# Association between plasma levels of BDNF and the antisuicidal effects of repeated ketamine infusions in depression with suicidal ideation

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# Abstract

**Background:** This study is the first to examine the association between plasma levels of brainderived neurotrophic factor (BDNF) and the antisuicidal effects of repeated ketamine infusions in depressed patients with suicidal ideation.

**Methods:** Fifty-seven depressed patients with suicidal ideation received six ketamine infusions (0.5 mg/kg) during a 12 days period. Suicidality was measured with the Scale for Suicidal Ideations (SSI-part 1), item 10 of the Montgomery–Åsberg Depression Rating Scale (MADRS), and item 3 of the Hamilton Depression Rating Scale (HAMD) at baseline, 1 day after the first infusion (1 day), 1 day after the sixth infusion (13 days), and at 2 weeks after the last infusion (26 days). Plasma levels of BDNF were measured by enzyme-linked immunosorbent assay at baseline, 13 days, and 26 days.

**Results:** Overall, 46 (80.7%) depressed patients with suicidal ideation had an antisuicidal response at 13 days. Despite a significant reduction in suicidal symptoms over time, no changes in plasma levels of BDNF were found after ketamine treatment when compared with baseline. Correlation analysis showed that no significant association was observed between the plasma levels of BDNF and the changes in the severity of suicidal symptoms as measured by SSI-part 1, item 10 of the MADRS, or item 3 of the HAMD at 1 day, 13 days, and 26 days (all p < 0.05). **Conclusion:** Our results indicated that plasma levels of BDNF may not serve as a biomarker for determining the antisuicidal effects of six ketamine infusions in depressed patients with suicidal ideation.

Keywords: antisuicidal effects, BDNF, depression, ketamine, suicidal ideation

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# Introduction

Suicide is a substantial public health concern that accounts for more than 0.8 million deaths each year<sup>1</sup> and ranks as one of the three leading causes of death among individuals aged between 15 and 44 years.<sup>2</sup> A previous study found that suicide is prevalent among individuals suffering from some forms of psychiatric disorders, especially mood disorders.<sup>3</sup> Up to 66% of depressed patients suffer from suicidal ideation at some point, and depressed patients are approximately 20 times

more likely to die by suicide than the general population.<sup>1,4</sup> However, treatment strategies for depressed patients with acute suicidal ideation and behavior are limited.<sup>5,6</sup> Although numerous studies have found that serotonin and norepinephrine reuptake inhibitors,<sup>7</sup> selective serotonin reuptake inhibitors,<sup>8</sup> and mood stabilizers (e.g. lithium)<sup>9</sup> could effectively reduce suicidal ideation, these treatments generally take 2–4 weeks. Therefore, novel treatment approaches that could rapidly and effectively reduce suicidal ideation are Ther Adv Psychopharmacol

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urgently needed, particularly for patients with treatment-refractory depression (TRD).

Subanesthetic doses of the N-methyl-D-aspartate glutamate receptor antagonist ketamine have been found to lead to rapid and robust reductions in both depressive symptoms and suicidal ideation in depressed patients.<sup>10-13</sup> A single ketamine infusion at 0.5 mg/kg over 40 min demonstrated greater reductions in depressive symptoms and suicidal ideation than midazolam among depressed patients who had expressed clinically significant suicidal ideation within the past 24 h.13 After controlling for the improvement of depressive symptoms, the antisuicidal effects of a single ketamine infusion remained significant.<sup>10</sup> Repeated infusions of ketamine at subanesthetic doses have been increasingly utilized to extend the time to relapse,14,15 and Murrough et al. found that the median time to relapse after the completion of six ketamine infusions was 18 days.<sup>14</sup> Zhan et al. found that 49 (57.0%) depressed patients with suicidal ideation had a relief of suicidal ideation after the first ketamine infusion, and 56 patients (65.1%) experienced a relief of suicidal ideation after completing the last ketamine infusion.6 Although ketamine has been shown to be strongly associated with the relief of suicidal ideation for depressed patients, some depressed patients do not respond to single or repeated infusions of ketamine, and the reason is not clear.<sup>6</sup>

Numerous studies have examined the possible pretreatment predictors of the effects of ketamine in depressed patients. For example, high body mass index,<sup>16,17</sup> family history of alcohol dependence,16-18 baseline cognitive function,19 baseline left hippocampal volumes,20 early changes in kynurenine (KYN) and kynurenic acid/KYN,<sup>21</sup> and adiponectin<sup>22</sup> could predict the effects of ketamine in depressed patients. Neurotrophic factors, particularly brain-derived neurotrophic factor (BDNF), are implicated in the pathophysiology of depressed patients,23,24 suggesting that BDNF may be considered a biomarker of the antidepressant effects of ketamine. However, very few studies have explored the role of BDNF levels in the antisuicidal effect of a single ketamine infusion in depressed patients, and the existing findings are inconsistent.<sup>25,26</sup> Unfortunately, no studies have explored the relationship between plasma levels of BDNF and the antisuicidal effect of repeated ketamine infusions in depressed patients with suicidal ideation.

This study aims to examine the potential association between plasma levels of BDNF and ketamine's antisuicidal effects in depressed patients with suicidal ideation. We hypothesized that six ketamine infusions could increase plasma levels of BDNF in antisuicidal responders compared with antisuicidal non-responders, and plasma levels of BDNF would be associated with the severity of suicidal ideation after the last ketamine infusion.

## Methods

## Inclusion criteria and study procedure

Data analyzed in this study were derived from a clinical trial (registration number of Chinese Clinical Trial Registry: ChicCTR-OOC-17012239) that investigated the antidepressant and antisuicidal effects of adjunctive ketamine for depressed patients and was conducted from November 2016 to December 2017 in the Affiliated Brain Hospital of Guangzhou Medical University.<sup>15,27</sup> The detailed study design and clinical findings of this single-arm open-label trial have been published elsewhere.<sup>15,27</sup> Fifty-seven depressed patients aged between 18 and 65 years with suicidal ideation, defined as a score of  $\geq 2$  on the first five items of the Scale for Suicidal Ideations (SSI-part 1),<sup>5,28</sup> were treated by a thrice-weekly treatment regimen of ketamine intravenously infused at subanesthetic doses (0.5 mg/kg, each lasting 40 min) for 2 weeks. All subjects received antidepressant medications and continued to receive the same regimen and dosage of psychiatric medications during the study period. The enrolled depressed patients with suicidal ideation had no history of drug or alcohol abuse or major medical or neurological diseases. The severity of depressive symptoms was assessed using the 17-item Hamilton Depression Rating Scale (HAMD)<sup>29,30</sup> and the Montgomery-Åsberg Depression Rating Scale (MADRS).<sup>31,32</sup> This study was approved by the Affiliated Brain Hospital of Guangzhou Medical University Institutional Review Board and was conducted in accordance with the Declaration of Helsinki (Ethical Application Ref: 2016030).

## Antisuicidal response

Suicidal ideation was assessed by the SSI, the HAMD suicide item, and the MADRS suicide item at baseline, 1 day after the first infusion (1 day), 1 day after the sixth infusion (13 days),

and 14 days after the completion of six ketamine infusions (26 days). As recommended by previous studies,<sup>6,33</sup> an antisuicidal response to ketamine at 13 days was defined as a score of <2 on the SSI-part 1.

#### Plasma levels of BDNF

Plasma was collected from depressed patients with suicidal ideation at baseline, 13 days, and 26 days and stored at  $-80^{\circ}$ C. According to the manufacturer's instructions, a commercially available enzyme-linked immunosorbent assay kit (EMD Millipore Corporation, MA, USA) was used to examine plasma levels of BDNF. The contents of BDNF were determined using absorbance at a wavelength of 450 nm and optical density values following the standard curve values.

#### Statistical analysis

Data of the intent-to-treat (ITT) or modified ITT sample of 57 depressed patients with suicidal ideation were analyzed using SPSS 24.0 statistical software. Baseline sociodemographic and clinical characteristics and plasma levels of BDNF for each group (antisuicidal responders and nonresponders, as determined by the SSI-part 1 score at 13 days) were analyzed using the Mann-Whitney U test for non-normally distributed continuous data, Student's t-tests for normally distributed continuous data, the chi-squared test or Fisher's exact test for categorical data. Linear mixed models were used to assess the effects of repeated ketamine infusions on suicidality (SSIpart 1, item 3 of the HAMD, and item 10 of the MADRS) and on plasma levels of BDNF over time between responders and non-responders at 13 days. Correlation analyses were performed to examine the relationship between plasma BDNF levels and the antisuicidal effects of repeated ketamine infusions. In clinical practice, TRD patients with suicidal ideation commonly suffer from the more severe deleterious effects of delayed responses. Thus, an additional analysis was conducted focusing on TRD patients with suicidal ideation in this study. Statistical significance was set at p < 0.05 (two-tailed).

#### Results

A total of 57 depressed patients with suicidal ideation who provided a blood sample at baseline were enrolled in this trial. Among them, 77.2% (44/57) suffered from TRD. Plasma levels of BDNF at baseline ranged from 0.9 ng/ml to 27.0 ng/ml, with a mean value of 9.7 ng/ml. Sociodemographic characteristics, clinical characteristics and plasma levels of BDNF did not differ significantly between responders and non-responders at baseline (Table 1).

#### Antisuicidal response and BDNF

In 57 depressed patients with suicidal ideation, the antisuicidal response rates at 1 day, 13 days, and 26 days were 61.4%, 80.7%, and 73.7%, respectively. Antisuicidal response rates for TRD patients with suicidal ideation (n=44) were 61.4%, 79.5%, and 72.7% at 1 day, 13 days, and 26 days, respectively.

Table 2 shows the significant main effects of time, group, and group-by-time interactions on the SSIpart 1 score, item 3 of the HAMD, and item 10 of the MADRS (all p < 0.05). Antisuicidal responders had a significantly lower SSI-part 1 score, a lower score for item 10 of the MADRS, and lower scores for item 3 of the HAMD than antisuicidal nonresponders at 1 day and 13 days (all p < 0.05) (Figure 1). However, no significant main effects of group, time or group-by-time interaction were found on plasma levels of BDNF (Table 2). Similarly, plasma levels of BDNF did not differ between anti-suicidal responders and non-responders (Figure 2).

Additional analysis found that six ketamine infusions were also effective at reducing suicidal symptoms among TRD patients with suicidal ideation (Supplemental Table 1 and Supplemental Figure 1) but did not lead to different plasma levels of BDNF between antisuicidal responders or non-responders (Supplemental Table 1 and Supplemental Figure 2).

# Relationship between BDNF and antisuicidal effects of ketamine

Changes in plasma levels of BDNF following ketamine treatment were not significantly associated with a reduction in SSI-part 1 scores, the scores of item 10 of the MADRS, or scores of item 3 of the HAMD at 1 day, 13 days, and 26 days in the whole sample (Table 3) or among only patients with TRD (Supplemental Table 2). Similarly, there was no significant association between baseline plasma levels of BDNF and suicidal scores (SSI-part 1, item 10 of the MADRS, and item 3 of the HAMD) at 1 day, 13 days, or 26 days in the entire sample (Table 3) or among only patients with TRD (Supplemental Table 2).

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Table 1. Baseline characteristics of antisuicidal responders and non-responders calculated by SSI-part 1 scores at 13 days.

| Variables                               | Total sample<br>(N=57) |      | Antisuicidal<br>responders<br>(n=46) |      | Antisuicidal<br>non-responders<br>(n=11) |       | Statistics |          |      |
|---|------------------------|------|--------------------------------------|------|--|-------|------------|----------|------|
|   | n                      | %    | n                                    | %    | n  | %     | χ²         | df       | р    |
| Male                                    | 26                     | 45.6 | 21                                   | 45.7 | 5  | 45.5  | 0.0        | 1        | 0.99 |
| Employed                                | 22                     | 38.6 | 20                                   | 43.5 | 2  | 18.2  | 1.4        | 1        | 0.23 |
| Married                                 | 32                     | 56.1 | 25                                   | 54.3 | 7  | 63.6  | 0.05       | 1        | 0.83 |
| Patients with TRD                       | 44                     | 77.2 | 35                                   | 76.1 | 9  | 81.8  | 0.0        | 1        | 0.99 |
|   | Mean                   | SD   | Mean                                 | SD   | Mean                                     | SD    | T/Z        | df       | р    |
| Age (years)                             | 35.0                   | 12.0 | 34.4                                 | 11.4 | 37.4                                     | 14.4  | -0.7       | 55       | 0.47 |
| BMI (kg/m²)                             | 22.2                   | 3.5  | 22.1                                 | 3.3  | 22.8                                     | 4.5   | -0.6       | 55       | 0.54 |
| Illness duration (months)               | 89.7                   | 79.4 | 78.7                                 | 67.1 | 135.9                                    | 110.2 | <u> </u>   | <u>a</u> | 0.11 |
| Education levels (years)                | 12.1                   | 3.6  | 12.4                                 | 3.5  | 10.7                                     | 3.9   | 1.4        | 55       | 0.17 |
| Baseline plasma levels of BDNF (ng/ml)  | 9.7                    | 6.5  | 9.2                                  | 5.9  | 11.8                                     | 8.6   | a          | a        | 0.56 |
| Baseline MADRS scores                   | 33.6                   | 7.9  | 33.0                                 | 7.1  | 36.2                                     | 10.6  | -1.2       | 55       | 0.23 |
| Baseline SSI-part 1 scores              | 5.0                    | 2.4  | 4.9                                  | 2.4  | 5.1                                      | 2.2   | -0.3       | 55       | 0.74 |
| Baseline scores on item 10 of the MADRS | 2.9                    | 1.3  | 2.7                                  | 1.3  | 3.6                                      | 1.5   | -1.9       | 55       | 0.06 |
| Baseline scores on item 3 of the HAMD   | 2.2                    | 0.8  | 2.2                                  | 0.8  | 2.6                                      | 0.8   | -1.4       | 55       | 0.15 |

<sup>a</sup>Mann-Whitney U test.

BDNF, brain derived neurotrophic factor; BMI, body mass index; df, degrees of freedom; HAMD, Hamilton Depression Rating Scale;

MADRS, Montgomery–Åsberg Depression Rating Scale; SD, standard deviation; SSI, Beck's Scale for Suicide Ideation; TRD, treatment refractory depression; T/Z, T value/Z value.

**Table 2.** Comparison of suicidal ideation scores and plasma levels of BDNF between antisuicidal responders and non-responders in depressed patients with suicidal ideation using linear mixed model analysis.

| Variables                     | Group-by | -time interactions | Time maii | n effect | Group main effect |        |  |
|-------------------------------|----------|--------------------|-----------|----------|-------------------|--------|--|
|                               | F        | p                  | F         | p        | F                 | р      |  |
| HAMD item 3 scores            | 3.9      | 0.013              | 32.2      | <0.001   | 7.4               | 0.009  |  |
| MADRS item 10 scores          | 4.4      | 0.007              | 22.0      | <0.001   | 8.8               | 0.004  |  |
| SSI-part 1 scores             | 7.8      | <0.001             | 23.6      | <0.001   | 16.7              | <0.001 |  |
| Plasma levels of BDNF (ng/ml) | 1.3      | 0.295              | 1.8       | 0.177    | 0.2               | 0.666  |  |

Bold values are p < 0.05.

BDNF, brain-derived neurotrophic factor; HAMD, Hamilton Depression Rating Scale; MADRS, Montgomery-Åsberg Depression Rating Scale; SSI, Beck's Scale for Suicide Ideation.

## Discussion

To our knowledge, this is the first study to assess the association between plasma levels of BDNF and the antisuicidal effects of six ketamine infusions in Chinese depressed patients with suicidal ideation and the first study to distinguish the effects between antisuicidal response and non-response groups. The main findings of this single-arm open-label trial are that (1) adjunctive ketamine at 0.5 mg/kg over 40 min induced a significant and rapid reduction in suicidal ideation, consistent with previous studies;<sup>10,13</sup> (2) plasma levels of BDNF showed no significant changes at 13 days and 26 days when compared with baseline, even among antisuicidal responders and antisuicidal non-responders; (3) no significant association was found between plasma levels of BDNF and the observed antisuicidal effects of ketamine as measured by SSI-part 1, item 10 of the MADRS, and item 3 of the HAMD.

Numerous randomized controlled studies13,34,35 and meta-analyses<sup>10</sup> have reported a rapid and robust antisuicidal response to ketamine. Importantly, the Food and Drug Administration has approved esketamine (a form of ketamine) nasal spray for patients with TRD.<sup>36</sup> Sinyor et al. found that depressed patients with suicidal ideation benefitted from six infusions of 0.5 mg/kg ketamine under "real-world" conditions.37 A recent meta-analysis found that ketamine led to a greater reduction in suicidal ideation than normal saline or midazolam within 1 day and for up to 7 days in depressed patients with suicidal ideation.<sup>10</sup> Ballard et al. found that 29% of depressed patients had no or minimal antisuicidal response to a low-dose ketamine (0.5 mg/kg) infusion, which is similar to our findings.<sup>25</sup>

Interestingly, this study found no baseline sociodemographic or clinical characteristics that reliably distinguished antisuicidal responders and non-responders. The reasons for no differences in baseline sociodemographic and clinical characteristics between antisuicidal responders and nonresponders were unclear. In general, some phenotypic characteristics were uniquely and closely correlated with antisuicidal non-responders, indicating that a long-standing history of suicidal ideation may suggest resistance to a rapid reduction in suicidal ideation. For example, Ionescu et al. found that repeated doses of intravenously infused ketamine did not produce significantly better antisuicidal effects than placebo in patients with TRD and current chronic suicidal ideation.<sup>38</sup> Compared with the current study, that of Ionescu et al.<sup>38</sup> recruited a relatively larger percentage of patients with family history of suicide and failed electroconvulsive therapy. Thus, future studies with large sample sizes are warranted to systematically examine which demographic characteristics and clinical symptom profiles are most likely to have a rapid and robust antisuicidal response to low-dose infusions of ketamine and to



**Figure 1.** The antisuicidal effects of ketamine as measured by SSI-part 1 (A), item 3 of the HAMD (B), and item 10 of the MADRS (C).

#Significant difference was found when compared with baseline scores at the indicated times (p < 0.05).

\*Significant difference was found between responders and non-responders at the indicated times (p < 0.05).

d, day; HAMD, Hamilton Depression Rating Scale; MADRS, Montgomery–Åsberg Depression Rating Scale; SSI, Beck's Scale for Suicide Ideation.

examine which patients may benefit from other interventions.<sup>25</sup>

Somewhat surprisingly, in this study, plasma levels of BDNF were not correlated with the antisuicidal effects of repeated ketamine infusions. A few studies have investigated the role of BDNF levels in the antisuicidal effects of a single



**Figure 2.** Change in plasma BDNF levels in depressed patients with suicidal ideation at the indicated times.

No significant difference at the indicated times was found when compared with baseline levels across the total sample, including both responders and non-responders, and no significant difference at the indicated times was found between responders and non-responders.

BDNF, brain-derived neurotrophic factor; d, day.

ketamine infusion, but the findings have been inconsistent.<sup>25,26</sup> For example, Ballard *et al.* found that plasma levels of BDNF were not associated with antisuicidal response to a single ketamine infusion.<sup>25</sup> However, another study reported that a pre- to postinfusion decrease in serum levels of BDNF was associated with a reduction in suicidal ideation from baseline to 1 day after a single ketamine infusion.<sup>26</sup> Chen *et al.* found that the BDNF Val66Met polymorphism may predict the antisuicidal response to a single ketamine infusion.<sup>4</sup> Similarly, the findings of studies exploring the association of BDNF and the antidepressant effects of single ketamine infusions were also mixed.<sup>39,40</sup> For instance, Haile et al. found that plasma levels of BDNF at 240 min after a single ketamine infusion were strongly and negatively correlated with MADRS scores at 240 min.<sup>39</sup> Another study reported that the rapid initial antidepressant effects of ketamine are not mediated by BDNF.<sup>40</sup> Ketamine might have a particular effect on exon-specific BDNF transcript levels and the rapid and sustained antidepressant and antisuicidal effects of ketamine may attribute to different BDNF transcript regulation.<sup>40</sup> Only the rapid initial effects were evaluated in this study, possibly through fast activation of exons associated with the induction of immediate early genes. BDNF levels could change at later time points after completing six ketamine infusions. Thus, BDNF levels might play an important role in ketamine's sustained, rather than acute, antidepressant and antisuicidal effects.

Several study limitations should be addressed. First, the lack of a control group limited our capability to interpret the efficacy of the treatment regimen. Second, the psychiatric medications used by depressed patients with suicidal ideation

**Table 3.** Correlation analysis between suicidal scores and plasma levels of BDNF in depressed patients with suicidal ideation at the indicated times.

| Variables                                    |   | Reduction in SSI-part 1<br>scores |               |               | Reduction in scores on item 10 of the MADRS |               |               | Reduction in scores on item 3<br>of the HAMD |               |               |
|--|---|-----------------------------------|---------------|---------------|---|---------------|---------------|--|---------------|---------------|
|  |   | At<br>1 day                       | At<br>13 days | At<br>26 days | At<br>1 day                                 | At<br>13 days | At<br>26 days | At<br>1 day                                  | At<br>13 days | At<br>26 days |
| Change in plasma levels<br>of BDNF (ng/ml)   | r | -0.037                            | -0.032        | 0.165         | -0.136                                      | -0.011        | 0.041         | -0.121                                       | 0.049         | 0.069         |
|  | р | 0.810                             | 0.836         | 0.285         | 0.379                                       | 0.943         | 0.792         | 0.433  | 0.752         | 0.659         |
| Variables                                    |   | SSI-part 1 scores                 |               |               | Scores on item 10 of the MADRS              |               |               | Scores on item 3 of the HAMD                 |               |               |
|  |   | At<br>1 day                       | At<br>13 days | At<br>26 days | At<br>1 day                                 | At<br>13 days | At<br>26 days | At<br>1 day                                  | At<br>13 days | At<br>26 days |
| Plasma levels of BDNF<br>at baseline (ng/ml) | r | 0.010                             | 0.001         | 0.046         | 0.123                                       | -0.045        | -0.076        | 0.072  | -0.011        | 0.024         |
|  | р | 0.939                             | 0.997         | 0.733         | 0.360                                       | 0.740         | 0.576         | 0.596  | 0.936         | 0.862         |

BDNF, brain-derived neurotrophic factor; HAMD, Hamilton Depression Rating Scale; MADRS, Montgomery-Åsberg Depression Rating Scale; *r*, Pearson coefficient of correlation; SSI, Beck's Scale for Suicide Ideation. were not discontinued during ketamine treatment, and these medications might have potentially impacted the plasma levels of BDNF. Thus, the findings of this study may not be applied to individuals who are medication free. However, ketamine as an adjunctive therapy to other antidepressants in depressed patients with suicidal ideation has been increasingly used in clinical trials,<sup>41</sup> particularly in larger "real-world" samples. Third, although the cortical levels of BDNF were not directly examined in this study, BDNF can cross the blood–brain barrier, and the plasma levels of BDNF can potentially reflect cortical levels of BDNF.<sup>42,43</sup>

## Conclusion

In summary, our results indicated that plasma levels of BDNF may not serve as a biomarker for determining the antisuicidal effects of six ketamine infusions in depressed patients with suicidal ideation.

## **Conflict of interest statement**

The authors declare that there is no conflict of interest.

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## Supplemental material

Supplemental material for this article is available online.

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