

# Linear Regression Equations To Predict $\beta$ -Lactam, Macrolide, Lincosamide, and Fluoroquinolone MICs from Molecular Antimicrobial Resistance Determinants in *Streptococcus pneumoniae*

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**ABSTRACT** Antimicrobial resistance in *Streptococcus pneumoniae* represents a threat to public health, and monitoring the dissemination of resistant strains is essential to guiding health policy. Multiple-variable linear regression modeling was used to determine the contributions of molecular antimicrobial resistance determinants to antimicrobial MICs for penicillin, ceftriaxone, erythromycin, clarithromycin, clindamycin, levofloxacin, and trimethoprim-sulfamethoxazole. Training data sets consisting of Canadian S. pneumoniae isolates obtained from 1995 to 2019 were used to generate multiplevariable linear regression equations for each antimicrobial. The regression equations were then applied to validation data sets of Canadian (n = 439) and U.S. (n = 607 and n = 747) isolates. The MICs for  $\beta$ -lactam antimicrobials were fully explained by amino acid substitutions in motif regions of the penicillin binding proteins PBP1a, PPB2b, and PBP2x. Accuracies of predicted MICs within 1 doubling dilution to phenotypically determined MICs were 97.4% for penicillin, 98.2% for ceftriaxone, 94.8% for erythromycin, 96.6% for clarithromycin, 98.2% for clindamycin, 100% for levofloxacin, and 98.8% for trimethoprim-sulfamethoxazole, with an overall sensitivity of 95.8% and specificity of 98.0%. Accuracies of predicted MICs to the phenotypically determined MICs were similar to those of phenotype-only MIC comparison studies. The ability to acquire detailed antimicrobial resistance information directly from molecular determinants will facilitate the transition from routine phenotypic testing to whole-genome sequencing analysis and can fill the surveillance gap in an era of increased reliance on nucleic acid assay diagnostics to better monitor the dynamics of S. pneumoniae.

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*treptococcus pneumoniae* is a common Gram-positive microorganism of the human nasopharynx that can cause severe invasive pneumococcal diseases (IPDs), J such as bacteremia and meningitis. In this environment, exposure to other commensal bacteria, host immune responses, and sublethal antimicrobial levels increase selective pressures and favor the exchange of genetic material (1-4). The pneumococcal genome also contains numerous insertion sequences and other mobile genetic elements that facilitate the uptake of antimicrobial resistance determinants through horizontal recombination events (2, 5). Due to the ease with which S. pneumoniae can acquire novel antimicrobial resistance determinants, prompt detection of their emergence, dissemination, and dynamics through surveillance systems is essential to public health. The implementation of pediatric vaccination programs has been successful not only in lowering general incidence of IPD, but also by targeting serotypes associated with antimicrobial resistance, achieving a concurrent decrease in overall antimicrobial resistance (6, 7). The proliferation of antimicrobial-resistant isolates of nonvaccine serotypes, however, remains a major global health concern (8, 9).

The antimicrobial resistance mechanisms of *S. pneumoniae* have been extensively documented and with few exceptions can fully explain the observed antimicrobial phenotypes (10, 11).  $\beta$ -Lactam resistance has been attributed to genetic changes in the transpeptidase domains of penicillin binding proteins (encoded by *pbp1a*, *pbp2b*, and *pbp2x*), macrolide and lincosamide resistance to the presence of 23S rRNA methyl-transferases (encoded by *ermB* and *ermTR*), the ABC-efflux pump (encoded by *mefAE*), or the number of 23S rRNA alleles with point mutations in the peptidyltransferase loop of domain V, fluoroquinolone resistance due to genetic changes in quinolone resistance-determining regions (QRDR) of *gyrA* and/or *parC*, tetracycline resistance due to the presence of *cat*, and trimethoprim-sulfamethoxazole resistance caused by genetic mutations in *folA* and *folP* (10, 12–24).

Monitoring the dissemination and dynamics of antimicrobial resistance of S. pneumoniae has traditionally relied upon in vitro phenotypic susceptibility testing of bacterial cultures; however, the development of whole-genome sequencing and novel molecular-based techniques to determine antimicrobial resistance can increase efficiency and decrease the labor associated with screening and monitoring strains for surveillance purposes. A EUCAST subcommittee reviewed the existing data on the use of whole-genome sequencing for the prediction of antimicrobial resistance for a number of bacterial species (25). The committee concluded that there was a lack of studies on the utility of whole-genome sequencing for the prediction of antimicrobial resistance in antimicrobials used to treat S. pneumoniae infections. Multiple-variable linear regression modeling has been successfully used to accurately predict MICs of a variety of agents for Neisseria gonorrhoeae (26-28). In this study, we employ a computational statistical approach not only to directly determine antimicrobial MICs from molecular determinants, but also to determine the relative contribution of each determinant to the overall MIC and present simple mathematical equations that can be applied to determine penicillin, ceftriaxone, erythromycin, clarithromycin, clindamycin, levofloxacin, and trimethoprim-sulfamethoxazole MIC values for S. pneumoniae.

## RESULTS

Regression analysis indicated that the predicted MIC (MIC<sub>pred</sub>) for penicillin depended upon any alteration of the PBP1a-STMK, PBP1a-TSQF, PBP2b-SSNT, PBP2b-QLQPT, and/or PBP2x-LKSG motifs and specific alterations of PBP2x-STMK—SAFK and

PBP2x-KDA→EDT or KEA. No isolates were found in the training or validation data sets to have modifications of the PBP1a-SSN, PBP1a-KTG, PBP2b-SVVK, PBP2b-KTG, or PBP2x-SSN motifs (Table 1).

Equation 1 shows a penicillin MIC regression model:

penicillin MIC (mg/L) =  $2^{[round(-4.61+(1.547 \times PBP1a(motif 1) - STMK \rightarrow any)+(0.949 \times PBP1a(motif 4) - TSQF \rightarrow any)+(1.202 \times PBP2b(motif 2) - SSNT \rightarrow any)+(0.356 \times PBP2b(motif 3) - QLQPT \rightarrow any)+(1.626 \times PBP2x(motif 1) - STMK \rightarrow SAFK)+(1.548 \times PBP2x(motif 3) - KDA \rightarrow EDT)+(0.680 \times PBP2x(motif 3) - KDA \rightarrow KEA)+(0.753 \times PBP2x(motif 4) - LKSG \rightarrow VKSG))]$ 

(1)

where each molecular determinant has a value of 1 if present or 0 if absent.

The molecular determinants having the largest effect on penicillin MIC were modifications to the PBP2x-STMK $\rightarrow$ SAFK, PBP2x-KDA $\rightarrow$ EDT, and PBP1a-STMK $\rightarrow$ SAMK/ SSMK amino acid motifs producing an adjusted  $R^2$  value of 0.893 (see Table S1 in the supplemental material). The PBP2x-KDA→EDT motif with two amino acid changes had a regression coefficient over two times that of the PBP2x-KDA→KEA motif, corresponding to a 2-fold increased contribution to the MIC<sub>pred</sub> increment value. The accuracy of the resultant penicillin MIC<sub>pred</sub> values calculated from the regression equation within 1 doubling dilution to the overall phenotypically determined MIC (MIC<sub>pheno</sub>) values of the Canadian and U.S. data sets was 97.4% (1,748/1,794), with a sensitivity and specificity of 92.8% and 96.5%, respectively (Table 2). There were four (4/1,354 [0.3%]) very major interpretative errors (VMEs; i.e., predicted susceptible but phenotypically resistant) seen in the U.S. data sets, with MIC<sub>pred</sub> values of  $\leq$ 0.03 mg/liter but MIC<sub>pheno</sub> values ranging from 2 to 8 mg/liter. Alignments of *pbp1a*, *pbp2b*, and *pbp2x* genes from these isolates did not reveal any other major nucleotide differences from other susceptible strains to explain the discrepancy.

Ceftriaxone MIC regression modeling resulted in fewer molecular determinants where there were no contributions to MIC<sub>pred</sub> from PBP2b motif changes, with only the PBP1a-STMK, PBP2x-STMK, PBP2x-KDA, and PBP2x-LKSG motifs having an influence, with an adjusted  $R^2$  value of 0.72 (see Table S3 in the supplemental material). The PBP2x-STMK $\rightarrow$ SAFK motif had the greatest magnitude, with a regression coefficient of 2.7, twice that of modifications to next most influential motif, PBP1a-STMK, which had a regression coefficient of 1.3.

Equation 2 shows the ceftriaxone MIC regression model:

ceftriaxone MIC(mg/L) = 
$$2^{[round (-2.709+(1.25 \times PBP1a (motif 1) - STMK \rightarrow any) +(2.72 \times PBP2x (motif 1) - STMK \rightarrow SAFK) + (2)$$
  
(0.76 × PBP2x (motif 3) - KDA  $\rightarrow$  EDT) + (0.989 × PBP2x (motif 4) - LKSG  $\rightarrow$  VKSG))]

where each molecular determinant has a value of 1 if present or 0 if absent.

The MIC<sub>pred</sub> for ceftriaxone had an overall accuracy of 98.2% to 1 doubling dilution of the MIC<sub>pheno</sub> of the combined validation data sets. The specificity (measure of susceptibility) was 96.7%, and sensitivity (measure of resistance) was 97.2%. The relatively large number of minor (MI) errors for both penicillin (n = 121 [6.7%]) and ceftriaxone (n = 124 [6.9%]) were due to a large number of MIC<sub>pred</sub> values within 1 doubling dilution of the intermediate resistance interpretative breakpoints and the very broad CLSI intermediate resistance interpretative breakpoint range for penicillin, covering 3 doubling dilutions from 0.125 to 1 mg/liter.

TABLE 1 Distribution of penicillin MICs and penicillin binding protein motif profiles of isolates in the multiple-variable linear regression	
training data set <sup>a</sup>	

			No. of	isolates v	vith penicil	lin MIC (m	ng/L) of:				
PBP1a motifs	PBP2b motifs	PBP2x motifs	0.03	0.06	0.125	0.25	0.5	1	2	4	8
SSMK/WT/WT/NTGY	WT/SSNA/AIDTK/WT	SAFK/WT/KEA/VKSG								2	2
SSMK/WT/WT/NTGY	WT/ <b>SSNA/AIDTK</b> /WT	SAMK/WT/EDT/VKSG					1	2	7	17	6
SSMK/WT/WT/NTGY	WT/SSNA/AIDTK/WT	SAMK/WT/EDA/ <b>VKSG</b>								1	
SAMK/WT/WT/NTGY	WT/ <b>SSNA/TVDTK</b> /WT	SAMK/WT/ <b>KEA/VKSG</b>								1	
SAMK/WT/WT/NTGY	WT/SSNA/AIDTK/WT	SAMK/WT/ <b>KEA/VKSG</b>							1	1	
SAMK/WT/WT/NTGY	WT/ <b>SSNA</b> /WT/WT	SAMK/WT/ <b>KEA/VKSG</b>					1	8	28	6	
SSMK/WT/WT/NTGY	WT/ <b>SSNA/SVESK</b> /WT	SAMK/WT/ <b>KEA/VKSG</b>							1		
SSMK/WT/WT/NTGY	WT/SSNA/WT/WT	SAMK/WT/ <b>KEA/VKSG</b>			1		3	11	11	1	
SSMK/WT/WT/NTGY	WT/ <b>SSNA</b> /WT/WT	SAMK/WT/EDT/VKSG							1		
SAMK/WT/WT/NTGY	WT/ <b>SSNA</b> /WT/WT	WT/WT/WT/WT		1		1	4				
SAMK/WT/WT/NTGY	WT/SSNA/SVESK/WT	WT/WT/WT/WT					1				
SAMK/WT/WT/NTGY	WT/SSNA/SVESK/WT	WT/WT/EDA/ <b>VKSG</b>				1					
SAMK/WT/WT/NTGY	WT/ <b>SSNA</b> /WT/WT	SPMK/WT/WT/WT			1						
SSMK/WT/WT/NTGY	WT/SSNA/AIDTK/WT	SAMK/WT/ <b>KEA/VKSG</b>					1				
SSMK/WT/WT/NTGY	WT/ <b>SSNS</b> /WT/WT	SAMK/WT/ <b>KEA/VKSG</b>					1				
SSMK/WT/WT/NTGY	WT/WT/WT/WT	SAMK/WT/ <b>KEA/VKSG</b>				1					
SSMK/WT/WT/NTGY	WT/ <b>SSNA</b> /WT/WT	WT/WT/WT/WT					1				
WT/WT/WT/ <b>NTGY</b>	WT/ <b>SSNA</b> /WT/WT	SAMK/WT/ <b>KEA/VKSG</b>				2	4		1		
WT/WT/WT/ <b>NTGY</b>	WT/ <b>SSNA/SVETK</b> /WT	SPMK/WT/WT/WT					1				
WT/WT/WT/ <b>NTGY</b>	WT/ <b>SSNA</b> /WT/WT	WT/WT/WT/WT		2	4	16	4				
WT/WT/WT/ <b>NTGY</b>	WT/ <b>SSNA/SVESK</b> /WT	WT/WT/WT/WT				1					
WT/WT/WT/ <b>NTGY</b>	WT/ <b>SSNA/SVESK</b> /WT	WT/WT/EDA/ <b>VKSG</b>				3					
WT/WT/WT/ <b>NTGY</b>	WT/ <b>YSSN/QQLQP</b> /GKT	WT/WT/WT/ <b>VKSG</b>				1					
WT/WT/WT/ <b>TSQY</b>	WT/YSSN/QQLQP/GKT	WT/WT/WT/WT				1					
WT/WT/WT/ <b>TSQY</b>	WT/SSNA/SVESK/WT	WT/WT/WT/WT				1					
WI/WI/WI/TSQY	W1/ <b>SSNA</b> /W1/W1	SAMK/W1/W1/W1				1					
WT/WT/WT/ <b>TSQY</b>	WT/ <b>SSNA/SVESK</b> /WT	SAMK/WT/WT/WT			1						
WT/WT/WT/ <b>NTGY</b>	WT/WT/WT/WT	WT/WT/WT/WT			1						
WT/WT/WT/ <b>NTGY</b>	WT/WT/WT/WT	WT/WT/ <b>KEA</b> /WT			1						
WT/WT/WT/ <b>NTGY</b>	WT/WT/WT/WT	SAMK/WT/WT/WT	1	19	1						
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	SAMK/WT/ <b>KEA/VKSG</b>				2					
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	WT/WT/WT/ <b>VKSG</b>				1					
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	WT/WT/ <b>KEA</b> /WT		4	16	6					
WT/WT/WT/WT	WT/ <b>SSNA/TVDTK</b> /WT	WT/WT/WT/WT			1	1					
WT/WT/WT/WT	WT/ <b>SSNA/SVESK</b> /WT	WT/WT/WT/WT		2	9	3					
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	SAMK/WT/WT/WT	2	9	12						
WT/WT/WT/WT	WT/ <b>SSNA/SVESK</b> /WT	SAMK/WT/WT/WT		1	1						
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	WT/WT/KAA/WT		6	3						
WT/WT/WT/WT	WT/ <b>SSNA</b> /WT/WT	WT/WT/WT/WT		1	3						
WT/WT/WT/WT	WT/WT/WT/WT	WT/WT/ <b>KEA/VKSG</b>	1	1							
WT/WT/WT/WT	WT/WT/WT/WT	WT/WT/KET/ <b>VKSG</b>	3	1	1						
WT/WT/WT/WT	WT/WT/WT/WT	WT/WT/ <b>KEA</b> /WT		1							
WT/WT/WT/WT	WT/WT/WT/WT	SAMK/WT/KAA/WT	4	1							
WT/WT/WT/WT	WT/WT/WT/WT	SAMK/WT/WT/WT	2	1							
WT/WT/WT/WT	WT/WT/WT/WT	WT/WT/WT/WT	279	191	8	2	1				
Total			292	241	64	44	23	21	50	29	8

<sup>a</sup>Unaltered "wild-type" (WT) penicillin binding protein (PBP) motifs correspond to *S. pneumoniae* R6 (NCBI accession no. AE007317.1: 332863 to 335022, 1494216 to 1496273, and 302261 to 304513; locus tags spr0329, spr1517, and spr0304, respectively). PBP1a represents STMK/SSN/KTG/TSFQ, with amino acid start positions at 370/446/557/574, PBP2b represents SVVK/SSNT/QLQPT/KTG, with start positions at 386/443/565/615, and PBP2x represents STMK/SSN/KDA/LKSG, with start positions at 337/395/505/546 (Fig. 3). Bold font indicates motifs that significantly contribute to the predicted penicillin MIC value through regression analysis.

(3)

(4)

Equation 3 shows the erythromycin MIC regression model:

erythromycin MIC (mg/L) = 
$$2^{[round (-2.934+(2.877 \times 23S rRNA - A2059G)+(1.080 \times 23S rRNA - C2611T)+(9.482 \times ermB)+(5.540 \times mefAE)+(1.357 \times mefAE promoter)+(0.707 \times mefAE intergenic))]$$

where *ermB* and *mefAE* molecular determinants have a value of 1 or 0 if present or absent, respectively, "23S rRNA-A2059G" and "23S rRNA-C2611T" are the number of alleles with the point mutation present, and "*mefAE* promoter" and "*mefAE* intergenic" have a value of 1 or 0, corresponding to the presence or absence of the -364T substitution or the 99-bp deletion in the intergenic region between *mefE* and *mel*, respectively.

Seven isolates within the validation data sets possessed a predicted dysfunctional *ermB* gene and were considered *ermB* negative for regression analysis calculations. Specifically, an ErmB-G41E amino acid substitution was found in five isolates, ErmB-G41K was detected in one isolate, and an *ermB* adenosine nucleotide deletion at position 629 creating a pseudogene was observed in two isolates (see supplemental validation Data Set S12 in the supplemental material).

The MIC<sub>pred</sub> for erythromycin was greatly influenced by the presence of *ermB* (coefficient = 9.5), *mefAE* (coefficient = 5.5), and the A2059G point mutation of 23S rRNA (coefficient = 2.9 for each mutated allele), with lesser contributions from the C2611T 23S rRNA mutation, *mefAE*-346T mutation, and 99-bp intergenic deletion, to achieve an adjusted  $R^2$  value of 0.96 (see Table S4 in the supplemental material). Although the coefficient value for the 23S rRNA-A2059G mutation is relatively low compared to those of the *ermB* or *mefAE* determinants, when all four alleles carry the mutation, the magnitude of the coefficient increases considerably to 12. The erythromycin MIC<sub>pred</sub> had an overall accuracy of 94.8% (1,215/1,281) within 1 doubling dilution of the erythromycin MIC<sub>pheno</sub> of the validation data sets and 98% sensitivity and specificity.

Equation 4 shows the clarithromycin MIC regression model:

clarithromycin MIC (mg/L) = 
$$2^{[round (-4.984+(1.819 \times 23S rRNA - A2059G)+(1.246 \times 23S rRNA - C2611T)+(10.820 \times ermB)+(5.577 \times mefAE)+(0.833 \times mefAE promoter)+(0.950 \times mefAE intergenic))]$$

where *ermB* and *mefAE* molecular determinants have a value of 1 or 0 if present or absent, respectively, "23S rRNA-A2059G" and "23S rRNA-C2611T" are the number of alleles with the point mutation present, and "*mefAE* promoter" and "*mefAE* intergenic" have a value of 1 or 0, corresponding to the presence or absence of the -364T substitution or the 99-bp deletion in the intergenic region between *mefE* and *mel*, respectively.

The regression equation for the clarithromycin  $\text{MIC}_{\text{pred}}$  had determinant coefficients similar to those for erythromycin, with *ermB*, *mefAE*, and the A2059G 23S rRNA point mutations contributing the most to the overall MIC, with values of 10.8, 5.6, and 1.8, respectively, resulting in an adjusted  $R^2$  value of 0.98 (see Table S5 in the supplemental material). Overall MIC accuracy within 1 doubling dilution was 96.6% (424/439), with 100% sensitivity and specificity.

Equation 5 shows the clindamycin MIC regression model:

clindamycin MIC (mg/L) = 
$$2^{[round (-2.815 + (0.456 \times 23S rRNA - A2059G) + (5) (9.048 \times ermB))]}$$
 (5)

where the ermB molecular determinant has a value of 1 if present or 0 if absent, and

		No. or % of	<sup>i</sup> isolates m	atching Ml0	C dilution	of <sup>b</sup> :								% of l interp	AIC iretive e	rrors <sup>d</sup>
Antimicrobial	Data set <sup>a</sup>	No. >-2	No2	No. –1	No. 0	No. +1	No. +2	No. >2	% ±1	SENS (%)	SPEC (%) <sup>c</sup>	PPV (%)	^(%) VPV	Σ	ME	VME
Penicillin	Canada	0	-	21	405	11	-	0	99.5	100	7.66	97.5	100	2.3	0	0
	USA-1	4	4	38	451	91	12	7	95.6	87.8	97.2	94.6	93.3	8.1	0.3	0.5
	USA-2	2	с	75	458	198	6	2	97.9	94.2	87.7	96.1	82.6	8.2	0.1	0.1
	AII	7	8	133	1,314	300	22	6	97.4	92.8	96.5	95.8	93.6	6.7	0.1	0.2
Ceftriaxone	Canada	C	6	۲.	422	6	<del>, -</del>	C	99.3	100	98.8	68.8	100	[	С	С
	USA-1	2	14	20	535	43	2	·	98.5	98.8	95.3	76.0	99.8	7.2	0	0.2
	USA-2	ı <del>-</del>	. 9	18	586	122	1 -	· ~	97.2	96.5	96.5	91.0	98.7	10.0	0	0.1
	AII	£	12	43	1,543	174	14	4	98.2	97.2	96.7	85.2	99.5	6.9	0	0.1
Erythromycin	Canada	NA <sup>e</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	USA-1	18	8	13	488	2	0	5	94.2	91.2	97.5	81.3	98.9	0.7	2.2	0.9
	USA-2	14	15	70	601	41	ε	с	95.3	0.66	99.4	99.5	98.8	0.3	0.3	0.3
	All	32	23	83	1,089	43	e	8	94.8	98.1	98.3	97.1	98.9	0.5	1.1	0.6
Clarithromycin	Canada	2	-	10	368	46	5	7	96.6	100	100	100	100	0.2	0	0
	USA-1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	ΝA	NA
	USA-2	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Clindamycin	Canada	0	-	-	437	0	0	0	99.8	100	99.8	95.7	100	0.2	0	0
	USA-1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	ΝA	NA
	USA-2	13	ŝ	366	360	0	2	ŝ	97.2	97.8	97.7	93.3	99.3	0.7	1.7	0.4
	AII	13	4	367	797	0	2	e	98.2	98.1	98.6	93.6	9.66	0.5	1.1	0.3
Levofloxacin	Canada	0	0	38	389	11	0	0	100	100	100	100	100	0	0	0
	USA-1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	USA-2	0	0	42	702	č	0		100	100	100	100	100	0	0	0
	AII	0	0	79	1,091	14	0	0	100	100	100	100	100	0	0	0
Trimethoprim-	Canada	0	0	191	215	32	-	0	9.66	100	97.2	82.5	100	0.5	0	0
sulfamethoxazole	USA-1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	USA-2	0	-	121	511	101	11	2	98.1	95.8	97.8	96.5	97.4	4.8	0	0.3
	AII	0	-	312	726	133	10	2	98.8	96.5	97.5	94.0	98.6	4.0	0	0.2
Overall		57	49	1,027	6,928	710	56	33	97.8	95.8	98.0	94.2	98.6	3.4	0.3	0.2
<sup>a</sup> Canadian validation dati <sup>b</sup> Shown is the number of	a set, <i>n</i> = 439; L isolates with M	JSA-1 data set, <i>r</i> . IC <sub>ored</sub> and MIC <sub>or</sub>	<i>ו</i> = 607 (35); L neno values th.	JSA-2 data set at differ by th	;, <i>n</i> = 747 (1 e number o	0). of doubling d	ilutions indic	ated.								

TABLE 2 Accuracy between MICs determined by logistic regression of molecular antimicrobial resistance determinants and phenotypically determined MICs

<sup>c</sup>SENS, SPEC, PPV, and NPV represent sensitivity, specificity, positive predictive value, and negative predictive value, respectively.
<sup>c</sup>Shown are the percentages of isolates with minor (MI), major (ME), and very major (VME) interpretative errors for susceptibilities. Detailed totals of minor errors based on interpretative value combinations are available in Table 339 in the supplemental material.
\*NA, no phenotypic MIC available.

the value of "23S rRNA-A2059G" is the number of alleles with the point mutation present.

The regression model for clindamycin resistance included primarily the presence of ermB and a minor contribution from the 23S rRNA-A2059G mutation and had an adjusted  $R^2$  value of 0.97 (see Table S6 in the supplemental material). The C2611T 23S rRNA mutation did not contribute to the model, as only one isolate was present in the training data carrying the mutation in all four alleles, yet only having a MIC<sub>pheno</sub> value of  $\leq$  0.125 mg/liter. This determinant was also rare in the validation data, present in two isolates of the USA-1 data set, for which the MIC<sub>pheno</sub> values were not available. This minimal complement of resistance determinants for clindamycin reflected the distribution of MIC<sub>pheno</sub> values observed in the training (see Table S12 in the supplemental material) and validation data sets (see Tables S26 and S27 in the supplemental material), where MICs are polarized with extremely low or very high values. In the USA-2 data set, which had a maximum clindamycin MIC<sub>pheno</sub> value of 2 mg/liter, 0.74% of the isolates had MIC<sub>pheno</sub> values between 0.25 and 2 mg/liter, and of the 439 isolates in the Canadian validation data set, where testing included dilutions up to ≥64 mg/liter, there were no  $MIC_{pheno}$  values in the range from 0.5 to 16 mg/liter observed. There was a 98.2% (1,164/1,186) overall accuracy between MIC<sub>pred</sub> and MIC<sub>pheno</sub> values, with over 98% specificity and sensitivity.

Equation 6 shows the levofloxacin MIC regression model:

$$\begin{split} & \text{levofloxacin MIC } (\text{mg/L}) = 2^{\text{[round } (-0.218 + (2.028 \times \text{GyrA} - \text{S81F}) + (1.564 \times \text{GyrA} - \text{S81Y}) + (3.564 \times \text{GyrA} - \text{S81L}) + (1.654 \times \text{ParC} - \text{S79 any}) + (0.834 \times \text{ParC} - \text{D83 any}))] \end{split}$$

where each molecular determinant has a value of 1 if present or 0 if absent.

The MIC<sub>pred</sub> for levofloxacin was predominantly dependent upon GyrA amino acid mutations S81L (n = 2) and S81F (n = 16), having regression coefficients of 3.6 and 2.0, respectively. Other determinants contributing to a lesser extent to the overall MIC<sub>pred</sub> values included the GyrA-S81Y mutations (n = 1) and any mutations at ParC-S79 or ParC-D83. The adjusted  $R^2$  value of 0.460 (see Table S7 in the supplemental material) was very low for the model, likely due to very small number of isolates with resistance determinants and corresponding MIC<sub>pheno</sub> values of  $\geq$ 8 mg/liter (n = 11) compared to the very large number of isolates with no determinants and MIC<sub>pheno</sub> values of  $\leq$ 2 mg/ liter (n = 942) within the training data. Despite the low adjusted  $R^2$  value and only two phenotypically levofloxacin-resistant isolates in the validation data, the accuracy of MIC<sub>pred</sub> values compared to MIC<sub>pheno</sub> values within 1 doubling dilution approached 100% (1,185/1,186), with 100% sensitivity and specificity. One isolate was missing the open reading frame corresponding to the *gyrA* gene in the genome assembly.

Equation 7 shows the trimethoprim-sulfamethoxaxole MIC regression model:

trimethoprim – sulfamethoxazole (MIC mg/L) = 
$$2^{1}$$
 [round (-2.250+  
(1.600 × FolA – I100L)+  
(2.526 × FolP disruption))] (7)

where each molecular determinant has a value of 1 if present or 0 if absent.

Regression modeling for trimethoprim-sulfamethoxazole resistance indicated that disruption of FoIP had a regression coefficient of 2.5, suggesting a larger influence on overall MIC<sub>pred</sub> than the 1100L FoIA determinant, which had a coefficient of 1.6. The adjusted  $R^2$  value was 0.798 (see Table S8 in the supplemental material) for the model, and there was 98.8% overall accuracy of MIC<sub>pred</sub> and MIC<sub>phenor</sub>, with a specificity of 97.5% and sensitivity of 96.5%.

The absence or presence of a single molecular determinant for chloramphenicol, doxycycline, and tetracycline resistance was used to assign a MIC<sub>pred</sub> value as less than

or greater than the susceptible or resistant interpretation breakpoint, rather than using multiple-variable linear regression analysis. The presence of the *cat* gene was associated with a  $MIC_{pred}$  value of  $\geq 8$  mg/liter (see Table S15 in the supplemental material), which resulted in 99.8% accuracy with corresponding MIC<sub>pheno</sub> values, with sensitivity, specificity, positive predictive value (PPV), and negative predicted value (NPV) of 53.3%, 100%, 100%, and 98.5%, respectively. The low sensitivity can be attributed to the very abrupt resistance breakpoint between susceptible and resistant at  $\leq 4$  mg/liter and  $\geq$ 8 mg/liter resulting in a relatively large number of possible phenotyping errors that were phenotypically resistant strains (n = 21) without the *cat* gene among a relatively low overall number of phenotypically resistant isolates (n = 45). Similarly, tetracycline and doxycycline MICs were determined solely by the presence of tetM (tetO was not detected in this study), giving a  $MIC_{pred}$  value for tetracycline of  $\geq 8$  mg/liter and a value for doxycycline of  $\geq$ 4 mg/liter (see Tables S16 and S17 in the supplemental material). The accuracy, sensitivity, specificity, positive predictive value, and negative predictive value for tetracycline MIC<sub>pred</sub> were 97.6%, 96.2%, 98.1%, 94.1% and 98.8%, those for doxycycline were 98.4%, 95.1%, 99.5%, 95.1% and 99.5%, respectively.

## DISCUSSION

Multiple-variable linear regression analysis is a relatively simple, yet powerful tool to determine the dynamics of a specific variables among a complex series of other factors. Determinants of interest affecting the MIC were identified for each antimicrobial by summarizing the phenotypic MIC values and molecular determinant profiles (Table 1; see Tables S9 to S17 in the supplemental material), and the regression model was optimized by removing, combining, and adding back individual factors while examining the effect on the regression model metrics. This analytical strategy has been successfully used to validate predicted MICs from whole-genome sequence data of *N. gonorrhoeae* (26–28). In this study, multiple-variable linear regression modeling of molecular antimicrobial resistance determinants accurately predicted the MIC values for the  $\beta$ -lactam, macrolide, lincosamide, fluoroquinolone, and folate pathway inhibitor antimicrobials investigated. There was 98% (range, 94.2 to 100%) overall accuracy between the predicted and phenotypically derived MIC values, with 96% (range, 87.8 to 100%) sensitivity and 98% (range, 87.7 to 100%) specificity.

Resistance to  $\beta$ -lactam antimicrobials in *S. pneumoniae* is associated with changes to the transpeptidase domains of penicillin binding proteins PBP1a, PBP2b, and PBP2x, with particular focus on three amino acid motifs in each protein (24). It has been suggested that changes in PBP2b and PBP2x provide low-level resistance, while high level resistance is achieved with additional changes to PBP1a (29). Although increased resistance to  $\beta$ -lactams caused by altered PBP amino acid motifs has been extensively reported, multiple-variable linear regression analysis identified another possible amino acid motif in each protein that may contribute to overall MIC levels and was able to predict the relative contribution of each mutation to the overall MIC. Multiple-variable linear regression modeling for predicting penicillin MICs identified any changes to two motifs of PBP1a and PBP2b and specific changes to three motifs of PBP2x as significantly contributing to the MIC. The ceftriaxone MIC regression model was simpler, lacking the PBP2b motifs as contributing factors. Penicillin and ceftriaxone models included any changes to the PBP1a-STMK motif and PBP2x-STMK→SAFK, PBP2x-KDA→EDT, and LKSG – VKSG specific motif changes. The regression coefficients for these shared determi-which had a 2-fold greater effect on ceftriaxone MICs, reflecting the importance of this mutation to overall resistance reported in other studies (10, 24). The regression models for predicting  $\beta$ -lactam MICs had 98% accuracy to those derived phenotypically, with 0.1% major interpretative errors and 0.2% very major errors. The relatively large number of minor interpretative errors in both penicillin and ceftriaxone MICs could be due to a large number of MIC<sub>pred</sub> values within 1 doubling dilution of the intermediate CLSI resistance interpretative breakpoints, which are very broad for penicillin, covering 3 doubling dilutions from 0.125 to

1 mg/liter. These findings are similar to those from a previous study, which used PBP allelic profiles as a PBP type library to associate phenotypic MICs with specific alleles, giving a similar 98% accuracy within 1 doubling dilution of the phenotypic MIC, with major and very major interpretative errors slightly larger at 3% and 2%, respectively (18).

The greatest contributor to the macrolide and lincosamide MICs was the presence of ermB, which had similar regression coefficients for erythromycin, clarithromycin, and clindamycin, corresponding to 9 to 11 doubling MIC increments. The mefAE coefficients for erythromycin and clarithromycin were also similar, with increment values of about 5 for each antimicrobial, contributing about half as much as ermB to the MIC<sub>pred</sub> values. The 23S rRNA-A2059G point mutation had regression coefficient values of 3 for each mutated allele for erythromycin and 2 for clarithromycin, but contributed much less to the clindamycin MIC, with a coefficient of only 0.5. The C2611T 23S rRNA resistance determinant contributed less than the A2059G determinant, with a value of about 1 for clarithromycin and erythromycin MICs; however, the C2611T mutation was not identified as a significantly contributing factor to increased clindamycin MICs. The G761T mutation, 364 nucleotides upstream (-364T) from the *mefE* start codon (Fig. 1), had a 2-fold greater influence upon the erythromycin predicted MIC than that for clarithromycin and had a similar influence to the 99-bp intergenic deletion between mefE and *mel*. Although the -364T mutation may be a considerable distance from the *mefE* start codon in the macrolide efflux genetic assembly to be located in the promoter region for mefE, there are a number of ATG start codons upstream before mefE, which may suggest that a small regulatory protein is located in this region. Accuracy with phenotypic MIC was best with clindamycin, with 98% accuracy, and both erythromycin and clarithromycin had accuracies of 95% and 97%, respectively, with sensitivities and specificities over 98% for all three antimicrobials. The USA-1 validation data set had an accuracy of 94% and a relatively low sensitivity of 91%, primarily due to 11 isolates with erythromycin MIC<sub>oheno</sub> values of  $\leq$  0.5 mg/liter, despite having *mefAE* or an intact ermB as the sole resistance determinant, which should result in a MIC<sub>pred</sub> value of  $\geq$ 8 mg/liter, suggesting possible phenotyping errors. Conversely, there were 5 isolates in this data set that lacked any known molecular determinants but were phenotypically erythromycin resistant, having MICs of  $\geq 1$  mg/liter. Screening the discrepant genomes with additional molecular antimicrobial resistance determinant guery tools ResFinder, ARG-ANNOT, and CARD (30-32) confirmed the genotypes. The observed discrepancies between molecular determinant profiles and expected resistance phenotypes may be due phenotypic reading errors, contamination, mislabeling, DNA sequencing errors, or possibly novel resistance mechanisms.

A single isolate in the training data set, and no isolates in the validation data sets, possessed the *ermTR* resistance determinant combined with *mefAE*. The single *ermTR*-positive isolate had MIC<sub>pheno</sub> values for erythromycin and clarithromycin of  $\geq$ 256 mg/liter and  $\geq$ 16 mg/liter, respectively, similar to other isolates having an *ermB mefAE* genotype. Additional data are required to perform adequate regression analysis for the *ermTR* resistance determinant; however, speculatively its contribution to overall MIC<sub>pred</sub> may be similar to that of *ermB*.

Fluoroquinolone resistance has been attributed to the GyrA-S81 and ParC-S79, -D83, and -N91 amino acid substitutions (10, 21). Regression modeling indicated that each of the three GyrA-S81F, -Y, and -L mutations had different contributions to the overall levofloxacin MIC, with the S81L mutation having about twice the effect of S81Y. Any mutation at ParC-D79 or -D83 significantly contributed the overall levofloxacin  $MIC_{pred}$ ; however, a D91 mutation was found in only a single isolate of the training data set, with a  $MIC_{pheno}$  value of 0.5 mg/liter (susceptible interpretation) and therefore did not contribute significantly during the modeling process. Despite have the lowest adjusted  $R^2$  value, the  $MIC_{pred}$  for levofloxacin had the best accuracy to  $MIC_{pheno}$  of all the antimicrobials analyzed, with accuracy to within 1 doubling dilution, all percentages of sensitivity and specificity of 100%, and no interpretive errors.

Molecular determinants for sulfamethoxazole-trimethoprim resistance include a

<b>Sequence ID</b> mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC11-2895-P SC19-2674-P	Inucleotide 627 of mega sequence AF274302.1 Inucleotide 627 of mega sequence AF274302.1 Asrcocrraceacacacacacacacacacacacacacacacacac	776 776 776 776 776
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	AAITIGATATAAAGATGATGGGGGGAACCGAAACTATGGCGCTCAATGGATAAGATAAGATAAGGCGGAATAAAGGCGGAATGATACGATGTGTGGGGAATTGTTGTGGGGAATTGTTGGTGGGGAACCGAAGGAATTGTTGGGGGAACCGAAGGAATTGGTGGGGGAACCGAAGGAATTGGTGGGGGAACCGAAGGAATTGGTGGGGGAACGGAATTGGTGGGGGAACCGAAGGAATTGGTGGGGGAACGAAGGAATTGGTGGGGGAACGGAAGGGGGGGG	926 926 926 926
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P SC11-22674-P mega_AF274302 SC11-3328-P	CGGTGAGGATATTGGTTATTAACTATAACTATAACTATTAACTATGTCTTTAATATAGGATATGTTTCCAAATTGTTAGGAGACCAAAAGGCCACATTGTGGAGATTTGGGATTATTGTGGATATTTTTTAGGCCTGCATTTTTTTGGCCTATAATGC GG. T	1076 1059 1059 1059 1059 1226 1226
SC11-1841-P SC11-2895-P SC19-2674-P	0.00	1209 1209 1209
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	TACCTTACAGAAAAAACAGGATCTIGGTCATTGGCTTCATTAGTAGGTTTTTTACCCTATGGGACCTGCCATTGGTGGGGCCGGGATGAGAAGAAGAAGAAGAAGAAGAATAATGGTGGCGGATTTAATTATC	1376 1359 1359 1359 1359
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	gcagcagtigetgerengenergenergangeractigtagetaceterengeangattanganagtattarecetaggeratiggaa.cagettarecetaggegetace	1526 1509 1509 1509 1509
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	CAGCTAACGAAATGGCCAGGCTATAGCTATAGCTATATGTTAGCTGGGGGGTTGGAGGGCTTATACTCGGGGGGGTTTAAATGCCATGGAGGTATTGGCATGGGGGGGG	1676 1659 1659 1659 1659
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	gtraccarttetracetragetratestagettragaaceaagtttegaaceaaggaggaggaggeteggegtteggegtteggetteggettgegtragegraceatatragegraceatatragegracetragegraceatatragegra	1826 1809 1809 1809 1809
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	TATATGCCAATCAATGCACTAATAACCATTAATAAGCATGGAACGCCTFTAATGCAATATCCAATACGGAAATTATGGAATGCTAGGAGGACTTATTAGGAAGAAGACTTATTAGGAAGACTTATTAGGAAGACTTATTAGGAAGACTTATTAGGAAGAAGAAGACTTATTAGAAGAAGAAGAAGAAGACTTATTAGGAAGACTTATTAGGAAGACTTATTAGGAAGACTTATTAGAAGAAGAAGAAGACTTATTAGGAAGACTTATTAGAGAAGACTTATTAGAGAAGAAGAAGACTTATTAGAGAAGAAGAAGAAGAAGAAGAAGACTTATTAGAAGACTTATTAGAGAAGAAGAAGAAGAAGAAGAAGAAGAAGAAGA	1976 1957 1957 1957 1957
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	GTATTACTAATAACAGTTCATTTTTTATAA19993A.CCAGTTTAGCGTTT0G39AATACTTCCTCCAAA193ATTTGTTAGTAGTTGTGTGGGAATAATGG397677777374360397677676767777	2126 2109 2109 2109 2109
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	TTTCAGGAGAAAATTAAGCCTGAATATTTTGTTTGGACGAGTAATATCATGCTATGGCTAATTGGGTTAATTCTTTGGTGAAATCGGGGAGAAATCGTTGGTTTTTACTATGGGAGGTATT TTTCAGGAGAAAATTAAGCCTGAATATTTTGGTGGACGTGATGATATCGTGGCGAGGTAATTGGGGGAAATTCTTTGGGGGGAAATCGTTGGTTTTTACTATGGGAGGTATT	2276 2259 2259 2259 2259
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	TTAATTATTGGCAATGGCAAATGATAAGGGTTAGGAAAATTAGAATTTAAAAAAAGGAATATTAGGGGGAATATTTAGGTAGGGAATATTGGCGGGAAGGGATTTGCCGGGGGGGG	2426 2409 2340 2340 2340
mega_AF274302 SC11-3328-P SC11-1841-P SC11-2895-P SC19-2674-P	ATGAAAGCATGCGATTATAGACAGGAGAAAATGTTATG	2576 2559 2559 2348 2348

**FIG 1** Alignment of representative DNA sequences of the macrolide efflux genetic assembly (mega) from *mefE* to *mel* of *Streptococcus pneumoniae* strains. The starting sequence position corresponds to position 627 of the mega sequence (GenBank accession no. AF274320). The start codons of *mefE* and *mel* are indicated. Sequence ID SC11-3328-P has a wild-type genotype and clarithromycin MIC of 2 mg/liter, SC11-1841-P has the -364T mutation and clarithromycin MIC of 4 mg/liter, SC11-2895-P has the 99-bp deletion in the intergenic region between *mefE* and *mel* and a clarithromycin MIC of 8 mg/liter.

			+						_			+		- +	
Seque	ence	<b>e</b> 1	60	170	18	0	190			200	2	10	4	220	
<u>TD</u>			+	+	+		+		_	+		+		-+	_
folP	R6	GATATCGGC	GGAGAATO	CGACTCG	GCCGGGC.	AGTAGC	TATGTTO	GAG	A	TAGAAG	<b>AG</b> GAAAT	CCAGC	GTGT	GTTCCA	١G
folP	1	GATATCGGT	GGAGAATO	CAACTCG	GCCAGGC.	AGTAGC	IGTAGC-	TATO	GTTAAGA	TAGAAG	AGGAAAT	CCAGC	GTGT	GTTCCA	G
folP	2	GATATCGGC	GGAGAAT	CGACTCG	GCCGGGA	AGTAGC	FATGTT-	(	GTTGAGA	TAGAAG	AGGAAAT	CCAGC	GTGT	GTTCCA	G
folP	3	GATATCGGT	GGAGAAT	CAACTCG	GCCGGGA	AGTAGC	TAT	TATO	GTTGAGA	TAGAAG	AGGAGAT	CCAGC	GTGT	GTTCCA	G
folP	4	GATATCGGC	GGAGAAT	CGACTCG	CCCGGGA	AGAA	AGTAGT-	TATO	GTTGAGA	TAGAAG	AGGAAAT	CCAGC	CGTGT	GTTCCA	G
folP	5	GATATCGGT	GGAGAATO	CGACTCG	GCCGGGA	AGTAGC	TATGTTO	GAGTATO	GTTGAGA	TAGAAG	AGGAAAT	CCAGC	GTGT	GTTCCA	G

**FIG 2** Alignment of representative *S. pneumoniae folP* DNA sequences showing insertion and deletion disruptions between nucleotide positions 151 and 226 in *folP* of *S. pneumoniae* R6 (GenBank accession no. NC\_003098.1: 267995 to 268966, locus tag spr0266). Disrupted *folP* sequences associated with reduced susceptibility to trimethoprim-sulfamethoxazole are identified in those sequences where the amino acid motif at FolP positions 66 to 68 differ from the wild-type motif "IEE." (Nucleotides corresponding to the motif are in bold.)

simple and well-documented mechanism involving a FoIA-I100L amino acid substitution and disruptions of *folP*, with a resistant phenotype observed when both determinants are present and intermediate when only one is present (10, 33, 34). MICs could be assigned simply as  $\geq$ 4/76 mg/liter (resistant) when both determinants are present, 1/19 mg/liter or 2/38 mg/liter (intermediate) when only one is present, or  $\leq$ 0.5/ 9.5 mg/liter (susceptible) when both determinants are wild type. A similar strategy can be used where the presence of *cat* in an isolate correlates with a chloramphenicol MIC<sub>pred</sub> value of  $\geq$ 8 mg/liter (resistant CLSI breakpoint), or *tetM* or *tetO* with a tetracycline MIC<sub>pred</sub> value of  $\geq$ 16 mg/liter. Regression analysis, however, offers insight into the relative magnitudes of each determinant, and in the future, the specific nucleotide insertions of *folP* may each be investigated to add further refinement to the predictive models to enhance the accuracy and precision of the MIC predictions. Comparison of the magnitudes of the regression coefficients indicates that the FolP disruptions (Fig. 2; see Table S8 in the supplemental material) have a greater influence on MIC than the FolA-I100L mutation.

There was low variability of MIC accuracy values between the validation data sets, suggesting the regression equations are robust and may be applied broadly across testing sites. A regression model developed for penicillin MICs from PBP types described by Metcalf et al. (10, 18, 35) as a simulated training data set (http://www.cdc .gov/streplab/mic-tables.html) generated a regression equation very similar to that attained using the Canadian training data (see Tables S1 and S2 in the supplemental material). The accuracy and precision of the predicted MICs may continually be improved over time, with larger, broader, and more current training data to address consistency of sampling, culturing methods, laboratory testing procedures, interpretation of phenotypic results, geographical variation, and the discovery of novel resistance determinants. Despite some discrepancies, the comparison of MIC<sub>pred</sub> to MIC<sub>pheno</sub> compares favorably to comparison studies of purely phenotypic results. A summary of an interlaboratory quality control program for pneumococcal serotyping and antimicrobial susceptibility testing involving reference laboratories participating in the International Circumpolar Surveillance program had an 97% overall accuracy of tests within 1 doubling dilution of the modal MIC, with erythromycin and clindamycin accuracies of 92% and 89%, respectively (36). Other quality assurance programs that have collated accuracy for phenotypic antimicrobial susceptibility testing included the Canadian National Gonococcal Antimicrobial Susceptibility Comparison Program (37), where the average MIC accuracy ranged from 85.6% to 98.9%, and a 2018 comparison of international antimicrobial proficiency panel results from various Caribbean and South American countries (38) reported an overall accuracy of >90% for some participants, while accuracy among other laboratories ranged from 60.0% to 82.4%.

Limitations of the study include that the accuracy and precision of the MIC prediction based on molecular determinants are largely limited by the training data used to generate the regression equations. The training data may include variability due to the subjective nature of phenotypic testing, where the same phenotypes may not always be observed on repeat testing, molecular resistance profile errors, and the possible

	10	20	30	40	50	60	70	80	90	100
BP1a BP2b BP2x	MNKPTILRLIKYLSI MRKENSHSIPIRLNL MKWTKRVIRYATKNE	SFLSLVI AA LFSI VI LLF KSPAENRRR	IVLGGGVFFY MTIIGRLLYM VGKSLSLLSV	YVSKAPSLSES QVLNKDFYEKI FVFAI FLVNF/	SKLVATTSSK KLASASQTKI AVI I GTGTRF	I YDNKNQLI AD TSSSARGEI YD GTDLAKEAKKV	DLGSERRVNAG DASGKPLVENT HQTTRTVPAK	ANDI PTDLVK LKQVVSFTRS RGTI YDRNGV	AI VSI EDHRF NKMTATDLKE PI AEDATSYN	FDHRG 10 TAKKL 10 NVYAVI 10
	110	120	130	140	150	160	170	180	190	200
BP1a BP2b BP2x	I DTI RI LGAFLRNLO LTYVSI SSPNLTERO DENYKSATGKI LYVE	QSNSLQGGSA QLADYYLADP EKTQFNKVAE	LTQQLI KLTY EI YKKI VEAL VFHKYLDMEE	FSTSTSDQTI S PSEKRLDSDGI SYVREQLSQPI	SRKAQEAWLA NRLSESELYN NLKQVSFGAK	I QLEQKATKQE NAVDSVQTSQL GNGI TYANMMS	I LTYYI NKVY NYTEDEKKEI I KKELEAAEV	MSNGNYGMQT YLFSQLNAVG YKGI DFTTSPN	AAQNYYGKDL NFATGTIATE IRSYPNGQFAS	NNLSL 20 DPLNDS 20 SSFIGL 20
	210	220	230	240	250	260	270	280	290	300
BP1a BP2b BP2x	PQLALLAGMPQAPNO QVAVIASISKEMPGI AQLHENEDGSKSLLO	YDPYSHPEA SISTSWORK STSGMESSLN	AQDRRNLVLS VLETSLSSIV SILAGTDGII	EMKNQGYI SAI GSVSSEKAGLI TYEKDRLGNI V	EQYEKÁVNTP PAEEAEAYLK VPGTEQVSQR	ITDGLQSLKSA KGYSLNDRVGT TMDGKDVYTTI	SNYPAYMDNY SYLEKQYEET SSPLQSFMET	LKEVI NQVEE LQGKRSVKEI QMDAFQEKVK	ETGYNLLTTO HLDKYGNMES GKYMTATLVS	GMDVYT 30 SVDTIE 30 SAKTGE 30
	310	320	330	340	350	360	370	380	390	400
BP1a BP2b BP2x	NVDQEAQKHLVDI YN EGSKGNNI KLTI DLA I LATTQRPTFDADTH	NTDEYVAYPDI AFQDSVDALLI KEGI TEDFVW	DELQVASTI V KSYFNSELEN RDI LYQSNYE	DVSNGKVIAQI GGAKYSEGVY/ PG <mark>STMK</mark> VMML/	_GARHQSSNV AVALNPKTGA AAAIDNNTFP	SFGI NQAVETN VLSMSGI KHDL GGEVFNSSELK	IRDWG <mark>STMK</mark> PI KTGELTPDSL I ADATI RDWD	TDYAPALEYG GTVTNVFVPG VNEGLTGGRM	SVYESTATIVI SVVKAATISS IMTFSQGFAH <mark>S</mark>	HDEPYN 40 SGWENG 40 SSNVGM 40
	410	420	430	440	450	460	470	480	490	500
BP1a BP2b BP2x	YPGTNTPVYNWDRGY VLSGNQTLTDQSI VF TLLEQKMGDATWLDY	FGNI TLQYA QGSAPI NSW LNRFKFGVP	L QQSRNVPAV YT QAYGSF PI T RF GL T DE YA	ETLNKVGLNR/ TAVQALEY <mark>SSI</mark> GQLPADNI VNI	AKTFLNGLGI NTYMVQTALG AQSSFGQGI	DYPSI HYSNAI LMGQTYQPNMF SVTQTQMI RAF	SSNTTESDKK VGTSNLESAN TAI ANDGVML	YGASSEKMAA NEKLRSTFGEY EPKFISAIYD	AYAAFANGGT GLGTATGI DL PNDQTARKSC	PDEST 50 CKELVG 50
	510	520	530	540	550	560	570	580	590	600
BP1a BP2b BP2x	YI HKVVFSDGSEKEF GFVPKEYSFANYI TN NPVS <mark>KDA</mark> ASLTRTNN	I SNVGTRAMKI IAFGQFDNYTI IVLVGTDPVY	ETTAYMMTDM PMQLAQYVAT GTMYNHSTGK	MKTVLSYGTGI I ANNGVRVAPI PTVTVPGQNV/	I RNAYLAWLPQ RIVEGIYGNN ALKSGTAQIA	AG <mark>KTG</mark> TSNYTD DKGGLGDLIQC DEKNGGYLVGL	DEELENHIKTS QLQPTEMNKVN TDYLFSAVSN	QFVAPDELFA IISDSDMSILH ISPAENPDFIL	I GYTRKYSMAN IQGFYQVAHGT YVTVQQPEHN	/WTGYS 60 ISGLTT 60 ISGIQL 60
	610	620	630	640	650	660	670	680	690	700
BP1a BP2b BP2x	NRLTPLVGNGLTVAA GRAFSNGALVSISG GEFANPILERASAM	L KVYRSMMTYI (TG <mark>TAESYVA</mark> I (DSLNLQTTAI	LSEGSNPEDW DGQQATNTNA KALEQVSQQS	I NI PEGLYRNGI VAYAPSDNPQI PYPMPSVKDI S	I EFVFKNGARS AVAVVFPHN SPGDLAEELR	TWSSPAPQQPP TNLTNGVGPSI RNLVQPIVVGT	I STESSSSSSE ARDI I NLYQK GTKI KNSSAE	L DSSTSQSSSTT CYHPMN. EGKNLAPNQC	PSTNNSTTTN	NPNNNT 70 68 EVPDMY 70
	710	720	730	740	750					
BP1a BP2b BP2y		QPAQP.								72 68 74

PBP2x GWTKETAETLAKWLNI ELEFQGSGSTVQKQDVRANTAI KDI KKI TLTLGD.

**FIG 3** Amino acid alignment of penicillin binding proteins PBP1a, PBP2b, and PBP2x showing wild-type motifs associated with  $\beta$ -lactam resistance. PBP1a, PBP2b, and PBP2x are protein sequences from *S. pneumoniae* R6 (NCBI accession no. AE007317.1: 332863 to 335022, 1494216 to 1496273, and 302261 to 304513); locus tags spr0329, spr1517, and spr0304;, respectively. Amino acid sequences in red boxes are motifs previously described, and those in blue were identified as significantly contributing to increased  $\beta$ -lactam MICs through linear regression analysis.

presence of as-yet-unidentified resistance factors. Rare resistance determinants need to be present in the training data in sufficient quantities to generate meaningful statistics. While using a large training data set to develop the regression model can resolve some discrepancies, some rare resistance patterns, such as very high  $\beta$ -lactam resistance, are reliant on the availability of a relatively small number of isolates with this phenotype. Furthermore, there may also be some rare resistance determinants that were not present or were present in insufficient numbers to significantly influence the regression model, such as some of the reported PBP motifs, ermTR, or the 23S rRNA point mutations. These limitations can be reduced by increasing the size of the training data with isolates from varied regions of the world and regularly updating the regression models with newly discovered factors and updated coefficient values for currently identified factors. The MIC prediction models described here can be easily regenerated using the molecular markers discussed in this study with local training phenotypic data sets, which may be more applicable to individual laboratory testing environments. This approach also directly identifies the magnitude of antimicrobial resistance determinants specifically contributing to overall MIC without the need for continual curation of allelic databases that infer MIC values.

There is a need for surveillance systems that not only closely track the dissemination of known resistant strains, but also promptly detect novel antimicrobial resistant clones as they emerge to limit their expansion. Over the short term, molecular-based methods may primarily be used for surveillance purposes. As molecular-based genomic techniques become more comprehensive and broadly available to track lineages, antibiotic resistance, and virulence and fitness determinants, the MIC predicting strategy described here may provide a powerful tool to replace traditional phenotypic testing in clinical settings. Mathematical modeling to describe biological systems can fill a surveillance gap in an era of increased reliance on nucleic acid assay diagnostics to monitor the dynamics of *S. pneumoniae*, and the ability to acquire detailed antimicrobial resistance information directly from molecular information will enhance the monitoring of the dynamics of *S. pneumoniae* to effectively inform public health interventions to reduce the burden of disease.

### **MATERIALS AND METHODS**

**Training and validation data sets and antimicrobial susceptibility testing.** Training data sets (see supplemental training Data Sets S1 to S11 in the supplemental material) consisted of *S. pneumoniae* isolates collected in Canada from 1995 to 2019 for national surveillance purposes that had both phenotypic antimicrobial susceptibilities as well as molecular characterization data available. Isolates for the penicillin (n = 772), ceftriaxone (n = 772), erythromycin (n = 324), clarithromycin (n = 847), clindamycin (n = 1,356), levofloxacin (n = 1,446), trimethoprim-sulfamethoxazole (n = 1,207), tetracycline (n = 573), doxycycline (n = 938), and chloramphenicol (n = 824) MIC training data sets were selected to provide a broad range of MICs and well-characterized antimicrobial resistance determinants. An additional simulated training data set (n = 4,339) for penicillin MICs was generated from PBP types described by Metcalf et al. (10, 18, 35; http://www.cdc.gov/streplab/mic-tables.html).

Validation data (supplemental validation Data Set D12) included 439 Canadian *S. pneumoniae* isolates collected during 2019 for which both phenotypic antimicrobial susceptibility and molecular characterization results were available, as well as data previously reported for 534 isolates from Massachusetts, USA, during 2001 to 2007 (USA-1 data set) (39) and 747 isolates collected through a study of the Active Bacterial Core surveillance (ABCs), Centers for Disease Control and Prevention, Atlanta, GA, USA, during 2015 (USA-2 data set) (10).

Testing on the training and validation data sets of Canadian and U.S. isolates of their susceptibility to penicillin, ceftriaxone, erythromycin, clarithromycin, clindamycin, levofloxacin, trimethoprim-sulfamethoxazole, tetracycline, doxycycline, and chloramphenicol was done using the broth microdilution method according to Clinical and Laboratory Standards Institute (CLSI) guidelines (40, 41). Oral penicillin V and meningitis ceftriaxone resistance breakpoint interpretations were used.

**Molecular analysis.** Molecular antimicrobial resistance determinants were identified *in silico* from wholegenome sequencing data by querying reference ("wild-type") gene nucleotide sequences against assembled contig files using BLAST (42), with the E value cutoff option set to 10e-100 and identifying relevant mutations or the presence or absence of the gene, as appropriate. Penicillin and ceftriaxone resistance determinants included changes to the "wild-type" amino acid SXXK, SXN, and KXG motifs in penicillin binding proteins PBP1a, PBP2b, and PBP2x from *S. pneumoniae* R6 (NCBI accession no. AE007317.1: 332863 to 335022, 1494216 to 1496273, and 302261 to 304513; locus tags spr0329, spr1517, and spr0304;, respectively) (14, 24). The wildtype PBP1a motifs STMK, SSN, and KTG had amino acid start positions 370, 446, and 557, respectively, the wildtype PBP2a motifs SVKK, SSN, and KTG started at positions 386, 443 and 615, respectively, and the wild-type PBP2x motifs STMK, SSN, and LKSG started at positions 337, 395, and 546, respectively. An additional motif for each protein was identified through sequence alignment analysis of previously wild-type PBP motif profiles with relatively high MIC values. Novel motifs identified included TSQF, starting at position 574 of PBP1a, QLQPT, starting at position 565 of PBP2b, and KDA, starting at position 505 of PBP2x, bringing the total number of motifs analyzed per protein to four (Fig. 3).

Macrolide and lincosamide resistance determinants included the presence or absence of *ermB* (NCBI accession no. AB426620.1: 4320 to 5057), *ermTR* (CP002121.1: 856174 to 856905), *mefAE* (CP000921.1: 1802511 to 1803728); a G761T nucleotide mutation of the macrolide efflux genetic assembly (mega) sequence of GenBank accession no. AF274320.1 located in the *mefAE* promoter region 364 bp upstream [-364T] of the start codon (Fig. 1) (43), a 99-bp deletion in the intergenic region between *mefE* and *mel* (Fig. 1) (43), and 235 rRNA-A2059G and -C2611T point mutations (*Escherichia coli* numbering, corresponding to A2061G and C2613T in *S. pneumoniae* R6 GenBank accession no. AE007317.1, respectively). Alleles of *ermB* with G41E, G41K, or L63Q amino acid substitutions or an adenosine nucleotide insertion at position 628 conferred a susceptible macrolide phenotype to the strains and therefore were given an *ermB*-negative genotype. The number of 235 rRNA allele mutations was determined by a custom SNVPhyl workflow (44) using a 235 rRNA allele of *S. pneumoniae* R6 (GenBank accession no. AE007317.1, locus tag sprr02) as a mapping reference and interrogating the allele counts at nucleotide positions 2061 and 2613 from the resultant variant call files (.vcf). By convention, the locations of the 235 rRNA nucleotide mutations are based on the *Escherichia coli* coordinates of A2059G and C2611T (19, 20), which correspond to A2061G and C2613T, respectively, of *S. pneumoniae* R6.

Tetracycline resistance markers included the presence of *tetM* (AB426620.1: 14972 to 16891) or *tetO* (FM178797.1: 66 to 1985), and the chloramphenicol resistance determinant in the presence or absence of the *cat* gene (ICE6BST90: 56517 to 57167). The presence of the GyrA amino acid substitution S81 (AE007317 .1: 1095463 to 1097931, locus tag spr1099) and ParC-S79, -D83, and -N91 substitutions (AE007317.1: 752250 to 754721, locus tag 0757) were analyzed as levofloxacin resistance determinants. Trimethoprim-sulfame-thoxazole resistance determinants included the FoIA-I100L (AE007317.1: 1412861 to 1413367) amino acid

substitution and disruptions of *folP* (AE007317.1: 268022 to 268966) by nucleotide insertions or deletions in the region spanning nucleotide positions 169 to 196 corresponding to amino acid positions 57 to 66 (Fig. 2). Disrupted *folP* DNA sequences were detected by changes of the conserved "IEE" translated FolP amino acid motif at positions 66 to 68 indicating a shift in the protein reading frame.

Multiple-variable linear regression analysis. Multiple-variable linear regression analyses (45) were performed using Microsoft Excel 2010 (version 14.0.7151.5001; Microsoft Corp.) to determine the relationship of the molecular antimicrobial resistance determinants contained in an isolate to the phenotypically determined MIC value (MIC<sub>pheno</sub>) for each antimicrobial as previously described (27). The doubling MIC<sub>oheno</sub> values were standardized to exact doubling dilutions (512, 256, 128, 64, 32, 16, 8, 4, 2, 1, 0.5, 0.25, 0.125, 0.0625, 0.03125, 0.015625, 0.0078125, 0.00390625, 0.001953125, and 0.000976563). The exact MICs were then converted to a linear increment scale using the formula phenotypic MIC increment =  $\log_2$  (standardized MIC) and used as the dependent variable in the regression analysis. Molecular markers were used as independent variables and with presence or absence represented by a value of 1 and 0, respectively, except for the 23S rRNA-A2059G and -C2611T variables, which corresponded to the number of alleles with a respective mutation. A regression model for each antimicrobial was built from a preliminary analysis that included all independent variables followed by stepwise removal of variables with relatively high individual P values of >0.05 and those causing little change in the adjusted coefficient of determination ( $R^2$ ) value (Tables S1 to S8). To simplify the regression equations, variables with multiple possible mutations for a single resistance determinant having similar regression coefficients were collapsed into a single combined variable if the new regression coefficient was similar to the initial separately derived coefficients. For example, two of the possible PBP1a mutations for the STMK motif combined as "SAMK or SSMK" ("any") had a similar coefficient value to each of the values calculated individually. Nonsignificantly contributing variables removed during the initial stepwise removal molecular determinants were then reintroduced to assess their contribution to the model.

An adjusted  $R^2$  value (95% confidence interval) of 0.0 to 0.1 was considered no correlation to very weak correlation, 0.2 to 0.4 was considered weak correlation, 0.5 to 0.7 was considered moderate correlation, 0.8 to 0.9 was considered strong correlation, and >0.9 was considered very strong correlation (27). Predicted MIC values (MIC<sub>pred</sub>) for each antimicrobial were calculated by first calculating the predicted MIC increment by summing the regression intercept and independent variable coefficients for each isolate, rounding fractional values up or down to the nearest whole integer and then converting this value back to a doubling MIC value using the formula predicted MIC value =  $2^{\text{predicted MIC increment}}$ . Individual *P* values of <0.05 for the independent variables at a confidence interval of 95% were considered significant.

Sensitivity (measure of resistance), specificity (measure of susceptibility), positive predictive value and negative predictive value for the MIC<sub>pred</sub> were based on the accuracy to traditional MIC<sub>pheno</sub> values: true positive (TP) having nonsusceptible (resistant or intermediate) predicted and phenotypic MICs, false negative (FN) having susceptible predicted MICs but nonsusceptible phenotypic MICs, true negative (TN) having susceptible predicted and phenotypic MICs, and false positive (FP) having a nonsusceptible predicted MICs but nonsusceptible phenotypic MICs, true negative (TN) having susceptible phenotypic MICs. Calculations were performed as follows: sensitivity (SENS) = TP/(FN + TP)  $\times$  100, specificity (SPEC) = TN/(FP + TN)  $\times$  100, positive predictive value = TP/(TP + FP), and negative predictive value = TN/(TN + FN) (46). Antimicrobial resistance interpretative errors were defined as minor error (MI) where the MIC<sub>pred</sub> corresponded to intermediate resistance and the MIC<sub>pheno</sub> corresponded to a resistant interpretation and the MIC<sub>pheno</sub> was susceptible, and very major error (VME) where the MIC<sub>pred</sub> was susceptible and MIC<sub>pheno</sub> was resistant.

### **SUPPLEMENTAL MATERIAL**

Supplemental material is available online only. SUPPLEMENTAL FILE 1, PDF file, 0.3 MB. SUPPLEMENTAL FILE 2, XLSX file, 0.6 MB. SUPPLEMENTAL FILE 3, XLSX file, 0.3 MB.

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