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An interpretation of the genetic polymorphism and population genetic background of Ankang Han population *via* a novel InDel panel

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ABSTRACT

In this research, genotyping data of 43 InDel loci in 311 Han individuals in Ankang City, Shaanxi Province, China were detected using a self-developed five-dye multiplex amplification panel. The allelic frequencies and forensic parameters of all InDel loci were calculated. The combined power of discrimination and probability of exclusion values were 0.999 999 999 999 999 998 827 39 and 0.999 887 424, respectively, which demonstrated that this 43-InDel panel was powerful for individual identifications in Ankang Han population. Moreover, genetic distances, pairwise F_{ST} values, principal component analyses, phylogenetic trees and STRUCTURE analyses were performed to investigate the genetic affinities between Ankang Han and reference groups. Population genetic investigations indicated that Ankang Han population had a close genetic relationship with Southern Han population compared with other reference groups. ARTICLE HISTORY Received 27 May 2021 Accepted 21 October 2021

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Introduction

Human identification (HID) and paternity testing are two fundamental and traditional tasks of forensic genetics. Short tandem repeat (STR), a kind of DNA length polymorphism characterized by high genetic polymorphism and discrimination capacity, constitutes the standard DNA genetic marker for the purposes of HID and paternity testing [1-3]. However, some disadvantages such as large amplified fragments and high mutation rates limit the wide use of STRs in forensic genetics. Insertion/deletion (InDel) polymorphism is a kind of DNA polymorphisms caused by insertion or deletion of DNA fragments with different lengths in the human genome [4]. As the biallelic DNA length polymorphism in human genome, InDels possess highlighted merits such as wide distributions in human genome, low mutation rates, small amplified fragments, absence of stutter peaks in comparisons with STRs and available for capillary electrophoresis (CE) platform [5,6]. InDels complement the disadvantages of STRs, which have become the promising genetic markers in the applications of HID [7,8] and biogeographic ancestry predictions [9] since the initial InDel map was reported in 2006 [10].

Though Qiagen Investigation® DIPplex kit has been widely used in the human identifications all over the world, researchers found that some InDel loci in that kit had low genetic polymorphisms in Chinese populations [7,11,12]. Considering that, some novel InDel kits with high genetic polymorphisms in East Asian populations have been constructed [13–15]. Previously, a novel multiplex amplification panel containing 43 highly polymorphic InDel loci and one Amelogenin locus was constructed in-house for the individual identifications in East Asian populations [16]. Compared with other InDel panels, this novel panel was characterized by smaller amplicon sizes at each InDel locus, which helped to ensure that complete InDel profiles could be obtained from degraded DNA samples. Developmental validation has demonstrated its reliable forensic efficiencies and potentials in forensic investigations [16].

China is a unified multi-ethnic country with 56 nationalities, which harbours abundant genetic resources and cultural diversities. The Han nationality is the most populous ethnic group, living in various geographical regions of China. Han populations in China are roughly divided into two parts—Southern Han and Northern Han. Differentiations in local dialects, folkways and eating habits among Han

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populations in different regions have been studied. Previous researches indicated that there were significant genetic differences between Northern and Southern Han subpopulations [17–19].

Ankang city (31°42'24"-33°50'34" N, 108°00'58"-110°12'05" E), a prefecture-level city under the jurisdiction of Shaanxi Province, locates at the junctions of Sichuan, Shaanxi, and Hubei provinces and Chongqing municipality. Han individuals in Ankang City account for 99% of its total population. Ankang Han, part of Southern Han Chinese, inhabits in the valley basin between the Qinling and the Bashan Mountains. Folkways of Ankang Han population deeply influenced by the Ba-Shu culture, as well as cultures of Qin and Chu States. (http://www.ankang.gov.cn/Node-2556. html). Though numerous researches have evaluated the forensic efficiencies and genetic relationships of Chinese Han populations in different regions, genetic diversities and background of Ankang Han population still need to be further investigated. This research is aimed to provide the clues on the genetic background and relationships between Ankang Han and reference groups via a set of these 43 InDel loci.

Materials and methods

Ethical statement, sample collections and reference groups

This research was conducted in accordance with the ethical principles for medical research involving human subjects recommended by the World Medical Association Declaration of Helsinki. Ethical approval (2019-1039) was acquired from the ethics committees of Xi'an Jiaotong University Health Science Center and Southern Medical University, and the study protocol has been permitted and overseen by the ethics committees. According to "sample collection protocol", peripheral blood samples of 311 unrelated healthy Han volunteers living in Ankang City, Shaanxi Province were gathered after obtaining written informed consents. All the volunteers who were recruited in this research declared that their families were Han people and lived in Ankang city for at least three generations. Population data of 43 InDel loci from 27 reference groups acquired from 1000 Genomes Project Phase 3 and previously published reports were used as reference population data [20]. The details of reference groups were shown in Table 1.

PCR amplification and capillary electrophoresis for InDel genotyping

Genomic DNA was extracted and purified by Magnetic Universal Genomic DNA Kit (TIANGEN Biotech, Beijing, China) using the method of magnetic beads. Forty-three InDel loci and an Amelogenin

 Table 1. Information of studied Ankang Han population and

 27 reference groups.

27 reference groups.		
Groups	Abbreviation	Size
African Caribbean in Barbados	ACB	96
African Ancestry in Southwest USA	ASW	61
Esan in Nigeria	ESN	99
Gambian in Western Division, The Gambia	GWD	113
Luhya in Webuye, Kenya	LWK	99
Mende in Sierra Leone	MSL	85
Yoruba in Ibadan, Nigeria	YRI	108
Gujarati Indian in Houston, TX, USA	GIH	103
Telugu in the UK	ITU	102
Sri Lankan Tamil in the UK	STU	102
Punjabi in Lahore, Pakistan	PJL	96
Bengali in Bangladesh	BEB	86
Kinh in Ho Chi Minh City, Vietnam	KHV	99
Japanese in Tokyo	JPT	104
Chinese Dai in Xishuangbanna	CDX	93
Han Chinese in Beijing	CHB	103
Southern Han Chinese	CHS	105
Hui group in Northwest Chinese	HLX	533
Han Chinese in Ankang City, Shaanxi Province	SNH	311
Utah residents with Northern and Western	CEU	99
European ancestry		
Finnish in Finland	FIN	99
British in England and Scotland	GBR	91
Iberian populations in Spain	IBS	107
Toscani in Italy	TSI	107
Colombian in Medellin, Colombia	CLM	94
Mexican Ancestry in Los Angeles, California	MXL	64
Peruvian in Lima	PEL	85
Puerto Rican in Puerto Rico	PUR	104

gene were detected according to the manufacturer's recommendation [16]. ABI 3500xL Genetic Analyzer with POP-4 (Thermo Fisher Scientific, South San Francisco, CA, US) was used to separate the InDel PCR products. Before the electrophoresis, loading samples containing $8.5 \,\mu$ L of Hi-Di formamide, $1 \,\mu$ L of PCR product and $0.5 \,\mu$ L of Size Standard Org500 (Microread Genetics, Beijing, China) were prepared. The alleles were determined using the GeneMapper ID-X software v1.5 (Thermo Fisher Scientific).

Quality control

The whole experimentation was implemented in an accredited laboratory by China National Accreditation Service for Conformity Assessment (CNAS L3881). This novel 43-InDel panel has already passed the developmental and internal validations [16]. In PCR amplification, the 9947A was used as positive control DNA, and deionized water was used as negative control.

Statistical analysis

The exact tests of Hardy-Weinberg equilibrium (HWE) were calculated using a Markov chain method by Arlequin v3.0 software [21]. Allelic frequencies of 43 InDel loci in Ankang Han population and forensic statistical parameters including expected heterozygosity (H_e), polymorphism information content (PIC), matching probability (MP), observed heterozygosity (H_o), power of discrimination (PD), probability of exclusion (PE) and typical paternity index (TPI) were calculated using an online tool-STRAF [22]. The

violin plots were performed by R 3.4.4 software to visualize the distributions of PD, H_e, H_o, MP, PE and PIC values. Linkage disequilibrium (LD) tests were calculated using SNPAnalyzer 2.0 software [23]. Genetic distances (D_A) between Ankang Han and other reference groups were calculated by DISPAN programme [24]. Pairwise fixation index (F_{ST}) values between Ankang Han and other reference groups were calculated by GENEPOP v4.0 software [25]. Two kinds of phylogenetic trees were constructed: (1) A neighbour-joining (NJ) tree was conducted based on allelic frequencies of 43 InDel loci by PHYLIP 3.69 package, and then visualized with MEGA 7 software [26,27]; (2) A phylogenetic tree was conducted on the basis of D_A values using unweighted pair-group method with arithmetic mean (UPGMA) method by Mega 7 software. Two principal component analysis (PCA) plots were conducted by R 3.4.4 software based on the insertion allelic frequencies of 43 InDel loci. Ancestry component analyses were conducted by STRUCTURE v2.3.4 software with predefined K values ranging from 2 to 6. Structure Harvester (http://taylor0.biology.ucla.edu/structureHarvester/) and CLUMPAK (http://clumpak.tau.ac.il/) online tools were used to further analyze and visualize the results of STRUCTURE. Tableau 2019.2 (Tableau Software, Inc., Seattle, WA, USA) was used to create a geographical map of Ankang Han and six reference groups located in East Asia.

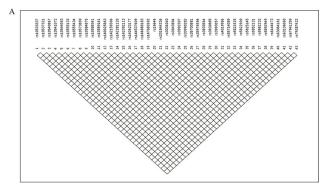
Results

HWE and linkage disequilibrium tests

Before the statistical analyses, the exact tests of HWE were calculated in all loci, and their *P*-values were shown in supplementary Table S1. In Ankang Han population, the studied 43 InDel loci were confirmed to meet the HWE after sequential Bonferroni correction (P=0.05/43=0.0012). In the LD tests, we calculated the r^2 values of pairwise loci, and the results were displayed in an inverted triangle-like shape containing 903 squares (Figure 1A). The depth of each square colour in Figure 1A reflected the size of r^2 value. In current research, the r^2 values of all pairwise loci were less than 0.1.

Forensic statistical parameters of 43 InDel loci in Ankang Han population

Allelic frequencies and a series of forensic statistical parameters including H_e , PIC, MP, H_o , PD, PE and TPI values of 43 InDel loci in Ankang Han population were calculated, and the results were shown in Supplementary Table S1 and Figure 1. The insertion allelic frequencies of 43 InDel loci varied from 0.3617 (rs55714089) to 0.5804 (rs10555133). All



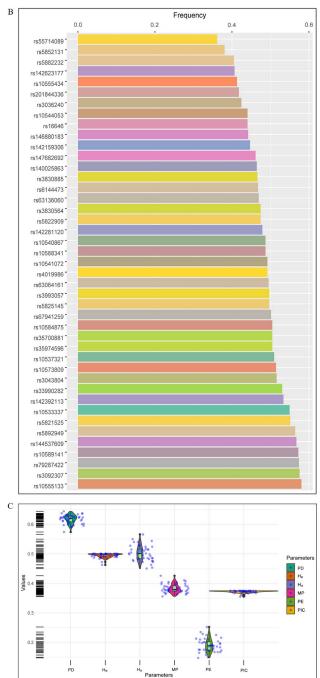


Figure 1. Results of linkage disequilibrium tests, insertion allelic frequencies and forensic parameters of 43 InDel loci in Ankang Han population. (A) Results of linkage disequilibrium tests. (B) Insertion allelic frequencies of 43 InDel loci in Ankang Han population. (C) The violin plots of expected heterozygosity (H_e), observed heterozygosity (H_o), polymorphism information content (PIC), matching probability (MP), power of discrimination (PD) and probability of exclusion (PE).

insertion allelic frequencies were higher than 0.4 except for rs55714089 (0.3617) and rs5852131 (0.3810) (Figure 1B). As shown in Figure 1C, violin plots that reflected the distributions of PD, He, Ho, MP, PE and PIC values were conducted. The PD, PE and MP values of 43 InDel loci in Ankang Han population ranged from 0.5741 to 0.6439, 0.1475 to 0.2520, and 0.3561 to 0.4259, respectively, with the mean values of 0.6169, 0.1903 and 0.3831, respectively. The minimum and maximum of H_e and PIC were 0.4625 and 0.5008 (He), 0.3552 and 0.3750 (PIC), respectively. The combined PD (CPD) value was 0.999 999 999 999 999 998 827 39, and the combined PE (CPE) was 0.999 887 424. The insertion allelic frequencies of 43 InDel loci in Ankang Han population were shown in Figure 1B.

D_A and pairwise F_{ST} values between Ankang Han and reference groups

To assess the genetic differentiations between Ankang Han and worldwide reference groups, we firstly estimated the pairwise F_{ST} values between Ankang Han and 27 reference groups, and visualized *via* Nightingale's Rose graph (Figure 2A). The minimum

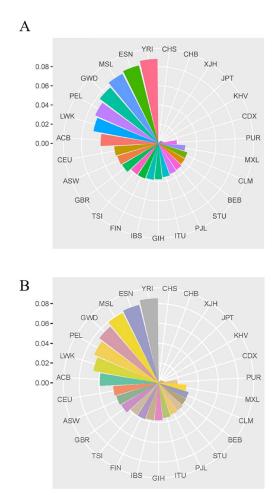


Figure 2. Nightingale's Rose graphs showing F_{ST} (A) and D_A (B) values between Ankang Han and reference groups. See Table 1 for the full names of abbreviations of populations groups.

 $F_{\rm ST}$ value was observed between Ankang Han and Southern Han Chinese (CHS) ($F_{\rm ST} = 0.0004$), followed by Han Chinese in Beijing (CHB) ($F_{\rm ST} = 0.0004$) and Chinese Hui group (XJH) ($F_{\rm ST} = 0.0014$) while the largest $F_{\rm ST}$ value was found between Ankang Han and Yoruba in Ibadan, Nigeria (YRI) ($F_{\rm ST} = 0.088$). In addition, *Nei's* genetic distances were calculated based on the allelic frequencies of 43 InDel loci, and shown in Figure 2B. The closest genetic distance was found between Ankang Han and CHS ($D_{\rm A}$ =0.0001), followed by CHB ($D_{\rm A} = 0.0004$), Chinese XJH ($D_{\rm A}$ = 0.0014) and Japanese in Tokyo (JPT) ($D_{\rm A} = 0.0037$) while the large $D_{\rm A}$ values were found between Ankang Han and African populations.

Phylogenetic trees and principal component analyses of 28 populations based on 43 InDel loci

We evaluated the phylogenetic relationships among Ankang Han, other Chinese Han populations and reference groups using the NJ and UPGMA methods, respectively, and the phylogenetic trees were shown in Figure 3. In the NJ tree (Figure 3A), all

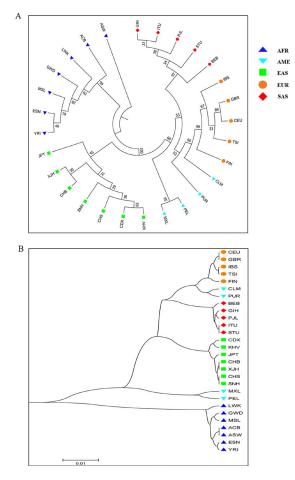


Figure 3. Phylogenetic trees *via* NJ and UPGMA algorithms. (A) An NJ tree was conducted based on allelic frequencies of 43 InDel loci by PHYLIP package. (B) A phylogenetic tree was conducted on the basis of D_A values using UPGMA by MEGA 7 software. See Table 1 for the full names of abbreviations of populations groups.

groups clustered into four major branches, roughly in line with their intercontinental distributions: seven groups from Africa, five groups from Europe, five groups from South Asia and seven groups from East Asia clustered together, respectively. In the East Asia subbranch (labeled with green square), the studied Ankang Han population clustered closely with CHS, Chinese Dai in Xishuangbanna (CDX) and Kinh in Ho Chi Minh City, Vietnam (KHV) groups. In UPGMA tree (Figure 3B), we found the similar results with NJ tree that seven groups from East Asia clustered in the same branch, and Ankang Han population clustered closely with CHS. Additionally, we conducted two PCA plots of 28 groups based on allelic frequencies of 43 InDel loci. As shown in Figure 4, the first three principal

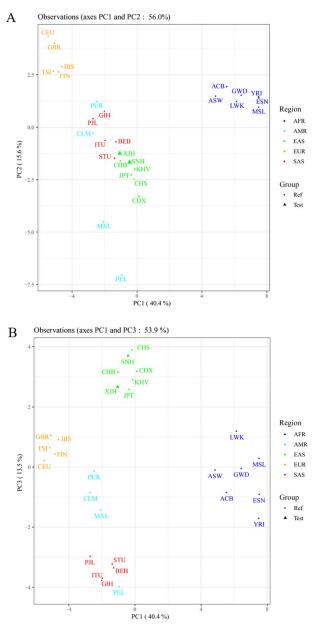


Figure 4. PCA plots of Ankang Han (SNH) and 27 reference groups based on allelic frequencies of 43 InDel loci. See Table 1 for the full names of abbreviations of populations groups.

components could explain 69.5% total variance. In PC1 (explaining 40.4% variance), African and European populations could be separated from other intercontinental groups. PC2, accounting for 15.6% variance, distinguished European and East Asian groups from others. In PC3 (explaining 13.5% variance), East Asian groups and American groups could be distinguished from others. Ankang Han population clustered together with these East Asian groups.

Structure analyses of Ankang Han population and reference groups based on 43 InDel loci

We dissected individual ancestry components among 3 348 individuals of 28 groups using the Admixture model based on the raw genotypes results and inferred the ancestry compositions of Ankang Han population under K values from 2 to 6. As shown in Figure 5A, African groups, which shared cyan-based ancestry components, were distinguished from other intercontinental groups at K=2. When K value was 3, East Asian groups subsequently appeared in the individual ancestry vertical line with purple-based ancestry component. When K value was increased to 4, African, East Asian, European and South Asian groups were identified from each other with cyan-, purple-, orangeand green-based ancestry components, respectively. With the increasing of K values from 5 to 6, no further substructure was appeared in these groups. Figure 5B displayed the result of cluster analysis for the Ankang Han population using the output file when K was 3. In cluster analysis, cluster 1 (red plots), cluster 2 (green plots) and cluster 3 (yellow plots) represented the African, East Asian and European ancestry compositions, respectively. Ankang Han individuals (blue plots) mainly clustered with East Asian populations. The boxplots in Figure 5C displayed the ancestry compositions of Ankang Han individuals. The studied Ankang Han population mainly composed of the East Asia-based ancestry composition, and shared the similar ancestry compositions with these East Asian populations.

Discussions

Genetic diversities of 43 InDel loci in Ankang Han population

HWE and LD analyses were firstly accessed before the multiple statistical analyses and population genetic analyses were conducted. The results of exact tests of HWE revealed that all the InDel loci confirmed to meet the HWE, that is, the present population data of Ankang Han were representative. In the LD tests, we found that r^2 values of all pairs of 43 InDel loci were less than 0.1, which demonstrated that 43 InDel loci were independent

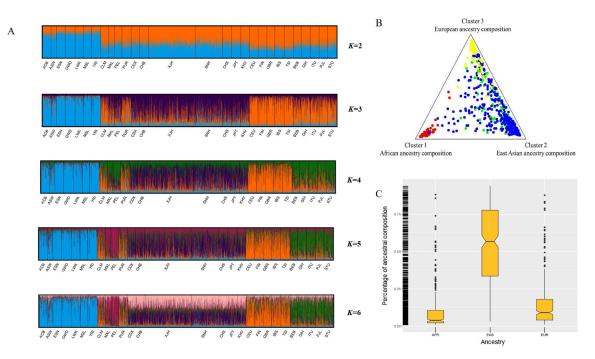


Figure 5. (A) STRUCTURE analyses among 3 348 individuals of Ankang Han (SNH) and 27 reference groups using the Admixture model based on the raw genotypes under *K* values from 2 to 6. (B) Cluster analysis for the ancestry compositions of Ankang Han group (K=3). (C) Boxplot of the ancestry compositions of Ankang Han population. See Table 1 for the full names of abbreviations of populations groups.

with each other, and could be used for subsequent population genetic analyses.

We calculated a series of forensic statistical parameters for the sake of evaluating the genetic polymorphisms of this 43 InDel loci in Ankang Han population. Expected heterozygosity was one of the most frequently used forensic statistical parameters to measure the diversities of genetic markers in certain population [28,29]. In Ankang Han population, He values of almost InDel loci were higher than 0.4, which demonstrated these loci showed higher than genetic diversities in Ankang Han population. PIC, originally defined by Botstein et al. [30], is another statistic to measure the genetic diversity. For biallelic markers, it is generally believed that PIC values ranging from 0 to 0.1 indicate low genetic polymorphisms; PIC values ranging from 0.1 to 0.25 indicate that these genetic markers have the moderate degree of genetic polymorphisms; the genetic marker shows high diversity when the PIC value is greater than 0.25 [31]. PIC values of 43 InDel loci in Ankang Han population were all above 0.3, indicating that these loci performed high genetic diversities and were suitable for the forensic applications in Ankang Han population. Besides, the CPD value of 43 InDel loci in Ankang Han population was 0.999 999 999 999 999 998 827 39, which met the efficiency of forensic personal identification. However, the CPE was 0.999 887 424 so that this panel could only be used as a complementary tool in the paternity testing.

Genetic affinities among Ankang Han and reference groups based on 43 InDel loci

We investigated the genetic affinities among Ankang Han and reference groups using the D_A genetic distances, F_{ST} values, PCAs, phylogenetic trees and STRUCTURE analyses. The pairwise F_{ST} values, proposed by Wright [32], were often used to evaluate the genetic differentiations among different populations. Genetic distance, usually referring to the genetic difference determined by genetic frequency, is a numerical statistic that is used to evaluate the genetic differences between different populations or species [33]. We calculated the F_{ST} and D_A values between Ankang Han and reference groups. The minimum F_{ST} and D_A values were observed between Ankang Han and CHS, followed by CHB and, which suggested the close genetic relationships might exist between Ankang Han and Southern Han population.

PCA method could transform the multivariate data to a set of uncorrelated principal components or new variables that explained a large amount of variances within the data [34]. PCA plots were carried out to further evaluate the genetic relationships among these 28 groups. As mentioned above, the first three principal components could explain 69.5% total variance. Ankang Han population clustered closely with Southern Han population in PC1 and PC3, demonstrating that the close genetic affinity existed between Ankang Han and Southern Han populations. Furthermore, we reconstructed two kinds of phylogenetic trees *via* NJ and UPGMA algorithms.

We found the studied Ankang Han population clustered closely with CHS, which were in accordance with results of the PCAs, F_{ST} and D_A values.

STRUCTURE adopts Bayesian iterative algorithm and Markov Chain Monte Carlo theory (MCMC) to analyze the ancestry components. This software assigned each individual to predetermined ancestral components (K values), and then estimated ancestral compositions of each individual based on raw genotypes of 43 InDel loci. In the Bayesian structure analysis, we also found Ankang Han population shared similar ancestry compositions with East Asian groups, which were characterized with the purple-based ancestry composition when K was 3. This indicated that Ankang Han population had closer relationships with East Asian groups.

Related genetic and linguistic researches indicated that Han and Tibeto-Burman populations may share the same ancestors and originate in Northern China in the Late Neolithic and then diverge from each other about ~6 000 years before present [35,36]. Historical materials revealed that the Yan Emperor and Yellow Emperor were the ancestors of the Han Chinese. Many researchers found that there were genetic divergencies between Northern and Southern Han populations in China [37,38]. In present study, Ankang Han population had a closer genetic relationship with Southern Han population compared with Beijing Han (part of Northern Han Chinese), which was consist with previous researches [37,39].

Conclusion

In conclusion, we characterized the forensic efficiencies of 43-InDel panel in Ankang Han population. The results of the forensic statistical analyses demonstrated that these 43 InDel loci had sufficient power for forensic individual identifications in Ankang Han population. Population genetic investigations indicated that Ankang Han population had closer genetic relationships with East Asian groups, especially with Southern Han Chinese.

Authors' contributions

Bofeng Zhu and Tong Xie designed and was responsible for this research. Wei Cui, Xiaoye Jin and Tong Xie conducted the InDel genotyping and prepared the preliminary data. Wei Cui, Qiong Lan and Yating Fang analyzed the data. Wei Cui written the draft manuscript. Jiangwei Lan, Man Chen, Shuyan Mei, Tong Xie and Bofeng Zhu reviewed and revised the manuscript. All authors read and approved the final manuscript.

Compliance with ethical standards

This research was conducted in accordance with the ethical principles for medical research involving human subjects

recommended by the World Medical Association Declaration of Helsinki. This research has been permitted and overseen by the ethics committees of Xi'an Jiaotong University Health Science Center (2019-1039) and Southern Medical University. Written informed consent was obtained from all individual participants included in the study.

Disclosure statement

The authors stated that they had no conflicts of interest.

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