

# Post-Hypoxic Recovery of Respiratory Rhythm Generation Is Gender Dependent

Alfredo J. Garcia III<sup>1</sup>\*\*, Naama Rotem-Kohavi<sup>1</sup>\*, Atsushi Doi<sup>1,2</sup>, Jan-Marino Ramirez<sup>1,2</sup>

1 Center for Integrative Brain Research, Seattle Children's Research Institute, Seattle, Washington, United States of America, 2 Department of Neurological Surgery, University of Washington, Seattle, Washington, United States of America

# **Abstract**

The preBötzinger complex (preBötC) is a critical neuronal network for the generation of breathing. Lesioning the preBötC abolishes respiration, while when isolated in vitro, the preBötC continues to generate respiratory rhythmic activity. Although several factors influence rhythmogenesis from this network, little is known about how gender may affect preBötC function. This study examines the influence of gender on respiratory activity and in vitro rhythmogenesis from the preBötC. Recordings of respiratory activity from neonatal mice (P10–13) show that sustained post-hypoxic depression occurs with greater frequency in males compared to females. Moreover, extracellular population recordings from the preBötC in neonatal brainstem slices (P10–13) reveal that the time to the first inspiratory burst following reoxygenation (TTFB) is significantly delayed in male rhythmogenesis when compared to the female rhythms. Altering activity of ATP sensitive potassium channels (K<sub>ATP</sub>) with either the agonist, diazoxide, or the antagonist, tolbutamide, eliminates differences in TTFB. By contrast, glucose supplementation improves post-hypoxic recovery of female but not male rhythmogenesis. We conclude that post-hypoxic recovery of respiration is gender dependent, which is, in part, centrally manifested at the level of the preBötC. Moreover, these findings provide potential insight into the basis of increased male vulnerability in a variety of conditions such as Sudden Infant Death Syndrome (SIDS).

Citation: Garcia AJ III, Rotem-Kohavi N, Doi A, Ramirez J-M (2013) Post-Hypoxic Recovery of Respiratory Rhythm Generation Is Gender Dependent. PLoS ONE 8(4): e60695. doi:10.1371/journal.pone.0060695

Editor: Mark L. Baccei, University of Cincinnatti, United States of America

Received November 21, 2012; Accepted March 1, 2013; Published April 8, 2013

**Copyright:** © 2013 Garcia III et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Funding:** This work was supported by National Institutes of Health (NIH) grants P01HL090554 and RO1HL107084. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

1

Competing Interests: The authors have declared that no competing interests exist.

- \* E-mail: alfredo.garcia@seattlechildrens.org
- These authors contributed equally to this work.

# Introduction

Several pathophysiological conditions have gender-dependent outcomes. For example, females recover better from traumatic brain injury, ischemia and trauma; whereas, males show greater neuronal cell loss and lesion size, which likely contributes to a greater male mortality [1]. The incidence of obstructive sleep apnea is three times greater in men than in women [2]. Similarly in children, respiratory related disorders are also significantly increased in boys [3] [4]. Adult models of sleep-disordered breathing reveal that males exhibit greater impaired wakefulness and co-incidental increases in oxidative stress [5]. In SIDS, approximately 60% of the children succumbing to the syndrome are boys [6,7,8]. A common event in traumatic brain injury, sleep apnea and SIDS is the occurrence of hypoxic insult to the central nervous system (CNS) followed by reoxygenation. However, gender differences observed in these conditions may not occur during hypoxia itself, but rather manifest in the acute post-hypoxic recovery. While much attention has been focused on the hypoxic respiratory response [9], the post-hypoxic recovery of respiration is comparatively understudied. This is surprising given that failure to autoresuscitate during transient periods of hypoxia is implicated in the etiology of SIDS [10,11,12]. Respiratory dysfunction often involves altered function in the autonomic nervous system, yet again, little is known about the influence gender may have on the function of central networks controlling respiration. We investigated the gender influence on acute post-hypoxic recovery both in vivo and in the preBötzinger complex (preBötC), a neuronal network critically involved in respiratory control.

The preBötC is located within the ventrolateral medulla and is essential to the generation of normal breathing, sighing and gasping [13,14,15,16]. Pathological disturbances of the preBötC are associated with morbidity and mortality in humans [17], and individuals with bilateral medullary lesions encompassing preBotC fail to breathe [18]. Rhythmic neuronal activity from the preBötC can be preserved in vitro where the neuronal rhythm responds to hypoxia and reoxygenation in a manner consistent to that observed in vivo [14,19,20]. Rhythm generation from the preBötC results from a complex interplay between intrinsic membrane properties of preBötC neurons, synaptic interactions, and neuromodulation [21], but the influence gender may have on the neurophysiology of the preBötC is unknown.

We test the hypothesis that gender differences present in respiration following reoxygenation are preserved at the level of the preBötC. Our in vivo experiments reveal that gender impacts post-hypoxic recovery of ventilation. Similarly, in vitro recordings from the preBötC show that gender influences the time that the respiratory network requires to recover following hypoxia. This is evident when assessing the TTFB. Under both in vivo and in vitro conditions, there is a significantly attenuated post-hypoxic recovery in males. Additionally, in vitro pharmacological exper-

iments implicate a role for metabolic status and  $K_{\rm ATP}$  in generating gender differences at the level of the preBötC. Together, these observations suggest that fundamental gender differences in neuronal circuitry are present during the postnatal period and contribute to differences in function.

#### Methods

### **Ethics Statement**

Experiments were conducted using CD1 mice (Postnatal day 2–13) and protocols were approved by Seattle Children's Research Institute Animal Care and Use Committee in accordance with the National Institutes of Health guidelines.

To minimize artifacts such as movement, mice used for in vivo experimentation were anesthetized with 1.25 to 1.75 mg urethane per gram of the subject delivered via intraperitoneal injection. The final dosage of urethane anesthesia was determined by lack of response to tail pinch. After in vivo experiments were completed, all subjects were euthanized by rapid decapitation. Mice used for tissue harvest of the preBötC were anesthetized with inhaled isoflurane followed by rapid decapitation and isolation of the brainstem. In all cases, postmortem dissection and gonadal identification was used to determine gender.

# Electromyogram

Electromyogram (EMG) recordings were conducted in anesthetized mice (P10–13) of both genders. Recordings were made by placing a teflon-coated silver electrode onto the external intercostal muscles of the subject. Subjects undergoing the EMG protocol were freely breathing and inspired a gas mixture of 95%  $O_2$  and 5%  $CO_2$  prior to and following severe hypoxic challenge (inspired gas 95%  $N_2$  and 5%  $CO_2$ ). Baseline metrics were defined as the final 60 sec of control period (i.e., a minimum of 600 sec breathing the control gas) prior to hypoxic exposure. The period of recovery following hypoxia was monitored for a minimum of 300 sec. In many cases, reoxygenation led to a sustained post-hypoxic depression, which was defined as a period >10 sec where post-hypoxic  $f_{\rm inst}$  <80% of baseline  $f_{\rm inst}$  prior to hypoxia.

The EMG-recorded signal was amplified 10,000X, filtered (low pass, 1.5 kHz; high pass, 250 Hz), rectified, and integrated using an electronic filter. All in vivo recordings were stored on a computer for posthoc analysis.

### **Brainstem Slices**

Transverse brainstem slices were prepared from both male and female CD1 mice as previously described [22]. Briefly, the isolated brainstem was glued to an agar block (dorsal face to agar) with the rostral face up and submerged into artificial cerebrospinal fluid (aCSF,  $\sim\!\!4^\circ\text{C}$ ) equilibrated with carbogen. Serial cuts were made through the brainstem until the appearance of anatomical landmarks such as the hypoglossal nucleus and inferior olive. A single slice ( $\sim\!\!600~\mu\text{m}$  thick) containing the preBötC was cut and taken. The caudal face of the preBötC slice was approximately 0.05 to 0.10 mm rostral from the obex. This slice was retained and transferred into the recording chamber ( $\sim\!\!6~\text{mL}$  volume) where it was continuously superfused (12 to 15 mL/min) with recirculating aCSF above and below the slice.

# Media and Pharmacological Agents

The composition of aCSF was (in mM): 118 NaCl, 3.0 KCl, 25 NaHCO<sub>3</sub>, 1 NaH<sub>2</sub>PO<sub>4</sub>, 1.0 MgCl<sub>2</sub>, 1.5 CaCl<sub>2</sub>, 10 D-glucose, 20 Sucrose. Rhythmic activity from the preBötC was induced by raising extracellular KCl to a final concentration of 8.0 mM. In experiments involving 30 mM glucose aCSF, sucrose was replaced

with equimolar glucose. All aCSF solutions had an osmolarity of 305 to 312mOSM and a pH of 7.40 to 7.45 when equilibrated with gas mixtures containing 5%  $\rm CO_2$  at ambient pressure. Control oxygen conditions were made by equilibrating aCSF with carbogen (95%  $\rm O_2$ , 5%  $\rm CO_2$ ) while hypoxic conditions were made by aerating with 95%  $\rm N_2$ , 5%  $\rm CO_2$ . Despite the equilibration of aCSF with 0%  $\rm O_2$ , hypoxic media contained some  $\rm O_2$  [20]. Picrotoxin (PTX), strychnine (STR), tolbutamide (TOL), and diazoxide were obtained from Sigma-Aldrich (St. Louis, MO).

# **Population Recordings**

In several cases, population recordings from two preBötC brainstem slices were recorded simultaneously within a single recording chamber. In situations where two slices were used, the slices were positioned in a staggered arrangement such that media flow was not obstructed for either preparation. Extracellular population activity was recorded with glass suction pipettes (tip resistance <1 MOhm) filled with aCSF that were positioned over the ventral respiratory column containing the preBötC. The recorded signal was amplified 10,000X, filtered (low pass, 1.5 kHz; high pass, 250 Hz), rectified, and integrated using an electronic filter. Recordings were stored on a computer for posthoc analysis. Rhythm generation originating from the preBötC was recorded in carbogen prior to hypoxia, during hypoxia (95%N<sub>2</sub> 5%CO<sub>2</sub>), and following hypoxic exposure back to carbogen.

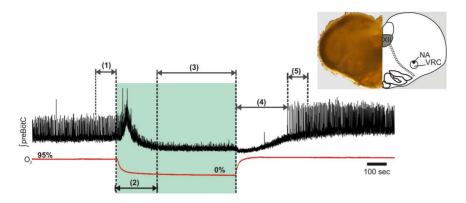
# Media Oxygen Measurements

Oxygen measurements of aCSF were made in the rear of the tissue chamber (>0.2 mm from below the fluid-gas interface) using a custom constructed polarographic oxygen electrode previously described [20,23]. The electrode was polarized at -700 mV using a polarographic amplifier (AM Systems, Sequim WA).

# Analysis and Statistics

Instantaneous frequency ( $f_{inst}$ ) in EMG recordings was periodically averaged (6 breathes per average). A chi square test was used to test the null hypothesis that the occurrence of post-hypoxic depression was the same between genders. Post hypoxic depression was defined as a period >10 sec where post-hypoxic  $f_{inst}$  <80% of baseline  $f_{inst}$  prior to hypoxia.

The in vitro response to hypoxia and reoxygenation is a wellcharacterized stereotypical response [20,24,25]. Transition from a well-oxygenated state to hypoxia triggers changes in the frequency of rhythmogenesis initially characterized by frequency augmentation and followed by a frequency depression. Upon reoxygenation, the frequency of rhythmogenesis goes through a period of depression that is succeeded by frequency rebound and augmentation. In a recent study, we demonstrated that these stereotypical periods during transitions in oxygenation can be quantitatively described [20]. To thoroughly capture potential gender differences, analysis of rhythmic activity from the in vitro preBötC was segmented into various periods (Figure 1): (1) steady-state rhythmogenesis prior to hypoxia (i.e., the final 100 sec rhythmogenesis in carbogen prior to hypoxia); (2) the hypoxic augmentation of rhythmogenesis (i.e., the initial 200 sec of 600 sec hypoxic exposure); (3) rhythmogenesis during steady-state hypoxia (i.e., the last 400 sec of hypoxia exposure); (4) TTFB upon reoxygenation (i.e., the first regular burst following reoxygenation from hypoxia); (5) post-hypoxic rhythmogenesis (i.e., the first 100 sec following TTFB). Integrated population bursts from extracellular recordings were detected and analyzed post hoc using Clampfit 10. Bursts accepted for analysis during hypoxic augmentation, steady-state hypoxia, and post-hypoxic reoxygenation had amplitudes >25% of the mean steady-state burst amplitude prior to hypoxia for the



**Figure 1. Hypoxia and rexoygenation cause stereotypical changes in rhythmic population activity.** Analysis of the in vitro preBötC rhythm was segmented according to neuronal population activity and the state of oxygenation prior to, during, and following hypoxia. (1) steady-state rhythmogenesis in carbogen prior to hypoxia; (2) augmentation during initial hypoxia (0 to 200 sec) (3) steady-state rhythmogenesis during hypoxia (i.e. last 400 sec of hypoxia) (4) TTFB upon reoxygenation; (5) post-hypoxic rhythmogenesis. Inset: The transverse preBötC slice as observed under brightfield low magnification (left). A schematic diagram of the preBötC slice and anatomical landmarks (right). The diagram labels the hypoglossal nucleus (XII), the nucleus ambiguus (NA),and the ventral respiratory column (VRC) containing the preBötC. doi:10.1371/journal.pone.0060695.q001

respective rhythm. The >25% amplitude threshold for burst inclusion was used to ensure that the signal to noise ratio could sufficiently identify a given population burst from background activity.

Kaplan-Meier estimator functions were used to describe the probability of stable rhythmogenesis during hypoxic augmentation phase (i.e., the initial 200 sec of hypoxia). The endpoint for this analysis was defined as the first occurrence of a 10 sec interburst interval during hypoxic augmentation, which was approximately two times greater than the mean interburst interval of rhythmogenesis prior to hypoxia. Rhythms not demonstrating the defined endpoint during hypoxic augmentation were censored. Differences between the Kaplan-Meier estimator functions were determined using the Gehan-Breslow-Wilcoxon test.

Differences between two means were determined by an unpaired t-test. To determine correlations between two metrics, linear regression analysis was performed. In addition to calculating the  $\rm r^2$  value, the P-value comparing the regression line slope to 0 was calculated. This P-value determines the probability for a given set of metrics to randomly demonstrate the relationship described by the  $\rm r^2$  value should those metrics be unrelated. Statistical analyses were conducted using Prism (GraphPad Software Inc., La Jolla, CA), and statistical significance was defined by a P-value  $\leq 0.05$ . Unless otherwise stated, data plots with error bars represent the mean  $\pm$  standard error of the mean.

# Results

# Gender Influences Breathing Response Following Severe Hypoxia

Prior to hypoxia, respiratory burst frequency in freely breathing mice was  $1.14\pm0.21$  Hz (n=8) and  $1.69\pm0.16$  Hz (n=11) for males and females, respectively. During hypoxia respiratory burst frequency was  $1.41\pm0.22$  Hz and  $1.73\pm0.10$  Hz for males and females, respectively. No differences were observed in respiratory burst frequency either prior to or during hypoxia. However, reoxygenation from severe hypoxia caused a sustained post-hypoxic depression in all male subjects (n=8) (Figure 2, upper trace). By contrast, only 27% (n=3 of 11) of the females exhibited a sustained post-hypoxic depression (Figure 2, lower trace). This gender bias was highly significant (P=0.003). To determine whether this in vivo gender bias involved differences at the level of

the preBötC, an area critical for respiratory rhythm generation in vivo [13,26], we conducted in vitro slice experiments.

# Central Respiratory Rhythm Generation in Males is Less Reliable during Early Hypoxic Challange and Recovers Later Following Hypoxia

A total of 42 population rhythms from the in vitro preBötC were recorded from gender-identified slices (postnatal days 10-13: n=19 male, n=23 female). Similar to the in vivo findings, no gender differences were found in steady-state metrics of rhythmogenesis prior to hypoxia, during hypoxia, or following reoxygenation (Table 1). However, significant gender differences were observed during the transition in oxygen conditions.

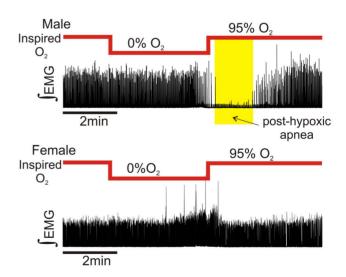


Figure 2. In vivo Gender differences exist in the occurrence of post-hypoxic apnea following exposure to severe hypoxia. Representative traces of integrated electromyogram recordings from both a male (top) and female (bottom) subject. All males (n = 8) exhibited a post-hypoxic apnea following reoxygenation from severe hypoxia (95%  $N_2$ , 5%  $CO_2$ ), whereas only 28% of females (n = 3 of 11) exhibited a post-hypoxic apnea. doi:10.1371/journal.pone.0060695.q002

Table 1. Slice metrics prior to, during, and following hypoxia from preBötC rhythms in gender-identified rhythms.

Metric	Male	Female
n value	19 (8) <sup>1</sup>	23 (11) <sup>1</sup>
Instantaneous Frequency of rhythm prior to hypoxia (Hz)	0.19±0.02	$0.22 \pm 0.02$
Irregularity Score of Period prior to hypoxia	31.31±3.51	29.57±3.53
Instantaneous Frequency of rhythm during hypoxia (Hz)	$0.25 \pm 0.03$	$0.24 \pm 0.02$
Irregularity Score of Period during hypoxia	111.43±35.57	162.79±67.36
Post-hypoxic instantaneous frequency of rhythmogenesis	0.18±0.01	0.19±0.02
Post-hypoxic irregularity score of period of rhythmogenesis	29.96±5.22	25.22±2.40

<sup>&</sup>lt;sup>1</sup> = n values for slices identified with rhythms during steady-state hypoxia. Irregularity Score of period was calculated using the methods described by [49]. doi:10.1371/journal.pone.0060695.t001

During hypoxic augmentation, at a time when the frequency of the respiratory rhythm is still elevated compared to baseline, the beginning of a respiratory depression is marked by decrementing burst amplitudes (Figure 3A). During hypoxic augmentation male rhythms failed earlier when compared to female counterparts (Figure 3B; P=0.02). Similarly, while both genders exhibited a stereotypical pattern of recovery during/following reoxygenation (Figure 4A), there was a quantitative difference in the post-hypoxic recovery of rhythmogenesis. It took significantly longer for males compared to females to generate the first respiratory burst: The TTFB for male slices was  $381\pm21$  sec, for females it was  $323\pm13$  sec, (P=0.02; Figure 4B).

# Recovery Following Hypoxia is Frequency Dependent in Males but not Females

We next tested the hypothesis that the instantaneous frequency (finst) of baseline rhythmogenesis prior to hypoxia predicts the timing of the post-hypoxic recovery (i.e. TTFB). The finst of individual male rhythms was inversely related to TTFB, but no such correlation was found in individual female rhythmic activities (Figure 5A). Linear regression analysis of TTFB to the steady state finst prior to hypoxia revealed that the regression line of finst to TTFB of male rhythms (Figure 5B left) had an  $r^2 = 0.47$  and a slope which was significantly different from 0 (P = 0.001); whereas, in female rhythms the regression line (Figure 5B right) had an  $r^2 = 0.10$  and a slope that was not different from 0 (P = 0.14). No gender differences were found in the linear regression of TTFB to other rhythmic metrics prior to or during hypoxia (all functions had an  $r^2 \le 0.05$  for both genders and/or slopes not significantly different from 0, P≥0.05). Thus, the post-hypoxic recovery was delayed in male slices exhibiting relatively slower rhythms, while the recovery in male slices with comparatively faster rhythms was not different from the recovery of female slices. By contrast, in females the post-hypoxic recovery of respiratory activity was independent of baseline frequency.

# Blockade of K<sub>ATP</sub> Abolishes the Posthypoxic Gender Difference in Recovery

It is well established that hypoxia increases the conductance of  $K_{ATP}$  which in turn inhibits the frequency of respiratory rhythmic activity [27]. To test whether the  $K_{ATP}$  contributed to the gender difference in TTFB, gender identified slices were exposed to hypoxia-reoxygenation in the presence of either the  $K_{ATP}$  antagonist, TOL (100 to 400  $\mu M$ ) or the  $K_{ATP}$  agonist, diazoxide (60 to 100  $\mu M$ ). TOL application caused a significant increase in  $f_{inst}$  of male rhythmic activity prior to hypoxia (P = 0.04) while in females the  $f_{inst}$  prior to hypoxia was not significantly affected

(Figure 6A; P=0.43). Moreover, in TOL the TTFB of male rhythms was not significantly different from the TTFB in female rhythms (Figure 6B; P=0.49 male =  $318\pm40$  sec; female =  $360\pm43$  sec). Application of diazoxide caused a decrease in the  $f_{inst}$  of both male and female rhythms prior to hypoxia (Figure 6C) and eliminated the gender difference in TTFB (Figure 6D; P=0.59 male =  $380\pm39$  sec; female =  $411\pm39$  sec). Because the  $K_{ATP}$  conductance is regulated by ATP availability and hence, metabolic status, we sought to determine whether increasing glucose availability could also affect the gender difference in post-hypoxic recovery.

In the brainstem slice preparation, increasing metabolic substrate availability using aCSF containing 30 mM glucose caused female rhythmic activity to be significantly faster (Figure 7A; P=0.04), and exaggerated the gender biased posthypoxic recovery. While elevated glucose had no significant effect on the TTFB of male respiratory rhythms (P=0.12), the TTFB of female rhythmic activity in 30 mM glucose supplemented aCSF was significantly faster compared to the TTFB in aCSF with 10 mM glucose (Figure 7B; P<0.0001).

# Blockade of Synaptic Inhibition does not Alter the Posthypoxic Gender Difference in Recovery of Respiratory Rhythmic Activity

To test the involvement of synaptic inhibition in mediating the differences in post-hypoxic recovery, gender-identified preBötC slices were exposed to the hypoxia-reoxygenation paradigm in the presence of GABA<sub>A</sub> and glycinergic receptor blockade using PTX (50  $\mu\text{M})$  and STR (1  $\mu\text{M}).$  In PTX and STR,  $f_{\rm inst}$  was not significantly different for either gender (Figure 8A). Additionally, the gender difference in TTFB was preserved in the presence of PTX and STR (Figure 8B; P=0.01): TTFB was  $384\pm33$  sec and  $292\pm26$  sec for male (n = 7) and female (n = 7) rhythmic activities, respectively.

# Postnatal Age Influences the Gender Difference in Posthypoxic recovery of Respiratory Rhythmic Activity

To determine whether the gender difference in post-hypoxic recovery was an inherent property to preBötC rhythmic activity, we exposed age matched gender-identified slices to the hypoxia-reoxygenation paradigm. No gender differences were found in the post-hypoxic recovery at the younger age bins (P0–3; P6–9) tested (Figure 9A). However, plotting TTFB following hypoxia as a function of age bin for each gender demonstrated that TTFB increased at the postnatal age bin of P10–13 for both genders (Figure 9B).

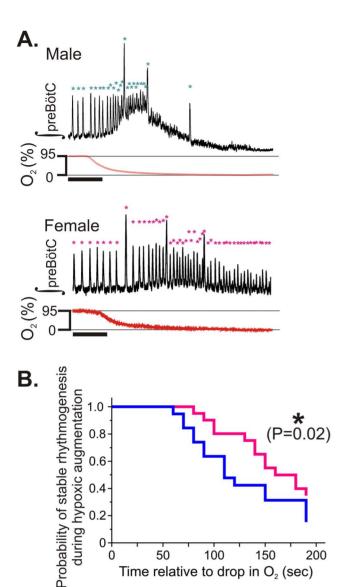


Figure 3. Gender influences rhythm generation during the transition from a well-oxygenated state to hypoxia. (A) Male preBötC rhythms (top) tend to fail more frequently compared to female rhythm (bottom). Asterisks denote detected integrated population bursts and scale bar repesents 20 sec. (B) Kaplan-Meier curves determined for both male (blue) and females (magenta) preBötC rhythms are significantly different from one another. The first 10 sec interburst interval was used as the endpoint metric during the transition to hypoxia.

doi:10.1371/journal.pone.0060695.g003

### Discussion

Detrimental consequences of hypoxic states caused by central apnea, obstructive apnea, asphyxia, and cardiac arrest are well known. Males show increased vulnerability in many of these conditions [1,2,3,4,7,28]. To gain insight into this issue, much attention has been focused on events during hypoxia, yet the acute period of reoxygenation rather than hypoxia itself may also be a critical period of vulnerability for respiratory function. It is well-documented that during reoxygenation and the immediate period following, breathing is centrally depressed [20,29,30,31,32,33]. Our study demonstrates that a gender difference exists in the acute recovery of respiration following severe hypoxia. Moreover, we

found a similar gender difference preserved in preBötC rhythms recorded from gender-identified brainstem slices.

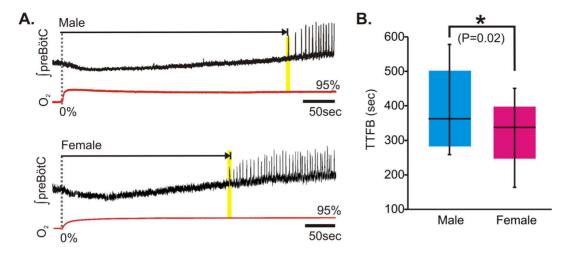
# Stereotypical Responses of Rhythmogenesis to Hypoxia and Reoxygenation

No differences were found in rhythmogenesis during steadystate hypoxia or in carbogen. However, our in vitro experiments demonstrated that gender differences in in vitro rhythmogenesis not only occurs during post-hypoxic recovery but also during the initial period of hypoxic augmentation. During this period, the frequency of rhythmogenesis is augmented above the mean frequency prior to hypoxia (Figure 3, [20]). While we observed this pattern in all rhythmically active slice preparations, independent of gender, our analysis (Figure 3B) showed that the rhythmic activity in females is more robust during this phase: females had a smaller propensity for early failure in burst generation. Although augmented in vivo respiratory activity during early hypoxia is largely attributed to peripheral sensory input [9], the tendency for failure of preBötC rhythms during this period has potential implications for in vivo gender-biased outcomes during and following insults where peripheral sensory input may be impaired. Moreover, upon reoxygenation, gender differences were apparent in post-hypoxic recovery from rhythmogenesis, both in freely breathing mice and in in vitro preparations.

Unlike the hypoxic augmentation, the post-hypoxic ventilatory depression appears to be primarily of central origin. Although early reports suggested that the ventrolateral pons is required for post-hypoxic ventilatory depression in vivo [29,30,31], in vitro studies indicate that the preBötC is a major contributor to the depression as a dramatic post-hypoxic depression is present even in the absence of the pons [19,20]. Thus, while the post-hypoxic ventilatory depression likely involves several interacting CNS areas, our in vivo and in vitro experiments are consistent in their key findings. While it would be a mistake to conclude that gender differences at the level of the preBötC are the sole basis to our in vivo observations, the in vitro findings clearly indicate that gender differences in post-hypoxic recovery exist at the level of the preBötC and these properties likely contribute to the in vivo gender difference observed at the level of the breathing behavior. Moreover, the analysis of in vitro rhythmogenesis during various periods prior, during, and following hypoxia also indicate that the gender bias in rhythmogenesis is evident only during the dynamic transitions in oxygenation, but not during the steady-state oxygen conditions.

### Mechanistic Gender Differences in Rhythmogenesis

As demonstrated here, rhythmogenesis in males has a greater propensity for early failure during the hypoxic augmentation and the onset of respiratory rhythmic activity is more delayed following reoxygenation. Moreover, the gender bias in TTFB was not present at birth, but developed only after postnatal day 10. When compared to earlier ages, recovery of respiratory activity was significantly increased for both genders at postnatal days 10 to 13. Thus, while TTFB became larger with age, gender differences in post-hypoxic recovery also appear to develop later. In rodents, the second postnatal week is a critical period of development when chloride gradients [34,35,36] and GABAA receptor subunit expression changes [37]. However, while such events likely contribute to age dependent changes in post-hypoxic recovery, blockade of fast GABAergic and glycinergic receptors did not prevent the post-hypoxic gender difference in rhythmogenesis. These results suggest that while several biological changes occur during postnatal development, not all appear to equally contribute



**Figure 4. Gender influences the recovery of rhythmogenesis following hypoxia.** (A) Representative traces of integrated population activity recovering from hypoxia for both a male (top) and female preBötC slice (bottom) demonstrating the stereotypical post-hypoxic depression in activity. (B) Box-whisker plots comparing TTFB between male (n = 19) and female (n = 23) rhythms. doi:10.1371/journal.pone.0060695.g004

to gender differences in post-hypoxic recovery of the preBötC rhythm.

In contrast to the role of synaptic inhibition, blockade of  $K_{\Lambda TP}$  increased  $f_{inst}$  of male preBötC rhythms prior to hypoxia, and subsequently eliminated gender differences in the recovery of the preBötC rhythm. Based on the linear correlation found between  $f_{inst}$  and TTFB in slices from the male gender, the TOL experiments could be explained by an increasing  $f_{inst}$  of individual

male rhythms, which is predicted to lead to shorter TFFB values thereby eliminating the gender difference in post-hypoxic recovery. However, an alternative interpretation is that the  $K_{\rm ATP}$  activity contributes to the gender differences. While increasing  $f_{\rm inst}$  may facilitate the post-hypoxic recovery in rhythmic activity of male slices, the pharmacological experiments best support the alternative interpretation. Specifically, if the gender difference in rhythmogenesis was solely based on differences in male  $f_{\rm inst}$ , then

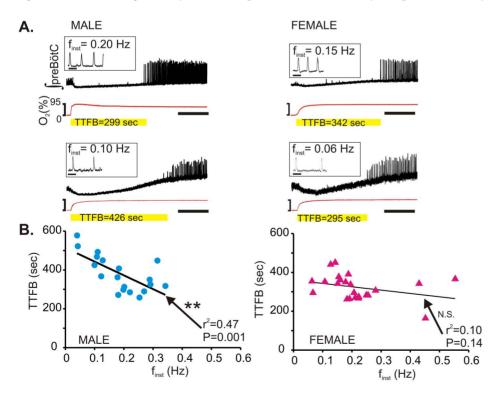
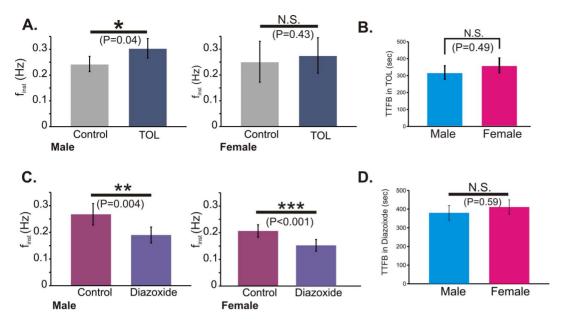


Figure 5. Post-hypoxic recovery of rhythmogenesis correlates to  $f_{inst}$  prior to hypoxia in male rhythms but not in female rhythms. (A) Representative traces of post-hypoxic recovery (i.e. TTFB) of male (left) and female (right) rhythms. Scale bar represents 2 min. Insets in each trace is the corresponding rhythm and  $f_{inst}$  from each experiment prior to hypoxia; scale bar represents 5 sec. (B) Linear regression analysis of  $f_{inst}$  prior to hypoxia to TTFB for both male (left; n = 19) and female (right; n = 23) rhythms. The  $r^2$  value is greater for the male correlation and possesses a slope significantly different from 0. doi:10.1371/journal.pone.0060695.g005

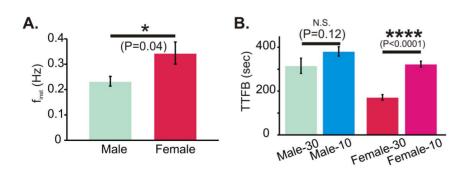


**Figure 6. Contribution of K**<sub>ATP</sub> **channels on f**<sub>inst</sub> **and TTFB.** (A) The K<sub>ATP</sub> channel antagonist, tolbutamide (TOL, 100 to 400  $\mu$ M), significantly increases f<sub>inst</sub> of rhythmogenesis from male (n = 9) but not female (n = 9) slices and (B) eliminates the gender difference in TTFB. (C). The K<sub>ATP</sub> channel agonist, diazoxide (60 to 100  $\mu$ M), significantly decreases f<sub>inst</sub> of rhythmogenesis from male (n = 8) and female (n = 9) slices and (D) eliminates the gender difference in TTFB. doi:10.1371/journal.pone.0060695.q006

retarding the  $f_{inst}$  of preBötC rhythms should have preserved the gender difference in post-hypoxic recovery since the TTFB in female slices does not correlate to finst. Experiments using diazoxide decreased f<sub>inst</sub> in rhythms from both genders, yet also eliminated the gender difference in TTFB. Thus, manipulating K<sub>ATP</sub> activity in either direction appears to eliminate the gender differences in post-hypoxic recovery of rhythmogenesis independent on their effects on instantaneous frequency. The gating of K<sub>ATP</sub> channels is governed by the ATP/ADP ratio [38], and K<sub>ATP</sub> provides an avenue by which metabolic status may influence neuronal excitability. While supplementing glucose did not affect preBötC rhythms in male slices, it increased  $f_{\rm inst}$  and reduced TTFB in rhythms recorded from female slices. This finding suggests that, at the level of the preBötC, females utilize glucose more efficiently than the male counterparts. Hence, we propose that metabolic status may contribute to the observed gender differences in the post-hypoxic recovery of respiratory rhythmogenesis. This hypothesis is consistent with a potential role for a dynamic involvement of  $K_{ATP}$  channels in these responses.

# **Potential Consequences**

While the prevalence of hypoxic insult and the ensuing reoxygenation are present throughout numerous disease states and conditions, our findings may have particular implications to the development of events leading to SIDS. SIDS has long been associated with the failure to recover from a hypoxic/ hypercapnic event, resulting for example, from an infant sleeping in the prone position [39]. Important for autoresuscitation is the activation of gasps in response to severe hypoxic challenge [40,41]. Mechanistically, gasping depends on the persistent sodium current [19,42], and is attributed to the activation of sodium dependent pacemaker neurons [19]. Blockade of endogenous serotonergic activation abolishes both gasping and pacemaker activity [32] indicating that gasping and these pacemaker neurons critically depend on serotonergic drive [32,43]. Consistent with this conclusion is the observation that in serotonin-deficient mice, gasping is markedly attenuated during a short time window in postnatal development [44]. Additionally, recent studies in mice with genetic impairment to



**Figure 7. Effect of glucose supplementation on f\_{inst} and TTFB.** (A) Prior to hypoxia, glucose supplementation (30 mM) causes a significant difference in  $f_{inst}$  between male (n = 7) and female (n = 8) rhythms. (B) When compared to TTFB in 10 mM glucose aCSF, glucose supplementation does not affect male TTFB, but significantly reduces female TTFB. doi:10.1371/journal.pone.0060695.g007

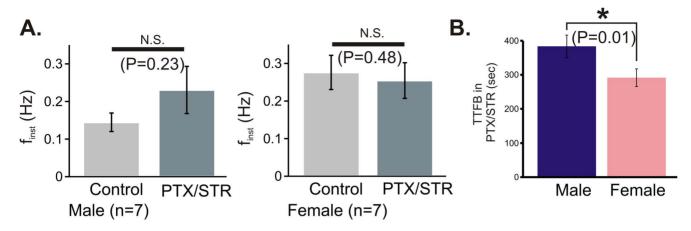


Figure 8. Blockade of fast GABAergic and glycinergic receptors do not prevent gender difference in TTFB. Co-application of the antagonists for fast GABAergic synaptic transmission, picrotoxin (PTX, 50  $\mu$ M), and the glycineric synaptic transmission, strycnine (STR,1  $\mu$ M), affects neither (A)  $f_{inst}$  prior to hypoxia for either gender nor (B) the gender difference in TTFB. doi:10.1371/journal.pone.0060695.q008

serotonergic neuromodulation have demonstrated that males are vulnerable to a loss of chemosensitive responsiveness [45] [46]. However, while both the failure to effectively gasp/autoresuscitate [11] and disturbances in serotonergic mechanisms [47] appear critical in the disease mechanisms of SIDS, other biological factors may also be involved with the etiology of SIDS. Our work suggests that gender differences in acute post-

hypoxic recovery can be present even when serotonergic pathways are not purposefully disrupted, but it is likely that such differences may be exaggerated by manipulating metabolic substrate availability or eliminated by altering the  $K_{ATP}$  activity(as discussed in the preceding section). Recent findings show that loss-of-function mutations found in Kir6.1, a central subunit to the  $K_{ATP}$  channel, are present in some SIDS cases

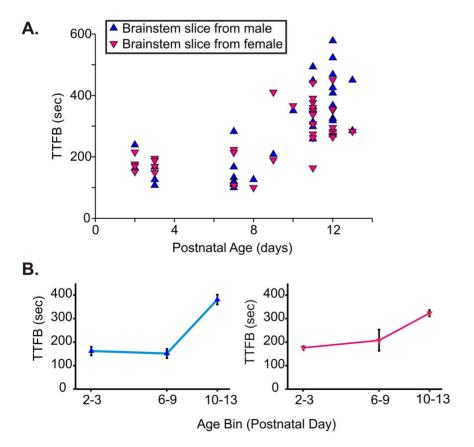


Figure 9. Postnatal age affects post-hypoxic recovery of rhythmogenesis. (A) No gender differences were found in TTFB for age bins at postnatal days 2-3 (male n=6; female n=9) or 6-9 (male n=9, female n=6). (B) However, TTFB increases with age for both genders between postnatal days 10-13. doi:10.1371/journal.pone.0060695.q009

[48]. Moreover, using quantitative trait locus analysis, Thach et al. (2009) recently described gender differences in loci on chromosomes 10 and 12 that appear to be particularly relevant to autoresuscitation. While several genes exist within these loci, the authors identify two particular gene candidates that may contribute to gender differences in autoresuscitation-glycogen synthase kinase-3 and hexokinase-1 [12]. These genes are involved with glycogen/glucose metabolic pathways, and thus, such differences could potentially affect ATP availability during hypoxia/reoxygenation. Thus, our findings also contribute to the growing evidence that implicates a role for differences in

metabolic status and  $K_{\rm ATP}$  channel activity as a potential source for gender differences during/following hypoxic challenge and SIDS

### **Author Contributions**

Conceived and designed the experiments: AJG NR-K J-MR. Performed the experiments: AJG NR-K AD. Analyzed the data: AJG NR-K AD. Contributed reagents/materials/analysis tools: J-MR. Wrote the paper: AJG NR-K J-MR.

#### References

- Bramlett HM, Dietrich WD (2004) Pathophysiology of cerebral ischemia and brain trauma: similarities and differences. J Cereb Blood Flow Metab 24: 133– 150.
- Young T (1993) Analytic epidemiology studies of sleep disordered breathing what explains the gender difference in sleep disordered breathing? Sleep 16: S1– 2.
- Chervin RD, Archbold KH, Dillon JE, Panahi P, Pituch KJ, et al. (2002) Inattention, hyperactivity, and symptoms of sleep-disordered breathing. Pediatrics 109: 449–456.
- Webber MP, Carpiniello KE, Oruwariye T, Appel DK (2002) Prevalence of asthma and asthma-like symptoms in inner-city elementary schoolchildren. Pediatr Pulmonol 34: 105–111.
- Sanfilippo-Cohn B, Lai S, Zhan G, Fenik P, Pratico D, et al. (2006) Sex differences in susceptibility to oxidative injury and sleepiness from intermittent hypoxia. Sleep 29: 152–159.
- Mage DT, Donner M (2009) A Unifying Theory for SIDS. Int J Pediatr 2009: 368270.
- Mage DT, Donner EM (2004) The fifty percent male excess of infant respiratory mortality. Acta Paediatr 93: 1210–1215.
- Richardson HL, Walker AM, Horne RS (2010) Sleeping like a baby-does gender influence infant arousability? Sleep 33: 1055-1060.
- Teppema LJ, Dahan A (2010) The ventilatory response to hypoxia in mammals: mechanisms, measurement, and analysis. Physiol Rev 90: 675–754.
- Sridhar R, Thach BT, Kelly DH, Henslee JA (2003) Characterization of successful and failed autoresuscitation in human infants, including those dying of SIDS. Pediatr Pulmonol 36: 113–122.
- Poets CF, Meny RG, Chobanian MR, Bonofiglo RE (1999) Gasping and other cardiorespiratory patterns during sudden infant deaths. Pediatr Res 45: 350– 354.
- Thach BT, Kenney-Hunt JP, Simon TC, Stratman JL, Thach SB, et al. (2009) Sex-specific quantitative trait loci linked to autoresuscitation failure in SWR/J mice. Heredity (Edinb) 103: 469–475.
- 13. Tan W, Janczewski WA, Yang P, Shao XM, Callaway EM, et al. (2008) Silencing preBotzinger complex somatostatin-expressing neurons induces persistent apnea in awake rat. Nat Neurosci 11: 538–540.
- Lieske S, Thoby-Brisson M, Ramirez J (2000) Reconfiguration of the neural network controlling multiple breathing patterns: eupnea, sighs, gasps. Nat Neurosci 3: 600–607.
- Ramirez JM, Quellmalz UJ, Wilken B, Richter DW (1998) The hypoxic response of neurones within the in vitro mammalian respiratory network. J Physiol 507 (Pt 2): 571–582.
- St-John WM, Waki H, Dutschmann M, Paton JF (2007) Maintenance of eupnea of in situ and in vivo rats following riluzole: a blocker of persistent sodium channels. Respir Physiol Neurobiol 155: 97–100.
- Schwarzacher SW, Rub U, Deller T (2011) Neuroanatomical characteristics of the human pre-Botzinger complex and its involvement in neurodegenerative brainstem diseases. Brain 134: 24–35.
- Woischneck D, Kapapa T, Heissler HE, Reissberg S, Skalej M, et al. (2009) Respiratory function after lesions in medulla oblongata. Neurol Res 31: 1019– 1022.
- Pena F, Parkis M, Tryba A, Ramirez J (2004) Differential contribution of pacemaker properties to the generation of respiratory rhythms during normoxia and hypoxia. Neuron 43: 105–117.
- Hill AA, Garcia AJ 3rd, Zanella S, Upadhyaya R, Ramirez JM (2011) Graded reductions in oxygenation evoke graded reconfiguration of the isolated respiratory network. J Neurophysiol 105: 625–639.
- Garcia AJ 3rd, Zanella S, Koch H, Doi A, Ramirez JM (2011) Chapter 3– networks within networks: the neuronal control of breathing. Prog Brain Res 188: 31–50
- Ramirez J, Quellmalz U, Richter D (1996) Postnatal changes in the mammalian respiratory network as revealed by the transverse brainstem slice of mice. 1 Physiol 491: 799–812.
- Garcia AJ 3rd, Putnam RW, Dean JB (2010) Hyperbaric hyperoxia and normobaric reoxygenation increase excitability and activate oxygen-induced potentiation in CA1 hippocampal neurons. J Appl Physiol 109: 804–819.

- Telgkamp P, Ramirez JM (1999) Differential responses of respiratory nuclei to anoxia in rhythmic brain stem slices of mice. J Neurophysiol 82: 2163–2170.
- Thoby-Brisson M, Ramirez JM (2000) Role of inspiratory pacemaker neurons in mediating the hypoxic response of the respiratory network in vitro. J Neurosci 20: 5858–5866.
- Ramirez J, Schwarzacher S, Pierrefiche O, Olivera B, Richter D (1998) Selective lesioning of the cat preBotzinger complex in vivo eliminates breathin but not gasping. J Physiol 507: 895–907.
- Haller M, Mironov SL, Karschin A, Richter DW (2001) Dynamic activation of K(ATP) channels in rhythmically active neurons. J Physiol 537: 69–81.
- Mage DT, Donner M (2006) Female resistance to hypoxia: does it explain the sex difference in mortality rates? J Womens Health (Larchmt) 15: 786–794.
- Coles SK, Dick TE (1996) Neurones in the ventrolateral pons are required for post-hypoxic frequency decline in rats. J Physiol 497 (Pt 1): 79–94.
- Coles SK, Ernsberger P, Dick TE (1998) A role for NMDA receptors in posthypoxic frequency decline in the rat. Am J Physiol 274: R1546–1555.
- 31. Dick TE, Coles SK (2000) Ventrolateral pons mediates short-term depression of respiratory frequency after brief hypoxia. Respir Physiol 121: 87–100
- respiratory frequency after brief hypoxia. Respir Physiol 121: 87–100.

  32. Tryba AK, Pena F, Ramirez JM (2006) Gasping activity in vitro: a rhythm dependent on 5-HT2A receptors. J Neurosci 26: 2623–2634.
- Quintana A, Zanella S, Koch H, Kruse SE, Lee D, et al. (2012) Fatal breathing dysfunction in a mouse model of Leigh syndrome. J Clin Invest 122: 2359–2368.
- Lee H, Chen CX, Liu YJ, Aizenman E, Kandler K (2005) KCC2 expression in immature rat cortical neurons is sufficient to switch the polarity of GABA responses. Eur J Neurosci 21: 2593–2599.
- Plotkin MD, Snyder EY, Hebert SC, Delpire E (1997) Expression of the Na-K-2Cl cotransporter is developmentally regulated in postnatal rat brains: a possible mechanism underlying GABA's excitatory role in immature brain. J Neurobiol 33: 781–795.
- 36. Liu Q, Wong-Riley MT (2012) Postnatal development of Na(+)-K(+)-2Cl(-) cotransporter 1 and K(+)-Cl(-) cotransporter 2 immunoreactivity in multiple brain stem respiratory nuclei of the rat. Neuroscience 210: 1–20.
- Liu Q, Wong-Riley MT (2006) Developmental changes in the expression of GABAA receptor subunits alpha1, alpha2, and alpha3 in brain stem nuclei of rats. Brain Res 1098: 129–138.
- 38. Dunne MJ, West-Jordan JA, Abraham RJ, Edwards RH, Petersen OH (1988) The gating of nucleotide-sensitive K+ channels in insulin-secreting cells can be modulated by changes in the ratio ATP4-/ADP3- and by nonhydrolyzable derivatives of both ATP and ADP. J Membr Biol 104: 165-177.
- Trachtenberg FL, Haas EA, Kinney HC, Stanley C, Krous HF (2012) Risk factor changes for sudden infant death syndrome after initiation of Back-to-Sleep campaign. Pediatrics 129: 630–638.
- Wulbrand H, McNamara F, Thach BT (2008) The role of arousal related brainstem reflexes in causing recovery from upper airway occlusion in infants. Sleep 31: 833–840.
- Lijowska AS, Reed NW, Chiodini BA, Thach BT (1997) Sequential arousal and airway-defensive behavior of infants in asphyxial sleep environments. J Appl Physiol 83: 219–228.
- Paton JF, Abdala AP, Koizumi H, Smith JC, St-John WM (2006) Respiratory rhythm generation during gasping depends on persistent sodium current. Nat Neurosci 9: 311–313.
- Pena F, Ramirez JM (2002) Endogenous activation of serotonin-2A receptors is required for respiratory rhythm generation in vitro. J Neurosci 22: 11055– 11064
- Cummings KJ, Commons KG, Hewitt JC, Daubenspeck JA, Li A, et al. (2011)
   Failed heart rate recovery at a critical age in 5-HT-deficient mice exposed to episodic anoxia: implications for SIDS. J Appl Physiol 111: 825–833.
- Li A, Nattie E (2008) Serotonin transporter knockout mice have a reduced ventilatory response to hypercapnia (predominantly in males) but not to hypoxia. J Physiol 586: 2321–2329.
- Penatti E, Barina A, Schram K, Li A, Nattie E (2011) Serotonin transporter null male mouse pups have lower ventilation in air and 5% CO2 at postnatal ages P15 and P25. Respir Physiol Neurobiol 177: 61–65.
- Filiano JJ, Kinney HC (1994) A perspective on neuropathologic findings in victims of the sudden infant death syndrome: the triple-risk model. Biol Neonate 65: 194–197.

- 48. Tester DJ, Tan BH, Medeiros-Domingo A, Song C, Makielski JC, et al. (2011) Loss-of-function mutations in the KCNJ8-encoded Kir6.1 K(ATP) channel and sudden infant death syndrome. Circ Cardiovasc Genet 4: 510–515.
- Telgkamp P, Cao Y, Basbaum A, Ramirez J (2002) Long-term peprivation of substance P in PPT-A mutant mice alters the anoxic esponse of the isolated respiratory network. J Neurophysiol 88: 206–213.