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ORIGINAL RESEARCH Bronchodilators use in patients with COPD

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http://dx.doi.org/10.2147/COPD.\$86198

Background: Bronchodilators are commonly used as maintenance and rescue therapy in patients with COPD. We aimed to examine the prescribing patterns of bronchodilators in clinical practice. Methods: We identified patients with COPD who initiated oral or inhaled bronchodilators between 2001 and 2010 from the Taiwan National Health Insurance Research Database. We followed the patients for 1 year. For bronchodilator prescriptions, we classified the treatments based on medication classes and regimens (oral bronchodilators alone, oral and inhaled bronchodilators in combination, or inhaled bronchodilators alone). For inhaled bronchodilator prescriptions, we further classified the treatments as short-acting bronchodilators alone, short-acting and long-acting bronchodilators in combination, and long-acting bronchodilators alone. We evaluated the prescribing patterns and the change with time, in different physician specialists, and in different hospital accreditation levels.

Results: Among a cohort of 4,387 study-eligible patients, we identified 21,235 bronchodilator prescriptions for the analysis. The majority of prescriptions were oral xanthines or beta-2 agonists (62.63% and 47.54%, respectively) rather than prescriptions for inhaled bronchodilators (less than 10%). Nearly 80% of prescriptions were oral bronchodilator alone regimens. Use of oral bronchodilators declined with time and varied with health care providers, which were most commonly prescribed by non-chest specialists and in primary care clinics. Despite limited use of inhaled bronchodilators, it was noted that short-acting bronchodilators alone regimens accounted for 60% of the inhaled bronchodilator prescriptions.

Conclusion: Excessive use of oral and short-acting bronchodilators is noted in general practice. Further research and education programs are warranted to decrease inadequate oral bronchodilators and optimize inhaled treatments in the management of patients with COPD.

Keywords: bronchodilators, chronic obstructive pulmonary disease, prescribing patterns Taiwan

Introduction

Currently, COPD is the ninth leading cause of mortality worldwide accounting for more than 3% of total deaths.1 Patients with COPD have a threefold increased risk of overall death and a twofold increased risk of cardiovascular death and morbidity compared with those without COPD.^{2,3} Patients with COPD are susceptible not only to pulmonary, musculoskeletal, and psychological morbidities, but also undergo higher hospitalization, and live a poor quality of life.⁴ Given the substantial burden of disease globally, management of COPD is an important public health issue.

The Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines recommend that bronchodilators medications are the mainstay for management of COPD, which could be administered via inhaled or oral routes.⁴ In terms of effectiveness and safety profiles, inhaled bronchodilators are preferred to oral bronchodilators. Furthermore, inhaled long-acting bronchodilators are more convenient and more effective than the inhaled short-acting bronchodilators. Several investigators have explored the use of bronchodilators in patients with COPD in real clinical settings

International Journal of COPD 2015:10 1769-1779

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and compared the adherence to the guidelines, which showed variations across geographic areas and with time.^{5–11} Moreover, previous research indicated that health care providers have apparent impacts on the patterns of care for a variety of diseases, including COPD.^{12–14}

COPD also carries a major socioeconomic burden in Asia, with an overall estimated prevalence of 6.3% across nine Asia-Pacific territories.¹¹ However, few studies have addressed appropriateness of pharmaceutical care in these areas. In order to identify and analyze the potential problems in bronchodilator utilization, and to improve the optimal quality of drug therapy in Taiwan, we conducted a population-based study to assess the prescribing patterns of bronchodilators, evaluated the change with time and in different health care providers, and examined the inconsistency with the GOLD guidelines recommendations.

Methods

Data source and source population

A single-payer and mandatory National Health Insurance program was initiated in Taiwan in 1995, with an enrollment rate of 98.4% in 2007. The National Health Insurance Research Database is a research dataset developed by the National Health Research Institute, and is linked with demographic and enrollment records, hospital admissions, outpatient visits, and pharmacy dispensing claims data from hospitals, outpatient clinics, and community pharmacies. The Longitudinal Health Insurance Database 2005 comprises a random sample of one million individuals from the National Health Insurance Research Database with longitudinally linked data for individuals starting from 1997, which is updated annually. The source population of this study included all beneficiaries from the Longitudinal Health Insurance Database 2005. The study protocol was approved by the National Taiwan University Hospital Research Ethics Committee (approval number: 201308065W).

Study population

From the source population, we identified patients who initiated oral or inhaled bronchodilators in outpatient visits between January 1, 2001 and December 31, 2010. We did not include prescriptions in the hospitalization periods given that bronchodilators (especially oral and inhaled, short-acting forms) may be prescribed for acute, unspecific respiratory conditions other than COPD in the inpatient settings. The oral bronchodilators included beta-2 agonists and xanthines. The inhaled bronchodilators included short-acting beta-2 agonists (SABAs), short-acting muscarinic antagonists (SAMAs), long-acting beta-2 agonists (LABAs), fixed dose combinations of LABA and inhaled corticosteroids (LABA/ICS FDCs), and long-acting muscarinic antagonists (LAMAs). The Anatomical Therapeutic Chemical classification system codes for individual medications are listed in Table S1. Initiation was defined as being free from any prescription of oral or inhaled bronchodilators 1 year prior to the first prescription (ie, the index dates). Exclusion criteria included 1) patients less than 18 years or more than 100 years of age, and 2) patients who did not have continuous insurance coverage 1 year before drug initiation, defined as a lack of inpatient or outpatient visits 1 year before the index dates. Patients with COPD were identified with any corresponding diagnoses in the inpatient and outpatient visits 1 year before or on the index dates (International Classification of Diseases, 9th Revision, Clinical modification [ICD-9-CM] codes 491, 492, 496). Patients concurrently having COPD and asthma diagnoses (ICD-9-CM code 493) were excluded from the study. To minimize coding errors in COPD diagnoses, we further restricted the analyses to those receiving pulmonary function tests between 1 year before and 1 year after the bronchodilator initiation. Patient comorbidities were ascertained based on inpatient and outpatient diagnosis record files within 1 year before the index dates (ICD-9-CM codes for comorbidity identification are provided in Table S2). Resource utilization was also calculated based on the records of hospital admissions and outpatient visits within 1 year before the index date.

Medication exposure

We followed the patients for 1 year from the index dates. We calculated the number of bronchodilator prescriptions during this study period and classified bronchodilator treatments according to medication classes and regimens (oral bronchodilators alone, oral and inhaled bronchodilators in combination, or inhaled bronchodilators alone). For inhaled bronchodilator prescriptions, which included at least one inhaled bronchodilator in one prescription, we further classified the treatments as short-acting bronchodilators alone, short-acting and long-acting bronchodilators in combination, or long-acting bronchodilators alone. In addition, we classified inhaled bronchodilators as different device types, including nebulizers, meter-dose inhalers, and dry-powder inhalers.

Statistical analysis

For the study cohort, we tabulated the distribution of demographic characteristics at baseline. We calculated the proportion of prescriptions belonging to a particular medication class or regimen during the study period. For oral bronchodilator-based regimens, we explored the accompanying respiratory diagnoses and calculated the cumulative prescribed durations. To examine the potential variation in the prescribing patterns with time and health care providers, the prescriptions were stratified based on the years of index dates (2001–2003, 2004–2006, and 2007–2010), physician specialists (chest, internal medicine, family medicine, and others), and hospital accreditation levels (medical centers, metropolitan hospitals, district hospitals, or primary care clinics). The Cochran–Armitage trend test was applied to assess whether there was a significant, temporal trend for oral bronchodilators and inhaled bronchodilators, respectively. We finally calculated number of prescriptions for different bronchodilators per individual, which served as an indicator

of adherence. We also evaluated the relation between bronchodilator use and hospitalizations during the 1-year follow-up using a multivariate logistic regression model with other patients' characteristics, including age, sex, index years, and comorbidities and resource utilization at baseline. We estimated the adjusted odds ratio (OR) with 95% confidence intervals (CIs). All the statistical analyses were carried out using SAS 9.3 (SAS Institute Inc., Cary, NC, USA).

Results

The eligible cohort comprised 4,387 patients with COPD who initiated bronchodilators (Figure 1). The demographic characteristics of these bronchodilator initiators are summarized in Table 1. The initiators had a mean (SD) age of 61.18 (15.86) years, with 73.03% of males and common



Figure I Flowchart of study cohort assembly.

Notes: Bronchodilators included oral and inhaled forms: 1) oral forms: xanthines and beta-2 agonists, 2) inhaled forms: short-acting beta-2 agonists (SABAs), short-acting muscarinic antagonists (SAMAs), long-acting beta-2 agonists (LABAs), long-acting muscarinic antagonists (LAMAs), and fixed dose combinations of LABA and inhaled corticosteroids (ICS) (LABA/ICS FDCs).

Abbreviation: FDC, fixed dose combination.

 Table I Demographic characteristics of bronchodilator initiators

 at baseline

	Bronchodilator initiators (N=4,387)
Age on the index dates, mean (SD)	61.18 (15.86)
Male, %	73.03
Years of index dates, %	
2001–2003	47.71
2004–2006	27.70
2007–2010	24.60
Comorbidities, %	
Hypertension	29.82
Hypertensive heart and renal disease	14.75
lschemic heart disease/angina	18.37
Myocardial infarction	1.19
Coronary revascularization	0.75
Cardiac dysrhythmia	8.96
Atrial fibrillation	2.17
Congestive heart failure	5.65
Cerebrovascular disease	10.78
lschemic/hemorrhagic stroke	8.00
Transient ischemic attack	1.69
Diabetes mellitus	15.14
Pneumonia, influenza, and acute bronchitis	35.74
Rheumatoid arthritis/osteoarthritis	18.28
Any cancer (except lung cancer)	6.38
Lung cancer	2.26
Depressive disorder	4.65
Resource utilization	
Hospitalization, %	27.42
Hospitalization due to COPD episodes, %	6.86
Hospitalization due to cardiovascular	13.20
episodesª, %	
Number of outpatient visits, mean (SD)	24.38 (19.83)
Number of outpatient visits due to COPD	1.05 (2.49)
episodes, mean (SD)	
Number of outpatient visits due to	4.43 (7.65)
cardiovascular episodes ^a , mean (SD)	

Notes: ^aCardiovascular episodes included hypertension, hypertensive heart/renal disease, ischemic heart disease/angina, myocardial infarction, coronary revascularization, cardiac dysrhythmia, atrial fibrillation, congestive heart failure, cerebrovascular disease, ischemic/hemorrhagic stroke, and transient ischemic attack.

cardiovascular comorbidities. There were 27.42% of initiators experiencing hospitalization, and the mean (SD) number of outpatient visits due to any causes were 24.38 (18.83). The most frequently prescribed bronchodilators on the index date were oral xanthines (61.36% of initiators) and beta-2 agonists (46.68%); these frequencies were substantially higher than those of the inhaled bronchodilators, including SABAs (11.06%), SAMAs (9.30%), LABA/ICS FDCs (1.89%), LAMAs (1.23%), and LABAs (0.50%).

As shown in Table 2, there were 21,235 bronchodilator prescriptions during the 1-year follow-up period. Use of oral xanthines and beta-2 agonists accounted for 62.63% and 47.54% of the prescriptions, respectively, which remained substantially higher than any use of inhaled bronchodilators. SABAs and SAMAs were the most commonly used inhaled bronchodilators, making up 9.84% and 8.78% of the proportions, individually. There was an approximate two- to threefold increase in the use of LABAs, LABA-ICS FDCs, and LAMAs during the follow-up period compared with initiation; however, all the proportions remained less than 5%. Notably, 88.61% and 80.79% of the prescriptions were oral bronchodilator based and oral bronchodilator alone regimens, respectively. Among the oral bronchodilator-based regimens (19,657 prescriptions), the most commonly accompanying respiratory diagnosis was COPD (60.48%), followed by acute upper respiratory infection (20.25%) and pneumonia (4.47%). The mean (SD) cumulative prescribed durations for oral bronchodilator-based regimens were 64.88 (SD: 92.31) days per patient within 1 year. Among 4,096 inhaled bronchodilator prescriptions, 61.62% were short-acting bronchodilator alone regimens and 55.44% were delivered via metered-dose inhalers (Table S3).

The change in prescribing patterns with time varied for individual medication classes. The proportions of SABAs, SAMAs, LAMAs, and LABA/ICS FDCs increased with time, with the most apparent change in LAMAs (from 0.01% during 2001–2003 to 7.93% during 2007–2010). However, the proportions of oral xanthines, oral beta-2 agonists, and LABAs decreased with time, with the most evident change in LABAs (from 2.02% during 2001-2003 to 0.04% during 2007-2010). There was a decreasing temporal trend for oral bronchodilator alone regimens and short-acting bronchodilator alone regimens, although the figures were still high, accounting for 71.89% of the total bronchodilator prescriptions and 46.68% of the inhaled bronchodilator prescriptions during 2007-2010, individually. In terms of inhaled device types, use of meter-dose inhalers decreased with time (from 69.37% during 2001-2003 to 38.69% during 2007-2010), whereas nebulizers and dry-powder inhalers increased with time (from 17.05% and 20.86% during 2001-2003 to 29.26% and 41.17% during 2007-2010, respectively) (Table S3). In general, oral alone bronchodilator regimens significantly decreased with time (from 83.77% during 2001-2003 to 71.89% during 2007-2010) and inhaled-based bronchodilator regimens significantly increased with time (from 16.23% during 2001-2003 to 28.11% during 2007–2010), with a P-value of less than 0.0001, respectively.

It was noted that the prescribing patterns apparently differed among physician specialists. Non-chest specialists, including family medicine, internal medicine, and others (mainly otolaryngology), prescribed more oral beta-2

	Total	Years of index		
	initiators	2001–2003	2004–2006	2007-2010
Number of total bronchodilator prescriptions	21,235	11,162	5,712	4,451
Pattern of bronchodilator treatments				
By medication classes ^a , %				
Oral xanthines	62.63	65.70	61.71	56.12
Oral beta-2 agonists	47.54	50.07	47.99	40.62
SABAs	9.84	8.14	10.21	13.61
SAMAs	8.78	8.68	8.05	9.98
LABAs	1.14	2.02	0.26	0.04
LABA/ICS FDCs	4.41	2.54	4.69	8.76
LAMAs	2.23	0.01	2.12	7.93
By regimens⁵, %				
Oral bronchodilators alone	80.79	83.77	81.92	71.89
Oral and inhaled bronchodilators in combination	7.82	4.80	7.39	15.95
Inhaled bronchodilators alone	11.39	11.43	10.70	12.15
Number of inhaled bronchodilator prescriptions	4,096	1,812	1,033	1,251
Pattern of inhaled bronchodilator treatments				
By regimens⁵, %				
Short-acting bronchodilators alone	61.62	71.85	61.76	46.68
Short-acting and long-acting bronchodilators in combination	31.23	21.03	30.78	46.36
Long-acting bronchodilators alone	7.15	7.12	7.45	6.95

 Table 2 Number and proportion of bronchodilator prescriptions over 1 year in total bronchodilator initiators and stratified by the years of index dates

Notes: 'Proportions added up to more than 100% because patients may receive multiple bronchodilators during the 1-year follow-up period. 'Proportions may not be equal to 100% due to rounding.

Abbreviations: FDCs, fixed dose combinations; LABAs, long-acting beta-2 agonists; LAMAs, long-acting muscarinic antagonists; SABAs, short-acting beta agonists; SAMAs, short-acting muscarinic antagonists; ICS, inhaled cortiocsteroids.

agonists, but fewer xanthines and inhaled bronchodilators when compared with the chest specialists. Non-chest specialists were also more likely to prescribe oral bronchodilator alone regimens and short-acting bronchodilator alone regimens than chest specialists (Table 3). In terms of hospital accreditation levels, physicians in primary care clinics were most likely to prescribe oral beta-2 agonists, followed by physicians in district hospitals, in metropolitan hospitals, and in medical centers. In contrast, physicians in primary care clinics are least likely to prescribe oral xanthines and inhaled bronchodilators, followed by physicians in district hospitals, in metropolitan hospitals, and in medical centers. In line with the prescribing patterns of individual medication classes, use of oral bronchodilator alone regimens and shortacting bronchodilator alone regimens was most prevalent in primary care clinics, and declined with the increase of hospital accreditation levels (Table 4).

On average, patients received 4.86 prescriptions for any bronchodilators during the 1-year of follow-up, with 4.48, 0.64, and 0.36 prescriptions for oral bronchodilators, inhaled short-acting bronchodilators, and inhaled long-acting bronchodilators, respectively. A total of 1,289 patients (29.38%) experienced hospitalization during the 1-year follow-up period. There was a slightly, significantly increased risk of hospitalization associated with number of any bronchodilators (adjusted OR: 1.05 [95% CI: 1.03–1.06]), oral bronchodilators (adjusted OR: 1.04 [95% CI: 1.03–1.06]), and inhaled short-acting bronchodilators (adjusted OR: 1.12 [95% CI: 1.08–1.17]). In contrast, no excess risk of hospitalization was associated with number of inhaled long-acting bronchodilators (adjusted OR: 0.98 [95% CI: 0.94–1.03]) (Table S4).

Discussion

This study suggests that, in the management of COPD patients in Taiwan, the vast majority of prescriptions were oral rather than inhaled bronchodilators, and monotherapy of oral bronchodilators dominated all the treatments during the 1-year follow-up period. Use of oral bronchodilators declined with time and varied with health care providers, and were most commonly prescribed by the non-chest specialists and in primary care clinics. These findings imply that additional strategies should be employed to improve clinical practice in the management of patients with COPD.

Use of bronchodilators has been noted to follow various patterns across countries and with time. A US research, using the Veteran Affairs administrative data of 1999–2003, demonstrated that SABA and SAMA were the most frequently prescribed bronchodilators, which were used by 80% and 70% of

	Family	Internal medicine	Chest	Others
	medicine	except chest		
Number of total bronchodilator prescriptions	1,671	6,458	9,228	3,968
Pattern of bronchodilator treatments				
By medication classes ^a , %				
Oral xanthines	55.60	63.84	71.26	43.55
Oral beta-2 agonists	57.27	47.09	40.78	59.90
SABAs	5.63	7.77	11.00	12.27
SAMAs	2.69	5.36	12.35	8.62
LABAs	0.00	0.79	2.05	0.08
LABA/ICS FDCs	0.66	1.87	8.33	1.01
LAMAs	0.06	1.25	4.14	0.28
By regimens ^ь , %				
Oral bronchodilators alone	92.40	87.40	71.65	86.42
Oral and inhaled bronchodilators in combination	3.77	5.62	9.89	8.29
Inhaled bronchodilators alone	3.83	6.98	18.45	5.29
Number of inhaled bronchodilator prescriptions	127	814	2,616	539
Pattern of inhaled bronchodilator treatments				
By regimens ^b , %				
Short-acting bronchodilators alone	90.55	70.88	51.49	89.98
Short-acting and long-acting bronchodilators in combination	9.45	24.32	39.37	7.24
Long-acting bronchodilators alone	0.00	4.79	9.14	2.78

Notes: "Proportions add up to more than 100% because patients may receive multiple bronchodilators during the I-year follow-up period. "Proportions may not be equal to 100% due to rounding.

Abbreviations: FDCs, fixed dose combinations; LABAs, long-acting beta-2 agonists; LAMAs, long-acting muscarinic antagonists; SABAs, short-acting beta agonists; SAMAs, short-acting muscarinic antagonists; ICS, inhaled corticosteroids.

COPD patients, respectively.⁵ Two research studies conducted in the Netherlands and Italy (using hospital-based data of 2005–2009 and 2009, respectively) indicated that LAMA and LABA/ICS FDC were the most prevalent bronchodilators, with more than 60% of COPD patients receiving either drug.^{6.7} All of these aforementioned studies showed that oral bronchodilators would not be the central treatment, with less than 30% of COPD patients taking xanthines.^{6–10} In contrast, one population survey study conducted in nine Asia-Pacific territories in 2012 noted that oral treatment was the major

	Primary care	District	Metropolitan	Medical
	clinics	hospitals	hospitals	centers
Number of total bronchodilator prescriptions	4,188	4,448	6,668	6,021
Pattern of bronchodilator treatments				
By medication classes ^a , %				
Oral xanthines	43.46	64.14	67.41	69.56
Oral beta-2 agonists	67.77	47.98	43.21	37.95
SABAs	2.55	10.39	.4	12.76
SAMAs	1.10	6.25	10.36	14.25
LABAs	0.00	0.36	1.14	2.51
LABA/ICS FDCs	1.19	2.34	4.66	7.91
LAMAs	1.03	1.33	1.92	4.07
By regimens ^ь , %				
Oral bronchodilators alone	95.06	84.42	79.45	69.67
Oral and inhaled bronchodilators in combination	2.27	6.65	8.01	12.34
Inhaled bronchodilators alone	2.67	8.93	12.54	17.99
Number of inhaled bronchodilator prescriptions	207	693	1,370	1,826
Pattern of inhaled bronchodilator treatments				
By regimens ^ь , %				
Short-acting bronchodilators alone	56.04	75.76	64.01	55.09
Short-acting and long-acting bronchodilators in combination	40.10	17.89	27.96	37.73
Long-acting bronchodilators alone	3.86	6.35	8.03	7.17

 Table 4 Number and proportion of bronchodilator prescriptions over 1 year stratified by hospital accreditation levels

Notes: ^aProportions add up to more than 100% because patients may receive multiple bronchodilators during the I-year follow-up period. ^bProportions may not be equal to 100% due to rounding.

Abbreviations: FDCs, fixed dose combinations; LABAs, long-acting beta-2 agonists; LAMAs, long-acting muscarinic antagonists; SABAs, short-acting beta agonists; SAMAs, short-acting muscarinic antagonists; ICS, inhaled corticosteroids.

type of pharmaceutical care. Among 4,289 COPD patients, around 65% and 35% of individuals used oral dosage forms and inhaled devices, respectively.¹¹ Similar to these findings, our results addressed a potentially excessive use of oral xanthines and beta-2 agonists (60% and 50% of the bronchodilator prescriptions, respectively). In terms of monotherapy of oral bronchodilators, the proportions were even nearly 80%, although the figures decreased with time.

We proposed several factors to explain these observations. First, oral bronchodilators are less expensive. For example, in Taiwan, oral salbutamol costs less than 0.05 US dollars per 2–4 mg per tablet. However, inhaled salbutamol and salmeterol cost at least 0.2 US dollars per 100 mcg and per 25 mcg per puff, respectively. The Asian Pacific COPD Roundtable Group, a taskforce of expert respirologists from the Asia-Pacific region, has also found that physicians may consider oral bronchodilators as an alternative to inhaled treatments in some Asia-Pacific settings, due to the price issue.15 Second, although all of the bronchodilators are reimbursed by the National Health Insurance program, regardless of administered routes in Taiwan, given the cost consideration, use of inhaled bronchodilators rather than oral ones usually requires more clinical evidence including pulmonary function tests to ensure the appropriate indication. However, for those with difficulties or reluctance to have pulmonary function tests, this may be a partial barrier for them to receive inhaled medications. Third, in terms of skills and time needed to take an oral pill and take an inhaled dose, patients may prefer oral rather than inhaled forms.¹⁶ One study demonstrated that patients had better adherence to oral theophylline than inhaled anti-inflammatory medications.¹⁷ Also, the use of inhaled bronchodilators requires additional device education for clinical physicians. For management of COPD, the adequate use of inhaled medications would be beneficial to clinical outcomes and medical resource utilization.⁴ Sincere and determined efforts are necessary to improve prescribing patterns of bronchodilators.

Besides geographical differences, economic considerations, and patients' compliance, research indicated that type of health care providers has a substantial impact on patterns of care in a variety of diseases, including COPD.^{12–14} In view of appropriate use of inhaled short-acting and long-acting bronchodilators for patients with different severity, two research studies demonstrated that non-chest specialists (including internal medicine, family medicine, and primary care) are less likely to adhere to the GOLD guidelines recommendations when compared with the chest specialists.^{13,14} In our study, use of oral bronchodilator alone regimens was more common in non-chest specialists than in chest specialists. Also, the proportions were highest in the primary care clinics and declined with the increase of hospital accreditation levels. All these findings agreed with previous reports which found that primary care physicians may have less familiarity with and less awareness of the treatment principles in the guidelines when compared with chest specialists.^{14,18,19} For physicians with poor adherence to GOLD guidelines, our results suggest to develop specific strategies and education programs in the future.

Despite limited use of inhaled bronchodilators, we observed an apparent temporal trend in the use of long-acting bronchodilators. There was an increasing use of LAMAs and LABA-ICS FDCs but a decreasing use of LABAs. These data are consistent with drug development histories and changes in global manufacturing market shares.^{20,21} These data are also parallel with approval years of inhaled longacting bronchodilators in Taiwan (LABAs, 1996; LABA-ICS FDCs, 2001; LAMAs, 2003; Table S1). In addition, our study found that nearly 60% of inhaled bronchodilator prescriptions were short-acting bronchodilator alone regimens during the 1-year follow-up period. The GOLD guidelines recommend that monotherapy of short-acting bronchodilators is only indicated for patients with mild symptoms (ie, Group A patients).⁴ Due to lack of pulmonary function data, we could not definitively determine the appropriateness of their use. However, proportions of patients hospitalized and mean (SD) number of outpatient visits due to COPD episodes at baseline were approximately 6.86% and 1.05% (2.49), which suggested that disease severity of most patients may be mild in this study. Given that COPD is characteristic of progressive and irreversible airflow limitation with time, physicians should prospectively evaluate the episode frequency, the disease severity, and the necessity of using long-acting bronchodilators as an alternative or add-on therapy in the long-term follow-up.

Suboptimal adherence to bronchodilators, including oral and inhaled formulations, has been noted in COPD patients.²² Furthermore, several studies indicated that use of oral or inhaled short-acting bronchodilators may not be beneficial to health-related outcomes;^{23,24} however, use of inhaled long-acting bronchodilators with better adherence would reduce hospitalization.^{25,26} Similar to these findings, our study observed that patients received around only five bronchodilator prescriptions per year in outpatient settings, most of which were oral bronchodilators rather than inhaled forms. Furthermore, we found that with the increasing use of oral or inhaled short-acting bronchodilators rather than inhaled long-acting ones, the relative risk of hospitalization was slightly higher during the 1-year follow-up. This, again,

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reflects the importance of appropriate use of inhaled longacting bronchodilators and the necessity to improve COPD pharmaceutical care in Taiwan.

There are several limitations in this study. First, the lack of accuracy in diagnostic codes is a drawback of all claimsbased research. To address this issue, we restricted patients with COPD to those who simultaneously had corresponding diagnostic codes, prescription records of bronchodilators, and records of pulmonary function tests. This may partially minimize the possibility of coding errors or misdiagnoses, although we did not know the results of pulmonary function tests and did not exclude alternative diagnoses to COPD. Second, our study noted that among 23,396 patients initiating bronchodilators and with COPD diagnoses, only 4,387 patients (less than 20%) received pulmonary function tests and were eligible in the analysis (Figure 1). This implied a potential underuse of pulmonary function tests; this has also been observed in the United States and Canada (less than 50%).27,28 This would result in the inclusion of fewer potentially eligible individuals and limit the generalizability of this study. Furthermore, as per discussion, we had no pulmonary function test results from claims records. Instead, we applied resource utilization related to COPD episodes as a proxy to classify patients' diseases severity, which provided partial information to evaluate the appropriateness of the use of bronchodilators.²⁹ Finally, we traced initiation claims records only for 1 year, which decreased heterogeneities and temporal fluctuations of disease severity among study individuals. However, long-term prescribing patterns should be explored in the further studies.

Conclusion

This study showed excessive use of oral bronchodilators in Taiwan during the past decades, which was not suggested in the guidelines recommendations. Further research is warranted to decrease inadequate oral bronchodilators and optimize inhaled treatments in management of patients with COPD.

Acknowledgments

This study was in part supported by the Taiwan Department of Health grant (DOH101-TD-B-111-01), which did not play any role in the study design, literature search, study selection, collection and analysis of data, interpretation of results, or drafting of the manuscript.

Author contributions

Study conception and design: YHD, CLH, CHC. Data acquisition: MSL. Data analysis and interpretation: YHD, CLH, YYL, CHC, MSL. Manuscript drafting: YHD, CLH.

Critical manuscript revision: YYL, CHC, MSL. CHC is the guarantor of the paper, had full access to all the data in the study, and takes responsibility for the integrity of the data and the accuracy of the data analysis. All the authors have read, contributed to, and approved the final manuscript and agreed to transfer the copyright ownership in the event of acceptance. YHD and CLH contributed equally to this manuscript.

Disclosure

The authors report no conflicts of interest in this work.

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Supplementary materials

Medication class	Therapeutic chemicals	ATC codes	Marketing year in Taiwan
Oral bronchodilators			
Oral beta-2 agonists	Salbutamol	R03CC02	1974
Oral beta-2 agonists	Terbutaline	R03CC03	1979
Oral beta-2 agonists	Fenoterol	R03CC04	1979
Oral beta-2 agonists	Hexoprenaline	R03CC05	1982
Oral beta-2 agonists	Procaterol	R03CC08	1985
Oral beta-2 agonists	Trimetoquinol	R03CC09	1975
Oral beta-2 agonists	Bambuterol	R03CC12	1993
Oral beta-2 agonists	Clenbuterol	R03CC13	1988
Oral beta-2 agonists	Formoterol	R03CC91	1993
Oral xanthines	Theophylline	R03DA04	1972
Oral xanthines	Aminophylline	R03DA05	1970
Inhaled short-acting bronchodila	tors		
SABAs	Salbutamol	R03AC02	1989
SABAs	Terbutaline	R03AC03	1989
SABAs	Fenoterol	R03AC04	1992
SABAs	Hexoprenaline	R03AC06	1995
SAMAs	Ipratropium	R03BB01	1981
SABA/SAMA FDCs ^a	Fenoterol/Ipratropium	R03AK03	1986
SABA/SAMA FDCs ^a	Salbutamol/Ipratropium	R03AK04	2000
Inhaled long-acting bronchodilate	ors		
LABAs	Salmeterol	R03AC12	1996
LABAs	Formoterol	R03AC13	2000
LABAs	Procaterol	R03AC16	1989
LABAs	Indacaterol	R03AC18	2010
LAMAs	Tiotropium	R03BB04	2003
LABA/ICS FDCs	Salmeterol/Fluticasone	R03AK06	2001
LABA/ICS FDCs	Formoterol/Budesonide	R03AK07	2001

Notes: ^aPrescriptions with SABA/SAMA FDCs were simultaneously assigned into use of SABAs and use of SAMAs. Abbreviations: FDCs, fixed dose combinations; ICS, inhaled corticosteroids; LABAs, long-acting beta-2 agonists; LAMAs, long-acting muscarinic antagonists; SABAs, shortacting beta agonists; SAMAs, short-acting muscarinic antagonists.

Table S2 International	Classification of	of Diseases,	9th Revision,	Clinical	modification	(ICD-9-CM)	diagnostic	codes	used to	identify
comorbidities among bro	onchodilator ini	tiators at b	aseline							

Comorbidities	ICD-9-CM codes
Hypertension	401
Hypertensive heart/renal disease	402–404
Ischemic heart disease/angina	411, 413, 414
Myocardial infarction	410, 412
Coronary revascularization ^a	68023A, 68023B, 68024A, 68024B, 68025A, 68025B, 83064A1, 97901K,
	97902A, 97903B, 97906K, 97907A, 97908B, 97911K, 97912A, 97913B, 97916K,
	97917A, 97918B, 33076A, 33076B, 33077A, 33077B, 33078A, 33078B, 97511K,
	97512A, 97513B, 97516K, 97517A, 97518B, 97521K, 97522A, 97523B
Cardiac dysrhythmia	427
Atrial fibrillation	427.3
Congestive heart failure	428
Cerebrovascular disease	433, 434, 436–438
lschemic/hemorrhagic stroke	430–434, 436
Transient ischemic attack	435
Peripheral vascular disease	440.21, 443
Diabetes mellitus	250
Pneumonia, influenza, and acute bronchitis	480–487, 466
Rheumatoid arthritis/osteoarthritis	710, 714, 715, 720
Any cancer (except lung cancer)	140–161, 163–208
Lung cancer	162
Depressive disorder	296.2, 296.3, 298.0, 300.4, 309.0, 309.1, 293.83, 296.90, 309.28, 296.82, 311

Notes: ³Based on National Health Insurance service claims codes (<u>http://www.nhi.gov.tw/query/query2.aspx?menu=20&menu_id=710&webdata_id=3633&WD_ID=900</u> accessed October, 2013).

 Table S3 Device types of inhaled bronchodilators over 1 year in total bronchodilator initiators and stratified by the years of index dates

	Total initiators	Years of index d	ates	
		2001-2003	2004–2006	2007-2010
Number of inhaled bronchodilator prescriptions	4,096	1,812	1,033	1,251
By devicesª, %				
Nebulizers	22.73	17.05	24.78	29.26
Metered dose inhalers	55.44	69.37	51.31	38.69
Dry powder inhalers	30.10	20.86	32.91	41.17

Notes: Proportions added up to more than 100% because patients may receive multiple inhaled bronchodilators during the 1-year follow-up period.

Table 34 Ose of bioinchounators and the association with hospitalization in the follow-up 1 year
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	Total initiators	Patients hospitalized	Patients without	ORª (95% CI)
	(n=4,387)	(n=1,289)	hospitalization (n=3,098)	
Use of bronchodilators, mean	n (SD)			
Any bronchodilators	4.86 (5.14)	6.30 (6.14)	4.26 (4.54)	1.05 (1.03–1.06)
Oral bronchodilators	4.48 (4.96)	5.69 (5.89)	3.98 (4.43)	1.04 (1.03–1.06)
Oral xanthines	3.04 (4.20)	3.97 (5.07)	2.66 (3.72)	1.05 (1.03–1.06)
Oral beta-2 agonists	2.31 (3.67)	2.76 (4.14)	2.12 (3.44)	1.03 (1.01–1.05)
Inhaled bronchodilator	0.93 (2.28)	1.31 (2.79)	0.78 (2.03)	1.07 (1.03–1.09)
Short-acting	0.64 (1.76)	1.05 (2.34)	0.47 (1.43)	1.12 (1.08–1.17)
Long-acting	0.36 (1.52)	0.33 (1.50)	0.37 (1.52)	0.98 (0.94-1.03)
LABAs	0.06 (0.53)	0.05 (0.50)	0.06 (0.54)	1.03 (0.90–1.17)
LABA/ICS FDCs	0.21 (0.11)	0.20 (1.11)	0.22 (1.11)	0.98 (0.90-1.17)
LAMAs	0.11 (0.93)	0.11 (0.89)	0.11 (0.95)	0.98 (0.91–1.06)

Notes: ^aAdjusted for age, male sex, index years, and comorbidities and resource utilization at baseline.

Abbreviations: CI, confidence interval; FDCs, fixed dose combinations; ICS, inhaled corticosteroids; LABAs, long-acting beta-2 agonists; LAMAs, long-acting muscarinic antagonists; OR, odds ratio.

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