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## Effect of changes in inspired oxygen fraction on oxygen delivery during cardiac surgery: a substudy of the CARROT trial

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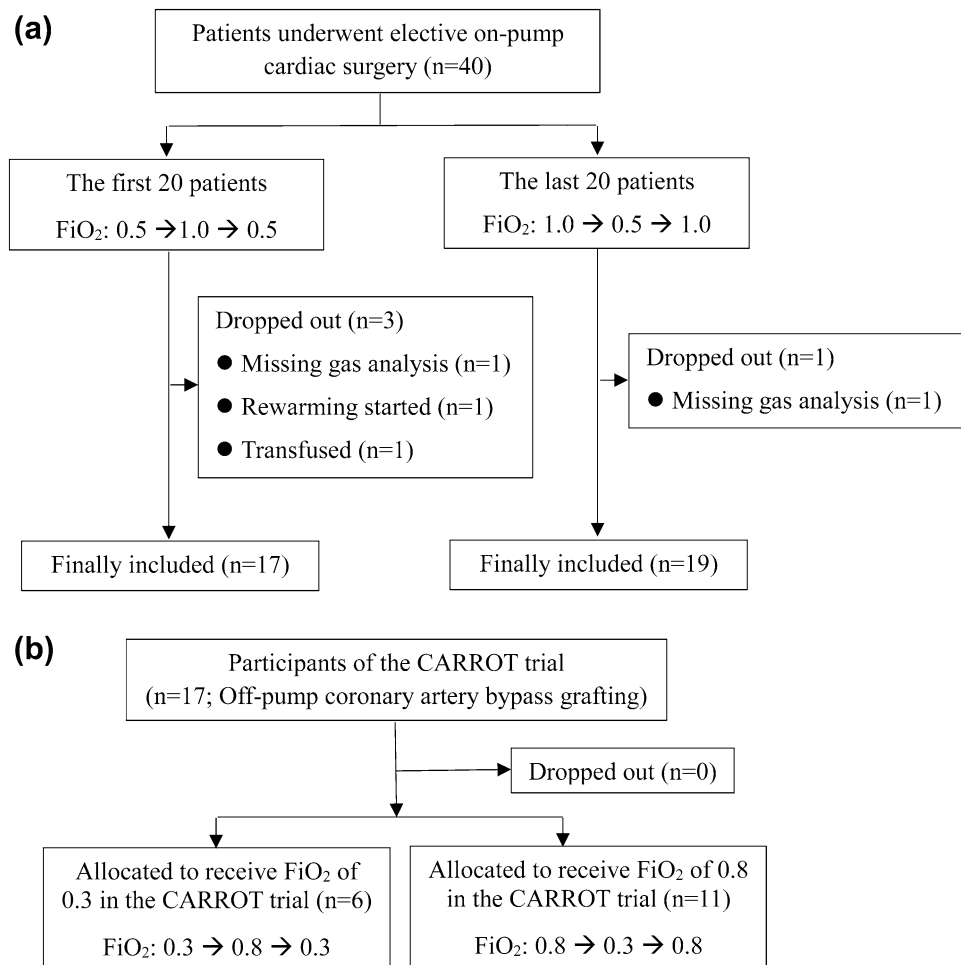
When hemoglobin (Hb) is fully saturated with oxygen, the additional gain in oxygen delivery ( $DO_2$ ) achieved by increasing the fraction of inspired oxygen ( $FiO_2$ ) is often considered clinically insignificant. In this study, we evaluated the change in  $DO_2$ , interrogated by mixed venous oxygen saturation ( $SvO_2$ ), in response to a change in  $FiO_2$  of 0.5 during cardiac surgery. When patients were hemodynamically stable,  $FiO_2$  was alternated between 0.5 and 1.0 in on-pump cardiac surgery patients (pilot study), and between 0.3 and 0.8 in off-pump coronary artery bypass grafting patients (substudy of the CARROT trial). After the patient had stabilized, a blood gas analysis was performed to measure  $SvO_2$ . The observed change in  $SvO_2$  ( $\Delta SvO_2$ ) was compared to the expected  $\Delta SvO_2$  calculated using Fick's equation. A total 106 changes in  $FiO_2$  (two changes per patient; total 53 patients; on-pump,  $n = 36$ ; off-pump,  $n = 17$ ) were finally analyzed. While Hb saturation remained near 100% (on-pump, 100%; off-pump, mean [SD] = 98.1% [1.5] when  $FiO_2$  was 0.3 and 99.9% [0.2] when  $FiO_2$  was 0.8),  $SvO_2$  changed significantly as  $FiO_2$  was changed (the first and second changes in on-pump, 7.7%p [3.8] and 7.6%p [3.5], respectively; off-pump, 7.9%p [4.9] and 6.2%p [3.9]; all  $P < 0.001$ ). As a total, regardless of the surgery type, the observed  $\Delta SvO_2$  after the  $FiO_2$  change of 0.5 was  $\geq 5\%$  in 82 (77.4%) changes and  $\geq 10\%$  in 31 (29.2%) changes (mean [SD], 7.5%p [3.9]). Hb concentration was not correlated with the observed  $\Delta SvO_2$  (the first changes,  $r = -0.06$ ,  $P = 0.677$ ; the second changes,  $r = -0.21$ ,  $P = 0.138$ ). The mean (SD) residual  $\Delta SvO_2$  (observed - expected  $\Delta SvO_2$ ) was 0%p (4). Residual  $\Delta SvO_2$  was more than 5%p in 14 (13.2%) changes and exceeded 10%p in 2 (1.9%) changes. Residual  $\Delta SvO_2$  was greater in patients with chronic kidney disease than in those without (median [IQR], 5%p [0 to 7] vs. 0%p [-3 to 2];  $P = 0.049$ ).  $DO_2$ , interrogated by  $SvO_2$ , may increase to a clinically significant degree as  $FiO_2$  is increased during cardiac surgery, and the increase of  $SvO_2$  is not related to Hb concentration.  $SvO_2$  increases more than expected in patients with chronic kidney disease. Increasing  $FiO_2$  can be used to increase  $DO_2$  during cardiac surgery.

The ultimate goal of hemodynamic management is to optimize oxygen transport and maintain adequate tissue oxygenation. Shoemaker et al. demonstrated in their early study that reduced oxygen transport was a predictor of death after major surgery for life-threatening shock<sup>1</sup>. The concept of oxygen transport optimization evolved following that study, and has become an important component of goal-directed hemodynamic management<sup>2</sup>.

Convective oxygen transport describes oxygen delivery ( $DO_2$ ) to peripheral tissues and organs via the circulation system, which can be managed by monitoring mixed venous oxygen saturation ( $SvO_2$ )<sup>3,4</sup>.  $DO_2$  is a product of cardiac output (CO) and arterial oxygen content ( $CaO_2$ )<sup>3,4</sup>, and  $CaO_2$  is a function of hemoglobin (Hb), arterial oxygen saturation ( $SaO_2$ ), and arterial oxygen partial pressure ( $PaO_2$ ), described as follows<sup>5</sup>:

$$CaO_2 = (k_1 \times Hb \times SaO_2) + (k_2 \times PaO_2)$$

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**Figure 1.** Study flow chart of (a) on-pump and (b) off-pump patients. FiO<sub>2</sub>, fraction of inspired oxygen.

where  $k_1$  (Hüfner's constant) and  $k_2$  (Bunsen's coefficient) are approximately 1.34 ml/g and 0.0034 ml/dl/mmHg, respectively. As can be inferred from this equation, the theoretical contribution of PaO<sub>2</sub> to DO<sub>2</sub> is negligible compared to the Hb concentration<sup>3,6</sup>. Consequently, it is a generally accepted idea that an increase in DO<sub>2</sub> that can be achieved by increasing the fraction of inspired oxygen (FiO<sub>2</sub>) is minimal after Hb is saturated. This concept can lead physicians to overlook the importance of FiO<sub>2</sub> adjustment in perioperative DO<sub>2</sub> management.

Therefore, based on our clinical experience, we hypothesized that a significant increase in DO<sub>2</sub> could be achieved by increasing FiO<sub>2</sub> (and PaO<sub>2</sub>), even after Hb is fully saturated in cardiac surgery patients. To evaluate this hypothesis, we analyzed the effect of changing FiO<sub>2</sub> on DO<sub>2</sub> reflected as SvO<sub>2</sub> in patients undergoing cardiac surgery.

## Results

The study flow chart is presented in Fig. 1. Among the on-pump cardiac surgery patients (n = 40) enrolled in protocol 1 (see the "Methods" section), four dropped out because the blood gas results were missing (n = 2), rewarming was started during the study (n = 1) or red blood cells were transfused during the study (n = 1) (Fig. Methods1a). None of the participants (n = 17) of the CARROT trial who underwent off-pump coronary artery bypass grafting (OPCAB) dropped out from protocol 2 (Fig. 1b; see the "" section). Missing values were omitted without data imputation. The remaining 53 patients (on-pump, n = 36; OPCAB, n = 17) were included in the final analysis (Fig. 1).

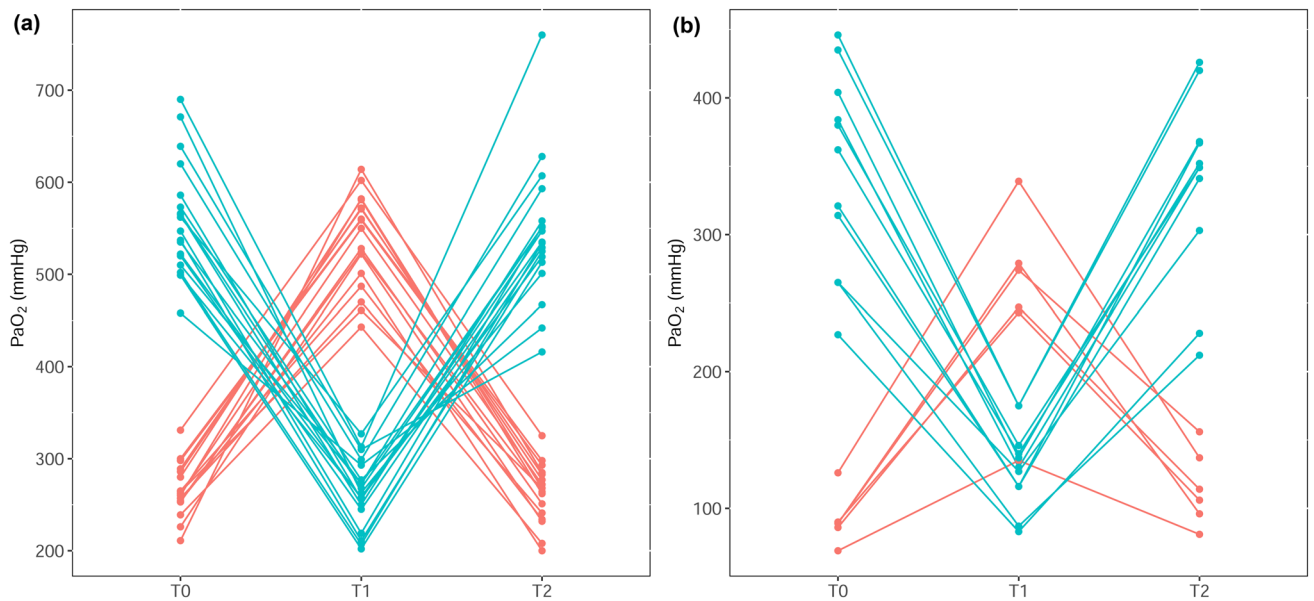
The patient characteristics are described in Table 1. The mean (SD) Hb concentration was 7.7 g/dl (1.3), and the mean nasopharyngeal temperature was 29.2 °C (1.5), in on-pump patients following protocol 1. The mean Hb concentration and the nasopharyngeal temperature were 11.5 g/dl (2.1) and 35.8 °C (0.6), respectively in OPCAB patients following protocol 2. The mean cardiopulmonary bypass (CPB) flow rate was 4.1 l/min (0.5) in on-pump patients, and the mean CO measured via a pulmonary artery catheter using the thermodilution method was 3.3 l/min (0.5) in OPCAB patients. The hemodynamic variables measured at T0–T2 throughout the study are presented in Supplementary Table S1 online.

	On-pump (n = 36)	Off-pump (n = 17)
Age (years)	61.4 (12.4)	65.7 (7.6)
Female	18 (50.0%)	6 (35.3%)
Height (cm)	161.8 (10.9)	161.5 (10.2)
Weight (kg)	63.3 (14.4)	66.4 (8.5)
<b>Comorbidities</b>		
Hypertension	11 (30.6%)	12 (70.6%)
Diabetes	5 (13.9%)	11 (64.7%)
Chronic kidney disease	1 (2.8%)	5 (29.4%)
Cerebrovascular disease	5 (13.9%)	1 (5.9%)
Chronic obstructive lung disease	0 (0%)	0 (0%)
Infective endocarditis	1 (2.8%)	0 (0%)
Congestive heart failure	11 (30.6%)	2 (11.8%)
<b>Medication history</b>		
ACEi or ARB	9 (25.0%)	7 (41.2%)
Beta blockers	14 (38.9%)	7 (41.2%)
Calcium channel blockers	7 (19.4%)	5 (29.4%)
Diuretics	26 (72.2%)	5 (29.4%)
Statins	13 (36.1%)	23 (63.9%)
<b>Surgery type</b>		
Coronary artery bypass grafting	0 (0%)	17 (100%)
Valve	17 (47.2%)	NA
Thoracic aorta	2 (5.6%)	NA
Valve + Coronary	1 (2.8%)	NA
Valve + Thoracic aorta	6 (16.7%)	NA
Valve + Maze procedure	7 (19.4%)	NA
Miscellaneous	3 (8.3%)	NA
<b>Surgical profiles</b>		
Redo surgery	6 (16.7%)	0 (0%)
Surgery duration (min)	327 (71)	362 (44)
CPB duration (min)	163 (53)	NA
<b>Laboratory data</b>		
Ejection fraction (%)	57 (10)	53 (13)
Serum creatinine (mg/dl)	0.8 (0.2)	1.4 (1.9)
Glomerular filtration rate (ml/min/1.73 m <sup>2</sup> )	86 (18)	76 (26)
Hemoglobin (g/dl)*	7.7 (1.3)	11.5 (2.1)
<b>Hemodynamic data*</b>		
Core body temperature (°C) <sup>†</sup>	29.2 (1.5)	35.8 (0.6)
Mean blood pressure (mmHg)	62 (6)	75 (13)
Cardiac output (l/min)	4.1 (0.5) <sup>‡</sup>	3.3 (0.5)
Cardiac index (l/min/m <sup>2</sup> )	2.5 (0.2) <sup>‡</sup>	1.9 (0.2)

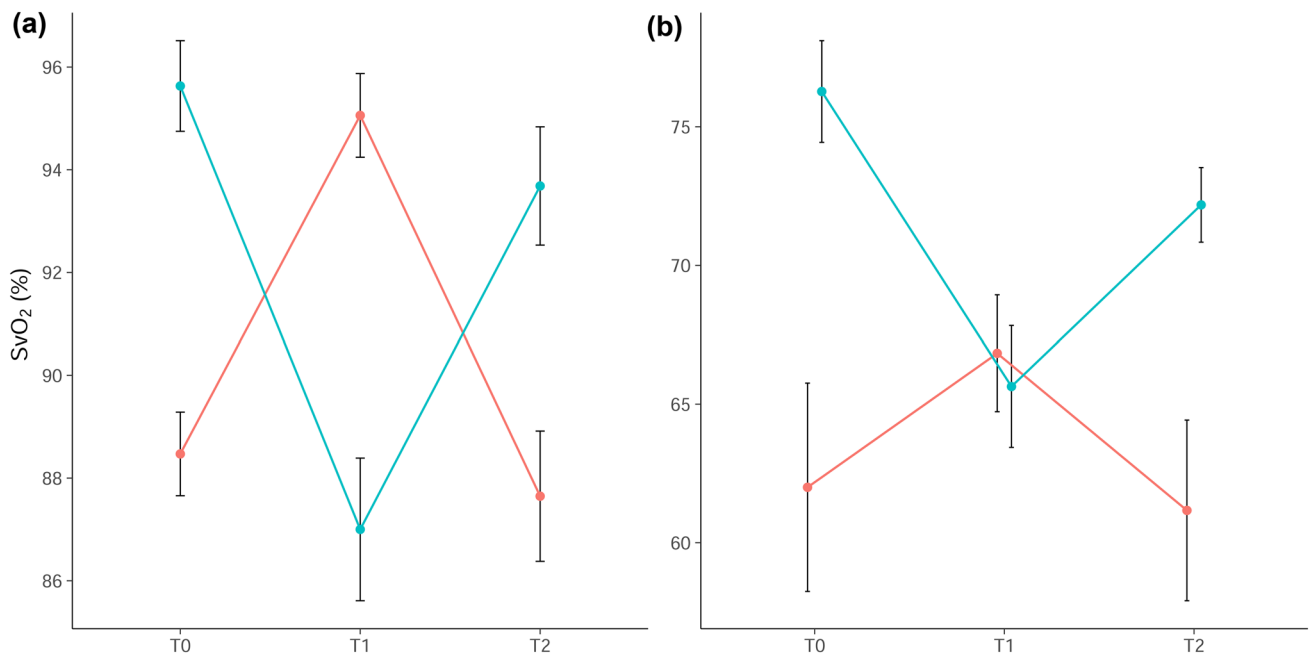
**Table 1.** Demographics and baseline characteristics of the study population. Data are presented as mean (SD) or number (%). ACEi angiotensin-converting enzyme inhibitors, ARB angiotensin II receptor blockers, CPB cardiopulmonary bypass. \*Values measured at T0. <sup>†</sup>Measured at the nasopharynx. <sup>‡</sup>Based on the pump flow rate.

**Comparison of SvO<sub>2</sub> levels measured at different FiO<sub>2</sub> levels.** SaO<sub>2</sub> remained relatively constant during both protocols. SaO<sub>2</sub> was 100% in all on-pump cardiac surgery patients at every FiO<sub>2</sub> level. In OPCAB patients, the mean (SD) SaO<sub>2</sub> was 98.1% (1.5) when FiO<sub>2</sub> was 0.3 and 99.9% (0.2) when FiO<sub>2</sub> was 0.8. The pattern of PaO<sub>2</sub> change in response to the change of FiO<sub>2</sub> in every patient is shown in Fig. 2 and Supplementary Table S1 online.

The changes in SvO<sub>2</sub> throughout the study period are shown in Fig. 3. SvO<sub>2</sub> changed significantly with the change of FiO<sub>2</sub> (and PaO<sub>2</sub>) in on-pump cardiac surgery patients (mean [SD], T0–T1 7.7%p [3.8] and T1–T2 7.6%p [3.5]; both P < 0.001) and OPCAB patients (T0–T1 7.9%p [4.9] and T1–T2 6.2%p [3.9]; both P < 0.001). Regardless of the surgery type, 82 (77.4%) changes had an observed  $\Delta$ SvO<sub>2</sub> ≥ 5%p and 31 (29.2%) had an observed  $\Delta$ SvO<sub>2</sub> ≥ 10%p (mean [SD], 7.5%p [3.9]).



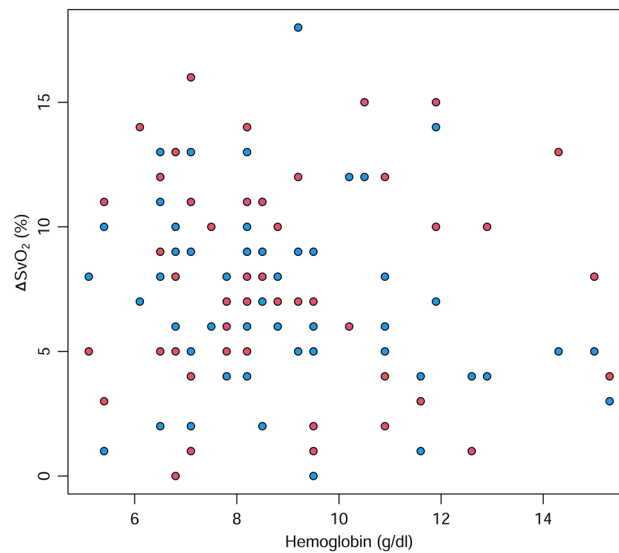
**Figure 2.** Change of PaO<sub>2</sub> according to that of fraction of inspired oxygen in (a) on-pump and (b) off-pump patients. PaO<sub>2</sub>, arterial oxygen partial pressure. Created with R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.



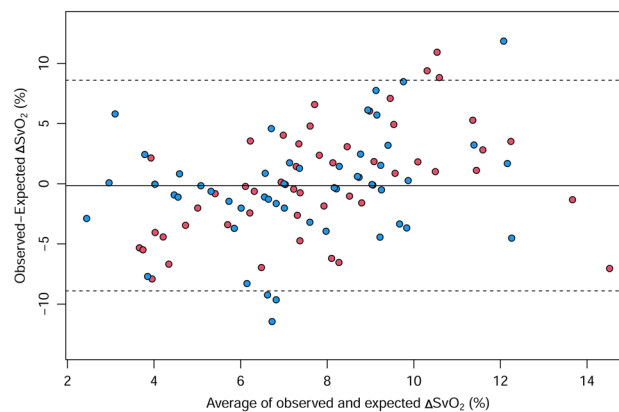
**Figure 3.** Change of SvO<sub>2</sub> according to different FiO<sub>2</sub> levels in (a) on-pump and (b) off-pump patients. SvO<sub>2</sub>, mixed venous oxygen saturation; FiO<sub>2</sub>, fraction of inspired oxygen. Created with R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.

**$\Delta$ SvO<sub>2</sub> according to Hb concentration.** The relationship between Hb concentration and  $\Delta$ SvO<sub>2</sub> is shown in Fig. 4. The Hb concentration (within the range 5.1–15.3 g/dl) was not correlated with the observed  $\Delta$ SvO<sub>2</sub> (T0–T1,  $r = -0.06$ ,  $P = 0.677$ ; T1–T2,  $r = -0.21$ ,  $P = 0.138$ ).

**Comparison of the observed and expected  $\Delta$ SvO<sub>2</sub>.** The Bland–Altman plot for the observed and expected  $\Delta$ SvO<sub>2</sub> is presented in Fig. 5. Overall, SvO<sub>2</sub> changed following a change in FiO<sub>2</sub>. The maximum residual  $\Delta$ SvO<sub>2</sub> (observed–expected  $\Delta$ SvO<sub>2</sub>) was 12%p, and the mean (SD) residual  $\Delta$ SvO<sub>2</sub> was 0%p (4). Residual  $\Delta$ SvO<sub>2</sub> was more than 5%p in 14 (13.2%) changes. Residual  $\Delta$ SvO<sub>2</sub> exceeded 10%p in two changes.



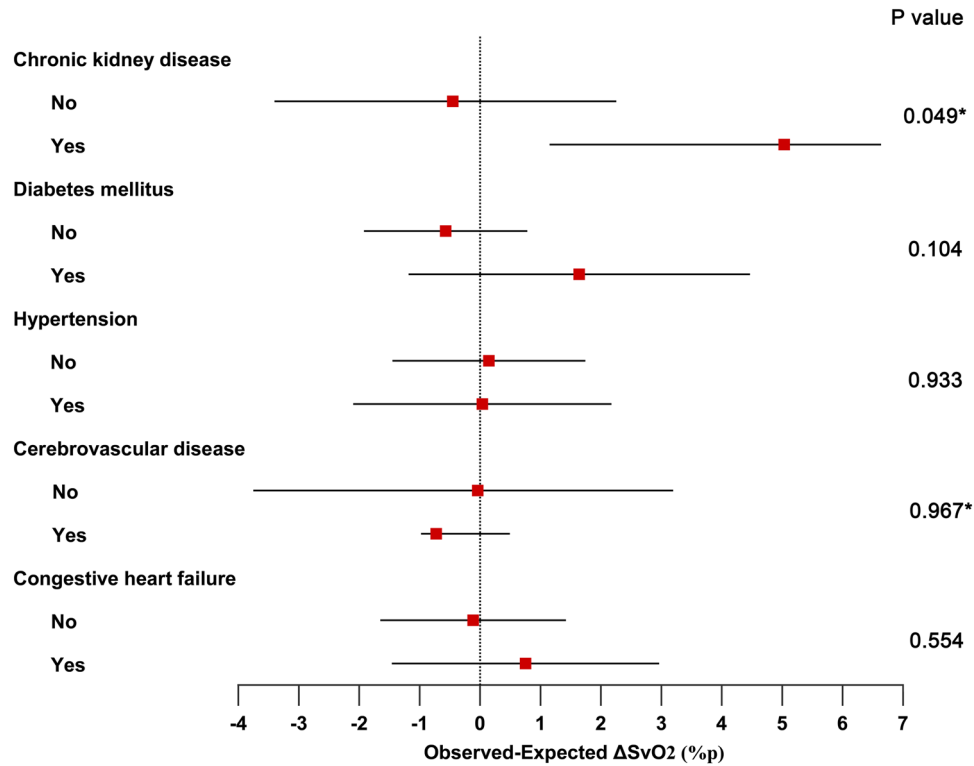
**Figure 4.** The relationship between  $\Delta\text{SvO}_2$  and hemoglobin concentration.  $\Delta\text{SvO}_2$ , change of mixed venous oxygen saturation. Red dots, T0–T1; blue dots, T1–T2. Created with R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.



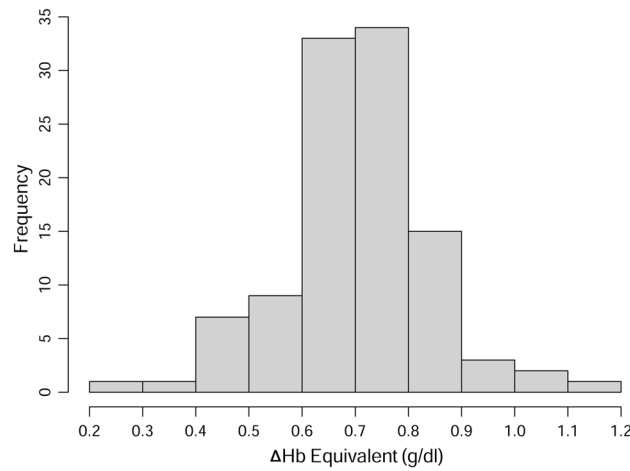
**Figure 5.** Bland–Altman plot for the observed versus the expected  $\Delta\text{SvO}_2$ . Dashed lines indicate the limits of agreement (the mean  $\pm 1.96 \times$  the standard deviation of the residual  $\Delta\text{SvO}_2$ ).  $\Delta\text{SvO}_2$ , change of mixed venous oxygen saturation. Red points, T0–T1; blue points, T1–T2. Created with R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.

**Exploratory analysis of factors associated with residual  $\text{SvO}_2$ .** A forest plot summarizing residual  $\Delta\text{SvO}_2$  according to preoperative comorbidities is presented in Fig. 6. Patients with chronic kidney disease ( $n=6$ ) had significantly greater residual  $\Delta\text{SvO}_2$  than those without chronic kidney disease ( $n=47$ ) (median [IQR], 5%p [0 to 7] vs. 0%p [−3 to 2];  $P=0.049$ ) (Fig. 6). However, no significant difference was observed in residual  $\Delta\text{SvO}_2$  between patients with and without diabetes ( $n=16$  and 37, respectively; mean [SD], 2%p [5] vs. −1%p [4];  $P=0.104$ ), or between those with and without hypertension ( $n=23$  and 30, respectively; 0%p [5] vs. 0%p [4];  $P=0.933$ ). Residual  $\Delta\text{SvO}_2$  also did not differ according to whether patients had cerebrovascular disease or not ( $n=6$  and 47, respectively; median [IQR], −1%p [−1 to 1] vs. 0%p [−4 to 3];  $P=0.967$ ), or whether they had congestive heart failure or not ( $n=13$  and 40, respectively; mean [SD], 1%p [4] vs. 0%p [5];  $P=0.544$ ).

**The change of Hb equivalent that increases  $\text{DO}_2$  to the same extent as  $\Delta\text{FiO}_2$  of 0.5.** The median (IQR)  $\Delta\text{Hb}$  equivalent that increases  $\text{DO}_2$  to the same extent as  $\Delta\text{FiO}_2$  of 0.5 was 0.7 (0.6–0.8) g/dl. The maximum value was 1.1 g/dl. The distribution of the  $\Delta\text{Hb}$  equivalent values is presented as a histogram in Fig. 7. In more than 90% of changes with a change of  $\text{FiO}_2$ ,  $\Delta\text{FiO}_2$  of 0.5 was equivalent to an  $\Delta\text{Hb}$  of more than 0.5 g/dl (97 changes, 91.5%), suggesting that use of a higher  $\text{FiO}_2$ , at least temporarily, can achieve a similar effect as transfusion in terms of  $\text{DO}_2$ .



**Figure 6.** Comparison of the residual  $\Delta SvO_2$  according to comorbidities. Asterisks refer to non-parametric results. Points indicate the mean or the median, lines 95% confidence interval or interquartile range.  $\Delta SvO_2$ , change of mixed venous oxygen saturation. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.



**Figure 7.** Distribution of the  $\Delta Hb$  equivalent that increases oxygen delivery to the same extent as  $\Delta FiO_2$  of 0.5.  $\Delta Hb$ , change of hemoglobin concentration. Created with R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria; <https://www.R-project.org/>.

### Discussion

In this study,  $SvO_2$  increased by  $\geq 5\%$  in more than three-quarters of  $FiO_2$  changes where  $FiO_2$  was increased from 0.3 to 0.8 or 0.5 to 1.0 during cardiac surgery, and by  $\geq 10\%$  in more than one-quarter of  $FiO_2$  changes. While Hb remained almost fully saturated,  $PaO_2$  changed remarkably as  $FiO_2$  was changed. There was no significant association between Hb concentration and  $\Delta SvO_2$ . These results indicate that  $DO_2$  can increase significantly following an increase in  $PaO_2$  induced by raising  $FiO_2$  during cardiac surgery. The median  $\Delta Hb$  equivalent to the  $FiO_2$  change of 0.5, in terms of its ability to increase  $DO_2$ , was 0.7 g/dl. In addition,  $SvO_2$  tended to increase beyond the expected value that was calculated using the Fick's equation, in patients with chronic kidney disease.

In most patients undergoing cardiac surgery,  $\text{SaO}_2$  is maintained at nearly 100% due to supplemental oxygen therapy, unless there is a significant shunt or pulmonary morbidity. In our study,  $\text{SaO}_2$  was 100% at every  $\text{FiO}_2$  level during on-pump cardiac surgery, and more than 98% and 99% at the  $\text{FiO}_2$  levels of 0.3 and 0.8, respectively, during OPCAB. In such a situation, it is generally expected that the contribution of  $\text{PaO}_2$  to  $\text{DO}_2$  will be much smaller than that of Hb-bound oxygen<sup>3,6</sup>; thus, manipulating  $\text{FiO}_2$  would have very little influence on  $\text{SvO}_2$  (or  $\text{DO}_2$ )<sup>5</sup>. Therefore, clinicians may focus only on Hb concentration and transfusion when optimizing  $\text{DO}_2$ .

Several studies have shown that perioperative  $\text{DO}_2$  management is associated with complications after cardiac surgery, such as neurologic injury<sup>8–11</sup> and renal dysfunction<sup>12–14</sup>. However, previous studies mostly evaluated the effect of CO and Hb concentration rather than  $\text{FiO}_2$ <sup>8,9,13</sup>. Hogue et al. reported that atrial fibrillation accompanied by low CO had a significant effect on the likelihood of postoperative stroke<sup>8</sup>. Bahrainwala et al. explained the link between reduced  $\text{DO}_2$  and postoperative stroke in terms of a decrease of Hb concentration alone<sup>9</sup>. Ranucci et al. also showed that severe hemodilution during CPB increases the risk of renal dysfunction, but emphasized that this can be attenuated by increasing  $\text{DO}_2$  with raising CO (pump flow)<sup>13</sup>.

Early studies by Clowes et al.<sup>15</sup> and Shoemaker et al.<sup>1</sup> revealed that survivors of peritonitis and shock have consistently higher  $\text{DO}_2$  and oxygen consumption ( $\text{VO}_2$ ) than those who died. Although our study showed that higher  $\text{FiO}_2$  significantly elevates  $\text{DO}_2$ , this does not necessarily mean that the use of high  $\text{FiO}_2$  would improve clinical outcomes: there are several issues that need to be addressed. First, there is growing concern about the harmful effects of hyperoxia caused by high  $\text{FiO}_2$ , although most previous clinical studies failed to demonstrate significantly poorer clinical outcomes due to hyperoxia or high  $\text{FiO}_2$ <sup>16,17</sup>. Second,  $\text{DO}_2$  can increase in response to transfusion or intravascular volume expansion, but we do not know whether achieving the same level of  $\text{SvO}_2$  with different modalities results in an equivalent distribution of oxygen to the organs; the distribution of oxygen supply and demand differs among organs<sup>18</sup>. Weinrich et al. failed to find a correlation between surgical site oxygen saturation and central venous oxygen saturation in patients undergoing major non-cardiac surgery<sup>19</sup>. Similar findings have been reported in patients undergoing CPB cardiac surgery, where a significant difference between  $\text{SvO}_2$  and venous oxygen saturation measured at the brain or gut was demonstrated<sup>20,21</sup>. This heterogeneity not only exists at the global level, but also at the regional level within an organ<sup>22</sup>. However, these are poorly investigated topics, so further studies are necessary. Currently, there is no firm consensus or established guidelines regarding the optimal oxygen therapy for patients undergoing cardiac surgery, and the present study did not answer this question. We are conducting a multicenter, cluster-randomized trial (the CARROT trial; ClinicalTrials.gov, NCT03945565) to compare the effects of different levels of intraoperative  $\text{FiO}_2$  (0.3 vs. 0.8) on clinical outcomes after OPCAB, including the length of postoperative hospital stay and major organ injuries.

Recent large-scale randomized trials, such as the TRICS III<sup>23</sup> and the TITRe2<sup>24</sup>, failed to demonstrate a difference between restrictive and liberal transfusion strategies in terms of composite adverse outcomes after cardiac surgery. In these trials, only Hb concentration was tested as a trigger for red blood cell transfusion<sup>23–25</sup>. However, from the present study, and our previous study<sup>26</sup> it can be inferred that there may be unknown interactions or confounders that make interpretation of the effect of transfusion on outcomes more complex. Although establishing the Hb threshold is currently the highest priority for transfusion and  $\text{DO}_2$  optimization, oxygen therapy and plasma dissolved oxygen should also be considered.

The present study had several limitations. First, only a small number of patients were included without an a priori sample size calculation. Furthermore, two heterogeneous groups of patients (on- and off-pump cardiac surgery patients) with different hemodynamic statuses and comorbidities were enrolled. The levels of  $\text{FiO}_2$  also differed between the study protocols. Moreover, we only assessed the immediate effect of a change in  $\text{FiO}_2$  on  $\text{SvO}_2$ , and did not evaluate whether increasing  $\text{SvO}_2$  using a higher  $\text{FiO}_2$  ameliorates oxygenation of vital organs (which would improve clinical outcomes). We expect that the CARROT trial will answer these questions. Second, several (important) variables, such as CO, Hb concentration, and  $\text{VO}_2$ , were assumed to be constant during the  $\text{FiO}_2$  changes for this analysis, which was inevitable for the calculation of expected  $\Delta\text{SvO}_2$ . To minimize the influence of these values, the both protocols were conducted when it was considered the most hemodynamically stable with the least surgical manipulation (see the “Methods” section). Obviously, changes in these variables were minimal during the study period (Supplementary Table S1 online), but may have affected the observed and expected  $\Delta\text{SvO}_2$  values to a certain extent. Third, we only included cardiac surgery patients in this study. Thus, our results may not be applicable to patients in other settings, such as non-cardiac surgery patients and non-surgical, critically ill patients. Fourth, this study did not uncover the mechanism, or assess the clinical impact, of the phenomenon whereby a change in  $\text{SvO}_2$  caused by a change in  $\text{FiO}_2$  was larger than expected in patients with chronic kidney disease.

In conclusion,  $\text{DO}_2$ , interrogated by  $\text{SvO}_2$ , may be significantly elevated by increasing  $\text{FiO}_2$  during cardiac surgery. Increasing  $\text{FiO}_2$  may be considered when an increase in  $\text{DO}_2$  is necessary during cardiac surgery. However, considering the potential risk of hyperoxia, further studies evaluating the clinical effect of this practice are necessary.

## Methods

**Study population.** This study was comprised of on-pump cardiac surgery and OPCAB parts. The part involving patients undergoing CPB cardiac surgery was a pilot study, which was approved by the Institutional Review Board of Seoul National University Hospital (IRB no., 1909-145-1067) and registered at ClinicalTrials.gov (NCT04144205). The other part, for patients undergoing OPCAB, was a substudy of the CARROT trial (IRB no., 1902-021-1008; ClinicalTrials.gov, NCT03945565).

The present study was performed in compliance with the guidelines for Good Clinical Practice and the Declaration of Helsinki. All participants recruited to this study provided written informed consent.

**Protocol 1: on-pump cardiac surgery.** This part of the study was a pilot study for a future multicenter, randomized trial. Forty patients who presented for elective CPB cardiac surgery between November 4, 2019 and February 11, 2020 were enrolled in this study. There was no a priori sample size calculation. The exclusion criteria were preoperative supplemental oxygen at a dose equivalent to  $\text{FiO}_2$  of  $>0.5$ , symptomatic cerebrovascular disease, and  $>50\%$  cerebral artery stenosis.

After CPB was initiated, the ascending aorta was cross-clamped and a cardioplegic solution was infused. Body temperature was measured at the nasopharynx and bladder, and was lowered to  $28\text{--}32\text{ }^\circ\text{C}$ . The  $\alpha$ -stat strategy was applied for the pH management during CPB.  $\text{FiO}_2$  is initially set to 0.6 on the CPB oxygenator as a routine practice at our institution. After asystole was obtained and body temperature had stabilized,  $\text{FiO}_2$  was sequentially changed from 0.5 to 1.0, and back to 0.5, in the first half of the patients enrolled, and from 1.0 to 0.5, and back to 1.0, in the other half. Following a 5- to 10-min equilibration period for the three sequential  $\text{FiO}_2$  levels (T0–T2, respectively), blood gas analysis was performed using arterial and mixed venous blood sampled from the radial artery and venous reservoir of the CPB machine, respectively. A point-of-care analyzer (Gem®Premier™3000; Instrumentation Laboratory, Bedford, MA, USA) was utilized for the blood gas analysis. The pump flow rate of the CPB machine was recorded as the CO. Heart rate and mean blood pressure were also measured during the  $\text{FiO}_2$  changes.

**Protocol 2: off-pump coronary artery bypass grafting.** This part of the study was a substudy of the CARROT trial, in which elective OPCAB patients were cluster-randomized on a monthly basis to receive  $\text{FiO}_2$  of either 0.3 or 0.8 during surgery. The length of postoperative hospital stay was the primary endpoint; other clinical outcomes will be compared in the CARROT trial. All participants taking part in the CARROT trial from November 1 to December 31, 2019 were consecutively enrolled in this substudy. Exclusion criteria for the CARROT trial included robot-assisted surgery, surgery via a thoracotomy, minimally invasive direct coronary artery bypass grafting, concomitant major surgery, any pulmonary condition requiring supplemental oxygen through any route before surgery, and preoperative use of mechanical circulatory assist devices.

After anesthesia was induced, the patients in the CARROT trial were mechanically ventilated with  $\text{FiO}_2$  of 0.3 or 0.8 during surgery based on the above-described cluster randomization (November 2019,  $\text{FiO}_2$  of 0.3; December 2019,  $\text{FiO}_2$  of 0.8). A pulmonary artery catheter (Swan-Ganz CCombo V 774HF75; Edwards Lifesciences, Irvine, CA, USA) was placed and connected to a continuous  $\text{SvO}_2$  and CO monitoring device (Vigilance II™; Edwards Lifesciences). The substudy protocol was performed during graft harvesting to ensure hemodynamic stability and minimal blood loss.  $\text{FiO}_2$  was changed from 0.3 to 0.8, and then back to 0.3, in patients allocated to receive  $\text{FiO}_2$  of 0.3 in the CARROT trial, while in those who received  $\text{FiO}_2$  of 0.8 it was changed from 0.8 to 0.3, and then back to 0.8 (T0–T2, respectively).  $\text{FiO}_2$  was held at each level for 5 to 10 min for stabilization, and blood gas analysis was performed at T0–T2 on arterial and mixed venous blood obtained from the radial and pulmonary arteries, respectively. No intravenous fluids were infused during the study protocol. Nasopharyngeal temperature, heart rate, and mean blood pressure were recorded during the  $\text{FiO}_2$  changes.

**Statistical analysis.** The primary endpoint was the observed  $\Delta\text{SvO}_2$  in response to a change in  $\text{FiO}_2$ . Secondary endpoint was the difference between the observed and expected  $\Delta\text{SvO}_2$  values (observed – expected  $\Delta\text{SvO}_2$ ), i.e., the residual  $\Delta\text{SvO}_2$ .

Forty and 17 patients were recruited for protocol 1 (a pilot study) and protocol 2 (a substudy of the CARROT trial), respectively, without a sample size calculation. The statistical analysis was performed as follows. First, the observed  $\Delta\text{SvO}_2$  was compared to zero (i.e., no change) using the one-sample *t*-test in on-pump cardiac and OPCAB patients. The observed  $\Delta\text{SvO}_2$  of each patient was calculated as the absolute difference in  $\text{SvO}_2$  values measured at T0 versus T1, and T1 versus T2, thus giving two  $\Delta\text{SvO}_2$  values per patient: the Bonferroni's correction was applied. Second, we explored the distribution of the observed  $\Delta\text{SvO}_2$  according to Hb concentration on a scatterplot, regardless of the surgery type. Assuming that the Hb concentration was constant during the change of  $\text{FiO}_2$  (T0–T2), the Hb concentration measured at T0 was taken as the representative value and used in the analysis. Pearson's correlation analysis was performed to evaluate the association of Hb concentration with the observed  $\Delta\text{SvO}_2$ . Third, the observed  $\Delta\text{SvO}_2$  was compared to the expected  $\Delta\text{SvO}_2$  using a Bland–Altman plot, and the residual  $\Delta\text{SvO}_2$  was calculated. The expected  $\Delta\text{SvO}_2$  was calculated using Fick's equation<sup>7</sup>

$$\text{VO}_2 = \text{CO} \times (\text{CaO}_2 - \text{CvO}_2)$$

where  $\text{VO}_2$  is oxygen consumption and  $\text{CvO}_2$  is the mixed venous oxygen content. As described earlier,

$$\text{CaO}_2 = (k_1 \times \text{Hb} \times \text{SaO}_2) + (k_2 \times \text{PaO}_2)$$

and similarly,

$$\text{CvO}_2 = (k_1 \times \text{Hb} \times \text{SvO}_2) + (k_2 \times \text{PvO}_2)$$

where  $\text{PvO}_2$  is the mixed venous oxygen partial pressure. Therefore,

$$\text{VO}_2 = \text{CO} \times \{(k_1 \times \text{Hb} \times \text{SaO}_2 + k_2 \times \text{PaO}_2) - (k_1 \times \text{Hb} \times \text{SvO}_2 + k_2 \times \text{PvO}_2)\}$$

We assumed that CO and  $\text{VO}_2$  remained constant from T0 to T2; hence, the following equation was established.

$$(k_1 \times \text{Hb} \times \text{SaO}_2[\text{T0}] + k_2 \times \text{PaO}_2[\text{T0}]) - (k_1 \times \text{Hb} \times \text{SvO}_2[\text{T0}] + k_2 \times \text{PvO}_2[\text{T0}])$$



$$= (k_1 \times Hb \times SaO_2[T1] + k_2 \times PaO_2[T1]) - (k_1 \times Hb \times SvO_2[T1] + k_2 \times PvO_2[T1])$$

or

$$(k_1 \times Hb \times SaO_2[T1] + k_2 \times PaO_2[T1]) - (k_1 \times Hb \times SvO_2[T1] + k_2 \times PvO_2[T1]) \\ = (k_1 \times Hb \times SaO_2[T2] + k_2 \times PaO_2[T2]) - (k_1 \times Hb \times SvO_2[T2] + k_2 \times PvO_2[T2])$$

Rearranging this equation, the expected  $\Delta SvO_2$  (T0–T1 and T1–T2) was calculated as follows:

$$\text{The expected } \Delta SvO_2 = \Delta SaO_2 + \frac{k_2 \times (\Delta PaO_2 - \Delta PvO_2)}{k_1 \times Hb}$$

where  $\Delta SaO_2$ ,  $\Delta PaO_2$ , and  $\Delta PvO_2$  are the absolute difference of the  $SaO_2$ ,  $PaO_2$ , and  $PvO_2$  values measured at T0 versus T1, and T1 versus T2. Fourth, an exploratory analysis was performed to identify factors potentially associated with the degree of  $\Delta SvO_2$  according to  $\Delta PaO_2$ . Residual  $\Delta SvO_2$  was compared among patients with and without chronic kidney disease, diabetes, hypertension, cerebrovascular disease, and congestive heart failure using the independent *t*-test or Wilcoxon rank-sum test after checking for normality. Only the residual  $SvO_2$  calculated at T0 versus T1 was used for this exploratory analysis. Fifth, we exploratively calculated the  $\Delta Hb$  equivalent that could increase  $DO_2$  to the same extent as  $\Delta FiO_2$  of 0.5. For this calculation, it was assumed that CO remained unchanged, so the following equation was established. The  $\Delta Hb$  equivalent was calculated by rearranging the equation.

$$k_1 \times Hb \times SaO_2[\text{high } FiO_2] + k_2 \times PaO_2[\text{high } FiO_2] \\ = k_1 \times (Hb + \Delta Hb \text{ equivalent}) \times SaO_2[\text{low } FiO_2] + k_2 \times PaO_2[\text{low } FiO_2]$$

All statistical analyses and data visualization were performed using R software (version 4.0.0; R Development Core Team, Vienna, Austria). Continuous variables are expressed as mean (SD) or median (IQR) as appropriate, and categorical variables are expressed as numbers (%). A P-value < 0.05 was considered significant.

## Data availability

The data supporting this publication can be accessed by contacting the corresponding authors on reasonable request.

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## Author contributions

K.N. designed and conducted the study, analysed the data, and wrote up of the first draft; H.-B.K. analysed the data, wrote up of the first draft, and revised the paper; Y.-L.K. designed the study, wrote up of the first draft, and revised the paper; Y.H.J. recruited the patients, conducted the study, and revised the paper; J.-W.J. designed the study, analysed the data, and revised the paper; J.B. recruited the patients and wrote up of the first draft; S.L. and Y.J.C. analysed the data and revised the paper; J.-K.S. and Y.J. conceived and designed the study and revised the paper. K.N. and H.-B.K. contributed equally to this study and share the role of first author. J.-K.S. and Y.J. contributed equally to this study and share the role of corresponding author.

## Competing interests

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## Additional information

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