

—Original—

# A Long-term Follow-up Study on the Engraftment of Human Hematopoietic Stem Cells in Sheep

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**Abstract:** Xenograft models of human hematopoiesis are essential to the study of the engraftment and proliferative potential of human hematopoietic stem cells (HSCs) *in vivo*. Immunodeficient mice and fetal sheep are often used as xenogeneic recipients because they are immunologically naive. In this study, we transplanted human HSCs into fetal sheep and assessed the long-term engraftment of transplanted human HSCs after birth. Fourteen sheep were used in this study. In 4 fetal sheep, HSCs were transduced with homeo-box B4 (*HOXB4*) gene before transplantation, which promoted the expansion of HSCs. Another 4 fetal sheep were subjected to non-myeloablative conditioning with busulfan. Seven of these 8 sheep showed successful engraftment of human HSCs (1–3% of colony-forming units) as assessed after the birth of fetal sheep (5 months post-transplantation), although *HOXB4*-transduced HSCs showed sustained engraftment for up to 40 months. Intact HSCs were transplanted into six non-conditioned fetal sheep, and human colony-forming units were not detected in the sheep after birth. These results suggest that, as compared with mouse models, where the short lifespan of mice limits long-term follow-up of HSC engraftment, the fetal sheep model provides a unique perspective for evaluating long-term engraftment and proliferation of human HSCs.

**Key words:** engraftment, hematopoietic stem cells, large animal models, long-term follow-up, sheep

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## Introduction

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Animal transplantation models are indispensable for functional assessment of hematopoietic stem cells (HSCs), because reliable *in vitro* surrogate assays for the cells capable of long-term hematopoietic repopulation *in vivo* are currently unavailable [8, 11]. As experimental transplantation of HSCs into humans is ethically unattainable, xenograft models are commonly used for studying engraftment and proliferative potential of human HSCs *in vivo*. Several xenogeneic transplantation models have been studied, of which immunodeficient mice [5–7, 9, 15] and fetal sheep [31] are advantageous

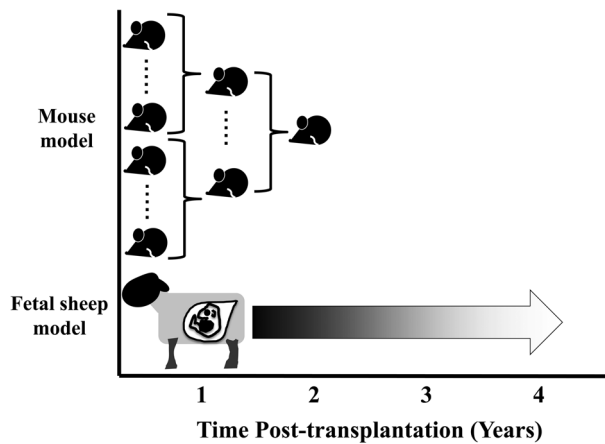
because of their immunologically naive state. Human HSCs can readily engraft and generate progeny in these animals. Although immunodeficient mice have gained the broadest application in laboratory research due to the ease of access and handling of animals, humans and mice show distinct differences, especially in lifespan and body size, which are relevant to HSC transplantation. The reconstitution of human hematopoiesis in mice allows for observation for 1–2 y after serial transplantation [10, 16, 29]; however, execution of the procedure requires a large number of mice (Fig. 1).

The aim of this study was to examine whether the transplantation of human HSCs into fetal sheep could

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**Fig. 1.** Long-term assessment of human HSCs *in vivo*. In immunodeficient mice, although serial transplantation is an approach to evaluate the long-term assessment of human hematopoietic stem cells (HSCs), a large number of mice are required for the following transplantation. In contrast in sheep, the long-term assessment can be achieved in single animals. It is possible to conduct repeated bleeding and evaluation of samples at desired intervals over long periods without serial transplantation in sheep.

allow long-term engraftment of the cells in the sheep after birth. Long-term assessment of human HSCs in sheep can be performed because the large size and long lifespan of sheep allow repeated sampling of bone marrow (BM) in individual animals at desired intervals for long periods of time [1, 24, 31]. Here we report sustained engraftment of human HSCs in sheep for up to 40 months post-transplantation as assessed by clonogenic assays of the BM.

## Materials and Methods

### Animals

Pregnant Suffolk ewes (Japan Lamb, Hiroshima, Japan) were bred at Jichi Medical University and at Utsunomiya University Farm. All experiments in this study were performed in accordance with the Jichi Medical University Guide for Laboratory Animals and the Utsunomiya University Guide for Experimental Animals.

### Graft preparation

Human cord blood (CB) was supplied by the RIKEN BioResource Center Cell Bank (Ibaraki, Japan). Human CB CD34<sup>+</sup> cells, used as hematopoietic stem cells (HSCs), were isolated by immunomagnetic separation using an anti-human CD34 microbeads kit (Miltenyi

Biotech, Auburn, CA, USA) according to the manufacturer's instructions. In a protocol (4 out of the 14 CB samples), namely *HOXB4* protocol, human CB CD34<sup>+</sup> cells were transduced before transplantation with a polymerase gene-defective Sendai virus vector (DNAVEC Corp., Ibaraki, Japan) that transiently expressed the human *HOXB4* gene (GenBank accession No. NM 024015). The *HOXB4* gene provided a selective growth advantage to transduced HSCs *in vivo* [4, 25, 26, 32]. The transduction was conducted by culturing the cells for 4 days in the presence of 100 ng/ml recombinant human (rh) stem cell factor (SCF), rh Flt3 ligand (both from R&D Systems, Minneapolis, MI, USA) and rh thrombopoietin (Kyowa Hakko-Kirin Co., Ltd., Tokyo, Japan) [1].

### *In utero transplantation (IUT)*

The cells were transplanted into the liver of fetal sheep at 45 to 49 days of gestation (full term, 147 days). The procedures of IUT were described previously [17]. In another protocol (the BU protocol), some fetuses (4 out of the 14 sheep) received busulfan (BU, Wako Pure Chemical Industries Ltd., Osaka, Japan) via the dams intravenously at 3 mg/kg (calculated based on maternal body weight) 6 days before transplantation [2]. BU is often administered to patients as a conditioning agent before HSC transplantation [3, 21].

### *Colony-forming unit (CFU) assay*

CB CD34<sup>+</sup> cells used for transplantation or sheep bone marrow (BM) cells post-transplantation were subjected to CFU assay. Briefly, cells were plated in a 35-mm petri dish with 1 ml of MethoCult GF<sup>+</sup> H4435 (StemCell Technologies, Vancouver, BC, Canada) containing SCF, granulocyte colony-stimulating factor (G-CSF), granulocyte-macrophage CSF, interleukin (IL)-3, IL-6 and erythropoietin, which were recombinant human products and purchased from StemCell Technologies. The culture conditions equivalently support the growth of ovine as well as human CFUs, and thus no difference in the efficiency of colony formation between ovine and human hematopoietic cells was observed in this assay [24]. After incubation at 37°C with 5% CO<sub>2</sub> for 14 days, colonies containing more than 50 cells were counted under an inverted light microscope [1, 2]. Statistical significance of the difference in colony numbers was determined by the ANOVA-test.

**Table 1.** Long-term engraftment in sheep after in-utero transplantation of human hematopoietic stem cells

Protocols	Animal no.	In utero transplantation			Engraftment (% of human CFUs) <sup>a)</sup>				
		Gestational day of transplant (Full term: 147 days)	Number of transplanted cells per fetus ( $\times 10^5$ )	Number of transplanted CD34 <sup>+</sup> cells per fetus ( $\times 10^5$ )	5 months post IUT	15–17 months post IUT	20–25 months post IUT	40 months post IUT	58 months post IUT
<i>HOXB4</i> <sup>b)</sup>	Y705-1	49	5.2	3.8	0.0	0.0	0.0	-	0.0
	Y705-2	49	4.5	4.5	1.1	2.2	2.2	2.2	0.0
	Y271-1	49	3.2	2.9	3.3	1.1	0.0	-	-
	Y271-2	49	8.9	7.6	2.2	0.0	0.0	-	-
BU <sup>c)</sup>	Y940-1	48	20.0	17.5	1.1	- <sup>e)</sup>	-	-	-
	Y940-2	48	20.1	13.8	1.1	-	0.0	-	-
	Y1061-1	48	7.2	2.8	2.2	0.0	-	-	-
	Y1061-2	48	10.7	5.8	3.3	0.0	-	-	-
Non-treatment <sup>d)</sup>	Y1018-1	49	23.6	17.8	0.0	-	-	-	-
	Y1018-2	49	15.5	12.0	0.0	-	-	-	-
	Y973	45	8.6	5.6	0.0	-	-	-	-
	Y936	47	7.3	5.4	0.0	-	-	-	-
	W110	48	17.7	13.7	0.0	-	-	-	-
	Y955	48	13.3	10.1	0.0	-	-	-	-

<sup>a)</sup> Percentage of human CFUs was calculated by dividing the number of CFUs positive for the human-specific  $\beta 2$ -microglobulin gene sequence by the total number of CFUs being analyzed in the bone marrow. <sup>b)</sup> In the *HOXB4* protocol, human CD34<sup>+</sup> cells transduced with *HoxB4* were transplanted into non-conditioned fetal sheep [1]. <sup>c)</sup> In the BU protocol, BU was administered 6 days before IUT of non-transduced human CD34<sup>+</sup> cells [2]. <sup>d)</sup> In the non-treatment protocol, non-transduced human CD34<sup>+</sup> cells were transplanted into non-conditioned fetal sheep [1, 2]. <sup>e)</sup> Sheep Y940-1 unexpectedly died from an accident during the procedures of bone marrow aspiration. CFU, colony-forming unit; BU, busulfan; IUT, in utero transplantation.

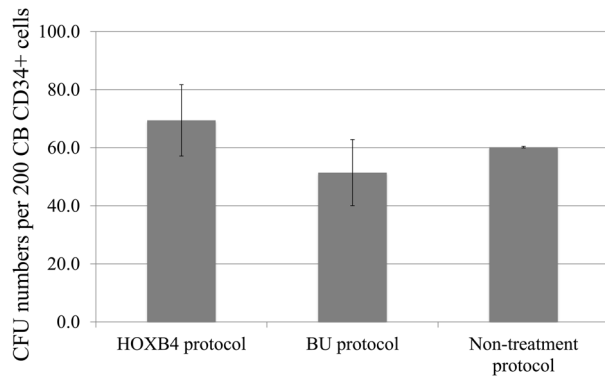
### Assessment of human engraftment

To evaluate the engraftment of human cells, BM was aspirated from the iliac bone of lambs using a 13-gauge biopsy needle (Jamshidi, CareFusion, San Diego, USA), under local anesthesia with 2% lidocaine (Xylocaine, AstraZeneca, Tokyo, Japan). BM cells were harvested by removing red blood cells with ACK lysis buffer (155 mM NH<sub>4</sub>Cl, 100 mM KHCO<sub>3</sub>, and 1 mM EDTA) (Wako Pure Chemical Industries, Ltd.). *In vitro* colony formation assay of sheep BM cells after transplantation was conducted as described above (in the section of *CFU assay*). Each colony was derived from a single human or sheep hematopoietic progenitor cell. DNA of each colony was subjected to polymerase chain reaction (PCR) to identify human colonies [24]. First, each colony was plucked into 50  $\mu$ l of distilled water and digested with 20  $\mu$ g/ml proteinase K (Takara, Shiga, Japan) at 55°C for 2 h, followed by 99°C for 10 min, to extract DNA. Each DNA sample (5  $\mu$ l) was used for a nested PCR to identify the human  $\beta 2$ -microglobulin-specific sequences [1, 2]. The outer primer set was 5'-CAGGTT-TACTCACGTCATCCAG-3' and 5'-GGTTCACACG-GCAGGCATACTC-3', and the inner primer set was 5'-GTCTGGGTTTCATCCATCCG-3' and 5'-GGT-GAATTCAGTGTAGTACAAG-3'. The amplification

conditions for the outer PCR were 95°C for 30 s, 58°C for 30 s, and 72°C for 30 s for 25 cycles. The outer PCR products were purified using a QIA quick PCR purification kit (Qiagen, Chatsworth, CA, USA). The amplification conditions for the inner PCR were 95°C for 30 s, 58°C for 30 s, and 72°C for 30 s for 30 cycles. Simultaneous PCR for the  $\beta$ -actin sequence was also performed to verify the DNA amplification of each sample. The primer sequences of either humans or sheep were 5'-GT-CACCCACACTGTGCCCATCTACG-3' and 5'-GC-CATCTCCTGCTCGAAGTC-3'. The amplification conditions were 94°C for 30 s, 55°C for 30 s, and 72°C for 30 s for 40 cycles. The amplified human  $\beta 2$ -microglobulin (133 bp) and  $\beta$ -actin (209 bp) products were resolved by 2% agarose gel and visualized by ethidium bromide staining. The engraftment efficiency of human hematopoietic cells was expressed as the ratio of the number of human-derived colonies to the total number of colonies.

## Results

Frozen human cord blood (CB) was obtained from RIKEN Cell Bank. The cells were thawed, and a range of  $2.8 \times 10^5$ – $17.8 \times 10^5$  of CD34<sup>+</sup> cells were isolated

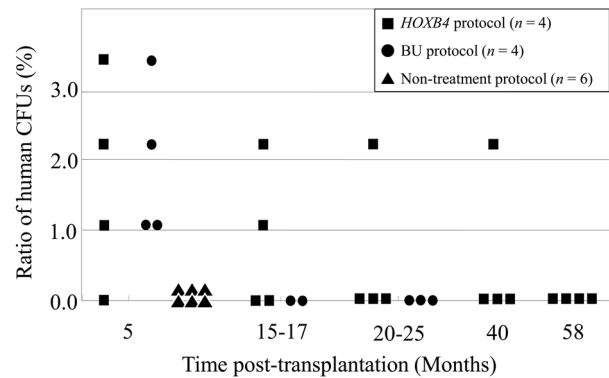


**Fig. 2.** *In vitro* CFU assay for validating the viability and functionality of transplanted CD34<sup>+</sup> cells. In order to assess the viability and functionality of human cord blood (CB) CD34<sup>+</sup> cells in the three protocols, colony forming-unit (CFU) assays of each CB CD34<sup>+</sup> sample were conducted. Results are shown as mean ± standard deviation. Statistical significance was determined by ANOVA test. No significant difference was observed among the three protocols.

for use as hematopoietic stem cells (HSCs) for transplantation in fetal sheep. The cells were transplanted into 14 fetal sheep at 45 to 49 days of gestation. Two protocols were used in some of the sheep [1, 2]. Four fetal sheep received *HOXB4*-transduced CD34<sup>+</sup> cells (the *HOXB4* protocol) [1]. Another 4 fetal sheep were subjected to non-myeloablative conditioning with busulfan (the BU protocol) [2]. The two protocols, which exhibited comparable effects on the short-term engraftment potential of HSCs (up to 5 months post-transplantation) [1, 2].

The number of transplanted CD34<sup>+</sup> cells varied widely among the 14 sheep from  $2.8 \times 10^5$  to  $17.8 \times 10^5$ , and the maximal cell number was about 6.4 times larger than the minimum cell number (Table 1). However, the numbers of mononuclear cells and CD34<sup>+</sup> cells that were transplanted into each fetus did not differ significantly among the three protocols (Table 1;  $P=0.06$  and  $0.14$ , respectively).

To verify the viability and functionality of the transplanted cells, we performed CFU assays and compared the results of the two modified protocols and the original protocol (Fig. 2). The CB CD34<sup>+</sup> cells formed multilineage colonies (CFU-G, M, GM and BFU-E) at similar efficiencies as those shown in other previous reports [7, 22, 23]. There was no significant difference in the number of CFUs among the three protocols ( $P=0.09$ ), suggesting comparable viability and functionality of CB



**Fig. 3.** Long-term follow-up of human HSC engraftment after IUT in sheep. Human cord blood (CB) CD34<sup>+</sup> cells were transduced with *HOXB4* and transplanted into intact (non-conditioned) fetal sheep (*HOXB4* protocol, ■). Intact (non-transduced) human CB CD34<sup>+</sup> cells were transplanted into fetal sheep conditioned with busulfan (BU) (BU protocol, ●). In addition, intact human CB CD34<sup>+</sup> cells were transplanted into intact fetal sheep (non-treatment protocol, ▲). The scatter plots show percentages of human colony-forming units (CFUs) at the indicated months after in utero transplantation (IUT). The percentage of human CFUs was calculated by dividing the number of CFUs expressing the human  $\beta 2$ -microglobulin gene by the total number of CFUs being analyzed in the bone marrow.

CD34<sup>+</sup> cells transplanted in all three protocols in the present study. Regarding the *HOXB4* protocol, the transduction of CB CD34<sup>+</sup> cells with the *HOXB4* gene did not affect the myeloid/erythroid ratio as assessed by CFU assays. It was about 10:1 either before or after the *HOXB4* transduction.

A total of 14 ovine fetuses received transplantation, and no abortion was observed in this study. After the birth of lambs, the BM of each lamb was tested to identify the presence of human CFUs (Table 1). In the non-treatment group, human CFUs were not detected after birth [1, 2]. In the BU protocol, human CFUs were detected up to 5 months post-transplantation [2]. Notably, in the *HOXB4* protocol, they were detectable up to 40 months post-transplantation (Fig. 3). All of the sheep lost human CFUs by 58 months post-IUT. Thus, human hematopoietic cells could engraft in sheep for up to 40 months. However, extremely low levels (<0.01%) of transplant-derived cells were detected in the peripheral blood (PB) of all of the sheep, in good agreement with the results in our previous studies and other reports [1, 2, 18–21, 24, 28, 30].

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## Discussion

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In this study, we showed long-term engraftment of human HSCs in sheep for up to 40 months through the *HOXB4* protocol; that is, we detected human clonogenic hematopoietic colonies in sheep BM for the period. Previous other studies just showed the presence of human cells in the PB by flow cytometry with anti-human CD45 antibody or other human specific hematopoietic markers, but did not conduct clonogenic studies [31]. This is the first report showing the evidence of long-term engraftment of human HSCs in sheep through clonogenic assays.

In the original non-treatment protocol of our sheep IUT (i.e., without genetic transduction or conditioning), human CB CD34<sup>+</sup> cells could not mediate engraftment for longer than 5 months post-transplantation [1, 2]. However, Zanjani *et al.* [31] demonstrated successful cell engraftment for up to 3.6 y after the transplantation of human fetal liver HSCs even without genetic transduction or conditioning. There are several possible explanations for this discrepancy, one of which is the route of injection. Zanjani *et al.* [31] injected cells into the abdominal cavity of fetal sheep, whereas we injected cells into the liver of fetal sheep. However, we previously showed that no significant differences in the engraftment efficiencies of human HSCs existed among the animals receiving intrahepatic, intraperitoneal and intravascular injections [28]. Another possibility is the gestational day of injection. Zanjani *et al.* [27] injected cells at days 51–71 of gestation, whereas we injected cells at days 45–49 of gestation. However, according to our previous studies [1, 2, 24, 28], the gestational day of fetal sheep for performing the cell injection (45–79 gestational days) did not affect the engraftment efficiency, either. One more possible explanation for this discrepancy is the source of cells used in the studies, as suggested by Noia *et al.* [18]. Zanjani *et al.* [31] used human fetal liver mononuclear cells, whereas we used human CB CD34<sup>+</sup> cells. Thus, it is reasonable that the transplantation of ontogenetically matched HSCs (fetal-to-fetal) results in a better outcome than that of ontogenetically mismatched HSCs (neonatal-to-fetal).

A very low level of transplant-derived cells was present (<0.01%) in the PB of the sheep, unlike in the PB of immunodeficient mice [14, 15]. This issue has been repeatedly mentioned in other studies about sheep IUT of human HSCs [1, 2, 17, 19, 20, 24, 28, 30]. A possible

explanation is that the low PB chimerism is just reflected by the low BM chimerism (1–3%). Another possible explanation is xenogeneic mismatch between human HSCs and sheep stromal cells, and the mismatch may be larger than that between human HSCs and mouse stromal cells. The stromal trophic stimulations from the sheep microenvironment, such as cytokine secretion and adherent molecules, would not allow human hematopoietic differentiation, hampering human terminally differentiated cells to appear in the PB. The other possibility is attributed to innate immunity. That is the case when human HSCs were transplanted into even the most immunodeficient NOG mice. The numbers of human red blood cells (RBCs) and platelets were extremely low in PB in spite of high levels of human HSC-derived progenitors in BM [12, 13]. The poor reconstitution of human RBCs and platelets was a result of phagocytosis by macrophages [12, 13]. Though the absence of human blood cells in the PB, the fetal sheep model is suitable for long-term assessment of human HSCs. The fetal sheep model should provide a unique perspective for evaluating the engraftment and behavior of human HSCs.

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