

Article

# FRoG—A New Calculation Engine for Clinical Investigations with Proton and Carbon Ion Beams at CNAO

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Abstract: A fast and accurate dose calculation engine for hadrontherapy is critical for both routine clinical and advanced research applications. FRoG is a graphics processing unit (GPU)-based forward calculation tool developed at CNAO (Centro Nazionale di Adroterapia Oncologica) and at HIT (Heidelberg Ion Beam Therapy Center) for fast and accurate calculation of both physical and biological dose. FRoG calculation engine adopts a triple Gaussian parameterization for the description of the lateral dose distribution. FRoG provides dose, dose-averaged linear energy transfer, and biological dose-maps, -profiles, and -volume-histograms. For the benchmark of the FRoG calculation engine, using the clinical settings available at CNAO, spread-out Bragg peaks (SOBPs) and patient cases for both proton and carbon ion beams have been calculated and compared against FLUKA Monte Carlo (MC) predictions. In addition, FRoG patient-specific quality assurance (QA) has been performed for twenty-five proton and carbon ion fields. As a result, for protons, biological dose values, using a relative biological effectiveness (RBE) of 1.1, agree on average with MC within ~1% for both SOBPs and patient plans. For carbon ions, RBE-weighted dose (D<sub>RBE</sub>) agreement against FLUKA is within ~2.5% for the studied SOBPs and patient plans. Both MKM (Microdosimetric Kinetic Model) and LEM (Local Effect Model) D<sub>RBE</sub> are implemented and tested in FRoG to support the NIRS (National Institute of Radiological Sciences)-based to LEM-based biological dose conversion. FRoG matched the measured QA dosimetric data within ~2.0% for both particle species. The typical calculation times for patients ranged from roughly 1 to 4 min for proton beams and 3 to 6 min for carbon ions on a NVIDIA® GeForce® GTX 1080 Ti. This works demonstrates FRoG's potential to bolster clinical activity with proton and carbon ion beams at CNAO.

Keywords: hadrontherapy; treatment planning; biological dose; MKM; LEM; FRoG; GPU

## 1. Introduction

Radiation therapy requires dose computation on computed tomography (CT) images for each patient with high accuracy and fast calculation time. A clinical treatment planning system (TPS) for proton and carbon ions typically uses an analytical algorithm for dose calculation on a central processing unit (CPU). Recently, graphics processing unit (GPU)-based clinical TPSs have been



made available (e.g., Raystation, RaySearch Laboratories, Stockholm, Sweden), promising enhanced calculation speeds for the even more time-consuming Monte Carlo (MC) codes [1]. The benefit of improved precision is clear for the more technologically demanding modalities such as particle therapy. In the near future, compact high-performance systems will become commonplace in the clinic, making routine integration of advanced physical and biophysical models feasible [1–3].

Presently, the commercial TPS used during clinical operation at CNAO (Centro Nazionale Adroterapia Oncologica [4]), Syngo-VC13 (Siemens AG, Mannheim, Germany), is a CPU-based system. Syngo-VC13 calculates biological dose by applying a fixed relative biological effectiveness (RBE) of 1.1 [5] for proton beams and the biological model LEM I (Local Effect Model [6]) for carbon ion beams. Syngo, as well as the other analytical systems, in comparison to MC approaches, has limitations in handling patient heterogeneities and small fields with and without the range shifter (RS) especially with proton beams [7]. Additionally, calculation features for clinically relevant biophysical quantities are often missing from such programs, such as dose-averaged linear energy transfer (LET<sub>d</sub>) distributions in water for proton beams or RBE-weighted dose (D<sub>RBE</sub>) for carbon ions with other biological models including the modified MKM (Microdosimetric Kinetic Model [8]). The modified MKM is applied at the carbon ion therapy facility NIRS (National Institute of Radiological Sciences) in Japan for carbon ion therapy planning [9]. At CNAO, the fractionation scheme used at NIRS has been employed requiring the introduction of a conversion between NIRS-based to LEM-based D<sub>RBE</sub>, as calculated by Syngo [10,11].

In order to overcome these limitations, we have introduced a new calculation platform, FRoG (Fast Recalculation on GPU), to perform fast physical and biological calculations for proton and carbon ion beam therapy. The FRoG project is a collaborative effort between the CNAO facility in Pavia, Italy, and the Heidelberg Ion Beam Therapy Center (HIT) [12] in Heidelberg, Germany. FRoG was designed to perform fast and accurate calculations, applying an analytical pencil beam algorithm on GPU to calculate physical/biological dose and LET<sub>d</sub> distributions. For proton beams, clinicians and medical physicists can assess both dose and LET<sub>d</sub> distributions (and eventually  $D_{RBE}$  applying variable RBE scheme [13,14]) to further scrutinize plans for acceptance or potential re-planning purposes within minutes. For carbon ions, the user can quickly access MKM-based  $D_{RBE}$  values and compare them with the converted LEM-based  $D_{RBE}$  predictions by the use of a scaling factor introduced in Fossati et al. [10]. In presence of large hot or cold spots in the tumor, the plan can be readily re-optimized taking into account the FRoG MKM-based  $D_{RBE}$  values.

In this work, the benchmarks performed are presented for both proton and carbon ions in preparation for FRoG's integration into clinical activity. SOBPs and patient cases have been calculated and compared against FLUKA MC predictions [15–17], which serves as the reference MC code at CNAO and was used to generate the physical database of Syngo [18–21]. Various LET<sub>d</sub> maps and LEM vs. MKM D<sub>RBE</sub> distributions for patients treated with proton and carbon ion beams, respectively, are presented. Prior to patient treatment, the plan from Syngo is verified dosimetrically by performing field-specific quality assurance (QA) [18]. In this work, we have used twenty-five field-specific QA for each beam modality and we have compared them against FRoG predictions.

# 2. Results

#### 2.1. Comparison of FLUKA- and FRoG-Calculated Spread Out Bragg Peaks

Syngo-optimized SOBPs without beam modifiers (i.e., range shifter), have been calculated with FRoG and compared against FLUKA predictions. For protons, the results are summarized in Table 1 in terms of percent  $D_{RBE}$  difference of the RBE-weighted dose-volume histogram ( $D_{RBE}VH$ ) parameters  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$  for an SOBP with equal sides of 3 cm (target volume 27 cm<sup>3</sup>) and 6 cm (target volume of 216 cm<sup>3</sup>) at different depths: 3 cm, 12 cm, and 21 cm. For a definition of these parameters see Section 4.2.1. The RBE was assumed to be 1.1.

Percent Difference of	Target Volume	3 cm	12 cm	21 cm
D <sub>RBE,50</sub>	27 cm <sup>3</sup> 216 cm <sup>3</sup>	0.67% 0.59%	0.59% 0.61%	$0.88\% \\ 0.71\%$
D <sub>RBE,2</sub>	27 cm <sup>3</sup> 216 cm <sup>3</sup>	0.91% -0.60%	-0.09% 0.08%	0.47% 0.73%
D <sub>RBE,98</sub>	27 cm <sup>3</sup> 216 cm <sup>3</sup>	0.52% 0.46%	0.43% 1.10%	1.07% 0.47%

**Table 1.** Percent RBE-weighted dose (D<sub>RBE</sub>) difference comparison for RBE-weighted dose-volume histogram (D<sub>RBE</sub>VH) between FRoG and Monte Carlo (MC) for proton beams.

FRoG matches well with the MC predictions. Considering all resultant values ( $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$ ), in terms of absolute variation, FRoG reproduces FLUKA results within 0.7% on average. The observed maximum variation was 1.1% for  $D_{RBE,98}$  for an SOBP of side 3 cm at 21 cm depth and for an SOBP of side 6 cm at 12 cm depth.

Carbon ions  $D_{RBE}$ VH statistics for the target volume for the six studied SOBPs are summarized in Tables 2 and 3 for LEM and MKM, respectively. For 27 cm<sup>3</sup> (216 cm<sup>3</sup>) target volumes, applying the LEM model, percent  $D_{RBE}$  differences for  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$  are 0.4% (0.7%), -1.9% (-0.6%), and -0.1% (2.0%), respectively. These results are obtained by averaging over the three SOBP depths.

**Table 2.** Percent  $D_{RBE}$  difference comparison for Local Effect Model (LEM)  $D_{RBE}$ VH between FRoG and MC for <sup>12</sup>C ions.

Percent Difference of	Target Volume	3 cm	12 cm	21 cm
Dana 🔹	27 cm <sup>3</sup>	0.93%	0.39%	-0.01%
DRBE,50	216 cm <sup>3</sup>	0.58%	0.64%	1.02%
Л	27 cm <sup>3</sup>	-1.01%	-2.45%	-2.27%
$D_{\text{RBE},2}$	216 cm <sup>3</sup>	-1.14%	-0.65%	0.02%
D	27 cm <sup>3</sup>	-2.19%	-0.18%	2.03%
D <sub>RBE</sub> ,98	216 cm <sup>3</sup>	2.14%	2.95%	0.78%

**Table 3.** Percent  $D_{RBE}$  difference comparison for Microdosimetric Kinetic Model (MKM)  $D_{RBE}$ VH between FRoG and MC for <sup>12</sup>C ions.

Percent Difference of	Target Volume	3 cm	12 cm	21 cm
	27 cm <sup>3</sup>	-1.13%	-2.10%	-2.97%
DRBE,50	216 cm <sup>3</sup>	-0.46%	-0.41%	-0.26%
D	27 cm <sup>3</sup>	-0.19%	-0.90%	-2.11%
$D_{\text{RBE},2}$	216 cm <sup>3</sup>	-0.68%	-1.41%	-2.48%
р	27 cm <sup>3</sup>	0.81%	-2.38%	-3.86%
DRBE,98	216 cm <sup>3</sup>	-0.30%	1.91%	-0.84%

For 27 cm<sup>3</sup> (216 cm<sup>3</sup>) target volumes, applying the MKM model, percent  $D_{RBE}$  differences for  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$  are -1.1% (-0.4%), -2.2% (-1.5%), and -1.7% (0.3%), respectively. Overall, the FRoG biological dose calculations with the studied SOBPs match FLUKA predictions within 2.5%. However, variations larger than or close to 3% have been found for LEM  $D_{RBE,98}$  at 12 cm (216 cm<sup>3</sup> target volume) and MKM  $D_{RBE,98}$  at 21 cm (27 cm<sup>3</sup> target volume).

## 2.2. Comparison of FLUKA- and FRoG-Calculated Patient Cases

#### 2.2.1. Proton Beams

For proton beams, fifteen CNAO patient cases have been recalculated with FRoG and FLUKA: five head and neck (H&N) without RS, five H&N with RS, and five pelvic cases without RS. The FRoG and FLUKA  $D_{RBE}$  predictions (RBE = 1.1) have been compared in terms of variation of  $D_{RBE,50}$ ,  $D_{RBE,20}$ , and

 $D_{RBE,98}$  in the target volume and in terms of  $\gamma$ -analysis [22,23]. In Figures 1 and 2,  $D_{RBE}$  distributions and  $D_{RBE}$ VH comparisons between FLUKA and FRoG are presented for a H&N case without RS and a pelvic case, respectively.



**Figure 1.** FLUKA and FRoG recalculated  $D_{RBE}$  distributions for a proton head case without range shifter (RS) are displayed in the left and middle panel, respectively, together with the contours of three regions of interest: the planning target volume (PTV), brainstem, and right temporal lobe. The  $D_{RBE}$ VH for the regions of interest (ROIs) are shown in the right panel.



**Figure 2.** FLUKA and FRoG recalculated  $D_{RBE}$  distributions for a proton pelvic case are displayed in the left and middle panel, respectively, together with the contours of four regions of interest: the clinical target volume (CTV), right/left femur, and bladder. The  $D_{RBE}$ VH for the ROIs are shown in the right panel.

A summary of variations in target  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$ , with respect to MC calculations for proton patient cases is reported in Table 4. Average percent  $D_{RBE}$ VH differences between FLUKA simulation and FRoG over the whole patient set were within ~0.7%.  $\gamma$ -pass rates, i.e., percent of points for which the  $\gamma$ -index is  $\leq 1$ , were 98.4%, 96.0%, and 97.2% for the H&N without RS, H&N with RS, and pelvic patient cases, respectively. The overall  $\gamma$ -pass rate was 97.2%.

**Table 4.** Average percent variation of target  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$ , with respect to MC calculations for proton patient cases. The values represent the mean  $\pm$  standard error of the mean.

Percent Difference of	Total	H&N No RS	H&N with RS	Pelvic
D <sub>RBE,50</sub>	$0.68\% \pm 0.21\%$	$1.05\% \pm 0.32\%$	$0.34\% \pm 0.50\%$	$0.65\% \pm 0.31\%$
D <sub>RBE,2</sub> D <sub>RBE,98</sub>	$egin{array}{c} -0.57\% \pm 0.41\% \ 0.41\% \pm 0.56\% \end{array}$	$-0.91\% \pm 0.55\%$ $1.25\% \pm 0.42\%$	$-0.84\% \pm 1.05\% \ -0.79\% \pm 1.55\%$	$\begin{array}{c} 0.02\% \pm 0.67\% \\ 0.77\% \pm 0.81\% \end{array}$

The average calculation time for the ten H&N cases was approximately 90 s  $\pm$  22 s, with a maximum of 3 min and 57 s (PTV of 300 cm<sup>3</sup>, three beams with RS) and minimum of 44 s (PTV of 15.5 cm<sup>3</sup>, three beams with RS). For the pelvic cases, the average calculation time was approximately

175 s  $\pm$  60 s ranging from a maximum of 6 min and 35 s (PTV of 2134 cm<sup>3</sup>, two beams) to a minimum of 1 min and 2 s (PTV of 91 cm<sup>3</sup>, two beams). The reported runtime also includes calculating LET<sub>d</sub> (as well as RBE) distributions which are typically unavailable in a commercial TPS.

In Figure 3, LET<sub>d</sub> maps and LET<sub>d</sub>-volume-histograms (LET<sub>d</sub>VH) calculated by FRoG and FLUKA for the two patients of Figures 1 and 2 are shown. For the head case, the left temporal lobe was examined in order to quantify the high LET<sub>d</sub> component found, as expected, in the distal region of the field. For the PTV, FRoG and FLUKA LET<sub>d</sub> values match within 0.05 keV/ $\mu$ m. The FRoG calculated mean LET<sub>d</sub> is approximately 3.0 keV/ $\mu$ m while the LET<sub>d,2</sub> is 5.7 keV/ $\mu$ m, where LET<sub>d,2</sub> is the LET<sub>d</sub> received by 2% of the volume. For the other ROIs, LET<sub>d,2</sub> are 6.4 keV/ $\mu$ m, 3.0 keV/ $\mu$ m, and 9.5 keV/ $\mu$ m, for the brainstem and the right and left temporal lobe, respectively. These values agree with the FLUKA predictions within ~0.3 keV/ $\mu$ m. For the pelvic case, the mean LET<sub>d</sub> for the CTV is 2.5 keV/ $\mu$ m while the LET<sub>d,2</sub> is 4.0 keV/ $\mu$ m. Tor the bladder, LET<sub>d,2</sub> is 4.1 keV/ $\mu$ m while for the lower value of LET<sub>d,2</sub> for the femures is ~1.2 keV/ $\mu$ m. These values agree with the FLUKA



**Figure 3.** Upper panels: FLUKA and FRoG recalculated LET<sub>d</sub> distributions for a proton head case are displayed in the left and middle panel, respectively, together with the contours of four regions of interest: PTV, brainstem, and right and left temporal lobe. The LET<sub>d</sub>VH for the ROIs are shown in the right panel. Lower panels: FLUKA and FRoG recalculated LET<sub>d</sub> distributions for a proton pelvic case are displayed in the left and middle panel, respectively, together with the contours of four regions of interest: CTV, right and left femur, and bladder. The LET<sub>d</sub>VH for the ROIs are shown in the right panel.

### 2.2.2. Carbon Ion Beams

For carbon ion beams, ten Syngo LEM-optimized CNAO patient cases were recalculated using FRoG and FLUKA: five H&N and five pelvic cases. The H&N cases include fields with and without RS. Resultant FLUKA and FRoG LEM/MKM-based  $D_{RBE}$  distributions are compared. In Figure 4 and in Figure 5, FLUKA and FRoG LEM/MKM  $D_{RBE}$  distributions and  $D_{RBE}$ VH comparisons for a H&N case and a pelvic case, respectively, are shown. In Table 5, percent  $D_{RBE}$ VH differences between FLUKA MC simulations and FRoG for the target in terms of  $D_{RBE,2}$ ,  $D_{RBE,50}$ , and  $D_{RBE,98}$  are reported for both MKM and LEM  $D_{RBE}$  values. Average percent differences over the whole patient set were within 2.5% for both LEM and MKM-based  $D_{RBE}$ , respectively.  $\gamma$ -pass rates were ~99% for both LEM and MKM in both H&N and pelvic cases.



**Figure 4.** Upper panels: FLUKA and FRoG recalculated LEM-based  $D_{RBE}$  distributions for a <sup>12</sup>C ion head case are displayed in the left and middle panel, respectively, together with the contours of four representative regions of interest: CTV, brainstem, and left/right temporal lobe. The LEM-based  $D_{RBE}$ VH for the ROIs are shown in the right panel. Lower panels: FLUKA and FRoG recalculated MKM-based  $D_{RBE}$  distributions for a <sup>12</sup>C ion head case are displayed in the left and middle panel, respectively, together with the contours of four regions of interest: CTV, brainstem, and left/right temporal lobe. The LEM-based DRBE VH for the ROIs are shown in the right panel.



**Figure 5.** Upper panels: FLUKA and FRoG recalculated LEM-based  $D_{RBE}$  distributions for a <sup>12</sup>C ion pancreatic patient case are displayed in the left and middle panel, respectively, together with the contours of three representative regions of interest: CTV, left kidney and stomach. The LEM-based  $D_{RBE}$ VH for the ROIs are shown in the right panel. Lower panels: FLUKA and FRoG recalculated MKM-based  $D_{RBE}$  distributions for a <sup>12</sup>C ion pancreatic patient case are displayed in the left and middle panel, respectively, together with the contours of three representative regions of interest: CTV, left kidney and stomach. The LEM-based MKM-based  $D_{RBE}$  distributions for a <sup>12</sup>C ion pancreatic patient case are displayed in the left and middle panel, respectively, together with the contours of three representative regions of interest: CTV, left kidney, and stomach. The MKM-based  $D_{RBE}$ VH for the ROIs are shown in the right panel.

The average calculation time including absorbed dose, LEM and MKM  $D_{RBE}$  for the five H&N cases was about 388 s  $\pm$  91 s, ranging from 4 min and 11 s (PTV of 25 cm<sup>3</sup>, 2 beams) to 11 min and 30 s (PTV of 204 cm<sup>3</sup>, two beams). For the pelvic cases, the average calculation time was about 205 s  $\pm$  60 s, ranging from 1 min and 33 s (PTV of 40 cm<sup>3</sup>, one beam) to 5 min and 50 s (PTV of 172 cm<sup>3</sup>, one beam).

Percent Difference of	<b>Biological Model</b>	Total	H&N	Pelvic
D <sub>RBE,50</sub>	LEM	$0.96\% \pm 0.33\%$	$0.52\% \pm 0.52\%$	$1.40\% \pm 0.38\%$
	MKM	$1.46\% \pm 0.30\%$	$1.23\% \pm 0.30\%$	$1.69\% \pm 0.50\%$
D <sub>RBE,2</sub>	LEM	$0.97\% \pm 0.30\%$	$0.93\% \pm 0.62\%$	$1.01\% \pm 0.32\%$
	MKM	$1.81\% \pm 0.46\%$	$2.57\% \pm 0.78\%$	$1.05\% \pm 0.36\%$
D <sub>RBE,98</sub>	LEM	$2.23\% \pm 0.34\%$	$2.80\% \pm 0.65\%$	$1.67\% \pm 0.18\%$
	MKM	$2.36\% \pm 0.30\%$	$2.93\% \pm 0.46\%$	$1.79\% \pm 0.23\%$

**Table 5.** Average percent variation of target  $D_{RBE,50}$ ,  $D_{RBE,2}$ , and  $D_{RBE,98}$ , with respect to MC calculations for carbon ion patient cases applying LEM and MKM biological models. The values represent the mean  $\pm$  standard error of the mean.

### 2.3. Comparison against Dosimetric Data

For patient QA, FRoG dose calculations showed a high level of agreement, with the mean and standard deviation of the difference between calculated and measured dose over the twenty-five fields of  $1.96\% \pm 0.79\%$  and  $2.21\% \pm 0.92\%$ , for p and  $^{12}$ C, respectively. The Syngo-calculated values were  $2.71\% \pm 1.25\%$  and  $2.57\% \pm 0.84\%$ , for p and  $^{12}$ C, respectively. The improved agreement compared to Syngo is due to the employment of a triple Gaussian parameterization in FRoG instead of a double Gaussian model as in Syngo [24] and an improved handling of the RS for proton beams. In FRoG, facility-specific triple Gaussian parameterizations were implemented taking into account RS thickness and RS distance from the patients (or phantoms) entry point. Conversely, as explained previously [7], Syngo numerically accounts for the corresponding water equivalent thickness for radiological depth calculation, but the beam widening due to the RS is only covered by a single Gaussian scattering model [25]. For carbon ions, Syngo neglects the additional scattering of the beams in the RS while FRoG considers a beam energy-dependent parameterization of the beam widening due to the RS. The typical calculation time for a patient QA field is roughly 1 to 2 min depending on particle type, depth, and target volume.

## 3. Discussion

In this paper, benchmarks of FRoG against MC predictions for protons and carbon ions have been performed in both homogeneous (SOBP in water) and heterogeneous scenarios (patient cases). In general, on average discrepancies in terms of  $D_{RBE}$  values have been found to be within 1% and 2.5% for proton and carbon ions, respectively. For carbon ions, variations larger than or close to 3% have been found for LEM and for MKM. The steep dose gradients of carbon ions make  $D_{RBE,98}$  a less robust metric in the evaluation of the target coverage. Additionally, we have analyzed the  $D_{RBE,95}$  (biological dose received by 95% of the PTV) and found an improved agreement with a variation of 1.94% for LEM  $D_{RBE,95}$  at 12 cm (side 6 cm) and -1.20% MKM  $D_{RBE,95}$  at 21 cm (side 3 cm). In general, the worsening of the agreement in the case of carbon ions is due to the limitations of handling the lateral dose distributions with a simple triple Gaussian approach [24] as well as the assumption that, for biological calculations, lateral variations of the mixed radiation field are not explicitly considered [26]. In order to overcome the latter, an approach similar to the one suggested by Inaniwa and Kanematsu [26] could be implemented. Nevertheless, the FRoG agreement with the MC has been considered highly satisfactorily keeping in mind the larger discrepancies, up to 5–10%, found using commercial TPS [7,27].

In terms of physical dose distributions for carbon ions, FRoG and FLUKA match on average within 1.4% for SOBPs and within 1.6% for patient cases (see Supplementary Material Table S1), in line with results presented previously [24] where calculations of SOBPs in homogenous settings using FRoG were within 1.3% of FLUKA calculations, while analysis of patient case calculations exhibited net deviations in the target within about 1.5%.

For the proton H&N patient cases, presence of the RS marginally reduces the agreement, with the  $\gamma$ -pass rate decreasing from 98.4% to 96.0% with use of the RS. Nonetheless, the high level of agreement found confirms that the RS handling in FRoG is sound, as described in Section 2.3.

For proton beams,  $LET_d$  values have been found matching the MC predictions within 0.3 keV/µm in both target and organs at risk. This agreement is important when using FRoG for a retrospective study of clinical outcomes in proton therapy. A FRoG user could efficiently perform the analysis carried out in a previous paper [28], determining, for example, if areas of normal tissue damage indicated by post-treatment image changes are associated with increased LET<sub>d</sub> values.

Regarding the carbon ion biological dose, a conversion scheme is implemented at CNAO allowing to plan a NIRS-based median biological dose to the target starting from a prescribed LEM-based biological dose [10,11]. This, however, does not assure a satisfactory coverage in certain cases resulting in hot or cold spots in the biological dose distributions due to the different particle type, LET and tissue dependencies of the two biological models. As an example, in the left panel of Figure 6, the ratio between FRoG-calculated MKM D<sub>RBE</sub> and LEM D<sub>RBE</sub>, multiplied by the clinical scaling value for the patient shown in Figure 4, is displayed only in the region of the CTV. For this patient, the FRoG-calculated scaling factor (D<sub>RBE,LEM,50</sub>/D<sub>RBE,MKM,50</sub> for the CTV) is 1.12 which matches the expected one of about 1.10 for 4.3 Gy (RBE) LEM per fraction scheme [10]. If a constant scaling factor were enough to modulate LEM-based biological dose to MKM-based biological dose, the ratio values reported in the left panel of Figure 6 should be close to 1. Instead, hot and cold spots have been found in the CTV as summarized in the D<sub>RBE</sub>VH shown in the right panel of Figure 6. In this context, the accessibility within few minutes of a FRoG MKM-based biological dose could help medical physicists in adjusting the LEM-based constraints, resulting in a more homogenous MKM biological dose mitigating hot and cold spots in the target.



**Figure 6.** Left panel: ratio between FRoG-calculated MKM  $D_{RBE}$  and LEM  $D_{RBE}$  multiplied by the clinical scaling value (see the next for more details) for the <sup>12</sup>C ion patient shown in Figure 4. Only the values for the CTV are displayed. Right panel: FRoG-calculated LEM and MKM  $D_{RBE}$ VH for the CTV are displayed with a red solid line and red dashed line, respectively. The  $D_{RBE}$ VH for the LEM  $D_{RBE}$  values rescaled by the clinical scaling factor is displayed with a black solid line.

For the studied patient cases, FRoG's total calculation time was approximately 2 min for proton beams and 5 min for carbon ion beams. Further improvements could be made to FRoG's calculation speed by upgrading the hardware and optimizing the code structure. For our calculations, we have used a consumer grade GPU card (NVIDIA Corporation, Santa Clara, CA, USA NVIDIA<sup>®</sup> GeForce<sup>®</sup> GTX 1080 Ti) as available at CNAO. For understanding the impact of upgrading the GPU card on the calculation time reduction, we have run the most time-consuming case presented in this work, i.e., a H&N carbon ion case (total time: 11 min and 30 s, PTV of 204 cm<sup>3</sup>, two beams) with a high-end graphics card (NVIDIA Corporation, Santa Clara, CA, USA NVIDIA<sup>®</sup> Tesla<sup>®</sup> V100) available at HIT. The time reduction was approximately 3.5-fold with a new total calculation time of about 3 min and 20 s.

In terms of dosimetric comparisons, considering both protons and carbon ions, FRoG agreed with measurements better than Syngo, with a 0.56% improvement, on average, in reproducing

patient-specific QA measurements. The additional differences of about 2% found with respect to the experimental data could be due to uncertainties involved in the dose delivery process and in the water phantom positioning as described previously [18].

Here, the FRoG platform has been introduced at CNAO and it has been benchmarked successfully against MC prediction and dosimetric measurements for proton and carbon ion beams. Due to its accuracy and fast calculation time in comparison to the MC code, FRoG could be used for retrospective study of large patient cohorts as well as in clinical treatment planning workflow taking into account LET<sub>d</sub> distributions in proton plans and MKM-based D<sub>RBE</sub> in carbon ion plans. Organs at risk constraints resulting from toxicity studies of the long-term follow up of Japanese treatments were adopted at CNAO without any correction for the RBE model. This approach is overly conservative and it is currently under evaluation for critical structures such as the optic nerve, brainstem, and rectum.

#### 4. Materials and Methods

#### 4.1. FRoG Workflow and Design Information

In regard to the input physics database, FRoG handles depth dose distributions and lateral dose parametrizations generated with FLUKA simulated data [18–20,29]. As described previously [24], physical and biophysical quantities were simulated and scored depth-wise in water. For the physical dose, lateral evolution was additionally scored radially. Subsequently, lateral dose evolution parameterization as a function of depth using a triple Gaussian model was performed using the MINUIT package [30] in ROOT [31], as described previously [32].

For biological calculations, MC-derived depth-dependent databases for LET<sub>d</sub>,  $z^*_{mix}$ , alpha and beta are available. For carbon ions,  $z^*_{mix}$  is used for MKM-based calculations [8,33] while alpha and beta for LEM ones [6,34]. Alpha and beta are the dose-averaged linear (alpha) and quadratic (beta) parameters necessary for the LEM while  $z^*_{mix}$  is the dose-averaged saturation-corrected dose-mean specific energy of the domain delivered in a single event needed by the MKM. FRoG's depth-dependent database for physical and biological calculations with carbon ion beams at CNAO are shown in Figure 7. The physical and biological input parameters employed for generating the database are explained in detail in previous works [33,34].

FRoG employs a pencil beam algorithm with GPU optimized raytracing [35]. Raytracing is performed with the resolution of the planning CT, whereas dose can be calculated on a downsized grid, following the clinical procedure at CNAO. For head treatments with proton beams, dose calculation is performed on a 2  $\times$  2  $\times$  2 mm<sup>3</sup> grid with a 0.98  $\times$  0.98  $\times$  2 mm<sup>3</sup> CT resolution, while for pelvic treatments, dose calculation is performed on a  $3 \times 3 \times 3$  mm<sup>3</sup> grid with a  $0.98 \times 0.98 \times 2$  mm<sup>3</sup> CT resolution. For carbon ions, for the studied cases, dose calculation is performed on a 2  $\times$  2  $\times$  2 mm<sup>3</sup> grid with a  $0.98 \times 0.98 \times 2$  mm<sup>3</sup> CT resolution. Pencil beam splitting was incorporated into FRoG's framework following the mathematical procedures of beamlet superposition [36] handling variable lateral dose evolution in the presence of anatomical heterogeneity. In a two-dimensional grid space, the splitting method involves a superposition of N equally-spaced sub-Gaussians (bounded by  $\pm 3.5 \sigma$ ) with equivalent FWHM but variable weighting. For protons ~350 subsplits were used while for carbon ions, ~350 and ~100 subsplits, were applied for patient cases in the H&N and in the pelvic region, respectively. In case of calculations in water phantoms, no splitting is needed. For each beam spot in the patient plan, source-to-surface distance was calculated and full width at half maximum (FWHM) in air projected to the entrance were interpolated using experimentally measured FWHM values for each ion, beam energy, foci, and treatment room as in clinical practice at CNAO using Syngo [20].



**Figure 7.** CNAO carbon ion depth-dependent database for all beam energies: (a) depth-dose distribution, (b) alpha for LEM, (c) beta for LEM, and (d)  $z^*_{mix}$  for MKM.

The FRoG dose calculation engine adopts a triple Gaussian distribution model for handling lateral dose distribution as a function of the water equivalent depth [24]. For carbon ion therapy, there are two biological models applied clinically, the modified MKM and LEM I. These models are implemented in FRoG and the two  $D_{RBE}$  distributions are calculated at the same time of the physical dose. The implementation of the LEM and MKM biological calculations have been performed following the approaches described in the literature [6,8,9,27,33,34]. For proton beams, dose-averaged LET in water is calculated as described previously [27] but weighting only primary protons and secondary protons, deuterons, and tritons. This allows to quickly access  $D_{RBE}$  values applying LET-based RBE models [13].

## 4.2. Benchmark of the FRoG Predictions with Proton and Carbon Ion Beams

#### 4.2.1. Comparison against FLUKA Predictions

Six SOBPs for both proton and carbon ions have been optimized with Syngo using RBE = 1.1 for protons and LEM-based optimization for carbon ions [6,7]. The target volumes had cubic shapes with sides of 3 cm or 6 cm. They were contoured in water with the proximal face positioned at a depth of 6 cm, 12 cm, and 21 cm. The optimization goal was homogeneous target coverage to 2 Gy (RBE) for both proton and carbon ions. The results are analyzed in terms of percent D<sub>RBE</sub> difference of D<sub>RBE,50</sub>, D<sub>RBE,2</sub>, and D<sub>RBE,98</sub>. D<sub>RBE,50</sub>, D<sub>RBE,2</sub>, and D<sub>RBE,98</sub> represent the biological dose received by 50%, 2%, and 98% of the planning target volume (PTV) in the cumulative D<sub>RBE</sub>VH, respectively. To evaluate the capability of FRoG in handling heterogeneities in clinically relevant scenarios, twenty-five patients previously treated at CNAO have been recalculated. Fifteen patients treated with proton beams (10 H&N, five pelvic cases) and ten with carbon ions (five H&N, five pelvic cases). We have included the most clinically representative targets and beam configurations. Five proton H&N patients have been selected with 3 cm RW3 range shifter, always positioned at 10 cm distance from the patient's skin. In this way, we could quantify the FRoG predictions when an RS for proton beams was employed.  $\gamma$ -values have been calculated for the regions in the D<sub>RBE</sub> distributions with a 10% low-dose threshold

of the maximum MC  $D_{RBE}$ . The chosen criteria were 2 mm as distance-to-agreement (DTA) and 2% as  $D_{RBE}$  difference.

The FLUKA MC code [15–17] was selected to perform forward plan recalculations to benchmark FRoG predictions. FLUKA represents the gold standard for clinical calculations in particle therapy at CNAO [18–20].

Patients records were obtained with informed consent and handled following the Helsinki Declaration. All methods were approved and followed applicable guidelines and regulations of CNAO. Considering the retrospective nature of the study, clearing from the ethical review committee was not required. All records were anonymized prior to the study.

#### 4.2.2. Comparison against Dosimetric Data

For experimentally validating FRoG results, dosimetric verification of patient plans in water for proton and carbon ion beams has been performed following the same procedure as for patient quality assurance at CNAO [18]. Twenty-five fields of patient-specific QA for each beam modality have been acquired and compared against FRoG predictions. The measurements were performed using a water phantom (MP3-P T41029, PTW, Freiburg, Germany). The dosimetric system consists of a three-dimensional stack of pin point ionization chambers (ICS, PinPoint Ionization Chambers, TM31015, PTW, Freiburg, Germany), mounted on a robotic arm and positioned inside the water phantom [37]. The position of the block with the ICs can be remotely controlled with a step size of 0.1 mm. The dose distribution for each beam has been measured using 12 ICs simultaneously with the ICs connected to a 12-channel electrometer (MULTIDOS<sup>®</sup>, PTW, Freiburg, Germany). According to the CNAO QA protocol, results are accepted if the mean and the standard deviation of [(measured dose – calculated dose)/maximum field dose] over a data set of 12 points are both within  $\pm 5\%$  and below 5%, respectively [18].

### 5. Conclusions

Through a multi-institutional collaboration, we introduce a unique software, FRoG, for rapid and robust dose calculation on GPU for protons and carbon ions at CNAO. As opposed to the commercial TPS used in the clinic, FRoG is a sandbox environment for radiation therapy research, providing the means to develop and evaluate sophisticated models for effective dose (e.g.,: MKM and LEM), as well as physical quantities such as LET<sub>d</sub>. Benchmark tests for the FRoG dose calculation engine using both SOBPs and patient cases were performed against FLUKA MC and dosimetric data, demonstrating satisfactorily agreement. Future efforts with FRoG include retrospective analysis of a large patient cohort to investigate clinical outcome, as well refinement of both physical and biological models in hadrontherapy.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/2072-6694/10/11/395/s1, Table S1: Average percent variation in  $D_{50}$ ,  $D_2$  and  $D_{98}$  of the target for FRoG dose calculation with respect to MC for carbon ion patient cases. The values represent the mean  $\pm$  standard error of the mean.

**Author Contributions:** A.M. wrote the main manuscript text with K.C. as assistant author. K.C., B.K., and S.B.M. are codevelopers, with A.M. as the lead designer of project FRoG. G.M. contributed to data acquisition through support with FLUKA MC simulation. S.M. performed the Syngo plans. S.M. and M.C. provided clinical direction during project development and manuscript writing. All authors reviewed the manuscript.

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