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Underregistration and Underreporting of Stem Cell Clinical Trials in Neurological Disorders

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^aUniversity of South Florida, Morsani College of Medicine, Tampa, FL, USA ^bDepartment of Neurology, Seoul National University College of Medicine, Seoul, Korea ^cDepartments of Neurology and Movement Disorder Center, Neuroscience Research Institute, Seoul National University College of Medicine, Seoul, Korea **Background and Purpose** Research on stem cells (SC) is growing rapidly in neurology, but clinical applications of SC for neurological disorders remain to be proven effective and safe. Human clinical trials need to be registered in registries in order to reduce publication bias and selective reporting.

Methods We searched three databases—clinicaltrials.gov, the Clinical Research Information System (CRIS), and PubMed—for neurologically relevant SC-based human trials and articles in Korea. The registration of trials, posting and publication of results, and registration of published SC articles were examined.

Results There were 17 completed trials registered at clinicaltrials.gov and the CRIS website, with results articles having been published for 5 of them. Our study found 16 publications, of which 1 was a review article, 1 was a protocol article, and 8 contained registered trial information.

Conclusions Many registered SC trials related to neurological disorders are not reported, while many SC-related publications are not registered in a public registry. These results support the presence of biased reporting and publication bias in SC trials related to neurological disorders in Korea.

Key Words stem cells, neurological disorders, Korea, registration, reporting.

INTRODUCTION

Investigations of stem cells (SC) have been rapidly growing over the past few decades, but they have been shrouded in controversy fueled largely by the lack of quality data to support claims made by the mass media as well as businesses exploiting SC. In particular, clinical applications in neurology are increasing exponentially, with the severe motor and neurological symptoms associated with neurological diseases and the restricted options for treatment or cure turning patients to treatment modalities involving SC.

However, a pressing issue is autologous transplants being offered without sufficient evidence.¹ Recent studies have demonstrated numerous instances of malpractice and harm done to patients by those who have taken advantage of global SC tourism and commercialization.² Particularly in Korea, there is concern that people are readily able to access SC treatments in spite of poor peer-reviewed data.³ It is particularly concerning that as of 2014, four SC-based therapy products have been granted marketing authorization in Korea.⁴ This illustrates the importance of the public having access to information regarding the scientific and clinical evidence for these treatments. However, the likelihood of selective reporting is higher in a more-popular field,⁵ and there has been little evidence-based research to support the clinical safety of treating neurological diseases using SC. Because of the issues surrounding the field, many countries have pushed for a global standard and framework in SC

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research.⁶⁻⁸ Organizations such as the International Society for Stem Cell Research were established to create a forum for education and developing guidelines for embryonic SC research.⁷

There has been considerable debate on the regulation of SC in Korea, especially after a particular investigator (Woo Suk Hwang) was found in 2005 to have conducted fraudulent research. This event prompted the Korean government to establish the National Bioethics Committee and place a moratorium on embryonic SC research up to May 2009.⁹ The Korean Bioethics and Safety Act was also enacted, and has since undergone revisions. SC therapies are currently regulated by the Ministry of Food and Drug Safety (MFDS) in Korea and must be approved in accordance with the Pharmaceutical Affairs Act. Clinical trials of new products must be conducted at designated clinical-trial institutions (including hospitals).⁴

With the rapid growth of clinical trials involving SC, it is important to know whether the obtained information is prone to publication bias and whether findings are reported regardless of whether they are favorable or unfavorable. Selective reporting can lead to a potentially biased view of trials and results that could hinder the growth of this field in the scientific community and, more importantly, could result in patient harm. Despite events such as the Hwang incident, there are still clinical trials that are not registered and do not publish results.

Registries

Studies have indicated that the registration of randomized clinical trials is positively correlated with improvements in the reporting of results.¹⁰ However, studies have shown that some trials are registered after the first subject is enrolled, and that the amount of interventional trials registered before enrollment decreases over time.¹¹ This combined with the failure to provide adequate information and update the status of registered clinical trials can potentially introduce bias that has adverse effects on the transparency, safety, and ultimately trust of the clinical studies.

Concerns about selective outcome reporting and publication bias have led to the implementation of clinical research registration policies and guidelines. The International Committee of Medical Journal Editors requires that authors register their clinical trial when they are wanting to publish results therefrom. The Declaration of Helsinki states that every clinical research study must be registered in a publicly accessible database before the first subject is recruited. These and other efforts are leading to many regulatory and funding entities requiring clinical trials to be registered by law, and research guidelines and public registration systems have now been established in many countries.11

The present study explored two of these databases: clinicaltrials.gov and the Clinical Research Information Service (CRIS). clinicaltrials.gov was created by the US Congress in 1997 to provide information and access to clinical trials. The US Food and Drug Administration Amendments Act then expanded this mandate by requiring sponsors of applicable clinical trials to register and report summary results. The CRIS was developed by the Korea Centers for Disease Control and prevention (KCDC) in 2010 with support from the Ministry of Health and Welfare (MOHW) as one of the primary registries in the World Health Organization (WHO) International Clinical Trials Registry Platform to prevent the ambiguous identification of clinical trials. While registration of clinical research into a public registry system is not legally mandated in Korea, clinical research funded by the MOHW is required to be registered in the CRIS, and the MFDS recommends registration.

This study analyzed the registering of trials and reporting of results by searching clinical trial registries and journal databases, with the aim of identifying the prevalence of selective publishing.

METHODS

Criteria for reviewable studies

The intervention in potentially reviewable studies was the use of SC therapy, but this excluded studies using solely growth factors, solely proteins without SC, or any non-SC-based therapy. The type of SC used in each study was listed.

Analysis and classification were performed on trial registration, whether there were posted or published results for registered trials, and whether publications related to SC were registered.

Search strategy

The following electronic databases were searched: clinicaltrials.gov, the CRIS, and PubMed. Only human clinical trials were included. There were no limitations on trials or articles based on starting date, completion date, or published date.

Study selection and data extraction

The specific strategies applied to each database are presented below.

clinicaltrials.gov

The following terms were entered into the "Other Terms" search bar at clinicaltrials.gov: "stem cell," "progenitor cell," "bone marrow," "mesenchymal stem cell," and "umbilical cord blood." The "Study Type" filter under the advanced search

option at clinicaltrials.gov was set to "Interventional Studies." To ensure that Korean studies were identified, the "Locations" filter was set to "Republic of Korea." Each of the filtered trials was reviewed for neurological relevancy. Studies were excluded when the neurological problem resulted from any form of cancer or cancer treatment, diabetes, medication side effects, or bone disease. To determine whether or not there were published result articles for completed trials, the registration numbers were searched in all National Center for Biotechnology Information (NCBI) databases. Any relevant articles were looked through manually and then matched with trials accordingly.

Clinical research information system

The following terms were searched for on the CRIS website by inputting them in the "Scientific Title" search bar: "stem cell," "progenitor cell," "bone marrow," "mesenchymal stem cell," and "umbilical cord blood." The "Study Type" filter under the advanced search option on the CRIS website was set to "Interventional Studies." Since the CRIS website is run by the Korean government for Korean studies, no additional filter was set for selecting only Korean trials. Each of the filtered trials was reviewed for neurological relevancy. Studies were excluded when the neurological problem resulted from any form of cancer or cancer treatment, diabetes, medication side effects, or bone disease. To determine whether or not there were published results articles for completed trials, the registration numbers of the trials were searched in all NCBI databases. Any relevant articles were looked through manually and then matched with trials accordingly.

PubMed

A list of search terms was created based on the Medical Subject Headings (MeSH) database. The additional word "Korea" was added to the PubMed Search Builder to identify only relevant searches. The following MeSH terms were searched for on PubMed: "stem cell transplantation," "fetal blood," "bone marrow," and "mesenchymal stem cell transplantation." The results were filtered to include only human clinical trials and results articles. Only neurologically related trials were then selected. Studies were excluded when the neurological problem resulted from any form of cancer or cancer treatment, diabetes, medication side effects, or bone disease. The reference lists in the articles identified in the PubMed search were manually searched for any additional relevant articles.

RESULTS

Our searches of clinicaltrials.gov and the CRIS website identified 17 completed trials, with results articles having been published for 5 of them.¹²⁻¹⁶ Our study found 16 publications,¹²⁻²⁷ of which 1 was a review article,²¹ 1 was a protocol,²⁶ and 8 contained registered trial information.^{12-16,22,23,26}

clinicaltrials.gov (Fig. 1, Table 1)

As at October 28, 2017, 198, 195, 147, 56, and 38 registered trials were identified at clinicaltrials.gov using the search terms "stem cell," "progenitor cell," "bone marrow," "mesenchymal stem cell," and "umbilical cord blood," respectively.

Stem cells

Nineteen of the 198 registered trials identified as being related to SC were related to neurological issues: 7 were completed, 7 were recruiting, 3 were active but not recruiting, and 2 were not yet recruiting. Two of the seven completed trials (NCT01363401 and NCT01193660) had posted results on the registry, while the other five had not. Three of the seven completed trials had published results (NCT01363401, NCT011 93660, and NCT00911365).13-15 However, only one of these three completed trials (NCT01363401) had the publication link on the registry page,¹³ and the published articles for the other two trials were found via a manual search of PubMed using the trial registration number.^{14,15} However, one more article was found²² from a manual search of the reference list of one of the articles¹⁵ with a matching registration trial number (NCT00911365). These two articles^{15,22} described the study as a randomized, double-blind, placebo-controlled trial, whereas it was registered as a randomized, single-blind, parallel-assignment trial on the registry (NCT00911365). One recruiting trial (NCT01716481) was matched to a CRIS trial (KCT0000574), but was listed as not yet recruiting on the CRIS website with conflicting anticipated completion dates: February 2016 on the CRIS website and December 2017 at clinicaltrials.gov. A search of PubMed for the trial number revealed that a protocol paper²⁶ was matched to this trial (NCT01716481). Three of the completed trials without published results had been completed more than 17 months previously (NCT01769872, NCT01624779, and NCT01297218), with two of them completed more than 26 months previously (NCT01624779 and NCT01297218). One trial listed as "active, not recruiting" (NCT02378974) had already passed its anticipated completion date of May 2017.

Umbilical cord blood

Thirteen of the 38 registered trials identified as being related to umbilical cord blood were identified as being related to neurological issues: 8 were completed, 2 were recruiting, 1 was active but not recruiting, and 2 were withdrawn prior to enrollment. Although none of the completed trials had posted results on the registry, one of them (NCT01528436) was

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matched to an article¹⁶ in the search of the MeSH database for "stem cell transplantation." Three completed trials (NCT01 639404, NCT01601158, and NCT01991145) matched CRIS trials (KCT0000483, KCT0000445, and KCT0000950, respectively). However, these three trials were listed as recruiting on the CRIS website. Four completed trials without published results were listed as having been completed more than 17 months previously (NCT01639404, NCT01601158, NCT 01884155, and NCT02025972), with two of them having been completed more than 26 months previously (NCT01639404 and NCT01601158).

Clinical research information system (Fig. 2, Table 2)

As at October 28, 2017, 14, 1, 4, 8, and 5 registered trials were identified on the CRIS website using the search terms "stem cell," "progenitor cell," "bone marrow," "mesenchymal stem cell," and "umbilical cord blood," respectively. Applying the search terms "progenitor cell," "bone marrow," and "mesenchymal stem cell" yielded no new additional trials after searching the database using the search terms "stem cell" and "umbilical cord blood."

Stem cells

Three of the 14 registered trials identified as being related to SC were to neurological disorders: 2 were completed (KCT000 1429 and KCT0000879) and 1 was not yet recruiting (KCT 0000574). There were posted results on the registry for the two trials listed as completed. Two of the trials (KCT0001429 and KCT0000574) had a publication posted under "Study

Results and Publication." However, the publication for KCT 0001429 was not found by searching PubMed, and the paper was a review article rather than reporting results. A registration number was also not listed in this article.²¹ Furthermore, the publication for KCT0000574 was a study protocol.²⁶ One published paper¹² was later found during the PubMed search that had a registration number that matched the trial listed as recruiting (KCT0000879). It is noteworthy that the not-yet-recruiting trial had passed its projected completion date. This not-yet-recruiting trial also matched a trial at clinical-trials.gov (NCT01716481) that was listed as recruiting.

Umbilical cord blood

Three of the five registered trials identified as being related to umbilical cord blood were related to neurological disorders, all of which were listed as recruiting (KCT0000950, KCT 0000483, and KCT0000445). All of the trials had extended beyond their anticipated completion dates by more than 26 months. The three trials matched clinicaltrials.gov trials (NCT01991145, NCT01639404, and NCT01601158, respectively). Although these trials were listed as recruiting on the CRIS website, they were listed as completed at clinicaltrials.gov.

PubMed (Table 3)

As at October 28, 2017, 150, 20, 22, and 4 articles were identified using the MeSH terms "stem cell transplantation," "bone marrow," "mesenchymal stem cell transplantation," and "fetal blood," respectively.

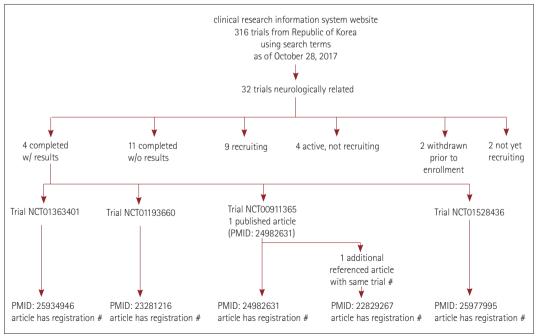


Fig. 1. Studies at clinicaltrials.gov.

Table 1.	Summary	of clinicaltrials.gov	/
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Search term and status	Registration number	Completion date	nosted	Results listed as published at clinicaltrials	Published article found	Article had registration match	Published date	Type of SC	PMID	Diseas
C										
Completed with results	NCT01363401	8-2013	Y	Y	Y	Y	6-2015	Autologous BM-MSCs	25934946	ALS
Completed with results	NCT01193660	4-2011	Y	Ν	Y	Y	3-2013	Allogeneic UCB	23281216	CP
Completed	NCT00911365	6-2011	Ν	Ν	Y	Y	7-2012	Autologous MSCs	22829267	MSA
Completed	NCT00911365	6-2011	Ν	Ν	Y	Y	6-2014	Autologous MSCs	24982631	MSA
Completed	NCT01769872	1-2016	Ν	Ν	Ν	Ν	Ν	Autologous AD-MSCs	Ν	SCI
Completed	NCT01624779	5-2014	Ν	Ν	Ν	Ν	Ν	Autologous AD-MSCs	Ν	SCI
Completed	NCT01297218	12-2011	Ν	Ν	Ν	Ν	Ν	hUCB-MSCs	Ν	DAT
Completed	NCT02274428	12-2016	Ν	Ν	Ν	Ν	Ν	hUCB-MSCs	Ν	IVH
Recruiting	NCT01716481	Anticipated 12-2017	Ν	Ν	Y-(protocol)	Ν	Ν	MSCs	Ν	IS
Recruiting	NCT02054208	Anticipated 7-2019	Ν	Ν	Ν	Ν	Ν	hUCB-MSCs	Ν	AD
Recruiting	NCT03172117	Anticipated 12-2021	Ν	Ν	Ν	Ν	Ν	hUCB-MSCs	Ν	AD
Recruiting	NCT03130816	Anticipated 8-2019	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	СР
Recruiting	NCT02210624	Anticipated 12-2018	Ν	Ν	Ν	Ν	Ν	Autologous BM-MSCs	Ν	ABI
Recruiting	NCT03214146	Anticipated 12-2018	Ν	Ν	Ν	Ν	Ν	Allogenic BM-MSCs	Ν	ALS
Recruiting	NCT02890953	Anticipated 12-2019	Ν	Ν	Ν	Ν	Ν	MSCs hUCB- MSCs 55	- N	IVH
Active, not recruiting	NCT01758510	Anticipated 12-2017	Ν	Ν	Ν	Ν	Ν	Allogenic BM-MSCs	Ν	ALS
Active, not recruiting	NCT02378974	Anticipated 5-2017	Ν	Ν	Ν	Ν	Ν	hUCB-MSCs	Ν	CI
Active, not recruiting	NCT01676441	Anticipated 12-2020	Ν	Ν	Ν	Ν	Ν	BM-MSCs	Ν	SCI
Not yet recruiting	NCT02899091	Anticipated 6-2018	Ν	Ν	Ν	Ν	Ν	P-MSCs	Ν	AD
Not yet recruiting	NCT03265444		Ν	Ν	Ν	Ν	Ν	Autologous BM-MSCs	Ν	MSA
CB										
Completed	NCT01528436	7-2012	Ν	Ν	Y	Y	10-2015	Allogeneic UCB	25977995	CP
Completed	NCT01639404	3-2013	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	CP
Completed	NCT01601158	8-2013	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	GDD

Table 1	. Summary	of clinicaltrials.gov	(continued)
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Search term and status	Registration number	Completion date	Results posted	Results listed as published at clinicaltrials	Published article found	Article had registration match	Published date	Type of SC	PMID	Disease
Completed	NCT01991145	6-2017	Ν	Ν	Ν	Ν	Ν	UCB	Ν	CP
Completed	NCT01885663	9-2016	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	Acquired brain injury
Completed	NCT01884155	12-2015	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	Stroke
Completed	NCT02025972	11-2015	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	CP
Completed	NCT02236065	7-2016	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	Brain injury, CP, ALS, PD
Recruiting	NCT03130816	Anticipated 7-2019	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	CP
Recruiting	NCT02866331	Anticipated 12-2018	Ν	Ν	Ν	Ν	Ν	Autologous UCB	Ν	СР
Active, not recruiting	NCT01769716	Anticipated 12-2017	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	GDD
Withdrawn prior to enrollment	NCT01451528	Anticipated 12-2015	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	TBI
Withdrawn prior to enrollment	NCT01486732	Anticipated 8-2014	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	СР

ABI: anoxic brain injury, AD: Alzheimer's disease, AD-MSCs: adipose-derived mesenchymal stem cells, ALS: amyotrophic lateral sclerosis, BM-MSCs: bone marrow mesenchymal stem cells, CI: cerebral infarction, CP: cerebral palsy, DAT: dementia of Alzheimer's type, GDD: global development delay, hUCB-MSCs: human umbilical cord blood mesenchymal stem cells, IS: ischemic stroke, IVH: intraventricular hemorrhage, MSA: multiple system atrophy, MSCs: mesenchymal stem cells, N: no, PD: Parkinson's disease, P-MSCs: placenta mesenchymal stem cells, SC: stem cells, SCI: spinal cord injury, TBI: traumatic brain injury, UCB: umbilical cord blood, Y: yes.

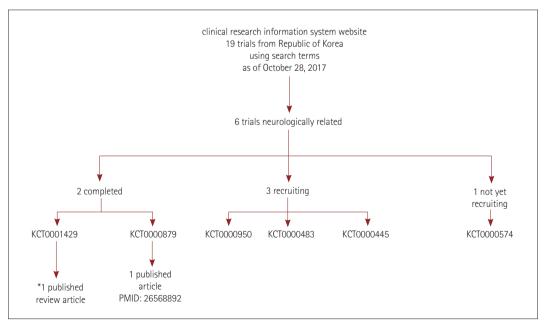


Fig. 2. Studies on the clinical research information system website. *Could not be found via PubMed search.

Search term and status	Registration number	Completion date	Results posted	Results listed as published		Article had registration match	Published date	Type of SC	PMID	Disease
SC										
Completed	KCT0001429	8-2013	Ν	Y	Y (review)	Ν	8-2013	hNSPCs	Ν	HIBI
Completed	KCT0000879	12-2016	Ν	Ν	Y	Y	10-2015	hNSPCs	26568892	TSCI
Not yet recruiting (recruiting at clinicaltrials.gov)	KCT0000574	Anticipated 2-2016	Ν	Y	Y (protocol)	Ν	Ν	MSCs	24083670	IS
UCB										
Recruiting (completed at clinicaltrials.gov)	KCT0000950	Anticipated 8-201559 (completed 7-2017 at clinicaltrials. gov)	Ν	Ν	Ν	Ν	Ν	UCB	Ν	CP
Recruiting (completed at clinicaltrials.gov)	KCT0000483	Anticipated 12-2012 (completed 3-2013 at clinicaltrials.gov)	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	CP
Recruiting (completed at clinicaltrials.gov)	KCT0000445	Anticipated 5-2014 (completed 8-2013 at clinicaltrials.gov)	Ν	Ν	Ν	Ν	Ν	Allogeneic UCB	Ν	GDD

Table 2. Summary of clinical research information system website

CP: cerebral palsy, GDD: global development delay, HIBI: hypoxic-ischemic brain injury, hNSPCs: human neural stem/precursor cells, IS: ischemic stroke, MSCs: mesenchymal stem cells, N: no, SC: stem cells, TSCI: traumatic spinal cord injury, UCB: umbilical cord blood. Y: yes.

Stem cell transplantation

Eight of the 150 articles identified as being related to SC transplantation were related to neurological issues.^{12,13,16-18,23-25} Four articles had registered trial information, of which one¹² matched a CRIS trial (KCT0000879) and three^{13,16,23} matched clinicaltrials.gov trials (NCT01363401, NCT02983708, and NCT01528436). Two of the articles matching clinicaltrials. gov trials (NCT01363401 and NCT01528436) were found during our search of the registry databases. We found an additional article¹⁹ related to SC therapy from the references of one article,¹⁸ but this additional article did not include trial registry information.

Bone marrow

Only 1 of the 20 articles identified as being related to bone marrow was related to neurological issues.²⁰ This article did not have a registration number listed and was not matched to any registered trial identified in our search.

Mesenchymal stem cell transplantation

Only 1 of the 22 articles identified as being related to mesenchymal SC transplantation was related to neurological issues.²² This article had a registration number listed and was matched to a clinicaltrials.gov trial (NCT00911365); however, the trial did not list that article in its published results.

Fetal blood

Two of the four articles identified as being related to fetal blood were related to neurological issues.^{14,27} One article¹⁴ had a registration number listed and was matched to a clinicaltrials.gov trial (NCT01193660); however, the trial did not list that article in its published results.

From registries

There were three additional articles^{15,21,26} linked to relevant trials from the registries that were not found in the search of the PubMed using MeSH search terms; however, one was a review article²¹ and one was a protocol.²⁶

DISCUSSION

In order to identify reporting or publication bias, we examined whether there are reported results and published articles for registered clinical trials. We found that many registered

Table 3. Summary of PubMed

Search term and reference	Published date	Article lists registration number	Registered trial number	Type of SC	Disease
Stem cell transpla	antation				
13	6-2015	Y	NCT01363401	Autologous BM-MSCs	ALS
17	10-2014	Ν	N/A	Autologous MSCs	ALS
18	3-2016	Ν	N/A	Autologous MSCs	SCI
19	5-2012	Ν	N/A	Autologous MSCs	SCI
12	10-2015	Y	KCT0000879	hNSPCs	SCI
23	1-2017	Y	NCT02983708	Autologous mobilized peripheral blood mononuclear cells	CP
16	10-2015	Y	NCT01528436	UCB	CP
24	6-2010	Ν	N/A	Autologous MSCs	IS
25	5-2008	Ν	N/A	MSCs	MSA
Fetal cord blood					
27	3-2012	Ν	N/A	Autologous CB	CP
14	3-2013	Y	NCT01193660	Allogeneic UCB	CP
Bone marrow					
20	8-2007	Ν	N/A	Autologous hBMCs	SCI
Mesenchymal ste	m cell transplar	ntation			
22	7-2012	Y	NCT00911365	Autologous MSCs	MSA
From the clinical	research inform	ation system webs	ite or clinicaltrials.go	V	
21	8-2013	Ν	KCT0001429	N (review article)	lschemic brain injury
26	10-2013	Y	KCT0000574	N (protocol paper)	Stroke
15	6-2014	Y	NCT00911365	Autologous MSCs	MSA

ALS: amyotrophic lateral sclerosis, BM: bone marrow, CB: cord blood, CP: cerebral palsy, CRIS: clinical research information system, hBMCs: human bone marrow cells, hNSPCs: human neural stem/precursor cells, IS: ischemic stroke, MSA: multiple system atrophy, MSCs: mesenchymal stem cells, N: no, N/A: not available, SC: stem cells, SCI: spinal cord injury, UCB: umbilical cord blood, Y: yes.

trials listed as being completed did not have published results available on the registry databases. Many had passed the 12month time frame recommended by the WHO.²⁸ The reporting of study results, regardless of outcome, is essential to advancing research and ensuring the safety of participants in clinical trials. The timing of registration and results reporting is important for ensuring that timely results are accessible to researchers and also the general public. The WHO guidelines state that the main findings of clinical trials should be submitted for publication in a peer-reviewed journal within 12 months of study completion and be published in an open-access format unless there is a specific reason why open access cannot be used. However, the present study has revealed that many trials do not follow these guidelines—half of the published articles did not have registered trial information available.

Furthermore, discrepancies were identified between the information for one of the trials (NCT00911365) and its linked articles.^{15,22} The registered trial was listed as having a randomized, parallel-assignment, single-blinded design, while the articles linked to the study referred to it as having a randomized, double-blinded, placebo-controlled design. This type of discrepancy is very surprising given that the consistency of information on registries and publications is essential for facilitating the transparency necessary for better insight and understanding new developments.

In other countries, such as the US, certain steps have been taken by enacting the Clinical Trial Registration Policy of the International Committee of Medical Journal Editors, which mandates the registration of clinical trials prior to manuscript submission. However, a 30-month study that assessed the results of this policy on the completeness of registration, changes in data entries, inadequate data, and willingness of researchers to disclose information found that more control procedures are needed.²⁹ Another move toward transparency was the enactment of the US Food and Drug Administration Amendments Act in 2007 that requires sponsors of applicable trials to register and report summary results at clinicaltrials.gov. Although this intervention was designed to increase compliance with industry standards, a recent study showed that compliance with results reporting is generally poor, partly due to the presence of various extensions and exemptions.³⁰ These reports illustrate that considerable improvement is necessary.

In Korea, the KCDC established the CRIS with support from the MOHW in 2010. Although the registration of clinical trials is not mandatory in Korea, the MOHW requires MO-HW-funded clinical trials to be registered in the CRIS. The MFDS also recommends the registration of clinical trials after MFDS approval.¹¹ However, despite the MOHW issuing a regulation that requires clinical research funded by them to be registered, there is no official government legislation mandating this. Although the present study briefly examined the relationship between registration and publication, many issues need to be explored further in the field of SC in neurological problems. Analyzing the quality of registered data and publications on SC would be very helpful for determining the usefulness of data obtained in the relevant studies.

This study was subject to some limitations. Because we only analyzed registered trials, it is possible that some relevant trials were overlooked. Based on a study of CRIS reporting, we suspect that many trials regulated by government entities are not registered.11 Regarding the searches and data extraction performed in the present study, although words encompassing broad fields of study were used to maximize study inclusiveness, it is possible that not all relevant studies related to neurological disorders and SC were found. Furthermore, although we used what we deemed were the two most relevant registries for our search, it is possible that studies registered on other databases were missed. PubMed was the only database searched for publications, because it is the most popular engine used by the general public. We also searched the Web of Science after completing this study, but that did not identify any additional relevant publications.

The time needed to prepare publications on studies is an important factor, and so we categorized time frames of 17 and 26 months after the listed trial completion date as delayed and very delayed publication times. Considering that the WHO recommends a maximum postcompletion period of 12 months for submitting a publication, we considered our two time frames to be adequate. However, it is possible that trials conforming with our time frames were excluded due to publication delays.

In conclusion, SC-based therapies for treating neurological illnesses are currently being explored, but most remain unproven in the clinical setting. We have identified widespread underregistration and underreporting of SC clinical trials in Korea, and suspect that this, combined with the implied reporting bias, is not restricted to Korea. Considering the importance of SC research in human diseases, rigorous registration and reporting processes need to be implemented as soon as possible.

Conflicts of Interest _

The authors have no financial conflicts of interest.

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