Check for updates

OPEN ACCESS

EDITED BY Filippo Lococo, Agostino Gemelli University Polyclinic (IRCCS), Italy

REVIEWED BY Cai Cheng, Huazhong University of Science and Technology, China Dazhi Fan, Foshan Women and Children Hospital, China Thomas Kiss, Klinik für Anästhesiologie, Intensiv-, Schmerz, Germany Shinsuke Hamaguchi, Dokkyo Medical University, Japan

*CORRESPONDENCE Hui-Qun Jia mazuixueke@sina.com

SPECIALTY SECTION

This article was submitted to Thoracic Oncology, a section of the journal Frontiers in Oncology

RECEIVED 04 August 2022 ACCEPTED 13 September 2022 PUBLISHED 27 September 2022

CITATION

Liu W, Jin F, Wang H-M, Yong F-F, Wu Z and Jia H-Q (2022) The association between double-lumen tube versus bronchial blocker and postoperative pulmonary complications in patients after lung cancer surgery. *Front. Oncol.* 12:1011849. doi: 10.3389/fonc.2022.1011849

COPYRIGHT

© 2022 Liu, Jin, Wang, Yong, Wu and Jia. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

The association between double-lumen tube versus bronchial blocker and postoperative pulmonary complications in patients after lung cancer surgery

Wei Liu¹, Fan Jin², He-Mei Wang¹, Fang-Fang Yong¹, Zhen Wu¹ and Hui-Qun Jia^{1*}

¹Department of Anesthesiology, The Forth hospital of Hebei Medical University, Shijiazhuang, China, ²Department of Anesthesiology, Zhuji People's Hospital, Shaoxing, China

Background: Both double-lumen tube (DLT) and bronchial blocker (BB) are used for lung isolation in patients undergoing lung cancer surgery. However, the effects of different devices for lung isolation remain inconclusive. Present study was designed to investigate the association between the choice of the two devices and postoperative pulmonary complications (PPCs) in patients with lung cancer.

Methods: In this retrospective cohort study, patients who underwent lung cancer surgery between January 1, 2020 and October 31, 2020 were screened. Patients were divided into two groups according to different devices for lung isolation: DLT group and BB group. Primary outcome was the incidence of a composite of PPCs during postoperative in-hospital stay.

Results: A total of 1721 were enrolled for analysis, of them, 868 received DLT and 853 BB. A composite of PPCs was less common in patients with BB (25.1%, [214/853]) than those received DLT (37.9% [329/868] OR 0.582 95% CI 0.461-0.735 P < 0.001). Respiratory infection was less common in BB group (14.4%, [123/853]) than DLT group (30.3%, [263/868], P<0.001). The incidence of non-PPCs complications was not statistically significant between the 2 groups.

Conclusions: For patients undergoing surgery for lung cancer, the use of BB for lung isolation was associated with a reduced risk of PPCs when compared with DLT.

KEYWORDS

double-lumen tube, bronchial blocker, lung isolation, complications postoperative, lung cancer, one-lung ventilation

Introduction

Lung cancer is the second most commonly diagnosed cancer and the leading cause of cancer death worldwide in 2020 (1). Surgical resection is mostly the treatment of early-stage peripheral lung cancer. Because of surgical trauma and perioperative factors, such as one-lung ventilation (OLV) and ischemia-reperfusion/hypoxia-reoxygenation injury, postoperative pulmonary complications (PPCs) are still the major cause of postoperative morbidity and mortality which had tremendous influence on patients' outcome (2). The most common PPCs after lung resection include respiratory infection, pleural effusion, aelectasis and acute respiratory distress syndrome, with a reported incidence of 30-55% (3–5). PPCs can lead to increase hospitalization expense, prolong hospital stays and even increase perioperative morbidity and mortality (5–7).

Previous studies reported that risk factors for PPCs after lung surgery were patient characteristics, preoperative testing, type of operation and anesthetic management (8, 9). As an indispensable part in anesthetic management for lung cancer, OLV can be achieved using a double-lumen tube (DLT) or bronchial blocker (BB). The DLT is stiffer and bulkier than a standard single-lumen tube (SLT), and there is a risk of tracheobronchial injury (10-12). The BB is inserted inside a SLT previously placed into the trachea, while the blood or secretions from the operative lung may expose the contralateral lung to contamination. Several studies of different airway devices (DLT or BB) for lung isolation on PPCs showed different results (13, 14). A randomized controlled study showed that implementation of BB has lower incidence of pulmonary infection within 1 week after thoracic surgery than DLT (13). However, a retrospective cohort study revealed that patients in BB group had high risks of pulmonary infection or respiratory failure in the first postoperative year than those in DIL group (14).

Evidence regarding airway devices for lung isolation and PPCs is likewise mixed with the types of the surgeries and postoperative follow-up time. The effect of DLT versus BB on PPCs after lung resection surgery remain uncertain. Therefore, the current study was designed to assess the impact of the different airway devices on PPCs during postoperative hospitalization in patients after lung cancer surgery.

Methods

Study design

This was a retrospective single-center cohort study which was approved by Ethics Committee of The Forth Hospital of Hebei Medical University (2022KS009). Because all variables were collected *via* electronic medical record system, the local Ethics Committee agreed to exempt the written informed consent. The trial was registered prior to patient enrollment at Chinese Clinical Trial Registry (chiCTR2200060037).

Patients

Adult patients (age≥ 18-year-old) who underwent lung resection surgery between January 1, 2020 and October 31, 2020 in The Forth Hospital of Hebei Medical University were screened. Patients who met any of the following criteria were excluded:

- a. benign tumor (based on pathology report)
- b. biopsy procedure
- c. bilateral pulmonary resection
- d. preoperative respiratory infection confirmed by computed tomography (CT)
- e. planned to receive reoperation or postoperative mechanical ventilation
- f. incomplete data collected from the electronic medical record system or anesthesia record system.

Anesthesia and perioperative care

In operating room, all patients were monitored by electrocardiogram, pulse oxygen saturation (SpO₂), and non-invasive blood pressure, end-tidal carbon dioxide (ETCO₂), bispectral index (BIS), and nasopharyngeal temperature. Continuous blood pressure was measured by radial puncture under local anesthesia before the induction of anesthesia.

Anesthesia was induced by intravenous administration of propofol and/or etomidate, sufentanil, and rocuronium or cisatracurium. DLT (Teleflex Medical) or BB (Hangzhou Tappa Medical Technology CO., Hangzhou, China) was used for lung isolation, which was chosen according to the status of patients, the experience of anesthetist and the preferences of surgeon. After intubation, fiberoptic bronchoscopy was applied to ensure the DLT/BB appropriate position. Sevoflurane inhalation or propofol infusion and opioids (such as remifentanil or sufentanil) were used

Abbreviation: DLT,double-lumen tube; BB, bronchial blocker; PPC, spostoperative pulmonary complications; OL, Vone-lung ventilation; SLT, single-lumen tube; SpO₂, pulse oxygen saturation; ETCO₂, end-tidal carbon dioxide; BIS, bispectral index; TV, tidal volume; PEEP, positive end-expiratory pressure; PaO₂, arterial oxygen partial pressure; SD, standard deviationI; QR, interquartile range; VATS, video-assisted thoracoscopic surgery; BMI, body mass index; COPD, Chronic Obstructive pulmonary disease; ASA, American Society of Anesthesiologists; FEV₁, Forced Expiratory Volume in first one second; GA, general anesthesia; OR, odds ratio; CI, confidence interval.

for anesthesia maintenance. Muscular relaxants (i.e., rocuronium and cis-atracurium) were administered to maintain surgical field condition. Regional nerve block (i.e., epidural anesthesia, paravertebral nerve block, intercostal nerve block and erector spinae plane block) could be used for perioperative analgesia. Patient-controlled intravenous analgesia was provided for postoperative pain management. The aim of anesthesia was to maintain: BIS 40-50, blood pressure fluctuation within 20% baseline value, and temperature 36-37°C.

After endotracheal intubation, volume control mode was used for ventilation. Tidal volume (TV) was set at 6-8 mL/Kg of ideal body weight during two lung ventilation and 5-6 mL/Kg during OLA, and positive end-expiratory pressure (PEEP) was used in necessary and set at 5-10 cmH₂O. Respiratory rate was adjusted to maintain a target P_{ET}CO₂ of 35-45 mmHg. Inspired fraction of oxygen (FiO₂) was set at 1.0 during induction to assure oxygenation conservation for intubation, and then initially decreased to 0.6-0.8 to keep SpO₂ \geq 92% during mechanical ventilation. When hypoxemia occurred during OLV, the intervention included an increase in FiO₂ followed by a recruitment maneuver and escalation of PEEP to the ventilated lung. If this failed to correct the SpO₂ to a perceived acceptable level, then low-level continuous positive airway pressure (CPAP) might be applied to the operative lung.

Fluid infusion was given in line with routine practice. Crystalloid was administrated at a rate of 4–6 mL/kg/h. Colloids or allogenic blood product was administrated according to patient's condition and the discretion of attending anesthesiologists.

At the end of anesthesia, patients were extubated as soon as appropriate and muscular relaxant was antagonized by neostigmine 0.02–0.04 mg/kg and atropine 0.01–0.02 mg/kg when regarded as clinically necessary by the attending anesthesiologist.

Date collection

Date was collected retrospectively using the electronic medical record system or anesthesia record system. Preoperative data included demographic characteristics (such as sex, age, height and weight), comorbidity, pulmonary function, and arterial blood gas analysis. Intraoperative data included type and duration of surgery, surgical lobe, type and duration of anesthesia, fluid infusion, blood transfusion, the use of neostigmine, the highest and lowest arterial oxygen partial pressure (PaO₂). Postoperative data included occurrence of PPCs and non-PPCs complications (i.e., stroke, atrial fibrillation, acute heart failure, cardiac arrest and sepsis), unplanned ICU admission and in-hospital mortality.

Exposure variables

Exposure variables were different airway devices for lung isolation. To analyze the effect of different airway devices on

PPCs in patients after lung cancer surgery, patients were classified into two groups: DLT and BB.

Primary outcome

Primary outcome was to compare the influence of DLT and BB on PPCs during postoperative in-hospital stay (from the end of operative to hospital discharge) in patients after lung cancer surgery.

PPCs is a syndrome with a predefined composite outcome including respiratory infection, pleural effusion, atelectasis, respiratory failure, bronchospasm, aspiration pneumonitis, and pneumothorax (15).

Respiratory infection was diagnosed if it met with at least one of the following criteria and treated by antibiotics: new or changed sputum, new onset or alteration in lung opacities, temperature≥ 38.3°C and leukocyte count≥ 12,000/µL. Pleural effusion was diagnosed by chest X-ray and the need of medical drainage for treatment. Atelectasis was diagnosed by chest X-ray and the need for medical treatment such as oxygen supply and physical training. Respiratory failure was diagnosed if PaO₂≤ 60 mmHg or $PaCO_2 \ge 45$ mmHg on room air or a ratio of $PaO_2/FiO_2 < 300$ and requiring oxygen therapy or mechanical ventilation. Pneumothorax was confirmed by X-ray and the need of treatment was also recorded. New onset bronchospasm was diagnosed according to clinical manifestations and the need of bronchodilators for treatment. Given the effect of surgical factors, we considered pleural effusion and pneumothorax to be complications only when they occurred in the contralateral lung (16).

Secondary outcome

Secondary outcome was the incidence of non-PPCs complications such as stroke, atrial fibrillation, acute heart failure, cardiac arrest and sepsis. We also collected unplanned ICU admission and in-hospital mortality as secondary outcome.

Statistical analysis

Outcome analysis

Normal distribution was tested by Histograms and Q-Q plots. Continuous data with normality were presented as mean \pm standard deviation (SD) and analysed using Student's *t* test. If Continuous data were abnormality, Kruskal-Wallis test was used and median (interquartile range, IQR) were presented. Categorical data were expressed as frequency (percentage) and compared by chi-square test, continuity correction or Fisher's exact test.

For primary outcome analysis, the incidence of PPCs was compared by Chi-square test. Univariate analysis was firstly used to screen potential variables in relation with PPCs. Variables with P < 0.05 were entered into multivariable logistic regression to examine independent risk factors of PPCs. The incidence of non-PPCs complications, unplanned ICU admission and inhospital mortality was compared by chi-square test, continuity correction or Fisher's exact test.

All statistical analyses were performed with SPSS 25.0 (SPSS, Inc., Chicago, IL., USA). For all tests, a two-sided P < 0.05 was considered statistically significant.

Power analysis

A power analysis was performed using a two-sided Z test with unpooled variance. A sample size of 868 in DLT group and 853 in BB group can provided 99.9% power at an alpha = 0.05 to detect a 12.8% difference in the rate of PPCs, while the incidence of PPCs was 37.9% in DLT group and 25.1% in BB group.

Results

Participants

A total of 2214 patients who underwent lung surgery between January 1, 2020 and October 31, 2020 were screened. Among them, 214 patients were excluded for benign tumor based on pathology report, 1 for biopsy procedure, 39 for bilateral pulmonary resection, 141 for preoperative respiratory infection confirmed by CT, 31 for planned reoperation or postoperative mechanical ventilation, and 67 for incomplete data collected from the electronic medical record system or anesthesia record system. Finally, 1721 patients met inclusion and exclusion criteria were enrolled for analysis. Among the remaining1721 patients, 868 received DLT for lung isolation, and 853 received BB (Figure 1).

Baseline and preoperative /intraoperative data

Compared with patients received DLT, those with BB had lower fraction of ASA classification ≥ 3 , preoperative chemotherapy and lower baseline PaO₂ (All P values< 0.05), Table 1; they received more left lung surgery and more general anesthesia alone (versus combined regional nerve block); they were given more intraoperative fluid, more colloid and allogenic blood transfusion. Duration of surgery in BB group were shorter compared with DLT group (Table 2).

Primary outcome

Total incidence of PPCs after lung cancer surgery was 31.6% (543/1721). The top three individual PPCs were respiratory infection (22.4%), pleural effusion (12.7%), and atelectasis (6.9%). The incidence of PPCs was 25.1% (214/853) in BB group which showed significantly lower in comparison with 37.9% (329/868) in DLT group (P<0.001). The incidence of



TABLE 1	Baseline	and	preoperative	data.
---------	----------	-----	--------------	-------

Variables	Total (n = 1721)	DLT $(n = 868)$	BB $(n = 853)$	Р
Age, yr, mean±SD	59.2 ± 9.9	58.7 ± 10.1	59.8 ± 9.6	0.016#
>65, n (%)	565(32.8)	266 (30.6)	299 (35.1)	0.052*
Male, n (%)	779 (45.3)	396 (45.6)	383 (44.9)	0.764*
BMI, kg/m², mean±SD	25.2 ± 3.3	25.0 ± 3.3	25.3 ± .3.3	$0.074^{\#}$
Preoperative comorbidity, n (%)				
Diabetes mellitus	215 (12.5)	101 (11.6)	114 (13.4)	0.278*
Hypertension	599 (34.8)	284 (32.7)	315 (36.9)	0.067*
Coronary heart disease	147 (8.5)	66 (7.6)	81 (9.5)	0.160*
COPD	88 (5.1)	53 (6.1)	35 (4.1)	0.059*
Stroke	440 (25.6)	228 (26.3)	212 (24.9)	0.501*
Asthma	11 (0.6)	4 (0.5)	7 (0.8)	0.349*
ASA classification, n (%)				< 0.001*
I or II	1485 (86.3)	717 (82.6)	768 (90.0)	
III or IV	236 (13.7)	151 (17.4)	85 (10.0)	
Chronic smoking, n (%) ^a	460 (26.7)	237 (27.3)	223 (26.1)	0.586*
History of lung surgery, n (%)	24 (1.4)	10 (1.2)	14 (1.6)	0.387*
Preoperative chemotherapy, n (%)	77 (4.5)	52 (6.0)	25 (2.9)	0.002*
FEV ₁ , %, median (IQR)	101.1 (90.2,112.0) (n=1656)	100.9 (90.3,111.7) (n=834)	101.4 (90.2,112.5) (n=822)	0.625 ^{&}
PaO ₂ , mmHg, median (IQR)	85.8 (78.8,92.7) (n=1331)	87.6 (79.9,94.1) (n=702)	83.8 (77.0,90.9) (n=629)	< 0.001 &

DLT, double-lumen tube; BB, bronchial blocker; SD, standard deviation; IQR, interquartile range; BMI, body mass index; COPD, Chronic Obstructive pulmonary disease; ASA, American Society of Anesthesiologists.

FEV1, Forced Expiratory Volume in first one second; PaO2, arterial oxygen partial pressure.

^aChronic smoking was defined as smoking index \geq 400. [#]Student's t test

*Chi-square test.

[&]Kruskal-Wallis test.

individual complication, except for respiratory infection (P<0.001), was not statistically significant in comparison with DLT group and BB group (Table 3).

In univariate analysis, 27 variables were screened as potential factors with PPCs. We included statistically significant variables (with P<0.05) for multivariable logistic regression. Multivariable logistic regression showed that patients in BB group had a significantly lower risk of PPCs (OR 0.582, 95% CI 0.461-0.735, P<0.001) after adjustment for the above confounders. In addition, multivariable logistic regression demonstrated that airway device, chronic smoking, ASA classification, preoperative chemotherapy and duration of surgery were independent risk factors of PPCs. (Table 4)

Secondary outcome

The incidence of non-PPCs complications was not statistically significant in comparison with DLT group and BB

group. There were no significant differences in unplanned ICU admission and in-hospital mortality between DLT group and BB group. (Table 3)

Discussion

Results of this retrospective cohort study showed that, for patients undergoing lung cancer surgery, the use of BB for lung isolation was associated with a reduced risk of PPCs when compared with DLT.

The practice of lung surgery, especially video-assisted thoracoscopic surgery (VATS) depend on the ability of anesthesiologist to collapse lung and selectively ventilation the dependent lung. A collapsed lung could provide a quiet operation field for surgeon, which were achieved by either obstructing a bronchus with a BB or by endobronchial intubation with a DLT. Both devices for lung isolation (DLT versus BB) have their advantages and disadvantages (13, 17–20). For most lung cancer

TABLE 2 Intraoperative data.

Variables	Total (n = 1721)	DLT $(n = 868)$	BB $(n = 853)$	Р
Surgical procedure, n (%)				0.234*
Lobectomy ^a	1693 (98.4)	857 (98.7)	836 (98.0)	
Pneumonectomy	28 (1.6)	11 (1.3)	17 (2.0)	
Surgical site, n (%)				< 0.001*
Left	671 (39.0)	284 (32.7)	387 (45.4)	
Right	1050 (61.0)	584 (67.3)	466 (54.6)	
Surgical approach, n (%)				0.337*
VATS	1560 (90.6)	781 (90.0)	779 (91.3)	
Thoracotomy	161 (9.4)	87 (10.0)	74 (8.7)	
Anesthesia type, n (%)				< 0.001*
GA only	405 (23.5)	110 (12.7)	295 (34.6)	
GA + regional nerve block ^b	1316 (76.5)	758 (87.3)	558 (65.4)	
Arterial blood gas analysis				
Highest PaO2, mmHg, median (IQR)	273.2 (157.8,400.3) (n=1479)	274.9 (161.2,401.0) (n=770)	271.4 (151.0,398.4) (n=709)	0.337 ^{&}
Lowest PaO2, mmHg, median (IQR)	115.4 (81.4,231.2) (n=1389)	119.5 (83.9,213.8) (n=684)	111.8 (79.4,242.4) (n=705)	0.444 ^{&}
Intraoperative fluid balance				
Total input, mL, median (IQR), mL	1300.0 (1100.0,1600.0)	1250.0 (1050.0,1550.0)	1300.0 (1150.0,1600.0)	<0.001*
Use of colloid ^c , n (%)	1276 (74.1)	557 (64.2)	719 (84.3)	< 0.001*
Allogenic blood transfusion, n (%)	48 (2.8)	14 (1.6)	34 (4.0)	0.003*
Use of neostigmine, n (%)	1404 (81.6)	697 (80.3)	707 (82.9)	0.167*
Duration of surgery, h, median (IQR)	2.7 (2.1,3.3)	2.8 (2.1,3.5)	2.5 (2.0,3.2)	< 0.001 &

DLT, double-lumen tube; BB, bronchial blocker; IQR, interquartile range; VATS, Video-assisted Thoracoscopic Surgery; GA, general anesthesia.

PaO₂, arterial oxygen partial pressure; SpO₂, Oxygen saturation measured by pulse oximetry

^aIncluding segmentectomy lobectomy, segmentectomy and local excision.

^bRegional nerve block included epidural anesthesia, paravertebral nerve block, paravertebral nerve block, and intercostal nerve block.

^cIncluding hydroxyethyl starch and gelatin.

*Chi-square test.

[&]Kruskal-Wallis test.

surgery, however, either method may be safe and effective (21). In clinical practice, the choice between the two airway devices is usually made according to the status of patients, the experience of anesthetist and the preferences of surgeon. A survey conducted more than a decade ago showed that 17.8% anesthesiologists regularly used BB for lung isolation (22). But with the popularization of fiberoptic bronchoscope and the progress of thoracic anesthesia, more and more anesthesiologists choose BB for lung isolation. In our result, the utilization rate of BB for lung cancer surgery was 49.6% (853/1721).

Our study adopted the same composite of PPCs in line with ARISCAT study (15, 23). The overall incidence of PPCs was about 31.6%, and respiratory infection was the most common PPCs in our study, with an incidence of 22.4%. This is consistent with what has been found in previous study (24, 25). The use of BB for lung isolation reduced respiratory infection by 15.9%, and the difference was statistically significant. There were no

differences in other individual component of PPCs between BB and DLT. Similarly, we found no significant difference in non-PPCs complication, unplanned ICU admission and in-hospital mortality between the two groups.

In the present study, a reduced risk of PPCs was observed in patients with BB than in those with DLT. The result might be attributed to the difference in the nature of the two device and intraoperative management. Firstly, the BB is placed *via* a SLT, while the DLT is inserted directly into bronchus. BBs are easier to initially place than a DLT, which are inserted into the target bronchus under the guidance of fiberoptic bronchoscope. However, DLTs are inserted to the target lung by blind intubation at first. In addition, the DLT is stiffer and bulkier, and the distal end of the DLT lacks a bevel, which may result in more difficulty with passage through the vocal cords. It has been reported that a DLT is associated with a high incidence of sore throat, hoarseness and airway injury (20, 26). Secondly, when

Outcome	Total (n = 1721)	DLT $(n = 868)$	BB $(n = 853)$	Р
Overall PPCs, n (%)	543 (31.6)	329 (37.9)	214 (25.1)	<0.001*
Incidence of individual complication, n (%)			
Respiratory infection	386 (22.4)	263 (30.3)	123 (14.4)	< 0.001*
Pleural effusion	219 (12.7)	119 (13.7)	100 (11.7)	0.216*
Atelectasis	119 (6.9)	60 (6.9)	59 (6.9)	0.997*
Respiratory failure	9 (0.5)	6 (0.7)	3 (0.4)	0.507 ^{\$}
Bronchospasm	1 (0.1)	0 (0)	1 (0.1)	0.496 ^{\$}
Incidence of individual non-PPCs complication	ation, n (%)			
Stroke	2 (0.1)	1 (0.1)	1 (0.1)	>0.999\$
Atrial fibrillation	75 (4.4)	41 (4.7)	34 (4.0)	0.454*
Acute heart failure	4 (0.2)	2 (0.2)	2 (0.2)	>0.999\$
Sepsis	3 (0.2)	2(0.2)	1 (0.1)	>0.999\$
Unplanned ICU admission, n (%)	7(0.4)	4(0.5)	3(0.4)	>0.999\$
In-hospital mortality, n (%)	2 (0.1)	1 (0.1)	1 (0.1)	>0.999\$

TABLE 3 Primary and secondary outcome.

DLT, double-lumen tube; BB, bronchial blocker; PPCs, postoperative pulmonary complications.

^{\$}Fisher's exact test.

tidal volume is consistent during OLA, the airway pressure of patients with BB is lower than that of DLT because the inner diameter of the SLA is thicker (13, 17). It is widely accepted that excessive airway pressure will increase the risk of ventilatorassociate lung injury and PPCs (27–29). Finally, anesthesia management for OLA between BB and DLT was different. The cuff of BB was inflated before pleural opening, which was deflated after pleural closing, and the BB was withdrawn from the bronchus. The DLT continued to stimulate the bronchus from intubation until extubation, even the cuff of DLT was deflated after pleural closing. Prolonged stimulation of the bronchus by DLT may also contribute to the increasing risk of PPCs.

The effects of BB and DLT for lung isolation on PPCs had been evaluated, but with conflicting results (13, 14). In the recent randomized controlled trial, authors reported that implementation of BB for patients with thoracic tuberculosis undergoing thoracic-approach debridement had lower incidence of postoperative complications including hoarseness, pharyngalgia and pulmonary infection within 1 week after surgery when compared with DLT (13). Similarly, we also found that for patients with lung cancer, the use of BB can reduce the risk of respiratory infection and PPCs during postoperative in-hospital stay, while the incidence of other individual PPCs (such as pleural effusion, atelectasis, respiratory failure and bronchospasm) did not differ between groups. On the contrary, a retrospective cohort study showed that for patients undergoing thoracic surgery, the use of BB for lung isolation had an increasing risk of readmission with pulmonary infection and respiratory failure in the first postoperative year (14). Although it was a matched-pairs study controlling for patient age, sex, and year of surgery, the population in the study was in 2000-2012 and the types of surgery included pulmonary resection, other respiratory surgery, oesophageal surgery, cardiac and vascular surgery. In addition, the intraoperative variables such as anesthesia type and fluid balance were not included in the analysis. These might be the main reason for the inconsistency with our results.

In addition of airway device, our data also showed chronic smoking, ASA classification, preoperative chemotherapy and duration of surgery were independent risk factors of PPCs. The result of the present study corresponded with the early studies (30–32).

Our study had several limitations. Firstly, this was a singlecenter retrospective study, which could not be wholly generalization to other practices and procedures. Secondly, the choice between BB and DLT for lung isolation in the study center is largely based on the preference of anesthesiologists and surgeons, and we could not control the effect of selection bias on the result. Thirdly, although some variables were adjusted for in multivariable regression analysis, we cannot exclude residual imbalance and bias produced by other factors in comparing the results between the two groups. Ventilation parameters such as VT, PEEP, and driving pressure may be associated with PPCs, while these variables were unavailable in retrospective data

^{*}Chi-square test.

TABLE 4 Univariate and multivariate analysis of factors independently associated with PPCs.

Variables	Univariat	Multivariate		
	OR (95%CI)	Р	OR (95%CI)	Р
Airway device (BB versus DLT)	0.552 (0.449-0.679)	< 0.001	0.582 (0.461-0.735)	< 0.001
Age (≥65 versus <65)	1.190 (0.960-1.474)	0.112		
Male (yes versus no)	1.715 (1.397-2.105)	< 0.001		
BMI (per kg/m ² increase)	1.016 (0.986-1.047)	0.306		
Diabetes mellitus (yes versus no)	1.393 (1.036-1.873)	0.028		
Hypertension (yes versus no)	1.120 (0.906-1.385)	0.293		
COPD (yes versus no)	2.266 (1.473-3.487)	< 0.001		
Stroke (yes versus no)	1.317 (1.048-1.654)	0.018		
Coronary heart disease (yes versus no)	0.950 (0.659-1.371)	0.786		
Asthma (yes versus no)	0.479 (0.103-2.224)	0.347		
Chronic smoking ^a (yes versus no)	1.948(1.560-2.433)	< 0.001	1.375 (1.000-1.892)	0.050
ASA classification (III/IV versus I/II)	2.030 (1.535-2.684)	< 0.001	1.652 (1.204-2.265)	0.002
Preoperative history of lung surgery (yes versus no)	1.303 (0.567-2.997)	0.533		
Preoperative chemotherapy (yes versus no)	4.580 (2.823-7.430)	< 0.001	2.177 (1.226-3.867)	0.008
Preoperative FEV ₁ (per % increase)	0.986 (0.981-0.992)	< 0.001		
Preoperative PaO ₂ (per % increase)	0.999 (0.993-1.006)	0.866		
Surgery procedure	1.408 (0.655-3.027)	0.381		
(Pneumonectomy versus lobectomy ^c)				
Surgical site (right versus left)	1.096 (0.889-1.351)	0.389		
Surgical approach (Thoracotomy versus VATS)	2.467 (1.778-3.423)	< 0.001		
Anesthesia type (GA versus GA+RA)	1.220 (0.955-1.558)	0.112		
Highest PaO ₂ (per mmHg increase)	1.000 (0.999-1.001)	0.785		
Lowest PaO ₂ (per mmHg increase)	1.000 (0.999-1.001)	0.900		
Intraoperative total input (per ml increase)	1001 (1.000-1.001)	< 0.001		
Use of neostigmine (yes versus no)	0.710 (0.551-0.916)	0.008		
Use of colloid (yes versus no)	1.270 (1.001-1.611)	0.049		
Intraoperative allogenic blood transfusion (yes versus no)	2.034 (1.144-3.618)	0.016		
Duration of surgery (per h increase)	1.458 (1.329-1.598)	< 0.001	1.294 (1.139-1.469)	< 0.001

PPCs, postoperative pulmonary complications; OR, odds ratio; CI, confidence interval; DLT, double-lumen tube; BB, bronchial blocker; BMI, body mass index; COPD, Chronic Obstructive pulmonary disease; ASA, American Society of Anesthesiologists.

FEV₁, Forced Expiratory Volume in first one second. VATS, Video-assisted Thoracoscopic Surgery.

GA, general anesthesia.

RA, regional anesthesia.

PaO₂, arterial oxygen partial pressure.

^aChronic smoking was defined as smoking index ≥ 400 .

^cIncluding segmentectomy lobectomy, segmentectomy and local excision.

collection. Finally, occurrence of PPCs after discharge (i.e., within postoperative 30 days) was not collected and this might underestimate the incidence of PPCs.

compared with DLT. Further prospective studies are required to confirm our result.

Conclusions

Results of this retrospective study show that, for patients undergoing surgery for lung cancer, the use of BB for lung isolation was associated with a reduced risk of PPCs when

Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

Ethics statement

The study was approved by Ethics Committee of The Forth Hospital of Hebei Medical University. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

Author contributions

WL: This author helped in data acquisition, data analysis, and manuscript drafting. FJ: This author helped in data acquisition, and data analysis. H-MW: This author helped in concept and design, and data interpretation. F-FY: This author helped in data acquisition. ZW: This author helped in data acquisition. H-QJ: This author helped in concept and design, data analysis, data interpretation, revision of the manuscript,

and final approval of submission. All authors contributed to the article and approved the submitted version.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

References

1. Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global cancer statistics 2020: Globocan estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA Cancer J Clin* (2021) 71(3):209– 49. doi: 10.3322/caac.21660

2. Williams EA, Quinlan GJ, Goldstraw P, Gothard JW, Evans TW. Postoperative lung injury and oxidative damage in patients undergoing pulmonary resection. *Eur Respir J* (1998) 11(5):1028-34. doi: 10.1183/09031936.98.11051028

3. Arslantas MK, Kara HV, Tuncer BB, Yildizeli B, Yuksel M, Bostanci K, et al. Effect of the amount of intraoperative fluid administration on postoperative pulmonary complications following anatomic lung resections. *J Thorac Cardiovasc Surg* (2015) 149(1):314–20,21.e1. doi: 10.1016/j.jtcvs.2014.08.071

4. Lugg ST, Agostini PJ, Tikka T, Kerr A, Adams K, Bishay E, et al. Long-term impact of developing a postoperative pulmonary complication after lung surgery. *Thorax* (2016) 71(2):171–6. doi: 10.1136/thoraxjnl-2015-207697

5. Myrdal G, Gustafsson G, Lambe M, Hörte LG, Ståhle E. Outcome after lung cancer surgery. factors predicting early mortality and major morbidity. *Eur J Cardiothorac Surg* (2001) 20(4):694–9. doi: 10.1016/s1010-7940(01)00875-2

6. LaPar DJ, Bhamidipati CM, Lau CL, Jones DR, Kozower BD. The society of thoracic surgeons general thoracic surgery database: Establishing generalizability to national lung cancer resection outcomes. *Ann Thorac Surg* (2012) 94(1):216–21; discussion 21. doi: 10.1016/j.athoracsur.2012.03.054

7. Ellenberger C, Garofano N, Reynaud T, Triponez F, Diaper J, Bridevaux PO, et al. Patient and procedural features predicting early and mid-term outcome after radical surgery for non-small cell lung cancer. *J Thorac Dis* (2018) 10(11):6020–9. doi: 10.21037/jtd.2018.10.36

8. Yepes-Temiño MJ, Monedero P, Pérez-Valdivieso JR. Risk prediction model for respiratory complications after lung resection: An observational multicentre study. *Eur J Anaesthesiol* (2016) 33(5):326–33. doi: 10.1097/eja.00000000000354

9. Li Y, Ma YL, Gao YY, Wang DD, Chen Q. Analysis of the risk factors of postoperative cardiopulmonary complications and ability to predicate the risk in patients after lung cancer surgery. *J Thorac Dis* (2017) 9(6):1565–73. doi: 10.21037/jtd.2017.05.42

10. Kim HK, Jun JH, Lee HS, Choi YR, Chung MH. Left mainstem bronchial rupture during one-lung ventilation with robertshaw double lumen endobronchial tube -a case report. *Korean J Anesthesiol* (2010) 59(Suppl):S21–5. doi: 10.4097/kjae.2010.59.S.S21

11. Benumof JL, Wu D. Tracheal tear caused by extubation of a double-lumen tube. *Anesthesiology* (2002) 97(4):1007–8. doi: 10.1097/00000542-200210000-00037

12. Liu H, Jahr JS, Sullivan E, Waters PF. Tracheobronchial rupture after double-lumen endotracheal intubation. *J Cardiothorac Vasc Anesth* (2004) 18 (2):228–33. doi: 10.1053/j.jvca.2004.01.003

13. Zheng M, Niu Z, Chen P, Feng D, Wang L, Nie Y, et al. Effects of bronchial blockers on one-lung ventilation in general anesthesia: A randomized controlled trail. *Med (Baltimore)* (2019) 98(41):e17387. doi: 10.1097/md.000000000017387

14. Yu C-H, Chen Y-C, Liang F-W, Chen J-Y, Ho C-H, Chu C-C. Postoperative outcomes of lung separation with double-lumen tubes and bronchial blockers. *Asian J Anesthesiol* (2021) 59(1):22–34. doi: 10.6859/aja.202103_59(1).0003

15. Canet J, Gallart L, Gomar C, Paluzie G, Vallès J, Castillo J, et al. Prediction of postoperative pulmonary complications in a population-based surgical cohort. *Anesthesiology* (2010) 113(6):1338–50. doi: 10.1097/ALN.0b013e3181fc6e0a

16. Li XF, Hu JR, Wu Y, Chen Y, Zhang MQ, Yu H. Comparative effect of propofol and volatile anesthetics on postoperative pulmonary complications after lung resection surgery: A randomized clinical trial. *Anesth Analg* (2021) 133 (4):949–57. doi: 10.1213/ane.0000000005334

17. Ren Y, Lyu Y, Yu Y, Jin L, Hu Y, Guo K, et al. Selective right middle and lower lobar blockade for minimally invasive cardiac surgery: A prospective, singlecenter, randomized controlled study. *Ann Transl Med* (2021) 9(3):254. doi: 10.21037/atm-20-986

18. Yan J, Rufang Z, Rong W, Wangping Z. Extraluminal placement of the bronchial blocker in infants undergoing thoracoscopic surgery: A randomized controlled study. *J Cardiothorac Vasc Anesth* (2020) 34(9):2435–9. doi: 10.1053/j.jvca.2020.02.006

19. Lu Y, Dai W, Zong Z, Xiao Y, Wu D, Liu X, et al. Bronchial blocker versus left double-lumen endotracheal tube for one-lung ventilation in right video-assisted thoracoscopic surgery. *J Cardiothorac Vasc Anesth* (2018) 32(1):297–301. doi: 10.1053/j.jvca.2017.07.026

20. Clayton-Smith A, Bennett K, Alston RP, Adams G, Brown G, Hawthorne T, et al. A comparison of the efficacy and adverse effects of double-lumen endobronchial tubes and bronchial blockers in thoracic surgery: A systematic review and meta-analysis of randomized controlled trials. J Cardiothorac Vasc Anesth (2015) 29(4):955–66. doi: 10.1053/j.jvca.2014.11.017

21. Cheng Q, He Z, Xue P, Xu Q, Zhu M, Chen W, et al. The disconnection technique with the use of a bronchial blocker for improving nonventilated lung collapse in video-assisted thoracoscopic surgery. *J Thorac Dis* (2020) 12(3):876–82. doi: 10.21037/jtd.2019.12.75

22. Shelley B, Macfie A, Kinsella J. Anesthesia for thoracic surgery: A survey of uk practice. J Cardiothorac Vasc Anesth (2011) 25(6):1014–7. doi: 10.1053/j.jvca.2011.06.018

23. LAS VEGAS Investigators. Epidemiology, practice of ventilation and outcome for patients at increased risk of postoperative pulmonary complications: Las vegas - An observational study in 29 countries. *Eur J Anaesthesiol* (2017) 34 (8):492–507. doi: 10.1097/eja.00000000000646

24. Motono N, Ishikawa M, Iwai S, Iijima Y, Usuda K, Uramoto H. Individualization of risk factors for postoperative complication after lung cancer surgery: A retrospective study. *BMC Surg* (2021) 21(1):311. doi: 10.1186/s12893-021-01305-0

25. Song Y, Liu J, Lei M, Wang Y, Fu Q, Wang B, et al. An external-validated algorithm to predict postoperative pneumonia among elderly patients with lung cancer after video-assisted thoracoscopic surgery. *Front Oncol* (2021) 11:777564. doi: 10.3389/fonc.2021.777564

26. Mourisse J, Liesveld J, Verhagen A, van Rooij G, van der Heide S, Schuurbiers-Siebers O, et al. Efficiency, efficacy, and safety of ez-blocker compared with left-sided double-lumen tube for one-lung ventilation. *Anesthesiology* (2013) 118(3):550–61. doi: 10.1097/ALN.0b013e3182834f2d

27. Serpa Neto A, Juffermans NP, Hemmes SNT, Barbas CSV, Beiderlinden M, Biehl M, et al. Interaction between peri-operative blood transfusion, tidal volume, airway pressure and postoperative Ards: An individual patient data meta-analysis. *Ann Transl Med* (2018) 6(2):23. doi: 10.21037/atm.2018.01.16

28. Kaufmann K, Heinrich S. Minimizing postoperative pulmonary complications in thoracic surgery patients. *Curr Opin Anaesthesiol* (2021) 34 (1):13–9. doi: 10.1097/aco.00000000000945

29. Neto AS, Hemmes SN, Barbas CS, Beiderlinden M, Fernandez-Bustamante A, Futier E, et al. Association between driving pressure and development of postoperative pulmonary complications in patients undergoing mechanical ventilation for general anaesthesia: A meta-analysis of individual patient data. *Lancet Respir Med* (2016) 4(4):272–80. doi: 10.1016/s2213-2600(16)00057-6

30. Pipanmekaporn T, Bunchungmongkol N, Punjasawadwong Y, Lapisatepun W, Tantraworasin A, Saokaew S. A risk score for predicting respiratory complications after thoracic surgery. *Asian Cardiovasc Thorac Ann* (2019) 27 (4):278–87. doi: 10.1177/0218492319835994

31. Kaufmann KB, Loop T, Heinrich S. Risk factors for post-operative pulmonary complications in lung cancer patients after video-assisted thoracoscopic lung resection: Results of the German thorax registry. *Acta Anaesthesiol Scand* (2019) 63(8):1009–18. doi: 10.1111/aas.13388

32. Miskovic A, Lumb AB. Postoperative pulmonary complications. Br J Anaesth (2017) 118(3):317-34. doi: 10.1093/bja/aex002