras TK, Phillips EJ, Zamel N, Richardson SE, Slutsky AS, Herridge MS (2007) One-year outcomes and health care utilization in survivors of severe acute respiratory syndrome. *Arch Intern Med* 167:1312–1320
35. Bienvenu OJ, Colantuoni E, Mendez-Tellez PA, Dinglas VD, Shanholtz C, Husain N, Dennison CR, Herridge MS, Pronovost PJ, Needham DM (2012) Depressive symptoms and impaired physical function after acute lung injury: a 2-year longitudinal study. *Am J Respir Crit Care Med* 185:517–524
36. Ringdal M, Plos K, Ortenwall P, Bergbom I (2010) Memories and health-related quality of life after intensive care: a follow-up study. *Crit Care Med* 38:38–44
37. Myhren H, Ekeberg O, Stokland O (2010) Health-related quality of life and return to work after critical illness in general intensive care unit patients: a 1-year follow-up study. *Crit Care Med* 38:1554–1561
38. Schelling G, Stoll C, Haller M, Briegel J, Manert W, Hummel T, Lenhart A, Heyduck M, Polasek J, Meier M, Preuss U, Bullinger M, Schuffel W, Peter K (1998) Health-related quality of life and posttraumatic stress disorder in survivors of the acute respiratory distress syndrome. *Crit Care Med* 26:651–659
39. Combes A, Costa MA, Trouillet JL, Baudot J, Mokhtari M, Gibert C, Chastre J (2003) Morbidity, mortality, and quality-of-life outcomes of patients requiring > or = 14 days of mechanical ventilation. *Crit Care Med* 31:1373–1381
40. Drakos SG, Charitos CE, Ntalianis A, Terrovitis JV, Sifakakos KX, Dolou P, Pierrakos C, Charitos E, Karelis J, Nanas JN (2005) Comparison of pulsatile with nonpulsatile mechanical support in a porcine model of profound cardiogenic shock. *ASAIO J* 51:26–29
41. Brechot N, Luyt CE, Schmidt M, Leprince P, Trouillet JL, Leger P, Pavie A, Chastre J, Combes A (2013) Venous extracorporeal membrane oxygenation support for refractory cardiovascular dysfunction during severe bacterial septic shock. *Crit Care Med* 41:1616–1626
42. Young D, Lamb SE, Shah S, MacKenzie I, Tunnicliffe W, Lall R, Rowan K, Cuthbertson BH (2013) High-frequency oscillation for acute respiratory distress syndrome. *N Engl J Med* 368:806–813
43. Muller T, Philipp A, Luchner A, Karagiannidis C, Bein T, Hilker M, Rupperecht L, Langgartner J, Zimmermann M, Arlt M, Wenger J, Schmid C, Riegger GA, Pfeifer M, Lubnow M (2009) A new miniaturized system for extracorporeal membrane oxygenation in adult respiratory failure. *Crit Care* 13:R205
44. Beiderlinden M, Eikermann M, Boes T, Breitfeld C, Peters J (2006) Treatment of severe acute respiratory distress syndrome: role of extracorporeal gas exchange. *Intensive Care Med* 32:1627–1631
45. Patroniti N, Zangrillo A, Pappalardo F, Peris A, Cianchi G, Braschi A, Iotti GA, Arcadipane A, Panarello G, Ranieri VM, Terragni P, Antonelli M, Gattinoni L, Oleari F, Pesenti A (2011) The Italian ECMO network experience during the 2009 influenza A(H1N1) pandemic: preparation for severe respiratory emergency outbreaks. *Intensive Care Med* 37:1447–1457
46. Abhyankar S, Leishear K, Callaghan FM, Demner-Fushman D, McDonald CJ (2012) Lower short- and long-term mortality associated with overweight and obesity in a large cohort study of adult intensive care unit patients. *Crit Care* 16:R235
47. Wilcox ME, Herridge MS (2011) Lung function and quality of life in survivors of the acute respiratory distress syndrome (ARDS). *Presse Med* 40:e595–e603
48. Eddleston JM, White P, Guthrie E (2000) Survival, morbidity, and quality of life after discharge from intensive care. *Crit Care Med* 28:2293–2299
49. Jones C, Griffiths RD, Humphris G, Skirrow PM (2001) Memory, delusions, and the development of acute posttraumatic stress disorder-related symptoms after intensive care. *Crit Care Med* 29:573–580
50. Papazian L, Forel JM, Gacouin A, Penot-Ragon C, Perrin G, Loundou A, Jaber S, Arnal JM, Perez D, Seghboyan JM, Constantini JM, Courant P, Lefrant JY, Guerin C, Prat G, Morange S, Roch A (2010) Neuromuscular blockers in early acute respiratory distress syndrome. *N Engl J Med* 363:1107–1116