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Theoretical Prediction of Electronic Structure and Carrier Mobility in Single-walled MoS₂ Nanotubes

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We have investigated the electronic structure and carrier mobility of armchair and zigzag single-walled MoS₂ nanotubes using density functional theory combined with Boltzmann transport method with relaxation time approximation. It is shown that armchair nanotubes are indirect bandgap semiconductors, while zigzag nanotubes are direct ones. The band gaps of single-walled MoS₂ nanotubes are along with the augment of their diameters. For armchair nanotubes ($5 \leq Na \leq 14$), the hole mobility raise from $98.62 \sim 740.93 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ at room temperature, which is about six times of the electron mobility. For zigzag nanotubes ($9 \leq Na \leq 15$), the hole mobility is $56.61 \sim 91.32 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ at room temperature, which is about half of the electron mobility.

Since carbon nanotubes (CNTs) were discovered in 1991¹, many nanomaterials, such as zero-dimensional nanoparticles, one-dimensional nanowires or nanotubes (NTs) as well as two-dimensional atomically-thick layers, have attracted intensive attentions due to their unique applications in microscopic physics and nanoscale devices²⁻⁵. Similar to graphene², layered transition-metal dichalcogenides (TMDs), MX₂ (M = Mo, W, Ta, or Nb, and X = S, Se, or Te) are considered as promising electronic and optoelectronic materials⁵⁻¹¹ and have been synthesized¹²⁻¹⁶. MoS₂, an important delegate of TMDs, arouses researchers' keen interest in recent years¹⁵⁻¹⁹. It is reported that monolayer MoS₂ is a direct band gap semiconductor with a band gap value of 1.8 eV^{20,21}. The experimental measurements on single-layer MoS₂ transistors show a room-temperature electron mobility of at least $200 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$, similar to that of graphene nanoribbons, and a room-temperature current on/off ratio up to 1×10^{89} . And the theory study find that electronic mobility is about $320 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ and the longitudinal acoustic phonon provides the largest scattering rates at room temperature in all electron-phonon coupling effect²². MoS₂ nanotubes (NTs) are also semiconductors predicted by tight-banding (TB) method and density function theory (DFT), with more complex electronic structures and a band gap smaller than that of monolayer MoS₂^{23,24}. Due to their unique properties, some nano-materials and electronic devices based on TMD NTs excite scientists' interest^{8,11,25-29}. However there are few reports on the mobility in TMD NTs, which is the central issue for such microelectronic semi-conducting materials.

In order to investigate the mobility in MoS₂ NTs, we have carried out a study with the effect of phonon with first principles calculations using a density-function-based approach. In our research, we just consider about single-walled MoS₂ NTs (in experiment, the typically MoS₂ NTs are mutli-walled¹⁴) for the sake of study's convenience. Our results show that the hole mobility can even reach to $\sim 740 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ and much higher than the electron mobility, which suggest that the MoS₂ NTs could be considered as a good candidate of next electronic material.

Results

Single-walled MoS₂ NTs can be classified as chiral nanotubs, armchair nanotubes (ANTs) and zigzag nanotubes (ZNTs)^{30,31} based on their chiral vectors, as shown in Fig. 1. That is the same as CNTs. We use Na which is the coefficient of basic vectors in the chiral vector $\vec{Ch} = n * \vec{a}_1 + m * \vec{a}_2$ to signify the different size of ANTs ($n = m = Na$) and ZNTs ($n = Na, m = 0$). In our calculation, unit cells of NTs which we choose are shown in Fig. 1b and c. For an ANTs- Na unit cell, there are $2 \times Na$ MoS₂ units and they have the same lattice length (\vec{a}_1), as shown in Fig. 1a. It is the same for ZNTs- Na except for the lattice length of $\sqrt{3}$ times of \vec{a}_1 .

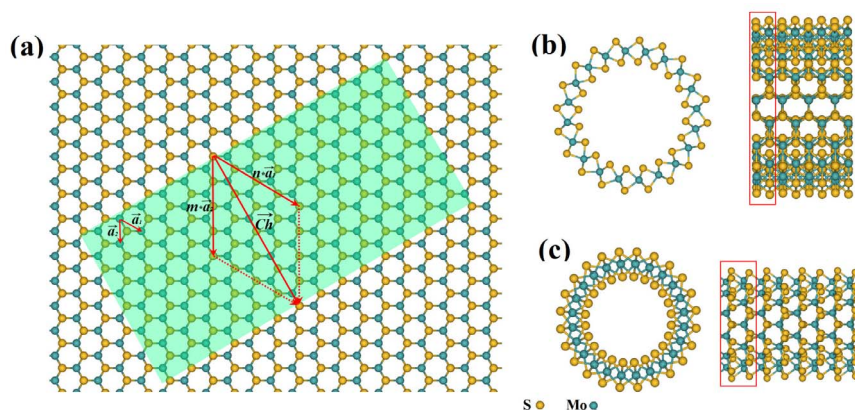


Figure 1 | (a) The chiral vectors of MoS₂ nanotubes (NTs), by which MoS₂ NTs can be classified as (b) Armchair MoS₂ NTs and (c) Zigzag MoS₂ NTs. The rectangle is a cell of NTs.

We investigate the geometry and energy of single-walled MoS₂ NTs and find the lattice length evolution as a function of Na for both ANTs and ZNTs, shown in Fig. 2a. Compared with that of mono-layer MoS₂ (3.19Å, the dot line in Fig. 2a) and bulk MoS₂ (3.16Å)¹⁹, the lattice length of ANTs is getting longer, that means ANTs are stretched, while it is getting shorter for ZNTs, that means ZNTs are compressed. Both strains decrease with Na increasing. It is also supported by the surface energy results shown in Fig. 2b. The surface energy is defined as:

$$E_{\text{surface}} = (E_{\text{total}} - nE_{\text{unit}}(\text{MoS}_2))/L \quad (1)$$

In which E_{total} is the total free energy of nanotube cell, $E_{\text{unit}}(\text{MoS}_2)$ is the free energy of primitive cell of mono-layer MoS₂ crystal, n is the number of MoS₂ units in a cell, L is the length of a cell. The surface energy can be considered as the energy of rolling a plane MoS₂ sheet. The surface energy monotonically decreases with the diameter of NTs (Fig. 2b), regardless of their chiral. It indicates that the axial force of stretch or compression is getting smaller when the diameter of NTs increases. The numerical results of energy are the same as studied by Zibouche²⁴ when the unit is unified.

The electronic structures of NTs are always associated with their chiral, like CNTs. The electronic structures of single-walled MoS₂ NTs are shown in Fig. 3. The energy gap is open for both ANTs and ZNTs as shown in the energy band spectra (Fig. 3a). It can be found

that MoS₂ ANTs are indirect-gap semiconductors while ZNTs are direct-gap ones at Γ point. This result is consistent with previous studies by Seifert²³ in TB and Zibouche²⁴ in DFT. In our calculation, MoS₂ ANT-5 whose energy gap is just 15.6 meV also can be considered as semiconductors because of bad gap underestimated by GGA. In \vec{k} space (Γ to Z), there are two peaks in valence bands and one valley in conduction bands near the Fermi surface for ANTs (Fig. 3b). The energy band spectra of ANTs are similar as those of multi-layer MoS₂^{21,32}. The bottom of the valley, which is nearly at $\pi/3$ in the wave vector space, raises from 0 to 1.4 eV and shifts towards Z point slightly when Na increases from 5 to 14. In the valence bands, two peaks shift towards Γ point obviously, and the secondary peak near $\pi/3$ rises quickly with the increasing of Na . In two-dimension MoS₂ multi-layer or mono-layer, the conduction band states at the K-point are mainly due to localized d -orbitals on the Mo atoms, relatively unaffected by interlayer coupling. However, the states near the Γ -point are due to combinations of the anti-bonding p_z -orbitals on the S atoms and the d orbitals on Mo atoms, and have a strong interlayer coupling effect³³. In MoS₂ ANTs, there is the similar distribution of band states. The conduction band states are mainly due to localized on the Mo atoms and the states near the Γ -point are due to localized on the outer-side S atoms and Mo atoms. The states near at $\pi/3$ in wave vector space are due to localized on S atoms and Mo atoms, but it is asymmetric between outer and inner S atoms (Fig. S1

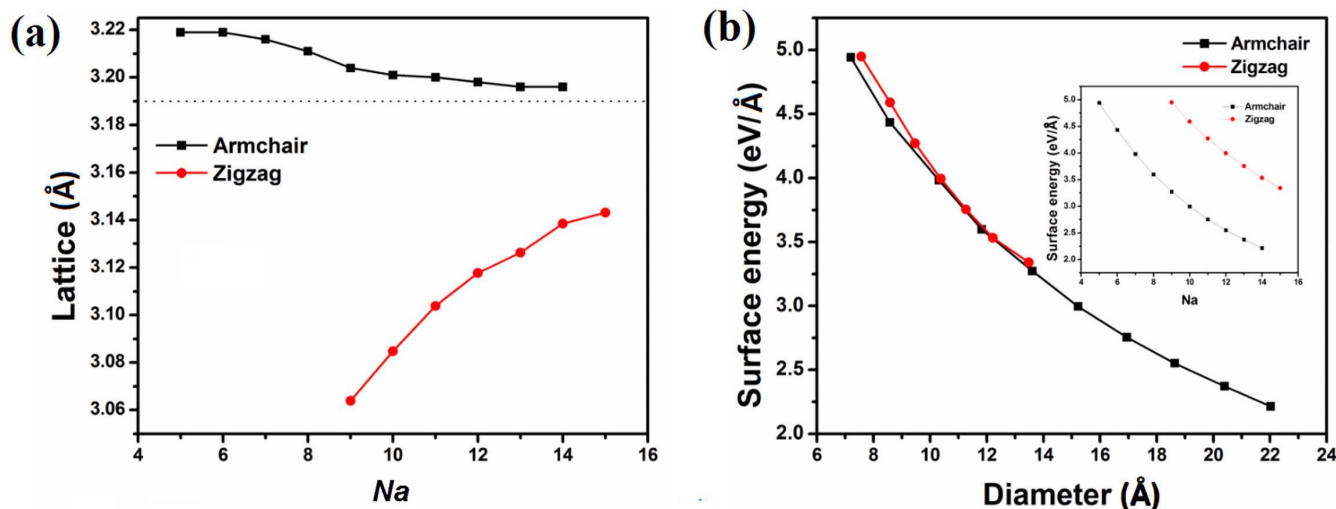


Figure 2 | (a) The lattice length of MoS₂ NTs as a function of Na . The lattice length of mono-layer MoS₂ primitive cell is 3.19Å (the dot line) and that of bulk MoS₂ is 3.16 Å¹⁹. In order to compare with each other, the lattice length of ZNTs is divided by $\sqrt{3}$. (b) Surface energy of MoS₂ NTs as a function of diameter of tubes.

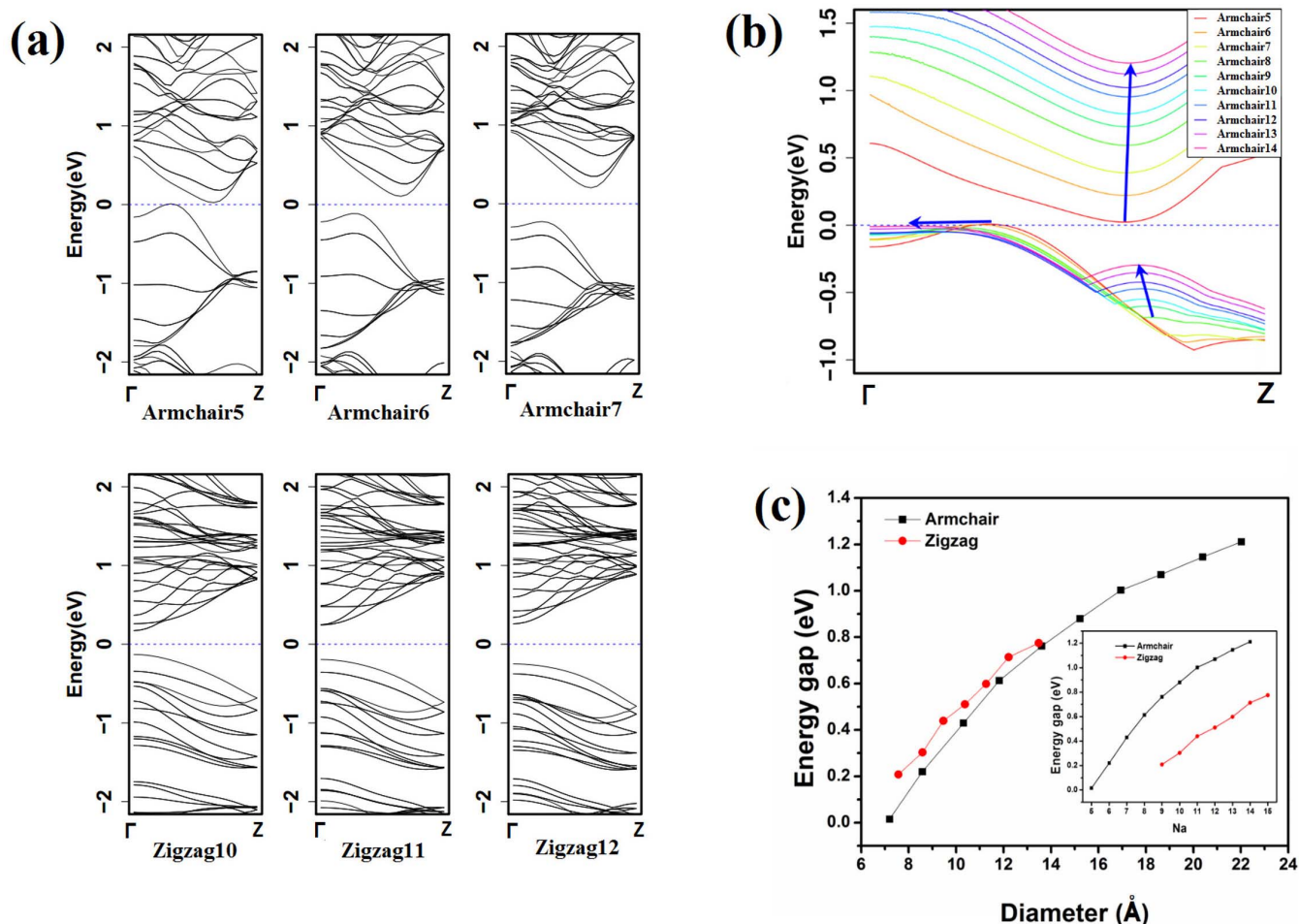


Figure 3 | (a) Energy band structures of MoS₂ NTs. (b) Energy bands near Fermi surface in MoS₂ ANTs ($5 \leq Na \leq 14$). (c) The energy band gaps of MoS₂ NTs. The energy gap of monolayer MoS₂ and bulk MoS₂ is 1.9 eV and 1.2 eV³², respectively.

in Supporting Information (SI)). Because of the curve geometry, there are an equivalent vertical interlayer affection and an equivalent horizontal affection. The evolution of energy band spectra in ANTs can be considered as MoS₂ transition from one-dimension tubes to two-dimension single layer. Due to the equivalent vertical interlayer affection, the energy evolution of band spectra is the same as that in MoS₂ transition from three-dimension bulk to two-dimension single layer. The equivalent horizontal affection maybe is the reason of valleys (peaks) level shifting in wave vector space. However, the band spectra evolution in MoS₂ ZNTs is very simple (Fig. S2 in SI). There is one valley or peak in the first Brillouin zone (BZ) for both of conduction bands (CB) and valence bands (VB). The expansibility of VB near Γ point is getting weakened with Na increasing. The energy gap monotonically increases with the diameter of NTs (Fig. 3c) and is insensitive with NT's chiral. The mono-layer MoS₂ can be regarded as a nanotube with infinite diameter. Thus, the energy band spectra including the band gap of single-walled MoS₂ NTs should approach to that of mono-layer MoS₂³⁴ when the diameter increases to infinity. But when the diameters are much large, the electronic states along the circumferential direction cannot be neglected³⁴, and the energy band calculated based on two-dimensional reciprocal space sampling will be different with that of one-dimensional reciprocal space sampling. In our calculation, all of MoS₂ nanotubes are considered as one-dimensional systems, and just K-points along the axial direction are calculated. So the band spectra of the armchair and zigzag MoS₂ nanotubes will not tend to consistent with each other with the diameters of tubes increasing. Compared Fig. 3b with Fig. S2, this result can be deduced.

In our calculation, the stretching modulus C and deformation potential (DP) constant E_1 are necessary to calculate the relaxation time $\tau(i, \vec{k})$ which is defined as Formula (3) in Methods section. In order to perform finite differentiation to obtain both E_1 and C (Fig. S3 in SI), additional total energies and band structures of the unit cell with the deformations of the lattice constant $\pm 0.5\%$ and $\pm 1\%$ are calculated. The E_1 and C of MoS₂ ANTs and ZNTs are shown in Fig. 4a and 4b respectively. C is enhanced with diameter enlargement. Considering the cross-sectional area of NTs, the stretching modulus is about 144 ~ 234 GPa (see Table S1 in SI), which is in good agreement with the experiment results of 120 GPa by Forro L³⁵. And theory result using density-functional-based tight-binding method by Lorenz T³⁶ is that 209.7 GPa in ANTs-14 and 236.6 GPa in ZNTs-22. The differences with our result are acceptable because of the different energy calculation method and tube diameters (for ANTs-14, the diameter is 26.0 Å in Lorenz T' results, 28.2 Å in ours). The DP constant of holes, which is about 5.1 ~ 1.6 eV and 5.3 ~ 3.2 eV in ANTs and ZNTs respectively, is smaller than that of electrons both for MoS₂ ANTs (7.0 ~ 9.5 eV) and ZNTs (6.7 ~ 8.8 eV). And there is opposite tendency in DP change for electrons and holes.

The carrier mobility (μ) of single-walled MoS₂ NTs is predicted by Boltzmann transport equation (BTE) method without invoking the effective mass approximation. The results are outlined in Fig. 5. For ANTs ($5 \leq Na \leq 14$), the hole mobility at 300 K increases rapidly from 98.62 to 740.93 cm²V⁻¹s⁻¹ and is higher than electron mobility which increases from 46.50 to 121.77 cm²V⁻¹s⁻¹ (Fig. 5a). Whereas,

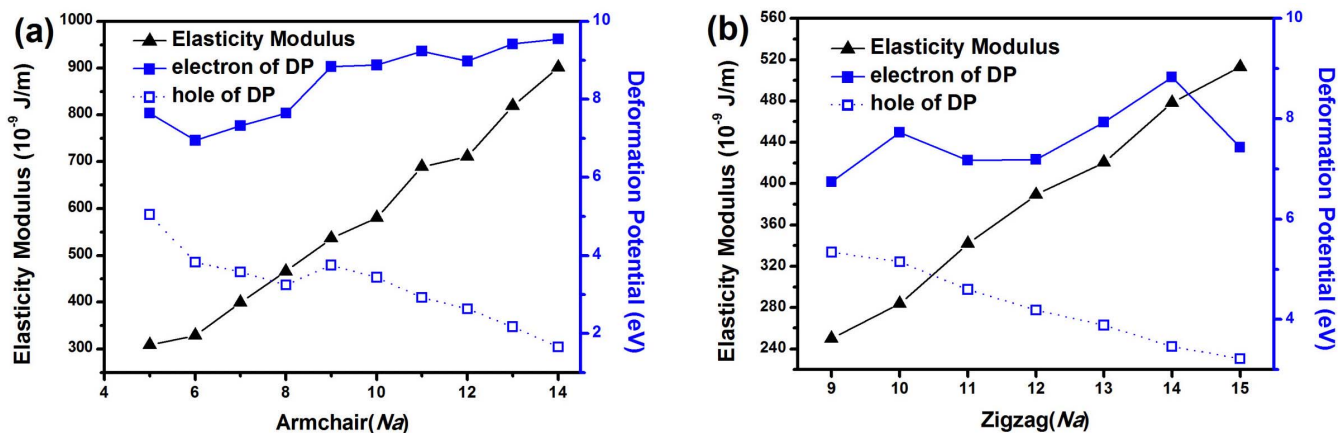


Figure 4 | The elasticity modulus (C) and deformation potential (DP) of hole and electron for MoS₂ ANTs (a) and ZNTs (b).

for ZNTs ($9 \leq Na \leq 15$), the hole mobility is lower than electron mobility (Fig. 5b). Also the tendency of mobility with diameter is increment for both polarities, in our theoretically prediction. Due to the stiffness enhance, there is longer relaxation time. And the stiffness is linearly relationship with diameter. So the carrier mobility increases with diameter enlargement. The mobility of MoS₂ NTs is comparable with that of single-layer MoS₂ which is detected to be at least $200 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ at room-temperature⁹. The room-temperature mobility of WS₂ NTs is $760 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ in vacuum condition¹¹. Our results are reasonable considering the same structures of MoS₂ and WS₂ NTs, as well as the opposite tendency of MoS₂ NTs mobility for electrons and holes.

Discussion

The carrier mobility is associated with band spectra, C and E_g . For a given type of NTs, the difference of two carriers' mobility is mainly affected by band spectra. The relationship between mobility of NTs and Na is mainly affected by the ratio of C and E_g . For a specific type of MoS₂ tubes, the band spectra are similar but ratios of C and E_g are monotonous increasing with Na . So the charge carrier mobility in both of MoS₂ ANTs and ZNTs increases with Na . In MoS₂ ANTs, the extensibility of VB is better than that of CB. The span of VB near Fermi surface is more than 1 eV, but that of CB is less than 1 eV. So the band structure is in favor of hole transport. The E_g is also beneficial for hole. Two factors make hole carrier move faster in MoS₂ ANTs. In MoS₂ ZNTs, the span of VB near Fermi surface is about

0.6 eV, and that of CB is about 0.8 eV. Due to that the extensibility of CB is better than that of VB, the electron mobility is higher than hole mobility.

The mobility sometimes can be described very well by effective mass. Based on the band structures, we use parabolic fitting near the Fermi surface to calculate the effective mass of charge carriers (Fig. S4 in SI). The effective masses of electron and hole carriers are shown in Fig. 6. In our calculation, while the effective mass of electron carrier decreases slowly from 0.60 to $0.48 m_e$ (m_e is the mass of a free electron), the effective mass of hole carrier rapidly raises from 0.62 to $5.18 m_e$ for ANTs with $5 \leq Na \leq 14$ (Fig. 6a). Because of the bad parabolic nature at the top of valence band, the effective mass of hole may not be precise in MoS₂ ANTs (Fig. S4 left in SI). For ZNTs with $9 \leq Na \leq 15$, the effective mass of electron carrier is oscillatory from 0.51 to $0.94 m_e$, and the effective mass of hole carrier raises linearly from 1.20 to $4.66 m_e$ (Fig. 6b).

Based on the effective mass, we also calculate the mobility in Ref. SI (Fig. S5). The motilities predicted by effective mass are accord with that predicted by BTE method. In MoS₂ ANTs, the mobility is about $56.04 \sim 145.88 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ for electron and $104.83 \sim 139.08 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ for hole. The tendency of electron mobility deduced from the effective mass is similar to that by the BTE method. For $Na > 10$, the hole mobility is equal to the electron mobility, that is very different from the results calculated with the BTE method. This may be caused by the imprecise effective mass of hole. In MoS₂ ZNTs, the mobility is about $37.17 \sim 115.19 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ for electron

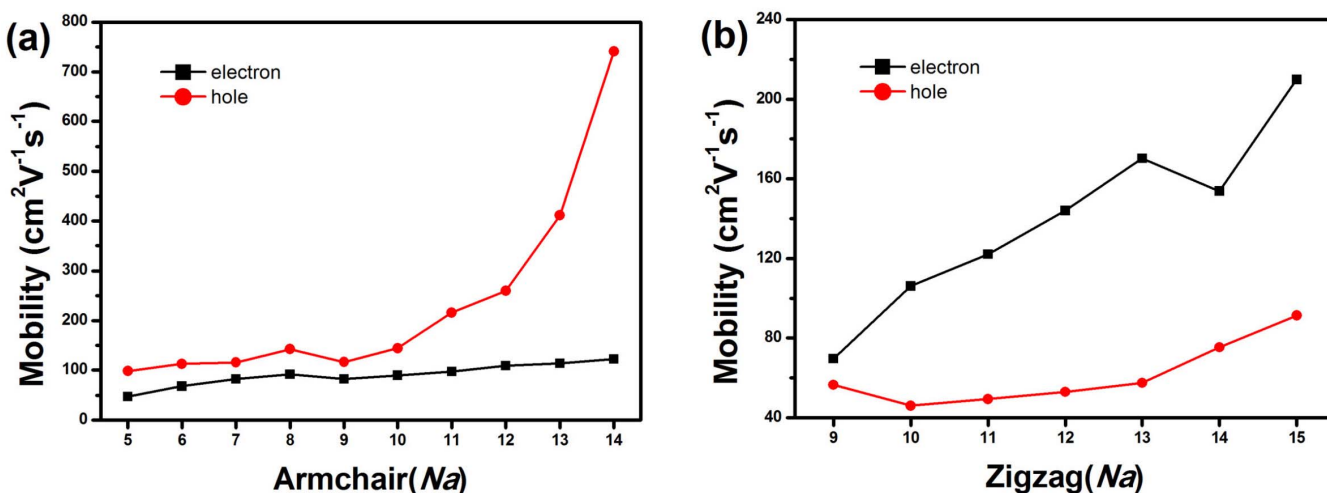


Figure 5 | Charge mobility (μ) for holes and electrons in ANTs (a) and ZNTs (b) calculated by Boltzmann equation.

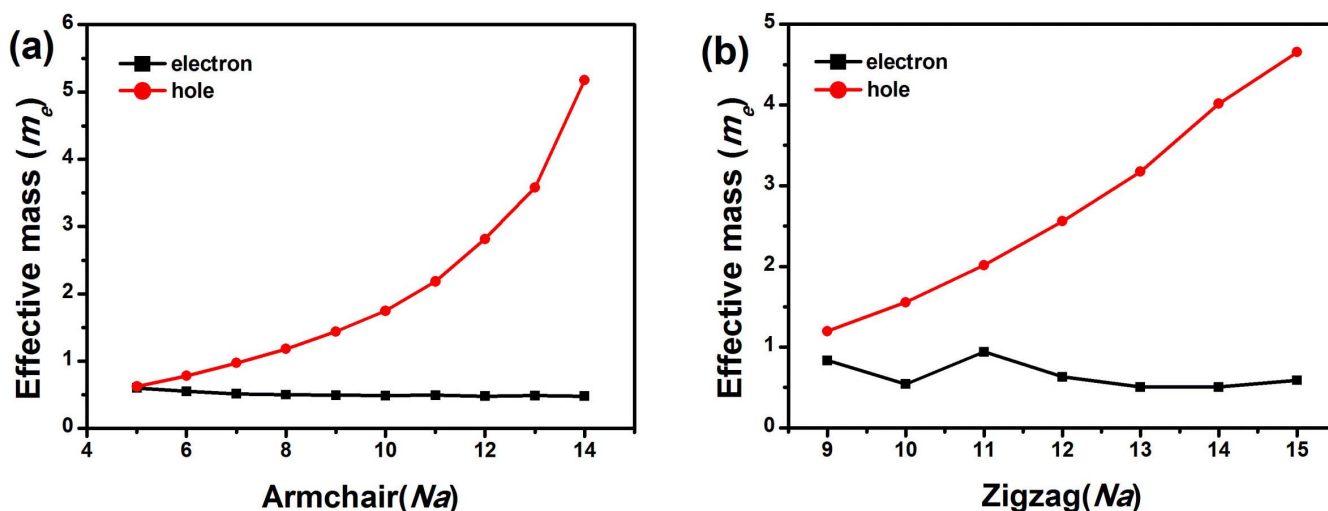


Figure 6 | The effective mass (m_e) of carriers in MoS₂ ANTs (a) and ZNTs (b).

and $23.51 \sim 35.43 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ for hole. The electron mobility is higher than the hole mobility in MoS₂ ZNTs, the same as predicted by BTE method.

In order to understand the mobility tendency, the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) of single-walled MoS₂ NTs are studied. Like a sandwich, there are three layers of atoms in MoS₂ NTs. The outer and inner layers consisted of S atoms, signed as outer-S and inner-S, respectively; the middle layer is Mo atoms (middle-Mo). Analyzing HOMO and LUMO which are shown in Fig. 7 (we choose ANTs-10 and ZNTs-12 for examples), it is found that for both ANTs and ZNTs the HOMO is located on outer-S and middle-Mo layers. The difference is that while the HOMO of ANTs covers the bond of Mo-S (outer), that of ZNTs is isolated on Mo and outer side S atoms. It infers that ANTs are more beneficial for hole carriers' transport than ZNTs, so the hole mobility in ANTs is higher than that in ZNTs. The LUMO is located on middle-Mo layer for ANTs. Since the squeeze in inner-S layer, the major LUMO is located on middle-Mo layer and little is located on inner-S layer for ZNTs and cover the bond of Mo-S (inner side). Because of the better expansibility of HOMO than that of LUMO, the hole mobility in ANTs is higher than the electron mobility. Since the LUMO covers the bond of Mo-S in ZNTs, which is good for electron transport, the electron mobility is higher than the hole mobility. The LUMO of ANTs is more localized than that of ZNTs, so the electron mobility in ANTs is lower than that in ZNTs. Distributions of LUMO and HOMO mean that majority electron carriers transfer on the middle layer, and most of hole carriers transport on the outer surface of NTs. This kind of multi-layer material may be used to separate the electron and hole carriers.

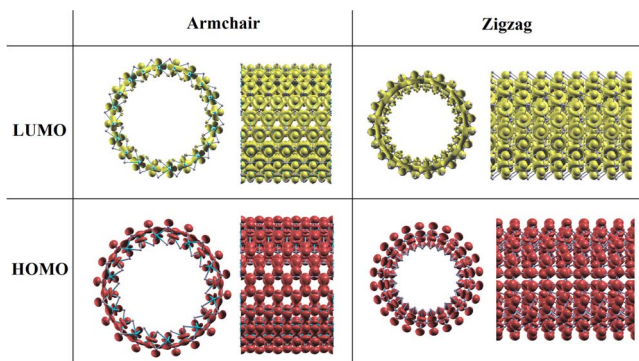


Figure 7 | LUMO and HOMO of MoS₂ ANTs-10 and ZNTs-12.

In summarily, we have calculated the electronic structure and the intrinsic charge carrier mobility of single-walled MoS₂ NTs with the effect of the longitudinal acoustic phonon, using first-principles density functional theory and the BTE with the relaxation time approximation. The numerical results indicate that the hole mobility can reach $740.93 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ at room temperature for MoS₂ ANTs-14 which is almost an order of magnitude higher than the electron mobility. But for MoS₂ ZNTs, the hole mobility at room temperature is just half of the electron mobility. We also find that the charge mobility increases with the diameter in MoS₂ NTs. Because of the huge difference mobility in hole and electron, MoS₂ ANTs can be considered as *p*-type semiconductors and MoS₂ ZNTs can be considered as *n*-type semiconductors. Due to the high carrier mobility, MoS₂ NTs maybe are the advanced material to design electronic element.

Methods

Optimized geometries and band structures are implemented by the Vienna *ab-initio* simulation package (VASP)³⁷. The generalized gradient approximation (GGA)³⁸ with the Perdew-Wang (PW91)³⁹ exchange correlation function is chosen. And some important parameters are tested in Fig. S6. The criterion of convergence is that the residual forces are less than 0.01 eV/\AA and the change of total energy is less than 10^{-4} eV . The vacuum space between two adjacent NTs is set at least 10 \AA to eliminate the interactive effect on each other. The lattice length of single-walled MoS₂ NTs is optimized based on searching the lowest total energy, as shown in Fig. S3(a). We also compare some physics quantities calculated by LDA with GGA, the results shown in Table S2 and the correlative discussion shown in SI. We find the consistent conclusion should be got using both of LDA and GGA.

The charge transport has been dealt with by a band-like model, in which the electron-phonon coupling is regarded as a perturbation and the charge is delocalized over the crystal. Assuming the thermal electron wavelength is close to the acoustic phonon's wavelength, we consider only transport at room temperature and focus on the electron-acoustic phonon coupling in the framework of DP⁴⁰, where three major approximations have been assumed: (i) the transverse acoustic (TA) phonon mode is not included due to its negligible effect on the DP; (ii) the scattering probability is independent of state momentum; (iii) charge transport direction is assumed to be parallel to the phonon propagation direction^{41,42}. The carrier mobility is calculated by BTE method beyond the effective mass approximation which is used to predict the mobility of semiconductor nanomaterials, like graphene, CNTs and so on⁴²⁻⁴⁷. Within the BTE method, the carrier mobility μ in the relaxation time approximation can be expressed as [Ref. 46 and 48]:

$$\mu^{(h)} = \frac{e}{k_B T} \frac{\sum_{i \in \text{CB(VB)}} \int \tau(i, \vec{k}) v^2(i, \vec{k}) \exp\left[\mp \frac{\varepsilon_i(\vec{k})}{k_B T}\right] d\vec{k}}{\sum_{i \in \text{CB(VB)}} \int \exp\left[\mp \frac{\varepsilon_i(\vec{k})}{k_B T}\right] d\vec{k}} \quad (2)$$

Where the minus (plus) sign is for electron (hole). $\tau(i, \vec{k})$ is the relaxation time, $\varepsilon_i(\vec{k})$ and $v(i, \vec{k})$ are band energy and the component of group velocity at \vec{k} state



of the i th band, respectively. The summation of band was carried out over VB for hole and CB for electron. Furthermore, the integral of \vec{k} states is over the first BZ. In order to obtain the mobility, three key quantities ($\tau(i, \vec{k})$, $v_i(\vec{k})$ and $v(i, \vec{k})$) must be determined. In this work, the band energy $\epsilon_i(\vec{k})$ is calculated by density functional theory. The \vec{k} -mesh is chosen as $1 \times 1 \times 200$, which is fine enough to give converged relaxation time and mobility. The group velocity of electron and hole carriers can be obtained from the gradient of the band energy $\epsilon_i(\vec{k})$ in \vec{k} -space, $v(i, \vec{k}) = \nabla \epsilon_i(\vec{k}) / \hbar$. The relaxation time $\tau(i, \vec{k})$ is calculated by the collision time in the Boltzmann method, and within the DP formalism, it can be expressed as^{42,46}:

$$\frac{1}{\tau(i, \vec{k})} = k_B T \frac{2\pi E_1^2}{\hbar C} \sum_{k' \in \text{BZ}} \left\{ \left[1 - \frac{v(i, \vec{k}')}{v(i, \vec{k})} \right] \delta[\epsilon(\vec{k}) - \epsilon(\vec{k}')] \right\} \quad (3)$$

Here the delta function denotes that the scattering process is elastic and occurs between the band states with the same band index. E_1 is the DP constant of the i -th band, and C is the elastic constant. In principle, different scattering channels can be added in the following way:

$$\frac{1}{\tau} = \frac{1}{\tau_{ac}} + \frac{1}{\tau_{op}} + \frac{1}{\tau_{imp}} + \dots \quad (4)$$

where ac, op, and imp denote acoustic, optical phonons, and impurity respectively. It is well known that the mobility is major effect by the smallest relaxation time (τ). In the room temperature, the corresponding electron wavelength is close to the acoustic phonon wavelength and much larger than the lattice constant. So we can deal with the effects of the longitudinal acoustic phonon as the uniform deformation of lattice approximately, and the band model combined with DP theory is valid at the room temperature. Also in monolayer MoS₂ research, Xiaodong Li *et al.* [Ref 22] has reported the lowest optical phonon energy is about 35 meV, and the longitudinal acoustic phonon provides the largest scattering rates at room temperature. So, we only consider the acoustic phonon scattering in our calculation.

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Author contributions

J.X. carried out the first-principles calculations, prepared all figures and wrote the manuscript. M.L. revised the manuscript and directed this work. X.L., H.X., H.H. and Y.G. involved in discussion. All authors analyzed the results and reviewed the manuscript.



Additional information

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