

# Effects of controlled ovarian stimulation on toxicity of TAC chemotherapy in early breast cancer patients

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**Background:** Oocyte and embryo cryopreservation, using controlled ovarian stimulation (COS), are common fertility preservation methodologies in breast cancer patients receiving gonadotoxic neo (adjuvant) chemotherapy (CT). The effects of COS and peak estradiol levels on CT-induced side effects are unknown.

**Patients and methods:** Eighteen patients with stage II and III breast cancer underwent oocyte or embryo cryopreservation at Leiden University Medical Center before receiving docetaxel, adriamycin, and cyclophosphamide (TAC) CT (COS group). A control group (N=18) was retrospectively selected from breast cancer patients, aged between 18 and 40, who underwent TAC CT without fertility preservation. CT-induced toxicity in the 2 groups was compared using  $\chi^2$  analysis. Associations between peak estradiol levels and distinct stimulation protocols and side effects in the COS group were investigated by using regression analysis.

**Results:** Patient characteristics between both groups were similar, except for a lower age in the COS group vs the control group (30.5 vs 35.2 years,  $P=0.005$ ). No differences were seen in grade III/IV side effects between both groups. In the COS group, an increase in thrombopenia grade I/II was seen, while grade I/II stomatitis and constipation were significantly lower in the COS group as compared with the control group ( $P=0.006$  and  $P=0.008$ , respectively). In the COS group, no association was found between the peak estradiol levels and distinct stimulation protocols and side effects of CT.

**Conclusion:** COS prior to TAC CT was not associated with an increase in grade III/IV side effects. Interestingly, COS may have a protective effect on mucositis and constipation. Moreover, the peak estradiol levels and distinct stimulation protocols had no effect on grade III/IV side effects in our study.

**Keywords:** chemotherapy, toxicity, fertility preservation, controlled ovarian hyperstimulation

## Introduction

Pre-menopausal breast cancer patients who receive chemotherapy (CT) have a risk of decreased ovarian reserve, premature ovarian failure, and infertility after treatment.<sup>1-3</sup> Therefore, discussion of and referral for fertility preservation in patients who wish to have a child in the future is an essential part of the treatment plan.<sup>4-7</sup> As mortality rates have declined over time in this patient group and patients often postpone their first pregnancy,<sup>2,8</sup> oocyte and embryo cryopreservation are commonly used fertility preservation options.<sup>9</sup> Both approaches require controlled ovarian stimulation (COS) for ~2 weeks. Conventional ovarian stimulation protocols cause high serum levels of estradiol.<sup>10,11</sup> To block or to decrease estradiol during COS, new protocols with tamoxifen and aromatase

inhibitors in combination with low levels of follicle-stimulating hormone (FSH) are developed.<sup>10,12</sup> Studies have shown that COS for fertility preservation with tamoxifen or aromatase inhibitors in patients with breast cancer are unlikely to increase recurrence risk.<sup>13–16</sup> The effects of COS and the additional hormonal alterations on toxicity of CT have not been studied. Pre-clinical evidence shows that sex hormones may interfere with side effects of CT.<sup>17,18</sup> Therefore, we conducted a retrospective case–control study of the effects of COS on CT-induced toxicity in early breast cancer patients undergoing oocyte and embryo cryopreservation for fertility preservation prior to neo (adjuvant) docetaxel, adriamycin, and cyclophosphamide (TAC) CT.

## Patients and methods

### Study population

Eligible patients with early breast cancer stage, I<sub>c</sub>, II and III, and underwent oocyte or embryo cryopreservation at the gynecology department of the Leiden University Medical Center (LUMC) between 2009 and 2015. All patients received TAC CT (docetaxel 75 mg/m<sup>2</sup> IV, adriamycin 50 mg/m<sup>2</sup> IV, and cyclophosphamide 500 mg/m<sup>2</sup> IV, day 1, q3 weeks) and were treated in 6 hospitals in the Netherlands. The control group was selected from all patients in the LUMC who underwent TAC CT between 2009 and 2015 without oocyte or embryo cryopreservation and with the age at diagnosis between 18 and 40 years. The retrospective study was conducted according with the Declaration of Helsinki and the Dutch “Code of Good Conduct,” and was approved by the Ethics Committee of the LUMC. Patient consent was assumed as per the no-objection rule of the “Code of Good Conduct” (<https://www.federa.org/codes-conduct>).

### Stimulation protocols

The following stimulation protocols were used: 1) COS protocol with FSH, tamoxifen, and gonadotropin-releasing hormone (GnRH) antagonist; 2) protocol with FSH and a GnRH antagonist alone; and 3) protocol with FSH and a GnRH agonist. Patient received the distinct COS protocols depending on hormone receptor status of the tumor and timing of start in the follicular or luteal phase of the menstrual cycle. Typically, patients received COS on the second or third day of the menstrual bleeding with an average dose of 150 U of FSH subcutaneously with or without 60 mg tamoxifen daily. Until E2 >250 pg/mL and/or the largest ovarian follicles reach 17–18 mm in diameter by ultrasound examination, the stimulation was followed by an human chorionic gonadotropin (HCG) injection (subcutaneous ovitrelle 0.25 mg/d). The oocyte retrieval was performed 36 hours later.

## Toxicity

Side effects and hematological toxicity were graded according to the Common Terminology Criteria for Adverse Events version 4.03. Each side effect, documented by the physician, was scored once per patient at maximum during the course, and the highest grade of occurrence was scored.

## Blood sampling and assay methods

During the monitoring phase of the COS, venous blood samples were drawn in a serum-separating tube with intervals of 1–4 days. Serum estradiol levels were analyzed by electrochemiluminescence immunoassay (Modular Analytics E 170 Roche Diagnostics, Basel, Switzerland). All samples were analyzed by the accredited clinical laboratory of the LUMC.

## Statistical analysis

For the primary endpoint, toxicity due to CT was compared between both groups using chi-square and logistic regression analysis. For the secondary endpoints, peak estradiol serum levels and distinct stimulation protocols were associated with grade III/IV toxicity in the COS group by using regression analysis. Univariate and multivariate odds ratios along with their 95% confidence intervals were estimated. All tests were 2-tailed, and *P*-values <0.05 were considered significant. All data were analyzed using Statistical Package for Social Sciences software™ 20.0 (IBM Corporation, Armonk, NY, USA).

## Results

### Patient characteristics

Eighteen patients received COS prior to neo (adjuvant) CT. Ten patients received a COS protocol with tamoxifen and GnRH antagonist, four patients a protocol with a GnRH antagonist alone, and four patients a protocol with a GnRH agonist alone. Eight patients in the COS group received 2 subsequent cycles of COS, whereas 10 patients received 1 cycle of COS. The control group (N=18) consisted of patients who received neo (adjuvant) TAC CT between 2009 and 2016 and were between 18 and 40 years old. Patient characteristics between both groups were similar, except for a lower age in the COS group vs the control group (30.5 vs 35.2 years, *P*=0.005). Two patients did not receive all 6 cycles of TAC in the COS group. One patient switched after one TAC cycle due to neutropenic enterocolitis (typhlitis) to 3 3-weekly cycles AC followed by 9 weekly cycles of paclitaxel, and 1 patient chose to stop after 4 cycles of TAC without a medical necessity to discontinue the CT. Patient characteristics at diagnosis are summarized in Table 1.

## Toxicity

The observed grade III/IV side effects were neutropenic fever, neutropenic enterocolitis, fatigue, and pneumonia. No difference were seen in grade III/IV side effects between both

**Table 1** Patient characteristics

Characteristics	OS (n=18)	Control (n=18)	P-value
Median age (range), years	35.2 (22–38)	30.5 (25–40)	0.005
T-classification			0.32
T1	10 (55.6%)	7 (38.8%)	
T2–T4	8 (44.4%)	11 (61.1%)	
N-classification			0.73
N0	12 (66.7%)	11 (61.1%)	
N+	6 (33.3%)	7 (38.9%)	
HR status			0.49
ER and PR negative	6 (33.3%)	8 (42.1%)	
ER and/or PR positive	12 (66.7%)	10 (58.8%)	
HER-2 status			0.29
Negative	17 (94.4%)	15 (83.3%)	
Positive	1 (5.6%)	3 (16.7%)	
Radiotherapy before CT			0.49
No	12 (66.7%)	14 (77.8%)	
Yes	6 (33.3%)	4 (22.2%)	
Medication			
Tamoxifen + GnRH antagonist	10 (55.6%)		
GnRH agonist	4 (22.2%)		
GnRH antagonist	4 (22.2%)		
Number of cycles			
1	10 (55.6%)		
2	8 (44.4%)		

**Abbreviations:** CT, chemotherapy; ER, estrogen receptor; GnRH, gonadotropin-releasing hormone; HR, hormone receptor; OS, ovarian stimulation; PR, progesterone receptor.

**Table 2** Grade I/II and grade III/IV toxicity during 6 cycles of TAC in both arms

Adverse event	OS	Control	P-value	OR (95% CI)	P-value, OR (95% CI), multivariate
Any grade					
Fatigue	13	14	0.700	0.74 (0.16–3.38)	
Mucositis	5	13	0.008	0.15 (0.03–0.06)	0.018, 0.13 (0.03–0.70)
Neuropathy	5	7	0.480	0.60 (0.15–2.45)	
Diarrhea	8	4	0.157	2.80 (0.66–11.9)	
Nausea	12	13	0.717	0.77 (0.19–3.19)	
Vomiting	7	5	0.362	1.66 (0.41–6.71)	
Eye complaints	5	9	0.171	0.39 (0.10–1.54)	
Constipation	7	15	0.006	0.13 (0.27–0.61)	0.020, 0.13 (0.022–0.721)
Anemia	11	13	0.480	0.60 (0.15–2.45)	
Thrombopenia	5	0	NA	NA	
Leukopenia	7	4	0.278	2.23 (0.52–9.59)	
Neutropenia	6	3	0.248	2.50 (0.52–12.1)	
Grade III/IV					
Total grade III/IV	6	5	0.717	1.30 (0.31–5.39)	
Febrile neutropenia	5	2	0.206	3.08 (0.51–18.5)	

**Notes:** All side effects were scored according CTCAE4.03. Each side effect was scored maximal once per patient during the course (the highest grade of occurrence was scored). The multivariate model has been adjusted for age.

**Abbreviations:** CTCAE, Common Terminology Criteria for Adverse Events; NA, not applicable; OR, odds ratio; OS, ovarian stimulation; TAC, docetaxel, adriamycin, and cyclophosphamide.

groups. The incidence of side effects that occurred in both groups is given in Table 2. In the COS group an increase in thrombopenia grade I/II was seen; 4 patients had a grade I and 1 patient had a grade II thrombopenia. Grade I/II stomatitis and constipation occurrence was significantly lower in the COS group as compared to the control group ( $P=0.008$  and  $P=0.006$ , respectively). These results remained significant in multivariate analysis when adjusting for age ( $P=0.018$  and  $P=0.020$ , respectively). No grade V toxicity occurred during the CT in either group.

## Estradiol levels and toxicity

In the COS group, no association was found between the peak estradiol levels and distinct stimulation protocols and grade III/IV side effects of CT. The mean peak estradiol serum levels in the protocol with tamoxifen was 8,046 pmol/L (N=10) and in the protocol without tamoxifen 5,717 pmol/L (N=8); the peak estradiol levels per protocol are given in Table 3. The peak estradiol levels were not significantly different ( $P=0.351$ ).

## Discussion

This study shows that COS prior to TAC CT is not associated with an increase or decrease in grade III/IV side effects in breast cancer patients treated with neo (adjuvant) TAC CT. To the best knowledge of the authors, this is the first study on effects of COS on CT -induced side effects.

COS for fertility preservation prior to TAC CT appears to be safe in terms of toxicity in breast cancer patients, as it

**Table 3** The peak estradiol levels of distinct stimulation protocols

Protocol	N	Mean levels of estradiol (pmol/L)
Tamoxifen + GnRH antagonist	10 (55.6%)	8,046 (4,565–11,527)
GnRH agonist	4 (22.2%)	6,869 (3,758–9,979)
GnRH antagonist	4 (22.2%)	4,566 (2,170–6,962)

**Abbreviation:** GnRH, gonadotropin-releasing hormone.

did not increase grade III/IV side effects. However, we found a significant increase in grade I/II thrombopenia in the COS group. Because thrombocytopenia grade II ( $<75.0 \times 10^9/L$  blood platelets) might require delay and/or dose reduction of the CT, these results need to be validated in a larger study.

Interestingly, COS had a protective effect on mucositis and constipation. The protective effect of COS on occurrence of mucositis during CT treatment may be due to the hormonal alterations caused by COS. Analogously, estrogen treatment had a beneficial effect on recurrent aphthous mouth ulcers in premenopausal healthy women<sup>19</sup> and on oral discomfort in postmenopausal women.<sup>20</sup> Additionally, the estrogen receptor has been detected in the oral mucosa.<sup>21,22</sup> Thus, decrease in occurrence of mucositis in the COS group may be due to a transient increase of estradiol levels due to COS and its effects on the oral mucosa. The beneficial effect on constipation may be caused by the hormonal alternations as well. Researchers found a beneficial effect of estrogen on stool consistency and ease of passage in healthy postmenopausal women.<sup>23</sup> However, this effect might also be due to variable antiemetics protocols used in the different hospitals, as antiemetics can cause constipation.<sup>24</sup>

As an alternative for COS, ovarian tissue cryopreservation is an option for breast cancer patients under the age of 35 years with CT resulting in  $>50\%$  loss of ovarian reserve.<sup>25</sup> Although, it does not require COS and can be performed without delaying the start of CT, ovarian tissue cryopreservation requires surgery and is still in the experimental stage. The success rate for pregnancy has been estimated between 11% and 30%;<sup>26</sup> however, presumably, the success rate cannot be accurately determined yet.<sup>27</sup> Our study results support the usage of COS prior to CT because of the absence of a significant effect on grade III/IV side effects during CT. Moreover, patients with a mutation of *BRCA1/2* might choose preimplantation genetic diagnosis, which requires COS and an in vitro fertilization procedure.<sup>28</sup>

It seems important to emphasize that the sample size of our study was small. Therefore, the results need to be validated in a larger cohort. Another limitation of our study was the significant difference in age between the COS and

control group. We cannot rule out that this difference might be related to our results, although we used the same inclusion criteria for age in both groups. Moreover, in this retrospective study, the estradiol levels of the patients in the control group were unknown, and these levels may be valuable for the comparison between both groups in a future study.

## Conclusion

In conclusion, COS prior to TAC CT is not associated with an increase in grade III/IV side effects. COS may increase grade I/II thrombocytopenia and may have a protective effect on mucositis and constipation.

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## Disclosure

The authors report no conflicts of interest in this work.

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